

# Ordinals and cardinals in HOL

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### Abstract

We develop a basic theory of ordinals and cardinals in Isabelle/HOL, up to the point where some cardinality facts relevant for the “working mathematician” become available. Unlike in set theory, here we do not have at hand canonical notions of ordinal and cardinal. Therefore, here an ordinal is merely a well-order relation and a cardinal is an ordinal minim w.r.t. order embedding on its field.

## 1 Introduction

In set theory (under formalizations such as Zermelo-Fraenkel or Von Neumann-Bernays-Gödel), an *ordinal* is a special kind of well-order, namely one whose strict version is the restriction of the membership relation to a set. In particular, the field of a set-theoretic ordinal is a transitive set, and the non-strict version of an ordinal relation is set inclusion. Set-theoretic ordinals enjoy the nice properties of membership on transitive sets, while at the same time forming a complete class of representatives for well-orders (since any well-order turns out isomorphic to an ordinal). Moreover, the class of ordinals is itself transitive and well-ordered by membership as the strict relation and inclusion as the non-strict relation. Also knowing that any set can be well-ordered (in the presence of the axiom of choice), one then defines the *cardinal* of a set to be the smallest ordinal isomorphic to a well-order on that set. This makes the class of cardinals a complete set of representatives for the intuitive notion of set cardinality.<sup>1</sup> The ability to produce *canonical well-orders* from the membership relation (having the aforementioned convenient properties) allows for a harmonious development of the theory of cardinals in set-theoretic settings. Non-trivial cardinality results, such as  $A$  being equipollent to  $A \times A$  for any infinite  $A$ , follow rather quickly within this theory.

However, a canonical notion of well-order is *not* available in HOL. Here, one has to do with well-order “as is”, but otherwise has all the necessary infrastructure (including Hilbert choice) to “climb” well-orders recursively and to well-order arbitrary sets.

The current work, formalized in Isabelle/HOL, develops the basic theory of ordinals and cardinals up to the point where there are inferred a collection of non-trivial cardinality facts useful for the “working mathematician”, among which:

---

<sup>1</sup>The “intuitive” cardinality of a set  $A$  is the class of all sets equipollent to  $A$ , i.e., being in bijection with  $A$ .

- Given any two sets (on any two given types)<sup>2</sup>, one is injectable in the other.
- If at least one of two sets is infinite, then their sum and their Cartesian product are equipollent to the larger of the two.
- The set of lists (and also the set of finite sets) with element from an infinite set is equipollent with that set.

Our development emulates the standard one from set-theory, but keeps everything *up to order isomorphism*. An (HOL) ordinal is merely a well-order. An *ordinal embedding* is an injective and order-compatible function which maps its source into an initial segment (i.e., order filter) of its target. Now, a *cardinal* (called in this work a *cardinal order*) is an ordinal minim w.r.t. the existence of embeddings among all well-orders on its field. After showing the existence of cardinals on any given set, we define the cardinal of a set  $A$ , denoted  $|A|$ , to be *some* cardinal order on  $A$ . This concept is unique only up to order isomorphism (denoted  $=o$ ), but meets its purpose: any two sets  $A$  and  $B$  (laying at potentially distinct types) are in bijection if and only if  $|A| =o |B|$ . Moreover, we also show that numeric cardinals assigned to finite sets<sup>3</sup> are *conservatively extended* by our general (order-theoretic) notion of cardinal. We study the interaction of cardinals with standard set-theoretic constructions such as powersets, products, sums and lists. These constructions are shown to preserve the “cardinal identity”  $=o$  and also to be monotonic w.r.t.  $\leq o$ , the ordinal embedding relation. By studying the interaction between these constructions, infinite sets and cardinals, we obtain the aforementioned results for “working mathematicians”.

For this development, we did not follow closely any particular textbook, and in fact are not aware of such basic theory of cardinals previously developed in HOL.<sup>4</sup> On the other hand, the set-theoretic versions of the facts proved here are folklore in set theory, and can be found, e.g., in the textbook [1]. Beyond taking care of some locality aspects concerning the spreading of our concepts throughout types, we have not departed much from the techniques used in set theory for establishing these facts – for instance, in the proof of one of our major theorems, *Card-order-Times-same-infinite* from Section 8.4,<sup>5</sup> we have essentially applied the technique described, e.g., in the proof of theorem 1.5.11 from [1], page 47.

Here is the structure of the rest of this document.

---

<sup>2</sup>Recall that, in HOL, a set on a type  $\alpha$  is modeled, just like a predicate, as a function from  $\alpha$  to `bool`.

<sup>3</sup>Numeric cardinals of finite sets are already formalized in Isabelle/HOL.

<sup>4</sup>After writing this formalization, we became aware of Paul Taylor’s membership-free development of the theory of ordinals [2].

<sup>5</sup>This theorem states that, for any infinite cardinal  $r$  on a set  $A$ ,  $|A \times A|$  is not larger than  $r$ .

The next three sections, 2-4, develop some mathematical prerequisites. In Section 2, a large collection of simple facts about injections, bijections, inverses, (in)finite sets and numeric cardinals are proved, making life easier for later, when proving less trivial facts. Section 3 introduces upper and lower bounds operators for order-like relations and studies their basic properties. Section 4 states some useful variations of well-founded recursion and induction principles.

Then come the major sections, 5-8. Section 5 defines and studies, in the context of a well-order relation, the notions of minimum (of a set), maximum (of two elements), supremum, successor (of a set), and order filter (i.e., initial segment, i.e., downward-closed set). Section 6 defines and studies (well-order) embeddings, strict embeddings, isomorphisms, and compatible functions. Section 7 deals with various constructions on well-orders, and with the relations  $\leq_o$ ,  $<_o$  and  $=_o$  of well-order embedding, strict embedding, and isomorphism, respectively. Section 8 defines and studies cardinal order relations, the cardinal of a set, the connection of cardinals with set-theoretic constructs, the canonical cardinal of natural numbers and finite cardinals, the successor of a cardinal, as well as regular cardinals. (The latter play a crucial role in the development of a new (co)datatype package in HOL.)

Finally, section 9 provides an abstraction of the previous developments on cardinals, to provide a simpler user interface to cardinals, which in most of the cases allows to forget that cardinals are represented by orders and use them through defined arithmetic operators.

More informal details are provided at the beginning of each section, and also at the beginning of some of the subsections.

## References

- [1] M. Holz, K. Steffens, and E. Weitz. *Introduction to Cardinal Arithmetic*. Birkhäuser, 1999.
- [2] Paul Taylor. Intuitionistic sets and ordinals. *J. Symb. Log.*, 61(3):705–744, 1996.

## 2 More on Injections, Bijections and Inverses

```
theory Fun-More
  imports Main
begin
```

### 2.1 Purely functional properties

```
lemma bij-betw-diff-singl:
  assumes BIJ: bij-betw f A A' and IN: a ∈ A
```

**shows**  $\text{bij-betw } f (A - \{a\}) (A' - \{f a\})$   
*<proof>*

## 2.2 Properties involving finite and infinite sets

**lemma** *bij-betw-inv-into-RIGHT*:  
**assumes** *BIJ*:  $\text{bij-betw } f A A'$  **and** *SUB*:  $B' \leq A'$   
**shows**  $f \text{ ` } ((\text{inv-into } A f) \text{ ` } B') = B'$   
*<proof>*

**lemma** *bij-betw-inv-into-RIGHT-LEFT*:  
**assumes** *BIJ*:  $\text{bij-betw } f A A'$  **and** *SUB*:  $B' \leq A'$  **and**  
*IM*:  $(\text{inv-into } A f) \text{ ` } B' = B$   
**shows**  $f \text{ ` } B = B'$   
*<proof>*

**lemma** *bij-betw-inv-into-twice*:  
**assumes**  $\text{bij-betw } f A A'$   
**shows**  $\forall a \in A. \text{inv-into } A' (\text{inv-into } A f) a = f a$   
*<proof>*

## 2.3 Properties involving Hilbert choice

**lemma** *bij-betw-inv-into-LEFT*:  
**assumes** *BIJ*:  $\text{bij-betw } f A A'$  **and** *SUB*:  $B \leq A$   
**shows**  $(\text{inv-into } A f) \text{ ` } (f \text{ ` } B) = B$   
*<proof>*

**lemma** *bij-betw-inv-into-LEFT-RIGHT*:  
**assumes** *BIJ*:  $\text{bij-betw } f A A'$  **and** *SUB*:  $B \leq A$  **and**  
*IM*:  $f \text{ ` } B = B'$   
**shows**  $(\text{inv-into } A f) \text{ ` } B' = B$   
*<proof>*

## 2.4 Other facts

**lemma** *atLeastLessThan-injective*:  
**assumes**  $\{0 \dots m::\text{nat}\} = \{0 \dots n\}$   
**shows**  $m = n$   
*<proof>*

**lemma** *atLeastLessThan-injective2*:  
 $\text{bij-betw } f \{0 \dots m::\text{nat}\} \{0 \dots n\} \implies m = n$   
*<proof>*

**lemma** *atLeastLessThan-less-eq*:  
 $(\{0 \dots m\} \leq \{0 \dots n\}) = ((m::\text{nat}) \leq n)$

*<proof>*

**lemma** *atLeastLessThan-less-eq2*:

**assumes** *inj-on*  $f \{0..<(m::nat)\} f' \{0..<m\} \leq \{0..<n\}$

**shows**  $m \leq n$

*<proof>*

**lemma** *atLeastLessThan-less*:

$(\{0..<m\} < \{0..<n\}) = ((m::nat) < n)$

*<proof>*

**end**

### 3 Basics on Order-Like Relations

**theory** *Order-Relation-More*

**imports** *Main*

**begin**

#### 3.1 The upper and lower bounds operators

**lemma** *aboveS-subset-above*:  $aboveS \ r \ a \leq above \ r \ a$

*<proof>*

**lemma** *AboveS-subset-Above*:  $AboveS \ r \ A \leq Above \ r \ A$

*<proof>*

**lemma** *UnderS-disjoint*:  $A \ Int \ (UnderS \ r \ A) = \{\}$

*<proof>*

**lemma** *aboveS-notIn*:  $a \notin aboveS \ r \ a$

*<proof>*

**lemma** *Refl-above-in*:  $\llbracket Refl \ r; a \in Field \ r \rrbracket \implies a \in above \ r \ a$

*<proof>*

**lemma** *in-Above-under*:  $a \in Field \ r \implies a \in Above \ r \ (under \ r \ a)$

*<proof>*

**lemma** *in-Under-above*:  $a \in Field \ r \implies a \in Under \ r \ (above \ r \ a)$

*<proof>*

**lemma** *in-UnderS-aboveS*:  $a \in Field \ r \implies a \in UnderS \ r \ (aboveS \ r \ a)$

*<proof>*

**lemma** *UnderS-subset-Under*:  $UnderS \ r \ A \leq Under \ r \ A$

*<proof>*

**lemma** *subset-Above-Under*:  $B \leq \text{Field } r \implies B \leq \text{Above } r (\text{Under } r B)$   
*<proof>*

**lemma** *subset-Under-Above*:  $B \leq \text{Field } r \implies B \leq \text{Under } r (\text{Above } r B)$   
*<proof>*

**lemma** *subset-AboveS-UnderS*:  $B \leq \text{Field } r \implies B \leq \text{AboveS } r (\text{UnderS } r B)$   
*<proof>*

**lemma** *subset-UnderS-AboveS*:  $B \leq \text{Field } r \implies B \leq \text{UnderS } r (\text{AboveS } r B)$   
*<proof>*

**lemma** *Under-Above-Galois*:  
 $\llbracket B \leq \text{Field } r; C \leq \text{Field } r \rrbracket \implies (B \leq \text{Above } r C) = (C \leq \text{Under } r B)$   
*<proof>*

**lemma** *UnderS-AboveS-Galois*:  
 $\llbracket B \leq \text{Field } r; C \leq \text{Field } r \rrbracket \implies (B \leq \text{AboveS } r C) = (C \leq \text{UnderS } r B)$   
*<proof>*

**lemma** *Refl-above-aboveS*:  
**assumes** *REFL*: *Refl*  $r$  **and** *IN*:  $a \in \text{Field } r$   
**shows**  $\text{above } r a = \text{aboveS } r a \cup \{a\}$   
*<proof>*

**lemma** *Linear-order-under-aboveS-Field*:  
**assumes** *LIN*: *Linear-order*  $r$  **and** *IN*:  $a \in \text{Field } r$   
**shows**  $\text{Field } r = \text{under } r a \cup \text{aboveS } r a$   
*<proof>*

**lemma** *Linear-order-underS-above-Field*:  
**assumes** *LIN*: *Linear-order*  $r$  **and** *IN*:  $a \in \text{Field } r$   
**shows**  $\text{Field } r = \text{underS } r a \cup \text{above } r a$   
*<proof>*

**lemma** *under-empty*:  $a \notin \text{Field } r \implies \text{under } r a = \{\}$   
*<proof>*

**lemma** *Under-Field*:  $\text{Under } r A \leq \text{Field } r$   
*<proof>*

**lemma** *UnderS-Field*:  $\text{UnderS } r A \leq \text{Field } r$   
*<proof>*

**lemma** *above-Field*:  $\text{above } r a \leq \text{Field } r$   
*<proof>*

**lemma** *aboveS-Field*:  $\text{aboveS } r a \leq \text{Field } r$   
*<proof>*



**lemma** *Above-Field*:  $Above\ r\ A \leq Field\ r$   
*<proof>*

**lemma** *Refl-under-Under*:  
assumes *REFL*: *Refl*  $r$  and *NE*:  $A \neq \{\}$   
shows  $Under\ r\ A = (\bigcap a \in A. under\ r\ a)$   
*<proof>*

**lemma** *Refl-underS-UnderS*:  
assumes *REFL*: *Refl*  $r$  and *NE*:  $A \neq \{\}$   
shows  $UnderS\ r\ A = (\bigcap a \in A. underS\ r\ a)$   
*<proof>*

**lemma** *Refl-above-Above*:  
assumes *REFL*: *Refl*  $r$  and *NE*:  $A \neq \{\}$   
shows  $Above\ r\ A = (\bigcap a \in A. above\ r\ a)$   
*<proof>*

**lemma** *Refl-aboveS-AboveS*:  
assumes *REFL*: *Refl*  $r$  and *NE*:  $A \neq \{\}$   
shows  $AboveS\ r\ A = (\bigcap a \in A. aboveS\ r\ a)$   
*<proof>*

**lemma** *under-Under-singl*:  $under\ r\ a = Under\ r\ \{a\}$   
*<proof>*

**lemma** *underS-UnderS-singl*:  $underS\ r\ a = UnderS\ r\ \{a\}$   
*<proof>*

**lemma** *above-Above-singl*:  $above\ r\ a = Above\ r\ \{a\}$   
*<proof>*

**lemma** *aboveS-AboveS-singl*:  $aboveS\ r\ a = AboveS\ r\ \{a\}$   
*<proof>*

**lemma** *Under-decr*:  $A \leq B \implies Under\ r\ B \leq Under\ r\ A$   
*<proof>*

**lemma** *UnderS-decr*:  $A \leq B \implies UnderS\ r\ B \leq UnderS\ r\ A$   
*<proof>*

**lemma** *Above-decr*:  $A \leq B \implies Above\ r\ B \leq Above\ r\ A$   
*<proof>*

**lemma** *AboveS-decr*:  $A \leq B \implies AboveS\ r\ B \leq AboveS\ r\ A$   
*<proof>*

**lemma** *under-incl-iff*:

**assumes** *TRANS*: *trans r* **and** *REFL*: *Refl r* **and** *IN*:  $a \in \text{Field } r$   
**shows**  $(\text{under } r \ a \leq \text{under } r \ b) = ((a,b) \in r)$   
(*proof*)

**lemma** *above-decr*:  
**assumes** *TRANS*: *trans r* **and** *REL*:  $(a,b) \in r$   
**shows**  $\text{above } r \ b \leq \text{above } r \ a$   
(*proof*)

**lemma** *aboveS-decr*:  
**assumes** *TRANS*: *trans r* **and** *ANTISYM*: *antisym r* **and**  
*REL*:  $(a,b) \in r$   
**shows**  $\text{aboveS } r \ b \leq \text{aboveS } r \ a$   
(*proof*)

**lemma** *under-trans*:  
**assumes** *TRANS*: *trans r* **and**  
*IN1*:  $a \in \text{under } r \ b$  **and** *IN2*:  $b \in \text{under } r \ c$   
**shows**  $a \in \text{under } r \ c$   
(*proof*)

**lemma** *under-underS-trans*:  
**assumes** *TRANS*: *trans r* **and** *ANTISYM*: *antisym r* **and**  
*IN1*:  $a \in \text{under } r \ b$  **and** *IN2*:  $b \in \text{underS } r \ c$   
**shows**  $a \in \text{underS } r \ c$   
(*proof*)

**lemma** *underS-under-trans*:  
**assumes** *TRANS*: *trans r* **and** *ANTISYM*: *antisym r* **and**  
*IN1*:  $a \in \text{underS } r \ b$  **and** *IN2*:  $b \in \text{under } r \ c$   
**shows**  $a \in \text{underS } r \ c$   
(*proof*)

**lemma** *underS-underS-trans*:  
**assumes** *TRANS*: *trans r* **and** *ANTISYM*: *antisym r* **and**  
*IN1*:  $a \in \text{underS } r \ b$  **and** *IN2*:  $b \in \text{underS } r \ c$   
**shows**  $a \in \text{underS } r \ c$   
(*proof*)

**lemma** *above-trans*:  
**assumes** *TRANS*: *trans r* **and**  
*IN1*:  $b \in \text{above } r \ a$  **and** *IN2*:  $c \in \text{above } r \ b$   
**shows**  $c \in \text{above } r \ a$   
(*proof*)

**lemma** *above-aboveS-trans*:  
**assumes** *TRANS*: *trans r* **and** *ANTISYM*: *antisym r* **and**  
*IN1*:  $b \in \text{above } r \ a$  **and** *IN2*:  $c \in \text{aboveS } r \ b$   
**shows**  $c \in \text{aboveS } r \ a$

*<proof>*

**lemma** *aboveS-above-trans:*

**assumes** *TRANS: trans r and ANTISYM: antisym r and*  
*IN1:  $b \in \text{aboveS } r \ a$  and IN2:  $c \in \text{above } r \ b$*

**shows**  *$c \in \text{aboveS } r \ a$*

*<proof>*

**lemma** *aboveS-aboveS-trans:*

**assumes** *TRANS: trans r and ANTISYM: antisym r and*  
*IN1:  $b \in \text{aboveS } r \ a$  and IN2:  $c \in \text{aboveS } r \ b$*

**shows**  *$c \in \text{aboveS } r \ a$*

*<proof>*

**lemma** *under-Under-trans:*

**assumes** *TRANS: trans r and*

*IN1:  $a \in \text{under } r \ b$  and IN2:  $b \in \text{Under } r \ C$*

**shows**  *$a \in \text{Under } r \ C$*

*<proof>*

**lemma** *underS-Under-trans:*

**assumes** *TRANS: trans r and ANTISYM: antisym r and*

*IN1:  $a \in \text{underS } r \ b$  and IN2:  $b \in \text{Under } r \ C$*

**shows**  *$a \in \text{UnderS } r \ C$*

*<proof>*

**lemma** *underS-UnderS-trans:*

**assumes** *TRANS: trans r and ANTISYM: antisym r and*

*IN1:  $a \in \text{underS } r \ b$  and IN2:  $b \in \text{UnderS } r \ C$*

**shows**  *$a \in \text{UnderS } r \ C$*

*<proof>*

**lemma** *above-Above-trans:*

**assumes** *TRANS: trans r and*

*IN1:  $a \in \text{above } r \ b$  and IN2:  $b \in \text{Above } r \ C$*

**shows**  *$a \in \text{Above } r \ C$*

*<proof>*

**lemma** *aboveS-Above-trans:*

**assumes** *TRANS: trans r and ANTISYM: antisym r and*

*IN1:  $a \in \text{aboveS } r \ b$  and IN2:  $b \in \text{Above } r \ C$*

**shows**  *$a \in \text{AboveS } r \ C$*

*<proof>*

**lemma** *above-AboveS-trans:*

**assumes** *TRANS: trans r and ANTISYM: antisym r and*

*IN1:  $a \in \text{above } r \ b$  and IN2:  $b \in \text{AboveS } r \ C$*

**shows**  *$a \in \text{AboveS } r \ C$*

*<proof>*

**lemma** *aboveS-AboveS-trans*:  
**assumes** *TRANS*: *trans r* **and** *ANTISYM*: *antisym r* **and**  
*IN1*:  $a \in \text{aboveS } r \ b$  **and** *IN2*:  $b \in \text{AboveS } r \ C$   
**shows**  $a \in \text{AboveS } r \ C$   
 $\langle \text{proof} \rangle$

