

# Equivalents of the Axiom of Choice

Krzysztof Grąbczewski

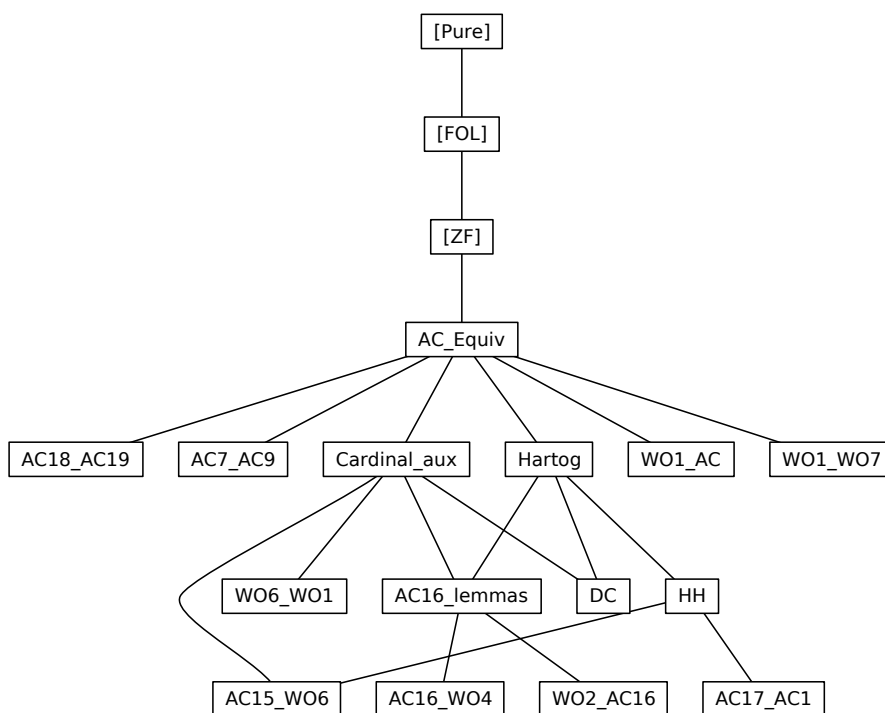
December 17, 2025

## Abstract

This development [1] proves the equivalence of seven formulations of the well-ordering theorem and twenty formulations of the axiom of choice. It formalizes the first two chapters of the monograph *Equivalents of the Axiom of Choice* by Rubin and Rubin [2]. Some of this material involves extremely complex techniques.

## Contents

0.1	Lemmas useful in each of the three proofs . . . . .	22
0.2	Lemmas used in the proofs of $AC1 \implies WO2$ and $AC17 \implies AC1$	23
0.3	The proof of $AC1 \implies WO2$ . . . . .	24



```

theory AC_Equiv
imports ZF
begin

```

**definition**

```

"W01  $\equiv \forall A. \exists R. \text{well\_ord}(A,R)$ "

```

**definition**

```

"W02  $\equiv \forall A. \exists a. \text{Ord}(a) \wedge A \approx a$ "

```

**definition**

```

"W03  $\equiv \forall A. \exists a. \text{Ord}(a) \wedge (\exists b. b \subseteq a \wedge A \approx b)$ "

```

**definition**

```

"W04(m)  $\equiv \forall A. \exists a f. \text{Ord}(a) \wedge \text{domain}(f)=a \wedge$ 
 $(\bigcup b < a. f' b) = A \wedge (\forall b < a. f' b \lesssim m)$ "

```

**definition**

```

"W05  $\equiv \exists m \in \text{nat}. 1 \leq m \wedge \text{W04}(m)$ "

```

**definition**

```

"W06  $\equiv \forall A. \exists m \in \text{nat}. 1 \leq m \wedge (\exists a f. \text{Ord}(a) \wedge \text{domain}(f)=a$ 
 $\wedge (\bigcup b < a. f' b) = A \wedge (\forall b < a. f' b \lesssim m))$ "

```

**definition**

```

"W07  $\equiv \forall A. \text{Finite}(A) \longleftrightarrow (\forall R. \text{well\_ord}(A,R) \longrightarrow \text{well\_ord}(A, \text{converse}(R)))$ "

```

**definition**

```

"W08  $\equiv \forall A. (\exists f. f \in (\prod X \in A. X)) \longrightarrow (\exists R. \text{well\_ord}(A,R))$ "

```

**definition**

```

pairwise_disjoint :: "i  $\Rightarrow$  o" where
"pairwise_disjoint(A)  $\equiv \forall A1 \in A. \forall A2 \in A. A1 \cap A2 \neq 0 \longrightarrow A1=A2$ "

```

**definition**

```

sets_of_size_between :: "[i, i, i]  $\Rightarrow$  o" where
"sets_of_size_between(A,m,n)  $\equiv \forall B \in A. m \lesssim B \wedge B \lesssim n$ "

```

**definition**

```

"AC0  $\equiv \forall A. \exists f. f \in (\prod X \in \text{Pow}(A) - \{0\}. X)$ "

```

**definition**

$$"AC1 \equiv \forall A. 0 \notin A \longrightarrow (\exists f. f \in (\prod X \in A. X))"$$

**definition**

$$"AC2 \equiv \forall A. 0 \notin A \wedge \text{pairwise\_disjoint}(A) \longrightarrow (\exists C. \forall B \in A. \exists y. B \cap C = \{y\})"$$

**definition**

$$"AC3 \equiv \forall A B. \forall f \in A \rightarrow B. \exists g. g \in (\prod x \in \{a \in A. f'a \neq 0\}. f'x)"$$

**definition**

$$"AC4 \equiv \forall R A B. (R \subseteq A * B \longrightarrow (\exists f. f \in (\prod x \in \text{domain}(R). R'\{x\})))"$$

**definition**

$$"AC5 \equiv \forall A B. \forall f \in A \rightarrow B. \exists g \in \text{range}(f) \rightarrow A. \forall x \in \text{domain}(g). f'(g'x) = x"$$

**definition**

$$"AC6 \equiv \forall A. 0 \notin A \longrightarrow (\prod B \in A. B) \neq 0"$$

**definition**

$$"AC7 \equiv \forall A. 0 \notin A \wedge (\forall B1 \in A. \forall B2 \in A. B1 \approx B2) \longrightarrow (\prod B \in A. B) \neq 0"$$

**definition**

$$"AC8 \equiv \forall A. (\forall B \in A. \exists B1 B2. B = \langle B1, B2 \rangle \wedge B1 \approx B2) \longrightarrow (\exists f. \forall B \in A. f'B \in \text{bij}(\text{fst}(B), \text{snd}(B)))"$$

**definition**

$$"AC9 \equiv \forall A. (\forall B1 \in A. \forall B2 \in A. B1 \approx B2) \longrightarrow (\exists f. \forall B1 \in A. \forall B2 \in A. f'\langle B1, B2 \rangle \in \text{bij}(B1, B2))"$$

**definition**

$$"AC10(n) \equiv \forall A. (\forall B \in A. \neg \text{Finite}(B)) \longrightarrow (\exists f. \forall B \in A. (\text{pairwise\_disjoint}(f'B) \wedge \text{sets\_of\_size\_between}(f'B, 2, \text{succ}(n)) \wedge \bigcup (f'B) = B))"$$

**definition**

$$"AC11 \equiv \exists n \in \text{nat}. 1 \leq n \wedge AC10(n)"$$

**definition**

$$"AC12 \equiv \forall A. (\forall B \in A. \neg \text{Finite}(B)) \longrightarrow (\exists n \in \text{nat}. 1 \leq n \wedge (\exists f. \forall B \in A. (\text{pairwise\_disjoint}(f'B) \wedge \text{sets\_of\_size\_between}(f'B, 2, \text{succ}(n)) \wedge \bigcup (f'B) = B)))"$$

**definition**

$$"AC13(m) \equiv \forall A. 0 \notin A \longrightarrow (\exists f. \forall B \in A. f'B \neq 0 \wedge f'B \subseteq B \wedge f'B \lesssim m)"$$

**definition**

"AC14  $\equiv \exists m \in \text{nat}. 1 \leq m \wedge \text{AC13}(m)$ "

**definition**

"AC15  $\equiv \forall A. 0 \notin A \longrightarrow$   
 $(\exists m \in \text{nat}. 1 \leq m \wedge (\exists f. \forall B \in A. f'B \neq 0 \wedge f'B \subseteq B \wedge$   
 $f'B \lesssim m))$ "

**definition**

"AC16( $n, k$ )  $\equiv$   
 $\forall A. \neg \text{Finite}(A) \longrightarrow$   
 $(\exists T. T \subseteq \{X \in \text{Pow}(A). X \approx \text{succ}(n)\} \wedge$   
 $(\forall X \in \{X \in \text{Pow}(A). X \approx \text{succ}(k)\}. \exists ! Y. Y \in T \wedge X \subseteq Y))$ "

**definition**

"AC17  $\equiv \forall A. \forall g \in (\text{Pow}(A) - \{0\} \rightarrow A) \rightarrow \text{Pow}(A) - \{0\}.$   
 $\exists f \in \text{Pow}(A) - \{0\} \rightarrow A. f'(g'f) \in g'f$ "

**locale AC18 =**

**assumes** AC18: " $A \neq 0 \wedge (\forall a \in A. B(a) \neq 0) \longrightarrow$   
 $((\bigcap a \in A. \bigcup b \in B(a). X(a, b)) =$   
 $(\bigcup f \in \prod a \in A. B(a). \bigcap a \in A. X(a, f'a)))$ "  
 — AC18 cannot be expressed within the object-logic

**definition**

"AC19  $\equiv \forall A. A \neq 0 \wedge 0 \notin A \longrightarrow ((\bigcap a \in A. \bigcup b \in a. b) =$   
 $(\bigcup f \in (\prod B \in A. B). \bigcap a \in A. f'a))$ "

**lemma rvimage\_id:** " $\text{rvimage}(A, \text{id}(A), r) = r \cap A * A$ "

$\langle \text{proof} \rangle$

**lemma ordertype\_Int:**

" $\text{well\_ord}(A, r) \implies \text{ordertype}(A, r \cap A * A) = \text{ordertype}(A, r)$ "  
 $\langle \text{proof} \rangle$

**lemma lam\_sing\_bij:** " $(\lambda x \in A. \{x\}) \in \text{bij}(A, \{\{x\}. x \in A\})$ "

$\langle \text{proof} \rangle$

**lemma inj\_strengthen\_type:**

" $\llbracket f \in \text{inj}(A, B); \bigwedge a. a \in A \implies f'a \in C \rrbracket \implies f \in \text{inj}(A, C)$ "  
 $\langle \text{proof} \rangle$

**lemma** *ex1\_two\_eq*: " $\llbracket \exists ! x. P(x); P(x); P(y) \rrbracket \implies x=y$ "  
 $\langle proof \rangle$

**lemma** *first\_in\_B*:  
 $\llbracket well\_ord(\bigcup(A), r); 0 \notin A; B \in A \rrbracket \implies (THE\ b.\ first(b, B, r)) \in B$ "  
 $\langle proof \rangle$

**lemma** *ex\_choice\_fun*: " $\llbracket well\_ord(\bigcup(A), R); 0 \notin A \rrbracket \implies \exists f. f \in (\prod X$   
 $\in A. X)$ "  
 $\langle proof \rangle$

**lemma** *ex\_choice\_fun\_Pow*: " $well\_ord(A, R) \implies \exists f. f \in (\prod X \in Pow(A) - \{0\}.$   
 $X)$ "  
 $\langle proof \rangle$

**lemma** *lepoll\_m\_imp\_domain\_lepoll\_m*:  
 $\llbracket m \in nat; u \lesssim m \rrbracket \implies domain(u) \lesssim m$ "  
 $\langle proof \rangle$

**lemma** *rel\_domain\_ex1*:  
 $\llbracket succ(m) \lesssim domain(r); r \lesssim succ(m); m \in nat \rrbracket \implies function(r)$ "  
 $\langle proof \rangle$

**lemma** *rel\_is\_fun*:  
 $\llbracket succ(m) \lesssim domain(r); r \lesssim succ(m); m \in nat;$   
 $r \subseteq A*B; A=domain(r) \rrbracket \implies r \in A \rightarrow B$ "  
 $\langle proof \rangle$

**end**

**theory** *Cardinal\_aux* **imports** *AC\_Equiv* **begin**

**lemma** *Diff\_lepoll*: " $\llbracket A \lesssim \text{succ}(m); B \subseteq A; B \neq 0 \rrbracket \implies A-B \lesssim m$ "  
 $\langle \text{proof} \rangle$

**lemma** *lepoll\_imp\_ex\_le\_eqpoll*:  
 $\llbracket A \lesssim i; \text{Ord}(i) \rrbracket \implies \exists j. j \leq i \wedge A \approx j$ "  
 $\langle \text{proof} \rangle$

**lemma** *lesspoll\_imp\_ex\_lt\_eqpoll*:  
 $\llbracket A < i; \text{Ord}(i) \rrbracket \implies \exists j. j < i \wedge A \approx j$ "  
 $\langle \text{proof} \rangle$

**lemma** *Un\_eqpoll\_Inf\_Ord*:  
 assumes  $A$ : " $A \approx i$ " and  $B$ : " $B \approx i$ " and  $NFI$ : " $\neg \text{Finite}(i)$ " and  $i$ :  
 $\text{"Ord}(i)"$   
 shows " $A \cup B \approx i$ "  
 $\langle \text{proof} \rangle$

**schematic\_goal** *paired\_bij*: " $?f \in \text{bij}(\{y, z\}. y \in x, x)$ "  
 $\langle \text{proof} \rangle$

**lemma** *paired\_eqpoll*: " $\{y, z\}. y \in x \approx x$ "  
 $\langle \text{proof} \rangle$

**lemma** *ex\_eqpoll\_disjoint*: " $\exists B. B \approx A \wedge B \cap C = 0$ "  
 $\langle \text{proof} \rangle$

**lemma** *Un\_lepoll\_Inf\_Ord*:  
 $\llbracket A \lesssim i; B \lesssim i; \neg \text{Finite}(i); \text{Ord}(i) \rrbracket \implies A \cup B \lesssim i$ "  
 $\langle \text{proof} \rangle$

**lemma** *Least\_in\_Ord*: " $\llbracket P(i); i \in j; \text{Ord}(j) \rrbracket \implies (\mu i. P(i)) \in j$ "  
 $\langle \text{proof} \rangle$

