

# The Supplemental Isabelle/HOL Library

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# 1 Implementation of Association Lists

```
theory AList
  imports Main
begin
```

```
context
begin
```

The operations preserve distinctness of keys and function *clearjunk* distributes over them. Since *clearjunk* enforces distinctness of keys it can be used to establish the invariant, e.g. for inductive proofs.

## 1.1 update and updates

```
qualified primrec update :: 'key  $\Rightarrow$  'val  $\Rightarrow$  ('key  $\times$  'val) list  $\Rightarrow$  ('key  $\times$  'val) list
  where
```

```
  update k v [] = [(k, v)]
  | update k v (p # ps) = (if fst p = k then (k, v) # ps else p # update k v ps)
```

```
lemma update-conv': map-of (update k v al) = (map-of al)(k $\mapsto$ v)
  <proof>
```

```
corollary update-conv: map-of (update k v al) k' = ((map-of al)(k $\mapsto$ v)) k'
  <proof>
```

```
lemma dom-update: fst ` set (update k v al) = {k}  $\cup$  fst ` set al
  <proof>
```

```
lemma update-keys:
  map fst (update k v al) =
    (if k  $\in$  set (map fst al) then map fst al else map fst al @ [k])
  <proof>
```

```
lemma distinct-update:
  assumes distinct (map fst al)
  shows distinct (map fst (update k v al))
  <proof>
```

```
lemma update-filter:
  a  $\neq$  k  $\implies$  update k v [q $\leftarrow$ ps. fst q  $\neq$  a] = [q $\leftarrow$ update k v ps. fst q  $\neq$  a]
  <proof>
```

```
lemma update-triv: map-of al k = Some v  $\implies$  update k v al = al
  <proof>
```

```
lemma update-nonempty [simp]: update k v al  $\neq$  []
  <proof>
```

**lemma** *update-eqD*:  $\text{update } k \ v \ al = \text{update } k \ v' \ al' \implies v = v'$   
 ⟨proof⟩

**lemma** *update-last* [simp]:  $\text{update } k \ v \ (\text{update } k \ v' \ al) = \text{update } k \ v \ al$   
 ⟨proof⟩

Note that the lists are not necessarily the same:  $\text{update } k \ v \ (\text{update } k' \ v' \ []) = [(k', v'), (k, v)]$  and  $\text{update } k' \ v' \ (\text{update } k \ v \ []) = [(k, v), (k', v')]$ .

**lemma** *update-swap*:  
 $k \neq k' \implies \text{map-of } (\text{update } k \ v \ (\text{update } k' \ v' \ al)) = \text{map-of } (\text{update } k' \ v' \ (\text{update } k \ v \ al))$   
 ⟨proof⟩

**lemma** *update-Some-unfold*:  
 $\text{map-of } (\text{update } k \ v \ al) \ x = \text{Some } y \iff$   
 $x = k \wedge v = y \vee x \neq k \wedge \text{map-of } al \ x = \text{Some } y$   
 ⟨proof⟩

**lemma** *image-update* [simp]:  $x \notin A \implies \text{map-of } (\text{update } x \ y \ al) \ `A = \text{map-of } al \ `A$   
 ⟨proof⟩ **definition** *updates* ::  
 $'key \ list \Rightarrow 'val \ list \Rightarrow ('key \times 'val) \ list \Rightarrow ('key \times 'val) \ list$   
**where**  $\text{updates } ks \ vs = \text{fold } (\text{case-prod } \text{update}) \ (\text{zip } ks \ vs)$

**lemma** *updates-simps* [simp]:  
 $\text{updates } [] \ vs \ ps = ps$   
 $\text{updates } ks \ [] \ ps = ps$   
 $\text{updates } (k \# ks) \ (v \# vs) \ ps = \text{updates } ks \ vs \ (\text{update } k \ v \ ps)$   
 ⟨proof⟩

**lemma** *updates-key-simp* [simp]:  
 $\text{updates } (k \ # \ ks) \ vs \ ps =$   
 $(\text{case } vs \ \text{of } [] \Rightarrow ps \ | \ v \ # \ vs \Rightarrow \text{updates } ks \ vs \ (\text{update } k \ v \ ps))$   
 ⟨proof⟩

**lemma** *updates-conv'*:  $\text{map-of } (\text{updates } ks \ vs \ al) = (\text{map-of } al)(ks[\mapsto]vs)$   
 ⟨proof⟩

**lemma** *updates-conv*:  $\text{map-of } (\text{updates } ks \ vs \ al) \ k = ((\text{map-of } al)(ks[\mapsto]vs)) \ k$   
 ⟨proof⟩

**lemma** *distinct-updates*:  
**assumes**  $\text{distinct } (\text{map } \text{fst } al)$   
**shows**  $\text{distinct } (\text{map } \text{fst } (\text{updates } ks \ vs \ al))$   
 ⟨proof⟩

**lemma** *updates-append1* [simp]:  $\text{size } ks < \text{size } vs \implies$   
 $\text{updates } (ks@[k]) \ vs \ al = \text{update } k \ (vs[\text{size } ks]) \ (\text{updates } ks \ vs \ al)$   
 ⟨proof⟩

**lemma** *updates-list-update-drop* [simp]:  
 $size\ ks \leq i \implies i < size\ vs \implies$   
 $updates\ ks\ (vs[i:=v])\ al = updates\ ks\ vs\ al$   
 ⟨proof⟩

**lemma** *update-updates-conv-if*:  
 $map-of\ (updates\ xs\ ys\ (update\ x\ y\ al)) =$   
 $map-of$   
 $(if\ x \in set\ (take\ (length\ ys)\ xs)$   
 $then\ updates\ xs\ ys\ al$   
 $else\ (update\ x\ y\ (updates\ xs\ ys\ al)))$   
 ⟨proof⟩

**lemma** *updates-twist* [simp]:  
 $k \notin set\ ks \implies$   
 $map-of\ (updates\ ks\ vs\ (update\ k\ v\ al)) = map-of\ (update\ k\ v\ (updates\ ks\ vs\ al))$   
 ⟨proof⟩

**lemma** *updates-apply-notin* [simp]:  
 $k \notin set\ ks \implies map-of\ (updates\ ks\ vs\ al)\ k = map-of\ al\ k$   
 ⟨proof⟩

**lemma** *updates-append-drop* [simp]:  
 $size\ xs = size\ ys \implies updates\ (xs\ @\ zs)\ ys\ al = updates\ xs\ ys\ al$   
 ⟨proof⟩

**lemma** *updates-append2-drop* [simp]:  
 $size\ xs = size\ ys \implies updates\ xs\ (ys\ @\ zs)\ al = updates\ xs\ ys\ al$   
 ⟨proof⟩

## 1.2 delete

**qualified definition** *delete* :: 'key  $\Rightarrow$  ('key  $\times$  'val) list  $\Rightarrow$  ('key  $\times$  'val) list  
**where** *delete-eq*:  $delete\ k = filter\ (\lambda(k', -). k \neq k')$

**lemma** *delete-simps* [simp]:  
 $delete\ k\ [] = []$   
 $delete\ k\ (p \# ps) = (if\ fst\ p = k\ then\ delete\ k\ ps\ else\ p \# delete\ k\ ps)$   
 ⟨proof⟩

**lemma** *delete-conv'*:  $map-of\ (delete\ k\ al) = (map-of\ al)(k := None)$   
 ⟨proof⟩

**corollary** *delete-conv*:  $map-of\ (delete\ k\ al)\ k' = ((map-of\ al)(k := None))\ k'$   
 ⟨proof⟩

**lemma** *delete-keys*:  $map\ fst\ (delete\ k\ al) = removeAll\ k\ (map\ fst\ al)$   
 ⟨proof⟩

**lemma** *distinct-delete*:

**assumes** *distinct* (*map fst al*)  
**shows** *distinct* (*map fst (delete k al)*)  
 ⟨*proof*⟩

**lemma** *delete-id* [*simp*]:  $k \notin \text{fst } \text{'set } al \implies \text{delete } k \text{ } al = al$   
 ⟨*proof*⟩

**lemma** *delete-idem*:  $\text{delete } k \text{ } (\text{delete } k \text{ } al) = \text{delete } k \text{ } al$   
 ⟨*proof*⟩

**lemma** *map-of-delete* [*simp*]:  $k' \neq k \implies \text{map-of } (\text{delete } k \text{ } al) \text{ } k' = \text{map-of } al \text{ } k'$   
 ⟨*proof*⟩

**lemma** *delete-notin-dom*:  $k \notin \text{fst } \text{'set } (\text{delete } k \text{ } al)$   
 ⟨*proof*⟩

**lemma** *dom-delete-subset*:  $\text{fst } \text{'set } (\text{delete } k \text{ } al) \subseteq \text{fst } \text{'set } al$   
 ⟨*proof*⟩

**lemma** *delete-update-same*:  $\text{delete } k \text{ } (\text{update } k \text{ } v \text{ } al) = \text{delete } k \text{ } al$   
 ⟨*proof*⟩

**lemma** *delete-update*:  $k \neq l \implies \text{delete } l \text{ } (\text{update } k \text{ } v \text{ } al) = \text{update } k \text{ } v \text{ } (\text{delete } l \text{ } al)$   
 ⟨*proof*⟩

**lemma** *delete-twist*:  $\text{delete } x \text{ } (\text{delete } y \text{ } al) = \text{delete } y \text{ } (\text{delete } x \text{ } al)$   
 ⟨*proof*⟩

**lemma** *length-delete-le*:  $\text{length } (\text{delete } k \text{ } al) \leq \text{length } al$   
 ⟨*proof*⟩

### 1.3 *update-with-aux* and *delete-aux*

**qualified primrec** *update-with-aux* ::

$'val \Rightarrow 'key \Rightarrow ('val \Rightarrow 'val) \Rightarrow ('key \times 'val) \text{ list} \Rightarrow ('key \times 'val) \text{ list}$

**where**

$\text{update-with-aux } v \text{ } k \text{ } f \text{ } [] = [(k, f v)]$

$| \text{update-with-aux } v \text{ } k \text{ } f \text{ } (p \# ps) =$

$(\text{if } (\text{fst } p = k) \text{ then } (k, f (\text{snd } p)) \# ps \text{ else } p \# \text{update-with-aux } v \text{ } k \text{ } f \text{ } ps)$

The above *delete* traverses all the list even if it has found the key. This one does not have to keep going because it assumes the invariant that keys are distinct.

**qualified fun** *delete-aux* ::  $'key \Rightarrow ('key \times 'val) \text{ list} \Rightarrow ('key \times 'val) \text{ list}$

**where**

$\text{delete-aux } k \text{ } [] = []$

$| \text{delete-aux } k \text{ } ((k', v) \# xs) = (\text{if } k = k' \text{ then } xs \text{ else } (k', v) \# \text{delete-aux } k \text{ } xs)$



**lemma** *map-of-update-with-aux'*:

$$\begin{aligned} \text{map-of } (\text{update-with-aux } v \ k \ f \ ps) \ k' = \\ ((\text{map-of } ps)(k \mapsto (\text{case map-of } ps \ k \ \text{of } \text{None} \Rightarrow f \ v \mid \text{Some } v \Rightarrow f \ v))) \ k' \\ \langle \text{proof} \rangle \end{aligned}$$

**lemma** *map-of-update-with-aux*:

$$\begin{aligned} \text{map-of } (\text{update-with-aux } v \ k \ f \ ps) = \\ (\text{map-of } ps)(k \mapsto (\text{case map-of } ps \ k \ \text{of } \text{None} \Rightarrow f \ v \mid \text{Some } v \Rightarrow f \ v)) \\ \langle \text{proof} \rangle \end{aligned}$$

**lemma** *dom-update-with-aux*:  $\text{fst } \text{' set } (\text{update-with-aux } v \ k \ f \ ps) = \{k\} \cup \text{fst } \text{' set } ps$

$\langle \text{proof} \rangle$

**lemma** *distinct-update-with-aux [simp]*:

$$\begin{aligned} \text{distinct } (\text{map } \text{fst } (\text{update-with-aux } v \ k \ f \ ps)) = \text{distinct } (\text{map } \text{fst } ps) \\ \langle \text{proof} \rangle \end{aligned}$$

**lemma** *set-update-with-aux*:

$$\begin{aligned} \text{distinct } (\text{map } \text{fst } xs) \Longrightarrow \\ \text{set } (\text{update-with-aux } v \ k \ f \ xs) = \\ (\text{set } xs - \{k\} \times \text{UNIV} \cup \{(k, f (\text{case map-of } xs \ k \ \text{of } \text{None} \Rightarrow v \mid \text{Some } v \Rightarrow v))\}) \\ \langle \text{proof} \rangle \end{aligned}$$

**lemma** *set-delete-aux*:  $\text{distinct } (\text{map } \text{fst } xs) \Longrightarrow \text{set } (\text{delete-aux } k \ xs) = \text{set } xs - \{k\} \times \text{UNIV}$

$\langle \text{proof} \rangle$

**lemma** *dom-delete-aux*:  $\text{distinct } (\text{map } \text{fst } ps) \Longrightarrow \text{fst } \text{' set } (\text{delete-aux } k \ ps) = \text{fst } \text{' set } ps - \{k\}$

$\langle \text{proof} \rangle$

**lemma** *distinct-delete-aux [simp]*:  $\text{distinct } (\text{map } \text{fst } ps) \Longrightarrow \text{distinct } (\text{map } \text{fst } (\text{delete-aux } k \ ps))$

$\langle \text{proof} \rangle$

**lemma** *map-of-delete-aux'*:

$$\begin{aligned} \text{distinct } (\text{map } \text{fst } xs) \Longrightarrow \text{map-of } (\text{delete-aux } k \ xs) = (\text{map-of } xs)(k := \text{None}) \\ \langle \text{proof} \rangle \end{aligned}$$

**lemma** *map-of-delete-aux*:

$$\begin{aligned} \text{distinct } (\text{map } \text{fst } xs) \Longrightarrow \text{map-of } (\text{delete-aux } k \ xs) \ k' = ((\text{map-of } xs)(k := \text{None})) \ k' \\ \langle \text{proof} \rangle \end{aligned}$$

**lemma** *delete-aux-eq-Nil-conv*:  $\text{delete-aux } k \ ts = [] \longleftrightarrow ts = [] \vee (\exists v. ts = [(k, v)])$

*<proof>*

#### 1.4 restrict

**qualified definition**  $restrict :: 'key\ set \Rightarrow ('key \times 'val)\ list \Rightarrow ('key \times 'val)\ list$   
**where**  $restrict\text{-}eq: restrict\ A = filter\ (\lambda(k, v). k \in A)$

**lemma**  $restr\text{-}simps$  [simp]:

$restrict\ A\ [] = []$

$restrict\ A\ (p\#\!ps) = (if\ fst\ p \in A\ then\ p\ \#\! restrict\ A\ ps\ else\ restrict\ A\ ps)$

*<proof>*

**lemma**  $restr\text{-}conv'$ :  $map\text{-}of\ (restrict\ A\ al) = ((map\text{-}of\ al)|'A)$

*<proof>*

**corollary**  $restr\text{-}conv$ :  $map\text{-}of\ (restrict\ A\ al)\ k = ((map\text{-}of\ al)|'A)\ k$

*<proof>*

**lemma**  $distinct\text{-}restr$ :  $distinct\ (map\ fst\ al) \Longrightarrow distinct\ (map\ fst\ (restrict\ A\ al))$

*<proof>*

**lemma**  $restr\text{-}empty$  [simp]:

$restrict\ \{\}\ al = []$

$restrict\ A\ [] = []$

*<proof>*

**lemma**  $restr\text{-}in$  [simp]:  $x \in A \Longrightarrow map\text{-}of\ (restrict\ A\ al)\ x = map\text{-}of\ al\ x$

*<proof>*

**lemma**  $restr\text{-}out$  [simp]:  $x \notin A \Longrightarrow map\text{-}of\ (restrict\ A\ al)\ x = None$

*<proof>*

**lemma**  $dom\text{-}restr$  [simp]:  $fst\ 'set\ (restrict\ A\ al) = fst\ 'set\ al \cap A$

*<proof>*

**lemma**  $restr\text{-}upd\text{-}same$  [simp]:  $restrict\ (-\{x\})\ (update\ x\ y\ al) = restrict\ (-\{x\})\ al$

*<proof>*

**lemma**  $restr\text{-}restr$  [simp]:  $restrict\ A\ (restrict\ B\ al) = restrict\ (A \cap B)\ al$

*<proof>*

**lemma**  $restr\text{-}update$ [simp]:

$map\text{-}of\ (restrict\ D\ (update\ x\ y\ al)) =$

$map\text{-}of\ ((if\ x \in D\ then\ (update\ x\ y\ (restrict\ (D - \{x\})\ al))\ else\ restrict\ D\ al))$

*<proof>*

**lemma**  $restr\text{-}delete$  [simp]:

$delete\ x\ (restrict\ D\ al) = (if\ x \in D\ then\ restrict\ (D - \{x\})\ al\ else\ restrict\ D\ al)$

*<proof>*

**lemma** *update-restr*:

$\text{map-of } (\text{update } x \ y \ (\text{restrict } D \ al)) = \text{map-of } (\text{update } x \ y \ (\text{restrict } (D - \{x\}) \ al))$   
 ⟨proof⟩

**lemma** *update-restr-conv* [simp]:

$x \in D \implies$   
 $\text{map-of } (\text{update } x \ y \ (\text{restrict } D \ al)) = \text{map-of } (\text{update } x \ y \ (\text{restrict } (D - \{x\}) \ al))$   
 ⟨proof⟩

**lemma** *restr-updates* [simp]:

$\text{length } xs = \text{length } ys \implies \text{set } xs \subseteq D \implies$   
 $\text{map-of } (\text{restrict } D \ (\text{updates } xs \ ys \ al)) =$   
 $\text{map-of } (\text{updates } xs \ ys \ (\text{restrict } (D - \text{set } xs) \ al))$   
 ⟨proof⟩

**lemma** *restr-delete-twist*:  $(\text{restrict } A \ (\text{delete } a \ ps)) = \text{delete } a \ (\text{restrict } A \ ps)$

⟨proof⟩

## 1.5 clearjunk

**qualified function** *clearjunk* ::  $('key \times 'val) \ \text{list} \Rightarrow ('key \times 'val) \ \text{list}$

**where**

$\text{clearjunk } [] = []$   
 $|\ \text{clearjunk } (p \# ps) = p \# \text{clearjunk } (\text{delete } (\text{fst } p) \ ps)$   
 ⟨proof⟩

**termination**

⟨proof⟩

**lemma** *map-of-clearjunk*:  $\text{map-of } (\text{clearjunk } al) = \text{map-of } al$

⟨proof⟩

**lemma** *clearjunk-keys-set*:  $\text{set } (\text{map } \text{fst } (\text{clearjunk } al)) = \text{set } (\text{map } \text{fst } al)$

⟨proof⟩

**lemma** *dom-clearjunk*:  $\text{fst } ' \ \text{set } (\text{clearjunk } al) = \text{fst } ' \ \text{set } al$

⟨proof⟩

**lemma** *distinct-clearjunk* [simp]:  $\text{distinct } (\text{map } \text{fst } (\text{clearjunk } al))$

⟨proof⟩

**lemma** *ran-clearjunk*:  $\text{ran } (\text{map-of } (\text{clearjunk } al)) = \text{ran } (\text{map-of } al)$

⟨proof⟩

**lemma** *ran-map-of*:  $\text{ran } (\text{map-of } al) = \text{snd } ' \ \text{set } (\text{clearjunk } al)$

⟨proof⟩

**lemma** *graph-map-of*:  $Map.graph (map-of al) = set (clearjunk al)$   
 ⟨proof⟩

**lemma** *clearjunk-update*:  $clearjunk (update k v al) = update k v (clearjunk al)$   
 ⟨proof⟩

**lemma** *clearjunk-updates*:  $clearjunk (updates ks vs al) = updates ks vs (clearjunk al)$   
 ⟨proof⟩

**lemma** *clearjunk-delete*:  $clearjunk (delete x al) = delete x (clearjunk al)$   
 ⟨proof⟩

**lemma** *clearjunk-restrict*:  $clearjunk (restrict A al) = restrict A (clearjunk al)$   
 ⟨proof⟩

**lemma** *distinct-clearjunk-id* [simp]:  $distinct (map fst al) \implies clearjunk al = al$   
 ⟨proof⟩

**lemma** *clearjunk-idem*:  $clearjunk (clearjunk al) = clearjunk al$   
 ⟨proof⟩

**lemma** *length-clearjunk*:  $length (clearjunk al) \leq length al$   
 ⟨proof⟩

**lemma** *delete-map*:  
 assumes  $\bigwedge kv. fst (f kv) = fst kv$   
 shows  $delete k (map f ps) = map f (delete k ps)$   
 ⟨proof⟩

**lemma** *clearjunk-map*:  
 assumes  $\bigwedge kv. fst (f kv) = fst kv$   
 shows  $clearjunk (map f ps) = map f (clearjunk ps)$   
 ⟨proof⟩

## 1.6 map-ran

**definition** *map-ran* ::  $('key \Rightarrow 'val1 \Rightarrow 'val2) \Rightarrow ('key \times 'val1) list \Rightarrow ('key \times 'val2) list$   
 where  $map-ran f = map (\lambda(k, v). (k, f k v))$

**lemma** *map-ran-simps* [simp]:  
 $map-ran f [] = []$   
 $map-ran f ((k, v) \# ps) = (k, f k v) \# map-ran f ps$   
 ⟨proof⟩

**lemma** *map-ran-Cons-sel*:  $map-ran f (p \# ps) = (fst p, f (fst p) (snd p)) \# map-ran f ps$   
 ⟨proof⟩

**lemma** *length-map-ran[simp]*:  $\text{length } (\text{map-ran } f \text{ al}) = \text{length } \text{al}$   
 ⟨proof⟩

**lemma** *map-fst-map-ran[simp]*:  $\text{map } \text{fst } (\text{map-ran } f \text{ al}) = \text{map } \text{fst } \text{al}$   
 ⟨proof⟩

**lemma** *dom-map-ran*:  $\text{fst } \text{'set } (\text{map-ran } f \text{ al}) = \text{fst } \text{'set } \text{al}$   
 ⟨proof⟩

**lemma** *map-ran-conv*:  $\text{map-of } (\text{map-ran } f \text{ al}) \text{ k} = \text{map-option } (f \text{ k}) (\text{map-of } \text{al } \text{k})$   
 ⟨proof⟩

**lemma** *distinct-map-ran*:  $\text{distinct } (\text{map } \text{fst } \text{al}) \implies \text{distinct } (\text{map } \text{fst } (\text{map-ran } f \text{ al}))$   
 ⟨proof⟩

**lemma** *map-ran-filter*:  $\text{map-ran } f \text{ [p←ps. fst p ≠ a]} = \text{[p←map-ran } f \text{ ps. fst p ≠ a]}$   
 ⟨proof⟩

**lemma** *clearjunk-map-ran*:  $\text{clearjunk } (\text{map-ran } f \text{ al}) = \text{map-ran } f (\text{clearjunk } \text{al})$   
 ⟨proof⟩

## 1.7 merge

**qualified definition** *merge* ::  $(\text{'key} \times \text{'val}) \text{ list} \Rightarrow (\text{'key} \times \text{'val}) \text{ list} \Rightarrow (\text{'key} \times \text{'val}) \text{ list}$   
 where  $\text{merge } \text{qs } \text{ps} = \text{foldr } (\lambda(k, v). \text{update } k \text{ v}) \text{ ps } \text{qs}$