**lemma** *under-UnderS-trans*:  
**assumes** *TRANS*: *trans r* **and** *ANTISYM*: *antisym r* **and**  
*IN1*:  $a \in \text{under } r \ b$  **and** *IN2*:  $b \in \text{UnderS } r \ C$   
**shows**  $a \in \text{UnderS } r \ C$   
 $\langle \text{proof} \rangle$

### 3.2 Properties depending on more than one relation

**lemma** *under-incr2*:  
 $r \leq r' \implies \text{under } r \ a \leq \text{under } r' \ a$   
 $\langle \text{proof} \rangle$

**lemma** *underS-incr2*:  
 $r \leq r' \implies \text{underS } r \ a \leq \text{underS } r' \ a$   
 $\langle \text{proof} \rangle$

**lemma** *above-incr2*:  
 $r \leq r' \implies \text{above } r \ a \leq \text{above } r' \ a$   
 $\langle \text{proof} \rangle$

**lemma** *aboveS-incr2*:  
 $r \leq r' \implies \text{aboveS } r \ a \leq \text{aboveS } r' \ a$   
 $\langle \text{proof} \rangle$

**end**

## 4 More on Well-Founded Relations

**theory** *Wellfounded-More*  
**imports** *Main Order-Relation-More*  
**begin**

### 4.1 Well-founded recursion via genuine fixpoints

**lemma** *adm-wf-unique-fixpoint*:  
**fixes**  $r :: ('a * 'a) \text{ set}$  **and**  
 $H :: ('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'b$  **and**  
 $f :: 'a \Rightarrow 'b$  **and**  $g :: 'a \Rightarrow 'b$

**assumes** *WF*:  $wf\ r$  **and** *ADM*:  $adm\text{-}wf\ r\ H$  **and** *fFP*:  $f = H\ f$  **and** *gFP*:  $g = H\ g$   
**shows**  $f = g$   
 $\langle proof \rangle$

**lemma** *wfrec-unique-fixpoint*:  
**fixes**  $r :: ('a * 'a)\ set$  **and**  
 $H :: ('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'b$  **and**  
 $f :: 'a \Rightarrow 'b$   
**assumes** *WF*:  $wf\ r$  **and** *ADM*:  $adm\text{-}wf\ r\ H$  **and**  
 $fp$ :  $f = H\ f$   
**shows**  $f = wfrec\ r\ H$   
 $\langle proof \rangle$

**end**

## 5 Well-Order Relations

**theory** *Wellorder-Relation*  
**imports** *Wellfounded-More*  
**begin**

**context** *wo-rel*  
**begin**

### 5.1 Auxiliaries

**lemma** *PREORD*: *Preorder*  $r$   
 $\langle proof \rangle$

**lemma** *PARORD*: *Partial-order*  $r$   
 $\langle proof \rangle$

**lemma** *cases-Total2*:  
 $\bigwedge\ phi\ a\ b.\ [\{a,b\} \leq Field\ r; ((a,b) \in r - Id \implies phi\ a\ b);$   
 $((b,a) \in r - Id \implies phi\ a\ b); (a = b \implies phi\ a\ b)]$   
 $\implies phi\ a\ b$   
 $\langle proof \rangle$

### 5.2 Well-founded induction and recursion adapted to non-strict well-order relations

**lemma** *worec-unique-fixpoint*:  
**assumes** *ADM*:  $adm\text{-}wo\ H$  **and**  $fp$ :  $f = H\ f$   
**shows**  $f = worec\ H$   
 $\langle proof \rangle$

#### 5.2.1 Properties of max2

**lemma** *max2-iff*:

**assumes**  $a \in \text{Field } r$  **and**  $b \in \text{Field } r$   
**shows**  $((\text{max2 } a \ b, \ c) \in r) = ((a, c) \in r \wedge (b, c) \in r)$   
 $\langle \text{proof} \rangle$

### 5.2.2 Properties of minim

**lemma** *minim-Under*:  
 $\llbracket B \leq \text{Field } r; B \neq \{\} \rrbracket \implies \text{minim } B \in \text{Under } B$   
 $\langle \text{proof} \rangle$

**lemma** *equals-minim-Under*:  
 $\llbracket B \leq \text{Field } r; a \in B; a \in \text{Under } B \rrbracket$   
 $\implies a = \text{minim } B$   
 $\langle \text{proof} \rangle$

**lemma** *minim-iff-In-Under*:  
**assumes** *SUB*:  $B \leq \text{Field } r$  **and** *NE*:  $B \neq \{\}$   
**shows**  $(a = \text{minim } B) = (a \in B \wedge a \in \text{Under } B)$   
 $\langle \text{proof} \rangle$

**lemma** *minim-Under-under*:  
**assumes** *NE*:  $A \neq \{\}$  **and** *SUB*:  $A \leq \text{Field } r$   
**shows**  $\text{Under } A = \text{under } (\text{minim } A)$   
 $\langle \text{proof} \rangle$

**lemma** *minim-UnderS-underS*:  
**assumes** *NE*:  $A \neq \{\}$  **and** *SUB*:  $A \leq \text{Field } r$   
**shows**  $\text{UnderS } A = \text{underS } (\text{minim } A)$   
 $\langle \text{proof} \rangle$

### 5.2.3 Properties of supr

**lemma** *supr-Above*:  
**assumes** *Above*  $B \neq \{\}$   
**shows**  $\text{supr } B \in \text{Above } B$   
 $\langle \text{proof} \rangle$

**lemma** *supr-greater*:  
**assumes** *Above*  $B \neq \{\}$   $b \in B$   
**shows**  $(b, \text{supr } B) \in r$   
 $\langle \text{proof} \rangle$

**lemma** *supr-least-Above*:  
**assumes**  $a \in \text{Above } B$   
**shows**  $(\text{supr } B, a) \in r$   
 $\langle \text{proof} \rangle$

**lemma** *supr-least*:  
 $\llbracket B \leq \text{Field } r; a \in \text{Field } r; (\bigwedge b. b \in B \implies (b, a) \in r) \rrbracket$   
 $\implies (\text{supr } B, a) \in r$

$\langle proof \rangle$

**lemma** *equals-supr-Above*:

**assumes**  $a \in Above\ B \wedge a'. a' \in Above\ B \implies (a, a') \in r$

**shows**  $a = supr\ B$

$\langle proof \rangle$

**lemma** *equals-supr*:

**assumes**  $SUB: B \leq Field\ r$  **and**  $IN: a \in Field\ r$  **and**

$ABV: \bigwedge b. b \in B \implies (b, a) \in r$  **and**

$MINIM: \bigwedge a'. \llbracket a' \in Field\ r; \bigwedge b. b \in B \implies (b, a') \in r \rrbracket \implies (a, a') \in r$

**shows**  $a = supr\ B$

$\langle proof \rangle$

**lemma** *supr-inField*:

**assumes**  $Above\ B \neq \{\}$

**shows**  $supr\ B \in Field\ r$

$\langle proof \rangle$

**lemma** *supr-above-Above*:

**assumes**  $SUB: B \leq Field\ r$  **and**  $ABOVE: Above\ B \neq \{\}$

**shows**  $Above\ B = above\ (supr\ B)$

$\langle proof \rangle$

**lemma** *supr-under*:

**assumes**  $a \in Field\ r$

**shows**  $a = supr\ (under\ a)$

$\langle proof \rangle$

## 5.2.4 Properties of successor

**lemma** *suc-least*:

$\llbracket B \leq Field\ r; a \in Field\ r; (\bigwedge b. b \in B \implies a \neq b \wedge (b, a) \in r) \rrbracket$

$\implies (suc\ B, a) \in r$

$\langle proof \rangle$

**lemma** *equals-suc*:

**assumes**  $SUB: B \leq Field\ r$  **and**  $IN: a \in Field\ r$  **and**

$ABVS: \bigwedge b. b \in B \implies a \neq b \wedge (b, a) \in r$  **and**

$MINIM: \bigwedge a'. \llbracket a' \in Field\ r; \bigwedge b. b \in B \implies a' \neq b \wedge (b, a') \in r \rrbracket \implies (a, a') \in r$

$r$

**shows**  $a = suc\ B$

$\langle proof \rangle$

**lemma** *suc-above-AboveS*:

**assumes**  $SUB: B \leq Field\ r$  **and**

$ABOVE: AboveS\ B \neq \{\}$

**shows**  $AboveS\ B = above\ (suc\ B)$

$\langle proof \rangle$

**lemma** *suc-singl-pred*:

**assumes** *IN*:  $a \in \text{Field } r$  **and** *ABOVE-NE*:  $\text{aboveS } a \neq \{\}$  **and**  
*REL*:  $(a', \text{suc } \{a\}) \in r$  **and** *DIFF*:  $a' \neq \text{suc } \{a\}$   
**shows**  $a' = a \vee (a', a) \in r$

*<proof>*

**lemma** *under-underS-suc*:

**assumes** *IN*:  $a \in \text{Field } r$  **and** *ABV*:  $\text{aboveS } a \neq \{\}$   
**shows**  $\text{underS } (\text{suc } \{a\}) = \text{under } a$

*<proof>*

### 5.2.5 Properties of order filters

**lemma** *ofilter-Under[simp]*:

**assumes**  $A \leq \text{Field } r$   
**shows**  $\text{ofilter}(\text{Under } A)$

*<proof>*

**lemma** *ofilter-UnderS[simp]*:

**assumes**  $A \leq \text{Field } r$   
**shows**  $\text{ofilter}(\text{UnderS } A)$

*<proof>*

**lemma** *ofilter-Int[simp]*:  $\llbracket \text{ofilter } A; \text{ofilter } B \rrbracket \implies \text{ofilter}(A \text{ Int } B)$

*<proof>*

**lemma** *ofilter-Un[simp]*:  $\llbracket \text{ofilter } A; \text{ofilter } B \rrbracket \implies \text{ofilter}(A \cup B)$

*<proof>*

**lemma** *ofilter-INTER*:

$\llbracket I \neq \{\}; \bigwedge i. i \in I \implies \text{ofilter}(A \ i) \rrbracket \implies \text{ofilter}(\bigcap i \in I. A \ i)$

*<proof>*

**lemma** *ofilter-Inter*:

$\llbracket S \neq \{\}; \bigwedge A. A \in S \implies \text{ofilter } A \rrbracket \implies \text{ofilter}(\bigcap S)$

*<proof>*

**lemma** *ofilter-Union*:

$(\bigwedge A. A \in S \implies \text{ofilter } A) \implies \text{ofilter}(\bigcup S)$

*<proof>*

**lemma** *ofilter-under-Union*:

$\text{ofilter } A \implies A = \bigcup \{\text{under } a \mid a. a \in A\}$

*<proof>*

### 5.2.6 Other properties

**lemma** *Trans-Under-regressive*:

**assumes** *NE*:  $A \neq \{\}$  **and** *SUB*:  $A \leq \text{Field } r$



**shows**  $Under(Under A) \leq Under A$   
*<proof>*

**lemma** *ofilter-suc-Field*:

**assumes** *OF*: *ofilter* *A* **and** *NE*:  $A \neq Field\ r$   
**shows** *ofilter*  $(A \cup \{suc\ A\})$   
*<proof>*

**declare**

*minim-in*[*simp*]  
*minim-inField*[*simp*]  
*minim-least*[*simp*]  
*under-ofilter*[*simp*]  
*underS-ofilter*[*simp*]  
*Field-ofilter*[*simp*]

**end**

**abbreviation** *worec*  $\equiv wo-rel.worec$

**abbreviation** *adm-wo*  $\equiv wo-rel.adm-wo$

**abbreviation** *isMinim*  $\equiv wo-rel.isMinim$

**abbreviation** *minim*  $\equiv wo-rel.minim$

**abbreviation** *max2*  $\equiv wo-rel.max2$

**abbreviation** *supr*  $\equiv wo-rel.supr$

**abbreviation** *suc*  $\equiv wo-rel.suc$

**end**

## 6 Well-Order Embeddings

**theory** *Wellorder-Embedding*

**imports** *Fun-More Wellorder-Relation*

**begin**

### 6.1 Auxiliaries

**lemma** *UNION-bij-betw-ofilter*:

**assumes** *WELL*: *Well-order* *r* **and**

*OF*:  $\bigwedge i. i \in I \implies ofilter\ r\ (A\ i)$  **and**

*BIJ*:  $\bigwedge i. i \in I \implies bij-betw\ f\ (A\ i)\ (A'\ i)$

**shows** *bij-betw*  $f\ (\bigcup i \in I. A\ i)\ (\bigcup i \in I. A'\ i)$

*<proof>*

### 6.2 (Well-order) embeddings, strict embeddings, isomorphisms and order-compatible functions

**lemma** *embed-halfcong*:

**assumes**  $\bigwedge a. a \in Field\ r \implies f\ a = g\ a$  **and** *embed*  $r\ r'\ f$

**shows**  $embed\ r\ r'\ g$   
 $\langle proof \rangle$

**lemma**  $embed-cong[fundef-cong]$ :  
**assumes**  $\bigwedge a. a \in Field\ r \implies f\ a = g\ a$   
**shows**  $embed\ r\ r'\ f = embed\ r\ r'\ g$   
 $\langle proof \rangle$

**lemma**  $embedS-cong[fundef-cong]$ :  
**assumes**  $\bigwedge a. a \in Field\ r \implies f\ a = g\ a$   
**shows**  $embedS\ r\ r'\ f = embedS\ r\ r'\ g$   
 $\langle proof \rangle$

**lemma**  $iso-cong[fundef-cong]$ :  
**assumes**  $\bigwedge a. a \in Field\ r \implies f\ a = g\ a$   
**shows**  $iso\ r\ r'\ f = iso\ r\ r'\ g$   
 $\langle proof \rangle$

**lemma**  $id-compat: compat\ r\ r\ id$   
 $\langle proof \rangle$

**lemma**  $comp-compat$ :  
 $\llbracket compat\ r\ r'\ f; compat\ r'\ r''\ f \rrbracket \implies compat\ r\ r''\ (f' o f)$   
 $\langle proof \rangle$

**corollary**  $one-set-greater$ :  
 $(\exists f::'a \Rightarrow 'a'. f\ 'A \leq A' \wedge inj-on\ f\ A) \vee (\exists g::'a' \Rightarrow 'a. g\ 'A' \leq A \wedge inj-on\ g\ A')$   
 $\langle proof \rangle$

**corollary**  $one-type-greater$ :  
 $(\exists f::'a \Rightarrow 'a'. inj\ f) \vee (\exists g::'a' \Rightarrow 'a. inj\ g)$   
 $\langle proof \rangle$

### 6.3 Uniqueness of embeddings

**lemma**  $comp-embedS$ :  
**assumes**  $WELL$ :  $Well-order\ r$  **and**  $WELL'$ :  $Well-order\ r'$  **and**  $WELL''$ :  $Well-order\ r''$   
**and**  $EMB$ :  $embedS\ r\ r'\ f$  **and**  $EMB'$ :  $embedS\ r'\ r''\ f'$   
**shows**  $embedS\ r\ r''\ (f' o f)$   
 $\langle proof \rangle$

**lemma**  $iso-iff4$ :  
**assumes**  $WELL$ :  $Well-order\ r$  **and**  $WELL'$ :  $Well-order\ r'$   
**shows**  $iso\ r\ r'\ f = (embed\ r\ r'\ f \wedge embed\ r'\ r\ (inv-into\ (Field\ r)\ f))$   
 $\langle proof \rangle$

**lemma**  $embed-embedS-iso$ :

$embed\ r\ r'\ f = (embedS\ r\ r'\ f \vee iso\ r\ r'\ f)$   
*<proof>*

**lemma** *not-embedS-iso*:  
 $\neg (embedS\ r\ r'\ f \wedge iso\ r\ r'\ f)$   
*<proof>*

**lemma** *embed-embedS-iff-not-iso*:  
**assumes**  $embed\ r\ r'\ f$   
**shows**  $embedS\ r\ r'\ f = (\neg iso\ r\ r'\ f)$   
*<proof>*

**lemma** *iso-inv-into*:  
**assumes** *WELL*: *Well-order r* **and** *ISO*:  $iso\ r\ r'\ f$   
**shows**  $iso\ r'\ r\ (inv-into\ (Field\ r)\ f)$   
*<proof>*

**lemma** *embedS-or-iso*:  
**assumes** *WELL*: *Well-order r* **and** *WELL'*: *Well-order r'*  
**shows**  $(\exists g. embedS\ r\ r'\ g) \vee (\exists h. embedS\ r'\ r\ h) \vee (\exists f. iso\ r\ r'\ f)$   
*<proof>*

end

## 7 Order Union

**theory** *Order-Union*  
**imports** *Main*  
**begin**

**definition** *Osum* ::  $'a\ rel \Rightarrow 'a\ rel \Rightarrow 'a\ rel$  (**infix** *<Osum>* 60) **where**  
 $r\ Osum\ r' = r \cup r' \cup \{(a, a') . a \in Field\ r \wedge a' \in Field\ r'\}$

**notation** *Osum* (**infix** *<O>* 60)

**lemma** *Field-Osum*:  $Field\ (r\ O\ r') = Field\ r \cup Field\ r'$   
*<proof>*

**lemma** *Osum-wf*:  
**assumes** *FLD*:  $Field\ r\ Int\ Field\ r' = \{\}$  **and**  
 $WF$ :  $wf\ r$  **and**  $WF'$ :  $wf\ r'$   
**shows**  $wf\ (r\ Osum\ r')$   
*<proof>*

**lemma** *Osum-Refl*:  
**assumes** *FLD*:  $Field\ r\ Int\ Field\ r' = \{\}$  **and**  
*REFL*:  $Refl\ r$  **and** *REFL'*:  $Refl\ r'$   
**shows**  $Refl\ (r\ Osum\ r')$   
*<proof>*

**lemma** *Osum-trans*:

**assumes** *FLD*: *Field* *r* *Int* *Field* *r'* = {} **and**  
*TRANS*: *trans* *r* **and** *TRANS'*: *trans* *r'*  
**shows** *trans* (*r* *Osum* *r'*)  
{proof}

**lemma** *Osum-Preorder*:

$\llbracket \text{Field } r \text{ Int Field } r' = \{\}; \text{Preorder } r; \text{Preorder } r' \rrbracket \implies \text{Preorder } (r \text{ Osum } r')$   
{proof}

**lemma** *Osum-antisym*:

**assumes** *FLD*: *Field* *r* *Int* *Field* *r'* = {} **and**  
*AN*: *antisym* *r* **and** *AN'*: *antisym* *r'*  
**shows** *antisym* (*r* *Osum* *r'*)  
{proof}

**lemma** *Osum-Partial-order*:

$\llbracket \text{Field } r \text{ Int Field } r' = \{\}; \text{Partial-order } r; \text{Partial-order } r' \rrbracket \implies$   
*Partial-order* (*r* *Osum* *r'*)  
{proof}

**lemma** *Osum-Total*:

**assumes** *FLD*: *Field* *r* *Int* *Field* *r'* = {} **and**  
*TOT*: *Total* *r* **and** *TOT'*: *Total* *r'*  
**shows** *Total* (*r* *Osum* *r'*)  
{proof}

**lemma** *Osum-Linear-order*:

$\llbracket \text{Field } r \text{ Int Field } r' = \{\}; \text{Linear-order } r; \text{Linear-order } r' \rrbracket \implies \text{Linear-order } (r$   
*Osum* *r'*)  
{proof}

**lemma** *Osum-minus-Id1*:

**assumes**  $r \leq \text{Id}$   
**shows**  $(r \text{ Osum } r') - \text{Id} \leq (r' - \text{Id}) \cup (\text{Field } r \times \text{Field } r')$   
{proof}

**lemma** *Osum-minus-Id2*:

**assumes**  $r' \leq \text{Id}$   
**shows**  $(r \text{ Osum } r') - \text{Id} \leq (r - \text{Id}) \cup (\text{Field } r \times \text{Field } r')$   
{proof}