**lemma** *Diff\_first\_lepoll*:  
 $\llbracket \text{well\_ord}(x, r); y \subseteq x; y \lesssim \text{succ}(n); n \in \text{nat} \rrbracket$   
 $\implies y - \{\text{THE } b. \text{first}(b, y, r)\} \lesssim n$ "  
 $\langle \text{proof} \rangle$

```

lemma UN_subset_split:
  " $(\bigcup x \in X. P(x)) \subseteq (\bigcup x \in X. P(x) - Q(x)) \cup (\bigcup x \in X. Q(x))$ "
  <proof>

lemma UN_sing_lepoll: " $Ord(a) \implies (\bigcup x \in a. \{P(x)\}) \lesssim a$ "
  <proof>

lemma UN_fun_lepoll_lemma [rule_format]:
  " $\llbracket well\_ord(T, R); \neg Finite(a); Ord(a); n \in nat \rrbracket$ 
 $\implies \forall f. (\forall b \in a. f' b \lesssim n \wedge f' b \subseteq T) \longrightarrow (\bigcup b \in a. f' b) \lesssim a$ "
  <proof>

lemma UN_fun_lepoll:
  " $\llbracket \forall b \in a. f' b \lesssim n \wedge f' b \subseteq T; well\_ord(T, R);$ 
 $\neg Finite(a); Ord(a); n \in nat \rrbracket \implies (\bigcup b \in a. f' b) \lesssim a$ "
  <proof>

lemma UN_lepoll:
  " $\llbracket \forall b \in a. F(b) \lesssim n \wedge F(b) \subseteq T; well\_ord(T, R);$ 
 $\neg Finite(a); Ord(a); n \in nat \rrbracket$ 
 $\implies (\bigcup b \in a. F(b)) \lesssim a$ "
  <proof>

lemma UN_eq_UN_Diffs:
  " $Ord(a) \implies (\bigcup b \in a. F(b)) = (\bigcup b \in a. F(b) - (\bigcup c \in b. F(c)))$ "
  <proof>

lemma lepoll_imp_eqpoll_subset:
  " $a \lesssim X \implies \exists Y. Y \subseteq X \wedge a \approx Y$ "
  <proof>

lemma Diff_lesspoll_eqpoll_Card_lemma:
  " $\llbracket A \approx a; \neg Finite(a); Card(a); B \prec a; A - B \prec a \rrbracket \implies P$ "
  <proof>

lemma Diff_lesspoll_eqpoll_Card:
  " $\llbracket A \approx a; \neg Finite(a); Card(a); B \prec a \rrbracket \implies A - B \approx a$ "
  <proof>

end

theory W06_W01
imports Cardinal_aux
begin

```

**definition**

```

NN  :: "i  $\Rightarrow$  i"  where
  "NN(y)  $\equiv$  {m  $\in$  nat.  $\exists$  a.  $\exists$  f. Ord(a)  $\wedge$  domain(f)=a  $\wedge$ 
    ( $\bigcup$  b<a. f' b) = y  $\wedge$  ( $\forall$  b<a. f' b  $\lesssim$  m)}"
```

**definition**

```

uu  :: "[i, i, i, i]  $\Rightarrow$  i"  where
  "uu(f, beta, gamma, delta)  $\equiv$  (f' beta * f' gamma)  $\cap$  f' delta"
```

**definition**

```

vv1 :: "[i, i, i]  $\Rightarrow$  i"  where
  "vv1(f,m,b)  $\equiv$ 
    let g =  $\mu$  g. ( $\exists$  d. Ord(d)  $\wedge$  (domain(uu(f,b,g,d))  $\neq$  0  $\wedge$ 
      domain(uu(f,b,g,d))  $\lesssim$  m));
    d =  $\mu$  d. domain(uu(f,b,g,d))  $\neq$  0  $\wedge$ 
      domain(uu(f,b,g,d))  $\lesssim$  m
    in if f' b  $\neq$  0 then domain(uu(f,b,g,d)) else 0"
```

**definition**

```

ww1 :: "[i, i, i]  $\Rightarrow$  i"  where
  "ww1(f,m,b)  $\equiv$  f' b - vv1(f,m,b)"
```

**definition**

```

gg1 :: "[i, i, i]  $\Rightarrow$  i"  where
  "gg1(f,a,m)  $\equiv$   $\lambda$  b  $\in$  a++a. if b<a then vv1(f,m,b) else ww1(f,m,b--a)"
```

**definition**

```

vv2 :: "[i, i, i, i]  $\Rightarrow$  i"  where
  "vv2(f,b,g,s)  $\equiv$ 
    if f' g  $\neq$  0 then {uu(f, b, g,  $\mu$  d. uu(f,b,g,d)  $\neq$  0) 's} else
0"
```

**definition**

```

ww2 :: "[i, i, i, i]  $\Rightarrow$  i"  where
  "ww2(f,b,g,s)  $\equiv$  f' g - vv2(f,b,g,s)"
```

**definition**

```

gg2 :: "[i, i, i, i]  $\Rightarrow$  i"  where
  "gg2(f,a,b,s)  $\equiv$ 
     $\lambda$  g  $\in$  a++a. if g<a then vv2(f,b,g,s) else ww2(f,b,g--a,s)"
```

**lemma** W02\_W03: "W02  $\implies$  W03"



$\langle proof \rangle$

**lemma** *W03\_W01*: "*W03*  $\implies$  *W01*"  
 $\langle proof \rangle$

**lemma** *W01\_W02*: "*W01*  $\implies$  *W02*"  
 $\langle proof \rangle$

**lemma** *lam\_sets*: "*f*  $\in A \rightarrow B \implies (\lambda x \in A. \{f'x\}): A \rightarrow \{\{b\}. b \in B\}$ "  
 $\langle proof \rangle$

**lemma** *surj\_imp\_eq'*: "*f*  $\in \text{surj}(A, B) \implies (\bigcup a \in A. \{f'a\}) = B$ "  
 $\langle proof \rangle$

**lemma** *surj\_imp\_eq*: " $\llbracket f \in \text{surj}(A, B); \text{Ord}(A) \rrbracket \implies (\bigcup a \in A. \{f'a\}) = B$ "  
 $\langle proof \rangle$

**lemma** *W01\_W04*: "*W01*  $\implies$  *W04*(1)"  
 $\langle proof \rangle$

**lemma** *W04\_mono*: " $\llbracket m \leq n; \text{W04}(m) \rrbracket \implies \text{W04}(n)$ "  
 $\langle proof \rangle$

**lemma** *W04\_W05*: " $\llbracket m \in \text{nat}; 1 \leq m; \text{W04}(m) \rrbracket \implies \text{W05}$ "  
 $\langle proof \rangle$

**lemma** *W05\_W06*: "*W05*  $\implies$  *W06*"  
 $\langle proof \rangle$

**lemma** *lt\_oadd\_odiff\_disj*:  
" $\llbracket k < i++j; \text{Ord}(i); \text{Ord}(j) \rrbracket$   
 $\implies k < i \mid (\neg k < i \wedge k = i ++ (k--i) \wedge (k--i) < j)$ "  
 $\langle proof \rangle$

**lemma** domain\_uu\_subset: "domain(uu(f,b,g,d))  $\subseteq$  f' b"  
 <proof>

**lemma** quant\_domain\_uu\_lepoll\_m:  
 "  $\forall b < a. f' b \lesssim m \implies \forall b < a. \forall g < a. \forall d < a. \text{domain}(\text{uu}(f,b,g,d)) \lesssim m$  "  
 <proof>

**lemma** uu\_subset1: "uu(f,b,g,d)  $\subseteq$  f' b \* f' g"  
 <proof>

**lemma** uu\_subset2: "uu(f,b,g,d)  $\subseteq$  f' d"  
 <proof>

**lemma** uu\_lepoll\_m: "  $\llbracket \forall b < a. f' b \lesssim m; d < a \rrbracket \implies \text{uu}(f,b,g,d) \lesssim m$  "  
 <proof>

**lemma** cases:  
 "  $\forall b < a. \forall g < a. \forall d < a. u(f,b,g,d) \lesssim m$   
 $\implies (\forall b < a. f' b \neq 0 \longrightarrow$   
      $(\exists g < a. \exists d < a. u(f,b,g,d) \neq 0 \wedge u(f,b,g,d) \prec m))$   
   |  $(\exists b < a. f' b \neq 0 \wedge (\forall g < a. \forall d < a. u(f,b,g,d) \neq 0 \longrightarrow$   
      $u(f,b,g,d) \approx m))$  "  
 <proof>

**lemma** UN\_oadd: "Ord(a)  $\implies (\bigcup b < a ++ a. C(b)) = (\bigcup b < a. C(b) \cup C(a ++ b))$ "  
 <proof>

**lemma** vv1\_subset: "vv1(f,m,b)  $\subseteq$  f' b"  
 <proof>

**lemma** *UN\_gg1\_eq*:  
 "[[Ord(a); m ∈ nat]] ⇒ (⋃ b<a++a. gg1(f,a,m) 'b) = (⋃ b<a. f 'b)"  
 <proof>

**lemma** *domain\_gg1*: "domain(gg1(f,a,m)) = a++a"  
 <proof>

**lemma** *nested\_LeastI*:  
 "[[P(a, b); Ord(a); Ord(b);  
 Least\_a = (μ a. ∃ x. Ord(x) ∧ P(a, x))]]  
 ⇒ P(Least\_a, μ b. P(Least\_a, b))]"  
 <proof>

**lemmas** *nested\_Least\_instance* =  
 nested\_LeastI [of "λg d. domain(uu(f,b,g,d)) ≠ 0 ∧  
 domain(uu(f,b,g,d)) ≲ m"] for f b m

**lemma** *gg1\_lepoll\_m*:  
 "[[Ord(a); m ∈ nat;  
 ∀ b<a. f 'b ≠ 0 →  
 (∃ g<a. ∃ d<a. domain(uu(f,b,g,d)) ≠ 0 ∧  
 domain(uu(f,b,g,d)) ≲ m);  
 ∀ b<a. f 'b ≲ succ(m); b<a++a]]  
 ⇒ gg1(f,a,m) 'b ≲ m]"  
 <proof>

**lemma** *ex\_d\_uu\_not\_empty*:  
 "[[b<a; g<a; f 'b ≠ 0; f 'g ≠ 0;  
 y\*y ⊆ y; (⋃ b<a. f 'b)=y]]  
 ⇒ ∃ d<a. uu(f,b,g,d) ≠ 0]"  
 <proof>

**lemma** *uu\_not\_empty*:  
 "[[b<a; g<a; f 'b ≠ 0; f 'g ≠ 0; y\*y ⊆ y; (⋃ b<a. f 'b)=y]]

$\implies \text{uu}(f, b, g, \mu d. (\text{uu}(f, b, g, d) \neq 0)) \neq 0$   
 $\langle \text{proof} \rangle$

**lemma** *not\_empty\_rel\_imp\_domain*: " $\llbracket r \subseteq A*B; r \neq 0 \rrbracket \implies \text{domain}(r) \neq 0$ "  
 $\langle \text{proof} \rangle$

**lemma** *Least\_uu\_not\_empty\_lt\_a*:  
 $\llbracket b < a; g < a; f'b \neq 0; f'g \neq 0; y*y \subseteq y; (\bigcup b < a. f'b) = y \rrbracket$   
 $\implies (\mu d. \text{uu}(f, b, g, d) \neq 0) < a$   
 $\langle \text{proof} \rangle$

**lemma** *subset\_Diff\_sing*: " $\llbracket B \subseteq A; a \notin B \rrbracket \implies B \subseteq A - \{a\}$ "  
 $\langle \text{proof} \rangle$

**lemma** *supset\_lepoll\_imp\_eq*:  
 $\llbracket A \lesssim m; m \lesssim B; B \subseteq A; m \in \text{nat} \rrbracket \implies A = B$   
 $\langle \text{proof} \rangle$

**lemma** *uu\_Least\_is\_fun*:  
 $\llbracket \forall g < a. \forall d < a. \text{domain}(\text{uu}(f, b, g, d)) \neq 0 \longrightarrow$   
 $\text{domain}(\text{uu}(f, b, g, d)) \approx \text{succ}(m);$   
 $\forall b < a. f'b \lesssim \text{succ}(m); y*y \subseteq y;$   
 $(\bigcup b < a. f'b) = y; b < a; g < a; d < a;$   
 $f'b \neq 0; f'g \neq 0; m \in \text{nat}; s \in f'b \rrbracket$   
 $\implies \text{uu}(f, b, g, \mu d. \text{uu}(f, b, g, d) \neq 0) \in f'b \rightarrow f'g$   
 $\langle \text{proof} \rangle$

**lemma** *vv2\_subset*:  
 $\llbracket \forall g < a. \forall d < a. \text{domain}(\text{uu}(f, b, g, d)) \neq 0 \longrightarrow$   
 $\text{domain}(\text{uu}(f, b, g, d)) \approx \text{succ}(m);$   
 $\forall b < a. f'b \lesssim \text{succ}(m); y*y \subseteq y;$   
 $(\bigcup b < a. f'b) = y; b < a; g < a; m \in \text{nat}; s \in f'b \rrbracket$   
 $\implies \text{vv2}(f, b, g, s) \subseteq f'g$   
 $\langle \text{proof} \rangle$

**lemma** *UN\_gg2\_eq*:  
 $\llbracket \forall g < a. \forall d < a. \text{domain}(\text{uu}(f, b, g, d)) \neq 0 \longrightarrow$   
 $\text{domain}(\text{uu}(f, b, g, d)) \approx \text{succ}(m);$   
 $\forall b < a. f'b \lesssim \text{succ}(m); y*y \subseteq y;$   
 $(\bigcup b < a. f'b) = y; \text{Ord}(a); m \in \text{nat}; s \in f'b; b < a \rrbracket$   
 $\implies (\bigcup g < a++a. \text{gg2}(f, a, b, s) \text{ ' } g) = y$   
 $\langle \text{proof} \rangle$

**lemma** *domain\_gg2*: " $\text{domain}(\text{gg2}(f, a, b, s)) = a++a$ "  
 $\langle \text{proof} \rangle$

**lemma** *vv2\_lepoll*: " $\llbracket m \in \text{nat}; m \neq 0 \rrbracket \implies \text{vv2}(f, b, g, s) \lesssim m$ "  
 $\langle \text{proof} \rangle$

**lemma** *ww2\_lepoll*:  
" $\llbracket \forall b < a. f' b \lesssim \text{succ}(m); g < a; m \in \text{nat}; \text{vv2}(f, b, g, d) \subseteq f' g \rrbracket$   
 $\implies \text{ww2}(f, b, g, d) \lesssim m$ "  
 $\langle \text{proof} \rangle$

**lemma** *gg2\_lepoll\_m*:  
" $\llbracket \forall g < a. \forall d < a. \text{domain}(\text{uu}(f, b, g, d)) \neq 0 \longrightarrow$   
 $\text{domain}(\text{uu}(f, b, g, d)) \approx \text{succ}(m);$   
 $\forall b < a. f' b \lesssim \text{succ}(m); y * y \subseteq y;$   
 $(\bigcup b < a. f' b) = y; b < a; s \in f' b; m \in \text{nat}; m \neq 0; g < a + a \rrbracket$   
 $\implies \text{gg2}(f, a, b, s) \text{ ' } g \lesssim m$ "  
 $\langle \text{proof} \rangle$

**lemma** *lemma\_ii*: " $\llbracket \text{succ}(m) \in \text{NN}(y); y * y \subseteq y; m \in \text{nat}; m \neq 0 \rrbracket \implies m \in \text{NN}(y)$ "  
 $\langle \text{proof} \rangle$

**lemma** *z\_n\_subset\_z\_succ\_n*:  
" $\forall n \in \text{nat}. \text{rec}(n, x, \lambda k r. r \cup r * r) \subseteq \text{rec}(\text{succ}(n), x, \lambda k r. r \cup r * r)$ "  
 $\langle \text{proof} \rangle$

**lemma** *le\_subsets*:  
" $\llbracket \forall n \in \text{nat}. f(n) \leq f(\text{succ}(n)); n \leq m; n \in \text{nat}; m \in \text{nat} \rrbracket$   
 $\implies f(n) \leq f(m)$ "  
 $\langle \text{proof} \rangle$