**lemma** *merge-simps [simp]*:  
 $\text{merge } \text{qs } [] = \text{qs}$   
 $\text{merge } \text{qs } (\text{p\#ps}) = \text{update } (\text{fst } \text{p}) (\text{snd } \text{p}) (\text{merge } \text{qs } \text{ps})$   
 ⟨proof⟩

**lemma** *merge-updates*:  $\text{merge } \text{qs } \text{ps} = \text{updates } (\text{rev } (\text{map } \text{fst } \text{ps})) (\text{rev } (\text{map } \text{snd } \text{ps})) \text{qs}$   
 ⟨proof⟩

**lemma** *dom-merge*:  $\text{fst } \text{'set } (\text{merge } \text{xs } \text{ys}) = \text{fst } \text{'set } \text{xs} \cup \text{fst } \text{'set } \text{ys}$   
 ⟨proof⟩

**lemma** *distinct-merge*:  $\text{distinct } (\text{map } \text{fst } \text{xs}) \implies \text{distinct } (\text{map } \text{fst } (\text{merge } \text{xs } \text{ys}))$   
 ⟨proof⟩

**lemma** *clearjunk-merge*:  $\text{clearjunk } (\text{merge } \text{xs } \text{ys}) = \text{merge } (\text{clearjunk } \text{xs}) \text{ys}$   
 ⟨proof⟩

**lemma** *merge-conv'*:  $\text{map-of } (\text{merge } \text{xs } \text{ys}) = \text{map-of } \text{xs} ++ \text{map-of } \text{ys}$

*<proof>*

**corollary** *merge-conv*:  $\text{map-of } (\text{merge } xs \ ys) \ k = (\text{map-of } xs \ ++ \ \text{map-of } ys) \ k$   
*<proof>*

**lemma** *merge-empty*:  $\text{map-of } (\text{merge } [] \ ys) = \text{map-of } ys$   
*<proof>*

**lemma** *merge-assoc* [*simp*]:  $\text{map-of } (\text{merge } m1 \ (\text{merge } m2 \ m3)) = \text{map-of } (\text{merge } (\text{merge } m1 \ m2) \ m3)$   
*<proof>*

**lemma** *merge-Some-iff*:  
 $\text{map-of } (\text{merge } m \ n) \ k = \text{Some } x \iff$   
 $\text{map-of } n \ k = \text{Some } x \vee \text{map-of } n \ k = \text{None} \wedge \text{map-of } m \ k = \text{Some } x$   
*<proof>*

**lemmas** *merge-SomeD* [*dest!*] = *merge-Some-iff* [*THEN iffD1*]

**lemma** *merge-find-right* [*simp*]:  $\text{map-of } n \ k = \text{Some } v \implies \text{map-of } (\text{merge } m \ n) \ k = \text{Some } v$   
*<proof>*

**lemma** *merge-None* [*iff*]:  $(\text{map-of } (\text{merge } m \ n) \ k = \text{None}) = (\text{map-of } n \ k = \text{None} \wedge \text{map-of } m \ k = \text{None})$   
*<proof>*

**lemma** *merge-upd* [*simp*]:  $\text{map-of } (\text{merge } m \ (\text{update } k \ v \ n)) = \text{map-of } (\text{update } k \ v \ (\text{merge } m \ n))$   
*<proof>*

**lemma** *merge-updatess* [*simp*]:  
 $\text{map-of } (\text{merge } m \ (\text{updates } xs \ ys \ n)) = \text{map-of } (\text{updates } xs \ ys \ (\text{merge } m \ n))$   
*<proof>*

**lemma** *merge-append*:  $\text{map-of } (xs \ @ \ ys) = \text{map-of } (\text{merge } ys \ xs)$   
*<proof>*

## 1.8 compose

**qualified function** *compose* ::  $('key \times 'a) \ \text{list} \Rightarrow ('a \times 'b) \ \text{list} \Rightarrow ('key \times 'b) \ \text{list}$   
**where**

$\text{compose } [] \ ys = []$   
 $| \text{compose } (x \ # \ xs) \ ys =$   
 $\quad (\text{case } \text{map-of } ys \ (\text{snd } x) \ \text{of}$   
 $\quad \quad \text{None} \Rightarrow \text{compose } (\text{delete } (\text{fst } x) \ xs) \ ys$   
 $\quad \quad \text{Some } v \Rightarrow (\text{fst } x, v) \ # \ \text{compose } xs \ ys)$   
*<proof>*

**termination**

⟨proof⟩

**lemma** *compose-first-None* [simp]:  $\text{map-of } xs \ k = \text{None} \implies \text{map-of } (\text{compose } xs \ ys) \ k = \text{None}$   
 ⟨proof⟩

**lemma** *compose-conv*:  $\text{map-of } (\text{compose } xs \ ys) \ k = (\text{map-of } ys \circ_m \text{map-of } xs) \ k$   
 ⟨proof⟩

**lemma** *compose-conv'*:  $\text{map-of } (\text{compose } xs \ ys) = (\text{map-of } ys \circ_m \text{map-of } xs)$   
 ⟨proof⟩

**lemma** *compose-first-Some* [simp]:  $\text{map-of } xs \ k = \text{Some } v \implies \text{map-of } (\text{compose } xs \ ys) \ k = \text{map-of } ys \ v$   
 ⟨proof⟩

**lemma** *dom-compose*:  $\text{fst } ' \text{ set } (\text{compose } xs \ ys) \subseteq \text{fst } ' \text{ set } xs$   
 ⟨proof⟩

**lemma** *distinct-compose*:  
**assumes** *distinct* (map fst xs)  
**shows** *distinct* (map fst (compose xs ys))  
 ⟨proof⟩

**lemma** *compose-delete-twist*:  $\text{compose } (\text{delete } k \ xs) \ ys = \text{delete } k \ (\text{compose } xs \ ys)$   
 ⟨proof⟩

**lemma** *compose-clearjunk*:  $\text{compose } xs \ (\text{clearjunk } ys) = \text{compose } xs \ ys$   
 ⟨proof⟩

**lemma** *clearjunk-compose*:  $\text{clearjunk } (\text{compose } xs \ ys) = \text{compose } (\text{clearjunk } xs) \ ys$   
 ⟨proof⟩

**lemma** *compose-empty* [simp]:  $\text{compose } xs \ [] = []$   
 ⟨proof⟩

**lemma** *compose-Some-iff*:  
 $(\text{map-of } (\text{compose } xs \ ys) \ k = \text{Some } v) \longleftrightarrow$   
 $(\exists k'. \text{map-of } xs \ k = \text{Some } k' \wedge \text{map-of } ys \ k' = \text{Some } v)$   
 ⟨proof⟩

**lemma** *map-comp-None-iff*:  
 $\text{map-of } (\text{compose } xs \ ys) \ k = \text{None} \longleftrightarrow$   
 $(\text{map-of } xs \ k = \text{None} \vee (\exists k'. \text{map-of } xs \ k = \text{Some } k' \wedge \text{map-of } ys \ k' = \text{None}))$   
 ⟨proof⟩

**1.9** *map-entry*

**qualified fun** *map-entry* :: 'key  $\Rightarrow$  ('val  $\Rightarrow$  'val)  $\Rightarrow$  ('key  $\times$  'val) list  $\Rightarrow$  ('key  $\times$  'val) list

**where**

*map-entry* k f [] = []  
 | *map-entry* k f (p # ps) =  
 (if fst p = k then (k, f (snd p)) # ps else p # *map-entry* k f ps)

**lemma** *map-of-map-entry*:

*map-of* (*map-entry* k f xs) =  
 (*map-of* xs)(k := case *map-of* xs k of None  $\Rightarrow$  None | Some v'  $\Rightarrow$  Some (f v'))  
 <proof>

**lemma** *dom-map-entry*: fst ' set (*map-entry* k f xs) = fst ' set xs

<proof>

**lemma** *distinct-map-entry*:

**assumes** *distinct* (map fst xs)  
**shows** *distinct* (map fst (*map-entry* k f xs))  
 <proof>

**1.10** *map-default*

**fun** *map-default* :: 'key  $\Rightarrow$  'val  $\Rightarrow$  ('val  $\Rightarrow$  'val)  $\Rightarrow$  ('key  $\times$  'val) list  $\Rightarrow$  ('key  $\times$  'val) list

**where**

*map-default* k v f [] = [(k, v)]  
 | *map-default* k v f (p # ps) =  
 (if fst p = k then (k, f (snd p)) # ps else p # *map-default* k v f ps)

**lemma** *map-of-map-default*:

*map-of* (*map-default* k v f xs) =  
 (*map-of* xs)(k := case *map-of* xs k of None  $\Rightarrow$  Some v | Some v'  $\Rightarrow$  Some (f v'))  
 <proof>

**lemma** *dom-map-default*: fst ' set (*map-default* k v f xs) = insert k (fst ' set xs)

<proof>

**lemma** *distinct-map-default*:

**assumes** *distinct* (map fst xs)  
**shows** *distinct* (map fst (*map-default* k v f xs))  
 <proof>

**end**

**end**



## 2 Adhoc overloading of constants based on their types

```

theory Adhoc-Overloading
  imports Main
  keywords adhoc-overloading no-adhoc-overloading :: thy-decl
begin

  ⟨ML⟩

end

```

## 3 Axiomatic Declaration of Bounded Natural Functors

```

theory BNF-Axiomatization
imports Main
keywords
  bnf-axiomatization :: thy-decl
begin

  ⟨ML⟩

end

```

## 4 Generalized Corecursor Sugar (corec and friends)

```

theory BNF-Corec
imports Main
keywords
  corec :: thy-defn and
  corecursive :: thy-goal-defn and
  friend-of-corec :: thy-goal-defn and
  coinduction-upto :: thy-decl
begin

  lemma obj-distinct-prems:  $P \longrightarrow P \longrightarrow Q \Longrightarrow P \Longrightarrow Q$ 
    ⟨proof⟩

  lemma inject-refine:  $g (f x) = x \Longrightarrow g (f y) = y \Longrightarrow f x = f y \longleftrightarrow x = y$ 
    ⟨proof⟩

  lemma convol-apply:  $\text{BNF-Def.convol } f g x = (f x, g x)$ 
    ⟨proof⟩

  lemma Grp-UNIV-id:  $\text{BNF-Def.Grp UNIV id} = (=)$ 
    ⟨proof⟩

```

**lemma** *sum-comp-cases*:

**assumes**  $f \circ \text{Inl} = g \circ \text{Inl}$  **and**  $f \circ \text{Inr} = g \circ \text{Inr}$

**shows**  $f = g$

*<proof>*

**lemma** *case-sum-Inl-Inr-L*:  $\text{case-sum } (f \circ \text{Inl}) (f \circ \text{Inr}) = f$

*<proof>*

**lemma** *eq-o-InrI*:  $\llbracket g \circ \text{Inl} = h; \text{case-sum } h f = g \rrbracket \implies f = g \circ \text{Inr}$

*<proof>*

**lemma** *id-bnf-o*:  $\text{BNF-Composition.id-bnf} \circ f = f$

*<proof>*

**lemma** *o-id-bnf*:  $f \circ \text{BNF-Composition.id-bnf} = f$

*<proof>*

**lemma** *if-True-False*:

$(\text{if } P \text{ then True else } Q) \longleftrightarrow P \vee Q$

$(\text{if } P \text{ then False else } Q) \longleftrightarrow \neg P \wedge Q$

$(\text{if } P \text{ then } Q \text{ else True}) \longleftrightarrow \neg P \vee Q$

$(\text{if } P \text{ then } Q \text{ else False}) \longleftrightarrow P \wedge Q$

*<proof>*

**lemma** *if-distrib-fun*:  $(\text{if } c \text{ then } f \text{ else } g) x = (\text{if } c \text{ then } f x \text{ else } g x)$

*<proof>*

## 4.1 Coinduction

**lemma** *eq-comp-compI*:  $a \circ b = f \circ x \implies x \circ c = \text{id} \implies f = a \circ (b \circ c)$

*<proof>*

**lemma** *self-bounded-weaken-left*:  $(a :: 'a :: \text{semilattice-inf}) \leq \text{inf } a b \implies a \leq b$

*<proof>*

**lemma** *self-bounded-weaken-right*:  $(a :: 'a :: \text{semilattice-inf}) \leq \text{inf } b a \implies a \leq b$

*<proof>*

**lemma** *symp-iff*:  $\text{symp } R \longleftrightarrow R = R^{-1-1}$

*<proof>*

**lemma** *equivp-inf*:  $\llbracket \text{equivp } R; \text{equivp } S \rrbracket \implies \text{equivp } (\text{inf } R S)$

*<proof>*

**lemma** *vimage2p-rel-prod*:

$(\lambda x y. \text{rel-prod } R S (\text{BNF-Def.convolve } f1 g1 x) (\text{BNF-Def.convolve } f2 g2 y)) =$

$(\text{inf } (\text{BNF-Def.vimage2p } f1 f2 R) (\text{BNF-Def.vimage2p } g1 g2 S))$

*<proof>*

**lemma** *predicate2I-obj*:  $(\forall x y. P x y \longrightarrow Q x y) \Longrightarrow P \leq Q$   
 ⟨proof⟩

**lemma** *predicate2D-obj*:  $P \leq Q \Longrightarrow P x y \longrightarrow Q x y$   
 ⟨proof⟩

**locale** *cong* =  
**fixes** *rel* ::  $('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow ('b \Rightarrow 'b \Rightarrow \text{bool})$   
**and** *eval* ::  $'b \Rightarrow 'a$   
**and** *retr* ::  $('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow ('a \Rightarrow 'a \Rightarrow \text{bool})$   
**assumes** *rel-mono*:  $\bigwedge R S. R \leq S \Longrightarrow \text{rel } R \leq \text{rel } S$   
**and** *equivp-retr*:  $\bigwedge R. \text{equivp } R \Longrightarrow \text{equivp } (\text{retr } R)$   
**and** *retr-eval*:  $\bigwedge R x y. \llbracket (\text{rel-fun } (\text{rel } R) R) \text{ eval } \text{eval}; \text{rel } (\text{inf } R (\text{retr } R)) x y \rrbracket$   
 $\Longrightarrow$   
     *retr* *R* (*eval* *x*) (*eval* *y*)

**begin**

**definition** *cong* ::  $('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow \text{bool}$  **where**  
*cong* *R*  $\equiv \text{equivp } R \wedge (\text{rel-fun } (\text{rel } R) R) \text{ eval } \text{eval}$

**lemma** *cong-retr*:  $\text{cong } R \Longrightarrow \text{cong } (\text{inf } R (\text{retr } R))$   
 ⟨proof⟩

**lemma** *cong-equivp*:  $\text{cong } R \Longrightarrow \text{equivp } R$   
 ⟨proof⟩

**definition** *gen-cong* ::  $('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow 'a \Rightarrow 'a \Rightarrow \text{bool}$  **where**  
*gen-cong* *R* *j1* *j2*  $\equiv \forall R'. R \leq R' \wedge \text{cong } R' \longrightarrow R' j1 j2$

**lemma** *gen-cong-reflp*[*intro*, *simp*]:  $x = y \Longrightarrow \text{gen-cong } R x y$   
 ⟨proof⟩

**lemma** *gen-cong-symp*[*intro*]:  $\text{gen-cong } R x y \Longrightarrow \text{gen-cong } R y x$   
 ⟨proof⟩

**lemma** *gen-cong-transp*[*intro*]:  $\text{gen-cong } R x y \Longrightarrow \text{gen-cong } R y z \Longrightarrow \text{gen-cong } R x z$   
 ⟨proof⟩

**lemma** *equivp-gen-cong*:  $\text{equivp } (\text{gen-cong } R)$   
 ⟨proof⟩

**lemma** *leq-gen-cong*:  $R \leq \text{gen-cong } R$   
 ⟨proof⟩

**lemmas** *imp-gen-cong*[*intro*] = *predicate2D*[*OF* *leq-gen-cong*]

**lemma** *gen-cong-minimal*:  $\llbracket R \leq R'; \text{cong } R' \rrbracket \Longrightarrow \text{gen-cong } R \leq R'$

*<proof>*

**lemma** *congdd-base-gen-congdd-base-aux:*

$rel (gen-cong R) x y \implies R \leq R' \implies cong R' \implies R' (eval x) (eval y)$   
*<proof>*

**lemma** *cong-gen-cong: cong (gen-cong R)*

*<proof>*

**lemma** *gen-cong-eval-rel-fun:*

$(rel-fun (rel (gen-cong R)) (gen-cong R)) eval eval$   
*<proof>*

**lemma** *gen-cong-eval:*

$rel (gen-cong R) x y \implies gen-cong R (eval x) (eval y)$   
*<proof>*

**lemma** *gen-cong-idem: gen-cong (gen-cong R) = gen-cong R*

*<proof>*

**lemma** *gen-cong-rho:*

$\varrho = eval \circ f \implies rel (gen-cong R) (f x) (f y) \implies gen-cong R (\varrho x) (\varrho y)$   
*<proof>*

**lemma** *coinduction:*

**assumes** *coind:*  $\forall R. R \leq retr R \longrightarrow R \leq (=)$

**assumes** *cih:*  $R \leq retr (gen-cong R)$

**shows**  $R \leq (=)$

*<proof>*

**end**

**lemma** *rel-sum-case-sum:*

$rel-fun (rel-sum R S) T (case-sum f1 g1) (case-sum f2 g2) = (rel-fun R T f1 f2) \wedge rel-fun S T g1 g2$   
*<proof>*

**context**

**fixes** *rel eval rel' eval' retr emb*

**assumes** *base: cong rel eval retr*

**and** *step: cong rel' eval' retr*

**and** *emb: eval' o emb = eval*

**and** *emb-transfer: rel-fun (rel R) (rel' R) emb emb*

**begin**

**interpretation** *base: cong rel eval retr <proof>*

**interpretation** *step: cong rel' eval' retr <proof>*

**lemma** *gen-cong-emb: base.gen-cong R ≤ step.gen-cong R*

*<proof>*

end

named-theorems friend-of-corec-simps

⟨ML⟩

end

## 5 A general “while” combinator

theory While-Combinator

imports Main

begin

### 5.1 Partial version

**definition** *while-option* :: ('a ⇒ bool) ⇒ ('a ⇒ 'a) ⇒ 'a ⇒ 'a option **where**  
*while-option* b c s = (if (∃ k. ¬ b ((c ~ k) s))  
 then Some ((c ~ (LEAST k. ¬ b ((c ~ k) s))) s)  
 else None)

**theorem** *while-option-unfold*[code]:

*while-option* b c s = (if b s then *while-option* b c (c s) else Some s)  
 ⟨proof⟩

**lemma** *while-option-stop2*:

*while-option* b c s = Some t ⇒ ∃ k. t = (c ~ k) s ∧ ¬ b t  
 ⟨proof⟩

**lemma** *while-option-stop*: *while-option* b c s = Some t ⇒ ¬ b t

⟨proof⟩

**theorem** *while-option-rule*:

**assumes** *step*: !!s. P s ==> b s ==> P (c s)

**and result**: *while-option* b c s = Some t

**and init**: P s

**shows** P t

⟨proof⟩

**lemma** *funpow-commute*:

[[∀ k' < k. f (c ((c ~ k') s)) = c' (f ((c ~ k') s))] ⇒ f ((c ~ k) s) = (c' ~ k) (f s)  
 ⟨proof⟩

**lemma** *while-option-commute-invariant*:

**assumes** *Invariant*: ∧s. P s ⇒ b s ⇒ P (c s)

**assumes** *TestCommute*: ∧s. P s ⇒ b s = b' (f s)

**assumes** *BodyCommute*: ∧s. P s ⇒ b s ⇒ f (c s) = c' (f s)

**assumes** *Initial*: P s

**shows**  $\text{map-option } f \text{ (while-option } b \text{ } c \text{ } s) = \text{while-option } b' \text{ } c' \text{ (} f \text{ } s)$   
 ⟨proof⟩

**lemma** *while-option-commute*:

**assumes**  $\bigwedge s. b \text{ } s = b' \text{ (} f \text{ } s) \wedge s. \llbracket b \text{ } s \rrbracket \implies f \text{ (} c \text{ } s) = c' \text{ (} f \text{ } s)$   
**shows**  $\text{map-option } f \text{ (while-option } b \text{ } c \text{ } s) = \text{while-option } b' \text{ } c' \text{ (} f \text{ } s)$   
 ⟨proof⟩

## 5.2 Total version

**definition**  $\text{while} :: ('a \Rightarrow \text{bool}) \Rightarrow ('a \Rightarrow 'a) \Rightarrow 'a \Rightarrow 'a$   
**where**  $\text{while } b \text{ } c \text{ } s = \text{the (while-option } b \text{ } c \text{ } s)$

**lemma** *while-unfold* [code]:

$\text{while } b \text{ } c \text{ } s = (\text{if } b \text{ } s \text{ then while } b \text{ } c \text{ (} c \text{ } s) \text{ else } s)$   
 ⟨proof⟩

**lemma** *def-while-unfold*:

**assumes** *fdef*:  $f == \text{while test do}$   
**shows**  $f \text{ } x = (\text{if test } x \text{ then } f(\text{do } x) \text{ else } x)$   
 ⟨proof⟩

The proof rule for *while*, where  $P$  is the invariant.