**lemma** *Osum-minus-Id*:

**assumes** *TOT*: *Total* *r* **and** *TOT'*: *Total* *r'* **and**  
*NID*:  $\neg (r \leq \text{Id})$  **and** *NID'*:  $\neg (r' \leq \text{Id})$   
**shows**  $(r \text{ Osum } r') - \text{Id} \leq (r - \text{Id}) \text{ Osum } (r' - \text{Id})$   
{proof}

**lemma** *wf-Int-Times*:  
**assumes**  $A \text{ Int } B = \{\}$   
**shows**  $wf(A \times B)$   
 $\langle proof \rangle$

**lemma** *Osum-wf-Id*:  
**assumes**  $TOT$ : Total  $r$  **and**  $TOT'$ : Total  $r'$  **and**  
 $FLD$ : Field  $r$  Int Field  $r' = \{\}$  **and**  
 $WF$ :  $wf(r - Id)$  **and**  $WF'$ :  $wf(r' - Id)$   
**shows**  $wf((r \text{ Osum } r') - Id)$   
 $\langle proof \rangle$

**lemma** *Osum-Well-order*:  
**assumes**  $FLD$ : Field  $r$  Int Field  $r' = \{\}$  **and**  
 $WELL$ : Well-order  $r$  **and**  $WELL'$ : Well-order  $r'$   
**shows** Well-order  $(r \text{ Osum } r')$   
 $\langle proof \rangle$

**end**

## 8 Constructions on Wellorders

**theory** *Wellorder-Constructions*  
**imports**  
 $Wellorder-Embedding$   $Order-Union$   
**begin**

**unbundle** *cardinal-syntax*

**declare**  
 $ordLeq$ -Well-order-simp[simp]  
not-ordLeq-iff-ordLess[simp]  
not-ordLess-iff-ordLeq[simp]  
 $Func$ -empty[simp]  
 $Func$ -is-emp[simp]

### 8.1 Order filters versus restrictions and embeddings

**lemma** *ofilter-subset-iso*:  
**assumes**  $WELL$ : Well-order  $r$  **and**  
 $OFA$ : ofilter  $r$   $A$  **and**  $OFB$ : ofilter  $r$   $B$   
**shows**  $(A = B) = iso (Restr\ r\ A) (Restr\ r\ B) id$   
 $\langle proof \rangle$

### 8.2 Ordering the well-orders by existence of embeddings

**corollary** *ordLeq-refl-on*:  $refl\text{-on } \{r. \text{ Well-order } r\} \text{ ordLeq}$   
 $\langle proof \rangle$

**corollary** *ordLeq-trans*: *trans ordLeq*  
⟨*proof*⟩

**corollary** *ordLeq-preorder-on*: *preorder-on* {*r*. *Well-order r*} *ordLeq*  
⟨*proof*⟩

**corollary** *ordIso-subset*: *ordIso*  $\subseteq$  {*r*. *Well-order r*}  $\times$  {*r*. *Well-order r*}  
⟨*proof*⟩

**corollary** *ordIso-refl-on*: *refl-on* {*r*. *Well-order r*} *ordIso*  
⟨*proof*⟩

**corollary** *ordIso-trans*: *trans ordIso*  
⟨*proof*⟩

**corollary** *ordIso-sym*: *sym ordIso*  
⟨*proof*⟩

**corollary** *ordIso-equiv*: *equiv* {*r*. *Well-order r*} *ordIso*  
⟨*proof*⟩

**lemma** *ordLess-Well-order-simp[simp]*:  
  **assumes**  $r <_o r'$   
  **shows** *Well-order r*  $\wedge$  *Well-order r'*  
  ⟨*proof*⟩

**lemma** *ordIso-Well-order-simp[simp]*:  
  **assumes**  $r =_o r'$   
  **shows** *Well-order r*  $\wedge$  *Well-order r'*  
  ⟨*proof*⟩

**lemma** *ordLess-irrefl*: *irrefl ordLess*  
⟨*proof*⟩

**lemma** *ordLess-or-ordIso*:  
  **assumes** *WELL*: *Well-order r* **and** *WELL'*: *Well-order r'*  
  **shows**  $r <_o r' \vee r' <_o r \vee r =_o r'$   
  ⟨*proof*⟩

**corollary** *ordLeq-ordLess-Un-ordIso*:  
   $ordLeq = ordLess \cup ordIso$   
  ⟨*proof*⟩

**lemma** *ordIso-or-ordLess*:  
  **assumes** *WELL*: *Well-order r* **and** *WELL'*: *Well-order r'*  
  **shows**  $r =_o r' \vee r <_o r' \vee r' <_o r$   
  ⟨*proof*⟩

**lemmas** *ord-trans = ordIso-transitive ordLeq-transitive ordLess-transitive*

*ordIso-ordLeq-trans ordLeq-ordIso-trans*  
*ordIso-ordLess-trans ordLess-ordIso-trans*  
*ordLess-ordLeq-trans ordLeq-ordLess-trans*

**lemma** *ofilter-ordLeq*:

**assumes** *Well-order r* **and** *ofilter r A*  
**shows** *Restr r A ≤<sub>o</sub> r*

*<proof>*

**corollary** *under-Restr-ordLeq*:

*Well-order r ⇒ Restr r (under r a) ≤<sub>o</sub> r*  
*<proof>*

### 8.3 Copy via direct images

**lemma** *Id-dir-image*: *dir-image Id f ≤ Id*

*<proof>*

**lemma** *Un-dir-image*:

*dir-image (r1 ∪ r2) f = (dir-image r1 f) ∪ (dir-image r2 f)*

*<proof>*

**lemma** *Int-dir-image*:

**assumes** *inj-on f (Field r1 ∪ Field r2)*

**shows** *dir-image (r1 Int r2) f = (dir-image r1 f) Int (dir-image r2 f)*

*<proof>*

**lemma** *Osum-embed*:

**assumes** *FLD: Field r Int Field r' = {}* **and**

*WELL: Well-order r* **and** *WELL': Well-order r'*

**shows** *embed r (r Osum r') id*

*<proof>*

**corollary** *Osum-ordLeq*:

**assumes** *FLD: Field r Int Field r' = {}* **and**

*WELL: Well-order r* **and** *WELL': Well-order r'*

**shows** *r ≤<sub>o</sub> r Osum r'*

*<proof>*

**lemma** *Well-order-embed-copy*:

**assumes** *WELL: well-order-on A r* **and**

*INJ: inj-on f A* **and** *SUB: f ' A ≤ B*

**shows** *∃ r'. well-order-on B r' ∧ r ≤<sub>o</sub> r'*

*<proof>*

## 8.4 The maxim among a finite set of ordinals

The correct phrasing would be “a maxim of ...”, as  $\leq_o$  is only a preorder.

**definition** *isOmax* :: 'a rel set  $\Rightarrow$  'a rel  $\Rightarrow$  bool

**where**

*isOmax* R r  $\equiv$   $r \in R \wedge (\forall r' \in R. r' \leq_o r)$

**definition** *omax* :: 'a rel set  $\Rightarrow$  'a rel

**where**

*omax* R == SOME r. *isOmax* R r

**lemma** *exists-isOmax*:

**assumes** *finite* R **and**  $R \neq \{\}$  **and**  $\forall r \in R. \text{Well-order } r$

**shows**  $\exists r. \text{isOmax } R r$

*<proof>*

**lemma** *omax-isOmax*:

**assumes** *finite* R **and**  $R \neq \{\}$  **and**  $\forall r \in R. \text{Well-order } r$

**shows** *isOmax* R (*omax* R)

*<proof>*

**lemma** *omax-in*:

**assumes** *finite* R **and**  $R \neq \{\}$  **and**  $\forall r \in R. \text{Well-order } r$

**shows** *omax* R  $\in$  R

*<proof>*

**lemma** *Well-order-omax*:

**assumes** *finite* R **and**  $R \neq \{\}$  **and**  $\forall r \in R. \text{Well-order } r$

**shows** *Well-order* (*omax* R)

*<proof>*

**lemma** *omax-maxim*:

**assumes** *finite* R **and**  $\forall r \in R. \text{Well-order } r$  **and**  $r \in R$

**shows**  $r \leq_o \text{omax } R$

*<proof>*

**lemma** *omax-ordLeq*:

**assumes** *finite* R **and**  $R \neq \{\}$  **and**  $\forall r \in R. r \leq_o p$

**shows** *omax* R  $\leq_o p$

*<proof>*

**lemma** *omax-ordLess*:

**assumes** *finite* R **and**  $R \neq \{\}$  **and**  $\forall r \in R. r <_o p$

**shows** *omax* R  $<_o p$

*<proof>*

**lemma** *omax-ordLeq-elim*:

**assumes** *finite* R **and**  $\forall r \in R. \text{Well-order } r$

**and** *omax* R  $\leq_o p$  **and**  $r \in R$



**shows**  $r \leq_o p$   
*<proof>*

**lemma** *omax-ordLess-elim*:  
**assumes** *finite R* **and**  $\forall r \in R.$  *Well-order r*  
**and** *omax R <\_o p* **and**  $r \in R$   
**shows**  $r <_o p$   
*<proof>*

**lemma** *ordLeq-omax*:  
**assumes** *finite R* **and**  $\forall r \in R.$  *Well-order r*  
**and**  $r \in R$  **and**  $p \leq_o r$   
**shows**  $p \leq_o \text{omax } R$   
*<proof>*

**lemma** *ordLess-omax*:  
**assumes** *finite R* **and**  $\forall r \in R.$  *Well-order r*  
**and**  $r \in R$  **and**  $p <_o r$   
**shows**  $p <_o \text{omax } R$   
*<proof>*

**lemma** *omax-ordLeq-mono*:  
**assumes** *P: finite P* **and** *R: finite R*  
**and** *NE-P: P ≠ {}* **and** *Well-R: ∀ r ∈ R. Well-order r*  
**and** *LEQ: ∀ p ∈ P. ∃ r ∈ R. p ≤\_o r*  
**shows** *omax P ≤\_o omax R*  
*<proof>*

**lemma** *omax-ordLess-mono*:  
**assumes** *P: finite P* **and** *R: finite R*  
**and** *NE-P: P ≠ {}* **and** *Well-R: ∀ r ∈ R. Well-order r*  
**and** *LEQ: ∀ p ∈ P. ∃ r ∈ R. p <\_o r*  
**shows** *omax P <\_o omax R*  
*<proof>*

## 8.5 Limit and successor ordinals

**lemma** *embed-underS2*:  
**assumes** *r: Well-order r* **and** *g: embed r s g* **and** *a: a ∈ Field r*  
**shows**  $g \text{ ' underS } r \ a = \text{underS } s \ (g \ a)$   
*<proof>*

**lemma** *bij-betw-insert*:  
**assumes**  $b \notin A$  **and**  $f \ b \notin A'$  **and** *bij-betw f A A'*  
**shows** *bij-betw f (insert b A) (insert (f b) A')*  
*<proof>*

**context** *wo-rel*  
**begin**

**lemma** *underS-induct*:

**assumes**  $\bigwedge a. (\bigwedge a1. a1 \in \text{underS } a \implies P a1) \implies P a$   
**shows**  $P a$   
*<proof>*

**lemma** *suc-underS'*:

**assumes**  $B: B \subseteq \text{Field } r$  **and**  $A: \text{AboveS } B \neq \{\}$  **and**  $b: b \in B$   
**shows**  $b \in \text{underS } (\text{suc } B)$   
*<proof>*

**lemma** *underS-supr*:

**assumes**  $bA: b \in \text{underS } (\text{supr } A)$  **and**  $A: A \subseteq \text{Field } r$   
**shows**  $\exists a \in A. b \in \text{underS } a$   
*<proof>*

**lemma** *underS-suc*:

**assumes**  $bA: b \in \text{underS } (\text{suc } A)$  **and**  $A: A \subseteq \text{Field } r$   
**shows**  $\exists a \in A. b \in \text{under } a$   
*<proof>*

**lemma** (*in wo-rel*) *in-underS-supr*:

**assumes**  $j \in \text{underS } i$  **and**  $i \in A$  **and**  $A \subseteq \text{Field } r$  **and**  $\text{Above } A \neq \{\}$   
**shows**  $j \in \text{underS } (\text{supr } A)$   
*<proof>*

**lemma** *inj-on-Field*:

**assumes**  $A: A \subseteq \text{Field } r$  **and**  $f: \bigwedge a b. \llbracket a \in A; b \in A; a \in \text{underS } b \rrbracket \implies f a \neq f b$   
**shows** *inj-on*  $f A$   
*<proof>*

**lemma** *ofilter-init-seg-of*:

**assumes** *ofilter*  $F$   
**shows** *Restr*  $r F$  *initial-segment-of*  $r$   
*<proof>*

**lemma** *underS-init-seg-of-Collect*:

**assumes**  $\bigwedge j1 j2. \llbracket j2 \in \text{underS } i; (j1, j2) \in r \rrbracket \implies R j1$  *initial-segment-of*  $R j2$   
**shows**  $\{R j \mid j. j \in \text{underS } i\} \in \text{Chains init-seg-of}$   
*<proof>*

**lemma** (*in wo-rel*) *Field-init-seg-of-Collect*:

**assumes**  $\bigwedge j1 j2. \llbracket j2 \in \text{Field } r; (j1, j2) \in r \rrbracket \implies R j1$  *initial-segment-of*  $R j2$   
**shows**  $\{R j \mid j. j \in \text{Field } r\} \in \text{Chains init-seg-of}$   
*<proof>*

### 8.5.1 Successor and limit elements of an ordinal

**definition**  $\text{succ } i \equiv \text{succ } \{i\}$

**definition**  $\text{isSucc } i \equiv \exists j. \text{aboveS } j \neq \{\} \wedge i = \text{succ } j$

**definition**  $\text{zero} = \text{minim } (\text{Field } r)$

**definition**  $\text{isLim } i \equiv \neg \text{isSucc } i$

**lemma**  $\text{zero-smallest}[simp]$ :  
assumes  $j \in \text{Field } r$  shows  $(\text{zero}, j) \in r$   
*<proof>*

**lemma**  $\text{zero-in-Field}$ : assumes  $\text{Field } r \neq \{\}$  shows  $\text{zero} \in \text{Field } r$   
*<proof>*

**lemma**  $\text{leq-zero-imp}[simp]$ :  
 $(x, \text{zero}) \in r \implies x = \text{zero}$   
*<proof>*

**lemma**  $\text{leq-zero}[simp]$ :  
assumes  $\text{Field } r \neq \{\}$  shows  $(x, \text{zero}) \in r \longleftrightarrow x = \text{zero}$   
*<proof>*

**lemma**  $\text{under-zero}[simp]$ :  
assumes  $\text{Field } r \neq \{\}$  shows  $\text{under } \text{zero} = \{\text{zero}\}$   
*<proof>*

**lemma**  $\text{underS-zero}[simp,intro]$ :  $\text{underS } \text{zero} = \{\}$   
*<proof>*

**lemma**  $\text{isSucc-succ}$ :  $\text{aboveS } i \neq \{\} \implies \text{isSucc } (\text{succ } i)$   
*<proof>*

**lemma**  $\text{succ-in-diff}$ :  
assumes  $\text{aboveS } i \neq \{\}$  shows  $(i, \text{succ } i) \in r \wedge \text{succ } i \neq i$   
*<proof>*

**lemmas**  $\text{succ-in}[simp] = \text{succ-in-diff}[THEN \text{conjunct1}]$   
**lemmas**  $\text{succ-diff}[simp] = \text{succ-in-diff}[THEN \text{conjunct2}]$

**lemma**  $\text{succ-in-Field}[simp]$ :  
assumes  $\text{aboveS } i \neq \{\}$  shows  $\text{succ } i \in \text{Field } r$   
*<proof>*

**lemma**  $\text{succ-not-in}$ :  
assumes  $\text{aboveS } i \neq \{\}$  shows  $(\text{succ } i, i) \notin r$   
*<proof>*

**lemma** *not-isSucc-zero*:  $\neg \text{isSucc zero}$   
*<proof>*

**lemma** *isLim-zero[simp]*:  $\text{isLim zero}$   
*<proof>*

**lemma** *succ-smallest*:  
**assumes**  $(i,j) \in r$  **and**  $i \neq j$   
**shows**  $(\text{succ } i, j) \in r$   
*<proof>*

**lemma** *isLim-supr*:  
**assumes**  $f: i \in \text{Field } r$  **and**  $l: \text{isLim } i$   
**shows**  $i = \text{supr } (\text{underS } i)$   
*<proof>*

**definition**  $\text{pred } i \equiv \text{SOME } j. j \in \text{Field } r \wedge \text{aboveS } j \neq \{\} \wedge \text{succ } j = i$

**lemma** *pred-Field-succ*:  
**assumes**  $\text{isSucc } i$  **shows**  $\text{pred } i \in \text{Field } r \wedge \text{aboveS } (\text{pred } i) \neq \{\} \wedge \text{succ } (\text{pred } i) = i$   
*<proof>*

**lemmas**  $\text{pred-Field}[simp] = \text{pred-Field-succ}[\text{THEN } \text{conjunct1}]$   
**lemmas**  $\text{aboveS-pred}[simp] = \text{pred-Field-succ}[\text{THEN } \text{conjunct2}, \text{THEN } \text{conjunct1}]$   
**lemmas**  $\text{succ-pred}[simp] = \text{pred-Field-succ}[\text{THEN } \text{conjunct2}, \text{THEN } \text{conjunct2}]$

**lemma** *isSucc-pred-in*:  
**assumes**  $\text{isSucc } i$  **shows**  $(\text{pred } i, i) \in r$   
*<proof>*

**lemma** *isSucc-pred-diff*:  
**assumes**  $\text{isSucc } i$  **shows**  $\text{pred } i \neq i$   
*<proof>*

**lemma** *succ-inj[simp]*:  
**assumes**  $\text{aboveS } i \neq \{\}$  **and**  $\text{aboveS } j \neq \{\}$   
**shows**  $\text{succ } i = \text{succ } j \iff i = j$   
*<proof>*

**lemma** *pred-succ[simp]*:  
**assumes**  $\text{aboveS } j \neq \{\}$  **shows**  $\text{pred } (\text{succ } j) = j$   
*<proof>*

**lemma** *less-succ[simp]*:  
**assumes**  $\text{aboveS } i \neq \{\}$   
**shows**  $(j, \text{succ } i) \in r \iff (j, i) \in r \vee j = \text{succ } i$

$\langle proof \rangle$

**lemma** *underS-succ[simp]*:  
assumes  $aboveS\ i \neq \{\}$   
shows  $underS\ (succ\ i) = under\ i$   
 $\langle proof \rangle$

**lemma** *succ-mono*:  
assumes  $aboveS\ j \neq \{\}$  and  $(i,j) \in r$   
shows  $(succ\ i, succ\ j) \in r$   
 $\langle proof \rangle$

**lemma** *under-succ[simp]*:  
assumes  $aboveS\ i \neq \{\}$   
shows  $under\ (succ\ i) = insert\ (succ\ i)\ (under\ i)$   
 $\langle proof \rangle$

**definition** *mergeSL* ::  $('a \Rightarrow 'b \Rightarrow 'b) \Rightarrow (('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'b) \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'b$   
where  
 $mergeSL\ S\ L\ f\ i \equiv if\ isSucc\ i\ then\ S\ (pred\ i)\ (f\ (pred\ i))\ else\ L\ f\ i$

### 8.5.2 Well-order recursion with (zero), succesor, and limit

**definition** *worecSL* ::  $('a \Rightarrow 'b \Rightarrow 'b) \Rightarrow (('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'b$   
where  $worecSL\ S\ L \equiv worec\ (mergeSL\ S\ L)$

**definition** *adm-woL*  $L \equiv \forall f\ g\ i.\ isLim\ i \wedge (\forall j \in underS\ i.\ f\ j = g\ j) \longrightarrow L\ f\ i = L\ g\ i$

**lemma** *mergeSL: adm-woL*  $L \implies adm-wo\ (mergeSL\ S\ L)$   
 $\langle proof \rangle$

**lemma** *worec-fixpoint1: adm-wo*  $H \implies worec\ H\ i = H\ (worec\ H)\ i$   
 $\langle proof \rangle$

**lemma** *worecSL-isSucc*:  
assumes  $a: adm-woL\ L$  and  $i: isSucc\ i$   
shows  $worecSL\ S\ L\ i = S\ (pred\ i)\ (worecSL\ S\ L\ (pred\ i))$   
 $\langle proof \rangle$

**lemma** *worecSL-succ*:  
assumes  $a: adm-woL\ L$  and  $i: aboveS\ j \neq \{\}$   
shows  $worecSL\ S\ L\ (succ\ j) = S\ j\ (worecSL\ S\ L\ j)$   
 $\langle proof \rangle$