**lemma** *le\_imp\_rec\_subset*:

$$\llbracket n \leq m; m \in \text{nat} \rrbracket$$

$$\implies \text{rec}(n, x, \lambda k r. r \cup r * r) \subseteq \text{rec}(m, x, \lambda k r. r \cup r * r)$$

$$\langle \text{proof} \rangle$$

**lemma** lemma\_iv: " $\exists y. x \cup y * y \subseteq y$ "  

$$\langle \text{proof} \rangle$$

**lemma** W06\_imp\_NN\_not\_empty: " $W06 \implies NN(y) \neq 0$ "  

$$\langle \text{proof} \rangle$$

**lemma** lemma1:  

$$\llbracket (\bigcup b < a. f' b) = y; x \in y; \forall b < a. f' b \lesssim 1; \text{Ord}(a) \rrbracket \implies \exists c < a. f' c = \{x\}$$

$$\langle \text{proof} \rangle$$

**lemma** lemma2:  

$$\llbracket (\bigcup b < a. f' b) = y; x \in y; \forall b < a. f' b \lesssim 1; \text{Ord}(a) \rrbracket$$

$$\implies f' (\mu i. f' i = \{x\}) = \{x\}$$

$$\langle \text{proof} \rangle$$

**lemma** NN\_imp\_ex\_inj: " $1 \in NN(y) \implies \exists a f. \text{Ord}(a) \wedge f \in \text{inj}(y, a)$ "  

$$\langle \text{proof} \rangle$$

**lemma** y\_well\_ord: " $\llbracket y * y \subseteq y; 1 \in NN(y) \rrbracket \implies \exists r. \text{well\_ord}(y, r)$ "  

$$\langle \text{proof} \rangle$$

```

lemma rev_induct_lemma [rule_format]:
  "⟦n ∈ nat; ∧m. ⟦m ∈ nat; m≠0; P(succ(m))⟧⟧ ⇒ P(m)⟧
  ⇒ n≠0 ⟶ P(n) ⟶ P(1)"
⟨proof⟩

```

```

lemma rev_induct:
  "⟦n ∈ nat; P(n); n≠0;
  ∧m. ⟦m ∈ nat; m≠0; P(succ(m))⟧⟧ ⇒ P(m)⟧
  ⇒ P(1)"
⟨proof⟩

```

```

lemma NN_into_nat: "n ∈ NN(y) ⇒ n ∈ nat"
⟨proof⟩

```

```

lemma lemma3: "⟦n ∈ NN(y); y*y ⊆ y; n≠0⟧ ⇒ 1 ∈ NN(y)"
⟨proof⟩

```

```

lemma NN_y_0: "0 ∈ NN(y) ⇒ y=0"
⟨proof⟩

```

```

lemma W06_imp_W01: "W06 ⇒ W01"
⟨proof⟩

```

**end**

```

theory W01_W07
imports AC_Equiv
begin

```

```

definition
  "LEMMA ≡
  ∀X. ¬Finite(X) ⟶ (∃R. well_ord(X,R) ∧ ¬well_ord(X,converse(R)))"

```

```

lemma W07_iff_LEMMA: "W07 ⟷ LEMMA"
⟨proof⟩

```

```
lemma LEMMA_imp_W01: "LEMMA  $\implies$  W01"
  <proof>
```

```
lemma converse_Memrel_not_wf_on:
  "[[Ord(a);  $\neg$ Finite(a)]]  $\implies$   $\neg$ wf[a](converse(Memrel(a)))"
  <proof>
```

```
lemma converse_Memrel_not_well_ord:
  "[[Ord(a);  $\neg$ Finite(a)]]  $\implies$   $\neg$ well_ord(a, converse(Memrel(a)))"
  <proof>
```

```
lemma well_ord_rvimage_ordertype:
  "well_ord(A,r)  $\implies$ 
   rvimage (ordertype(A,r), converse(ordermap(A,r)),r) =
   Memrel(ordertype(A,r))"
  <proof>
```

```
lemma well_ord_converse_Memrel:
  "[[well_ord(A,r); well_ord(A, converse(r))]]
    $\implies$  well_ord(ordertype(A,r), converse(Memrel(ordertype(A,r))))"
  <proof>
```

```
lemma W01_imp_LEMMA: "W01  $\implies$  LEMMA"
  <proof>
```

```
lemma W01_iff_W07: "W01  $\longleftrightarrow$  W07"
  <proof>
```



```
lemma W01_W08: "W01  $\implies$  W08"
<proof>
```

```
lemma W08_W01: "W08  $\implies$  W01"
<proof>
```

```
end
```

```
theory AC7_AC9
imports AC_Equiv
begin
```

```
lemma Sigma_fun_space_not0: "[ $0 \notin A$ ;  $B \in A$ ]  $\implies$   $(\text{nat} \rightarrow \bigcup (A)) * B \neq 0$ "
<proof>
```

```
lemma inj_lemma:
  "C  $\in$  A  $\implies$  ( $\lambda g \in (\text{nat} \rightarrow \bigcup (A)) * C.$ 
    ( $\lambda n \in \text{nat}.$  if( $n=0$ ,  $\text{snd}(g)$ ,  $\text{fst}(g) \text{' } (n \#- 1)$ )))
     $\in$  inj( $(\text{nat} \rightarrow \bigcup (A)) * C$ ,  $(\text{nat} \rightarrow \bigcup (A))$ ) "
```

<proof>

```
lemma Sigma_fun_space_eqpoll:
  "[ $C \in A$ ;  $0 \notin A$ ]  $\implies$   $(\text{nat} \rightarrow \bigcup (A)) * C \approx (\text{nat} \rightarrow \bigcup (A))$ "
<proof>
```

```
lemma AC6_AC7: "AC6  $\implies$  AC7"
<proof>
```

```
lemma lemma1_1: " $y \in (\prod B \in A. Y * B) \implies (\lambda B \in A. \text{snd}(y \text{' } B)) \in (\prod B \in$ 
```

$A. B)$ "  
 $\langle proof \rangle$

**lemma lemma1\_2:**  
 $"y \in (\prod B \in \{Y * C. C \in A\}. B) \implies (\lambda B \in A. y'(Y * B)) \in (\prod B \in A. Y * B)"$   
 $\langle proof \rangle$

**lemma AC7\_AC6\_lemma1:**  
 $"(\prod B \in \{(\text{nat} \rightarrow \bigcup (A)) * C. C \in A\}. B) \neq 0 \implies (\prod B \in A. B) \neq 0"$   
 $\langle proof \rangle$

**lemma AC7\_AC6\_lemma2:**  $"0 \notin A \implies 0 \notin \{(\text{nat} \rightarrow \bigcup (A)) * C. C \in A\}"$   
 $\langle proof \rangle$

**lemma AC7\_AC6:**  $"AC7 \implies AC6"$   
 $\langle proof \rangle$

**lemma AC1\_AC8\_lemma1:**  
 $"\forall B \in A. \exists B1 B2. B = \langle B1, B2 \rangle \wedge B1 \approx B2$   
 $\implies 0 \notin \{ \text{bij}(\text{fst}(B), \text{snd}(B)). B \in A \}"$   
 $\langle proof \rangle$

**lemma AC1\_AC8\_lemma2:**  
 $"\llbracket f \in (\prod X \in \text{RepFun}(A, p). X); D \in A \rrbracket \implies (\lambda x \in A. f'p(x))'D \in p(D)"$   
 $\langle proof \rangle$

**lemma AC1\_AC8:**  $"AC1 \implies AC8"$   
 $\langle proof \rangle$

**lemma AC8\_AC9\_lemma:**  
 $"\forall B1 \in A. \forall B2 \in A. B1 \approx B2$   
 $\implies \forall B \in A * A. \exists B1 B2. B = \langle B1, B2 \rangle \wedge B1 \approx B2"$   
 $\langle proof \rangle$

**lemma AC8\_AC9:**  $"AC8 \implies AC9"$

$\langle proof \rangle$

**lemma** *snd\_lepoll\_SigmaI*: " $b \in B \implies X \lesssim B \times X$ "  
 $\langle proof \rangle$

**lemma** *nat\_lepoll\_lemma*:  
" $\llbracket 0 \notin A; B \in A \rrbracket \implies \text{nat} \lesssim ((\text{nat} \rightarrow \bigcup (A)) \times B) \times \text{nat}$ "  
 $\langle proof \rangle$

**lemma** *AC9\_AC1\_lemma1*:  
" $\llbracket 0 \notin A; A \neq 0; \\ C = \{((\text{nat} \rightarrow \bigcup (A)) * B) * \text{nat}. B \in A\} \cup \\ \{\text{cons}(0, ((\text{nat} \rightarrow \bigcup (A)) * B) * \text{nat}). B \in A\}; \\ B1 \in C; B2 \in C \rrbracket \\ \implies B1 \approx B2$ "  
 $\langle proof \rangle$

**lemma** *AC9\_AC1\_lemma2*:  
" $\forall B1 \in \{(F*B)*N. B \in A\} \cup \{\text{cons}(0, (F*B)*N). B \in A\}. \\ \forall B2 \in \{(F*B)*N. B \in A\} \cup \{\text{cons}(0, (F*B)*N). B \in A\}. \\ f' \langle B1, B2 \rangle \in \text{bij}(B1, B2) \\ \implies (\lambda B \in A. \text{snd}(\text{fst}((f' \langle \text{cons}(0, (F*B)*N), (F*B)*N \rangle) '0))) \in (\prod X \\ \in A. X)"$ "  
 $\langle proof \rangle$

**lemma** *AC9\_AC1*: "*AC9*  $\implies$  *AC1*"  
 $\langle proof \rangle$

**end**

**theory** *W01\_AC*  
**imports** *AC\_Equiv*  
**begin**

**theorem** *W01\_AC1*: "*W01*  $\implies$  *AC1*"

$\langle proof \rangle$

**lemma lemma1:** " $\llbracket W01; \forall B \in A. \exists C \in D(B). P(C,B) \rrbracket \implies \exists f. \forall B \in A. P(f'B,B)$ "  
 $\langle proof \rangle$

**lemma lemma2\_1:** " $\llbracket \neg Finite(B); W01 \rrbracket \implies |B| + |B| \approx B$ "  
 $\langle proof \rangle$

**lemma lemma2\_2:**  
" $f \in bij(D+D, B) \implies \{\{f'Inl(i), f'Inr(i)\}. i \in D\} \in Pow(Pow(B))$ "  
 $\langle proof \rangle$

**lemma lemma2\_3:**  
" $f \in bij(D+D, B) \implies pairwise\_disjoint(\{\{f'Inl(i), f'Inr(i)\}. i \in D\})$ "  
 $\langle proof \rangle$

**lemma lemma2\_4:**  
" $f \in bij(D+D, B); 1 \leq n$   
 $\implies sets\_of\_size\_between(\{\{f'Inl(i), f'Inr(i)\}. i \in D\}, 2, succ(n))$ "  
 $\langle proof \rangle$

**lemma lemma2\_5:**  
" $f \in bij(D+D, B) \implies \bigcup (\{\{f'Inl(i), f'Inr(i)\}. i \in D\}) = B$ "  
 $\langle proof \rangle$

**lemma lemma2:**  
" $\llbracket W01; \neg Finite(B); 1 \leq n \rrbracket$   
 $\implies \exists C \in Pow(Pow(B)). pairwise\_disjoint(C) \wedge$   
 $sets\_of\_size\_between(C, 2, succ(n)) \wedge$   
 $\bigcup (C) = B$ "  
 $\langle proof \rangle$

**theorem W01\_AC10:** " $\llbracket W01; 1 \leq n \rrbracket \implies AC10(n)$ "  
 $\langle proof \rangle$

**end**

**theory Hartog**  
**imports AC\_Equiv**  
**begin**

**definition**

```

Hartog :: "i  $\Rightarrow$  i" where
  "Hartog(X)  $\equiv \mu$  i.  $\neg$  i  $\lesssim$  X"

lemma Ords_in_set: " $\forall$  a. Ord(a)  $\longrightarrow$  a  $\in$  X  $\implies$  P"
  <proof>

lemma Ord_lepoll_imp_ex_well_ord:
  "[[Ord(a); a  $\lesssim$  X]]
 $\implies \exists$  Y. Y  $\subseteq$  X  $\wedge (\exists$  R. well_ord(Y,R)  $\wedge$  ordertype(Y,R)=a)"
  <proof>

lemma Ord_lepoll_imp_eq_ordertype:
  "[[Ord(a); a  $\lesssim$  X]]  $\implies \exists$  Y. Y  $\subseteq$  X  $\wedge (\exists$  R. R  $\subseteq$  X*X  $\wedge$  ordertype(Y,R)=a)"
  <proof>

lemma Ords_lepoll_set_lemma:
  " $(\forall$  a. Ord(a)  $\longrightarrow$  a  $\lesssim$  X)  $\implies$ 
 $\forall$  a. Ord(a)  $\longrightarrow$ 
  a  $\in$  {b. Z  $\in$  Pow(X)*Pow(X*X),  $\exists$  Y R. Z= $\langle$ Y,R $\rangle \wedge$  ordertype(Y,R)=b}"
  <proof>

lemma Ords_lepoll_set: " $\forall$  a. Ord(a)  $\longrightarrow$  a  $\lesssim$  X  $\implies$  P"
  <proof>

lemma ex_Ord_not_lepoll: " $\exists$  a. Ord(a)  $\wedge \neg$  a  $\lesssim$  X"
  <proof>

lemma not_Hartog_lepoll_self: " $\neg$  Hartog(A)  $\lesssim$  A"
  <proof>

lemmas Hartog_lepoll_selfE = not_Hartog_lepoll_self [THEN notE]

lemma Ord_Hartog: "Ord(Hartog(A))"
  <proof>

lemma less_HartogE1: "[[i < Hartog(A);  $\neg$  i  $\lesssim$  A]]  $\implies$  P"
  <proof>

lemma less_HartogE: "[[i < Hartog(A); i  $\approx$  Hartog(A)]]  $\implies$  P"
  <proof>

lemma Card_Hartog: "Card(Hartog(A))"
  <proof>

end

theory HH
imports AC_Equiv Hartog

```

begin

definition

$HH :: "[i, i, i] \Rightarrow i"$  where  
 $HH(f, x, a) \equiv \text{transrec}(a, \lambda b \ r. \text{let } z = x - (\bigcup c \in b. r'c)$   
 $\text{in if } f'z \in \text{Pow}(z) - \{0\} \text{ then } f'z \text{ else }$   
 $\{x\})"$

## 0.1 Lemmas useful in each of the three proofs

lemma  $HH\_def\_satisfies\_eq$ :

$HH(f, x, a) = (\text{let } z = x - (\bigcup b \in a. HH(f, x, b))$   
 $\text{in if } f'z \in \text{Pow}(z) - \{0\} \text{ then } f'z \text{ else } \{x\})"$   
 $\langle proof \rangle$

lemma  $HH\_values$ :  $HH(f, x, a) \in \text{Pow}(x) - \{0\} \mid HH(f, x, a) = \{x\}"$

$\langle proof \rangle$

lemma  $subset\_imp\_Diff\_eq$ :