**theorem** *while-rule-lemma*:

**assumes** *invariant*:  $\llbracket s. P \text{ } s \rrbracket \implies b \text{ } s \implies P \text{ (} c \text{ } s)$   
**and** *terminate*:  $\llbracket s. P \text{ } s \rrbracket \implies \neg b \text{ } s \implies Q \text{ } s$   
**and** *wf*:  $wf \{ (t, s). P \text{ } s \wedge b \text{ } s \wedge t = c \text{ } s \}$   
**shows**  $P \text{ } s \implies Q \text{ (while } b \text{ } c \text{ } s)$   
 ⟨proof⟩

**theorem** *while-rule*:

$\llbracket P \text{ } s;$   
 $\llbracket s. \llbracket P \text{ } s; b \text{ } s \rrbracket \implies P \text{ (} c \text{ } s);$   
 $\llbracket s. \llbracket P \text{ } s; \neg b \text{ } s \rrbracket \implies Q \text{ } s;$   
 $wf \text{ } r;$   
 $\llbracket s. \llbracket P \text{ } s; b \text{ } s \rrbracket \implies (c \text{ } s, s) \in r \rrbracket \implies$   
 $Q \text{ (while } b \text{ } c \text{ } s)$   
 ⟨proof⟩

Combine invariant preservation and variant decrease in one goal:

**theorem** *while-rule2*:

$\llbracket P \text{ } s;$   
 $\llbracket s. \llbracket P \text{ } s; b \text{ } s \rrbracket \implies P \text{ (} c \text{ } s) \wedge (c \text{ } s, s) \in r;$   
 $\llbracket s. \llbracket P \text{ } s; \neg b \text{ } s \rrbracket \implies Q \text{ } s;$   
 $wf \text{ } r \rrbracket \implies$   
 $Q \text{ (while } b \text{ } c \text{ } s)$   
 ⟨proof⟩

Proving termination:

**theorem** *wf-while-option-Some*:

**assumes**  $wf \{(t, s). (P\ s \wedge b\ s) \wedge t = c\ s\}$   
**and**  $\bigwedge s. P\ s \implies b\ s \implies P(c\ s)$  **and**  $P\ s$   
**shows**  $\exists t. \text{while-option } b\ c\ s = \text{Some } t$   
 $\langle \text{proof} \rangle$

**lemma** *wf-rel-while-option-Some*:  
**assumes**  $wf: wf\ R$   
**assumes** *smaller*:  $\bigwedge s. P\ s \wedge b\ s \implies (c\ s, s) \in R$   
**assumes** *inv*:  $\bigwedge s. P\ s \wedge b\ s \implies P(c\ s)$   
**assumes** *init*:  $P\ s$   
**shows**  $\exists t. \text{while-option } b\ c\ s = \text{Some } t$   
 $\langle \text{proof} \rangle$

**theorem** *measure-while-option-Some*: **fixes**  $f :: 's \Rightarrow nat$   
**shows**  $(\bigwedge s. P\ s \implies b\ s \implies P(c\ s) \wedge f(c\ s) < f\ s)$   
 $\implies P\ s \implies \exists t. \text{while-option } b\ c\ s = \text{Some } t$   
 $\langle \text{proof} \rangle$

Kleene iteration starting from the empty set and assuming some finite bounding set:

**lemma** *while-option-finite-subset-Some*: **fixes**  $C :: 'a\ \text{set}$   
**assumes** *mono*  $f$  **and**  $!!X. X \subseteq C \implies f\ X \subseteq C$  **and** *finite*  $C$   
**shows**  $\exists P. \text{while-option } (\lambda A. f\ A \neq A)\ f\ \{\} = \text{Some } P$   
 $\langle \text{proof} \rangle$

**lemma** *lfp-the-while-option*:  
**assumes** *mono*  $f$  **and**  $!!X. X \subseteq C \implies f\ X \subseteq C$  **and** *finite*  $C$   
**shows**  $\text{lfp } f = \text{the}(\text{while-option } (\lambda A. f\ A \neq A)\ f\ \{\})$   
 $\langle \text{proof} \rangle$

**lemma** *lfp-while*:  
**assumes** *mono*  $f$  **and**  $!!X. X \subseteq C \implies f\ X \subseteq C$  **and** *finite*  $C$   
**shows**  $\text{lfp } f = \text{while } (\lambda A. f\ A \neq A)\ f\ \{\}$   
 $\langle \text{proof} \rangle$

**lemma** *wf-finite-less*:  
**assumes** *finite*  $(C :: 'a::\text{order set})$   
**shows**  $wf \{(x, y). \{x, y\} \subseteq C \wedge x < y\}$   
 $\langle \text{proof} \rangle$

**lemma** *wf-finite-greater*:  
**assumes** *finite*  $(C :: 'a::\text{order set})$   
**shows**  $wf \{(x, y). \{x, y\} \subseteq C \wedge y < x\}$   
 $\langle \text{proof} \rangle$

**lemma** *while-option-finite-increasing-Some*:  
**fixes**  $f :: 'a::\text{order} \Rightarrow 'a$   
**assumes** *mono*  $f$  **and** *finite*  $(UNIV :: 'a\ \text{set})$  **and**  $s \leq f\ s$   
**shows**  $\exists P. \text{while-option } (\lambda A. f\ A \neq A)\ f\ s = \text{Some } P$

*<proof>*

**lemma** *lfp-the-while-option-lattice:*

**fixes**  $f :: 'a::\text{complete-lattice} \Rightarrow 'a$

**assumes** *mono f and finite (UNIV :: 'a set)*

**shows**  $\text{lfp } f = \text{the } (\text{while-option } (\lambda A. f A \neq A) f \text{ bot})$

*<proof>*

**lemma** *lfp-while-lattice:*

**fixes**  $f :: 'a::\text{complete-lattice} \Rightarrow 'a$

**assumes** *mono f and finite (UNIV :: 'a set)*

**shows**  $\text{lfp } f = \text{while } (\lambda A. f A \neq A) f \text{ bot}$

*<proof>*

**lemma** *while-option-finite-decreasing-Some:*

**fixes**  $f :: 'a::\text{order} \Rightarrow 'a$

**assumes** *mono f and finite (UNIV :: 'a set) and  $f s \leq s$*

**shows**  $\exists P. \text{while-option } (\lambda A. f A \neq A) f s = \text{Some } P$

*<proof>*

**lemma** *gfp-the-while-option-lattice:*

**fixes**  $f :: 'a::\text{complete-lattice} \Rightarrow 'a$

**assumes** *mono f and finite (UNIV :: 'a set)*

**shows**  $\text{gfp } f = \text{the}(\text{while-option } (\lambda A. f A \neq A) f \text{ top})$

*<proof>*

**lemma** *gfp-while-lattice:*

**fixes**  $f :: 'a::\text{complete-lattice} \Rightarrow 'a$

**assumes** *mono f and finite (UNIV :: 'a set)*

**shows**  $\text{gfp } f = \text{while } (\lambda A. f A \neq A) f \text{ top}$

*<proof>*

Computing the reflexive, transitive closure by iterating a successor function. Stops when an element is found that does not satisfy the test.

More refined (and hence more efficient) versions can be found in ITP 2011 paper by Nipkow (the theories are in the AFP entry *Flyspeck* by Nipkow) and the AFP article *Executable Transitive Closures* by René Thiemann.

**context**

**fixes**  $p :: 'a \Rightarrow \text{bool}$

**and**  $f :: 'a \Rightarrow 'a \text{ list}$

**and**  $x :: 'a$

**begin**

**qualified fun** *rtrancl-while-test* ::  $'a \text{ list} \times 'a \text{ set} \Rightarrow \text{bool}$

**where**  $\text{rtrancl-while-test } (ws, -) = (ws \neq [] \wedge p(\text{hd } ws))$

**qualified fun** *rtrancl-while-step* ::  $'a \text{ list} \times 'a \text{ set} \Rightarrow 'a \text{ list} \times 'a \text{ set}$

**where**  $\text{rtrancl-while-step } (ws, Z) =$

$(\text{let } x = \text{hd } ws; \text{new} = \text{remdups } (\text{filter } (\lambda y. y \notin Z) (f x))$



in (new @ tl ws, set new  $\cup$  Z))

**definition** rtrancl-while :: ('a list \* 'a set) option

**where** rtrancl-while = while-option rtrancl-while-test rtrancl-while-step ([x],{x})

**qualified fun** rtrancl-while-invariant :: 'a list  $\times$  'a set  $\Rightarrow$  bool

**where** rtrancl-while-invariant (ws, Z) =

( $x \in Z \wedge \text{set } ws \subseteq Z \wedge \text{distinct } ws \wedge \{(x,y). y \in \text{set}(f x)\} \text{ “ } (Z - \text{set } ws) \subseteq Z$   
 $\wedge$   
 $Z \subseteq \{(x,y). y \in \text{set}(f x)\}^* \text{ “ } \{x\} \wedge (\forall z \in Z - \text{set } ws. p z)$ )

**qualified lemma** rtrancl-while-invariant:

**assumes** inv: rtrancl-while-invariant st **and** test: rtrancl-while-test st

**shows** rtrancl-while-invariant (rtrancl-while-step st)

*<proof>*

**lemma** rtrancl-while-Some: **assumes** rtrancl-while = Some(ws,Z)

**shows** if ws = []

then  $Z = \{(x,y). y \in \text{set}(f x)\}^* \text{ “ } \{x\} \wedge (\forall z \in Z. p z)$

else  $\neg p(\text{hd } ws) \wedge \text{hd } ws \in \{(x,y). y \in \text{set}(f x)\}^* \text{ “ } \{x\}$

*<proof>*

**lemma** rtrancl-while-finite-Some:

**assumes** finite ( $\{(x, y). y \in \text{set}(f x)\}^* \text{ “ } \{x\}$ ) (is finite ?Cl)

**shows**  $\exists y. \text{rtrancl-while} = \text{Some } y$

*<proof>*

end

end

## 6 The Bourbaki-Witt tower construction for transfinite iteration

**theory** Bourbaki-Witt-Fixpoint

**imports** While-Combinator

**begin**

**lemma** ChainsI [intro?]:

( $\bigwedge a b. \llbracket a \in Y; b \in Y \rrbracket \Longrightarrow (a, b) \in r \vee (b, a) \in r$ )  $\Longrightarrow Y \in \text{Chains } r$

*<proof>*

**lemma** in-Chains-subset:  $\llbracket M \in \text{Chains } r; M' \subseteq M \rrbracket \Longrightarrow M' \in \text{Chains } r$

*<proof>*

**lemma** in-ChainsD:  $\llbracket M \in \text{Chains } r; x \in M; y \in M \rrbracket \Longrightarrow (x, y) \in r \vee (y, x) \in r$

*<proof>*

**lemma** *Chains-FieldD*:  $\llbracket M \in \text{Chains } r; x \in M \rrbracket \implies x \in \text{Field } r$   
 $\langle \text{proof} \rangle$

**lemma** *in-Chains-conv-chain*:  $M \in \text{Chains } r \iff \text{Complete-Partial-Order.chain}$   
 $(\lambda x y. (x, y) \in r) M$   
 $\langle \text{proof} \rangle$

**lemma** *partial-order-on-trans*:  
 $\llbracket \text{partial-order-on } A r; (x, y) \in r; (y, z) \in r \rrbracket \implies (x, z) \in r$   
 $\langle \text{proof} \rangle$

**locale** *bourbaki-witt-fixpoint* =  
**fixes** *lub* :: 'a set  $\Rightarrow$  'a  
**and** *leq* :: ('a  $\times$  'a) set  
**and** *f* :: 'a  $\Rightarrow$  'a  
**assumes** *po*: *Partial-order leq*  
**and** *lub-least*:  $\llbracket M \in \text{Chains } leq; M \neq \{\}; \bigwedge x. x \in M \implies (x, z) \in leq \rrbracket \implies (\text{lub } M, z) \in leq$   
**and** *lub-upper*:  $\llbracket M \in \text{Chains } leq; x \in M \rrbracket \implies (x, \text{lub } M) \in leq$   
**and** *lub-in-Field*:  $\llbracket M \in \text{Chains } leq; M \neq \{\} \rrbracket \implies \text{lub } M \in \text{Field } leq$   
**and** *increasing*:  $\bigwedge x. x \in \text{Field } leq \implies (x, f x) \in leq$   
**begin**

**lemma** *leq-trans*:  $\llbracket (x, y) \in leq; (y, z) \in leq \rrbracket \implies (x, z) \in leq$   
 $\langle \text{proof} \rangle$

**lemma** *leq-refl*:  $x \in \text{Field } leq \implies (x, x) \in leq$   
 $\langle \text{proof} \rangle$

**lemma** *leq-antisym*:  $\llbracket (x, y) \in leq; (y, x) \in leq \rrbracket \implies x = y$   
 $\langle \text{proof} \rangle$

**inductive-set** *iterates-above* :: 'a  $\Rightarrow$  'a set  
**for** *a*  
**where**

*base*:  $a \in \text{iterates-above } a$   
 $| \text{step}$ :  $x \in \text{iterates-above } a \implies f x \in \text{iterates-above } a$   
 $| \text{Sup}$ :  $\llbracket M \in \text{Chains } leq; M \neq \{\}; \bigwedge x. x \in M \implies x \in \text{iterates-above } a \rrbracket \implies \text{lub } M \in \text{iterates-above } a$

**definition** *fixp-above* :: 'a  $\Rightarrow$  'a  
**where** *fixp-above*  $a = (\text{if } a \in \text{Field } leq \text{ then } \text{lub } (\text{iterates-above } a) \text{ else } a)$

**lemma** *fixp-above-outside*:  $a \notin \text{Field } leq \implies \text{fixp-above } a = a$   
 $\langle \text{proof} \rangle$

**lemma** *fixp-above-inside*:  $a \in \text{Field } leq \implies \text{fixp-above } a = \text{lub } (\text{iterates-above } a)$   
 $\langle \text{proof} \rangle$

**context**

**notes** *leq-refl* [*intro!*, *simp*]

**and** *base* [*intro*]

**and** *step* [*intro*]

**and** *Sup* [*intro*]

**and** *leq-trans* [*trans*]

**begin**

**lemma** *iterates-above-le-f*:  $\llbracket x \in \text{iterates-above } a; a \in \text{Field } \text{leq} \rrbracket \implies (x, f x) \in \text{leq}$   
 $\langle \text{proof} \rangle$

**lemma** *iterates-above-Field*:  $\llbracket x \in \text{iterates-above } a; a \in \text{Field } \text{leq} \rrbracket \implies x \in \text{Field } \text{leq}$   
 $\langle \text{proof} \rangle$

**lemma** *iterates-above-ge*:  
**assumes** *y*:  $y \in \text{iterates-above } a$   
**and** *a*:  $a \in \text{Field } \text{leq}$   
**shows**  $(a, y) \in \text{leq}$   
 $\langle \text{proof} \rangle$

**lemma** *iterates-above-lub*:  
**assumes** *M*:  $M \in \text{Chains } \text{leq}$   
**and** *nempty*:  $M \neq \{\}$   
**and** *upper*:  $\bigwedge y. y \in M \implies \exists z \in M. (y, z) \in \text{leq} \wedge z \in \text{iterates-above } a$   
**shows**  $\text{lub } M \in \text{iterates-above } a$   
 $\langle \text{proof} \rangle$

**lemma** *iterates-above-successor*:  
**assumes** *y*:  $y \in \text{iterates-above } a$   
**and** *a*:  $a \in \text{Field } \text{leq}$   
**shows**  $y = a \vee y \in \text{iterates-above } (f a)$   
 $\langle \text{proof} \rangle$

**lemma** *iterates-above-Sup-aux*:  
**assumes** *M*:  $M \in \text{Chains } \text{leq}$   $M \neq \{\}$   
**and** *M'*:  $M' \in \text{Chains } \text{leq}$   $M' \neq \{\}$   
**and** *comp*:  $\bigwedge x. x \in M \implies x \in \text{iterates-above } (\text{lub } M') \vee \text{lub } M' \in \text{iterates-above } x$   
**shows**  $(\text{lub } M, \text{lub } M') \in \text{leq} \vee \text{lub } M \in \text{iterates-above } (\text{lub } M')$   
 $\langle \text{proof} \rangle$

**lemma** *iterates-above-triangle*:  
**assumes** *x*:  $x \in \text{iterates-above } a$   
**and** *y*:  $y \in \text{iterates-above } a$   
**and** *a*:  $a \in \text{Field } \text{leq}$   
**shows**  $x \in \text{iterates-above } y \vee y \in \text{iterates-above } x$   
 $\langle \text{proof} \rangle$

**lemma** *chain-iterates-above*:

**assumes**  $a: a \in \text{Field } \text{leq}$

**shows** *iterates-above*  $a \in \text{Chains } \text{leq}$  (**is**  $?C \in -$ )

*<proof>*

**lemma** *fixp-iterates-above*: *fixp-above*  $a \in \text{iterates-above } a$

*<proof>*

**lemma** *fixp-above-Field*:  $a \in \text{Field } \text{leq} \implies \text{fixp-above } a \in \text{Field } \text{leq}$

*<proof>*

**lemma** *fixp-above-unfold*:

**assumes**  $a: a \in \text{Field } \text{leq}$

**shows** *fixp-above*  $a = f$  (*fixp-above*  $a$ ) (**is**  $?a = f ?a$ )

*<proof>*

**end**

**lemma** *fixp-above-induct* [*case-names adm base step*]:

**assumes** *adm*: *ccpo.admissible lub*  $(\lambda x y. (x, y) \in \text{leq}) P$

**and** *base*:  $P a$

**and** *step*:  $\bigwedge x. P x \implies P (f x)$

**shows**  $P (\text{fixp-above } a)$

*<proof>*

**end**

## 6.1 Connect with the while combinator for executability on chain-finite lattices.

**context** *bourbaki-witt-fixpoint* **begin**

**lemma** *in-Chains-finite*: — Translation from  $\llbracket \text{Complete-Partial-Order.chain } (\leq) ?A; \text{finite } ?A; ?A \neq \{\} \rrbracket \implies \text{Sup } ?A \in ?A$ .

**assumes**  $M \in \text{Chains } \text{leq}$

**and**  $M \neq \{\}$

**and** *finite*  $M$

**shows**  $\text{lub } M \in M$

*<proof>*

**lemma** *fun-pow-iterates-above*:  $(f \text{ } \rightsquigarrow k) a \in \text{iterates-above } a$

*<proof>*

**lemma** *chfin-iterates-above-fun-pow*:

**assumes**  $x \in \text{iterates-above } a$

**assumes**  $\forall M \in \text{Chains } \text{leq}. \text{finite } M$

**shows**  $\exists j. x = (f \text{ } \rightsquigarrow j) a$

*<proof>*

**lemma** *Chain-finite-iterates-above-fun-pow-iff:*

**assumes**  $\forall M \in \text{Chains leq. finite } M$

**shows**  $x \in \text{iterates-above } a \longleftrightarrow (\exists j. x = (f \text{ ^^ } j) a)$

*<proof>*

**lemma** *fixp-above-Kleene-iter-ex:*

**assumes**  $(\forall M \in \text{Chains leq. finite } M)$

**obtains**  $k$  **where**  $\text{fixp-above } a = (f \text{ ^^ } k) a$

*<proof>*

**context** **fixes**  $a$  **assumes**  $a: a \in \text{Field leq}$  **begin**

**lemma** *funpow-Field-leq:*  $(f \text{ ^^ } k) a \in \text{Field leq}$

*<proof>*

**lemma** *funpow-prefix:*  $j < k \implies ((f \text{ ^^ } j) a, (f \text{ ^^ } k) a) \in \text{leq}$

*<proof>*

**lemma** *funpow-suffix:*  $(f \text{ ^^ } \text{Suc } k) a = (f \text{ ^^ } k) a \implies ((f \text{ ^^ } (j + k)) a, (f \text{ ^^ } k) a) \in \text{leq}$

*<proof>*

**lemma** *funpow-stability:*  $(f \text{ ^^ } \text{Suc } k) a = (f \text{ ^^ } k) a \implies ((f \text{ ^^ } j) a, (f \text{ ^^ } k) a) \in \text{leq}$

*<proof>*

**lemma** *funpow-in-Chains:*  $\{(f \text{ ^^ } k) a \mid k. \text{True}\} \in \text{Chains leq}$

*<proof>*

**lemma** *fixp-above-Kleene-iter:*

**assumes**  $\forall M \in \text{Chains leq. finite } M$  — convenient but surely not necessary

**assumes**  $(f \text{ ^^ } \text{Suc } k) a = (f \text{ ^^ } k) a$

**shows**  $\text{fixp-above } a = (f \text{ ^^ } k) a$

*<proof>*

**context** **assumes**  $\text{chfin}: \forall M \in \text{Chains leq. finite } M$  **begin**

**lemma** *Chain-finite-wf:*  $\text{wf } \{(f \text{ ^^ } k) a, (f \text{ ^^ } k) a \mid k. f \text{ ^^ } k) a \neq (f \text{ ^^ } k) a\}$

*<proof>*

**lemma** *while-option-finite-increasing:*  $\exists P. \text{while-option } (\lambda A. f A \neq A) f a = \text{Some } P$

*<proof>*

**lemma** *fixp-above-the-while-option:*  $\text{fixp-above } a = \text{the } (\text{while-option } (\lambda A. f A \neq A) f a)$

*<proof>*

**lemma** *fixp-above-conv-while*:  $\text{fixp-above } a = \text{while } (\lambda A. f A \neq A) f a$   
 ⟨proof⟩

**end**

**end**

**end**

**lemma** *bourbaki-witt-fixpoint-complete-latticeI*:  
 fixes  $f :: 'a::\text{complete-lattice} \Rightarrow 'a$   
 assumes  $\bigwedge x. x \leq f x$   
 shows *bourbaki-witt-fixpoint*  $\text{Sup } \{(x, y). x \leq y\} f$   
 ⟨proof⟩

**end**

## 7 Division with modulus centered towards zero.

**theory** *Centered-Division*

**imports** *Main*

**begin**

**lemma** *off-iff-abs-mod-2-eq-one*:  
 ⟨ $\text{odd } l \longleftrightarrow |l| \bmod 2 = 1$ ⟩ **for**  $l :: \text{int}$   
 ⟨proof⟩

The following specification of division on integers centers the modulus around zero. This is useful e.g. to define division on Gauss numbers. N.b.: This is not mentioned [2].

**definition** *centered-divide* ::  $\langle \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \rangle$  (**infixl**  $\langle \text{cdiv} \rangle 70$ )  
**where**  $\langle k \text{ cdiv } l = \text{sgn } l * ((k + |l| \text{ div } 2) \text{ div } |l|) \rangle$

**definition** *centered-modulo* ::  $\langle \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \rangle$  (**infixl**  $\langle \text{cmod} \rangle 70$ )  
**where**  $\langle k \text{ cmod } l = (k + |l| \text{ div } 2) \bmod |l| - |l| \text{ div } 2 \rangle$

Example:  $k \text{ cmod } 5 \in \{-2, -1, 0, 1, 2\}$

**lemma** *signed-take-bit-eq-cmod*:  
 ⟨ $\text{signed-take-bit } n k = k \text{ cmod } (2 \wedge \text{Suc } n)$ ⟩  
 ⟨proof⟩

Property  $\text{signed-take-bit } n k = k \text{ cmod } 2^{\text{Suc } n}$  is the key to generalize centered division to arbitrary structures satisfying *ring-bit-operations*, but so far it is not clear what practical relevance that would have.