**lemma** *worecSL-isLim*:  
assumes  $a: adm-woL\ L$  and  $i: isLim\ i$   
shows  $worecSL\ S\ L\ i = L\ (worecSL\ S\ L)\ i$

*<proof>*

**definition**  $worecZSL :: 'b \Rightarrow ('a \Rightarrow 'b \Rightarrow 'b) \Rightarrow (('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'b$   
**where**  $worecZSL Z S L \equiv worecSL S (\lambda f a. \text{if } a = \text{zero then } Z \text{ else } L f a)$

**lemma** *worecZSL-zero*:

**assumes**  $a: \text{adm-woL } L$

**shows**  $worecZSL Z S L \text{ zero} = Z$

*<proof>*

**lemma** *worecZSL-succ*:

**assumes**  $a: \text{adm-woL } L$  **and**  $i: \text{aboveS } j \neq \{\}$

**shows**  $worecZSL Z S L (\text{succ } j) = S j (worecZSL Z S L j)$

*<proof>*

**lemma** *worecZSL-isLim*:

**assumes**  $a: \text{adm-woL } L$  **and**  $\text{isLim } i$  **and**  $i \neq \text{zero}$

**shows**  $worecZSL Z S L i = L (worecZSL Z S L) i$

*<proof>*

### 8.5.3 Well-order succ-lim induction

**lemma** *ord-cases*:

**obtains**  $j$  **where**  $i = \text{succ } j$  **and**  $\text{aboveS } j \neq \{\}$  **|**  $\text{isLim } i$

*<proof>*

**lemma** *well-order-inductSL*[*case-names Suc Lim*]:

**assumes**  $\bigwedge i. [\text{aboveS } i \neq \{\}; P i] \implies P (\text{succ } i)$   $\bigwedge i. [\text{isLim } i; \bigwedge j. j \in \text{underS } i \implies P j] \implies P i$

**shows**  $P i$

*<proof>*

**lemma** *well-order-inductZSL*[*case-names Zero Suc Lim*]:

**assumes**  $P \text{ zero}$

**and**  $\bigwedge i. [\text{aboveS } i \neq \{\}; P i] \implies P (\text{succ } i)$  **and**

$\bigwedge i. [\text{isLim } i; i \neq \text{zero}; \bigwedge j. j \in \text{underS } i \implies P j] \implies P i$

**shows**  $P i$

*<proof>*

**definition**  $\text{isSuccOrd} \equiv \exists j \in \text{Field } r. \forall i \in \text{Field } r. (i, j) \in r$

**definition**  $\text{isLimOrd} \equiv \neg \text{isSuccOrd}$

**lemma** *isLimOrd-succ*:

**assumes**  $\text{isLimOrd}$  **and**  $i \in \text{Field } r$

**shows**  $\text{succ } i \in \text{Field } r$

*<proof>*

**lemma** *isLimOrd-aboveS*:

**assumes**  $l: isLimOrd$  **and**  $i: i \in Field\ r$   
**shows**  $aboveS\ i \neq \{\}$   
 $\langle proof \rangle$

**lemma** *succ-aboveS-isLimOrd*:  
**assumes**  $\forall i \in Field\ r. aboveS\ i \neq \{\} \wedge succ\ i \in Field\ r$   
**shows**  $isLimOrd$   
 $\langle proof \rangle$

**lemma** *isLim-iff*:  
**assumes**  $l: isLim\ i$  **and**  $j: j \in underS\ i$   
**shows**  $\exists k. k \in underS\ i \wedge j \in underS\ k$   
 $\langle proof \rangle$

**end**

**abbreviation**  $zero \equiv wo-rel.zero$   
**abbreviation**  $succ \equiv wo-rel.succ$   
**abbreviation**  $pred \equiv wo-rel.pred$   
**abbreviation**  $isSucc \equiv wo-rel.isSucc$   
**abbreviation**  $isLim \equiv wo-rel.isLim$   
**abbreviation**  $isLimOrd \equiv wo-rel.isLimOrd$   
**abbreviation**  $isSuccOrd \equiv wo-rel.isSuccOrd$   
**abbreviation**  $adm-woL \equiv wo-rel.adm-woL$   
**abbreviation**  $worecSL \equiv wo-rel.worecSL$   
**abbreviation**  $worecZSL \equiv wo-rel.worecZSL$

## 8.6 Projections of wellorders

**definition**  $oproj\ r\ s\ f \equiv Field\ s \subseteq f^{-1}(Field\ r) \wedge compat\ r\ s\ f$

**lemma** *oproj-in*:  
**assumes**  $oproj\ r\ s\ f$  **and**  $(a, a') \in r$   
**shows**  $(f\ a, f\ a') \in s$   
 $\langle proof \rangle$

**lemma** *oproj-Field*:  
**assumes**  $f: oproj\ r\ s\ f$  **and**  $a: a \in Field\ r$   
**shows**  $f\ a \in Field\ s$   
 $\langle proof \rangle$

**lemma** *oproj-Field2*:  
**assumes**  $f: oproj\ r\ s\ f$  **and**  $a: b \in Field\ s$   
**shows**  $\exists a \in Field\ r. f\ a = b$   
 $\langle proof \rangle$

**lemma** *oproj-under*:  
**assumes**  $f: oproj\ r\ s\ f$  **and**  $a: a \in under\ r\ a'$   
**shows**  $f\ a \in under\ s\ (f\ a')$

*<proof>*

**theorem** *embedI*:

**assumes** *r*: *Well-order r* **and** *s*: *Well-order s*

**and** *f*:  $\bigwedge a. a \in \text{Field } r \implies f a \in \text{Field } s \wedge f \text{ `underS } r a \subseteq \text{underS } s (f a)$

**shows**  $\exists g. \text{embed } r s g$

*<proof>*

**corollary** *ordLeq-def2*:

$r \leq_o s \iff \text{Well-order } r \wedge \text{Well-order } s \wedge$

$(\exists f. \forall a \in \text{Field } r. f a \in \text{Field } s \wedge f \text{ `underS } r a \subseteq \text{underS } s (f a))$

*<proof>*

**lemma** *iso-oproj*:

**assumes** *r*: *Well-order r* **and** *s*: *Well-order s* **and** *f*: *iso r s f*

**shows** *oproj r s f*

*<proof>*

**theorem** *oproj-embed*:

**assumes** *r*: *Well-order r* **and** *s*: *Well-order s* **and** *f*: *oproj r s f*

**shows**  $\exists g. \text{embed } s r g$

*<proof>*

**corollary** *oproj-ordLeq*:

**assumes** *r*: *Well-order r* **and** *s*: *Well-order s* **and** *f*: *oproj r s f*

**shows**  $s \leq_o r$

*<proof>*

**end**

## 9 Ordinal Arithmetic

**theory** *Ordinal-Arithmetic*

**imports** *Wellorder-Constructions*

**begin**

**definition** *osum* ::  $'a \text{ rel} \Rightarrow 'b \text{ rel} \Rightarrow ('a + 'b) \text{ rel}$  (**infixr**  $\langle +_o \rangle$  70)

**where**

$r +_o r' = \text{map-prod } \text{Inl } \text{Inl } \text{ ` } r \cup \text{map-prod } \text{Inr } \text{Inr } \text{ ` } r' \cup$   
 $\{( \text{Inl } a, \text{Inr } a' ) \mid a a'. a \in \text{Field } r \wedge a' \in \text{Field } r'\}$

**lemma** *Field-osum*:  $\text{Field}(r +_o r') = \text{Inl } \text{ ` } \text{Field } r \cup \text{Inr } \text{ ` } \text{Field } r'$

*<proof>*

**lemma** *osum-Refl*:  $\llbracket \text{Refl } r; \text{Refl } r' \rrbracket \implies \text{Refl } (r +_o r')$

*<proof>*



**lemma** *osum-trans*:

**assumes** *TRANS*: *trans r* **and** *TRANS'*: *trans r'*

**shows** *trans (r +o r')*

*<proof>*

**lemma** *osum-Preorder*:  $\llbracket \text{Preorder } r; \text{Preorder } r' \rrbracket \implies \text{Preorder } (r +o r')$

*<proof>*

**lemma** *osum-antisym*:  $\llbracket \text{antisym } r; \text{antisym } r' \rrbracket \implies \text{antisym } (r +o r')$

*<proof>*

**lemma** *osum-Partial-order*:  $\llbracket \text{Partial-order } r; \text{Partial-order } r' \rrbracket \implies \text{Partial-order } (r +o r')$

*<proof>*

**lemma** *osum-Total*:  $\llbracket \text{Total } r; \text{Total } r' \rrbracket \implies \text{Total } (r +o r')$

*<proof>*

**lemma** *osum-Linear-order*:  $\llbracket \text{Linear-order } r; \text{Linear-order } r' \rrbracket \implies \text{Linear-order } (r +o r')$

*<proof>*

**lemma** *osum-wf*:

**assumes** *WF*: *wf r* **and** *WF'*: *wf r'*

**shows** *wf (r +o r')*

*<proof>*

**lemma** *osum-minus-Id*:

**assumes** *r*: *Total r*  $\neg (r \leq \text{Id})$  **and** *r'*: *Total r'*  $\neg (r' \leq \text{Id})$

**shows**  $(r +o r') - \text{Id} \leq (r - \text{Id}) +o (r' - \text{Id})$

*<proof>*

**lemma** *osum-minus-Id1*:

$r \leq \text{Id} \implies (r +o r') - \text{Id} \leq (\text{Inl } \text{'Field } r \times \text{Inr } \text{'Field } r') \cup (\text{map-prod } \text{Inr } \text{Inr } \text{'(r' - Id)})$

*<proof>*

**lemma** *osum-minus-Id2*:

$r' \leq \text{Id} \implies (r +o r') - \text{Id} \leq (\text{map-prod } \text{Inl } \text{Inl } \text{'(r - Id)}) \cup (\text{Inl } \text{'Field } r \times \text{Inr } \text{'Field } r')$

*<proof>*

**lemma** *osum-wf-Id*:

**assumes** *TOT*: *Total r* **and** *TOT'*: *Total r'* **and** *WF*: *wf(r - Id)* **and** *WF'*: *wf(r' - Id)*

**shows** *wf ((r +o r') - Id)*

*<proof>*

**lemma** *osum-Well-order*:

**assumes** *WELL*: Well-order  $r$  **and** *WELL'*: Well-order  $r'$   
**shows** Well-order  $(r +_o r')$   
 $\langle$ proof $\rangle$

**lemma** *osum-embedL*:  
**assumes** *WELL*: Well-order  $r$  **and** *WELL'*: Well-order  $r'$   
**shows**  $\text{embed } r (r +_o r') \text{ Inl}$   
 $\langle$ proof $\rangle$

**corollary** *osum-ordLeqL*:  
**assumes** *WELL*: Well-order  $r$  **and** *WELL'*: Well-order  $r'$   
**shows**  $r \leq_o r +_o r'$   
 $\langle$ proof $\rangle$

**lemma** *dir-image-alt*:  $\text{dir-image } r f = \text{map-prod } f f \text{ ' } r$   
 $\langle$ proof $\rangle$

**lemma** *map-prod-ordIso*:  $\llbracket \text{Well-order } r; \text{inj-on } f (Field\ r) \rrbracket \implies \text{map-prod } f f \text{ ' } r$   
 $=_o r$   
 $\langle$ proof $\rangle$

**definition** *oprod* ::  $'a\ rel \implies 'b\ rel \implies ('a \times 'b)\ rel$  (**infixr**  $\langle *_o \rangle$  80)  
**where**  $r *_o r' = \{((x1, y1), (x2, y2)) \mid$   
 $((y1, y2) \in r' - Id \wedge x1 \in Field\ r \wedge x2 \in Field\ r) \vee$   
 $((y1, y2) \in Restr\ Id (Field\ r') \wedge (x1, x2) \in r)\}$

**lemma** *Field-oprod*:  $Field (r *_o r') = Field\ r \times Field\ r'$   
 $\langle$ proof $\rangle$

**lemma** *oprod-Refl*:  $\llbracket Refl\ r; Refl\ r' \rrbracket \implies Refl (r *_o r')$   
 $\langle$ proof $\rangle$

**lemma** *oprod-trans*:  
**assumes**  $\text{trans } r \text{ trans } r' \text{ antisym } r \text{ antisym } r'$   
**shows**  $\text{trans } (r *_o r')$   
 $\langle$ proof $\rangle$

**lemma** *oprod-Preorder*:  $\llbracket Preorder\ r; Preorder\ r'; antisym\ r; antisym\ r' \rrbracket \implies Pre-$   
 $order (r *_o r')$   
 $\langle$ proof $\rangle$

**lemma** *oprod-antisym*:  $\llbracket antisym\ r; antisym\ r' \rrbracket \implies antisym (r *_o r')$   
 $\langle$ proof $\rangle$

**lemma** *oprod-Partial-order*:  $\llbracket Partial-order\ r; Partial-order\ r' \rrbracket \implies Partial-order$   
 $(r *_o r')$   
 $\langle$ proof $\rangle$

**lemma** *oprod-Total*:  $\llbracket Total\ r; Total\ r' \rrbracket \implies Total (r *_o r')$

*<proof>*

**lemma** *oprod-Linear-order*:  $\llbracket \text{Linear-order } r; \text{Linear-order } r' \rrbracket \implies \text{Linear-order } (r *o r')$

*<proof>*

**lemma** *oprod-wf*:

**assumes** *WF*: *wf* *r* **and** *WF'*: *wf* *r'*

**shows** *wf*  $(r *o r')$

*<proof>*

**lemma** *oprod-minus-Id*:

**assumes** *r*: *Total*  $r \neg (r \leq Id)$  **and** *r'*: *Total*  $r' \neg (r' \leq Id)$

**shows**  $(r *o r') - Id \leq (r - Id) *o (r' - Id)$

*<proof>*

**lemma** *oprod-minus-Id1*:

$r \leq Id \implies r *o r' - Id \leq \{(x,y1), (x,y2)\}. x \in \text{Field } r \wedge (y1, y2) \in (r' - Id)\}$

*<proof>*

**lemma** *wf-extend-oprod1*:

**assumes** *wf* *r*

**shows** *wf*  $\{(x,y1), (x,y2)\} . x \in A \wedge (y1, y2) \in r\}$

*<proof>*

**lemma** *oprod-minus-Id2*:

$r' \leq Id \implies r *o r' - Id \leq \{(x1,y), (x2,y)\}. (x1, x2) \in (r - Id) \wedge y \in \text{Field } r\}$

*<proof>*

**lemma** *wf-extend-oprod2*:

**assumes** *wf* *r*

**shows** *wf*  $\{(x1,y), (x2,y)\} . (x1, x2) \in r \wedge y \in A\}$

*<proof>*

**lemma** *oprod-wf-Id*:

**assumes** *TOT*: *Total* *r* **and** *TOT'*: *Total* *r'* **and** *WF*: *wf*  $(r - Id)$  **and** *WF'*: *wf*  $(r' - Id)$

**shows** *wf*  $((r *o r') - Id)$

*<proof>*

**lemma** *oprod-Well-order*:

**assumes** *WELL*: *Well-order* *r* **and** *WELL'*: *Well-order* *r'*

**shows** *Well-order*  $(r *o r')$

*<proof>*

**lemma** *oprod-embed*:

**assumes** *WELL*: *Well-order* *r* **and** *WELL'*: *Well-order* *r'* **and**  $r' \neq \{\}$

**shows** *embed*  $r (r *o r') (\lambda x. (x, \text{minim } r' (\text{Field } r')))$  (**is embed** - - ?f)

*<proof>*

**corollary** *oprod-ordLeq*:  $\llbracket \text{Well-order } r; \text{Well-order } r'; r' \neq \{\} \rrbracket \implies r \leq_o r *o r'$   
*<proof>*

**definition** *support z A f* =  $\{x \in A. f x \neq z\}$

**lemma** *support-Un[simp]*:  $\text{support } z (A \cup B) f = \text{support } z A f \cup \text{support } z B f$   
*<proof>*

**lemma** *support-upd[simp]*:  $\text{support } z A (f(x := z)) = \text{support } z A f - \{x\}$   
*<proof>*

**lemma** *support-upd-subset[simp]*:  $\text{support } z A (f(x := y)) \subseteq \text{support } z A f \cup \{x\}$   
*<proof>*

**lemma** *fun-unequal-in-support*:

**assumes**  $f \neq g \in \text{Func } A B \ g \in \text{Func } A C$

**shows**  $(\text{support } z A f \cup \text{support } z A g) \cap \{a. f a \neq g a\} \neq \{\}$

*<proof>*

**definition** *fin-support where*

$\text{fin-support } z A = \{f. \text{finite } (\text{support } z A f)\}$

**lemma** *finite-support*:  $f \in \text{fin-support } z A \implies \text{finite } (\text{support } z A f)$   
*<proof>*

**lemma** *fin-support-Field-osum*:

$f \in \text{fin-support } z (\text{Inl } \text{' } A \cup \text{Inr } \text{' } B) \longleftrightarrow$

$(f \circ \text{Inl}) \in \text{fin-support } z A \wedge (f \circ \text{Inr}) \in \text{fin-support } z B$  (**is** ?L  $\longleftrightarrow$  ?R1  $\wedge$  ?R2)

*<proof>*

**lemma** *Func-upd*:  $\llbracket f \in \text{Func } A B; x \in A; y \in B \rrbracket \implies f(x := y) \in \text{Func } A B$   
*<proof>*

**context** *wo-rel*

**begin**

**definition** *isMaxim* ::  $\text{' } a \text{ set} \Rightarrow \text{' } a \Rightarrow \text{bool}$

**where**  $\text{isMaxim } A b \equiv b \in A \wedge (\forall a \in A. (a, b) \in r)$

**definition** *maxim* ::  $\text{' } a \text{ set} \Rightarrow \text{' } a$

**where**  $\text{maxim } A \equiv \text{THE } b. \text{isMaxim } A b$

**lemma** *isMaxim-unique[intro]*:  $\llbracket \text{isMaxim } A x; \text{isMaxim } A y \rrbracket \implies x = y$   
*<proof>*

**lemma** *maxim-isMaxim*:  $\llbracket \text{finite } A; A \neq \{\}; A \subseteq \text{Field } r \rrbracket \implies \text{isMaxim } A (\text{maxim } A)$

*<proof>*

**lemma** *maxim-in*:  $\llbracket \text{finite } A; A \neq \{\}; A \subseteq \text{Field } r \rrbracket \implies \text{maxim } A \in A$   
*<proof>*

**lemma** *maxim-greatest*:  $\llbracket \text{finite } A; x \in A; A \subseteq \text{Field } r \rrbracket \implies (x, \text{maxim } A) \in r$   
*<proof>*

**lemma** *isMaxim-zero*:  $\text{isMaxim } A \text{ zero} \implies A = \{\text{zero}\}$   
*<proof>*

**lemma** *maxim-insert*:  
assumes *finite*  $A$   $A \neq \{\}$   $A \subseteq \text{Field } r$   $x \in \text{Field } r$   
shows  $\text{maxim } (\text{insert } x A) = \text{max2 } x (\text{maxim } A)$   
*<proof>*

**lemma** *maxim-Un*:  
assumes *finite*  $A$   $A \neq \{\}$   $A \subseteq \text{Field } r$  *finite*  $B$   $B \neq \{\}$   $B \subseteq \text{Field } r$   
shows  $\text{maxim } (A \cup B) = \text{max2 } (\text{maxim } A) (\text{maxim } B)$   
*<proof>*

**lemma** *maxim-insert-zero*:  
assumes *finite*  $A$   $A \neq \{\}$   $A \subseteq \text{Field } r$   
shows  $\text{maxim } (\text{insert zero } A) = \text{maxim } A$   
*<proof>*

**lemma** *maxim-equality*:  $\text{isMaxim } A x \implies \text{maxim } A = x$   
*<proof>*

**lemma** *maxim-singleton*:  
 $x \in \text{Field } r \implies \text{maxim } \{x\} = x$   
*<proof>*

**lemma** *maxim-Int*:  $\llbracket \text{finite } A; A \neq \{\}; A \subseteq \text{Field } r; \text{maxim } A \in B \rrbracket \implies \text{maxim } (A \cap B) = \text{maxim } A$   
*<proof>*

**lemma** *maxim-mono*:  $\llbracket X \subseteq Y; \text{finite } Y; X \neq \{\}; Y \subseteq \text{Field } r \rrbracket \implies (\text{maxim } X, \text{maxim } Y) \in r$   
*<proof>*