$B \subseteq A \implies X - (\bigcup a \in A. P(a)) = X - (\bigcup a \in A - B. P(a)) - (\bigcup b \in B. P(b))"$   
 $\langle proof \rangle$

lemma  $Ord\_DiffE$ :  $\llbracket c \in a - b; b < a \rrbracket \implies c = b \mid b < c \wedge c < a"$

$\langle proof \rangle$

lemma  $Diff\_UN\_eq\_self$ :  $(\bigwedge y. y \in A \implies P(y) = \{x\}) \implies x - (\bigcup y \in A. P(y)) = x"$

$\langle proof \rangle$

lemma  $HH\_eq$ :  $x - (\bigcup b \in a. HH(f, x, b)) = x - (\bigcup b \in a1. HH(f, x, b))$   
 $\implies HH(f, x, a) = HH(f, x, a1)"$

$\langle proof \rangle$

lemma  $HH\_is\_x\_gt\_too$ :  $\llbracket HH(f, x, b) = \{x\}; b < a \rrbracket \implies HH(f, x, a) = \{x\}"$

$\langle proof \rangle$

lemma  $HH\_subset\_x\_lt\_too$ :

$\llbracket HH(f, x, a) \in \text{Pow}(x) - \{0\}; b < a \rrbracket \implies HH(f, x, b) \in \text{Pow}(x) - \{0\}"$   
 $\langle proof \rangle$

lemma  $HH\_subset\_x\_imp\_subset\_Diff\_UN$ :

$HH(f, x, a) \in \text{Pow}(x) - \{0\} \implies HH(f, x, a) \in \text{Pow}(x - (\bigcup b \in a. HH(f, x, b))) - \{0\}"$   
 $\langle proof \rangle$

lemma  $HH\_eq\_arg\_lt$ :

$\llbracket HH(f, x, v) = HH(f, x, w); HH(f, x, v) \in \text{Pow}(x) - \{0\}; v \in w \rrbracket \implies P"$   
 $\langle proof \rangle$

lemma  $HH\_eq\_imp\_arg\_eq$ :

" $\llbracket HH(f, x, v) = HH(f, x, w); HH(f, x, w) \in Pow(x) - \{0\}; Ord(v); Ord(w) \rrbracket \implies v = w$ "  
 $\langle proof \rangle$

**lemma** *HH\_subset\_x\_imp\_lepoll*:  
 " $\llbracket HH(f, x, i) \in Pow(x) - \{0\}; Ord(i) \rrbracket \implies i \lesssim Pow(x) - \{0\}$ "  
 $\langle proof \rangle$

**lemma** *HH\_Hartog\_is\_x*: " $HH(f, x, Hartog(Pow(x) - \{0\})) = \{x\}$ "  
 $\langle proof \rangle$

**lemma** *HH\_Least\_eq\_x*: " $HH(f, x, \mu i. HH(f, x, i) = \{x\}) = \{x\}$ "  
 $\langle proof \rangle$

**lemma** *less\_Least\_subset\_x*:  
 " $a \in (\mu i. HH(f, x, i) = \{x\}) \implies HH(f, x, a) \in Pow(x) - \{0\}$ "  
 $\langle proof \rangle$

## 0.2 Lemmas used in the proofs of $AC1 \implies W02$ and $AC17 \implies AC1$

**lemma** *lam\_Least\_HH\_inj\_Pow*:  
 " $(\lambda a \in (\mu i. HH(f, x, i) = \{x\}). HH(f, x, a))$   
 $\in inj(\mu i. HH(f, x, i) = \{x\}, Pow(x) - \{0\})$ "  
 $\langle proof \rangle$

**lemma** *lam\_Least\_HH\_inj*:  
 " $\forall a \in (\mu i. HH(f, x, i) = \{x\}). \exists z \in x. HH(f, x, a) = \{z\}$   
 $\implies (\lambda a \in (\mu i. HH(f, x, i) = \{x\}). HH(f, x, a))$   
 $\in inj(\mu i. HH(f, x, i) = \{x\}, \{\{y\}. y \in x\})$ "  
 $\langle proof \rangle$

**lemma** *lam\_surj\_sing*:  
 " $\llbracket x - (\bigcup a \in A. F(a)) = 0; \forall a \in A. \exists z \in x. F(a) = \{z\} \rrbracket$   
 $\implies (\lambda a \in A. F(a)) \in surj(A, \{\{y\}. y \in x\})$ "  
 $\langle proof \rangle$

**lemma** *not\_emptyI2*: " $y \in Pow(x) - \{0\} \implies x \neq 0$ "  
 $\langle proof \rangle$

**lemma** *f\_subset\_imp\_HH\_subset*:  
 " $f'(x - (\bigcup j \in i. HH(f, x, j))) \in Pow(x - (\bigcup j \in i. HH(f, x, j))) - \{0\}$   
 $\implies HH(f, x, i) \in Pow(x) - \{0\}$ "  
 $\langle proof \rangle$

**lemma** *f\_subsets\_imp\_UN\_HH\_eq\_x*:  
 " $\forall z \in Pow(x) - \{0\}. f'z \in Pow(z) - \{0\}$   
 $\implies x - (\bigcup j \in (\mu i. HH(f, x, i) = \{x\}). HH(f, x, j)) = 0$ "  
 $\langle proof \rangle$

**lemma** *HH\_values2*: " $HH(f, x, i) = f'(x - (\bigcup j \in i. HH(f, x, j))) \mid HH(f, x, i) = \{x\}$ "  
 <proof>

**lemma** *HH\_subset\_imp\_eq*:  
 " $HH(f, x, i): Pow(x) - \{0\} \implies HH(f, x, i) = f'(x - (\bigcup j \in i. HH(f, x, j)))$ "  
 <proof>

**lemma** *f\_sing\_imp\_HH\_sing*:  
 " $\llbracket f \in (Pow(x) - \{0\}) \rightarrow \{\{z\}. z \in x\};$   
 $a \in (\mu i. HH(f, x, i) = \{x\}) \rrbracket \implies \exists z \in x. HH(f, x, a) = \{z\}$ "  
 <proof>

**lemma** *f\_sing\_lam\_bij*:  
 " $\llbracket x - (\bigcup j \in (\mu i. HH(f, x, i) = \{x\}). HH(f, x, j)) = 0;$   
 $f \in (Pow(x) - \{0\}) \rightarrow \{\{z\}. z \in x\} \rrbracket$   
 $\implies (\lambda a \in (\mu i. HH(f, x, i) = \{x\}). HH(f, x, a))$   
 $\in \text{bij}(\mu i. HH(f, x, i) = \{x\}, \{\{y\}. y \in x\})$ "  
 <proof>

**lemma** *lam\_singI*:  
 " $f \in (\prod X \in Pow(x) - \{0\}. F(X))$   
 $\implies (\lambda X \in Pow(x) - \{0\}. \{f'X\}) \in (\prod X \in Pow(x) - \{0\}. \{\{z\}. z \in F(X)\})$ "  
 <proof>

**lemmas** *bij\_Least\_HH\_x* =  
 comp\_bij [OF f\_sing\_lam\_bij [OF \_ lam\_singI]  
 lam\_sing\_bij [THEN bij\_converse\_bij]]

### 0.3 The proof of $AC1 \implies W02$

**lemma** *bijection*:  
 " $f \in (\prod X \in Pow(x) - \{0\}. X)$   
 $\implies \exists g. g \in \text{bij}(x, \mu i. HH(\lambda X \in Pow(x) - \{0\}. \{f'X\}, x, i) = \{x\})$ "  
 <proof>

**lemma** *AC1\_W02*: " $AC1 \implies W02$ "  
 <proof>

**end**

**theory** *AC15\_W06*  
**imports** *HH Cardinal\_aux*  
**begin**



**lemma** *lepoll\_Sigma*: " $A \neq 0 \implies B \lesssim A * B$ "  
 <proof>

**lemma** *cons\_times\_nat\_not\_Finite*:  
 " $0 \notin A \implies \forall B \in \{\text{cons}(0, x * \text{nat}). x \in A\}. \neg \text{Finite}(B)$ "  
 <proof>

**lemma** *lemma1*: " $\llbracket \bigcup (C) = A; a \in A \rrbracket \implies \exists B \in C. a \in B \wedge B \subseteq A$ "  
 <proof>

**lemma** *lemma2*:  
 " $\llbracket \text{pairwise\_disjoint}(A); B \in A; C \in A; a \in B; a \in C \rrbracket \implies B = C$ "  
 <proof>

**lemma** *lemma3*:  
 " $\forall B \in \{\text{cons}(0, x * \text{nat}). x \in A\}. \text{pairwise\_disjoint}(f' B) \wedge$   
 $\text{sets\_of\_size\_between}(f' B, 2, n) \wedge \bigcup (f' B) = B$   
 $\implies \forall B \in A. \exists ! u. u \in f' \text{cons}(0, B * \text{nat}) \wedge u \subseteq \text{cons}(0, B * \text{nat}) \wedge$   
 $0 \in u \wedge 2 \lesssim u \wedge u \lesssim n$ "  
 <proof>

**lemma** *lemma4*: " $\llbracket A \lesssim i; \text{Ord}(i) \rrbracket \implies \{P(a). a \in A\} \lesssim i$ "  
 <proof>

**lemma** *lemma5\_1*:  
 " $\llbracket B \in A; 2 \lesssim u(B) \rrbracket \implies (\lambda x \in A. \{fst(x). x \in u(x) - \{0\}\})' B \neq 0$ "  
 <proof>

**lemma** *lemma5\_2*:  
 " $\llbracket B \in A; u(B) \subseteq \text{cons}(0, B * \text{nat}) \rrbracket$   
 $\implies (\lambda x \in A. \{fst(x). x \in u(x) - \{0\}\})' B \subseteq B$ "  
 <proof>

**lemma** *lemma5\_3*:  
 " $\llbracket n \in \text{nat}; B \in A; 0 \in u(B); u(B) \lesssim \text{succ}(n) \rrbracket$   
 $\implies (\lambda x \in A. \{fst(x). x \in u(x) - \{0\}\})' B \lesssim n$ "  
 <proof>

**lemma** *ex\_fun\_AC13\_AC15*:  
 " $\llbracket \forall B \in \{\text{cons}(0, x * \text{nat}). x \in A\}. \text{pairwise\_disjoint}(f' B) \wedge$   
 $\text{sets\_of\_size\_between}(f' B, 2, \text{succ}(n)) \wedge \bigcup (f' B) = B;$   
 $n \in \text{nat} \rrbracket$

$\implies \exists f. \forall B \in A. f'B \neq 0 \wedge f'B \subseteq B \wedge f'B \lesssim n$   
 $\langle proof \rangle$

**theorem** *AC10\_AC11*: " $\llbracket n \in \text{nat}; 1 \leq n; \text{AC10}(n) \rrbracket \implies \text{AC11}$ "  
 $\langle proof \rangle$

**theorem** *AC11\_AC12*: " $\text{AC11} \implies \text{AC12}$ "  
 $\langle proof \rangle$

**theorem** *AC12\_AC15*: " $\text{AC12} \implies \text{AC15}$ "  
 $\langle proof \rangle$

**lemma** *OUN\_eq\_UN*: " $\text{Ord}(x) \implies (\bigcup a < x. F(a)) = (\bigcup a \in x. F(a))$ "  
 $\langle proof \rangle$

**lemma** *AC15\_W06\_aux1*:  
 $"\forall x \in \text{Pow}(A) - \{0\}. f'x \neq 0 \wedge f'x \subseteq x \wedge f'x \lesssim m$   
 $\implies (\bigcup i < \mu x. \text{HH}(f, A, x) = \{A\}. \text{HH}(f, A, i)) = A"$   
 $\langle proof \rangle$

**lemma** *AC15\_W06\_aux2*:  
 $"\forall x \in \text{Pow}(A) - \{0\}. f'x \neq 0 \wedge f'x \subseteq x \wedge f'x \lesssim m$   
 $\implies \forall x < (\mu x. \text{HH}(f, A, x) = \{A\}). \text{HH}(f, A, x) \lesssim m"$   
 $\langle proof \rangle$

**theorem** *AC15\_W06*: " $\text{AC15} \implies \text{W06}$ "  
 $\langle proof \rangle$

**theorem** *AC10\_AC13*: " $\llbracket n \in \text{nat}; 1 \leq n; AC10(n) \rrbracket \implies AC13(n)$ "  
 $\langle proof \rangle$

**lemma** *AC1\_AC13*: " $AC1 \implies AC13(1)$ "  
 $\langle proof \rangle$

**lemma** *AC13\_mono*: " $\llbracket m \leq n; AC13(m) \rrbracket \implies AC13(n)$ "  
 $\langle proof \rangle$

**theorem** *AC13\_AC14*: " $\llbracket n \in \text{nat}; 1 \leq n; AC13(n) \rrbracket \implies AC14$ "  
 $\langle proof \rangle$

**theorem** AC14\_AC15: " $AC14 \implies AC15$ "  
 $\langle proof \rangle$

**lemma** lemma\_aux: " $\llbracket A \neq 0; A \lesssim 1 \rrbracket \implies \exists a. A = \{a\}$ "  
 $\langle proof \rangle$

**lemma** AC13\_AC1\_lemma:  
 $\forall B \in A. f(B) \neq 0 \wedge f(B) \leq B \wedge f(B) \lesssim 1$   
 $\implies (\lambda x \in A. \text{THE } y. f(x) = \{y\}) \in (\prod X \in A. X)$ "  
 $\langle proof \rangle$

**theorem** AC13\_AC1: " $AC13(1) \implies AC1$ "  
 $\langle proof \rangle$

**theorem** AC11\_AC14: " $AC11 \implies AC14$ "  
 $\langle proof \rangle$

**end**

**theory** AC16\_lemmas  
**imports** AC\_Equiv Hartog Cardinal\_aux  
**begin**

**lemma** cons\_Diff\_eq: " $a \notin A \implies \text{cons}(a, A) - \{a\} = A$ "  
 $\langle proof \rangle$

**lemma** nat\_1\_lepoll\_iff: " $1 \lesssim X \longleftrightarrow (\exists x. x \in X)$ "  
 $\langle proof \rangle$

**lemma** eqpoll\_1\_iff\_singleton: " $X \approx 1 \longleftrightarrow (\exists x. X = \{x\})$ "  
 $\langle proof \rangle$

**lemma** cons\_eqpoll\_succ: " $\llbracket x \approx n; y \notin x \rrbracket \implies \text{cons}(y, x) \approx \text{succ}(n)$ "  
 $\langle proof \rangle$

**lemma** subsets\_eqpoll\_1\_eq: " $\{Y \in \text{Pow}(X). Y \approx 1\} = \{\{x\}. x \in X\}$ "

$\langle proof \rangle$

**lemma** eqpoll\_RepFun\_sing: " $X \approx \{\{x\}. x \in X\}$ "  
 $\langle proof \rangle$

**lemma** subsets\_eqpoll\_1\_eqpoll: " $\{Y \in Pow(X). Y \approx 1\} \approx X$ "  
 $\langle proof \rangle$

**lemma** InfCard\_Least\_in:  
" $\llbracket InfCard(x); y \subseteq x; y \approx succ(z) \rrbracket \implies (\mu i. i \in y) \in y$ "  
 $\langle proof \rangle$