**lemma** *cdiv-mult-cmod-eq*:  
 ⟨ $k \text{ cdiv } l * l + k \text{ cmod } l = k$ ⟩  
 ⟨proof⟩

**lemma** *mult-cdiv-cmod-eq*:  
 $\langle l * (k \text{ cdiv } l) + k \text{ cmod } l = k \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *cmod-cdiv-mult-eq*:  
 $\langle k \text{ cmod } l + k \text{ cdiv } l * l = k \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *cmod-mult-cdiv-eq*:  
 $\langle k \text{ cmod } l + l * (k \text{ cdiv } l) = k \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *minus-cdiv-mult-eq-cmod*:  
 $\langle k - k \text{ cdiv } l * l = k \text{ cmod } l \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *minus-mult-cdiv-eq-cmod*:  
 $\langle k - l * (k \text{ cdiv } l) = k \text{ cmod } l \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *minus-cmod-eq-cdiv-mult*:  
 $\langle k - k \text{ cmod } l = k \text{ cdiv } l * l \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *minus-cmod-eq-mult-cdiv*:  
 $\langle k - k \text{ cmod } l = l * (k \text{ cdiv } l) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *cdiv-0-eq [simp]*:  
 $\langle k \text{ cdiv } 0 = 0 \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *cmod-0-eq [simp]*:  
 $\langle k \text{ cmod } 0 = k \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *cdiv-1-eq [simp]*:  
 $\langle k \text{ cdiv } 1 = k \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *cmod-1-eq [simp]*:  
 $\langle k \text{ cmod } 1 = 0 \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *zero-cdiv-eq [simp]*:  
 $\langle 0 \text{ cdiv } k = 0 \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *zero-cmod-eq [simp]*:

$\langle 0 \text{ cmod } k = 0 \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *cdiv-minus-eq*:  
 $\langle k \text{ cdiv } - l = - (k \text{ cdiv } l) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *cmod-minus-eq [simp]*:  
 $\langle k \text{ cmod } - l = k \text{ cmod } l \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *cdiv-abs-eq*:  
 $\langle k \text{ cdiv } |l| = \text{sgn } l * (k \text{ cdiv } l) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *cmod-abs-eq [simp]*:  
 $\langle k \text{ cmod } |l| = k \text{ cmod } l \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *nonzero-mult-cdiv-cancel-right*:  
 $\langle k * l \text{ cdiv } l = k \rangle$  **if**  $\langle l \neq 0 \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *cdiv-self-eq [simp]*:  
 $\langle k \text{ cdiv } k = 1 \rangle$  **if**  $\langle k \neq 0 \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *cmod-self-eq [simp]*:  
 $\langle k \text{ cmod } k = 0 \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *cmod-less-divisor*:  
 $\langle k \text{ cmod } l < |l| - |l| \text{ div } 2 \rangle$  **if**  $\langle l \neq 0 \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *cmod-less-equal-divisor*:  
 $\langle k \text{ cmod } l \leq |l| \text{ div } 2 \rangle$  **if**  $\langle l \neq 0 \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *divisor-less-equal-cmod'*:  
 $\langle |l| \text{ div } 2 - |l| \leq k \text{ cmod } l \rangle$  **if**  $\langle l \neq 0 \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *divisor-less-equal-cmod*:  
 $\langle - (|l| \text{ div } 2) \leq k \text{ cmod } l \rangle$  **if**  $\langle l \neq 0 \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *abs-cmod-less-equal*:  
 $\langle |k \text{ cmod } l| \leq |l| \text{ div } 2 \rangle$  **if**  $\langle l \neq 0 \rangle$



*<proof>*

end

## 8 Order on characters

**theory** *Char-ord*

**imports** *Main*

**begin**

**instantiation** *char* :: *linorder*

**begin**

**definition** *less-eq-char* ::  $\langle \text{char} \Rightarrow \text{char} \Rightarrow \text{bool} \rangle$

**where**  $\langle c1 \leq c2 \iff \text{of-char } c1 \leq (\text{of-char } c2 :: \text{nat}) \rangle$

**definition** *less-char* ::  $\langle \text{char} \Rightarrow \text{char} \Rightarrow \text{bool} \rangle$

**where**  $\langle c1 < c2 \iff \text{of-char } c1 < (\text{of-char } c2 :: \text{nat}) \rangle$

**instance**

*<proof>*

end

**lemma** *less-eq-char-simp* [*simp*, *code*]:

$\langle \text{Char } b0\ b1\ b2\ b3\ b4\ b5\ b6\ b7 \leq \text{Char } c0\ c1\ c2\ c3\ c4\ c5\ c6\ c7$

$\iff \text{lexordp-eq } [b7, b6, b5, b4, b3, b2, b1, b0] [c7, c6, c5, c4, c3, c2, c1, c0] \rangle$

*<proof>*

**lemma** *less-char-simp* [*simp*, *code*]:

$\langle \text{Char } b0\ b1\ b2\ b3\ b4\ b5\ b6\ b7 < \text{Char } c0\ c1\ c2\ c3\ c4\ c5\ c6\ c7$

$\iff \text{ord-class.lexordp } [b7, b6, b5, b4, b3, b2, b1, b0] [c7, c6, c5, c4, c3, c2, c1, c0] \rangle$

*<proof>*

**instantiation** *char* :: *distrib-lattice*

**begin**

**definition**  $\langle (\text{inf} :: \text{char} \Rightarrow -) = \text{min} \rangle$

**definition**  $\langle (\text{sup} :: \text{char} \Rightarrow -) = \text{max} \rangle$

**instance**

*<proof>*

end

**code-identifier**

**code-module** *Char-ord*  $\rightarrow$

(SML) Str and (OCaml) Str and (Haskell) Str and (Scala) Str

end

## 9 A generic phantom type

**theory** *Phantom-Type*

**imports** *Main*

**begin**

**datatype** ('a, 'b) *phantom* = *phantom* (of-phantom: 'b)

**lemma** *type-definition-phantom'*: *type-definition of-phantom phantom UNIV*  
 ⟨*proof*⟩

**lemma** *phantom-comp-of-phantom* [*simp*]: *phantom* ∘ *of-phantom* = *id*  
**and** *of-phantom-comp-phantom* [*simp*]: *of-phantom* ∘ *phantom* = *id*  
 ⟨*proof*⟩

**syntax** *-Phantom* :: *type* ⇒ *logic* ((1*Phantom*/(1'(-))))

**translations**

*Phantom*('t) ⇒ *CONST phantom* :: - ⇒ ('t, -) *phantom*

⟨*ML*⟩

**lemma** *of-phantom-inject* [*simp*]:  
*of-phantom x = of-phantom y* ⇔ *x = y*  
 ⟨*proof*⟩

end

## 10 Cardinality of types

**theory** *Cardinality*

**imports** *Phantom-Type*

**begin**

### 10.1 Preliminary lemmas

**lemma** (in *type-definition*) *univ*:  
*UNIV* = *Abs* ' *A*  
 ⟨*proof*⟩

**lemma** (in *type-definition*) *card*: *card* (*UNIV* :: 'b *set*) = *card A*  
 ⟨*proof*⟩

### 10.2 Cardinalities of types

**syntax** *-type-card* :: *type* ⇒ *nat* ((1*CARD*/(1'(-))))

**translations**  $CARD('t) \Rightarrow CONST\ card\ (CONST\ UNIV\ ::\ 't\ set)$

$\langle ML \rangle$

**lemma** *card-prod* [*simp*]:  $CARD('a \times 'b) = CARD('a) * CARD('b)$   
 $\langle proof \rangle$

**lemma** *card-UNIV-sum*:  $CARD('a + 'b) = (if\ CARD('a) \neq 0 \wedge CARD('b) \neq 0$   
*then*  $CARD('a) + CARD('b)$  *else*  $0)$   
 $\langle proof \rangle$

**lemma** *card-sum* [*simp*]:  $CARD('a + 'b) = CARD('a::finite) + CARD('b::finite)$   
 $\langle proof \rangle$

**lemma** *card-UNIV-option*:  $CARD('a\ option) = (if\ CARD('a) = 0\ then\ 0\ else$   
 $CARD('a) + 1)$   
 $\langle proof \rangle$

**lemma** *card-option* [*simp*]:  $CARD('a\ option) = Suc\ CARD('a::finite)$   
 $\langle proof \rangle$

**lemma** *card-UNIV-set*:  $CARD('a\ set) = (if\ CARD('a) = 0\ then\ 0\ else\ 2^{\wedge} CARD('a))$   
 $\langle proof \rangle$

**lemma** *card-set* [*simp*]:  $CARD('a\ set) = 2^{\wedge} CARD('a::finite)$   
 $\langle proof \rangle$

**lemma** *card-nat* [*simp*]:  $CARD(nat) = 0$   
 $\langle proof \rangle$

**lemma** *card-fun*:  $CARD('a \Rightarrow 'b) = (if\ CARD('a) \neq 0 \wedge CARD('b) \neq 0 \vee$   
 $CARD('b) = 1\ then\ CARD('b) \wedge CARD('a)$  *else*  $0)$   
 $\langle proof \rangle$

**corollary** *finite-UNIV-fun*:

$finite\ (UNIV\ ::\ ('a \Rightarrow 'b)\ set) \longleftrightarrow$

$finite\ (UNIV\ ::\ 'a\ set) \wedge finite\ (UNIV\ ::\ 'b\ set) \vee CARD('b) = 1$

(**is**  $?lhs \longleftrightarrow ?rhs$ )

$\langle proof \rangle$

**lemma** *card-literal*:  $CARD(String.literal) = 0$   
 $\langle proof \rangle$

### 10.3 Classes with at least 1 and 2

Class *finite* already captures "at least 1"

**lemma** *zero-less-card-finite* [*simp*]:  $0 < CARD('a::finite)$   
 $\langle proof \rangle$

**lemma** *one-le-card-finite* [*simp*]:  $Suc\ 0 \leq CARD('a::finite)$   
 ⟨*proof*⟩

**class** *CARD-1* =  
**assumes** *CARD-1*:  $CARD\ ('a) = 1$   
**begin**

**subclass** *finite*  
 ⟨*proof*⟩

**end**

Class for cardinality "at least 2"

**class** *card2* = *finite* +  
**assumes** *two-le-card*:  $2 \leq CARD('a)$

**lemma** *one-less-card*:  $Suc\ 0 < CARD('a::card2)$   
 ⟨*proof*⟩

**lemma** *one-less-int-card*:  $1 < int\ CARD('a::card2)$   
 ⟨*proof*⟩

## 10.4 A type class for deciding finiteness of types

**type-synonym** *'a finite-UNIV* = (*'a*, *bool*) *phantom*

**class** *finite-UNIV* =  
**fixes** *finite-UNIV* :: (*'a*, *bool*) *phantom*  
**assumes** *finite-UNIV*: *finite-UNIV* = *Phantom('a) (finite (UNIV :: 'a set))*

**lemma** *finite-UNIV-code* [*code-unfold*]:  
*finite (UNIV :: 'a :: finite-UNIV set)*  
 $\longleftrightarrow$  *of-phantom (finite-UNIV :: 'a finite-UNIV)*  
 ⟨*proof*⟩

## 10.5 A type class for computing the cardinality of types

**definition** *is-list-UNIV* :: *'a list*  $\Rightarrow$  *bool*  
**where** *is-list-UNIV xs* = (*let c = CARD('a) in if c = 0 then False else size (remdups xs) = c*)

**lemma** *is-list-UNIV-iff*: *is-list-UNIV xs*  $\longleftrightarrow$  *set xs = UNIV*  
 ⟨*proof*⟩

**type-synonym** *'a card-UNIV* = (*'a*, *nat*) *phantom*

**class** *card-UNIV* = *finite-UNIV* +  
**fixes** *card-UNIV* :: *'a card-UNIV*

**assumes** *card-UNIV*: *card-UNIV* = *Phantom('a) CARD('a)*

## 10.6 Instantiations for *card-UNIV*

**instantiation** *nat* :: *card-UNIV* **begin**  
**definition** *finite-UNIV* = *Phantom(nat) False*  
**definition** *card-UNIV* = *Phantom(nat) 0*  
**instance** *<proof>*  
**end**

**instantiation** *int* :: *card-UNIV* **begin**  
**definition** *finite-UNIV* = *Phantom(int) False*  
**definition** *card-UNIV* = *Phantom(int) 0*  
**instance** *<proof>*  
**end**

**instantiation** *natural* :: *card-UNIV* **begin**  
**definition** *finite-UNIV* = *Phantom(natural) False*  
**definition** *card-UNIV* = *Phantom(natural) 0*  
**instance**  
*<proof>*  
**end**

**instantiation** *integer* :: *card-UNIV* **begin**  
**definition** *finite-UNIV* = *Phantom(integer) False*  
**definition** *card-UNIV* = *Phantom(integer) 0*  
**instance**  
*<proof>*  
**end**

**instantiation** *list* :: (*type*) *card-UNIV* **begin**  
**definition** *finite-UNIV* = *Phantom('a list) False*  
**definition** *card-UNIV* = *Phantom('a list) 0*  
**instance** *<proof>*  
**end**

**instantiation** *unit* :: *card-UNIV* **begin**  
**definition** *finite-UNIV* = *Phantom(unit) True*  
**definition** *card-UNIV* = *Phantom(unit) 1*  
**instance** *<proof>*  
**end**

**instantiation** *bool* :: *card-UNIV* **begin**  
**definition** *finite-UNIV* = *Phantom(bool) True*  
**definition** *card-UNIV* = *Phantom(bool) 2*  
**instance** *<proof>*  
**end**

**instantiation** *char* :: *card-UNIV* **begin**

**definition** *finite-UNIV* = *Phantom(char) True*

**definition** *card-UNIV* = *Phantom(char) 256*

**instance** *<proof>*

**end**

**instantiation** *prod* :: (*finite-UNIV*, *finite-UNIV*) *finite-UNIV begin*

**definition** *finite-UNIV* = *Phantom('a × 'b)*

*(of-phantom (finite-UNIV :: 'a finite-UNIV) ∧ of-phantom (finite-UNIV :: 'b finite-UNIV))*

**instance** *<proof>*

**end**

**instantiation** *prod* :: (*card-UNIV*, *card-UNIV*) *card-UNIV begin*

**definition** *card-UNIV* = *Phantom('a × 'b)*

*(of-phantom (card-UNIV :: 'a card-UNIV) \* of-phantom (card-UNIV :: 'b card-UNIV))*

**instance** *<proof>*

**end**

**instantiation** *sum* :: (*finite-UNIV*, *finite-UNIV*) *finite-UNIV begin*

**definition** *finite-UNIV* = *Phantom('a + 'b)*

*(of-phantom (finite-UNIV :: 'a finite-UNIV) ∧ of-phantom (finite-UNIV :: 'b finite-UNIV))*

**instance**

*<proof>*

**end**

**instantiation** *sum* :: (*card-UNIV*, *card-UNIV*) *card-UNIV begin*

**definition** *card-UNIV* = *Phantom('a + 'b)*

*(let ca = of-phantom (card-UNIV :: 'a card-UNIV);*

*cb = of-phantom (card-UNIV :: 'b card-UNIV)*

*in if ca ≠ 0 ∧ cb ≠ 0 then ca + cb else 0)*

**instance** *<proof>*

**end**

**instantiation** *fun* :: (*finite-UNIV*, *card-UNIV*) *finite-UNIV begin*

**definition** *finite-UNIV* = *Phantom('a ⇒ 'b)*

*(let cb = of-phantom (card-UNIV :: 'b card-UNIV)*

*in cb = 1 ∨ of-phantom (finite-UNIV :: 'a finite-UNIV) ∧ cb ≠ 0)*

**instance**

*<proof>*

**end**

**instantiation** *fun* :: (*card-UNIV*, *card-UNIV*) *card-UNIV begin*

**definition** *card-UNIV* = *Phantom('a ⇒ 'b)*

*(let ca = of-phantom (card-UNIV :: 'a card-UNIV);*

*cb = of-phantom (card-UNIV :: 'b card-UNIV)*

*in if ca ≠ 0 ∧ cb ≠ 0 ∨ cb = 1 then cb ^ ca else 0)*

**instance** *<proof>*

**end**

**instantiation** *option* :: (*finite-UNIV*) *finite-UNIV* **begin**  
**definition** *finite-UNIV* = *Phantom('a option) (of-phantom (finite-UNIV :: 'a finite-UNIV))*  
**instance** *<proof>*  
**end**

**instantiation** *option* :: (*card-UNIV*) *card-UNIV* **begin**  
**definition** *card-UNIV* = *Phantom('a option)*  
*(let c = of-phantom (card-UNIV :: 'a card-UNIV) in if c ≠ 0 then Suc c else 0)*  
**instance** *<proof>*  
**end**

**instantiation** *String.literal* :: *card-UNIV* **begin**  
**definition** *finite-UNIV* = *Phantom(String.literal) False*  
**definition** *card-UNIV* = *Phantom(String.literal) 0*  
**instance**  
*<proof>*  
**end**

**instantiation** *set* :: (*finite-UNIV*) *finite-UNIV* **begin**  
**definition** *finite-UNIV* = *Phantom('a set) (of-phantom (finite-UNIV :: 'a finite-UNIV))*  
**instance** *<proof>*  
**end**

**instantiation** *set* :: (*card-UNIV*) *card-UNIV* **begin**  
**definition** *card-UNIV* = *Phantom('a set)*  
*(let c = of-phantom (card-UNIV :: 'a card-UNIV) in if c = 0 then 0 else 2 ^ c)*  
**instance** *<proof>*  
**end**

**lemma** *UNIV-finite-1: UNIV = set [finite-1.a<sub>1</sub>]*  
*<proof>*

**lemma** *UNIV-finite-2: UNIV = set [finite-2.a<sub>1</sub>, finite-2.a<sub>2</sub>]*  
*<proof>*

**lemma** *UNIV-finite-3: UNIV = set [finite-3.a<sub>1</sub>, finite-3.a<sub>2</sub>, finite-3.a<sub>3</sub>]*  
*<proof>*

**lemma** *UNIV-finite-4: UNIV = set [finite-4.a<sub>1</sub>, finite-4.a<sub>2</sub>, finite-4.a<sub>3</sub>, finite-4.a<sub>4</sub>]*  
*<proof>*

**lemma** *UNIV-finite-5:*  
*UNIV = set [finite-5.a<sub>1</sub>, finite-5.a<sub>2</sub>, finite-5.a<sub>3</sub>, finite-5.a<sub>4</sub>, finite-5.a<sub>5</sub>]*  
*<proof>*

**instantiation** *Enum.finite-1* :: *card-UNIV* **begin**

```

definition finite-UNIV = Phantom(Enum.finite-1) True
definition card-UNIV = Phantom(Enum.finite-1) 1
instance
  <proof>
end

```

```

instantiation Enum.finite-2 :: card-UNIV begin
definition finite-UNIV = Phantom(Enum.finite-2) True
definition card-UNIV = Phantom(Enum.finite-2) 2
instance
  <proof>
end

```

```

instantiation Enum.finite-3 :: card-UNIV begin
definition finite-UNIV = Phantom(Enum.finite-3) True
definition card-UNIV = Phantom(Enum.finite-3) 3
instance
  <proof>
end

```

```

instantiation Enum.finite-4 :: card-UNIV begin
definition finite-UNIV = Phantom(Enum.finite-4) True
definition card-UNIV = Phantom(Enum.finite-4) 4
instance
  <proof>
end

```

```

instantiation Enum.finite-5 :: card-UNIV begin
definition finite-UNIV = Phantom(Enum.finite-5) True
definition card-UNIV = Phantom(Enum.finite-5) 5
instance
  <proof>
end

```

```

end

```

## 11 Code setup for sets with cardinality type information

```

theory Code-Cardinality imports Cardinality begin

```

Implement  $CARD('a)$  via *card-UNIV-class.card-UNIV* and provide implementations for *finite*, *card*,  $(\subseteq)$ , and  $(=)$  if the calling context already provides *finite-UNIV* and *card-UNIV* instances. If we implemented the latter always via *card-UNIV-class.card-UNIV*, we would require instances of essentially all element types, i.e., a lot of instantiation proofs and – at run time – possibly slow dictionary constructions.

```

context

```



**begin**

**qualified definition**  $card-UNIV' :: 'a card-UNIV$   
**where**  $[code del]: card-UNIV' = Phantom('a) CARD('a)$

**lemma**  $CARD-code [code-unfold]:$   
 $CARD('a) = of-phantom (card-UNIV' :: 'a card-UNIV)$   
 $\langle proof \rangle$

**lemma**  $card-UNIV'-code [code]:$   
 $card-UNIV' = card-UNIV$   
 $\langle proof \rangle$

**end**

**lemma**  $card-Compl:$   
 $finite A \implies card (- A) = card (UNIV :: 'a set) - card (A :: 'a set)$   
 $\langle proof \rangle$

**context fixes**  $xs :: 'a :: finite-UNIV list$   
**begin**

**qualified definition**  $finite' :: 'a set \Rightarrow bool$   
**where**  $[simp, code del, code-abbrev]: finite' = finite$

**lemma**  $finite'-code [code]:$   
 $finite' (set xs) \longleftrightarrow True$   
 $finite' (List.coset xs) \longleftrightarrow of-phantom (finite-UNIV :: 'a finite-UNIV)$   
 $\langle proof \rangle$

**end**

**context fixes**  $xs :: 'a :: card-UNIV list$   
**begin**

**qualified definition**  $card' :: 'a set \Rightarrow nat$   
**where**  $[simp, code del, code-abbrev]: card' = card$

**lemma**  $card'-code [code]:$   
 $card' (set xs) = length (remdups xs)$   
 $card' (List.coset xs) = of-phantom (card-UNIV :: 'a card-UNIV) - length (remdups xs)$   
 $\langle proof \rangle$  **definition**  $subset' :: 'a set \Rightarrow 'a set \Rightarrow bool$   
**where**  $[simp, code del, code-abbrev]: subset' = (\subseteq)$

**lemma**  $subset'-code [code]:$   
 $subset' A (List.coset ys) \longleftrightarrow (\forall y \in set ys. y \notin A)$   
 $subset' (set ys) B \longleftrightarrow (\forall y \in set ys. y \in B)$   
 $subset' (List.coset xs) (set ys) \longleftrightarrow (let n = CARD('a) in n > 0 \wedge card(set xs)$

```

@ (ys)) = n)
⟨proof⟩ definition eq-set :: 'a set ⇒ 'a set ⇒ bool
where [simp, code del, code-abbrev]: eq-set = (=)

```

```

lemma eq-set-code [code]:

```

```

  fixes ys
  defines rhs ≡
    let n = CARD('a)
    in if n = 0 then False else
      let xs' = remdups xs; ys' = remdups ys
      in length xs' + length ys' = n ∧ (∀ x ∈ set xs'. x ∉ set ys') ∧ (∀ y ∈ set ys'.
y ∉ set xs')
  shows eq-set (List.coset xs) (set ys) ⟷ rhs
  and eq-set (set ys) (List.coset xs) ⟷ rhs
  and eq-set (set xs) (set ys) ⟷ (∀ x ∈ set xs. x ∈ set ys) ∧ (∀ y ∈ set ys. y ∈
set xs)
  and eq-set (List.coset xs) (List.coset ys) ⟷ (∀ x ∈ set xs. x ∈ set ys) ∧ (∀ y ∈
set ys. y ∈ set xs)
⟨proof⟩

```

```

end

```

Provide more informative exceptions than Match for non-rewritten cases. If generated code raises one these exceptions, then a code equation calls the mentioned operator for an element type that is not an instance of *card-UNIV* and is therefore not implemented via *card-UNIV-class.card-UNIV*. Constrain the element type with sort *card-UNIV* to change this.

```

lemma card-coset-error [code]:

```

```

  card (List.coset xs) =
    Code.abort (STR "card (List.coset -) requires type class instance card-UNIV")
    (λ-. card (List.coset xs))
⟨proof⟩

```

```

lemma coset-subseteq-set-code [code]:

```

```

  List.coset xs ⊆ set ys ⟷
  (if xs = [] ∧ ys = [] then False
  else Code.abort
    (STR "subset-eq (List.coset -) (List.set -) requires type class instance card-UNIV")
    (λ-. List.coset xs ⊆ set ys))
⟨proof⟩

```

```

notepad begin — test code setup

```

```

⟨proof⟩

```

```

end

```

```

end

```

## 12 Eliminating pattern matches

```
theory Case-Converter
```

```
  imports Main
```

```
begin
```

```
definition missing-pattern-match :: String.literal  $\Rightarrow$  (unit  $\Rightarrow$  'a)  $\Rightarrow$  'a where  
  [code del]: missing-pattern-match m f = f ()
```

```
lemma missing-pattern-match-cong [cong]:
```

```
  m = m'  $\Longrightarrow$  missing-pattern-match m f = missing-pattern-match m' f  
   $\langle$ proof $\rangle$ 
```

```
lemma missing-pattern-match-code [code-unfold]:
```

```
  missing-pattern-match = Code.abort  
   $\langle$ proof $\rangle$ 
```

```
 $\langle$ ML $\rangle$ 
```

```
end
```

## 13 Lazy types in generated code

```
theory Code-Lazy
```

```
imports Case-Converter
```

```
keywords
```

```
  code-lazy-type
```

```
  activate-lazy-type
```

```
  deactivate-lazy-type
```

```
  activate-lazy-types
```

```
  deactivate-lazy-types
```

```
  print-lazy-types :: thy-decl
```

```
begin
```

This theory and the CodeLazy tool described in [3].