**definition** *max-fun-diff*  $f g \equiv \text{maxim } (\{a \in \text{Field } r. f a \neq g a\})$

**lemma** *max-fun-diff-commute*:  $\text{max-fun-diff } f g = \text{max-fun-diff } g f$   
*<proof>*

**lemma** *zero-under*:  $x \in \text{Field } r \implies \text{zero} \in \text{under } x$   
*<proof>*

**end**

**definition**  $FinFunc\ r\ s = Func\ (Field\ s)\ (Field\ r) \cap fin-support\ (zero\ r)\ (Field\ s)$

**lemma**  $FinFuncD$ :  $\llbracket f \in FinFunc\ r\ s; x \in Field\ s \rrbracket \implies f\ x \in Field\ r$   
*<proof>*

**locale**  $wo-rel2 =$   
  **fixes**  $r\ s$   
  **assumes**  $rWELL$ : *Well-order*  $r$   
  **and**  $sWELL$ : *Well-order*  $s$   
**begin**

**interpretation**  $r$ :  $wo-rel\ r$  *<proof>*

**interpretation**  $s$ :  $wo-rel\ s$  *<proof>*

**abbreviation**  $SUPP \equiv support\ r.zero\ (Field\ s)$

**abbreviation**  $FINFUNC \equiv FinFunc\ r\ s$

**lemmas**  $FINFUNC D = FinFuncD[of - r s]$

**lemma**  $fun-diff-alt$ :  $\{a \in Field\ s. f\ a \neq g\ a\} = (SUPP\ f \cup SUPP\ g) \cap \{a. f\ a \neq g\ a\}$   
*<proof>*

**lemma**  $max-fun-diff-alt$ :  
 $s.max-fun-diff\ f\ g = s.maxim\ ((SUPP\ f \cup SUPP\ g) \cap \{a. f\ a \neq g\ a\})$   
*<proof>*

**lemma**  $isMaxim-max-fun-diff$ :  $\llbracket f \neq g; f \in FINFUNC; g \in FINFUNC \rrbracket \implies$   
 $s.isMaxim\ \{a \in Field\ s. f\ a \neq g\ a\}\ (s.max-fun-diff\ f\ g)$   
*<proof>*

**lemma**  $max-fun-diff-in$ :  $\llbracket f \neq g; f \in FINFUNC; g \in FINFUNC \rrbracket \implies$   
 $s.max-fun-diff\ f\ g \in \{a \in Field\ s. f\ a \neq g\ a\}$   
*<proof>*

**lemma**  $max-fun-diff-max$ :  $\llbracket f \neq g; f \in FINFUNC; g \in FINFUNC; x \in \{a \in Field\ s. f\ a \neq g\ a\} \rrbracket \implies$   
 $(x, s.max-fun-diff\ f\ g) \in s$   
*<proof>*

**lemma**  $max-fun-diff$ :  
 $\llbracket f \neq g; f \in FINFUNC; g \in FINFUNC \rrbracket \implies$   
 $(\exists a\ b. a \neq b \wedge a \in Field\ r \wedge b \in Field\ r \wedge$   
   $f\ (s.max-fun-diff\ f\ g) = a \wedge g\ (s.max-fun-diff\ f\ g) = b)$   
*<proof>*

**lemma**  $max-fun-diff-le-eq$ :  
 $\llbracket (s.max-fun-diff\ f\ g, x) \in s; f \neq g; f \in FINFUNC; g \in FINFUNC; x \neq s.max-fun-diff$

$f \llbracket g \rrbracket \implies$   
 $f x = g x$   
 $\langle \text{proof} \rangle$

**lemma** *max-fun-diff-max2*:

**assumes** *ineq*:  $s.\text{max-fun-diff } f \ g = s.\text{max-fun-diff } g \ h \longrightarrow$

$f (s.\text{max-fun-diff } f \ g) \neq h (s.\text{max-fun-diff } g \ h)$  **and**

*fg*:  $f \neq g$  **and** *gh*:  $g \neq h$  **and** *fh*:  $f \neq h$  **and**

*f*:  $f \in \text{FINFUNC}$  **and** *g*:  $g \in \text{FINFUNC}$  **and** *h*:  $h \in \text{FINFUNC}$

**shows**  $s.\text{max-fun-diff } f \ h = s.\text{max2 } (s.\text{max-fun-diff } f \ g) (s.\text{max-fun-diff } g \ h)$   
**(is**  $?fh = s.\text{max2 } ?fg \ ?gh)$

$\langle \text{proof} \rangle$

**definition** *oexp* **where**

$oexp = \{(f, g) . f \in \text{FINFUNC} \wedge g \in \text{FINFUNC} \wedge$   
 $((\text{let } m = s.\text{max-fun-diff } f \ g \text{ in } (f \ m, g \ m) \in r) \vee f = g)\}$

**lemma** *Field-oexp*:  $\text{Field } oexp = \text{FINFUNC}$

$\langle \text{proof} \rangle$

**lemma** *oexp-Refl*:  $\text{Refl } oexp$

$\langle \text{proof} \rangle$

**lemma** *oexp-trans*:  $\text{trans } oexp$

$\langle \text{proof} \rangle$

**lemma** *oexp-Preorder*:  $\text{Preorder } oexp$

$\langle \text{proof} \rangle$

**lemma** *oexp-antisym*:  $\text{antisym } oexp$

$\langle \text{proof} \rangle$

**lemma** *oexp-Partial-order*:  $\text{Partial-order } oexp$

$\langle \text{proof} \rangle$

**lemma** *oexp-Total*:  $\text{Total } oexp$

$\langle \text{proof} \rangle$

**lemma** *oexp-Linear-order*:  $\text{Linear-order } oexp$

$\langle \text{proof} \rangle$

**definition** *const* =  $(\lambda x. \text{if } x \in \text{Field } s \text{ then } r.\text{zero} \text{ else } \text{undefined})$

**lemma** *const-in[simp]*:  $x \in \text{Field } s \implies \text{const } x = r.\text{zero}$

$\langle \text{proof} \rangle$

**lemma** *const-notin[simp]*:  $x \notin \text{Field } s \implies \text{const } x = \text{undefined}$

$\langle \text{proof} \rangle$

**lemma** *const-Int-Field[simp]*:  $\text{Field } s \cap - \{x. \text{const } x = r.\text{zero}\} = \{\}$   
 ⟨proof⟩

**lemma** *const-FINFUNC[simp]*:  $\text{Field } r \neq \{\} \implies \text{const} \in \text{FINFUNC}$   
 ⟨proof⟩

**lemma** *const-least*:  
 assumes  $\text{Field } r \neq \{\}$   $f \in \text{FINFUNC}$   
 shows  $(\text{const}, f) \in \text{oexp}$   
 ⟨proof⟩

**lemma** *support-not-const*:  
 assumes  $F \subseteq \text{FINFUNC}$  and  $\text{const} \notin F$   
 shows  $\forall f \in F. \text{finite } (\text{SUPP } f) \wedge \text{SUPP } f \neq \{\} \wedge \text{SUPP } f \subseteq \text{Field } s$   
 ⟨proof⟩

**lemma** *maxim-isMaxim-support*:  
 assumes  $F \subseteq \text{FINFUNC}$  and  $\text{const} \notin F$   
 shows  $\forall f \in F. s.\text{isMaxim } (\text{SUPP } f) (s.\text{maxim } (\text{SUPP } f))$   
 ⟨proof⟩

**lemma** *oexp-empty2*:  $\text{Field } s = \{\} \implies \text{oexp} = \{(\lambda x. \text{undefined}, \lambda x. \text{undefined})\}$   
 ⟨proof⟩

**lemma** *oexp-empty*:  $\llbracket \text{Field } r = \{\}; \text{Field } s \neq \{\} \rrbracket \implies \text{oexp} = \{\}$   
 ⟨proof⟩

**lemma** *fun-upd-FINFUNC*:  $\llbracket f \in \text{FINFUNC}; x \in \text{Field } s; y \in \text{Field } r \rrbracket \implies f(x := y) \in \text{FINFUNC}$   
 ⟨proof⟩

**lemma** *fun-upd-same-oexp*:  
 assumes  $(f, g) \in \text{oexp}$   $f x = g x$   $x \in \text{Field } s$   $y \in \text{Field } r$   
 shows  $(f(x := y), g(x := y)) \in \text{oexp}$   
 ⟨proof⟩

**lemma** *fun-upd-smaller-oexp*:  
 assumes  $f \in \text{FINFUNC}$   $x \in \text{Field } s$   $y \in \text{Field } r$   $(y, f x) \in r$   
 shows  $(f(x := y), f) \in \text{oexp}$   
 ⟨proof⟩

**lemma** *oexp-wf-Id*:  $\text{wf } (\text{oexp} - \text{Id})$   
 ⟨proof⟩

**lemma** *oexp-Well-order*:  $\text{Well-order } \text{oexp}$   
 ⟨proof⟩

**interpretation** *o*:  $\text{wo-rel } \text{oexp}$  ⟨proof⟩



**lemma** *zero-oexp*:  $\text{Field } r \neq \{\} \implies o.\text{zero} = \text{const}$   
*<proof>*

**end**

**notation** *wo-rel2.oexp* (**infixl**  $\langle \widehat{o} \rangle$  90)

**lemmas** *oexp-def* = *wo-rel2.oexp-def*[*unfolded wo-rel2-def*, *OF conjI*]

**lemmas** *oexp-Well-order* = *wo-rel2.oexp-Well-order*[*unfolded wo-rel2-def*, *OF conjI*]

**lemmas** *Field-oexp* = *wo-rel2.Field-oexp*[*unfolded wo-rel2-def*, *OF conjI*]

**definition** *ozero* =  $\{\}$

**lemma** *ozero-Well-order[simp]*: *Well-order ozero*  
*<proof>*

**lemma** *ozero-ordIso[simp]*:  $ozero =_o ozero$   
*<proof>*

**lemma** *Field-ozero[simp]*: *Field ozero* =  $\{\}$   
*<proof>*

**lemma** *iso-ozero-empty[simp]*:  $r =_o ozero = (r = \{\})$   
*<proof>*

**lemma** *ozero-ordLeq*:  
**assumes** *Well-order r* **shows**  $ozero \leq_o r$   
*<proof>*

**definition** *oone* =  $\{(((),()))\}$

**lemma** *oone-Well-order[simp]*: *Well-order oone*  
*<proof>*

**lemma** *Field-oone[simp]*: *Field oone* =  $\{()\}$   
*<proof>*

**lemma** *oone-ordIso*:  $oone =_o \{(x,x)\}$   
*<proof>*

**lemma** *osum-ordLeqR*: *Well-order r*  $\implies$  *Well-order s*  $\implies s \leq_o r +_o s$   
*<proof>*

**lemma** *osum-congL*:  
**assumes**  $r =_o s$  **and** *t: Well-order t*  
**shows**  $r +_o t =_o s +_o t$  (**is**  $?L =_o ?R$ )  
*<proof>*

**lemma** *osum-congR*:  
**assumes**  $r =_o s$  **and** *t: Well-order t*

**shows**  $t +_o r =_o t +_o s$  (**is**  $?L =_o ?R$ )  
*<proof>*

**lemma** *osum-cong*:  
**assumes**  $t =_o u$  **and**  $r =_o s$   
**shows**  $t +_o r =_o u +_o s$   
*<proof>*

**lemma** *Well-order-empty[simp]*: *Well-order*  $\{\}$   
*<proof>*

**lemma** *well-order-on-singleton[simp]*: *well-order-on*  $\{x\}$   $\{(x, x)\}$   
*<proof>*

**lemma** *oexp-empty[simp]*:  
**assumes** *Well-order*  $r$   
**shows**  $r \hat{=}_o \{\} = \{(\lambda x. \text{undefined}, \lambda x. \text{undefined})\}$   
*<proof>*

**lemma** *oexp-empty2[simp]*:  
**assumes** *Well-order*  $r$   $r \neq \{\}$   
**shows**  $\{\} \hat{=}_o r = \{\}$   
*<proof>*

**lemma** *oprod-zero[simp]*:  $\{\} *_o r = \{\} r *_o \{\} = \{\}$   
*<proof>*

**lemma** *oprod-congL*:  
**assumes**  $r =_o s$  **and**  $t$ : *Well-order*  $t$   
**shows**  $r *_o t =_o s *_o t$  (**is**  $?L =_o ?R$ )  
*<proof>*

**lemma** *oprod-congR*:  
**assumes**  $r =_o s$  **and**  $t$ : *Well-order*  $t$   
**shows**  $t *_o r =_o t *_o s$  (**is**  $?L =_o ?R$ )  
*<proof>*

**lemma** *oprod-cong*:  
**assumes**  $t =_o u$  **and**  $r =_o s$   
**shows**  $t *_o r =_o u *_o s$   
*<proof>*

**lemma** *Field-singleton[simp]*: *Field*  $\{(z, z)\} = \{z\}$   
*<proof>*

**lemma** *zero-singleton[simp]*: *zero*  $\{(z, z)\} = z$   
*<proof>*

**lemma** *FinFunc-singleton*: *FinFunc*  $\{(z, z)\} s = \{\lambda x. \text{if } x \in \text{Field } s \text{ then } z \text{ else}$

*undefined*}  
{*proof*}

**lemma** *oone-ordIso-oeq*:  
 **assumes**  $r =_o$  *oone* **and**  $s$ : *Well-order*  $s$   
 **shows**  $r \hat{=} s =_o$  *oone* (**is**  $?L =_o ?R$ )  
{*proof*}

**context**  
 **fixes**  $r s t$   
 **assumes**  $r$ : *Well-order*  $r$   
 **assumes**  $s$ : *Well-order*  $s$   
 **assumes**  $t$ : *Well-order*  $t$   
**begin**

**lemma** *osum-zeroL*:  $ozero +_o r =_o r$   
{*proof*}

**lemma** *osum-zeroR*:  $r +_o ozero =_o r$   
{*proof*}

**lemma** *osum-assoc*:  $(r +_o s) +_o t =_o r +_o s +_o t$  (**is**  $?L =_o ?R$ )  
{*proof*}

**lemma** *osum-monoR*:  
 **assumes**  $s <_o t$   
 **shows**  $r +_o s <_o r +_o t$  (**is**  $?L <_o ?R$ )  
{*proof*}

**lemma** *osum-monoL*:  
 **assumes**  $r \leq_o s$   
 **shows**  $r +_o t \leq_o s +_o t$   
{*proof*}

**lemma** *oprod-zeroL*:  $ozero *_o r =_o ozero$   
{*proof*}

**lemma** *oprod-zeroR*:  $r *_o ozero =_o ozero$   
{*proof*}

**lemma** *oprod-ooneR*:  $r *_o oone =_o r$  (**is**  $?L =_o ?R$ )  
{*proof*}

**lemma** *oprod-ooneL*:  $oone *_o r =_o r$  (**is**  $?L =_o ?R$ )  
{*proof*}

**lemma** *oprod-monoR*:  
 **assumes**  $ozero <_o r s <_o t$

**shows**  $r * o s < o r * o t$  (**is**  $?L < o ?R$ )  
 ⟨proof⟩

**lemma** *oprod-monoL*:  
**assumes**  $r \leq o s$   
**shows**  $r * o t \leq o s * o t$   
 ⟨proof⟩

**lemma** *oprod-assoc*:  $(r * o s) * o t = o r * o s * o t$  (**is**  $?L = o ?R$ )  
 ⟨proof⟩

**lemma** *oprod-osum*:  $r * o (s + o t) = o r * o s + o r * o t$  (**is**  $?L = o ?R$ )  
 ⟨proof⟩

**lemma** *ozero-oexp*:  $\neg (s = o ozero) \implies ozero \hat{o} s = o ozero$   
 ⟨proof⟩

**lemma** *oone-oexp*:  $oone \hat{o} s = o oone$  (**is**  $?L = o ?R$ )  
 ⟨proof⟩

**lemma** *oexp-monoR*:  
**assumes**  $oone < o r s < o t$   
**shows**  $r \hat{o} s < o r \hat{o} t$  (**is**  $?L < o ?R$ )  
 ⟨proof⟩

**lemma** *oexp-monoL*:  
**assumes**  $r \leq o s$   
**shows**  $r \hat{o} t \leq o s \hat{o} t$   
 ⟨proof⟩

**lemma** *ordLeq-oexp2*:  
**assumes**  $oone < o r$   
**shows**  $s \leq o r \hat{o} s$   
 ⟨proof⟩

**lemma** *FinFunc-osum*:  
 $fg \in FinFunc r (s + o t) = (fg \circ Inl \in FinFunc r s \wedge fg \circ Inr \in FinFunc r t)$   
 (**is**  $?L = (?R1 \wedge ?R2)$ )  
 ⟨proof⟩

**lemma** *max-fun-diff-eq-Inl*:  
**assumes**  $wo-rel.max-fun-diff (s + o t) (case-sum f1 g1) (case-sum f2 g2) = Inl x$   
 $case-sum f1 g1 \neq case-sum f2 g2$   
 $case-sum f1 g1 \in FinFunc r (s + o t) case-sum f2 g2 \in FinFunc r (s + o t)$   
**shows**  $wo-rel.max-fun-diff s f1 f2 = x$  (**is**  $?P$ )  $g1 = g2$  (**is**  $?Q$ )  
 ⟨proof⟩

**lemma** *max-fun-diff-eq-Inr*:  
**assumes**  $wo-rel.max-fun-diff (s + o t) (case-sum f1 g1) (case-sum f2 g2) = Inr$

*x*  
 $case\text{-}sum\ f1\ g1 \neq case\text{-}sum\ f2\ g2$   
 $case\text{-}sum\ f1\ g1 \in FinFunc\ r\ (s + o\ t)\ case\text{-}sum\ f2\ g2 \in FinFunc\ r\ (s + o\ t)$   
**shows**  $wo\text{-}rel.\text{max}\text{-}fun\text{-}diff\ t\ g1\ g2 = x$  (**is** ?P)  $g1 \neq g2$  (**is** ?Q)  
 <proof>

**lemma** *oexp-osum*:  $r \hat{o}\ (s + o\ t) = o\ (r \hat{o}\ s) * o\ (r \hat{o}\ t)$  (**is** ?R = o ?L)  
 <proof>

**definition** *rev-curr*  $f\ b = (if\ b \in Field\ t\ then\ \lambda a.\ f\ (a,\ b)\ else\ undefined)$

**lemma** *rev-curr-FinFunc*:  
**assumes** *Field*:  $Field\ r \neq \{\}$   
**shows**  $rev\text{-}curr\ ` (FinFunc\ r\ (s * o\ t)) = FinFunc\ (r \hat{o}\ s)\ t$   
 <proof>

**lemma** *rev-curr-app-FinFunc[elim!]*:  
 $\llbracket f \in FinFunc\ r\ (s * o\ t); z \in Field\ t \rrbracket \implies rev\text{-}curr\ f\ z \in FinFunc\ r\ s$   
 <proof>

**lemma** *max-fun-diff-oprod*:  
**assumes** *Field*:  $Field\ r \neq \{\}$  **and**  $f \neq g\ f \in FinFunc\ r\ (s * o\ t)\ g \in FinFunc\ r\ (s * o\ t)$   
**defines**  $m \equiv wo\text{-}rel.\text{max}\text{-}fun\text{-}diff\ t\ (rev\text{-}curr\ f)\ (rev\text{-}curr\ g)$   
**shows**  $wo\text{-}rel.\text{max}\text{-}fun\text{-}diff\ (s * o\ t)\ f\ g =$   
 $(wo\text{-}rel.\text{max}\text{-}fun\text{-}diff\ s\ (rev\text{-}curr\ f\ m)\ (rev\text{-}curr\ g\ m),\ m)$   
 <proof>

**lemma** *oexp-oexp*:  $(r \hat{o}\ s) \hat{o}\ t = o\ r \hat{o}\ (s * o\ t)$  (**is** ?R = o ?L)  
 <proof>

end

end

## 10 Cardinal-Order Relations

**theory** *Cardinal-Order-Relation*  
**imports** *Wellorder-Constructions*  
**begin**

**declare**  
 $card\text{-}order\text{-}on\text{-}well\text{-}order\text{-}on[simp]$   
 $card\text{-}of\text{-}card\text{-}order\text{-}on[simp]$   
 $card\text{-}of\text{-}well\text{-}order\text{-}on[simp]$   
 $Field\text{-}card\text{-}of[simp]$   
 $card\text{-}of\text{-}Card\text{-}order[simp]$   
 $card\text{-}of\text{-}Well\text{-}order[simp]$   
 $card\text{-}of\text{-}least[simp]$

