

# Furstenberg's proof of the infinitude of primes

Naproche formalization:

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This is a formalization of Furstenberg's topological proof of the infinitude of primes [Furstenberg1955]. On mid-range hardware Naproche needs approximately 5 Minutes to verify this formalization plus approximately 40 minutes to verify the library files it depends on.

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[readtex libraries/source/arithmetics/primes.ftl.tex]
[readtex libraries/source/arithmetics/nat-is-a-set.ftl.tex]
[readtex libraries/source/set-theory/zf.ftl.tex]
[readtex libraries/source/foundations/closure-under-finite-unions.ftl.tex]
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The central idea of Furstenberg's proof is to define a certain topology on  $\mathbb{N}$  from the properties of which we can deduce that the set of primes is infinite.<sup>1</sup>

Let  $n, m, k$  denote natural numbers. Let  $p, q$  denote nonzero natural numbers.

**Definition 1.** Let  $A$  be a subset of  $\mathbb{N}$ .  $A^c = \mathbb{N} \setminus A$ .

Let the complement of  $A$  stand for  $A^c$ .

**Lemma 2.** The complement of any subset of  $\mathbb{N}$  is a subset of  $\mathbb{N}$ .

Towards a suitable topology on  $\mathbb{N}$  let us define *arithmetic sequences*  $N_{n,q}$  on  $\mathbb{N}$ .

**Definition 3.**  $N_{n,q} = \{m \in \mathbb{N} \mid m \equiv n \pmod{q}\}$ .

This allows us to define the *evenly spaced natural number topology* on  $\mathbb{N}$ , whose open sets are defined as follows.

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<sup>1</sup>Actually, Furstenberg's proof makes use of a topology on  $\mathbb{Z}$ . But this topology can as well be restricted to  $\mathbb{N}$  without substantially changing the proof.

**Definition 4.** Let  $U$  be a subset of  $\mathbb{N}$ .  $U$  is open iff for any  $n \in U$  there exists a  $q$  such that  $N_{n,q} \subseteq U$ .

**Definition 5.** A system of open sets is a system of sets  $S$  such that every element of  $S$  is an open subset of  $\mathbb{N}$ .

**Lemma 6.** Every system of open sets is a set.

*Proof.* Let  $S$  be a system of open sets. Then  $S \subseteq \mathcal{P}(\mathbb{N})$ . Hence  $S$  is a set.  $\square$

We can show that the open sets indeed form a topology on  $\mathbb{N}$ .

**Lemma 7.**  $\mathbb{N}$  and  $\emptyset$  are open.

**Lemma 8.** Let  $U, V$  be open subsets of  $\mathbb{N}$ . Then  $U \cap V$  is open.

*Proof.* Let  $n \in U \cap V$ . Take a  $q$  such that  $N_{n,q} \subseteq U$ . Take a  $p$  such that  $N_{n,p} \subseteq V$ . Then  $p \cdot q \neq 0$ .

Let us show that  $N_{n,p \cdot q} \subseteq U \cap V$ . Let  $m \in N_{n,p \cdot q}$ . We have  $m \equiv n \pmod{p \cdot q}$ . Hence  $m \equiv n \pmod{p}$  and  $m \equiv n \pmod{q}$ . Thus  $m \in N_{n,p}$  and  $m \in N_{n,q}$ . Therefore  $m \in U$  and  $m \in V$ . Consequently  $m \in U \cap V$ . End.  $\square$

**Lemma 9.** Let  $S$  be a system of open sets. Then  $\bigcup S$  is open.

*Proof.* Let  $n \in \bigcup S$ . Take a set  $M$  such that  $n \in M \in S$ . Consider a  $q$  such that  $N_{n,q} \subseteq M$ . Then  $N_{n,q} \subseteq \bigcup S$ .  $\square$

Now that we have a topology of open sets on  $\mathbb{N}$ , we can continue with a characterization of closed sets whose key property is that they are closed under finite unions.

**Definition 10.** Let  $A$  be a subset of  $\mathbb{N}$ .  $A$  is closed iff  $A^c$  is open.

**Definition 11.** A system of closed sets is a system of sets  $S$  such that every element of  $S$  is a closed subset of  $\mathbb{N}$ .

**Lemma 12.** Every system of closed sets is a set.

*Proof.* Let  $S$  be a system of closed sets. Then  $S \subseteq \mathcal{P}(\mathbb{N})$ .  $\mathcal{P}(\mathbb{N})$  is a set. Hence  $S$  is a set.  $\square$

**Lemma 13.** Let  $S$  be a finite system of closed sets. Then  $\bigcup S$  is closed.

*Proof.* Define  $C = \{X \mid X \text{ is a closed subset of } \mathbb{N}\}$ .

Let us show that  $A \cup B \in C$  for any  $A, B \in C$ . Let  $A, B \in C$ . Then  $A, B$  are closed subsets of  $\mathbb{N}$ . We have  $((A \cup B)^c) = A^c \cap B^c$ .  $A^c$  and  $B^c$  are open. Hence  $A^c \cap B^c$  is open. Thus  $A \cup B$  is a closed subset of  $\mathbb{N}$ . End.