**lemma** subsets\_lepoll\_lemma1:  
" $\llbracket InfCard(x); n \in nat \rrbracket$   
 $\implies \{y \in Pow(x). y \approx succ(succ(n))\} \lesssim x * \{y \in Pow(x). y \approx succ(n)\}$ "  
 $\langle proof \rangle$

**lemma** set\_of\_Ord\_succ\_Union: " $(\forall y \in z. Ord(y)) \implies z \subseteq succ(\bigcup(z))$ "  
 $\langle proof \rangle$

**lemma** subset\_not\_mem: " $j \subseteq i \implies i \notin j$ "  
 $\langle proof \rangle$

**lemma** succ\_Union\_not\_mem:  
" $(\bigwedge y. y \in z \implies Ord(y)) \implies succ(\bigcup(z)) \notin z$ "  
 $\langle proof \rangle$

**lemma** Union\_cons\_eq\_succ\_Union:  
" $\bigcup(cons(succ(\bigcup(z)), z)) = succ(\bigcup(z))$ "  
 $\langle proof \rangle$

**lemma** Un\_Ord\_disj: " $\llbracket Ord(i); Ord(j) \rrbracket \implies i \cup j = i \mid i \cup j = j$ "  
 $\langle proof \rangle$

**lemma** Union\_eq\_Un: " $x \in X \implies \bigcup(X) = x \cup \bigcup(X - \{x\})$ "  
 $\langle proof \rangle$

**lemma** Union\_in\_lemma [rule\_format]:  
" $n \in nat \implies \forall z. (\forall y \in z. Ord(y)) \wedge z \approx n \wedge z \neq 0 \longrightarrow \bigcup(z) \in z$ "  
 $\langle proof \rangle$

**lemma** Union\_in: " $\llbracket \forall x \in z. Ord(x); z \approx n; z \neq 0; n \in nat \rrbracket \implies \bigcup(z) \in z$ "  
 $\langle proof \rangle$

**lemma** succ\_Union\_in\_x:  
" $\llbracket InfCard(x); z \in Pow(x); z \approx n; n \in nat \rrbracket \implies succ(\bigcup(z)) \in x$ "  
 $\langle proof \rangle$

**lemma** succ\_lepoll\_succ\_succ:

```

    "⌊InfCard(x); n ∈ nat⌋
    ⇒ {y ∈ Pow(x). y≈succ(n)} ≲ {y ∈ Pow(x). y≈succ(succ(n))}"
  ⟨proof⟩

lemma subsets_eqpoll_X:
  "⌊InfCard(X); n ∈ nat⌋ ⇒ {Y ∈ Pow(X). Y≈succ(n)} ≈ X"
  ⟨proof⟩

lemma image_vimage_eq:
  "⌊f ∈ surj(A,B); y ⊆ B⌋ ⇒ f``(converse(f)``y) = y"
  ⟨proof⟩

lemma vimage_image_eq: "⌊f ∈ inj(A,B); y ⊆ A⌋ ⇒ converse(f)``(f``y)
= y"
  ⟨proof⟩

lemma subsets_eqpoll:
  "A≈B ⇒ {Y ∈ Pow(A). Y≈n}≈{Y ∈ Pow(B). Y≈n}"
  ⟨proof⟩

lemma W02_imp_ex_Card: "W02 ⇒ ∃ a. Card(a) ∧ X≈a"
  ⟨proof⟩

lemma lepoll_infinite: "⌊X≲Y; ¬Finite(X)⌋ ⇒ ¬Finite(Y)"
  ⟨proof⟩

lemma infinite_Card_is_InfCard: "⌊¬Finite(X); Card(X)⌋ ⇒ InfCard(X)"
  ⟨proof⟩

lemma W02_infinite_subsets_eqpoll_X: "⌊W02; n ∈ nat; ¬Finite(X)⌋
⇒ {Y ∈ Pow(X). Y≈succ(n)}≈X"
  ⟨proof⟩

lemma well_ord_imp_ex_Card: "well_ord(X,R) ⇒ ∃ a. Card(a) ∧ X≈a"
  ⟨proof⟩

lemma well_ord_infinite_subsets_eqpoll_X:
  "⌊well_ord(X,R); n ∈ nat; ¬Finite(X)⌋ ⇒ {Y ∈ Pow(X). Y≈succ(n)}≈X"
  ⟨proof⟩

end

theory W02_AC16 imports AC_Equiv AC16_lemmas Cardinal_aux begin

definition
  recfunAC16 :: "[i,i,i,i] ⇒ i" where

```

```

"recfunAC16(f,h,i,a) =
  transrec2(i, 0,
    λg r. if (∃y ∈ r. h'g ⊆ y) then r
    else r ∪ {f'(μ i. h'g ⊆ f'i ∧
      (∀b<a. (h'b ⊆ f'i → (∀t ∈ r. ¬ h'b ⊆ t))))})"

```

**lemma** *recfunAC16\_0*: "recfunAC16(f,h,0,a) = 0"  
 <proof>

**lemma** *recfunAC16\_succ*:  
 "recfunAC16(f,h,succ(i),a) =  
 (if (∃y ∈ recfunAC16(f,h,i,a). h' i ⊆ y) then recfunAC16(f,h,i,a)  
  
 else recfunAC16(f,h,i,a) ∪  
 {f' (μ j. h' i ⊆ f' j ∧  
 (∀b<a. (h'b ⊆ f'j  
 → (∀t ∈ recfunAC16(f,h,i,a). ¬ h'b ⊆ t))))})"  
 <proof>

**lemma** *recfunAC16\_Limit*: "Limit(i)  
 ⇒ recfunAC16(f,h,i,a) = (⋃ j<i. recfunAC16(f,h,j,a))"  
 <proof>

**lemma** *transrec2\_mono\_lemma* [rule\_format]:  
 "⟦∧g r. r ⊆ B(g,r); Ord(i)⟧  
 ⇒ j<i → transrec2(j, 0, B) ⊆ transrec2(i, 0, B)"  
 <proof>

**lemma** *transrec2\_mono*:  
 "⟦∧g r. r ⊆ B(g,r); j≤i⟧  
 ⇒ transrec2(j, 0, B) ⊆ transrec2(i, 0, B)"  
 <proof>

**lemma** *recfunAC16\_mono*:  
 "i≤j ⇒ recfunAC16(f, g, i, a) ⊆ recfunAC16(f, g, j, a)"  
 <proof>

**lemma lemma3\_1:**

" $\llbracket \forall y < x. \forall z < a. z < y \mid (\exists Y \in F(y). f(z) \leq Y) \longrightarrow (\exists ! Y. Y \in F(y) \wedge f(z) \leq Y) \rrbracket$ ;

$\forall i j. i \leq j \longrightarrow F(i) \subseteq F(j); j \leq i; i < x; z < a;$

$V \in F(i); f(z) \leq V; W \in F(j); f(z) \leq W \rrbracket$

$\implies V = W$ "

$\langle proof \rangle$

**lemma lemma3:**

" $\llbracket \forall y < x. \forall z < a. z < y \mid (\exists Y \in F(y). f(z) \leq Y) \longrightarrow (\exists ! Y. Y \in F(y) \wedge f(z) \leq Y) \rrbracket$ ;

$\forall i j. i \leq j \longrightarrow F(i) \subseteq F(j); i < x; j < x; z < a;$

$V \in F(i); f(z) \leq V; W \in F(j); f(z) \leq W \rrbracket$

$\implies V = W$ "

$\langle proof \rangle$

**lemma lemma4:**

" $\llbracket \forall y < x. F(y) \subseteq X \wedge$

$(\forall x < a. x < y \mid (\exists Y \in F(y). h(x) \subseteq Y) \longrightarrow$   
 $(\exists ! Y. Y \in F(y) \wedge h(x) \subseteq Y));$

$x < a \rrbracket$

$\implies \forall y < x. \forall z < a. z < y \mid (\exists Y \in F(y). h(z) \subseteq Y) \longrightarrow$   
 $(\exists ! Y. Y \in F(y) \wedge h(z) \subseteq Y)"$

$\langle proof \rangle$

**lemma lemma5:**

" $\llbracket \forall y < x. F(y) \subseteq X \wedge$

$(\forall x < a. x < y \mid (\exists Y \in F(y). h(x) \subseteq Y) \longrightarrow$   
 $(\exists ! Y. Y \in F(y) \wedge h(x) \subseteq Y));$

$x < a; Limit(x); \forall i j. i \leq j \longrightarrow F(i) \subseteq F(j) \rrbracket$

$\implies (\bigcup_{x < x} F(x)) \subseteq X \wedge$

$(\forall xa < a. xa < x \mid (\exists x \in \bigcup_{x < x} F(x). h(xa) \subseteq x)$

$\longrightarrow (\exists ! Y. Y \in (\bigcup_{x < x} F(x)) \wedge h(xa) \subseteq Y))"$

$\langle proof \rangle$



**lemma** *dbl\_Diff\_eqpoll\_Card*:  

$$\llbracket A \approx a; \text{Card}(a); \neg \text{Finite}(a); B \prec a; C \prec a \rrbracket \implies A - B - C \approx a$$
 $\langle \text{proof} \rangle$

**lemma** *Finite\_lespoll\_infinite\_Ord*:  

$$\llbracket \text{Finite}(X); \neg \text{Finite}(a); \text{Ord}(a) \rrbracket \implies X \prec a$$
 $\langle \text{proof} \rangle$

**lemma** *Union\_lespoll*:  

$$\begin{aligned} & \llbracket \forall x \in X. x \lesssim n \wedge x \subseteq T; \text{well\_ord}(T, R); X \lesssim b; \\ & \quad b \prec a; \neg \text{Finite}(a); \text{Card}(a); n \in \text{nat} \rrbracket \\ & \implies \bigcup (X) \prec a \end{aligned}$$
 $\langle \text{proof} \rangle$

**lemma** *Un\_sing\_eq\_cons*:  $A \cup \{a\} = \text{cons}(a, A)$   
 $\langle \text{proof} \rangle$

**lemma** *Un\_lepoll\_succ*:  $A \lesssim B \implies A \cup \{a\} \lesssim \text{succ}(B)$   
 $\langle \text{proof} \rangle$

**lemma** *Diff\_UN\_succ\_empty*:  $\text{Ord}(a) \implies F(a) - (\bigcup b \prec \text{succ}(a). F(b)) = 0$   
 $\langle \text{proof} \rangle$

**lemma** *Diff\_UN\_succ\_subset*:  $\text{Ord}(a) \implies F(a) \cup X - (\bigcup b \prec \text{succ}(a). F(b)) \subseteq X$   
 $\langle \text{proof} \rangle$

**lemma** *recfunAC16\_Diff\_lepoll\_1*:  

$$\begin{aligned} & \text{Ord}(x) \\ & \implies \text{recfunAC16}(f, g, x, a) - (\bigcup i \prec x. \text{recfunAC16}(f, g, i, a)) \lesssim 1 \end{aligned}$$
 $\langle \text{proof} \rangle$

**lemma** *in\_Least\_Diff*:  

$$\begin{aligned} & \llbracket z \in F(x); \text{Ord}(x) \rrbracket \\ & \implies z \in F(\mu i. z \in F(i)) - (\bigcup j \prec (\mu i. z \in F(i)). F(j)) \end{aligned}$$
 $\langle \text{proof} \rangle$

**lemma** *Least\_eq\_imp\_ex*:  

$$\begin{aligned} & \llbracket (\mu i. w \in F(i)) = (\mu i. z \in F(i)); \\ & \quad w \in (\bigcup i < a. F(i)); z \in (\bigcup i < a. F(i)) \rrbracket \\ & \implies \exists b < a. w \in (F(b) - (\bigcup c < b. F(c))) \wedge z \in (F(b) - (\bigcup c < b. F(c))) \rrbracket \end{aligned}$$
  
 $\langle proof \rangle$

**lemma** *two\_in\_lepoll\_1*:  $\llbracket A \lesssim 1; a \in A; b \in A \rrbracket \implies a=b$   
 $\langle proof \rangle$

**lemma** *UN\_lepoll\_index*:  

$$\begin{aligned} & \llbracket \forall i < a. F(i) - (\bigcup j < i. F(j)) \lesssim 1; Limit(a) \rrbracket \\ & \implies (\bigcup x < a. F(x)) \lesssim a \rrbracket \end{aligned}$$
  
 $\langle proof \rangle$

**lemma** *recfunAC16\_lepoll\_index*:  $Ord(y) \implies recfunAC16(f, h, y, a) \lesssim y$   
 $\langle proof \rangle$

**lemma** *Union\_recfunAC16\_lesspoll*:  

$$\begin{aligned} & \llbracket recfunAC16(f, g, y, a) \subseteq \{X \in Pow(A). X \approx n\}; \\ & \quad A \approx a; y < a; \neg Finite(a); Card(a); n \in nat \rrbracket \\ & \implies \bigcup (recfunAC16(f, g, y, a)) \prec a \rrbracket \end{aligned}$$
  
 $\langle proof \rangle$

**lemma** *dbl\_Diff\_eqpoll*:  

$$\begin{aligned} & \llbracket recfunAC16(f, h, y, a) \subseteq \{X \in Pow(A) . X \approx succ(k \# m)\}; \\ & \quad Card(a); \neg Finite(a); A \approx a; \\ & \quad k \in nat; y < a; \\ & \quad h \in bij(a, \{Y \in Pow(A). Y \approx succ(k)\}) \rrbracket \\ & \implies A - \bigcup (recfunAC16(f, h, y, a)) - h' y \approx a \rrbracket \end{aligned}$$
  
 $\langle proof \rangle$

**lemmas** *disj\_Un\_eqpoll\_nat\_sum* =  

$$\begin{aligned} & eqpoll\_trans \ [THEN \ eqpoll\_trans, \\ & \quad OF \ disj\_Un\_eqpoll\_sum \ sum\_eqpoll\_cong \ nat\_sum\_eqpoll\_sum] \end{aligned}$$

**lemma** *Un\_in\_Collect*:  $\llbracket x \in Pow(A - B - h'i); x \approx m;$   

$$\begin{aligned} & \quad h \in bij(a, \{x \in Pow(A) . x \approx k\}); i < a; k \in nat; m \in nat \rrbracket \\ & \implies h' i \cup x \in \{x \in Pow(A) . x \approx k \# m\} \rrbracket \end{aligned}$$
  
 $\langle proof \rangle$

**lemma lemma6:**  

$$\begin{aligned} & \llbracket \forall y < \text{succ}(j). F(y) \leq X \wedge (\forall x < a. x < y \mid P(x, y) \longrightarrow Q(x, y)); \text{succ}(j) < a \rrbracket \\ & \implies F(j) \leq X \wedge (\forall x < a. x < j \mid P(x, j) \longrightarrow Q(x, j)) \end{aligned}$$
 $\langle \text{proof} \rangle$

**lemma lemma7:**  

$$\begin{aligned} & \llbracket \forall x < a. x < j \mid P(x, j) \longrightarrow Q(x, j); \text{succ}(j) < a \rrbracket \\ & \implies P(j, j) \longrightarrow (\forall x < a. x \leq j \mid P(x, j) \longrightarrow Q(x, j)) \end{aligned}$$
 $\langle \text{proof} \rangle$

**lemma ex\_subset\_eqpoll:**  

$$\llbracket A \approx a; \neg \text{Finite}(a); \text{Ord}(a); m \in \text{nat} \rrbracket \implies \exists X \in \text{Pow}(A). X \approx_m$$
 $\langle \text{proof} \rangle$