It hooks into Isabelle’s code generator such that the generated code evaluates a user-specified set of type constructors lazily, even in target languages with eager evaluation. The lazy type must be algebraic, i.e., values must be built from constructors and a corresponding case operator decomposes them. Every datatype and codatatype is algebraic and thus eligible for lazification.

### 13.1 The type *lazy*

```
typedef 'a lazy = UNIV :: 'a set  $\langle$ proof $\rangle$ 
```

```
setup-lifting type-definition-lazy
```

```
lift-definition delay :: (unit  $\Rightarrow$  'a)  $\Rightarrow$  'a lazy is  $\lambda$ f. f ()  $\langle$ proof $\rangle$ 
```

```
lift-definition force :: 'a lazy  $\Rightarrow$  'a is  $\lambda$ x. x  $\langle$ proof $\rangle$ 
```

**code-datatype** *delay*

**lemma** *force-delay* [code]: *force (delay f) = f ()* *<proof>*

**lemma** *delay-force*: *delay (λ-. force s) = s* *<proof>*

**definition** *termify-lazy2* :: *'a* :: *typerep lazy* ⇒ *term*

**where** *termify-lazy2* *x* =

*Code-Evaluation.App (Code-Evaluation.Const (STR "Code-Lazy.delay") (TYPEREP((unit ⇒ 'a) ⇒ 'a lazy)))*

*(Code-Evaluation.Const (STR "Pure.dummy-pattern") (TYPEREP((unit ⇒ 'a))))*

**definition** *termify-lazy* ::

*(String.literal ⇒ 'typerep ⇒ 'term) ⇒*

*('term ⇒ 'term ⇒ 'term) ⇒*

*(String.literal ⇒ 'typerep ⇒ 'term ⇒ 'term) ⇒*

*'typerep ⇒ ('typerep ⇒ 'typerep ⇒ 'typerep) ⇒ ('typerep ⇒ 'typerep) ⇒*

*('a ⇒ 'term) ⇒ 'typerep ⇒ 'a* :: *typerep lazy* ⇒ *'term ⇒ term*

**where** *termify-lazy* - - - - - *x* = *termify-lazy2* *x*

**declare** [[code drop: *Code-Evaluation.term-of* :: - *lazy* ⇒ -]]

**lemma** *term-of-lazy-code* [code]:

*Code-Evaluation.term-of* *x* ≡

*termify-lazy*

*Code-Evaluation.Const Code-Evaluation.App Code-Evaluation.Abs*

*TYPEREP(unit) (λT U. typerep.Typeprep (STR "fun") [T, U]) (λT. typeprep.Typeprep (STR "Code-Lazy.lazy") [T])*

*Code-Evaluation.term-of TYPEREP('a) x (Code-Evaluation.Const (STR "")) (TYPEREP(unit))*

**for** *x* :: *'a* :: {*typerep*, *term-of*} *lazy*

*<proof>*

The implementations of - *lazy* using language primitives cache forced values.

Term reconstruction for lazy looks into the lazy value and reconstructs it to the depth it has been evaluated. This is not done for Haskell as we do not know of any portable way to inspect whether a lazy value has been evaluated to or not.

**code-printing code-module** *Lazy* ↦ (*SML*)

⟨signature *LAZY* =

*sig*

*type 'a lazy*;

*val lazy* : (*unit* -> *'a*) -> *'a lazy*;

*val force* : *'a lazy* -> *'a*;

*val peek* : *'a lazy* -> *'a option*

*val termify-lazy* :

*(string* -> *'typerep* -> *'term*) ->

*('term* -> *'term* -> *'term*) ->

*(string* -> *'typerep* -> *'term* -> *'term*) ->

```

  'typerep -> ('typerep -> 'typerep -> 'typerep) -> ('typerep -> 'typerep) ->
  ('a -> 'term) -> 'typerep -> 'a lazy -> 'term -> 'term;
end;

```

```

structure Lazy : LAZY =
struct

```

```

datatype 'a content =
  Delay of unit -> 'a
| Value of 'a
| Exn of exn;

```

```

datatype 'a lazy = Lazy of 'a content ref;

```

```

fun lazy f = Lazy (ref (Delay f));

```

```

fun force (Lazy x) = case !x of
  Delay f => (
    let val res = f (); val - = x := Value res; in res end
  handle exn => (x := Exn exn; raise exn))
| Value x => x
| Exn exn => raise exn;

```

```

fun peek (Lazy x) = case !x of
  Value x => SOME x
| - => NONE;

```

```

fun termify-lazy const app abs unitT funT lazyT term-of T x - =
  app (const Code-Lazy.delay (funT (funT unitT T) (lazyT T)))
  (case peek x of SOME y => abs - unitT (term-of y)
  | - => const Pure.dummy-pattern (funT unitT T));

```

```

end;> for type-constructor lazy constant delay force termify-lazy
| type-constructor lazy -> (SML) - Lazy.lazy
| constant delay -> (SML) Lazy.lazy
| constant force -> (SML) Lazy.force
| constant termify-lazy -> (SML) Lazy.termify'-lazy

```

**code-reserved** SML Lazy

**code-printing** — For code generation within the Isabelle environment, we reuse the thread-safe implementation of lazy from `~/src/Pure/Concurrent/lazy.ML`

```

code-module Lazy -> (Eval) <> for constant undefined
| type-constructor lazy -> (Eval) - Lazy.lazy
| constant delay -> (Eval) Lazy.lazy
| constant force -> (Eval) Lazy.force
| code-module Termify-Lazy -> (Eval)
<structure Termify-Lazy = struct
fun termify-lazy

```

```

(-: string -> typ -> term) (-: term -> term -> term) (-: string -> typ ->
term -> term)
(-: typ) (-: typ -> typ -> typ) (-: typ -> typ)
(term-of: 'a -> term) (T: typ) (x: 'a Lazy.lazy) (-: term) =
  Const (Code-Lazy.delay, (HOLogic.unitT --> T) --> Type (Code-Lazy.lazy,
[T])) $
  (case Lazy.peek x of
    SOME (Exn.Res x) => absdummy HOLogic.unitT (term-of x)
  | - => Const (Pure.dummy-pattern, HOLogic.unitT --> T));
end;> for constant termify-lazy
| constant termify-lazy -> (Eval) Termify'-Lazy.termify'-lazy

```

**code-reserved** Eval Termify-Lazy

**code-printing**

```

type-constructor lazy -> (OCaml) - Lazy.t
| constant delay -> (OCaml) Lazy.from'-fun
| constant force -> (OCaml) Lazy.force
| code-module Termify-Lazy -> (OCaml)
<module Termify-Lazy : sig
  val termify-lazy :
    (string -> 'typerep -> 'term) ->
    ('term -> 'term -> 'term) ->
    (string -> 'typerep -> 'term -> 'term) ->
    'typerep -> ('typerep -> 'typerep -> 'typerep) -> ('typerep -> 'typerep) ->
    ('a -> 'term) -> 'typerep -> 'a Lazy.t -> 'term -> 'term
end = struct

```

```

let termify-lazy const app abs unitT funT lazyT term-of ty x - =
  app (const Code-Lazy.delay (funT (funT unitT ty) (lazyT ty)))
    (if Lazy.is-val x then abs - unitT (term-of (Lazy.force x))
    else const Pure.dummy-pattern (funT unitT ty));;

```

```

end;> for constant termify-lazy
| constant termify-lazy -> (OCaml) Termify'-Lazy.termify'-lazy

```

**code-reserved** OCaml Lazy Termify-Lazy

**code-printing**

```

code-module Lazy -> (Haskell) <
module Lazy(Lazy, delay, force) where

newtype Lazy a = Lazy a
delay f = Lazy (f ())
force (Lazy x) = x for type-constructor lazy constant delay force
| type-constructor lazy -> (Haskell) Lazy.Lazy -
| constant delay -> (Haskell) Lazy.delay
| constant force -> (Haskell) Lazy.force

```

**code-reserved** *Haskell Lazy*

**code-printing**

**code-module** *Lazy*  $\rightarrow$  (*Scala*)

**object** *Lazy* {

*final class* *Lazy*[*A*] (*f*: *Unit* => *A*) {  
*var* *evaluated* = *false*;  
*lazy val* *x*: *A* = *f*(())

*def* *get*() : *A* = {  
*evaluated* = *true*;  
*return* *x*

}  
}

*def* *force*[*A*] (*x*: *Lazy*[*A*]) : *A* = {  
*return* *x.get*()  
}

*def* *delay*[*A*] (*f*: *Unit* => *A*) : *Lazy*[*A*] = {  
*return* *new Lazy*[*A*] (*f*)  
}

*def* *termify-lazy*[*Typerep*, *Term*, *A*] (  
*const*: *String* => *Typerep* => *Term*,  
*app*: *Term* => *Term* => *Term*,  
*abs*: *String* => *Typerep* => *Term* => *Term*,  
*unitT*: *Typerep*,  
*funT*: *Typerep* => *Typerep* => *Typerep*,  
*lazyT*: *Typerep* => *Typerep*,  
*term-of*: *A* => *Term*,  
*ty*: *Typerep*,  
*x*: *Lazy*[*A*],  
*dummy*: *Term*) : *Term* = {  
*x.evaluated* *match* {  
*case* *true* => *app*(*const*(*Code-Lazy.delay*)(*funT*(*funT*(*unitT*)(*ty*))(*lazyT*(*ty*))))(abs(-)(*unitT*)(*term-of*(*x.get*)))  
*case* *false* => *app*(*const*(*Code-Lazy.delay*)(*funT*(*funT*(*unitT*)(*ty*))(*lazyT*(*ty*))))(const(*Pure.dummy-pattern*))  
}

}> **for type-constructor** *lazy* **constant** *delay* *force* *termify-lazy*

| **type-constructor** *lazy*  $\rightarrow$  (*Scala*) *Lazy.Lazy*[-]

| **constant** *delay*  $\rightarrow$  (*Scala*) *Lazy.delay*

| **constant** *force*  $\rightarrow$  (*Scala*) *Lazy.force*

| **constant** *termify-lazy*  $\rightarrow$  (*Scala*) *Lazy.termify'-lazy*

**code-reserved** *Scala Lazy*

Make evaluation with the simplifier respect *delays*.

**lemma** *delay-lazy-cong*: *delay f = delay f*  $\langle$ *proof* $\rangle$   
 $\langle$ *ML* $\rangle$

## 13.2 Implementation

$\langle$ *ML* $\rangle$

end

## 14 Test infrastructure for the code generator

**theory** *Code-Test*  
**imports** *Main*  
**keywords** *test-code* :: *diag*  
**begin**

### 14.1 YXML encoding for *term*

**datatype** (*plugins del*: *code size quickcheck*) *yxml-of-term* = *YXML*

**lemma** *yot-anything*: *x = (y :: yxml-of-term)*  
 $\langle$ *proof* $\rangle$

**definition** *yot-empty* :: *yxml-of-term* **where** [*code del*]: *yot-empty* = *YXML*

**definition** *yot-literal* :: *String.literal*  $\Rightarrow$  *yxml-of-term*

**where** [*code del*]: *yot-literal* - = *YXML*

**definition** *yot-append* :: *yxml-of-term*  $\Rightarrow$  *yxml-of-term*  $\Rightarrow$  *yxml-of-term*

**where** [*code del*]: *yot-append* - - = *YXML*

**definition** *yot-concat* :: *yxml-of-term list*  $\Rightarrow$  *yxml-of-term*

**where** [*code del*]: *yot-concat* - = *YXML*

Serialise *yxml-of-term* to native string of target language

**code-printing type-constructor** *yxml-of-term*

$\rightarrow$  (*SML*) *string*

**and** (*OCaml*) *string*

**and** (*Haskell*) *String*

**and** (*Scala*) *String*

| **constant** *yot-empty*

$\rightarrow$  (*SML*)

**and** (*OCaml*)

**and** (*Haskell*)

**and** (*Scala*)

| **constant** *yot-literal*

$\rightarrow$  (*SML*) -

**and** (*OCaml*) -

**and** (*Haskell*) -

**and** (*Scala*) -

| **constant** *yot-append*

$\rightarrow$  (*SML*) *String.concat* [(-), (-)]



```

and (OCaml) String.concat [(-); (-)]
and (Haskell) infixr 5 ++
and (Scala) infixl 5 +
| constant yot-concat
   $\rightarrow$  (SML) String.concat
and (OCaml) String.concat
and (Haskell) Prelude.concat
and (Scala) -.mkString()

```

Stripped-down implementations of Isabelle’s XML tree with YXML encoding as defined in `~/src/Pure/PIDE/xml.ML`, `~/src/Pure/PIDE/yxml.ML` sufficient to encode *term* as in `~/src/Pure/term_xml.ML`.

```
datatype (plugins del: code size quickcheck) xml-tree = XML-Tree
```

```
lemma xml-tree-anything:  $x = (y :: \textit{xml-tree})$ 
<proof>
```

```
context begin
<ML>
```

```
type-synonym attributes = (String.literal  $\times$  String.literal) list
type-synonym body = xml-tree list
```

```
definition Elem :: String.literal  $\Rightarrow$  attributes  $\Rightarrow$  xml-tree list  $\Rightarrow$  xml-tree
where [code del]: Elem - - - = XML-Tree
```

```
definition Text :: String.literal  $\Rightarrow$  xml-tree
where [code del]: Text - = XML-Tree
```

```
definition node :: xml-tree list  $\Rightarrow$  xml-tree
where node ts = Elem (STR "'!") [] ts
```

```
definition tagged :: String.literal  $\Rightarrow$  String.literal option  $\Rightarrow$  xml-tree list  $\Rightarrow$  xml-tree
where tagged tag x ts = Elem tag (case x of None  $\Rightarrow$  [] | Some x'  $\Rightarrow$  [(STR "'0'", x')] ts)
```

```
definition list where list f xs = map (node  $\circ$  f) xs
```

```
definition X :: yxml-of-term where X = yot-literal (STR "0x05")
```

```
definition Y :: yxml-of-term where Y = yot-literal (STR "0x06")
```

```
definition XY :: yxml-of-term where XY = yot-append X Y
```

```
definition XYX :: yxml-of-term where XYX = yot-append XY X
```

```
end
```

```
code-datatype xml.Elem xml.Text
```

```
definition yxml-string-of-xml-tree :: xml-tree  $\Rightarrow$  yxml-of-term  $\Rightarrow$  yxml-of-term
where [code del]: yxml-string-of-xml-tree - - = YXML
```

**lemma** *yxml-string-of-xml-tree-code* [code]:  
*yxml-string-of-xml-tree* (*xml.Elem* name atts ts) rest =  
 yot-append *xml.XY* (  
 yot-append (yot-literal name) (  
 foldr ( $\lambda(a, x)$  rest.  
 yot-append *xml.Y* (  
 yot-append (yot-literal a) (  
 yot-append (yot-literal (STR "'=')) (  
 yot-append (yot-literal x) rest)))) atts (  
 foldr *yxml-string-of-xml-tree* ts (  
 yot-append *xml.XYX* rest))))  
*yxml-string-of-xml-tree* (*xml.Text* s) rest = yot-append (yot-literal s) rest  
 ⟨proof⟩

**definition** *yxml-string-of-body* :: *xml.body*  $\Rightarrow$  *yxml-of-term*  
**where** *yxml-string-of-body* ts = foldr *yxml-string-of-xml-tree* ts yot-empty

Encoding *term* into XML trees as defined in `~/src/Pure/term_xml.ML`.

**definition** *xml-of-ty* :: *Typerep.typerep*  $\Rightarrow$  *xml.body*  
**where** [code del]: *xml-of-ty* - = [XML-Tree]

**definition** *xml-of-term* :: *Code-Evaluation.term*  $\Rightarrow$  *xml.body*  
**where** [code del]: *xml-of-term* - = [XML-Tree]

**lemma** *xml-of-ty-code* [code]:  
*xml-of-ty* (*typerep.Typerep* t args) = [*xml.tagged* (STR "'0'") (Some t) (*xml.list*  
*xml-of-ty* args)]  
 ⟨proof⟩

**lemma** *xml-of-term-code* [code]:  
*xml-of-term* (*Code-Evaluation.Const* x ty) = [*xml.tagged* (STR "'0'") (Some x)  
 (*xml-of-ty* ty)]  
*xml-of-term* (*Code-Evaluation.App* t1 t2) = [*xml.tagged* (STR "'5'") None [*xml.node*  
 (*xml-of-term* t1), *xml.node* (*xml-of-term* t2)]]  
*xml-of-term* (*Code-Evaluation.Abs* x ty t) = [*xml.tagged* (STR "'4'") (Some x)  
 [*xml.node* (*xml-of-ty* ty), *xml.node* (*xml-of-term* t)]]  
 — FIXME: *Code-Evaluation.Free* is used only in *HOL.Quickcheck-Narrowing* to  
 represent uninstantiated parameters in constructors. Here, we always translate  
 them to **Free** variables.  
*xml-of-term* (*Code-Evaluation.Free* x ty) = [*xml.tagged* (STR "'1'") (Some x)  
 (*xml-of-ty* ty)]  
 ⟨proof⟩

**definition** *yxml-string-of-term* :: *Code-Evaluation.term*  $\Rightarrow$  *yxml-of-term*  
**where** *yxml-string-of-term* = *yxml-string-of-body*  $\circ$  *xml-of-term*

## 14.2 Test engine and drivers

⟨ML⟩

end

## 15 A combinator to build partial equivalence relations from a predicate and an equivalence relation

**theory** *Combine-PER*  
**imports** *Main*  
**begin**

**unbundle** *lattice-syntax*

**definition** *combine-per* ::  $('a \Rightarrow \text{bool}) \Rightarrow ('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow 'a \Rightarrow 'a \Rightarrow \text{bool}$   
**where** *combine-per*  $P R = (\lambda x y. P x \wedge P y) \sqcap R$

**lemma** *combine-per-simp* [*simp*]:  
 $\text{combine-per } P R x y \longleftrightarrow P x \wedge P y \wedge x \approx y$  **for**  $R$  (**infixl**  $\approx 50$ )  
 ⟨*proof*⟩

**lemma** *combine-per-top* [*simp*]:  $\text{combine-per } \top R = R$   
 ⟨*proof*⟩

**lemma** *combine-per-eq* [*simp*]:  $\text{combine-per } P \text{ HOL.eq} = \text{HOL.eq} \sqcap (\lambda x y. P x)$   
 ⟨*proof*⟩

**lemma** *symp-combine-per*:  $\text{symp } R \Longrightarrow \text{symp } (\text{combine-per } P R)$   
 ⟨*proof*⟩

**lemma** *transp-combine-per*:  $\text{transp } R \Longrightarrow \text{transp } (\text{combine-per } P R)$   
 ⟨*proof*⟩

**lemma** *combine-perI*:  $P x \Longrightarrow P y \Longrightarrow x \approx y \Longrightarrow \text{combine-per } P R x y$  **for**  $R$   
 (**infixl**  $\approx 50$ )  
 ⟨*proof*⟩

**lemma** *symp-combine-per-symp*:  $\text{symp } R \Longrightarrow \text{symp } (\text{combine-per } P R)$   
 ⟨*proof*⟩

**lemma** *transp-combine-per-transp*:  $\text{transp } R \Longrightarrow \text{transp } (\text{combine-per } P R)$   
 ⟨*proof*⟩

**lemma** *equivp-combine-per-part-equivp* [*intro?*]:  
**fixes**  $R$  (**infixl**  $\approx 50$ )  
**assumes**  $\exists x. P x$  **and** *equivp*  $R$   
**shows** *part-equivp*  $(\text{combine-per } P R)$   
 ⟨*proof*⟩

end

## 16 Formalisation of chain-complete partial orders, continuity and admissibility

**theory** *Complete-Partial-Order2* **imports**

*Main*

**begin**

**unbundle** *lattice-syntax*

**lemma** *chain-transfer* [*transfer-rule*]:

**includes** *lifting-syntax*

**shows**  $((A \text{====>} A \text{====>} (=)) \text{====>} \text{rel-set } A \text{====>} (=)) \text{ Complete-Partial-Order.chain}$   
*Complete-Partial-Order.chain*  
 ⟨*proof*⟩

**lemma** *linorder-chain* [*simp, intro!*]:

**fixes**  $Y :: - :: \text{linorder set}$

**shows** *Complete-Partial-Order.chain*  $(\leq) Y$   
 ⟨*proof*⟩

**lemma** *fun-lub-apply*:  $\bigwedge \text{Sup. fun-lub Sup } Y x = \text{Sup } ((\lambda f. f x) \text{ ‘ } Y)$   
 ⟨*proof*⟩

**lemma** *fun-lub-empty* [*simp*]: *fun-lub lub*  $\{\} = (\lambda -. \text{lub } \{\})$   
 ⟨*proof*⟩

**lemma** *chain-fun-ordD*:

**assumes** *Complete-Partial-Order.chain*  $(\text{fun-ord } le) Y$

**shows** *Complete-Partial-Order.chain*  $le ((\lambda f. f x) \text{ ‘ } Y)$   
 ⟨*proof*⟩

**lemma** *chain-Diff*:

*Complete-Partial-Order.chain ord*  $A$

$\implies \text{Complete-Partial-Order.chain ord } (A - B)$   
 ⟨*proof*⟩

**lemma** *chain-rel-prodD1*:

*Complete-Partial-Order.chain*  $(\text{rel-prod } \text{orda } \text{ordb}) Y$

$\implies \text{Complete-Partial-Order.chain } \text{orda } (\text{fst } \text{ ‘ } Y)$   
 ⟨*proof*⟩

**lemma** *chain-rel-prodD2*:

*Complete-Partial-Order.chain*  $(\text{rel-prod } \text{orda } \text{ordb}) Y$

$\implies \text{Complete-Partial-Order.chain } \text{ordb } (\text{snd } \text{ ‘ } Y)$   
 ⟨*proof*⟩

**context** *ccpo* **begin**

**lemma** *ccpo-fun*: *class.ccpo* (*fun-lub* *Sup*) (*fun-ord* ( $\leq$ )) (*mk-less* (*fun-ord* ( $\leq$ )))  
 ⟨*proof*⟩

**lemma** *ccpo-Sup-below-iff*: *Complete-Partial-Order.chain* ( $\leq$ ) *Y*  $\implies$  *Sup* *Y*  $\leq$  *x*  
 $\longleftrightarrow$  ( $\forall y \in Y. y \leq x$ )  
 ⟨*proof*⟩

**lemma** *Sup-minus-bot*:  
**assumes** *chain*: *Complete-Partial-Order.chain* ( $\leq$ ) *A*  
**shows**  $\sqcup (A - \{\sqcup \{\}\}) = \sqcup A$   
 (**is** ?*lhs* = ?*rhs*)  
 ⟨*proof*⟩

**lemma** *mono-lub*:  
**fixes** *le-b* (**infix**  $\sqsubseteq$  60)  
**assumes** *chain*: *Complete-Partial-Order.chain* (*fun-ord* ( $\leq$ )) *Y*  
**and** *mono*:  $\bigwedge f. f \in Y \implies$  *monotone* *le-b* ( $\leq$ ) *f*  
**shows** *monotone* ( $\sqsubseteq$ ) ( $\leq$ ) (*fun-lub* *Sup* *Y*)  
 ⟨*proof*⟩