*card-of-unique*[simp]  
*card-of-mono1* [simp]  
*card-of-mono2* [simp]  
*card-of-cong*[simp]  
*card-of-Field-ordIso*[simp]  
*card-of-underS*[simp]  
*ordLess-Field*[simp]  
*card-of-empty*[simp]  
*card-of-empty1* [simp]  
*card-of-image*[simp]  
*card-of-singl-ordLeq*[simp]  
*Card-order-singl-ordLeq*[simp]  
*card-of-Pow*[simp]  
*Card-order-Pow*[simp]  
*card-of-Plus1* [simp]  
*Card-order-Plus1* [simp]  
*card-of-Plus2* [simp]  
*Card-order-Plus2* [simp]  
*card-of-Plus-mono1* [simp]  
*card-of-Plus-mono2* [simp]  
*card-of-Plus-mono*[simp]  
*card-of-Plus-cong2* [simp]  
*card-of-Plus-cong*[simp]  
*card-of-Un-Plus-ordLeq* [simp]  
*card-of-Times1* [simp]  
*card-of-Times2* [simp]  
*card-of-Times3* [simp]  
*card-of-Times-mono1* [simp]  
*card-of-Times-mono2* [simp]  
*card-of-ordIso-finite*[simp]  
*card-of-Times-same-infinite*[simp]  
*card-of-Times-infinite-simps*[simp]  
*card-of-Plus-infinite1* [simp]  
*card-of-Plus-infinite2* [simp]  
*card-of-Plus-ordLess-infinite*[simp]  
*card-of-Plus-ordLess-infinite-Field*[simp]  
*infinite-cartesian-product*[simp]  
*cardSuc-Card-order*[simp]  
*cardSuc-greater*[simp]  
*cardSuc-ordLeq*[simp]  
*cardSuc-ordLeq-ordLess*[simp]  
*cardSuc-mono-ordLeq*[simp]  
*cardSuc-invar-ordIso*[simp]  
*card-of-cardSuc-finite*[simp]  
*cardSuc-finite*[simp]  
*card-of-Plus-ordLeq-infinite-Field*[simp]  
*curr-in*[intro, simp]

## 10.1 Cardinal of a set

**lemma** *card-of-inj-rel*: **assumes** *INJ*:  $\bigwedge x y y'. [(x,y) \in R; (x,y') \in R] \implies y = y'$   
**shows**  $|\{y. \exists x. (x,y) \in R\}| \leq_o |\{x. \exists y. (x,y) \in R\}|$   
*<proof>*

**lemma** *card-of-unique2*:  $[[\text{card-order-on } B \ r; \text{bij-betw } f \ A \ B]] \implies r =_o |A|$   
*<proof>*

**lemma** *internalize-card-of-ordLess2*:  
 $(|A| <_o |C|) = (\exists B < C. |A| =_o |B| \wedge |B| <_o |C|)$   
*<proof>*

**lemma** *Card-order-omax*:  
**assumes** *finite R and*  $R \neq \{\}$  **and**  $\forall r \in R. \text{Card-order } r$   
**shows** *Card-order (omax R)*  
*<proof>*

**lemma** *Card-order-omax2*:  
**assumes** *finite I and*  $I \neq \{\}$   
**shows** *Card-order (omax  $\{|A \ i| \mid i. i \in I\})$*   
*<proof>*

## 10.2 Cardinals versus set operations on arbitrary sets

**lemma** *card-of-set-type[simp]*:  $|UNIV::'a \text{ set}| <_o |UNIV::'a \text{ set set}|$   
*<proof>*

**lemma** *card-of-Un1[simp]*:  $|A| \leq_o |A \cup B|$   
*<proof>*

**lemma** *card-of-diff[simp]*:  $|A - B| \leq_o |A|$   
*<proof>*

**lemma** *subset-ordLeq-strict*:  
**assumes**  $A \leq B$  **and**  $|A| <_o |B|$   
**shows**  $A < B$   
*<proof>*

**corollary** *subset-ordLeq-diff*:  
**assumes**  $A \leq B$  **and**  $|A| <_o |B|$   
**shows**  $B - A \neq \{\}$   
*<proof>*

**lemma** *card-of-empty4*:  
 $|\{\}::'b \text{ set}| <_o |A::'a \text{ set}| = (A \neq \{\})$   
*<proof>*

**lemma** *card-of-empty5*:  
 $|A| <_o |B| \implies B \neq \{\}$

*<proof>*

**lemma** *Well-order-card-of-empty:*

*Well-order*  $r \implies |\{\}\| \leq_o r$

*<proof>*

**lemma** *card-of-UNIV[simp]:*

$|A :: 'a \text{ set}| \leq_o |UNIV :: 'a \text{ set}|$

*<proof>*

**lemma** *card-of-UNIV2[simp]:*

*Card-order*  $r \implies (r :: 'a \text{ rel}) \leq_o |UNIV :: 'a \text{ set}|$

*<proof>*

**lemma** *card-of-Pow-mono[simp]:*

**assumes**  $|A| \leq_o |B|$

**shows**  $|Pow A| \leq_o |Pow B|$

*<proof>*

**lemma** *ordIso-Pow-mono[simp]:*

**assumes**  $r \leq_o r'$

**shows**  $|Pow(Field r)| \leq_o |Pow(Field r')|$

*<proof>*

**lemma** *card-of-Pow-cong[simp]:*

**assumes**  $|A| =_o |B|$

**shows**  $|Pow A| =_o |Pow B|$

*<proof>*

**lemma** *ordIso-Pow-cong[simp]:*

**assumes**  $r =_o r'$

**shows**  $|Pow(Field r)| =_o |Pow(Field r')|$

*<proof>*

**corollary** *Card-order-Plus-empty1:*

*Card-order*  $r \implies r =_o |(Field r) <+> \{\}|$

*<proof>*

**corollary** *Card-order-Plus-empty2:*

*Card-order*  $r \implies r =_o |\{\}| <+> (Field r)|$

*<proof>*

**lemma** *card-of-Un2[simp]:*

**shows**  $|A| \leq_o |B \cup A|$

*<proof>*

**lemma** *Un-Plus-bij-betw:*

**assumes**  $A \text{ Int } B = \{\}$

**shows**  $\exists f. \text{bij-betw } f (A \cup B) (A <+> B)$



*<proof>*

**lemma** *card-of-Un-Plus-ordIso*:

**assumes**  $A \text{ Int } B = \{\}$

**shows**  $|A \cup B| =_o |A \lt+> B|$

*<proof>*

**lemma** *card-of-Un-Plus-ordIso1*:

$|A \cup B| =_o |A \lt+> (B - A)|$

*<proof>*

**lemma** *card-of-Un-Plus-ordIso2*:

$|A \cup B| =_o |(A - B) \lt+> B|$

*<proof>*

**lemma** *card-of-Times-singl1*:  $|A| =_o |A \times \{b\}|$

*<proof>*

**corollary** *Card-order-Times-singl1*:

*Card-order*  $r \implies r =_o |(Field\ r) \times \{b\}|$

*<proof>*

**lemma** *card-of-Times-singl2*:  $|A| =_o |\{b\} \times A|$

*<proof>*

**corollary** *Card-order-Times-singl2*:

*Card-order*  $r \implies r =_o |\{a\} \times (Field\ r)|$

*<proof>*

**lemma** *card-of-Times-assoc*:  $|(A \times B) \times C| =_o |A \times B \times C|$

*<proof>*

**lemma** *card-of-Times-cong1[simp]*:

**assumes**  $|A| =_o |B|$

**shows**  $|A \times C| =_o |B \times C|$

*<proof>*

**lemma** *card-of-Times-cong2[simp]*:

**assumes**  $|A| =_o |B|$

**shows**  $|C \times A| =_o |C \times B|$

*<proof>*

**lemma** *card-of-Times-mono[simp]*:

**assumes**  $|A| \leq_o |B|$  **and**  $|C| \leq_o |D|$

**shows**  $|A \times C| \leq_o |B \times D|$

*<proof>*

**corollary** *ordLeq-Times-mono*:

**assumes**  $r \leq_o r'$  **and**  $p \leq_o p'$

**shows**  $|(Field\ r) \times (Field\ p)| \leq_o |(Field\ r') \times (Field\ p')|$   
 $\langle proof \rangle$

**corollary** *ordIso-Times-cong1* [simp]:

**assumes**  $r =_o r'$   
**shows**  $|(Field\ r) \times C| =_o |(Field\ r') \times C|$   
 $\langle proof \rangle$

**corollary** *ordIso-Times-cong2*:

**assumes**  $r =_o r'$   
**shows**  $|A \times (Field\ r)| =_o |A \times (Field\ r')|$   
 $\langle proof \rangle$

**lemma** *card-of-Times-cong* [simp]:

**assumes**  $|A| =_o |B|$  **and**  $|C| =_o |D|$   
**shows**  $|A \times C| =_o |B \times D|$   
 $\langle proof \rangle$

**corollary** *ordIso-Times-cong*:

**assumes**  $r =_o r'$  **and**  $p =_o p'$   
**shows**  $|(Field\ r) \times (Field\ p)| =_o |(Field\ r') \times (Field\ p')|$   
 $\langle proof \rangle$

**lemma** *card-of-Sigma-mono2*:

**assumes** *inj-on*  $f$  ( $I::'i$  set) **and**  $f \text{ ' } I \leq (J::'j$  set)  
**shows**  $|SIGMA\ i : I. (A::'j \Rightarrow 'a$  set)  $(f\ i)| \leq_o |SIGMA\ j : J. A\ j|$   
 $\langle proof \rangle$

**lemma** *card-of-Sigma-mono*:

**assumes** *INJ*: *inj-on*  $f\ I$  **and** *IM*:  $f \text{ ' } I \leq J$  **and**  
 $LEQ: \forall j \in J. |A\ j| \leq_o |B\ j|$   
**shows**  $|SIGMA\ i : I. A\ (f\ i)| \leq_o |SIGMA\ j : J. B\ j|$   
 $\langle proof \rangle$

**lemma** *ordLeq-Sigma-mono1*:

**assumes**  $\forall i \in I. p\ i \leq_o r\ i$   
**shows**  $|SIGMA\ i : I. Field(p\ i)| \leq_o |SIGMA\ i : I. Field(r\ i)|$   
 $\langle proof \rangle$

**lemma** *ordLeq-Sigma-mono*:

**assumes** *inj-on*  $f\ I$  **and**  $f \text{ ' } I \leq J$  **and**  
 $\forall j \in J. p\ j \leq_o r\ j$   
**shows**  $|SIGMA\ i : I. Field(p(f\ i))| \leq_o |SIGMA\ j : J. Field(r\ j)|$   
 $\langle proof \rangle$

**lemma** *ordIso-Sigma-cong1*:

**assumes**  $\forall i \in I. p\ i =_o r\ i$   
**shows**  $|SIGMA\ i : I. Field(p\ i)| =_o |SIGMA\ i : I. Field(r\ i)|$   
 $\langle proof \rangle$

**lemma** *ordLeq-Sigma-cong*:

**assumes** *bij-betw f I J* **and**

$\forall j \in J. p\ j =_o\ r\ j$

**shows**  $|\text{SIGMA } i : I. \text{Field}(p(f\ i))| =_o\ |\text{SIGMA } j : J. \text{Field}(r\ j)|$

*<proof>*

**lemma** *card-of-UNION-Sigma2*:

**assumes**  $\bigwedge i\ j. [\{i,j\} \leq I; i \neq j] \implies A\ i\ \text{Int}\ A\ j = \{\}$

**shows**  $|\bigcup_{i \in I}. A\ i| =_o\ |\text{Sigma } I\ A|$

*<proof>*

**corollary** *Plus-into-Times*:

**assumes**  $A2: a1 \neq a2 \wedge \{a1, a2\} \leq A$  **and**  $B2: b1 \neq b2 \wedge \{b1, b2\} \leq B$

**shows**  $\exists f. \text{inj-on } f\ (A\ <+>\ B) \wedge f\ ' (A\ <+>\ B) \leq A \times B$

*<proof>*

**corollary** *Plus-into-Times-types*:

**assumes**  $A2: (a1::'a) \neq a2$  **and**  $B2: (b1::'b) \neq b2$

**shows**  $\exists (f::'a + 'b \Rightarrow 'a * 'b). \text{inj } f$

*<proof>*

**corollary** *Times-same-infinite-bij-betw*:

**assumes**  $\neg \text{finite } A$

**shows**  $\exists f. \text{bij-betw } f\ (A \times A)\ A$

*<proof>*

**corollary** *Times-same-infinite-bij-betw-types*:

**assumes**  $\text{INF}: \neg \text{finite}(UNIV::'a\ \text{set})$

**shows**  $\exists (f::('a * 'a) \Rightarrow 'a). \text{bij } f$

*<proof>*

**corollary** *Times-infinite-bij-betw*:

**assumes**  $\text{INF}: \neg \text{finite } A$  **and**  $\text{NE}: B \neq \{\}$  **and**  $\text{INJ}: \text{inj-on } g\ B \wedge g\ ' B \leq A$

**shows**  $(\exists f. \text{bij-betw } f\ (A \times B)\ A) \wedge (\exists h. \text{bij-betw } h\ (B \times A)\ A)$

*<proof>*

**corollary** *Times-infinite-bij-betw-types*:

**assumes**  $\neg \text{finite}(UNIV::'a\ \text{set})$  **and**  $\text{inj}(g::'b \Rightarrow 'a)$

**shows**  $(\exists (f::('b * 'a) \Rightarrow 'a). \text{bij } f) \wedge (\exists (h::('a * 'b) \Rightarrow 'a). \text{bij } h)$

*<proof>*

**lemma** *card-of-Times-ordLeq-infinite*:

$[\neg \text{finite } C; |A| \leq_o\ |C|; |B| \leq_o\ |C|] \implies |A \times B| \leq_o\ |C|$

*<proof>*

**corollary** *Plus-infinite-bij-betw*:

**assumes**  $\text{INF}: \neg \text{finite } A$  **and**  $\text{INJ}: \text{inj-on } g\ B \wedge g\ ' B \leq A$

**shows**  $(\exists f. \text{bij-betw } f\ (A\ <+>\ B)\ A) \wedge (\exists h. \text{bij-betw } h\ (B\ <+>\ A)\ A)$

*<proof>*

**corollary** *Plus-infinite-bij-betw-types:*

**assumes**  $\neg\text{finite}(UNIV::'a \text{ set})$  **and**  $\text{inj}(g::'b \Rightarrow 'a)$

**shows**  $(\exists(f::('b + 'a) \Rightarrow 'a). \text{bij } f) \wedge (\exists(h::('a + 'b) \Rightarrow 'a). \text{bij } h)$

*<proof>*

**lemma** *card-of-Un-infinite:*

**assumes**  $INF: \neg\text{finite } A$  **and**  $LEQ: |B| \leq_o |A|$

**shows**  $|A \cup B| =_o |A| \wedge |B \cup A| =_o |A|$

*<proof>*

**lemma** *card-of-Un-infinite-simps[simp]:*

$[\neg\text{finite } A; |B| \leq_o |A|] \Longrightarrow |A \cup B| =_o |A|$

$[\neg\text{finite } A; |B| \leq_o |A|] \Longrightarrow |B \cup A| =_o |A|$

*<proof>*

**lemma** *card-of-Un-diff-infinite:*

**assumes**  $INF: \neg\text{finite } A$  **and**  $LESS: |B| <_o |A|$

**shows**  $|A - B| =_o |A|$

*<proof>*

**corollary** *Card-order-Un-infinite:*

**assumes**  $INF: \neg\text{finite}(\text{Field } r)$  **and**  $CARD: \text{Card-order } r$  **and**

$LEQ: p \leq_o r$

**shows**  $|(\text{Field } r) \cup (\text{Field } p)| =_o r \wedge |(\text{Field } p) \cup (\text{Field } r)| =_o r$

*<proof>*

**corollary** *subset-ordLeq-diff-infinite:*

**assumes**  $INF: \neg\text{finite } B$  **and**  $SUB: A \leq B$  **and**  $LESS: |A| <_o |B|$

**shows**  $\neg\text{finite}(B - A)$

*<proof>*

**lemma** *card-of-Times-ordLess-infinite[simp]:*

**assumes**  $INF: \neg\text{finite } C$  **and**

$LESS1: |A| <_o |C|$  **and**  $LESS2: |B| <_o |C|$

**shows**  $|A \times B| <_o |C|$

*<proof>*

**lemma** *card-of-Times-ordLess-infinite-Field[simp]:*

**assumes**  $INF: \neg\text{finite}(\text{Field } r)$  **and**  $r: \text{Card-order } r$  **and**

$LESS1: |A| <_o r$  **and**  $LESS2: |B| <_o r$

**shows**  $|A \times B| <_o r$

*<proof>*

**lemma** *ordLeq-finite-Field:*

**assumes**  $r \leq_o s$  **and**  $\text{finite}(\text{Field } s)$

**shows**  $\text{finite}(\text{Field } r)$

*<proof>*

**lemma** *ordIso-finite-Field*:  
**assumes**  $r =_o s$   
**shows**  $\text{finite } (\text{Field } r) \longleftrightarrow \text{finite } (\text{Field } s)$   
 $\langle \text{proof} \rangle$

### 10.3 Cardinals versus set operations involving infinite sets

**lemma** *finite-iff-cardOf-nat*:  
 $\text{finite } A = ( |A| <_o |UNIV :: \text{nat set}| )$   
 $\langle \text{proof} \rangle$

**lemma** *finite-ordLess-infinite2[simp]*:  
**assumes**  $\text{finite } A$  **and**  $\neg \text{finite } B$   
**shows**  $|A| <_o |B|$   
 $\langle \text{proof} \rangle$

**lemma** *infinite-card-of-insert*:  
**assumes**  $\neg \text{finite } A$   
**shows**  $|\text{insert } a \ A| =_o |A|$   
 $\langle \text{proof} \rangle$

**lemma** *card-of-Un-singl-ordLess-infinite1*:  
**assumes**  $\neg \text{finite } B$  **and**  $|A| <_o |B|$   
**shows**  $|\{a\} \ \text{Un } A| <_o |B|$   
 $\langle \text{proof} \rangle$

**lemma** *card-of-Un-singl-ordLess-infinite*:  
**assumes**  $\neg \text{finite } B$   
**shows**  $|A| <_o |B| \longleftrightarrow |\{a\} \ \text{Un } A| <_o |B|$   
 $\langle \text{proof} \rangle$

### 10.4 Cardinals versus lists

The next is an auxiliary operator, which shall be used for inductive proofs of facts concerning the cardinality of *List* :

**definition** *nlists* :: 'a set  $\Rightarrow$  nat  $\Rightarrow$  'a list set  
**where**  $\text{nlists } A \ n \equiv \{l. \text{set } l \leq A \wedge \text{length } l = n\}$

**lemma** *lists-UNION-nlists*:  $\text{lists } A = (\bigcup n. \text{nlists } A \ n)$   
 $\langle \text{proof} \rangle$

**lemma** *card-of-lists*:  $|A| \leq_o |\text{lists } A|$   
 $\langle \text{proof} \rangle$

**lemma** *nlists-0*:  $\text{nlists } A \ 0 = \{\{\}\}$   
 $\langle \text{proof} \rangle$

**lemma** *nlists-not-empty*:

**assumes**  $A \neq \{\}$   
**shows**  $nlists\ A\ n \neq \{\}$   
 $\langle proof \rangle$

**lemma** *card-of-nlists-Succ*:  $|nlists\ A\ (Suc\ n)| =_o |A \times (nlists\ A\ n)|$   
 $\langle proof \rangle$

**lemma** *card-of-nlists-infinite*:  
**assumes**  $\neg finite\ A$   
**shows**  $|nlists\ A\ n| \leq_o |A|$   
 $\langle proof \rangle$

**lemma** *Card-order-lists*:  $Card\text{-}order\ r \implies r \leq_o |lists(Field\ r)|$   
 $\langle proof \rangle$

**lemma** *Union-set-lists*:  $\bigcup (set\ ' (lists\ A)) = A$   
 $\langle proof \rangle$

**lemma** *inj-on-map-lists*:  
**assumes**  $inj\text{-}on\ f\ A$   
**shows**  $inj\text{-}on\ (map\ f)\ (lists\ A)$   
 $\langle proof \rangle$