**lemma subset\_Un\_disjoint:**  $\llbracket A \subseteq B \cup C; A \cap C = 0 \rrbracket \implies A \subseteq B$ 
 $\langle \text{proof} \rangle$

**lemma Int\_empty:**  

$$\llbracket X \in \text{Pow}(A - \bigcup (B) - C); T \in B; F \subseteq T \rrbracket \implies F \cap X = 0$$
 $\langle \text{proof} \rangle$

**lemma subset\_imp\_eq\_lemma:**  

$$m \in \text{nat} \implies \forall A B. A \subseteq B \wedge m \lesssim A \wedge B \lesssim m \longrightarrow A=B$$
 $\langle \text{proof} \rangle$

**lemma subset\_imp\_eq:**  $\llbracket A \subseteq B; m \lesssim A; B \lesssim m; m \in \text{nat} \rrbracket \implies A=B$ 
 $\langle \text{proof} \rangle$

**lemma bij\_imp\_arg\_eq:**  

$$\llbracket f \in \text{bij}(a, \{Y \in X. Y \approx \text{succ}(k)\}); k \in \text{nat}; f' b \subseteq f' y; b < a; y < a \rrbracket$$

$\implies b=y$ "  
 $\langle proof \rangle$

**lemma ex\_next\_set:**  
 $\llbracket \text{recfunAC16}(f, h, y, a) \subseteq \{X \in \text{Pow}(A) \mid X \approx \text{succ}(k \# m)\};$   
 $\text{Card}(a); \neg \text{Finite}(a); A \approx a;$   
 $k \in \text{nat}; m \in \text{nat}; y < a;$   
 $h \in \text{bij}(a, \{Y \in \text{Pow}(A) \mid Y \approx \text{succ}(k)\});$   
 $\neg (\exists Y \in \text{recfunAC16}(f, h, y, a). h'y \subseteq Y) \rrbracket$   
 $\implies \exists X \in \{Y \in \text{Pow}(A) \mid Y \approx \text{succ}(k \# m)\}. h'y \subseteq X \wedge$   
 $(\forall b < a. h'b \subseteq X \longrightarrow$   
 $(\forall T \in \text{recfunAC16}(f, h, y, a). \neg h'b \subseteq T))$ "  
 $\langle proof \rangle$

**lemma ex\_next\_Ord:**  
 $\llbracket \text{recfunAC16}(f, h, y, a) \subseteq \{X \in \text{Pow}(A) \mid X \approx \text{succ}(k \# m)\};$   
 $\text{Card}(a); \neg \text{Finite}(a); A \approx a;$   
 $k \in \text{nat}; m \in \text{nat}; y < a;$   
 $h \in \text{bij}(a, \{Y \in \text{Pow}(A) \mid Y \approx \text{succ}(k)\});$   
 $f \in \text{bij}(a, \{Y \in \text{Pow}(A) \mid Y \approx \text{succ}(k \# m)\});$   
 $\neg (\exists Y \in \text{recfunAC16}(f, h, y, a). h'y \subseteq Y) \rrbracket$   
 $\implies \exists c < a. h'y \subseteq f'c \wedge$   
 $(\forall b < a. h'b \subseteq f'c \longrightarrow$   
 $(\forall T \in \text{recfunAC16}(f, h, y, a). \neg h'b \subseteq T))$ "  
 $\langle proof \rangle$

**lemma lemma8:**  
 $\llbracket \forall x < a. x < j \mid (\exists xa \in F(j). P(x, xa))$   
 $\longrightarrow (\exists ! Y. Y \in F(j) \wedge P(x, Y)); F(j) \subseteq X;$   
 $L \in X; P(j, L) \wedge (\forall x < a. P(x, L) \longrightarrow (\forall xa \in F(j). \neg P(x, xa))) \rrbracket$   
 $\implies F(j) \cup \{L\} \subseteq X \wedge$   
 $(\forall x < a. x \leq j \mid (\exists xa \in (F(j) \cup \{L\}). P(x, xa)) \longrightarrow$   
 $(\exists ! Y. Y \in (F(j) \cup \{L\}) \wedge P(x, Y)))$ "  
 $\langle proof \rangle$

```

lemma main_induct:
  "[[b < a; f ∈ bij(a, {Y ∈ Pow(A) . Y ≈ succ(k #+ m)}});
   h ∈ bij(a, {Y ∈ Pow(A) . Y ≈ succ(k)}});
   ¬Finite(a); Card(a); A ≈ a; k ∈ nat; m ∈ nat]]
  ⇒ recfunAC16(f, h, b, a) ⊆ {X ∈ Pow(A) . X ≈ succ(k #+ m)} ∧
    (∀x < a. x < b | (∃Y ∈ recfunAC16(f, h, b, a). h ' x ⊆ Y) →

    (∃! Y. Y ∈ recfunAC16(f, h, b, a) ∧ h ' x ⊆ Y))"
  <proof>

```

```

lemma lemma_simp_induct:
  "[[∀b. b < a → F(b) ⊆ S ∧ (∀x < a. (x < b | (∃Y ∈ F(b). f ' x ⊆ Y))
    → (∃! Y. Y ∈ F(b) ∧ f ' x ⊆ Y));
   f ∈ a → f ' (a); Limit(a);
   ∀i j. i ≤ j → F(i) ⊆ F(j)]]
  ⇒ (⋃j < a. F(j)) ⊆ S ∧
    (∀x ∈ f ' a. ∃! Y. Y ∈ (⋃j < a. F(j)) ∧ x ⊆ Y)"
  <proof>

```

```

theorem W02_AC16: "[[W02; 0 < m; k ∈ nat; m ∈ nat]] ⇒ AC16(k #+ m, k)"
  <proof>

```

end

```

theory AC16_W04
imports AC16_lemmas
begin

```

```

lemma lemma1:
  "[[Finite(A); 0 < m; m ∈ nat]]
  ⇒ ∃a f. Ord(a) ∧ domain(f) = a ∧

```

$\langle proof \rangle$   $(\bigcup b < a. f' b) = A \wedge (\forall b < a. f' b \lesssim m)$

**lemmas** *well\_ord\_paired* = *paired\_bij* [THEN *bij\_is\_inj*, THEN *well\_ord\_rvimage*]

**lemma** *lepoll\_trans1*: " $\llbracket A \lesssim B; \neg A \lesssim C \rrbracket \implies \neg B \lesssim C$ "  
 $\langle proof \rangle$

**lemmas** *lepoll\_paired* = *paired\_eqpoll* [THEN *eqpoll\_sym*, THEN *eqpoll\_imp\_lepoll*]

**lemma** *lemma2*: " $\exists y R. \text{well\_ord}(y, R) \wedge x \cap y = 0 \wedge \neg y \lesssim z \wedge \neg \text{Finite}(y)$ "  
 $\langle proof \rangle$

**lemma** *infinite\_Un*: " $\neg \text{Finite}(B) \implies \neg \text{Finite}(A \cup B)$ "  
 $\langle proof \rangle$

**lemma** *succ\_not\_lepoll\_lemma*:  
 $\llbracket \neg (\exists x \in A. f' x = y); f \in \text{inj}(A, B); y \in B \rrbracket$   
 $\implies (\lambda a \in \text{succ}(A). \text{if}(a=A, y, f' a)) \in \text{inj}(\text{succ}(A), B)$   
 $\langle proof \rangle$

**lemma** *succ\_not\_lepoll\_imp\_eqpoll*: " $\llbracket \neg A \approx B; A \lesssim B \rrbracket \implies \text{succ}(A) \lesssim B$ "  
 $\langle proof \rangle$

```

lemmas ordertype_eqpoll =
  ordermap_bij [THEN exI [THEN eqpoll_def [THEN def_imp_iff, THEN
iffD2]]]

```

```

lemma cons_cons_subset:
  "[a ⊆ y; b ∈ y-a; u ∈ x] ⇒ cons(b, cons(u, a)) ∈ Pow(x ∪ y)"
<proof>

```

```

lemma cons_cons_eqpoll:
  "[a ≈ k; a ⊆ y; b ∈ y-a; u ∈ x; x ∩ y = 0]
  ⇒ cons(b, cons(u, a)) ≈ succ(succ(k))"
<proof>

```

```

lemma set_eq_cons:
  "[succ(k) ≈ A; k ≈ B; B ⊆ A; a ∈ A-B; k ∈ nat] ⇒ A = cons(a,
B)"
<proof>

```

```

lemma cons_eqE: "[cons(x,a) = cons(y,a); x ∉ a] ⇒ x = y "
<proof>

```

```

lemma eq_imp_Int_eq: "A = B ⇒ A ∩ C = B ∩ C"
<proof>

```

```

lemma eqpoll_sum_imp_Diff_lepoll_lemma [rule_format]:
  "[k ∈ nat; m ∈ nat]
  ⇒ ∀ A B. A ≈ k #+ m ∧ k ≲ B ∧ B ⊆ A → A-B ≲ m"
<proof>

```

```

lemma eqpoll_sum_imp_Diff_lepoll:
  "[A ≈ succ(k #+ m); B ⊆ A; succ(k) ≲ B; k ∈ nat; m ∈ nat]
  ⇒ A-B ≲ m"
<proof>

```

```

lemma eqpoll_sum_imp_Diff_eqpoll_lemma [rule_format]:
  "[k ∈ nat; m ∈ nat]
  ⇒ ∀ A B. A ≈ k #+ m ∧ k ≈ B ∧ B ⊆ A → A-B ≈ m"
<proof>

```

```

lemma eqpoll_sum_imp_Diff_eqpoll:
  "[[A ≈ succ(k #+ m); B ⊆ A; succ(k) ≈ B; k ∈ nat; m ∈ nat]]
  ⇒ A-B ≈ m"
⟨proof⟩

lemma subsets_lepoll_0_eq_unit: "{x ∈ Pow(X). x ≲ 0} = {0}"
⟨proof⟩

lemma subsets_lepoll_succ:
  "n ∈ nat ⇒ {z ∈ Pow(y). z ≲ succ(n)} =
    {z ∈ Pow(y). z ≲ n} ∪ {z ∈ Pow(y). z ≈ succ(n)}"
⟨proof⟩

lemma Int_empty:
  "n ∈ nat ⇒ {z ∈ Pow(y). z ≲ n} ∩ {z ∈ Pow(y). z ≈ succ(n)} =
  0"
⟨proof⟩

locale AC16 =
  fixes x and y and k and l and m and t_n and R and MM and LL and
  GG and s
  defines k_def:      "k ≡ succ(l)"
    and MM_def:      "MM ≡ {v ∈ t_n. succ(k) ≲ v ∩ y}"
    and LL_def:      "LL ≡ {v ∩ y. v ∈ MM}"
    and GG_def:      "GG ≡ λv ∈ LL. (THE w. w ∈ MM ∧ v ⊆ w) - v"
    and s_def:       "s(u) ≡ {v ∈ t_n. u ∈ v ∧ k ≲ v ∩ y}"
  assumes all_ex:     "∀z ∈ {z ∈ Pow(x ∪ y) . z ≈ succ(k)}.
    ∃ ! w. w ∈ t_n ∧ z ⊆ w"
    and disjoint[iff]: "x ∩ y = 0"
    and "includes":   "t_n ⊆ {v ∈ Pow(x ∪ y). v ≈ succ(k #+ m)}"
    and WO_R[iff]:    "well_ord(y,R)"
    and lnat[iff]:    "l ∈ nat"
    and mnat[iff]:    "m ∈ nat"
    and mpos[iff]:    "0 < m"
    and Infinite[iff]: "¬ Finite(y)"
    and noLepoll:     "¬ y ≲ {v ∈ Pow(x). v ≈ m}"
begin

lemma knat [iff]: "k ∈ nat"
⟨proof⟩

```



**lemma** *Diff\_Finite\_eqpoll*: " $\llbracket l \approx a; a \subseteq y \rrbracket \implies y - a \approx y$ "  
 $\langle proof \rangle$

**lemma** *s\_subset*: " $s(u) \subseteq t_n$ "  
 $\langle proof \rangle$

**lemma** *sI*:  
 $\llbracket w \in t_n; cons(b, cons(u, a)) \subseteq w; a \subseteq y; b \in y - a; l \approx a \rrbracket$   
 $\implies w \in s(u)$   
 $\langle proof \rangle$

**lemma** *in\_s\_imp\_u\_in*: " $v \in s(u) \implies u \in v$ "  
 $\langle proof \rangle$

**lemma** *ex1\_superset\_a*:  
 $\llbracket l \approx a; a \subseteq y; b \in y - a; u \in x \rrbracket$   
 $\implies \exists ! c. c \in s(u) \wedge a \subseteq c \wedge b \in c$   
 $\langle proof \rangle$

**lemma** *the\_eq\_cons*:  
 $\llbracket \forall v \in s(u). succ(l) \approx v \cap y;$   
 $l \approx a; a \subseteq y; b \in y - a; u \in x \rrbracket$   
 $\implies (THE c. c \in s(u) \wedge a \subseteq c \wedge b \in c) \cap y = cons(b, a)$   
 $\langle proof \rangle$

**lemma** *y\_lepoll\_subset\_s*:  
 $\llbracket \forall v \in s(u). succ(l) \approx v \cap y;$   
 $l \approx a; a \subseteq y; u \in x \rrbracket$   
 $\implies y \lesssim \{v \in s(u). a \subseteq v\}$   
 $\langle proof \rangle$

**lemma** *x\_imp\_not\_y* [*dest*]: " $a \in x \implies a \notin y$ "  
 $\langle proof \rangle$

**lemma** *w\_Int\_eq\_w\_Diff*:  
 $w \subseteq x \cup y \implies w \cap (x - \{u\}) = w - cons(u, w \cap y)$   
 $\langle proof \rangle$

**lemma** *w\_Int\_eqpoll\_m*:  
 "⟦ $w \in \{v \in s(u). a \subseteq v\};$   
 $l \approx a; u \in x;$   
 $\forall v \in s(u). \text{succ}(l) \approx v \cap y$ ⟧  
 $\implies w \cap (x - \{u\}) \approx m$ "  
 <proof>

**lemma** *eqpoll\_m\_not\_empty*: " $a \approx m \implies a \neq 0$ "  
 <proof>

**lemma** *cons\_cons\_in*:  
 "⟦ $z \in xa \cap (x - \{u\}); l \approx a; a \subseteq y; u \in x$ ⟧  
 $\implies \exists ! w. w \in t_n \wedge \text{cons}(z, \text{cons}(u, a)) \subseteq w$ "  
 <proof>

**lemma** *subset\_s\_lepoll\_w*:  
 "⟦ $\forall v \in s(u). \text{succ}(l) \approx v \cap y; a \subseteq y; l \approx a; u \in x$ ⟧  
 $\implies \{v \in s(u). a \subseteq v\} \lesssim \{v \in \text{Pow}(x). v \approx m\}$ "  
 <proof>

**lemma** *well\_ord\_subsets\_eqpoll\_n*:  
 " $n \in \text{nat} \implies \exists S. \text{well\_ord}(\{z \in \text{Pow}(y) . z \approx \text{succ}(n)\}, S)$ "  
 <proof>