**context**  
**fixes** *le-b* (**infix**  $\sqsubseteq$  60) **and** *Y* *f*  
**assumes** *chain*: *Complete-Partial-Order.chain* *le-b* *Y*  
**and** *mono1*:  $\bigwedge y. y \in Y \implies$  *monotone* *le-b* ( $\leq$ ) ( $\lambda x. f x y$ )  
**and** *mono2*:  $\bigwedge x a b. \llbracket x \in Y; a \sqsubseteq b; a \in Y; b \in Y \rrbracket \implies f x a \leq f x b$   
**begin**

**lemma** *Sup-mono*:  
**assumes** *le*:  $x \sqsubseteq y$  **and** *x*:  $x \in Y$  **and** *y*:  $y \in Y$   
**shows**  $\sqcup (f x \text{ ‘ } Y) \leq \sqcup (f y \text{ ‘ } Y)$  (**is** -  $\leq$  ?*rhs*)  
 ⟨*proof*⟩

**lemma** *diag-Sup*:  $\sqcup ((\lambda x. \sqcup (f x \text{ ‘ } Y)) \text{ ‘ } Y) = \sqcup ((\lambda x. f x x) \text{ ‘ } Y)$  (**is** ?*lhs* = ?*rhs*)  
 ⟨*proof*⟩

**end**

**lemma** *Sup-image-mono-le*:  
**fixes** *le-b* (**infix**  $\sqsubseteq$  60) **and** *Sup-b* ( $\bigvee$ )  
**assumes** *ccpo*: *class.ccpo* *Sup-b* ( $\sqsubseteq$ ) *lt-b*  
**assumes** *chain*: *Complete-Partial-Order.chain* ( $\sqsubseteq$ ) *Y*  
**and** *mono*:  $\bigwedge x y. \llbracket x \sqsubseteq y; x \in Y \rrbracket \implies f x \leq f y$   
**shows** *Sup* (*f* ‘ *Y*)  $\leq$  *f* ( $\bigvee Y$ )  
 ⟨*proof*⟩

**lemma** *swap-Sup*:

**fixes** *le-b* (**infix**  $\sqsubseteq$  60)  
**assumes**  $Y: \text{Complete-Partial-Order.chain } (\sqsubseteq) Y$   
**and**  $Z: \text{Complete-Partial-Order.chain } (\text{fun-ord } (\leq)) Z$   
**and**  $\text{mono}: \bigwedge f. f \in Z \implies \text{monotone } (\sqsubseteq) (\leq) f$   
**shows**  $\sqcup((\lambda x. \sqcup(x \text{ ' } Y)) \text{ ' } Z) = \sqcup((\lambda x. \sqcup((\lambda f. f x) \text{ ' } Z)) \text{ ' } Y)$   
*(is ?lhs = ?rhs)*  
 <proof>

**lemma** *fixp-mono*:  
**assumes**  $fg: \text{fun-ord } (\leq) f g$   
**and**  $f: \text{monotone } (\leq) (\leq) f$   
**and**  $g: \text{monotone } (\leq) (\leq) g$   
**shows**  $\text{ccpo-class.fixp } f \leq \text{ccpo-class.fixp } g$   
 <proof>

**context** **fixes** *ordb* ::  $'b \Rightarrow 'b \Rightarrow \text{bool}$  (**infix**  $\sqsubseteq$  60) **begin**

**lemma** *iterates-mono*:  
**assumes**  $f: f \in \text{ccpo.iterates } (\text{fun-lub Sup}) (\text{fun-ord } (\leq)) F$   
**and**  $\text{mono}: \bigwedge f. \text{monotone } (\sqsubseteq) (\leq) f \implies \text{monotone } (\sqsubseteq) (\leq) (F f)$   
**shows**  $\text{monotone } (\sqsubseteq) (\leq) f$   
 <proof>

**lemma** *fixp-preserves-mono*:  
**assumes**  $\text{mono}: \bigwedge x. \text{monotone } (\text{fun-ord } (\leq)) (\leq) (\lambda f. F f x)$   
**and**  $\text{mono2}: \bigwedge f. \text{monotone } (\sqsubseteq) (\leq) f \implies \text{monotone } (\sqsubseteq) (\leq) (F f)$   
**shows**  $\text{monotone } (\sqsubseteq) (\leq) (\text{ccpo.fixp } (\text{fun-lub Sup}) (\text{fun-ord } (\leq)) F)$   
*(is monotone - - ?fixp)*  
 <proof>

**end**

**end**

**lemma** *monotone2monotone*:  
**assumes**  $2: \bigwedge x. \text{monotone } \text{ordb } \text{ordc } (\lambda y. f x y)$   
**and**  $t: \text{monotone } \text{orda } \text{ordb } (\lambda x. t x)$   
**and**  $1: \bigwedge y. \text{monotone } \text{orda } \text{ordc } (\lambda x. f x y)$   
**and**  $\text{trans}: \text{transp } \text{ordc}$   
**shows**  $\text{monotone } \text{orda } \text{ordc } (\lambda x. f x (t x))$   
 <proof>

## 16.1 Continuity

**definition** *cont* ::  $('a \text{ set} \Rightarrow 'a) \Rightarrow ('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow ('b \text{ set} \Rightarrow 'b) \Rightarrow ('b \Rightarrow 'b \Rightarrow \text{bool}) \Rightarrow ('a \Rightarrow 'b) \Rightarrow \text{bool}$

**where**

$\text{cont } \text{luba } \text{orda } \text{lubb } \text{ordb } f \longleftrightarrow$   
 $(\forall Y. \text{Complete-Partial-Order.chain } \text{orda } Y \longrightarrow Y \neq \{\} \longrightarrow f (\text{luba } Y) = \text{lubb})$

( $f \cdot Y$ )

**definition**  $mcont :: ('a \text{ set} \Rightarrow 'a) \Rightarrow ('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow ('b \text{ set} \Rightarrow 'b) \Rightarrow ('b \Rightarrow 'b \Rightarrow \text{bool}) \Rightarrow ('a \Rightarrow 'b) \Rightarrow \text{bool}$

**where**

$mcont \text{ luba orda lubb ordb } f \longleftrightarrow$   
 $\text{monotone orda ordb } f \wedge \text{cont luba orda lubb ordb } f$

### 16.1.1 Theorem collection *cont-intro*

**named-theorems** *cont-intro continuity and admissibility intro rules*  
 $\langle ML \rangle$

**lemmas** [*cont-intro*] =  
*call-mono*  
*let-mono*  
*if-mono*  
*option.const-mono*  
*tailrec.const-mono*  
*bind-mono*

#### experiment begin

The following proof by simplification diverges if variables are not handled properly.

**lemma**  $(\bigwedge f. \text{monotone } R \text{ } S \text{ } f \Longrightarrow \text{thesis}) \Longrightarrow \text{monotone } R \text{ } S \text{ } g \Longrightarrow \text{thesis}$   
 $\langle \text{proof} \rangle$

**end**

**declare** *if-mono[simp]*

**lemma** *monotone-id'* [*cont-intro*]:  $\text{monotone ord ord } (\lambda x. x)$   
 $\langle \text{proof} \rangle$

**lemma** *monotone-applyI*:  
 $\text{monotone orda ordb } F \Longrightarrow \text{monotone (fun-ord orda) ordb } (\lambda f. F (f x))$   
 $\langle \text{proof} \rangle$

**lemma** *monotone-if-fun* [*partial-function-mono*]:  
 $\llbracket \text{monotone (fun-ord orda) (fun-ord ordb) } F; \text{monotone (fun-ord orda) (fun-ord ordb) } G \rrbracket$   
 $\Longrightarrow \text{monotone (fun-ord orda) (fun-ord ordb) } (\lambda f n. \text{if } c \text{ } n \text{ then } F \text{ } f \text{ } n \text{ else } G \text{ } f \text{ } n)$   
 $\langle \text{proof} \rangle$

**lemma** *monotone-fun-apply-fun* [*partial-function-mono*]:  
 $\text{monotone (fun-ord (fun-ord ord)) (fun-ord ord) } (\lambda f n. f \text{ } t \text{ } (g \text{ } n))$   
 $\langle \text{proof} \rangle$

**lemma** *monotone-fun-ord-apply*:

*monotone orda (fun-ord ordb) f  $\longleftrightarrow$  ( $\forall x$ . monotone orda ordb ( $\lambda y$ . f y x))*  
 $\langle$ *proof* $\rangle$

**context** *preorder* **begin**

**declare** *transp-on-le*[*cont-intro*]

**lemma** *monotone-const* [*simp*, *cont-intro*]: *monotone ord ( $\leq$ ) ( $\lambda$ -. c)*  
 $\langle$ *proof* $\rangle$

**end**

**lemma** *transp-le* [*cont-intro*, *simp*]:

*class.preorder ord (mk-less ord)  $\implies$  transp ord*  
 $\langle$ *proof* $\rangle$

**context** *partial-function-definitions* **begin**

**declare** *const-mono* [*cont-intro*, *simp*]

**lemma** *transp-le* [*cont-intro*, *simp*]: *transp leq*  
 $\langle$ *proof* $\rangle$

**lemma** *preorder* [*cont-intro*, *simp*]: *class.preorder leq (mk-less leq)*  
 $\langle$ *proof* $\rangle$

**declare** *ccpo*[*cont-intro*, *simp*]

**end**

**lemma** *contI* [*intro?*]:

$(\bigwedge Y. \llbracket \text{Complete-Partial-Order.chain orda } Y; Y \neq \{\} \rrbracket \implies f (\text{luba } Y) = \text{lubb}$   
 $(f \text{ ' } Y))$   
 $\implies \text{cont luba orda lubb ordb } f$   
 $\langle$ *proof* $\rangle$

**lemma** *contD*:

$\llbracket \text{cont luba orda lubb ordb } f; \text{Complete-Partial-Order.chain orda } Y; Y \neq \{\} \rrbracket$   
 $\implies f (\text{luba } Y) = \text{lubb } (f \text{ ' } Y)$   
 $\langle$ *proof* $\rangle$

**lemma** *cont-id* [*simp*, *cont-intro*]:  $\bigwedge \text{Sup. cont Sup ord Sup ord id}$   
 $\langle$ *proof* $\rangle$

**lemma** *cont-id'* [*simp*, *cont-intro*]:  $\bigwedge \text{Sup. cont Sup ord Sup ord } (\lambda x. x)$   
 $\langle$ *proof* $\rangle$

**lemma** *cont-applyI* [*cont-intro*]:



**assumes** *cont*: *cont luba orda lubb ordb g*  
**shows** *cont (fun-lub luba) (fun-ord orda) lubb ordb (λf. g (f x))*  
 ⟨*proof*⟩

**lemma** *call-cont*: *cont (fun-lub lub) (fun-ord ord) lub ord (λf. f t)*  
 ⟨*proof*⟩

**lemma** *cont-if* [*cont-intro*]:  
 [ *cont luba orda lubb ordb f; cont luba orda lubb ordb g* ]  
 ⇒ *cont luba orda lubb ordb (λx. if c then f x else g x)*  
 ⟨*proof*⟩

**lemma** *mcontI* [*intro*?]:  
 [ *monotone orda ordb f; cont luba orda lubb ordb f* ] ⇒ *mcont luba orda lubb ordb f*  
 ⟨*proof*⟩

**lemma** *mcont-mono*: *mcont luba orda lubb ordb f* ⇒ *monotone orda ordb f*  
 ⟨*proof*⟩

**lemma** *mcont-cont* [*simp*]: *mcont luba orda lubb ordb f* ⇒ *cont luba orda lubb ordb f*  
 ⟨*proof*⟩

**lemma** *mcont-monoD*:  
 [ *mcont luba orda lubb ordb f; orda x y* ] ⇒ *ordb (f x) (f y)*  
 ⟨*proof*⟩

**lemma** *mcont-contD*:  
 [ *mcont luba orda lubb ordb f; Complete-Partial-Order.chain orda Y; Y ≠ {}* ]  
 ⇒ *f (luba Y) = lubb (f ‘ Y)*  
 ⟨*proof*⟩

**lemma** *mcont-call* [*cont-intro, simp*]:  
*mcont (fun-lub lub) (fun-ord ord) lub ord (λf. f t)*  
 ⟨*proof*⟩

**lemma** *mcont-id'* [*cont-intro, simp*]: *mcont lub ord lub ord (λx. x)*  
 ⟨*proof*⟩

**lemma** *mcont-applyI*:  
*mcont luba orda lubb ordb (λx. F x)* ⇒ *mcont (fun-lub luba) (fun-ord orda) lubb ordb (λf. F (f x))*  
 ⟨*proof*⟩

**lemma** *mcont-if* [*cont-intro, simp*]:  
 [ *mcont luba orda lubb ordb (λx. f x); mcont luba orda lubb ordb (λx. g x)* ]  
 ⇒ *mcont luba orda lubb ordb (λx. if c then f x else g x)*  
 ⟨*proof*⟩

**lemma** *cont-fun-lub-apply*:

*cont luba orda (fun-lub lubb) (fun-ord ordb) f*  $\longleftrightarrow$   $(\forall x. \text{cont luba orda lubb ordb } (\lambda y. f y x))$   
 $\langle \text{proof} \rangle$

**lemma** *mcont-fun-lub-apply*:

*mcont luba orda (fun-lub lubb) (fun-ord ordb) f*  $\longleftrightarrow$   $(\forall x. \text{mcont luba orda lubb ordb } (\lambda y. f y x))$   
 $\langle \text{proof} \rangle$

**context** *ccpo begin*

**lemma** *cont-const* [*simp, cont-intro*]: *cont luba orda Sup*  $(\leq)$   $(\lambda x. c)$   
 $\langle \text{proof} \rangle$

**lemma** *mcont-const* [*cont-intro, simp*]:

*mcont luba orda Sup*  $(\leq)$   $(\lambda x. c)$   
 $\langle \text{proof} \rangle$

**lemma** *cont-apply*:

**assumes** 2:  $\bigwedge x. \text{cont lubb ordb Sup } (\leq) (\lambda y. f x y)$   
**and** *t*: *cont luba orda lubb ordb*  $(\lambda x. t x)$   
**and** 1:  $\bigwedge y. \text{cont luba orda Sup } (\leq) (\lambda x. f x y)$   
**and** *mono*: *monotone orda ordb*  $(\lambda x. t x)$   
**and** *mono2*:  $\bigwedge x. \text{monotone ordb } (\leq) (\lambda y. f x y)$   
**and** *mono1*:  $\bigwedge y. \text{monotone orda } (\leq) (\lambda x. f x y)$   
**shows** *cont luba orda Sup*  $(\leq) (\lambda x. f x (t x))$   
 $\langle \text{proof} \rangle$

**lemma** *mcont2mcont'*:

$\llbracket \bigwedge x. \text{mcont lub' ord' Sup } (\leq) (\lambda y. f x y);$   
 $\bigwedge y. \text{mcont lub ord Sup } (\leq) (\lambda x. f x y);$   
 $\text{mcont lub ord lub' ord' } (\lambda y. t y) \rrbracket$   
 $\implies \text{mcont lub ord Sup } (\leq) (\lambda x. f x (t x))$   
 $\langle \text{proof} \rangle$

**lemma** *mcont2mcont*:

$\llbracket \text{mcont lub' ord' Sup } (\leq) (\lambda x. f x); \text{mcont lub ord lub' ord' } (\lambda x. t x) \rrbracket$   
 $\implies \text{mcont lub ord Sup } (\leq) (\lambda x. f (t x))$   
 $\langle \text{proof} \rangle$

**context**

**fixes** *ord* :: 'b  $\Rightarrow$  'b  $\Rightarrow$  bool (**infix**  $\sqsubseteq$  60)  
**and** *lub* :: 'b set  $\Rightarrow$  'b ( $\bigvee$ )

**begin**

**lemma** *cont-fun-lub-Sup*:

**assumes** *chainM*: *Complete-Partial-Order.chain*  $(\text{fun-ord } (\leq)) M$

**and** *mcont* [rule-format]:  $\forall f \in M. \text{mcont lub } (\sqsubseteq) \text{ Sup } (\leq) f$   
**shows** *cont lub*  $(\sqsubseteq) \text{ Sup } (\leq) (\text{fun-lub Sup } M)$   
 <proof>

**lemma** *mcont-fun-lub-Sup*:  
 [ *Complete-Partial-Order.chain*  $(\text{fun-ord } (\leq)) M$ ;  
 $\forall f \in M. \text{mcont lub ord Sup } (\leq) f$  ]  
 $\implies \text{mcont lub } (\sqsubseteq) \text{ Sup } (\leq) (\text{fun-lub Sup } M)$   
 <proof>

**lemma** *iterates-mcont*:  
**assumes** *f*:  $f \in \text{ccpo.iterates } (\text{fun-lub Sup}) (\text{fun-ord } (\leq)) F$   
**and** *mono*:  $\bigwedge f. \text{mcont lub } (\sqsubseteq) \text{ Sup } (\leq) f \implies \text{mcont lub } (\sqsubseteq) \text{ Sup } (\leq) (F f)$   
**shows**  $\text{mcont lub } (\sqsubseteq) \text{ Sup } (\leq) f$   
 <proof>

**lemma** *fixp-preserves-mcont*:  
**assumes** *mono*:  $\bigwedge x. \text{monotone } (\text{fun-ord } (\leq)) (\leq) (\lambda f. F f x)$   
**and** *mcont*:  $\bigwedge f. \text{mcont lub } (\sqsubseteq) \text{ Sup } (\leq) f \implies \text{mcont lub } (\sqsubseteq) \text{ Sup } (\leq) (F f)$   
**shows**  $\text{mcont lub } (\sqsubseteq) \text{ Sup } (\leq) (\text{ccpo.fixp } (\text{fun-lub Sup}) (\text{fun-ord } (\leq)) F)$   
 (is *mcont* - - - ?*fixp*)  
 <proof>

**end**

**context**  
**fixes** *F* ::  $'c \Rightarrow 'c$  **and** *U* ::  $'c \Rightarrow 'b \Rightarrow 'a$  **and** *C* ::  $('b \Rightarrow 'a) \Rightarrow 'c$  **and** *f*  
**assumes** *mono*:  $\bigwedge x. \text{monotone } (\text{fun-ord } (\leq)) (\leq) (\lambda f. U (F (C f)) x)$   
**and** *eq*:  $f \equiv C (\text{ccpo.fixp } (\text{fun-lub Sup}) (\text{fun-ord } (\leq)) (\lambda f. U (F (C f))))$   
**and** *inverse*:  $\bigwedge f. U (C f) = f$   
**begin**

**lemma** *fixp-preserves-mono-uc*:  
**assumes** *mono2*:  $\bigwedge f. \text{monotone ord } (\leq) (U f) \implies \text{monotone ord } (\leq) (U (F f))$   
**shows**  $\text{monotone ord } (\leq) (U f)$   
 <proof>

**lemma** *fixp-preserves-mcont-uc*:  
**assumes** *mcont*:  $\bigwedge f. \text{mcont lubb ordb Sup } (\leq) (U f) \implies \text{mcont lubb ordb Sup } (\leq) (U (F f))$   
**shows**  $\text{mcont lubb ordb Sup } (\leq) (U f)$   
 <proof>

**end**

**lemmas** *fixp-preserves-mono1* = *fixp-preserves-mono-uc*[of  $\lambda x. x - \lambda x. x$ , *OF* - -  
*refl*]

**lemmas** *fixp-preserves-mono2* =  
*fixp-preserves-mono-uc*[of *case-prod* - *curry*, *unfolded case-prod-curry* *curry-case-prod*,

*OF - - refl*]

**lemmas** *fixp-preserves-mono3* =

*fixp-preserves-mono-uc*[of  $\lambda f. \text{case-prod } (\text{case-prod } f) - \lambda f. \text{curry } (\text{curry } f)$ , unfolded *case-prod-curry curry-case-prod*, *OF - - refl*]

**lemmas** *fixp-preserves-mono4* =

*fixp-preserves-mono-uc*[of  $\lambda f. \text{case-prod } (\text{case-prod } (\text{case-prod } f)) - \lambda f. \text{curry } (\text{curry } (\text{curry } f))$ , unfolded *case-prod-curry curry-case-prod*, *OF - - refl*]

**lemmas** *fixp-preserves-mcont1* = *fixp-preserves-mcont-uc*[of  $\lambda x. x - \lambda x. x$ , *OF - - refl*]

**lemmas** *fixp-preserves-mcont2* =

*fixp-preserves-mcont-uc*[of *case-prod - curry*, unfolded *case-prod-curry curry-case-prod*, *OF - - refl*]

**lemmas** *fixp-preserves-mcont3* =

*fixp-preserves-mcont-uc*[of  $\lambda f. \text{case-prod } (\text{case-prod } f) - \lambda f. \text{curry } (\text{curry } f)$ , unfolded *case-prod-curry curry-case-prod*, *OF - - refl*]

**lemmas** *fixp-preserves-mcont4* =

*fixp-preserves-mcont-uc*[of  $\lambda f. \text{case-prod } (\text{case-prod } (\text{case-prod } f)) - \lambda f. \text{curry } (\text{curry } (\text{curry } f))$ , unfolded *case-prod-curry curry-case-prod*, *OF - - refl*]

**end**

**lemma** (*in preorder*) *monotone-if-bot*:

**fixes** *bot*

**assumes** *mono*:  $\bigwedge x y. \llbracket x \leq y; \neg (x \leq \text{bound}) \rrbracket \implies \text{ord } (f x) (f y)$

**and** *bot*:  $\bigwedge x. \neg x \leq \text{bound} \implies \text{ord } \text{bot} (f x) \text{ ord } \text{bot } \text{bot}$

**shows** *monotone* ( $\leq$ ) *ord* ( $\lambda x. \text{if } x \leq \text{bound} \text{ then } \text{bot} \text{ else } f x$ )

*<proof>*

**lemma** (*in ccpo*) *mcont-if-bot*:

**fixes** *bot* **and** *lub* ( $\bigvee$ ) **and** *ord* (**infix**  $\sqsubseteq$  60)

**assumes** *ccpo*: *class.ccpo* *lub* ( $\sqsubseteq$ ) *lt*

**and** *mono*:  $\bigwedge x y. \llbracket x \leq y; \neg x \leq \text{bound} \rrbracket \implies f x \sqsubseteq f y$

**and** *cont*:  $\bigwedge Y. \llbracket \text{Complete-Partial-Order.chain } (\leq) Y; Y \neq \{\}; \bigwedge x. x \in Y \implies \neg x \leq \text{bound} \rrbracket \implies f (\bigsqcup Y) = \bigvee (f \text{ ' } Y)$

**and** *bot*:  $\bigwedge x. \neg x \leq \text{bound} \implies \text{bot} \sqsubseteq f x$

**shows** *mcont* *Sup* ( $\leq$ ) *lub* ( $\sqsubseteq$ ) ( $\lambda x. \text{if } x \leq \text{bound} \text{ then } \text{bot} \text{ else } f x$ ) (**is** *mcont - - ?g*)

*<proof>*

**context** *partial-function-definitions* **begin**

**lemma** *mcont-const* [*cont-intro*, *simp*]:

*mcont* *luba* *orda* *lub* *leq* ( $\lambda x. c$ )