**lemma** *map-lists-mono*:  
**assumes**  $f\ ' A \leq B$   
**shows**  $(map\ f)\ ' (lists\ A) \leq lists\ B$   
 $\langle proof \rangle$

**lemma** *map-lists-surjective*:  
**assumes**  $f\ ' A = B$   
**shows**  $(map\ f)\ ' (lists\ A) = lists\ B$   
 $\langle proof \rangle$

**lemma** *bij-betw-map-lists*:  
**assumes**  $bij\text{-}betw\ f\ A\ B$   
**shows**  $bij\text{-}betw\ (map\ f)\ (lists\ A)\ (lists\ B)$   
 $\langle proof \rangle$

**lemma** *card-of-lists-mono[simp]*:  
**assumes**  $|A| \leq_o |B|$   
**shows**  $|lists\ A| \leq_o |lists\ B|$   
 $\langle proof \rangle$

**lemma** *ordIso-lists-mono*:  
**assumes**  $r \leq_o r'$   
**shows**  $|lists(Field\ r)| \leq_o |lists(Field\ r')|$   
 $\langle proof \rangle$

**lemma** *card-of-lists-cong*[simp]:

**assumes**  $|A| =_o |B|$   
**shows**  $|lists\ A| =_o |lists\ B|$   
*<proof>*

**lemma** *card-of-lists-infinite*[simp]:

**assumes**  $\neg finite\ A$   
**shows**  $|lists\ A| =_o |A|$   
*<proof>*

**lemma** *Card-order-lists-infinite*:

**assumes** *Card-order*  $r$  **and**  $\neg finite(Field\ r)$   
**shows**  $|lists(Field\ r)| =_o r$   
*<proof>*

**lemma** *ordIso-lists-cong*:

**assumes**  $r =_o r'$   
**shows**  $|lists(Field\ r)| =_o |lists(Field\ r')|$   
*<proof>*

**corollary** *lists-infinite-bij-betw*:

**assumes**  $\neg finite\ A$   
**shows**  $\exists f. bij\_betw\ f\ (lists\ A)\ A$   
*<proof>*

**corollary** *lists-infinite-bij-betw-types*:

**assumes**  $\neg finite(UNIV :: 'a\ set)$   
**shows**  $\exists (f :: 'a\ list \Rightarrow 'a). bij\ f$   
*<proof>*

## 10.5 Cardinals versus the finite powerset operator

**lemma** *card-of-Fpow*[simp]:  $|A| \leq_o |Fpow\ A|$   
*<proof>*

**lemma** *Card-order-Fpow*: *Card-order*  $r \implies r \leq_o |Fpow(Field\ r)|$   
*<proof>*

**lemma** *image-Fpow-surjective*:

**assumes**  $f\ 'A = B$   
**shows**  $(image\ f)\ '(Fpow\ A) = Fpow\ B$   
*<proof>*

**lemma** *bij-betw-image-Fpow*:

**assumes** *bij-betw*  $f\ A\ B$   
**shows** *bij-betw*  $(image\ f)\ (Fpow\ A)\ (Fpow\ B)$   
*<proof>*

**lemma** *card-of-Fpow-mono*[simp]:

**assumes**  $|A| \leq_o |B|$   
**shows**  $|Fpow\ A| \leq_o |Fpow\ B|$   
 $\langle proof \rangle$

**lemma** *ordIso-Fpow-mono*:  
**assumes**  $r \leq_o r'$   
**shows**  $|Fpow(Field\ r)| \leq_o |Fpow(Field\ r')|$   
 $\langle proof \rangle$

**lemma** *card-of-Fpow-cong[simp]*:  
**assumes**  $|A| =_o |B|$   
**shows**  $|Fpow\ A| =_o |Fpow\ B|$   
 $\langle proof \rangle$

**lemma** *ordIso-Fpow-cong*:  
**assumes**  $r =_o r'$   
**shows**  $|Fpow(Field\ r)| =_o |Fpow(Field\ r')|$   
 $\langle proof \rangle$

**lemma** *card-of-Fpow-lists*:  $|Fpow\ A| \leq_o |lists\ A|$   
 $\langle proof \rangle$

**lemma** *card-of-Fpow-infinite[simp]*:  
**assumes**  $\neg finite\ A$   
**shows**  $|Fpow\ A| =_o |A|$   
 $\langle proof \rangle$

**corollary** *Fpow-infinite-bij-betw*:  
**assumes**  $\neg finite\ A$   
**shows**  $\exists f. bij\_betw\ f\ (Fpow\ A)\ A$   
 $\langle proof \rangle$

## 10.6 The cardinal $\omega$ and the finite cardinals

### 10.6.1 First as well-orders

**lemma** *Field-natLess*:  $Field\ natLess = (UNIV::nat\ set)$   
 $\langle proof \rangle$

**lemma** *natLeq-well-order-on*: *well-order-on UNIV natLeq*  
 $\langle proof \rangle$

**lemma** *natLeq-wo-rel*: *wo-rel natLeq*  
 $\langle proof \rangle$

**lemma** *natLeq-ofilter-less*: *ofilter natLeq  $\{0 \ ..< n\}$*   
 $\langle proof \rangle$

**lemma** *natLeq-ofilter-leq*: *ofilter natLeq  $\{0 \ .. n\}$*   
 $\langle proof \rangle$



**lemma** *natLeq-UNIV-ofilter*: *wo-rel.ofilter natLeq UNIV*  
 ⟨*proof*⟩

**lemma** *closed-nat-set-iff*:  
**assumes**  $\forall (m::nat) n. n \in A \wedge m \leq n \longrightarrow m \in A$   
**shows**  $A = UNIV \vee (\exists n. A = \{0 ..< n\})$   
 ⟨*proof*⟩

**lemma** *natLeq-ofilter-iff*:  
*ofilter natLeq A = (A = UNIV  $\vee$  ( $\exists n. A = \{0 ..< n\}$ ))*  
 ⟨*proof*⟩

**lemma** *natLeq-under-leq*: *under natLeq n = {0 .. n}*  
 ⟨*proof*⟩

**lemma** *natLeq-on-ofilter-less-eg*:  
 $n \leq m \implies \text{wo-rel.ofilter } (\text{natLeq-on } m) \{0 ..< n\}$   
 ⟨*proof*⟩

**lemma** *natLeq-on-ofilter-iff*:  
*wo-rel.ofilter (natLeq-on m) A = ( $\exists n \leq m. A = \{0 ..< n\}$ )*  
 ⟨*proof*⟩

**corollary** *natLeq-on-ofilter*:  
*ofilter(natLeq-on n) {0 ..< n}*  
 ⟨*proof*⟩

**lemma** *natLeq-on-ofilter-less*:  
**assumes**  $n < m$  **shows** *ofilter (natLeq-on m) {0 .. n}*  
 ⟨*proof*⟩

**lemma** *natLeq-on-ordLess-natLeq*: *natLeq-on n < o natLeq*  
 ⟨*proof*⟩

**lemma** *natLeq-on-injective*:  
 $\text{natLeq-on } m = \text{natLeq-on } n \implies m = n$   
 ⟨*proof*⟩

**lemma** *natLeq-on-injective-ordIso*:  
 $(\text{natLeq-on } m = \text{o natLeq-on } n) = (m = n)$   
 ⟨*proof*⟩

## 10.6.2 Then as cardinals

**lemma** *ordIso-natLeq-infinite1*:  
 $|A| = \text{o natLeq} \implies \neg \text{finite } A$   
 ⟨*proof*⟩

**lemma** *ordIso-natLeq-infinit2:*

$\text{natLeq} =_o |A| \implies \neg \text{finite } A$

$\langle \text{proof} \rangle$

**lemma** *ordIso-natLeq-on-imp-finite:*

$|A| =_o \text{natLeq-on } n \implies \text{finite } A$

$\langle \text{proof} \rangle$

**lemma** *natLeq-on-Card-order: Card-order (natLeq-on n)*

$\langle \text{proof} \rangle$

**corollary** *card-of-Field-natLeq-on:*

$|\text{Field } (\text{natLeq-on } n)| =_o \text{natLeq-on } n$

$\langle \text{proof} \rangle$

**corollary** *card-of-less:*

$|\{0 \dots n\}| =_o \text{natLeq-on } n$

$\langle \text{proof} \rangle$

**lemma** *natLeq-on-ordLeq-less-eq:*

$((\text{natLeq-on } m) \leq_o (\text{natLeq-on } n)) = (m \leq n)$

$\langle \text{proof} \rangle$

**lemma** *natLeq-on-ordLeq-less:*

$((\text{natLeq-on } m) <_o (\text{natLeq-on } n)) = (m < n)$

$\langle \text{proof} \rangle$

**lemma** *ordLeq-natLeq-on-imp-finite:*

**assumes**  $|A| \leq_o \text{natLeq-on } n$

**shows** *finite A*

$\langle \text{proof} \rangle$

### 10.6.3 "Backward compatibility" with the numeric cardinal operator for finite sets

**lemma** *finite-card-of-iff-card2:*

**assumes** *FIN: finite A and FIN': finite B*

**shows**  $(|A| \leq_o |B|) = (\text{card } A \leq \text{card } B)$

$\langle \text{proof} \rangle$

**lemma** *finite-imp-card-of-natLeq-on:*

**assumes** *finite A*

**shows**  $|A| =_o \text{natLeq-on } (\text{card } A)$

$\langle \text{proof} \rangle$

**lemma** *finite-iff-card-of-natLeq-on:*

*finite A =*  $(\exists n. |A| =_o \text{natLeq-on } n)$

$\langle \text{proof} \rangle$

**lemma** *finite-card-of-iff-card*:  
**assumes** *FIN*: *finite A* **and** *FIN'*: *finite B*  
**shows**  $(|A| =_o |B|) = (\text{card } A = \text{card } B)$   
 $\langle \text{proof} \rangle$

**lemma** *finite-card-of-iff-card3*:  
**assumes** *FIN*: *finite A* **and** *FIN'*: *finite B*  
**shows**  $(|A| <_o |B|) = (\text{card } A < \text{card } B)$   
 $\langle \text{proof} \rangle$

**lemma** *card-Field-natLeq-on*:  
 $\text{card}(\text{Field}(\text{natLeq-on } n)) = n$   
 $\langle \text{proof} \rangle$

## 10.7 The successor of a cardinal

**lemma** *embed-implies-ordIso-Restr*:  
**assumes** *WELL*: *Well-order r* **and** *WELL'*: *Well-order r'* **and** *EMB*: *embed r' r f*  
**shows**  $r' =_o \text{Restr } r (f \text{ ` } (\text{Field } r'))$   
 $\langle \text{proof} \rangle$

**lemma** *cardSuc-mono-ordLess[simp]*:  
**assumes** *CARD*: *Card-order r* **and** *CARD'*: *Card-order r'*  
**shows**  $(\text{cardSuc } r <_o \text{cardSuc } r') = (r <_o r')$   
 $\langle \text{proof} \rangle$

**lemma** *cardSuc-natLeq-on-Suc*:  
 $\text{cardSuc}(\text{natLeq-on } n) =_o \text{natLeq-on}(\text{Suc } n)$   
 $\langle \text{proof} \rangle$

**lemma** *card-of-Plus-ordLeq-infinite[simp]*:  
**assumes**  $\neg \text{finite } C$  **and**  $|A| \leq_o |C|$  **and**  $|B| \leq_o |C|$   
**shows**  $|A <+> B| \leq_o |C|$   
 $\langle \text{proof} \rangle$

**lemma** *card-of-Un-ordLeq-infinite[simp]*:  
**assumes**  $\neg \text{finite } C$  **and**  $|A| \leq_o |C|$  **and**  $|B| \leq_o |C|$   
**shows**  $|A \text{ Un } B| \leq_o |C|$   
 $\langle \text{proof} \rangle$

## 10.8 Others

**lemma** *under-mono[simp]*:  
**assumes** *Well-order r* **and**  $(i,j) \in r$   
**shows**  $\text{under } r \ i \subseteq \text{under } r \ j$   
 $\langle \text{proof} \rangle$

**lemma** *underS-under*:  
**assumes**  $i \in \text{Field } r$

**shows**  $\text{underS } r \ i = \text{under } r \ i - \{i\}$   
*<proof>*

**lemma** *relChain-under*:  
**assumes** *Well-order*  $r$   
**shows**  $\text{relChain } r \ (\lambda \ i. \text{under } r \ i)$   
*<proof>*

**lemma** *card-of-infinite-diff-finite*:  
**assumes**  $\neg \text{finite } A$  **and**  $\text{finite } B$   
**shows**  $|A - B| =_o |A|$   
*<proof>*

**lemma** *infinite-card-of-diff-singl*:  
**assumes**  $\neg \text{finite } A$   
**shows**  $|A - \{a\}| =_o |A|$   
*<proof>*

**lemma** *card-of-vimage*:  
**assumes**  $B \subseteq \text{range } f$   
**shows**  $|B| \leq_o |f^{-1} B|$   
*<proof>*

**lemma** *surj-card-of-vimage*:  
**assumes** *surj*  $f$   
**shows**  $|B| \leq_o |f^{-1} B|$   
*<proof>*

**definition** *Bpow where*  
 $Bpow \ r \ A \equiv \{X . X \subseteq A \wedge |X| \leq_o r\}$

**lemma** *Bpow-empty[simp]*:  
**assumes** *Card-order*  $r$   
**shows**  $Bpow \ r \ \{\} = \{\{\}\}$   
*<proof>*

**lemma** *singl-in-Bpow*:  
**assumes**  $rc$ : *Card-order*  $r$   
**and**  $r$ : *Field*  $r \neq \{\}$  **and**  $a$ :  $a \in A$   
**shows**  $\{a\} \in Bpow \ r \ A$   
*<proof>*

**lemma** *ordLeq-card-Bpow*:  
**assumes**  $rc$ : *Card-order*  $r$  **and**  $r$ : *Field*  $r \neq \{\}$   
**shows**  $|A| \leq_o |Bpow \ r \ A|$   
*<proof>*

**lemma** *infinite-Bpow*:

**assumes**  $rc$ : *Card-order*  $r$  **and**  $r$ : *Field*  $r \neq \{\}$   
**and**  $A$ :  $\neg$ *finite*  $A$   
**shows**  $\neg$ *finite* ( $Bpow\ r\ A$ )  
 $\langle$ *proof* $\rangle$

**definition** *Func-option* **where**

$Func\text{-}option\ A\ B \equiv$   
 $\{f. (\forall a. f\ a \neq None \longleftrightarrow a \in A) \wedge (\forall a \in A. case\ f\ a\ of\ Some\ b \Rightarrow b \in B\ | None$   
 $\Rightarrow True)\}$

**lemma** *card-of-Func-option-Func*:

$|Func\text{-}option\ A\ B| =_o |Func\ A\ B|$   
 $\langle$ *proof* $\rangle$

**definition** *Pfunc* **where**

$Pfunc\ A\ B \equiv$   
 $\{f. (\forall a. f\ a \neq None \longrightarrow a \in A) \wedge$   
 $(\forall a. case\ f\ a\ of\ None \Rightarrow True\ | Some\ b \Rightarrow b \in B)\}$

**lemma** *Func-Pfunc*:

$Func\text{-}option\ A\ B \subseteq Pfunc\ A\ B$   
 $\langle$ *proof* $\rangle$

**lemma** *Pfunc-Func-option*:

$Pfunc\ A\ B = (\bigcup A' \in Pow\ A. Func\text{-}option\ A'\ B)$   
 $\langle$ *proof* $\rangle$

**lemma** *card-of-Func-mono*:

**fixes**  $A1\ A2 :: 'a\ set$  **and**  $B :: 'b\ set$   
**assumes**  $A12$ :  $A1 \subseteq A2$  **and**  $B$ :  $B \neq \{\}$   
**shows**  $|Func\ A1\ B| \leq_o |Func\ A2\ B|$   
 $\langle$ *proof* $\rangle$

**lemma** *card-of-Func-option-mono*:

**fixes**  $A1\ A2 :: 'a\ set$  **and**  $B :: 'b\ set$   
**assumes**  $A12$ :  $A1 \subseteq A2$  **and**  $B$ :  $B \neq \{\}$   
**shows**  $|Func\text{-}option\ A1\ B| \leq_o |Func\text{-}option\ A2\ B|$   
 $\langle$ *proof* $\rangle$

**lemma** *card-of-Pfunc-Pow-Func-option*:

**assumes**  $B \neq \{\}$   
**shows**  $|Pfunc\ A\ B| \leq_o |Pow\ A \times Func\text{-}option\ A\ B|$   
 $\langle$ *proof* $\rangle$

**lemma** *Bpow-ordLeq-Func-Field*:

**assumes**  $rc$ : *Card-order*  $r$  **and**  $r$ : *Field*  $r \neq \{\}$  **and**  $A$ :  $\neg$ *finite*  $A$   
**shows**  $|Bpow\ r\ A| \leq_o |Func\ (Field\ r)\ A|$   
 $\langle$ *proof* $\rangle$

**lemma** *empty-in-Func*[simp]:  
 $B \neq \{\}$   $\implies (\lambda x. \text{undefined}) \in \text{Func } \{\} B$   
 ⟨proof⟩

**lemma** *Func-mono*[simp]:  
 assumes  $B1 \subseteq B2$   
 shows  $\text{Func } A B1 \subseteq \text{Func } A B2$   
 ⟨proof⟩

**lemma** *Pfunc-mono*[simp]:  
 assumes  $A1 \subseteq A2$  and  $B1 \subseteq B2$   
 shows  $\text{Pfunc } A B1 \subseteq \text{Pfunc } A B2$   
 ⟨proof⟩

**lemma** *card-of-Func-UNIV-UNIV*:  
 $|\text{Func } (\text{UNIV}::'a \text{ set}) (\text{UNIV}::'b \text{ set})| =_o |\text{UNIV}::('a \implies 'b) \text{ set}|$   
 ⟨proof⟩

**lemma** *ordLeq-Func*:  
 assumes  $\{b1, b2\} \subseteq B$   $b1 \neq b2$   
 shows  $|A| \leq_o |\text{Func } A B|$   
 ⟨proof⟩

**lemma** *infinite-Func*:  
 assumes  $A: \neg \text{finite } A$  and  $B: \{b1, b2\} \subseteq B$   $b1 \neq b2$   
 shows  $\neg \text{finite } (\text{Func } A B)$   
 ⟨proof⟩

## 10.9 Infinite cardinals are limit ordinals

**lemma** *card-order-infinite-isLimOrd*:  
 assumes  $c: \text{Card-order } r$  and  $i: \neg \text{finite } (\text{Field } r)$   
 shows  $\text{isLimOrd } r$   
 ⟨proof⟩

**lemma** *insert-Chain*:  
 assumes  $\text{Refl } r$   $C \in \text{Chains } r$  and  $i \in \text{Field } r$  and  $\bigwedge j. j \in C \implies (j, i) \in r \vee (i, j) \in r$   
 shows  $\text{insert } i C \in \text{Chains } r$   
 ⟨proof⟩

**lemma** *Collect-insert*:  $\{R j \mid j. j \in \text{insert } j1 J\} = \text{insert } (R j1) \{R j \mid j. j \in J\}$   
 ⟨proof⟩

**lemma** *Field-init-seg-of*[simp]:  
 $\text{Field init-seg-of} = \text{UNIV}$   
 ⟨proof⟩

**lemma** *refl-init-seg-of*[*intro, simp*]: *refl init-seg-of*  
 ⟨*proof*⟩

**lemma** *regularCard-all-ex*:  
 assumes *r*: *Card-order r regularCard r*  
 and *As*:  $\bigwedge i j b. b \in B \implies (i,j) \in r \implies P i b \implies P j b$   
 and *Bsub*:  $\forall b \in B. \exists i \in \text{Field } r. P i b$   
 and *cardB*:  $|B| < o r$   
 shows  $\exists i \in \text{Field } r. \forall b \in B. P i b$   
 ⟨*proof*⟩

**lemma** *relChain-stabilize*:  
 assumes *rc*: *relChain r As* and *AsB*:  $(\bigcup i \in \text{Field } r. As i) \subseteq B$  and *Br*:  $|B| < o r$   
 and *ir*:  $\neg \text{finite } (\text{Field } r)$  and *cr*: *Card-order r*  
 shows  $\exists i \in \text{Field } r. As (\text{succ } r i) = As i$   
 ⟨*proof*⟩

## 10.10 Regular vs. stable cardinals

**lemma** *stable-cardSuc*:  
 assumes *CARD*: *Card-order r* and *INF*:  $\neg \text{finite } (\text{Field } r)$   
 shows *stable(cardSuc r)*  
 ⟨*proof*⟩

**lemma** *stable-ordIso*:  
 assumes  $r = o r'$   
 shows *stable r = stable r'*  
 ⟨*proof*⟩

**lemma** *stable-nat*: *stable |UNIV::nat set|*  
 ⟨*proof*⟩

Below, the type of "A" is not important – we just had to choose an appropriate type to make "A" possible. What is important is that arbitrarily large infinite sets of stable cardinality exist.