**lemma** *well\_ord\_subsets\_lepoll\_n*:  
 " $n \in \text{nat} \implies \exists R. \text{well\_ord}(\{z \in \text{Pow}(y). z \lesssim n\}, R)$ "  
 <proof>

**lemma** *LL\_subset*: " $LL \subseteq \{z \in \text{Pow}(y). z \lesssim \text{succ}(k \# + m)\}$ "  
 <proof>

**lemma** *well\_ord\_LL*: " $\exists S. \text{well\_ord}(LL, S)$ "  
 <proof>

**lemma unique\_superset\_in\_MM:**  
 $"v \in LL \implies \exists! w. w \in MM \wedge v \subseteq w"$   
 $\langle proof \rangle$

**lemma Int\_in\_LL:**  $"w \in MM \implies w \cap y \in LL"$   
 $\langle proof \rangle$

**lemma in\_LL\_eq\_Int:**  
 $"v \in LL \implies v = (THE\ x. x \in MM \wedge v \subseteq x) \cap y"$   
 $\langle proof \rangle$

**lemma unique\_superset1:**  $"a \in LL \implies (THE\ x. x \in MM \wedge a \subseteq x) \in MM"$   
 $\langle proof \rangle$

**lemma the\_in\_MM\_subset:**  
 $"v \in LL \implies (THE\ x. x \in MM \wedge v \subseteq x) \subseteq x \cup y"$   
 $\langle proof \rangle$

**lemma GG\_subset:**  $"v \in LL \implies GG\ 'v \subseteq x"$   
 $\langle proof \rangle$

**lemma nat\_lepoll\_ordertype:**  $"nat \lesssim ordertype(y, R)"$   
 $\langle proof \rangle$

**lemma ex\_subset\_eqpoll\_n:**  $"n \in nat \implies \exists z. z \subseteq y \wedge n \approx z"$   
 $\langle proof \rangle$

**lemma exists\_proper\_in\_s:**  $"u \in x \implies \exists v \in s(u). succ(k) \lesssim v \cap y"$   
 $\langle proof \rangle$

**lemma exists\_in\_MM:**  $"u \in x \implies \exists w \in MM. u \in w"$   
 $\langle proof \rangle$

**lemma exists\_in\_LL:**  $"u \in x \implies \exists w \in LL. u \in GG\ 'w"$   
 $\langle proof \rangle$

**lemma OUN\_eq\_x:**  $"well\_ord(LL, S) \implies$

$\langle proof \rangle$   $(\bigcup b < \text{ordertype}(LL, S). GG \text{ ' } (\text{converse}(\text{ordermap}(LL, S)) \text{ ' } b)) = x$

**lemma** `in_MM_eqpoll_n`: " $w \in MM \implies w \approx \text{succ}(k \#+ m)$ "  
 $\langle proof \rangle$

**lemma** `in_LL_eqpoll_n`: " $w \in LL \implies \text{succ}(k) \lesssim w$ "  
 $\langle proof \rangle$

**lemma** `in_LL`: " $w \in LL \implies w \subseteq (\text{THE } x. x \in MM \wedge w \subseteq x)$ "  
 $\langle proof \rangle$

**lemma** `all_in_lepoll_m`:  
 $\text{"well\_ord}(LL, S) \implies$   
 $\forall b < \text{ordertype}(LL, S). GG \text{ ' } (\text{converse}(\text{ordermap}(LL, S)) \text{ ' } b) \lesssim m$   
 $\langle proof \rangle$

**lemma** `"conclusion"`:  
 $\text{"}\exists a f. \text{Ord}(a) \wedge \text{domain}(f) = a \wedge (\bigcup b < a. f \text{ ' } b) = x \wedge (\forall b < a. f \text{ ' } b \lesssim m)\text{"}$   
 $\langle proof \rangle$

**end**

**theorem** `AC16_W04`:  
 $\text{"}\llbracket AC\_Equiv.AC16(k \#+ m, k); 0 < k; 0 < m; k \in \text{nat}; m \in \text{nat} \rrbracket \implies$   
 $W04(m)\text{"}$   
 $\langle proof \rangle$

**end**

**theory** `AC17_AC1`  
**imports** `HH`  
**begin**

**lemma** `AC0_AC1_lemma`: " $\llbracket f: (\prod X \in A. X); D \subseteq A \rrbracket \implies \exists g. g: (\prod X \in D.$

$X)$ "  
 $\langle proof \rangle$

**lemma**  $AC0\_AC1$ : " $AC0 \implies AC1$ "  
 $\langle proof \rangle$

**lemma**  $AC1\_AC0$ : " $AC1 \implies AC0$ "  
 $\langle proof \rangle$

**lemma**  $AC1\_AC17\_lemma$ : " $f \in (\prod X \in Pow(A) - \{0\}. X) \implies f \in (Pow(A) - \{0\} \rightarrow A)$ "  
 $\langle proof \rangle$

**lemma**  $AC1\_AC17$ : " $AC1 \implies AC17$ "  
 $\langle proof \rangle$

**lemma**  $UN\_eq\_imp\_well\_ord$ :  

$$\begin{aligned} & \text{"} \llbracket x - (\bigcup j \in \mu i. HH(\lambda X \in Pow(x) - \{0\}. \{f'X\}, x, i) = \{x\}. \\ & \quad HH(\lambda X \in Pow(x) - \{0\}. \{f'X\}, x, j)) = 0; \\ & \quad f \in Pow(x) - \{0\} \rightarrow x \rrbracket \\ & \implies \exists r. well\_ord(x, r) \text{"} \end{aligned}$$
  
 $\langle proof \rangle$

**lemma**  $not\_AC1\_imp\_ex$ :  

$$\text{"} \neg AC1 \implies \exists A. \forall f \in Pow(A) - \{0\} \rightarrow A. \exists u \in Pow(A) - \{0\}. f'u \notin u \text{"}$$
  
 $\langle proof \rangle$

**lemma**  $AC17\_AC1\_aux1$ :  

$$\begin{aligned} & \text{"} \llbracket \forall f \in Pow(x) - \{0\} \rightarrow x. \exists u \in Pow(x) - \{0\}. f'u \notin u; \\ & \quad \exists f \in Pow(x) - \{0\} \rightarrow x. \\ & \quad x - (\bigcup a \in (\mu i. HH(\lambda X \in Pow(x) - \{0\}. \{f'X\}, x, i) = \{x\}). \\ & \quad HH(\lambda X \in Pow(x) - \{0\}. \{f'X\}, x, a)) = 0 \rrbracket \\ & \implies P \text{"} \end{aligned}$$
  
 $\langle proof \rangle$

**lemma** AC17\_AC1\_aux2:  

$$\neg (\exists f \in \text{Pow}(x) - \{0\} \rightarrow x. x - F(f) = 0)$$

$$\implies (\lambda f \in \text{Pow}(x) - \{0\} \rightarrow x. x - F(f))$$

$$\in (\text{Pow}(x) - \{0\} \rightarrow x) \rightarrow \text{Pow}(x) - \{0\}"$$
 $\langle \text{proof} \rangle$

**lemma** AC17\_AC1\_aux3:  

$$\llbracket f'Z \in Z; Z \in \text{Pow}(x) - \{0\} \rrbracket$$

$$\implies (\lambda X \in \text{Pow}(x) - \{0\}. \{f'X\}'Z \in \text{Pow}(Z) - \{0\})"$$
 $\langle \text{proof} \rangle$

**lemma** AC17\_AC1\_aux4:  

$$\neg \exists f \in F. f'((\lambda f \in F. Q(f))'f) \in (\lambda f \in F. Q(f))'f$$

$$\implies \exists f \in F. f'Q(f) \in Q(f)"$$
 $\langle \text{proof} \rangle$

**lemma** AC17\_AC1: "AC17  $\implies$  AC1"  
 $\langle \text{proof} \rangle$

**lemma** AC1\_AC2\_aux1:  

$$\llbracket f: (\prod X \in A. X); B \in A; 0 \notin A \rrbracket \implies \{f'B\} \subseteq B \cap \{f'C. C \in A\}"$$
 $\langle \text{proof} \rangle$

**lemma** AC1\_AC2\_aux2:  

$$\llbracket \text{pairwise\_disjoint}(A); B \in A; C \in A; D \in B; D \in C \rrbracket \implies f'B$$

$$= f'C"$$
 $\langle \text{proof} \rangle$

**lemma** AC1\_AC2: "AC1  $\implies$  AC2"  
 $\langle \text{proof} \rangle$

**lemma** AC2\_AC1\_aux1: " $0 \notin A \implies 0 \notin \{B * \{B\}. B \in A\}"$   
 $\langle \text{proof} \rangle$

**lemma** AC2\_AC1\_aux2: " $\llbracket X * \{X\} \cap C = \{y\}; X \in A \rrbracket$   

$$\implies (\text{THE } y. X * \{X\} \cap C = \{y\}): X * A"$$
 $\langle \text{proof} \rangle$

**lemma** *AC2\_AC1\_aux3*:  

$$\begin{aligned} & \text{"}\forall D \in \{E * \{E\}. E \in A\}. \exists y. D \cap C = \{y\} \\ & \implies (\lambda x \in A. \text{fst}(\text{THE } z. (x * \{x\} \cap C = \{z\}))) \in (\prod X \in A. X) \text{"} \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *AC2\_AC1*: "*AC2*  $\implies$  *AC1*"  
 $\langle \text{proof} \rangle$

**lemma** *empty\_notin\_images*: " $0 \notin \{R' \{x\}. x \in \text{domain}(R)\}$ "  
 $\langle \text{proof} \rangle$

**lemma** *AC1\_AC4*: "*AC1*  $\implies$  *AC4*"  
 $\langle \text{proof} \rangle$

**lemma** *AC4\_AC3\_aux1*: " $f \in A \rightarrow B \implies (\bigcup z \in A. \{z\} * f' z) \subseteq A * \bigcup (B)$ "  
 $\langle \text{proof} \rangle$

**lemma** *AC4\_AC3\_aux2*: " $\text{domain}(\bigcup z \in A. \{z\} * f(z)) = \{a \in A. f(a) \neq 0\}$ "  
 $\langle \text{proof} \rangle$

**lemma** *AC4\_AC3\_aux3*: " $x \in A \implies (\bigcup z \in A. \{z\} * f(z))' \{x\} = f(x)$ "  
 $\langle \text{proof} \rangle$

**lemma** *AC4\_AC3*: "*AC4*  $\implies$  *AC3*"  
 $\langle \text{proof} \rangle$

**lemma** *AC3\_AC1\_lemma*:  

$$\text{"}b \notin A \implies (\prod x \in \{a \in A. \text{id}(A)'a \neq b\}. \text{id}(A)'x) = (\prod x \in A. x) \text{"}$$
 $\langle \text{proof} \rangle$

**lemma** *AC3\_AC1*: "*AC3*  $\implies$  *AC1*"  
 $\langle \text{proof} \rangle$

**lemma** AC4\_AC5: "AC4  $\implies$  AC5"  
 <proof>

**lemma** AC5\_AC4\_aux1: " $R \subseteq A*B \implies (\lambda x \in R. \text{fst}(x)) \in R \rightarrow A$ "  
 <proof>

**lemma** AC5\_AC4\_aux2: " $R \subseteq A*B \implies \text{range}(\lambda x \in R. \text{fst}(x)) = \text{domain}(R)$ "  
 <proof>

**lemma** AC5\_AC4\_aux3: " $\llbracket \exists f \in A \rightarrow C. P(f, \text{domain}(f)); A=B \rrbracket \implies \exists f \in B \rightarrow C. P(f, B)$ "  
 <proof>

**lemma** AC5\_AC4\_aux4: " $\llbracket R \subseteq A*B; g \in C \rightarrow R; \forall x \in C. (\lambda z \in R. \text{fst}(z))' (g'x) = x \rrbracket$   
 $\implies (\lambda x \in C. \text{snd}(g'x)) : (\prod x \in C. R' \{x\})$ "  
 <proof>

**lemma** AC5\_AC4: "AC5  $\implies$  AC4"  
 <proof>

**lemma** AC1\_iff\_AC6: "AC1  $\longleftrightarrow$  AC6"  
 <proof>

**end**

**theory** AC18\_AC19  
**imports** AC\_Equiv  
**begin**

**definition**  
 uu :: " $i \Rightarrow i$ " **where**  
 "uu(a)  $\equiv \{c \cup \{0\}. c \in a\}$ "



**lemma** *PROD\_subsets*:

" $\llbracket f \in (\prod b \in \{P(a). a \in A\}. b); \forall a \in A. P(a) \leq Q(a) \rrbracket$   
 $\implies (\lambda a \in A. f'P(a)) \in (\prod a \in A. Q(a))$ "

$\langle proof \rangle$

**lemma** *lemma\_AC18*:

" $\llbracket \forall A. 0 \notin A \longrightarrow (\exists f. f \in (\prod X \in A. X)); A \neq 0 \rrbracket$   
 $\implies (\bigcap a \in A. \bigcup b \in B(a). X(a, b)) \subseteq$   
 $(\bigcup f \in \prod a \in A. B(a). \bigcap a \in A. X(a, f'a))$ "

$\langle proof \rangle$

**lemma** *AC1\_AC18*: "*AC1*  $\implies$  *PROP AC18*"

$\langle proof \rangle$

**theorem** (*in AC18*) *AC19*

$\langle proof \rangle$

**lemma** *RepRep\_conj*:

" $\llbracket A \neq 0; 0 \notin A \rrbracket \implies \{uu(a). a \in A\} \neq 0 \wedge 0 \notin \{uu(a). a \in A\}$ "

$\langle proof \rangle$

**lemma** *lemma1\_1*: " $\llbracket c \in a; x = c \cup \{0\}; x \notin a \rrbracket \implies x - \{0\} \in a$ "

$\langle proof \rangle$

**lemma** *lemma1\_2*:

" $\llbracket f'(uu(a)) \notin a; f \in (\prod B \in \{uu(a). a \in A\}. B); a \in A \rrbracket$   
 $\implies f'(uu(a)) - \{0\} \in a$ "

$\langle proof \rangle$

**lemma** *lemma1*: " $\exists f. f \in (\prod B \in \{uu(a). a \in A\}. B) \implies \exists f. f \in (\prod B \in A. B)$ "