*<proof>*

**lemmas** [*cont-intro*, *simp*] =

*ccpo.cont-const*[*OF Partial-Function.ccpo*[*OF partial-function-definitions-axioms*]]

**lemma** *mono2mono*:

**assumes** *monotone ordb leq* ( $\lambda y. f y$ ) *monotone orda ordb* ( $\lambda x. t x$ )

**shows** *monotone orda leq* ( $\lambda x. f (t x)$ )

*<proof>*

**lemmas** *mcont2mcont' = ccpo.mcont2mcont'*[*OF Partial-Function.ccpo*[*OF partial-function-definitions-axioms*]]

**lemmas** *mcont2mcont = ccpo.mcont2mcont*[*OF Partial-Function.ccpo*[*OF partial-function-definitions-axioms*]]

**lemmas** *fixp-preserves-mono1 = ccpo.fixp-preserves-mono1*[*OF Partial-Function.ccpo*[*OF partial-function-definitions-axioms*]]

**lemmas** *fixp-preserves-mono2 = ccpo.fixp-preserves-mono2*[*OF Partial-Function.ccpo*[*OF partial-function-definitions-axioms*]]

**lemmas** *fixp-preserves-mono3 = ccpo.fixp-preserves-mono3*[*OF Partial-Function.ccpo*[*OF partial-function-definitions-axioms*]]

**lemmas** *fixp-preserves-mono4 = ccpo.fixp-preserves-mono4*[*OF Partial-Function.ccpo*[*OF partial-function-definitions-axioms*]]

**lemmas** *fixp-preserves-mcont1 = ccpo.fixp-preserves-mcont1*[*OF Partial-Function.ccpo*[*OF partial-function-definitions-axioms*]]

**lemmas** *fixp-preserves-mcont2 = ccpo.fixp-preserves-mcont2*[*OF Partial-Function.ccpo*[*OF partial-function-definitions-axioms*]]

**lemmas** *fixp-preserves-mcont3 = ccpo.fixp-preserves-mcont3*[*OF Partial-Function.ccpo*[*OF partial-function-definitions-axioms*]]

**lemmas** *fixp-preserves-mcont4 = ccpo.fixp-preserves-mcont4*[*OF Partial-Function.ccpo*[*OF partial-function-definitions-axioms*]]

**lemma** *monotone-if-bot*:

**fixes** *bot*

**assumes** *g*:  $\bigwedge x. g x = (\text{if } \text{leq } x \text{ bound then } \text{bot else } f x)$

**and** *mono*:  $\bigwedge x y. \llbracket \text{leq } x y; \neg \text{leq } x \text{ bound} \rrbracket \implies \text{ord } (f x) (f y)$

**and** *bot*:  $\bigwedge x. \neg \text{leq } x \text{ bound} \implies \text{ord } \text{bot } (f x) \text{ ord } \text{bot } \text{bot}$

**shows** *monotone leq ord g*

*<proof>*

**lemma** *mcont-if-bot*:

**fixes** *bot*

**assumes** *ccpo*: *class.ccpo lub' ord* (*mk-less ord*)

**and** *bot*:  $\bigwedge x. \neg \text{leq } x \text{ bound} \implies \text{ord } \text{bot } (f x)$

**and** *g*:  $\bigwedge x. g x = (\text{if } \text{leq } x \text{ bound then } \text{bot else } f x)$

**and** *mono*:  $\bigwedge x y. \llbracket \text{leq } x y; \neg \text{leq } x \text{ bound} \rrbracket \implies \text{ord } (f x) (f y)$

**and** *cont*:  $\bigwedge Y. \llbracket \text{Complete-Partial-Order.chain leq } Y; Y \neq \{\}; \bigwedge x. x \in Y \implies \neg \text{leq } x \text{ bound} \rrbracket \implies f (\text{lub } Y) = \text{lub}' (f ` Y)$

**shows** *mcont lub leq lub' ord g*

*<proof>*

**end**

## 16.2 Admissibility

**lemma** *admissible-subst*:

**assumes** *adm*: *ccpo.admissible luba orda* ( $\lambda x. P x$ )

**and** *mcont*: *mcont lubb ordb luba orda f*

**shows** *ccpo.admissible lubb ordb* ( $\lambda x. P (f x)$ )

*<proof>*

**lemmas** [*simp, cont-intro*] =

*admissible-all*

*admissible-ball*

*admissible-const*

*admissible-conj*

**lemma** *admissible-disj'* [*simp, cont-intro*]:

$\llbracket$  *class.ccpo lub ord (mk-less ord)*; *ccpo.admissible lub ord P*; *ccpo.admissible lub ord Q*  $\rrbracket$

$\implies$  *ccpo.admissible lub ord* ( $\lambda x. P x \vee Q x$ )

*<proof>*

**lemma** *admissible-imp'* [*cont-intro*]:

$\llbracket$  *class.ccpo lub ord (mk-less ord)*;

*ccpo.admissible lub ord* ( $\lambda x. \neg P x$ );

*ccpo.admissible lub ord* ( $\lambda x. Q x$ )  $\rrbracket$

$\implies$  *ccpo.admissible lub ord* ( $\lambda x. P x \longrightarrow Q x$ )

*<proof>*

**lemma** *admissible-imp* [*cont-intro*]:

(*Q*  $\implies$  *ccpo.admissible lub ord* ( $\lambda x. P x$ ))

$\implies$  *ccpo.admissible lub ord* ( $\lambda x. Q \longrightarrow P x$ )

*<proof>*

**lemma** *admissible-not-mem'* [*THEN admissible-subst, cont-intro, simp*]:

**shows** *admissible-not-mem*: *ccpo.admissible Union* ( $\subseteq$ ) ( $\lambda A. x \notin A$ )

*<proof>*

**lemma** *admissible-eqI*:

**assumes** *f*: *cont luba orda lub ord* ( $\lambda x. f x$ )

**and** *g*: *cont luba orda lub ord* ( $\lambda x. g x$ )

**shows** *ccpo.admissible luba orda* ( $\lambda x. f x = g x$ )

*<proof>*

**corollary** *admissible-eq-mcontI* [*cont-intro*]:

$\llbracket$  *mcont luba orda lub ord* ( $\lambda x. f x$ );

*mcont luba orda lub ord* ( $\lambda x. g x$ )  $\rrbracket$

$\implies$  *ccpo.admissible luba orda* ( $\lambda x. f x = g x$ )

*<proof>*

**lemma** *admissible-iff* [*cont-intro, simp*]:

$\llbracket$  *ccpo.admissible lub ord* ( $\lambda x. P x \longrightarrow Q x$ ); *ccpo.admissible lub ord* ( $\lambda x. Q x \longrightarrow$

$P x$  ]  
 $\implies$  *ccpo.admissible lub ord*  $(\lambda x. P x \longleftrightarrow Q x)$   
 ⟨*proof*⟩

**context** *ccpo begin*

**lemma** *admissible-leI*:  
**assumes** *f*: *mcont luba orda Sup*  $(\leq)$   $(\lambda x. f x)$   
**and** *g*: *mcont luba orda Sup*  $(\leq)$   $(\lambda x. g x)$   
**shows** *ccpo.admissible luba orda*  $(\lambda x. f x \leq g x)$   
 ⟨*proof*⟩

**end**

**lemma** *admissible-leI*:  
**fixes** *ord* (**infix**  $\sqsubseteq$  60) **and** *lub*  $(\bigvee)$   
**assumes** *class.ccpo lub*  $(\sqsubseteq)$  (*mk-less*  $(\sqsubseteq)$ )  
**and** *mcont luba orda lub*  $(\sqsubseteq)$   $(\lambda x. f x)$   
**and** *mcont luba orda lub*  $(\sqsubseteq)$   $(\lambda x. g x)$   
**shows** *ccpo.admissible luba orda*  $(\lambda x. f x \sqsubseteq g x)$   
 ⟨*proof*⟩

**declare** *ccpo-class.admissible-leI*[*cont-intro*]

**context** *ccpo begin*

**lemma** *admissible-not-below*: *ccpo.admissible Sup*  $(\leq)$   $(\lambda x. \neg (\leq) x y)$   
 ⟨*proof*⟩

**end**

**lemma** (**in** *preorder*) *preorder* [*cont-intro, simp*]: *class.preorder*  $(\leq)$  (*mk-less*  $(\leq)$ )  
 ⟨*proof*⟩

**context** *partial-function-definitions begin*

**lemmas** [*cont-intro, simp*] =  
*admissible-leI*[*OF Partial-Function.ccpo*[*OF partial-function-definitions-axioms*]]  
*ccpo.admissible-not-below*[*THEN admissible-subst, OF Partial-Function.ccpo*[*OF partial-function-definitions-axioms*]]

**end**

⟨*ML*⟩

**inductive** *compact* ::  $('a \text{ set} \Rightarrow 'a) \Rightarrow ('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow 'a \Rightarrow \text{bool}$   
**for** *lub ord x*  
**where** *compact*:  
 [ *ccpo.admissible lub ord*  $(\lambda y. \neg \text{ord } x y)$ ;

$ccpo.admissible\ lub\ ord\ (\lambda y. x \neq y) \ ]$   
 $\implies compact\ lub\ ord\ x$

$\langle ML \rangle$

**context** *ccpo* **begin**

**lemma** *compactI*:

**assumes** *ccpo.admissible Sup*  $(\leq)$   $(\lambda y. \neg x \leq y)$

**shows** *ccpo.compact Sup*  $(\leq)$   $x$

$\langle proof \rangle$

**lemma** *compact-bot*:

**assumes**  $x = Sup \ \{\}$

**shows** *ccpo.compact Sup*  $(\leq)$   $x$

$\langle proof \rangle$

**end**

**lemma** *admissible-compact-neq'* [*THEN* *admissible-subst*, *cont-intro*, *simp*]:

**shows** *admissible-compact-neq*: *ccpo.compact lub ord k*  $\implies ccpo.admissible\ lub\ ord\ (\lambda x. k \neq x)$

$\langle proof \rangle$

**lemma** *admissible-neq-compact'* [*THEN* *admissible-subst*, *cont-intro*, *simp*]:

**shows** *admissible-neq-compact*: *ccpo.compact lub ord k*  $\implies ccpo.admissible\ lub\ ord\ (\lambda x. x \neq k)$

$\langle proof \rangle$

**context** *partial-function-definitions* **begin**

**lemmas** [*cont-intro*, *simp*] = *ccpo.compact-bot*[*OF* *Partial-Function.ccpo*[*OF* *partial-function-definitions-axioms*]]

**end**

**context** *ccpo* **begin**

**lemma** *fixp-strong-induct*:

**assumes** [*cont-intro*]: *ccpo.admissible Sup*  $(\leq)$   $P$

**and** *mono*: *monotone*  $(\leq)$   $(\leq)$   $f$

**and** *bot*:  $P (\sqcup \{\})$

**and** *step*:  $\bigwedge x. \llbracket x \leq ccpo-class.fixp\ f; P\ x \rrbracket \implies P (f\ x)$

**shows**  $P (ccpo-class.fixp\ f)$

$\langle proof \rangle$

**end**

**context** *partial-function-definitions* **begin**



**lemma** *fixp-strong-induct-uc*:  
**fixes**  $F :: 'c \Rightarrow 'c$   
**and**  $U :: 'c \Rightarrow 'b \Rightarrow 'a$   
**and**  $C :: ('b \Rightarrow 'a) \Rightarrow 'c$   
**and**  $P :: ('b \Rightarrow 'a) \Rightarrow \text{bool}$   
**assumes** *mono*:  $\bigwedge x. \text{mono-body } (\lambda f. U (F (C f))) x$   
**and** *eq*:  $f \equiv C (\text{fixp-fun } (\lambda f. U (F (C f))))$   
**and** *inverse*:  $\bigwedge f. U (C f) = f$   
**and** *adm*: *ccpo.admissible lub-fun le-fun*  $P$   
**and** *bot*:  $P (\lambda-. \text{lub } \{\})$   
**and** *step*:  $\bigwedge f'. \llbracket P (U f'); \text{le-fun } (U f') (U f) \rrbracket \Longrightarrow P (U (F f'))$   
**shows**  $P (U f)$   
 $\langle \text{proof} \rangle$

**end**

### 16.3 (=) as order

**definition** *lub-singleton*  $:: ('a \text{ set} \Rightarrow 'a) \Rightarrow \text{bool}$   
**where** *lub-singleton*  $\text{lub} \longleftrightarrow (\forall a. \text{lub } \{a\} = a)$

**definition** *the-Sup*  $:: 'a \text{ set} \Rightarrow 'a$   
**where** *the-Sup*  $A = (\text{THE } a. a \in A)$

**lemma** *lub-singleton-the-Sup* [*cont-intro*, *simp*]: *lub-singleton the-Sup*  
 $\langle \text{proof} \rangle$

**lemma** (**in** *ccpo*) *lub-singleton*: *lub-singleton Sup*  
 $\langle \text{proof} \rangle$

**lemma** (**in** *partial-function-definitions*) *lub-singleton* [*cont-intro*, *simp*]: *lub-singleton lub*  
 $\langle \text{proof} \rangle$

**lemma** *preorder-eq* [*cont-intro*, *simp*]:  
*class.preorder* (=) (*mk-less* (=))  
 $\langle \text{proof} \rangle$

**lemma** *monotone-eqI* [*cont-intro*]:  
**assumes** *class.preorder ord* (*mk-less ord*)  
**shows** *monotone* (=) *ord f*  
 $\langle \text{proof} \rangle$

**lemma** *cont-eqI* [*cont-intro*]:  
**fixes**  $f :: 'a \Rightarrow 'b$   
**assumes** *lub-singleton lub*  
**shows** *cont the-Sup* (=) *lub ord f*  
 $\langle \text{proof} \rangle$

**lemma** *mcont-eqI* [*cont-intro*, *simp*]:  
 [[ *class.preorder ord (mk-less ord)*; *lub-singleton lub* ]]  
 $\implies$  *mcont the-Sup (=) lub ord f*  
 ⟨*proof*⟩

## 16.4 ccpo for products

**definition** *prod-lub* :: (*'a set*  $\implies$  *'a*)  $\implies$  (*'b set*  $\implies$  *'b*)  $\implies$  (*'a*  $\times$  *'b*) *set*  $\implies$  *'a*  $\times$  *'b*  
**where** *prod-lub Sup-a Sup-b Y* = (*Sup-a (fst ' Y)*, *Sup-b (snd ' Y)*)

**lemma** *lub-singleton-prod-lub* [*cont-intro*, *simp*]:  
 [[ *lub-singleton luba*; *lub-singleton lubb* ]]  $\implies$  *lub-singleton (prod-lub luba lubb)*  
 ⟨*proof*⟩

**lemma** *prod-lub-empty* [*simp*]: *prod-lub luba lubb {}* = (*luba {}*, *lubb {}*)  
 ⟨*proof*⟩

**lemma** *preorder-rel-prodI* [*cont-intro*, *simp*]:  
**assumes** *class.preorder orda (mk-less orda)*  
**and** *class.preorder ordb (mk-less ordb)*  
**shows** *class.preorder (rel-prod orda ordb) (mk-less (rel-prod orda ordb))*  
 ⟨*proof*⟩

**lemma** *order-rel-prodI*:  
**assumes** *a: class.order orda (mk-less orda)*  
**and** *b: class.order ordb (mk-less ordb)*  
**shows** *class.order (rel-prod orda ordb) (mk-less (rel-prod orda ordb))*  
 (**is** *class.order ?ord ?ord'*)  
 ⟨*proof*⟩

**lemma** *monotone-rel-prodI*:  
**assumes** *mono2:  $\bigwedge a. monotone ordb ordc (\lambda b. f (a, b))$*   
**and** *mono1:  $\bigwedge b. monotone orda ordc (\lambda a. f (a, b))$*   
**and** *a: class.preorder orda (mk-less orda)*  
**and** *b: class.preorder ordb (mk-less ordb)*  
**and** *c: class.preorder ordc (mk-less ordc)*  
**shows** *monotone (rel-prod orda ordb) ordc f*  
 ⟨*proof*⟩

**lemma** *monotone-rel-prodD1*:  
**assumes** *mono: monotone (rel-prod orda ordb) ordc f*  
**and** *preorder: class.preorder ordb (mk-less ordb)*  
**shows** *monotone orda ordc ( $\lambda a. f (a, b)$ )*  
 ⟨*proof*⟩

**lemma** *monotone-rel-prodD2*:  
**assumes** *mono: monotone (rel-prod orda ordb) ordc f*  
**and** *preorder: class.preorder orda (mk-less orda)*

**shows** *monotone ordb ordc* ( $\lambda b. f (a, b)$ )  
 ⟨*proof*⟩

**lemma** *monotone-case-prodI*:

[[  $\wedge a. \textit{monotone ordb ordc} (f a)$ ;  $\wedge b. \textit{monotone orda ordc} (\lambda a. f a b)$ ;  
   *class.preorder orda* (*mk-less orda*); *class.preorder ordb* (*mk-less ordb*);  
   *class.preorder ordc* (*mk-less ordc*) ]]  
 $\implies \textit{monotone} (\textit{rel-prod orda ordb}) \textit{ ordc} (\textit{case-prod} f)$   
 ⟨*proof*⟩

**lemma** *monotone-case-prodD1*:

**assumes** *mono*: *monotone* (*rel-prod orda ordb*)  *ordc* (*case-prod f*)  
**and** *preorder*: *class.preorder ordb* (*mk-less ordb*)  
**shows** *monotone orda ordc* ( $\lambda a. f a b$ )  
 ⟨*proof*⟩

**lemma** *monotone-case-prodD2*:

**assumes** *mono*: *monotone* (*rel-prod orda ordb*)  *ordc* (*case-prod f*)  
**and** *preorder*: *class.preorder orda* (*mk-less orda*)  
**shows** *monotone ordb ordc* (*f a*)  
 ⟨*proof*⟩

**context**

**fixes** *orda ordb ordc*  
**assumes** *a*: *class.preorder orda* (*mk-less orda*)  
**and** *b*: *class.preorder ordb* (*mk-less ordb*)  
**and** *c*: *class.preorder ordc* (*mk-less ordc*)  
**begin**

**lemma** *monotone-rel-prod-iff*:

*monotone* (*rel-prod orda ordb*)  *ordc* *f*  $\longleftrightarrow$   
 ( $\forall a. \textit{monotone ordb ordc} (\lambda b. f (a, b))$ )  $\wedge$   
 ( $\forall b. \textit{monotone orda ordc} (\lambda a. f (a, b))$ )  
 ⟨*proof*⟩

**lemma** *monotone-case-prod-iff* [*simp*]:

*monotone* (*rel-prod orda ordb*)  *ordc* (*case-prod f*)  $\longleftrightarrow$   
 ( $\forall a. \textit{monotone ordb ordc} (f a)$ )  $\wedge$  ( $\forall b. \textit{monotone orda ordc} (\lambda a. f a b)$ )  
 ⟨*proof*⟩

**end**

**lemma** *monotone-case-prod-apply-iff*:

*monotone orda ordb* ( $\lambda x. (\textit{case-prod} f x) y$ )  $\longleftrightarrow$  *monotone orda ordb* (*case-prod*  
 ( $\lambda a b. f a b y$ ))  
 ⟨*proof*⟩

**lemma** *monotone-case-prod-applyD*:

*monotone orda ordb* ( $\lambda x. (\textit{case-prod} f x) y$ )

$\implies$  *monotone orda ordb (case-prod ( $\lambda a b. f a b y$ ))*  
 ⟨proof⟩

**lemma** *monotone-case-prod-applyI:*

*monotone orda ordb (case-prod ( $\lambda a b. f a b y$ ))*  
 $\implies$  *monotone orda ordb ( $\lambda x. (case-prod f x) y$ )*  
 ⟨proof⟩

**lemma** *cont-case-prod-apply-iff:*

*cont luba orda lubb ordb ( $\lambda x. (case-prod f x) y$ )*  $\longleftrightarrow$  *cont luba orda lubb ordb*  
*(case-prod ( $\lambda a b. f a b y$ ))*  
 ⟨proof⟩

**lemma** *cont-case-prod-applyI:*

*cont luba orda lubb ordb (case-prod ( $\lambda a b. f a b y$ ))*  
 $\implies$  *cont luba orda lubb ordb ( $\lambda x. (case-prod f x) y$ )*  
 ⟨proof⟩

**lemma** *cont-case-prod-applyD:*

*cont luba orda lubb ordb ( $\lambda x. (case-prod f x) y$ )*  
 $\implies$  *cont luba orda lubb ordb (case-prod ( $\lambda a b. f a b y$ ))*  
 ⟨proof⟩

**lemma** *mcont-case-prod-apply-iff [simp]:*

*mcont luba orda lubb ordb ( $\lambda x. (case-prod f x) y$ )*  $\longleftrightarrow$   
*mcont luba orda lubb ordb (case-prod ( $\lambda a b. f a b y$ ))*  
 ⟨proof⟩

**lemma** *cont-prodD1:*

**assumes** *cont: cont (prod-lub luba lubb) (rel-prod orda ordb) lubc ordc f*  
**and** *class.preorder orda (mk-less orda)*  
**and** *luba: lub-singleton luba*  
**shows** *cont lubb ordb lubc ordc ( $\lambda y. f (x, y)$ )*  
 ⟨proof⟩

**lemma** *cont-prodD2:*

**assumes** *cont: cont (prod-lub luba lubb) (rel-prod orda ordb) lubc ordc f*  
**and** *class.preorder ordb (mk-less ordb)*  
**and** *lubb: lub-singleton lubb*  
**shows** *cont luba orda lubc ordc ( $\lambda x. f (x, y)$ )*  
 ⟨proof⟩

**lemma** *cont-case-prodD1:*

**assumes** *cont (prod-lub luba lubb) (rel-prod orda ordb) lubc ordc (case-prod f)*  
**and** *class.preorder orda (mk-less orda)*  
**and** *lub-singleton luba*  
**shows** *cont lubb ordb lubc ordc (f x)*  
 ⟨proof⟩

**lemma** *cont-case-prodD2*:

**assumes** *cont* (*prod-lub luba lubb*) (*rel-prod orda ordb*) *lucb ordc* (*case-prod f*)  
**and** *class.preorder ordb* (*mk-less ordb*)  
**and** *lub-singleton lubb*  
**shows** *cont luba orda lucb ordc* ( $\lambda x. f x y$ )  
 $\langle$ *proof* $\rangle$

**context** *ccpo* **begin**

**lemma** *cont-prodI*:

**assumes** *mono: monotone* (*rel-prod orda ordb*) ( $\leq$ ) *f*  
**and** *cont1*:  $\bigwedge x. cont lubb ordb Sup (\leq) (\lambda y. f (x, y))$   
**and** *cont2*:  $\bigwedge y. cont luba orda Sup (\leq) (\lambda x. f (x, y))$   
**and** *class.preorder orda* (*mk-less orda*)  
**and** *class.preorder ordb* (*mk-less ordb*)  
**shows** *cont* (*prod-lub luba lubb*) (*rel-prod orda ordb*) *Sup* ( $\leq$ ) *f*  
 $\langle$ *proof* $\rangle$