**lemma** *infinite-stable-exists*:  
 assumes *CARD*:  $\forall r \in R. \text{Card-order } (r::'a \text{ rel})$   
 shows  $\exists (A :: (\text{nat} + 'a \text{ set})\text{set}).$   
 $\neg \text{finite } A \wedge \text{stable } |A| \wedge (\forall r \in R. r < o |A|)$   
 ⟨*proof*⟩

**corollary** *infinite-regularCard-exists*:  
 assumes *CARD*:  $\forall r \in R. \text{Card-order } (r::'a \text{ rel})$   
 shows  $\exists (A :: (\text{nat} + 'a \text{ set})\text{set}).$   
 $\neg \text{finite } A \wedge \text{regularCard } |A| \wedge (\forall r \in R. r < o |A|)$   
 ⟨*proof*⟩

**end**

## 11 Cardinal Arithmetic

```
theory Cardinal-Arithmetic
  imports Cardinal-Order-Relation
begin
```

### 11.1 Binary sum

```
lemma csum-Cnotzero2:
  Cnotzero r2  $\implies$  Cnotzero (r1 +c r2)
  <proof>
```

```
lemma single-cone:
  |{x}| =o cone
  <proof>
```

```
lemma cone-Cnotzero: Cnotzero cone
  <proof>
```

```
lemma cone-ordLeq-ctwo: cone  $\leq_o$  ctwo
  <proof>
```

```
lemma csum-czero1: Card-order r  $\implies$  r +c czero =o r
  <proof>
```

```
lemma csum-czero2: Card-order r  $\implies$  czero +c r =o r
  <proof>
```

### 11.2 Product

```
lemma Times-cprod: |A  $\times$  B| =o |A| *c |B|
  <proof>
```

```
lemma card-of-Times-singleton:
  fixes A :: 'a set
  shows |A  $\times$  {x}| =o |A|
  <proof>
```

```
lemma cprod-assoc: (r *c s) *c t =o r *c s *c t
  <proof>
```

```
lemma cprod-czero: r *c czero =o czero
  <proof>
```

```
lemma cprod-cone: Card-order r  $\implies$  r *c cone =o r
  <proof>
```

```
lemma ordLeq-cprod1: [[Card-order p1; Cnotzero p2]]  $\implies$  p1  $\leq_o$  p1 *c p2
  <proof>
```



### 11.3 Exponentiation

**lemma** *cexp-czero*:  $r \hat{c} \text{czero} =_o \text{cone}$   
 ⟨proof⟩

**lemma** *Pow-cexp-ctwo*:  
 $|Pow\ A| =_o \text{ctwo} \hat{c} |A|$   
 ⟨proof⟩

**lemma** *Cnotzero-cexp*:  
 assumes *Cnotzero*  $q$   
 shows *Cnotzero*  $(q \hat{c} r)$   
 ⟨proof⟩

**lemma** *Cinfinite-ctwo-cexp*:  
 $Cinfinite\ r \implies Cinfinite\ (\text{ctwo} \hat{c} r)$   
 ⟨proof⟩

**lemma** *cone-ordLeq-iff-Field*:  
 assumes  $\text{cone} \leq_o r$   
 shows *Field*  $r \neq \{\}$   
 ⟨proof⟩

**lemma** *cone-ordLeq-cexp*:  $\text{cone} \leq_o r1 \implies \text{cone} \leq_o r1 \hat{c} r2$   
 ⟨proof⟩

**lemma** *Card-order-czero*: *Card-order* *czero*  
 ⟨proof⟩

**lemma** *cexp-mono2''*:  
 assumes  $2: p2 \leq_o r2$   
 and  $n1: Cnotzero\ q$   
 and  $n2: Card\text{-order}\ p2$   
 shows  $q \hat{c} p2 \leq_o q \hat{c} r2$   
 ⟨proof⟩

**lemma** *csum-cexp*:  $\llbracket Cinfinite\ r1; Cinfinite\ r2; Card\text{-order}\ q; \text{ctwo} \leq_o q \rrbracket \implies$   
 $q \hat{c} r1 +_c q \hat{c} r2 \leq_o q \hat{c} (r1 +_c r2)$   
 ⟨proof⟩

**lemma** *csum-cexp'*:  $\llbracket Cinfinite\ r; Card\text{-order}\ q; \text{ctwo} \leq_o q \rrbracket \implies q +_c r \leq_o q \hat{c} r$   
 ⟨proof⟩

**lemma** *card-of-Sigma-ordLeq-Cinfinite*:  
 $\llbracket Cinfinite\ r; |I| \leq_o r; \forall i \in I. |A\ i| \leq_o r \rrbracket \implies |SIGMA\ i : I. A\ i| \leq_o r$   
 ⟨proof⟩

**lemma** *Cinfinite-ordLess-cexp*:  
 assumes  $r: Cinfinite\ r$   
 shows  $r <_o r \hat{c} r$

*<proof>*

**lemma** *infinite-ordLeq-cexp*:

**assumes** *Cinfinite r*

**shows**  $r \leq_o r \hat{c} r$

*<proof>*

**lemma** *czero-cexp*:  $Cnotzero r \implies czero \hat{c} r =_o czero$

*<proof>*

**lemma** *Func-singleton*:

**fixes**  $x :: 'b$  **and**  $A :: 'a$  *set*

**shows**  $|Func A \{x\}| =_o |\{x\}|$

*<proof>*

**lemma** *cone-cexp*:  $cone \hat{c} r =_o cone$

*<proof>*

**lemma** *card-of-Func-squared*:

**fixes**  $A :: 'a$  *set*

**shows**  $|Func (UNIV :: bool \text{set}) A| =_o |A \times A|$

*<proof>*

**lemma** *cexp-ctwo*:  $r \hat{c} ctwo =_o r *c r$

*<proof>*

**lemma** *card-of-Func-Plus*:

**fixes**  $A :: 'a$  *set* **and**  $B :: 'b$  *set* **and**  $C :: 'c$  *set*

**shows**  $|Func (A <+> B) C| =_o |Func A C \times Func B C|$

*<proof>*

**lemma** *cexp-csum*:  $r \hat{c} (s +c t) =_o r \hat{c} s *c r \hat{c} t$

*<proof>*

## 11.4 Powerset

**definition** *cpow* **where**  $cpow r = |Pow (Field r)|$

**lemma** *card-order-cpow*:  $card-order r \implies card-order (cpow r)$

*<proof>*

**lemma** *cpow-greater-eq*:  $Card-order r \implies r \leq_o cpow r$

*<proof>*

**lemma** *Cinfinite-cpow*:  $Cinfinite r \implies Cinfinite (cpow r)$

*<proof>*

**lemma** *Card-order-cpow*:  $Card-order (cpow r)$

*<proof>*

**lemma** *cardSuc-ordLeq-cpow*: *Card-order*  $r \implies \text{cardSuc } r \leq_o \text{cpow } r$   
*<proof>*

**lemma** *cpow-cexp-ctwo*:  $\text{cpow } r =_o \text{ctwo } \widehat{c} r$   
*<proof>*

## 11.5 Inverse image

**lemma** *vimage-ordLeq*:  
  **assumes**  $|A| \leq_o k$  **and**  $\forall a \in A. |\text{vimage } f \{a\}| \leq_o k$  **and** *Cinfinite*  $k$   
  **shows**  $|\text{vimage } f A| \leq_o k$   
*<proof>*

## 11.6 Maximum

**definition** *cmax* **where**

$\text{cmax } r \ s =$   
  (*if* *cinfinite*  $r \vee \text{cinfinite } s$  *then*  $\text{czero} +_c r +_c s$   
  *else* *natLeq-on* ( $\text{max } (\text{card } (\text{Field } r)) (\text{card } (\text{Field } s))$ )  $+_c \text{czero}$ )

**lemma** *cmax-com*:  $\text{cmax } r \ s =_o \text{cmax } s \ r$   
*<proof>*

**lemma** *cmax1*:  
  **assumes** *Card-order*  $r$  *Card-order*  $s$   $s \leq_o r$   
  **shows**  $\text{cmax } r \ s =_o r$   
*<proof>*

**lemma** *cmax2*:  
  **assumes** *Card-order*  $r$  *Card-order*  $s$   $r \leq_o s$   
  **shows**  $\text{cmax } r \ s =_o s$   
*<proof>*

**context**

**fixes**  $r \ s$

**assumes**  $r: \text{Cinfinite } r$

**and**  $s: \text{Cinfinite } s$

**begin**

**lemma** *cmax-csum*:  $\text{cmax } r \ s =_o r +_c s$   
*<proof>*

**lemma** *cmax-cprod*:  $\text{cmax } r \ s =_o r *_c s$   
*<proof>*

**end**

**lemma** *Card-order-cmax*:  
  **assumes**  $r: \text{Card-order } r$  **and**  $s: \text{Card-order } s$

**shows** *Card-order* (*cmax* *r s*)  
*<proof>*

**lemma** *ordLeq-cmax*:  
**assumes** *r*: *Card-order* *r* **and** *s*: *Card-order* *s*  
**shows**  $r \leq_o \text{cmax } r \ s \wedge s \leq_o \text{cmax } r \ s$   
*<proof>*

**lemmas** *ordLeq-cmax1* = *ordLeq-cmax*[*THEN* *conjunct1*] **and**  
*ordLeq-cmax2* = *ordLeq-cmax*[*THEN* *conjunct2*]

**lemma** *finite-cmax*:  
**assumes** *r*: *Card-order* *r* **and** *s*: *Card-order* *s*  
**shows**  $\text{finite } (\text{Field } (\text{cmax } r \ s)) \longleftrightarrow \text{finite } (\text{Field } r) \wedge \text{finite } (\text{Field } s)$   
*<proof>*

**end**

## 12 Extending Well-founded Relations to Wellorders

**theory** *Wellorder-Extension*  
**imports** *Main Order-Union*  
**begin**

### 12.1 Extending Well-founded Relations to Wellorders

A *downset* (also lower set, decreasing set, initial segment, or downward closed set) is closed w.r.t. smaller elements.

**definition** *downset-on* **where**  
 $\text{downset-on } A \ r = (\forall x \ y. (x, y) \in r \wedge y \in A \longrightarrow x \in A)$

**lemma** *downset-onI*:  
 $(\bigwedge x \ y. (x, y) \in r \Longrightarrow y \in A \Longrightarrow x \in A) \Longrightarrow \text{downset-on } A \ r$   
*<proof>*

**lemma** *downset-onD*:  
 $\text{downset-on } A \ r \Longrightarrow (x, y) \in r \Longrightarrow y \in A \Longrightarrow x \in A$   
*<proof>*

Extensions of relations w.r.t. a given set.

**definition** *extension-on* **where**  
 $\text{extension-on } A \ r \ s = (\forall x \in A. \forall y \in A. (x, y) \in s \longrightarrow (x, y) \in r)$

**lemma** *extension-onI*:  
 $(\bigwedge x \ y. \llbracket x \in A; y \in A; (x, y) \in s \rrbracket \Longrightarrow (x, y) \in r) \Longrightarrow \text{extension-on } A \ r \ s$   
*<proof>*

**lemma** *extension-onD*:

*extension-on*  $A$   $r$   $s \implies x \in A \implies y \in A \implies (x, y) \in s \implies (x, y) \in r$   
*<proof>*

**lemma** *downset-on-Union*:

**assumes**  $\bigwedge r. r \in R \implies \text{downset-on } (\text{Field } r) p$   
**shows** *downset-on*  $(\text{Field } (\bigcup R)) p$   
*<proof>*

**lemma** *chain-subset-extension-on-Union*:

**assumes** *chain* $\subseteq R$  **and**  $\bigwedge r. r \in R \implies \text{extension-on } (\text{Field } r) r p$   
**shows** *extension-on*  $(\text{Field } (\bigcup R)) (\bigcup R) p$   
*<proof>*

**lemma** *downset-on-empty [simp]*: *downset-on*  $\{\}$   $p$

*<proof>*

**lemma** *extension-on-empty [simp]*: *extension-on*  $\{\}$   $p$   $q$

*<proof>*

Every well-founded relation can be extended to a wellorder.

**theorem** *well-order-extension*:

**assumes** *wf*  $p$   
**shows**  $\exists w. p \subseteq w \wedge \text{Well-order } w$   
*<proof>*

Every well-founded relation can be extended to a total wellorder.

**corollary** *total-well-order-extension*:

**assumes** *wf*  $p$   
**shows**  $\exists w. p \subseteq w \wedge \text{Well-order } w \wedge \text{Field } w = \text{UNIV}$   
*<proof>*

**corollary** *well-order-on-extension*:

**assumes** *wf*  $p$  **and**  $\text{Field } p \subseteq A$   
**shows**  $\exists w. p \subseteq w \wedge \text{well-order-on } A w$   
*<proof>*

**end**

## 13 Theory of Ordinals and Cardinals

**theory** *Cardinals*

**imports** *Ordinal-Arithmetic Cardinal-Arithmetic Wellorder-Extension*

**begin**

**end**

## 14 Sets Strictly Bounded by an Infinite Cardinal

```
theory Bounded-Set
imports Cardinals
begin
```

```
typedef ('a, 'k) bset (<- set[-]> [22, 21] 21) =
  {A :: 'a set. |A| <o natLeq +c |UNIV :: 'k set|}
morphisms set-bset Abs-bset
<proof>
```

```
setup-lifting type-definition-bset
```

```
lift-definition map-bset ::
  ('a ⇒ 'b) ⇒ 'a set['k] ⇒ 'b set['k] is image
<proof>
```

```
lift-definition rel-bset ::
  ('a ⇒ 'b ⇒ bool) ⇒ 'a set['k] ⇒ 'b set['k] ⇒ bool is rel-set
<proof>
```

```
lift-definition bempty :: 'a set['k] is {}
<proof>
```

```
lift-definition binsert :: 'a ⇒ 'a set['k] ⇒ 'a set['k] is insert
<proof>
```

```
definition bsingleton where
  bsingleton x = binsert x bempty
```

```
lemma set-bset-to-set-bset: |A| <o natLeq +c |UNIV :: 'k set| ⇒
  set-bset (the-inv set-bset A :: 'a set['k]) = A
<proof>
```

```
lemma rel-bset-aux-infinite:
```

```
  fixes a :: 'a set['k] and b :: 'b set['k]
  shows (∀ t ∈ set-bset a. ∃ u ∈ set-bset b. R t u) ∧ (∀ u ∈ set-bset b. ∃ t ∈ set-bset
a. R t u) ⇔
  ((BNF-Def.Grp {a. set-bset a ⊆ {(a, b). R a b}} (map-bset fst))-1-1 OO
  BNF-Def.Grp {a. set-bset a ⊆ {(a, b). R a b}} (map-bset snd)) a b (is ?L ⇔
?R)
<proof>
```

```
bnf 'a set['k]
  map: map-bset
  sets: set-bset
  bd: natLeq +c card-suc |UNIV :: 'k set|
  wits: bempty
  rel: rel-bset
```

*<proof>*

**lemma** *map-bset-bempty[simp]*:  $\text{map-bset } f \text{ bempty} = \text{bempty}$   
*<proof>*

**lemma** *map-bset-binsert[simp]*:  $\text{map-bset } f (\text{binsert } x X) = \text{binsert } (f x) (\text{map-bset } f X)$   
*<proof>*

**lemma** *map-bset-bsingleton*:  $\text{map-bset } f (\text{bsingleton } x) = \text{bsingleton } (f x)$   
*<proof>*

**lemma** *bempty-not-binsert*:  $\text{bempty} \neq \text{binsert } x X \text{ binsert } x X \neq \text{bempty}$   
*<proof>*

**lemma** *bempty-not-bsingleton[simp]*:  $\text{bempty} \neq \text{bsingleton } x \text{ bsingleton } x \neq \text{bempty}$   
*<proof>*

**lemma** *bsingleton-inj[simp]*:  $\text{bsingleton } x = \text{bsingleton } y \longleftrightarrow x = y$   
*<proof>*

**lemma** *rel-bsingleton[simp]*:  
 $\text{rel-bset } R (\text{bsingleton } x1) (\text{bsingleton } x2) = R x1 x2$   
*<proof>*

**lemma** *rel-bset-bsingleton[simp]*:  
 $\text{rel-bset } R (\text{bsingleton } x1) = (\lambda X. X \neq \text{bempty} \wedge (\forall x2 \in \text{set-bset } X. R x1 x2))$   
 $\text{rel-bset } R X (\text{bsingleton } x2) = (X \neq \text{bempty} \wedge (\forall x1 \in \text{set-bset } X. R x1 x2))$   
*<proof>*

**lemma** *rel-bset-bempty[simp]*:  
 $\text{rel-bset } R \text{ bempty } X = (X = \text{bempty})$   
 $\text{rel-bset } R Y \text{ bempty} = (Y = \text{bempty})$   
*<proof>*

**definition** *bset-of-option where*  
 $\text{bset-of-option} = \text{case-option bempty bsingleton}$

**lift-definition** *bgraph* ::  $('a \Rightarrow 'b \text{ option}) \Rightarrow ('a \times 'b) \text{ set}['a \text{ set}]$  **is**  
 $\lambda f. \{(a, b). f a = \text{Some } b\}$   
*<proof>*

**lemma** *rel-bset-False[simp]*:  $\text{rel-bset } (\lambda x y. \text{False}) x y = (x = \text{bempty} \wedge y = \text{bempty})$   
*<proof>*

**lemma** *rel-bset-of-option[simp]*:  
 $\text{rel-bset } R (\text{bset-of-option } x1) (\text{bset-of-option } x2) = \text{rel-option } R x1 x2$   
*<proof>*

**lemma** *rel-bgraph[simp]*:  
 $rel\text{-}bset (rel\text{-}prod (=) R) (bgraph f1) (bgraph f2) = rel\text{-}fun (=) (rel\text{-}option R) f1$   
 $f2$   
 $\langle proof \rangle$

**lemma** *set-bset-bsingleton[simp]*:  
 $set\text{-}bset (bsingleton x) = \{x\}$   
 $\langle proof \rangle$

**lemma** *binsert-absorb[simp]*:  $binsert a (binsert a x) = binsert a x$   
 $\langle proof \rangle$

**lemma** *map-bset-eq-bempty-iff[simp]*:  $map\text{-}bset f X = bempty \iff X = bempty$   
 $\langle proof \rangle$

**lemma** *map-bset-eq-bsingleton-iff[simp]*:  
 $map\text{-}bset f X = bsingleton x \iff (set\text{-}bset X \neq \{\}) \wedge (\forall y \in set\text{-}bset X. f y = x)$   
 $\langle proof \rangle$

**lift-definition** *bCollect* ::  $'a \Rightarrow bool \Rightarrow 'a\ set['a\ set]$  **is** *Collect*  
 $\langle proof \rangle$

**lift-definition** *bmember* ::  $'a \Rightarrow 'a\ set['k] \Rightarrow bool$  **is**  $(\in)$   $\langle proof \rangle$

**lemma** *bmember-bCollect[simp]*:  $bmember a (bCollect P) = P a$   
 $\langle proof \rangle$

**lemma** *bset-eq-iff*:  $A = B \iff (\forall a. bmember a A = bmember a B)$   
 $\langle proof \rangle$

**locale** *bset-lifting*  
**begin**

**declare** *bset.rel-eq*[*relator-eq*]  
**declare** *bset.rel-mono*[*relator-mono*]  
**declare** *bset.rel-compp*[*symmetric*, *relator-distr*]  
**declare** *bset.rel-transfer*[*transfer-rule*]

**lemma** *bset-quot-map*[*quot-map*]:  $Quotient R Abs Rep T \implies$   
 $Quotient (rel\text{-}bset R) (map\text{-}bset Abs) (map\text{-}bset Rep) (rel\text{-}bset T)$   
 $\langle proof \rangle$

**lemma** *set-relator-eq-onp* [*relator-eq-onp*]:  
 $rel\text{-}bset (eq\text{-}onp P) = eq\text{-}onp (\lambda A. Ball (set\text{-}bset A) P)$   
 $\langle proof \rangle$

**end**



end