$\langle proof \rangle$

**lemma** *lemma2\_1*: " $a \neq 0 \implies 0 \in (\bigcup b \in uu(a). b)$ "

$\langle proof \rangle$

**lemma** *lemma2*: " $\llbracket A \neq 0; 0 \notin A \rrbracket \implies (\bigcap x \in \{uu(a). a \in A\}. \bigcup b \in x. b) \neq$

```

0"
⟨proof⟩

lemma AC19_AC1: "AC19  $\implies$  AC1"
⟨proof⟩

end

theory DC
imports AC_Equiv Hartog Cardinal_aux
begin

lemma RepFun_lepoll: " $\text{Ord}(a) \implies \{P(b). b \in a\} \lesssim a$ "
⟨proof⟩

Trivial in the presence of AC, but here we need a wellordering of X

lemma image_Ord_lepoll: " $\llbracket f \in X \rightarrow Y; \text{Ord}(X) \rrbracket \implies f'X \lesssim X$ "
⟨proof⟩

lemma range_subset_domain:
  " $\llbracket R \subseteq X * X; \bigwedge g. g \in X \implies \exists u. \langle g, u \rangle \in R \rrbracket$ 
 $\implies \text{range}(R) \subseteq \text{domain}(R)$ "
⟨proof⟩

lemma cons_fun_type: " $g \in n \rightarrow X \implies \text{cons}(\langle n, x \rangle, g) \in \text{succ}(n) \rightarrow \text{cons}(x, X)$ "
⟨proof⟩

lemma cons_fun_type2:
  " $\llbracket g \in n \rightarrow X; x \in X \rrbracket \implies \text{cons}(\langle n, x \rangle, g) \in \text{succ}(n) \rightarrow X$ "
⟨proof⟩

lemma cons_image_n: " $n \in \text{nat} \implies \text{cons}(\langle n, x \rangle, g)'n = g'n$ "
⟨proof⟩

lemma cons_val_n: " $g \in n \rightarrow X \implies \text{cons}(\langle n, x \rangle, g)'n = x$ "
⟨proof⟩

lemma cons_image_k: " $k \in n \implies \text{cons}(\langle n, x \rangle, g)'k = g'k$ "
⟨proof⟩

lemma cons_val_k: " $\llbracket k \in n; g \in n \rightarrow X \rrbracket \implies \text{cons}(\langle n, x \rangle, g)'k = g'k$ "
⟨proof⟩

lemma domain_cons_eq_succ: " $\text{domain}(f) = x \implies \text{domain}(\text{cons}(\langle x, y \rangle, f)) = \text{succ}(x)$ "
⟨proof⟩

```

**lemma** *restrict\_cons\_eq*: " $g \in n \rightarrow X \implies \text{restrict}(\text{cons}(\langle n, x \rangle, g), n) = g$ "  
 <proof>

**lemma** *succ\_in\_succ*: " $\llbracket \text{Ord}(k); i \in k \rrbracket \implies \text{succ}(i) \in \text{succ}(k)$ "  
 <proof>

**lemma** *restrict\_eq\_imp\_val\_eq*:  
 " $\llbracket \text{restrict}(f, \text{domain}(g)) = g; x \in \text{domain}(g) \rrbracket$   
 $\implies f'x = g'x$ "  
 <proof>

**lemma** *domain\_eq\_imp\_fun\_type*: " $\llbracket \text{domain}(f) = A; f \in B \rightarrow C \rrbracket \implies f \in A \rightarrow C$ "  
 <proof>

**lemma** *ex\_in\_domain*: " $\llbracket R \subseteq A * B; R \neq 0 \rrbracket \implies \exists x. x \in \text{domain}(R)$ "  
 <proof>

**definition**

*DC* :: " $i \Rightarrow o$ " **where**  
 " $\text{DC}(a) \equiv \forall X R. R \subseteq \text{Pow}(X) * X \wedge$   
 $(\forall Y \in \text{Pow}(X). Y \prec a \longrightarrow (\exists x \in X. \langle Y, x \rangle \in R))$   
 $\longrightarrow (\exists f \in a \rightarrow X. \forall b \prec a. \langle f' b, f' b \rangle \in R)$ "

**definition**

*DC0* ::  $o$  **where**  
 " $\text{DC0} \equiv \forall A B R. R \subseteq A * B \wedge R \neq 0 \wedge \text{range}(R) \subseteq \text{domain}(R)$   
 $\longrightarrow (\exists f \in \text{nat} \rightarrow \text{domain}(R). \forall n \in \text{nat}. \langle f' n, f' \text{succ}(n) \rangle \in R)$ "

**definition**

*ff* :: " $[i, i, i, i] \Rightarrow i$ " **where**  
 " $\text{ff}(b, X, Q, R) \equiv$   
 $\text{transrec}(b, \lambda c r. \text{THE } x. \text{first}(x, \{x \in X. \langle r' c, x \rangle \in R\},$   
 $Q))$ "

**locale** *DC0\_imp* =

**fixes** *XX* **and** *RR* **and** *X* **and** *R*

**assumes** *all\_ex*: " $\forall Y \in \text{Pow}(X). Y \prec \text{nat} \longrightarrow (\exists x \in X. \langle Y, x \rangle \in R)$ "

**defines** *XX\_def*: " $XX \equiv (\bigcup n \in \text{nat}. \{f \in n \rightarrow X. \forall k \in n. \langle f' k, f' k \rangle \in R\})$ "

**and** *RR\_def*: " $RR \equiv \{\langle z1, z2 \rangle : XX * XX. \text{domain}(z2) = \text{succ}(\text{domain}(z1))$   
 $\wedge \text{restrict}(z2, \text{domain}(z1)) = z1\}$ "

**begin**

**lemma lemma1\_1:** "RR  $\subseteq$  XX\*XX"  
 <proof>

**lemma lemma1\_2:** "RR  $\neq$  0"  
 <proof>

**lemma lemma1\_3:** "range(RR)  $\subseteq$  domain(RR)"  
 <proof>

**lemma lemma2:**  
 "[ $\forall n \in \text{nat}. \langle f'n, f'succ(n) \rangle \in \text{RR}; f \in \text{nat} \rightarrow \text{XX}; n \in \text{nat}$ ]  
 $\implies \exists k \in \text{nat}. f'succ(n) \in k \rightarrow X \wedge n \in k$   
 $\wedge \langle f'succ(n)'n, f'succ(n)'n \rangle \in R$ "  
 <proof>

**lemma lemma3\_1:**  
 "[ $\forall n \in \text{nat}. \langle f'n, f'succ(n) \rangle \in \text{RR}; f \in \text{nat} \rightarrow \text{XX}; m \in \text{nat}$ ]  
 $\implies \{f'succ(x)'x. x \in m\} = \{f'succ(m)'x. x \in m\}$ "  
 <proof>

**lemma lemma3:**  
 "[ $\forall n \in \text{nat}. \langle f'n, f'succ(n) \rangle \in \text{RR}; f \in \text{nat} \rightarrow \text{XX}; m \in \text{nat}$ ]  
 $\implies (\lambda x \in \text{nat}. f'succ(x)'x) \text{ `` } m = f'succ(m)'m$ "  
 <proof>

end

**theorem** *DC0\_imp\_DC\_nat*: " $DC0 \implies DC(nat)$ "  
 <proof>

**lemma** *singleton\_in\_funs*:  
 " $x \in X \implies \{ \langle 0, x \rangle \} \in$   
 $(\bigcup n \in nat. \{ f \in succ(n) \rightarrow X. \forall k \in n. \langle f'k, f'succ(k) \rangle \in$   
 $R \})$ "  
 <proof>

**locale** *imp\_DC0* =  
 fixes *XX* and *RR* and *x* and *R* and *f* and *allRR*  
 defines *XX\_def*: " $XX \equiv (\bigcup n \in nat.$   
 $\{ f \in succ(n) \rightarrow domain(R). \forall k \in n. \langle f'k, f'succ(k) \rangle$   
 $\in R \})$ "  
 and *RR\_def*:  
 $RR \equiv \{ \langle z1, z2 \rangle : Fin(XX) * XX.$   
 $(domain(z2) = succ(\bigcup f \in z1. domain(f))$   
 $\wedge (\forall f \in z1. restrict(z2, domain(f)) = f))$   
 $\mid (\neg (\exists g \in XX. domain(g) = succ(\bigcup f \in z1. domain(f))$   
 $\wedge (\forall f \in z1. restrict(g, domain(f)) = f)) \wedge z2 = \{ \langle 0, x \rangle \} \}$ "  
 and *allRR\_def*:  
 $allRR \equiv \forall b < nat.$   
 $\langle f' 'b, f' 'b \rangle \in$   
 $\{ \langle z1, z2 \rangle \in Fin(XX) * XX. (domain(z2) = succ(\bigcup f \in z1. domain(f))$   
 $\wedge (\bigcup f \in z1. domain(f)) = b$   
 $\wedge (\forall f \in z1. restrict(z2, domain(f))$   
 $= f)) \}$ "  
**begin**

**lemma** *lemma4*:  
 $\llbracket range(R) \subseteq domain(R); x \in domain(R) \rrbracket$   
 $\implies RR \subseteq Pow(XX) * XX \wedge$   
 $(\forall Y \in Pow(XX). Y \prec nat \longrightarrow (\exists x \in XX. \langle Y, x \rangle : RR))$ "  
 <proof>

**lemma** *UN\_image\_succ\_eq*:  
 $\llbracket f \in nat \rightarrow X; n \in nat \rrbracket$   
 $\implies (\bigcup x \in f' 'succ(n). P(x)) = P(f'n) \cup (\bigcup x \in f' 'n. P(x))$ "  
 <proof>

**lemma** *UN\_image\_succ\_eq\_succ*:

" $\llbracket (\bigcup x \in f' 'n. P(x)) = y; P(f'n) = \text{succ}(y);$   
 $f \in \text{nat} \rightarrow X; n \in \text{nat} \rrbracket \implies (\bigcup x \in f' ' \text{succ}(n). P(x)) = \text{succ}(y)$ "  
 $\langle \text{proof} \rangle$

**lemma** *apply\_domain\_type*:  
" $\llbracket h \in \text{succ}(n) \rightarrow D; n \in \text{nat}; \text{domain}(h) = \text{succ}(y) \rrbracket \implies h'y \in D$ "  
 $\langle \text{proof} \rangle$

**lemma** *image\_fun\_succ*:  
" $\llbracket h \in \text{nat} \rightarrow X; n \in \text{nat} \rrbracket \implies h' ' \text{succ}(n) = \text{cons}(h'n, h' 'n)$ "  
 $\langle \text{proof} \rangle$

**lemma** *f\_n\_type*:  
" $\llbracket \text{domain}(f'n) = \text{succ}(k); f \in \text{nat} \rightarrow XX; n \in \text{nat} \rrbracket$   
 $\implies f'n \in \text{succ}(k) \rightarrow \text{domain}(R)$ "  
 $\langle \text{proof} \rangle$

**lemma** *f\_n\_pairs\_in\_R* [rule\_format]:  
" $\llbracket h \in \text{nat} \rightarrow XX; \text{domain}(h'n) = \text{succ}(k); n \in \text{nat} \rrbracket$   
 $\implies \forall i \in k. \langle h'n'i, h'n' \text{succ}(i) \rangle \in R$ "  
 $\langle \text{proof} \rangle$

**lemma** *restrict\_cons\_eq\_restrict*:  
" $\llbracket \text{restrict}(h, \text{domain}(u)) = u; h \in n \rightarrow X; \text{domain}(u) \subseteq n \rrbracket$   
 $\implies \text{restrict}(\text{cons}(\langle n, y \rangle, h), \text{domain}(u)) = u$ "  
 $\langle \text{proof} \rangle$

**lemma** *all\_in\_image\_restrict\_eq*:  
" $\llbracket \forall x \in f' 'n. \text{restrict}(f'n, \text{domain}(x)) = x;$   
 $f \in \text{nat} \rightarrow XX;$   
 $n \in \text{nat}; \text{domain}(f'n) = \text{succ}(n);$   
 $(\bigcup x \in f' 'n. \text{domain}(x)) \subseteq n \rrbracket$   
 $\implies \forall x \in f' ' \text{succ}(n). \text{restrict}(\text{cons}(\langle \text{succ}(n), y \rangle, f'n), \text{domain}(x))$   
 $= x$ "  
 $\langle \text{proof} \rangle$

**lemma** *simplify\_recursion*:  
" $\llbracket \forall b < \text{nat}. \langle f' 'b, f'b \rangle \in RR;$   
 $f \in \text{nat} \rightarrow XX; \text{range}(R) \subseteq \text{domain}(R); x \in \text{domain}(R) \rrbracket$   
 $\implies \text{all}RR$ "  
 $\langle \text{proof} \rangle$

**lemma** *lemma2*:  
" $\llbracket \text{all}RR; f \in \text{nat} \rightarrow XX; \text{range}(R) \subseteq \text{domain}(R); x \in \text{domain}(R); n \in$   
 $\text{nat} \rrbracket$   
 $\implies f'n \in \text{succ}(n) \rightarrow \text{domain}(R) \wedge (\forall i \in n. \langle f'n'i, f'n' \text{succ}(i) \rangle \in R)$ "  
 $\langle \text{proof} \rangle$

```

lemma lemma3:
  "⟦allRR; f ∈ nat->XX; n∈nat; range(R) ⊆ domain(R); x ∈ domain(R)⟧
    ⇒ f'n'n = f'succ(n)'n"
  ⟨proof⟩

```

**end**

```

theorem DC_nat_imp_DC0: "DC(nat) ⇒ DC0"
  ⟨proof⟩

```

```

lemma fun_Ord_inj:
  "⟦f ∈ a->X; Ord(a);
    ∧ b c. ⟦b<c; c ∈ a⟧ ⇒ f'b≠f'c⟧
    ⇒ f ∈ inj(a, X)"
  ⟨proof⟩

```

```

lemma value_in_image: "⟦f ∈ X->Y; A ⊆ X; a ∈ A⟧ ⇒ f'a ∈ f' 'A"
  ⟨proof⟩

```

```

lemma lesspoll_lemma: "⟦¬ A < B; C < B⟧ ⇒ A - C ≠ 0"
  ⟨proof⟩

```

```

theorem DC_W03: "(∀ K. Card(K) → DC(K)) ⇒ W03"
  ⟨proof⟩

```

```

lemma images_eq:
  "⟦∀ x ∈ A. f'x=g'x; f ∈ Df->Cf; g ∈ Dg->Cg; A ⊆ Df; A ⊆ Dg⟧
    ⇒ f' 'A = g' 'A"
  ⟨proof⟩

```

```

lemma lam_images_eq:
  "⟦Ord(a); b ∈ a⟧ ⇒ (λx ∈ a. h(x))' 'b = (λx ∈ b. h(x))' 'b"
  ⟨proof⟩

```

```

lemma lam_type_RepFun: "(λb ∈ a. h(b)) ∈ a -> {h(b). b ∈ a}"
  ⟨proof⟩

```

```

lemma lemmaX:
  "⟦∀ Y ∈ Pow(X). Y < K → (∃ x ∈ X. ⟨Y, x⟩ ∈ R);
    b ∈ K; Z ∈ Pow(X); Z < K⟧

```

$\implies \{x \in X. \langle Z, x \rangle \in R\} \neq 0$   
 $\langle proof \rangle$

**lemma** *W01\_DC\_lemma*:

" $\llbracket \text{Card}(K); \text{well\_ord}(X, Q);$   
 $\forall Y \in \text{Pow}(X). Y \prec K \longrightarrow (\exists x \in X. \langle Y, x \rangle \in R); b \in K \rrbracket$   
 $\implies \text{ff}(b, X, Q, R) \in \{x \in X. <(\lambda c \in b. \text{ff}(c, X, Q, R))\}^{\langle b, x \rangle \in R}$ "  
 $\langle proof \rangle$

**theorem** *W01\_DC\_Card*: " $W01 \implies \forall K. \text{Card}(K) \longrightarrow DC(K)$ "  
 $\langle proof \rangle$

**end**

## References

- [1] Lawrence C. Paulson and Krzysztof Gŗabczewski. Mechanizing set theory: Cardinal arithmetic and the axiom of choice. *Journal of Automated Reasoning*, 17(3):291–323, December 1996.
- [2] Herman Rubin and Jean E. Rubin. *Equivalents of the Axiom of Choice, II*. North-Holland, 1985.