Therefore  $C$  is closed under finite unions. Consequently  $\bigcup S \in C$ . Indeed  $S$  is a subset of  $C$ .  $\square$

An important step towards Furstenberg's proof is to show that arithmetic sequences are closed.

**Lemma 14.**  $N_{n,q}$  is closed.

*Proof.* Let  $m \in (N_{n,q})^c$ .

Let us show that  $N_{m,q} \subseteq (N_{n,q})^c$ . Let  $k \in N_{m,q}$ . Assume  $k \notin (N_{n,q})^c$ . Then  $k \equiv m \pmod{q}$  and  $n \equiv k \pmod{q}$ . Hence  $m \equiv n \pmod{q}$ . Therefore  $m \in N_{n,q}$ . Contradiction. End.  $\square$

Identifying each prime number  $p$  with the arithmetic sequence  $N_{0,p}$  yields a bijection between the set  $\mathbb{P}$  of all prime numbers and the set  $P$  of all such sequences  $N_{0,p}$ . Thus to show that there are infinitely many primes it suffices to show that  $P$  is infinite.

**Definition 15.**  $P = \{N_{0,p} \mid p \in \mathbb{P}\}$ .

**Lemma 16.**  $P$  is a system of closed sets.

*Proof.*  $N_{0,p}$  is a closed subset of  $\mathbb{N}$  for every  $p \in \mathbb{P}$ .  $\square$

**Lemma 17.**  $P$  is a set that is equinumerous to  $\mathbb{P}$ .

*Proof.* (1)  $P$  is a set. Indeed  $P \subseteq \mathcal{P}(\mathbb{N})$ .

(2)  $P$  is equinumerous to  $\mathbb{P}$ .

*Proof.* Define  $f(p) = N_{0,p}$  for  $p \in \mathbb{P}$ .

Let us show that  $f$  is injective. Let  $p, q \in \mathbb{P}$ . Assume  $f(p) = f(q)$ . Then  $N_{0,p} = N_{0,q}$ . We have  $N_{0,p} = \{m \in \mathbb{N} \mid m \equiv 0 \pmod{p}\}$  and  $N_{0,q} = \{m \in \mathbb{N} \mid m \equiv 0 \pmod{q}\}$ .

We can show that for all  $m \in \mathbb{N}$  we have  $p \mid m$  iff  $q \mid m$ . Let  $m \in \mathbb{N}$ . Then  $m \equiv 0 \pmod{p}$  iff  $m \equiv 0 \pmod{q}$ . Thus  $m \bmod p = 0 \bmod p$  iff  $m \bmod q = 0 \bmod q$ . We have  $0 \bmod p = 0 = 0 \bmod q$ . Hence  $m \bmod p = 0$  iff  $m \bmod q = 0$ . Therefore  $p \mid m$  iff  $q \mid m$ . End.

Consequently  $p = q$ . End.

$f$  is surjective onto  $P$ . Thus  $f$  is a bijection between  $\mathbb{P}$  and  $P$ . Qed.  $\square$

**Theorem 18 (Furstenberg).**  $\mathbb{P}$  is infinite.

*Proof.*  $\bigcup P$  is a subset of  $\mathbb{N}$ .

Let us show that for any  $n \in \mathbb{N}$  we have  $n \in \bigcup P$  iff  $n$  has a prime divisor. Let  $n \in \mathbb{N}$ .

If  $n$  has a prime divisor then  $n$  belongs to  $\bigcup P$ .

*Proof.* Assume  $n$  has a prime divisor. Take a prime divisor  $p$  of  $n$ . We have  $N_{0,p} \in P$ . Hence  $n \in N_{0,p}$ . Qed.

If  $n$  belongs to  $\bigcup P$  then  $n$  has a prime divisor.

*Proof.* Assume that  $n$  belongs to  $\bigcup P$ . Take a prime number  $r$  such that

$n \in N_{0,r}$ . Hence  $n \equiv 0 \pmod{r}$ . Thus  $n \bmod r = 0 \bmod r = 0$ . Therefore  $r$  is a prime divisor of  $n$ . Qed. End.

Hence For all  $n \in \mathbb{N}$  we have  $n \in (\bigcup P)^{\mathbb{C}}$  iff  $n$  has no prime divisor. 1 has no prime divisor and any natural number having no prime divisor is equal to 1. Therefore  $(\bigcup P)^{\mathbb{C}} = \{1\}$ . Indeed  $((\bigcup P)^{\mathbb{C}}) \subseteq \{1\}$  and  $\{1\} \subseteq (\bigcup P)^{\mathbb{C}}$ .

$P$  is infinite.

Proof by contradiction. Assume that  $P$  is finite. Then  $\bigcup P$  is closed and  $(\bigcup P)^{\mathbb{C}}$  is open. Take a  $p$  such that  $N_{1,p} \subseteq (\bigcup P)^{\mathbb{C}}$ .  $1 + p$  is an element of  $N_{1,p}$ . Indeed  $1 + p \equiv 1 \pmod{p}$  (by ARITHMETIC\_08\_5984712287846400).  $1 + p$  is not equal to 1. Hence  $1 + p \notin (\bigcup P)^{\mathbb{C}}$ . Contradiction. Qed.  $\square$