**lemma** *cont-case-prodI*:

**assumes** *monotone* (*rel-prod orda ordb*) ( $\leq$ ) (*case-prod f*)  
**and**  $\bigwedge x. cont lubb ordb Sup (\leq) (\lambda y. f x y)$   
**and**  $\bigwedge y. cont luba orda Sup (\leq) (\lambda x. f x y)$   
**and** *class.preorder orda* (*mk-less orda*)  
**and** *class.preorder ordb* (*mk-less ordb*)  
**shows** *cont* (*prod-lub luba lubb*) (*rel-prod orda ordb*) *Sup* ( $\leq$ ) (*case-prod f*)  
 $\langle$ *proof* $\rangle$

**lemma** *cont-case-prod-iff*:

$\llbracket$  *monotone* (*rel-prod orda ordb*) ( $\leq$ ) (*case-prod f*);  
*class.preorder orda* (*mk-less orda*); *lub-singleton luba*;  
*class.preorder ordb* (*mk-less ordb*); *lub-singleton lubb*  $\rrbracket$   
 $\implies cont (prod-lub luba lubb) (rel-prod orda ordb) Sup (\leq) (case-prod f) \iff$   
 $(\forall x. cont lubb ordb Sup (\leq) (\lambda y. f x y)) \wedge (\forall y. cont luba orda Sup (\leq) (\lambda x. f x$   
 $y))$   
 $\langle$ *proof* $\rangle$

**end**

**context** *partial-function-definitions* **begin**

**lemma** *mono2mono2*:

**assumes** *f: monotone* (*rel-prod ordb ordc*) *leq* ( $\lambda(x, y). f x y$ )  
**and** *t: monotone orda ordb* ( $\lambda x. t x$ )  
**and** *t': monotone orda ordc* ( $\lambda x. t' x$ )  
**shows** *monotone orda leq* ( $\lambda x. f (t x) (t' x)$ )  
 $\langle$ *proof* $\rangle$

**lemma** *cont-case-prodI* [*cont-intro*]:

$\llbracket$  *monotone* (*rel-prod* *orda* *ordb*) *leq* (*case-prod* *f*);  
 $\wedge x.$  *cont* *lubb* *ordb* *lub* *leq* ( $\lambda y. f\ x\ y$ );  
 $\wedge y.$  *cont* *luba* *orda* *lub* *leq* ( $\lambda x. f\ x\ y$ );  
*class.preorder* *orda* (*mk-less* *orda*);  
*class.preorder* *ordb* (*mk-less* *ordb*)  $\rrbracket$   
 $\implies$  *cont* (*prod-lub* *luba* *lubb*) (*rel-prod* *orda* *ordb*) *lub* *leq* (*case-prod* *f*)  
 $\langle$ *proof* $\rangle$

**lemma** *cont-case-prod-iff*:

$\llbracket$  *monotone* (*rel-prod* *orda* *ordb*) *leq* (*case-prod* *f*);  
*class.preorder* *orda* (*mk-less* *orda*); *lub-singleton* *luba*;  
*class.preorder* *ordb* (*mk-less* *ordb*); *lub-singleton* *lubb*  $\rrbracket$   
 $\implies$  *cont* (*prod-lub* *luba* *lubb*) (*rel-prod* *orda* *ordb*) *lub* *leq* (*case-prod* *f*)  $\longleftrightarrow$   
 $(\forall x. \text{cont } lubb\ ordb\ lub\ leq\ (\lambda y. f\ x\ y)) \wedge (\forall y. \text{cont } luba\ orda\ lub\ leq\ (\lambda x. f\ x\ y))$   
 $\langle$ *proof* $\rangle$

**lemma** *mcont-case-prod-iff* [*simp*]:

$\llbracket$  *class.preorder* *orda* (*mk-less* *orda*); *lub-singleton* *luba*;  
*class.preorder* *ordb* (*mk-less* *ordb*); *lub-singleton* *lubb*  $\rrbracket$   
 $\implies$  *mcont* (*prod-lub* *luba* *lubb*) (*rel-prod* *orda* *ordb*) *lub* *leq* (*case-prod* *f*)  $\longleftrightarrow$   
 $(\forall x. \text{mcont } lubb\ ordb\ lub\ leq\ (\lambda y. f\ x\ y)) \wedge (\forall y. \text{mcont } luba\ orda\ lub\ leq\ (\lambda x. f\ x\ y))$   
 $\langle$ *proof* $\rangle$

**end**

**lemma** *mono2mono-case-prod* [*cont-intro*]:

**assumes**  $\wedge x\ y. \text{monotone } orda\ ordb\ (\lambda f. \text{pair } f\ x\ y)$   
**shows** *monotone* *orda* *ordb* ( $\lambda f. \text{case-prod } (\text{pair } f)\ x$ )  
 $\langle$ *proof* $\rangle$

## 16.5 Complete lattices as ccpo

**context** *complete-lattice* **begin**

**lemma** *complete-lattice-ccpo*: *class.ccpo* *Sup* ( $\leq$ ) ( $<$ )  
 $\langle$ *proof* $\rangle$

**lemma** *complete-lattice-ccpo'*: *class.ccpo* *Sup* ( $\leq$ ) (*mk-less* ( $\leq$ ))  
 $\langle$ *proof* $\rangle$

**lemma** *complete-lattice-partial-function-definitions*:

*partial-function-definitions* ( $\leq$ ) *Sup*  
 $\langle$ *proof* $\rangle$

**lemma** *complete-lattice-partial-function-definitions-dual*:

*partial-function-definitions* ( $\geq$ ) *Inf*  
 $\langle$ *proof* $\rangle$

**lemmas** [cont-intro, simp] =  
*Partial-Function.ccpo*[OF complete-lattice-partial-function-definitions]  
*Partial-Function.ccpo*[OF complete-lattice-partial-function-definitions-dual]

**lemma** *mono2mono-inf*:  
**assumes** *f*: *monotone ord* ( $\leq$ ) ( $\lambda x. f x$ )  
**and** *g*: *monotone ord* ( $\leq$ ) ( $\lambda x. g x$ )  
**shows** *monotone ord* ( $\leq$ ) ( $\lambda x. f x \sqcap g x$ )  
 <proof>

**lemma** *mcont-const* [simp]: *mcont lub ord Sup* ( $\leq$ ) ( $\lambda-. c$ )  
 <proof>

**lemma** *mono2mono-sup*:  
**assumes** *f*: *monotone ord* ( $\leq$ ) ( $\lambda x. f x$ )  
**and** *g*: *monotone ord* ( $\leq$ ) ( $\lambda x. g x$ )  
**shows** *monotone ord* ( $\leq$ ) ( $\lambda x. f x \sqcup g x$ )  
 <proof>

**lemma** *Sup-image-sup*:  
**assumes**  $Y \neq \{\}$   
**shows**  $\sqcup ((\sqcup) x \text{ ' } Y) = x \sqcup \sqcup Y$   
 <proof>

**lemma** *mcont-sup1*: *mcont Sup* ( $\leq$ ) *Sup* ( $\leq$ ) ( $\lambda y. x \sqcup y$ )  
 <proof>

**lemma** *mcont-sup2*: *mcont Sup* ( $\leq$ ) *Sup* ( $\leq$ ) ( $\lambda x. x \sqcup y$ )  
 <proof>

**lemma** *mcont2mcont-sup* [cont-intro, simp]:  
 [ *mcont lub ord Sup* ( $\leq$ ) ( $\lambda x. f x$ );  
   *mcont lub ord Sup* ( $\leq$ ) ( $\lambda x. g x$ ) ]  
 $\implies$  *mcont lub ord Sup* ( $\leq$ ) ( $\lambda x. f x \sqcup g x$ )  
 <proof>

**end**

**lemmas** [cont-intro] = *admissible-leI*[OF complete-lattice-ccpo]

**context** *complete-distrib-lattice* **begin**

**lemma** *mcont-inf1*: *mcont Sup* ( $\leq$ ) *Sup* ( $\leq$ ) ( $\lambda y. x \sqcap y$ )  
 <proof>

**lemma** *mcont-inf2*: *mcont Sup* ( $\leq$ ) *Sup* ( $\leq$ ) ( $\lambda x. x \sqcap y$ )  
 <proof>

**lemma** *mcont2mcont-inf* [cont-intro, simp]:

$$\begin{aligned} & \llbracket \text{mcont lub ord Sup } (\leq) (\lambda x. f x); \\ & \quad \text{mcont lub ord Sup } (\leq) (\lambda x. g x) \rrbracket \\ & \implies \text{mcont lub ord Sup } (\leq) (\lambda x. f x \sqcap g x) \\ & \langle \text{proof} \rangle \end{aligned}$$

**end**

**interpretation** *lfp: partial-function-definitions*  $(\leq) :: - :: \text{complete-lattice} \Rightarrow - \text{Sup}$   
 $\langle \text{proof} \rangle$

$\langle \text{ML} \rangle$

**interpretation** *gfp: partial-function-definitions*  $(\geq) :: - :: \text{complete-lattice} \Rightarrow - \text{Inf}$   
 $\langle \text{proof} \rangle$

$\langle \text{ML} \rangle$

**lemma** *insert-mono* [*partial-function-mono*]:

$$\text{monotone } (\text{fun-ord } (\subseteq)) (\subseteq) A \implies \text{monotone } (\text{fun-ord } (\subseteq)) (\subseteq) (\lambda y. \text{insert } x (A y))$$
 $\langle \text{proof} \rangle$

**lemma** *mono2mono-insert* [*THEN lfp.mono2mono, cont-intro, simp*]:

**shows** *monotone-insert*:  $\text{monotone } (\subseteq) (\subseteq) (\text{insert } x)$   
 $\langle \text{proof} \rangle$

**lemma** *mcont2mcont-insert* [*THEN lfp.mcont2mcont, cont-intro, simp*]:

**shows** *mcont-insert*:  $\text{mcont Union } (\subseteq) \text{ Union } (\subseteq) (\text{insert } x)$   
 $\langle \text{proof} \rangle$

**lemma** *mono2mono-image* [*THEN lfp.mono2mono, cont-intro, simp*]:

**shows** *monotone-image*:  $\text{monotone } (\subseteq) (\subseteq) ((\cdot) f)$   
 $\langle \text{proof} \rangle$

**lemma** *cont-image*:  $\text{cont Union } (\subseteq) \text{ Union } (\subseteq) ((\cdot) f)$

$\langle \text{proof} \rangle$

**lemma** *mcont2mcont-image* [*THEN lfp.mcont2mcont, cont-intro, simp*]:

**shows** *mcont-image*:  $\text{mcont Union } (\subseteq) \text{ Union } (\subseteq) ((\cdot) f)$   
 $\langle \text{proof} \rangle$

**context** *complete-lattice* **begin**

**lemma** *monotone-Sup* [*cont-intro, simp*]:

$$\text{monotone ord } (\subseteq) f \implies \text{monotone ord } (\leq) (\lambda x. \bigsqcup f x)$$
 $\langle \text{proof} \rangle$

**lemma** *cont-Sup*:

**assumes** *cont lub ord Union*  $(\subseteq) f$



**shows** *cont lub ord Sup* ( $\leq$ ) ( $\lambda x. \sqcup f x$ )  
 ⟨*proof*⟩

**lemma** *mcont-Sup*: *mcont lub ord Union* ( $\subseteq$ ) *f*  $\implies$  *mcont lub ord Sup* ( $\leq$ ) ( $\lambda x. \sqcup f x$ )  
 ⟨*proof*⟩

**lemma** *monotone-SUP*:  
 $\llbracket \text{monotone ord } (\subseteq) f; \bigwedge y. \text{monotone ord } (\leq) (\lambda x. g x y) \rrbracket \implies \text{monotone ord } (\leq) (\lambda x. \sqcup_{y \in f x} g x y)$   
 ⟨*proof*⟩

**lemma** *monotone-SUP2*:  
 $(\bigwedge y. y \in A \implies \text{monotone ord } (\leq) (\lambda x. g x y)) \implies \text{monotone ord } (\leq) (\lambda x. \sqcup_{y \in A} g x y)$   
 ⟨*proof*⟩

**lemma** *cont-SUP*:  
**assumes** *f*: *mcont lub ord Union* ( $\subseteq$ ) *f*  
**and** *g*:  $\bigwedge y. \text{mcont lub ord Sup } (\leq) (\lambda x. g x y)$   
**shows** *cont lub ord Sup* ( $\leq$ ) ( $\lambda x. \sqcup_{y \in f x} g x y$ )  
 ⟨*proof*⟩

**lemma** *mcont-SUP* [*cont-intro, simp*]:  
 $\llbracket \text{mcont lub ord Union } (\subseteq) f; \bigwedge y. \text{mcont lub ord Sup } (\leq) (\lambda x. g x y) \rrbracket$   
 $\implies \text{mcont lub ord Sup } (\leq) (\lambda x. \sqcup_{y \in f x} g x y)$   
 ⟨*proof*⟩

**end**

**lemma** *admissible-Ball* [*cont-intro, simp*]:  
 $\llbracket \bigwedge x. \text{ccpo.admissible lub ord } (\lambda A. P A x);$   
*mcont lub ord Union* ( $\subseteq$ ) *f*;  
*class.ccpo lub ord (mk-less ord)*  $\rrbracket$   
 $\implies \text{ccpo.admissible lub ord } (\lambda A. \forall x \in f A. P A x)$   
 ⟨*proof*⟩

**lemma** *admissible-Bex* [*THEN admissible-subst, cont-intro, simp*]:  
**shows** *admissible-Bex*: *ccpo.admissible Union* ( $\subseteq$ ) ( $\lambda A. \exists x \in A. P x$ )  
 ⟨*proof*⟩

## 16.6 Parallel fixpoint induction

**context**

**fixes** *luba* :: 'a set  $\Rightarrow$  'a  
**and** *orda* :: 'a  $\Rightarrow$  'a  $\Rightarrow$  bool  
**and** *lubb* :: 'b set  $\Rightarrow$  'b  
**and** *ordb* :: 'b  $\Rightarrow$  'b  $\Rightarrow$  bool  
**assumes** *a*: *class.ccpo luba orda (mk-less orda)*

**and** *b*: *class.ccpo lubb ordb (mk-less ordb)*  
**begin**

**interpretation** *a*: *ccpo luba orda mk-less orda*  $\langle$ *proof* $\rangle$

**interpretation** *b*: *ccpo lubb ordb mk-less ordb*  $\langle$ *proof* $\rangle$

**lemma** *ccpo-rel-prodI*:

*class.ccpo (prod-lub luba lubb) (rel-prod orda ordb) (mk-less (rel-prod orda ordb))*  
*(is class.ccpo ?lub ?ord ?ord')*  
 $\langle$ *proof* $\rangle$

**interpretation** *ab*: *ccpo prod-lub luba lubb rel-prod orda ordb mk-less (rel-prod orda ordb)*  
 $\langle$ *proof* $\rangle$

**lemma** *monotone-map-prod [simp]*:

*monotone (rel-prod orda ordb) (rel-prod ordc ordd) (map-prod f g)  $\longleftrightarrow$*   
*monotone orda ordc f  $\wedge$  monotone ordb ordd g*  
 $\langle$ *proof* $\rangle$

**lemma** *parallel-fixp-induct*:

**assumes** *adm*: *ccpo.admissible (prod-lub luba lubb) (rel-prod orda ordb) ( $\lambda$ x. P (fst x) (snd x))*  
**and** *f*: *monotone orda orda f*  
**and** *g*: *monotone ordb ordb g*  
**and** *bot*: *P (luba {}) (lubb {})*  
**and** *step*:  $\bigwedge$ *x y. P x y  $\implies$  P (f x) (g y)*  
**shows** *P (ccpo.fixp luba orda f) (ccpo.fixp lubb ordb g)*  
 $\langle$ *proof* $\rangle$

**end**

**lemma** *parallel-fixp-induct-uc*:

**assumes** *a*: *partial-function-definitions orda luba*  
**and** *b*: *partial-function-definitions ordb lubb*  
**and** *F*:  $\bigwedge$ *x. monotone (fun-ord orda) orda ( $\lambda$ f. U1 (F (C1 f)) x)*  
**and** *G*:  $\bigwedge$ *y. monotone (fun-ord ordb) ordb ( $\lambda$ g. U2 (G (C2 g)) y)*  
**and** *eq1*: *f  $\equiv$  C1 (ccpo.fixp (fun-lub luba) (fun-ord orda) ( $\lambda$ f. U1 (F (C1 f))))*  
**and** *eq2*: *g  $\equiv$  C2 (ccpo.fixp (fun-lub lubb) (fun-ord ordb) ( $\lambda$ g. U2 (G (C2 g))))*  
**and** *inverse*:  $\bigwedge$ *f. U1 (C1 f) = f*  
**and** *inverse2*:  $\bigwedge$ *g. U2 (C2 g) = g*  
**and** *adm*: *ccpo.admissible (prod-lub (fun-lub luba) (fun-lub lubb)) (rel-prod (fun-ord orda) (fun-ord ordb)) ( $\lambda$ x. P (fst x) (snd x))*  
**and** *bot*: *P ( $\lambda$ -. luba {}) ( $\lambda$ -. lubb {})*  
**and** *step*:  $\bigwedge$ *f g. P (U1 f) (U2 g)  $\implies$  P (U1 (F f)) (U2 (G g))*  
**shows** *P (U1 f) (U2 g)*  
 $\langle$ *proof* $\rangle$

**lemmas** *parallel-fixp-induct-1-1 = parallel-fixp-induct-uc*[

*of - - - -  $\lambda x. x - \lambda x. x \lambda x. x - \lambda x. x$ ,*  
*OF - - - - - refl refl]*

**lemmas** *parallel-fixp-induct-2-2 = parallel-fixp-induct-uc*  
*of - - - - case-prod - curry case-prod - curry,*  
**where**  *$P = \lambda f g. P (curry f) (curry g)$ ,*  
*unfolded case-prod-curry curry-case-prod curry-K,*  
*OF - - - - - refl refl]*  
**for** *P*

**lemma** *monotone-fst: monotone (rel-prod orda ordb) orda fst*  
*<proof>*

**lemma** *mcont-fst: mcont (prod-lub luba lubb) (rel-prod orda ordb) luba orda fst*  
*<proof>*

**lemma** *mcont2mcont-fst [cont-intro, simp]:*  
*mcont lub ord (prod-lub luba lubb) (rel-prod orda ordb) t*  
 *$\implies$  mcont lub ord luba orda ( $\lambda x. fst (t x)$ )*  
*<proof>*

**lemma** *monotone-snd: monotone (rel-prod orda ordb) ordb snd*  
*<proof>*

**lemma** *mcont-snd: mcont (prod-lub luba lubb) (rel-prod orda ordb) lubb ordb snd*  
*<proof>*

**lemma** *mcont2mcont-snd [cont-intro, simp]:*  
*mcont lub ord (prod-lub luba lubb) (rel-prod orda ordb) t*  
 *$\implies$  mcont lub ord lubb ordb ( $\lambda x. snd (t x)$ )*  
*<proof>*

**lemma** *monotone-Pair:*  
*[ monotone ord orda f; monotone ord ordb g ]*  
 *$\implies$  monotone ord (rel-prod orda ordb) ( $\lambda x. (f x, g x)$ )*  
*<proof>*

**lemma** *cont-Pair:*  
*[ cont lub ord luba orda f; cont lub ord lubb ordb g ]*  
 *$\implies$  cont lub ord (prod-lub luba lubb) (rel-prod orda ordb) ( $\lambda x. (f x, g x)$ )*  
*<proof>*

**lemma** *mcont-Pair:*  
*[ mcont lub ord luba orda f; mcont lub ord lubb ordb g ]*  
 *$\implies$  mcont lub ord (prod-lub luba lubb) (rel-prod orda ordb) ( $\lambda x. (f x, g x)$ )*  
*<proof>*

**context** *partial-function-definitions begin*

Specialised versions of *mcont-call* for admissibility proofs for parallel

fixpoint inductions

**lemmas** *mcont-call-fst* [*cont-intro*] = *mcont-call*[*THEN mcont2mcont, OF mcont-fst*]  
**lemmas** *mcont-call-snd* [*cont-intro*] = *mcont-call*[*THEN mcont2mcont, OF mcont-snd*]  
**end**

**lemma** *map-option-mono* [*partial-function-mono*]:  
*mono-option B*  $\implies$  *mono-option* ( $\lambda f. \text{map-option } g (B f)$ )  
 $\langle \text{proof} \rangle$

**lemma** *compact-flat-lub* [*cont-intro*]: *ccpo.compact* (*flat-lub x*) (*flat-ord x*) *y*  
 $\langle \text{proof} \rangle$

**end**

**theory** *Conditional-Parametricity*

**imports** *Main*

**keywords** *parametric-constant* :: *thy-decl*

**begin**

**context includes** *lifting-syntax* **begin**

**qualified definition** *Rel-match* :: ( $'a \Rightarrow 'b \Rightarrow \text{bool}$ )  $\Rightarrow$   $'a \Rightarrow 'b \Rightarrow \text{bool}$  **where**  
*Rel-match* *R x y* = *R x y*

**named-theorems** *parametricity-preprocess*

**lemma** *bi-unique-Rel-match* [*parametricity-preprocess*]:  
*bi-unique A* = *Rel-match* (*A*  $\implies$  *A*  $\implies$   $(=)$ ) ( $(=)$ ) ( $(=)$ )  
 $\langle \text{proof} \rangle$

**lemma** *bi-total-Rel-match* [*parametricity-preprocess*]:  
*bi-total A* = *Rel-match* ((*A*  $\implies$   $(=)$ )  $\implies$   $(=)$ ) *All All*  
 $\langle \text{proof} \rangle$

**lemma** *is-equality-Rel*: *is-equality A*  $\implies$  *Transfer.Rel A t t*  
 $\langle \text{proof} \rangle$

**lemma** *Rel-Rel-match*: *Transfer.Rel R x y*  $\implies$  *Rel-match R x y*  
 $\langle \text{proof} \rangle$

**lemma** *Rel-match-Rel*: *Rel-match R x y*  $\implies$  *Transfer.Rel R x y*  
 $\langle \text{proof} \rangle$

**lemma** *Rel-Rel-match-eq*: *Transfer.Rel R x y* = *Rel-match R x y*  
 $\langle \text{proof} \rangle$

**lemma** *Rel-match-app*:  
**assumes** *Rel-match* (*A*  $\implies$  *B*) *f g* **and** *Transfer.Rel A x y*

**shows** *Rel-match*  $B (f x) (g y)$   
 ⟨*proof*⟩

**end**

⟨*ML*⟩

**end**

**theory** *Confluence* **imports**

*Main*

**begin**

## 17 Confluence

**definition** *semiconfluentp* :: ( $'a \Rightarrow 'a \Rightarrow \text{bool}$ )  $\Rightarrow \text{bool}$  **where**  
*semiconfluentp*  $r \longleftrightarrow r^{-1-1} \text{ OO } r^{**} \leq r^{**} \text{ OO } r^{-1-1**}$

**definition** *confluentp* :: ( $'a \Rightarrow 'a \Rightarrow \text{bool}$ )  $\Rightarrow \text{bool}$  **where**  
*confluentp*  $r \longleftrightarrow r^{-1-1**} \text{ OO } r^{**} \leq r^{**} \text{ OO } r^{-1-1**}$

**definition** *strong-confluentp* :: ( $'a \Rightarrow 'a \Rightarrow \text{bool}$ )  $\Rightarrow \text{bool}$  **where**  
*strong-confluentp*  $r \longleftrightarrow r^{-1-1} \text{ OO } r \leq r^{**} \text{ OO } (r^{-1-1})^{==}$

**lemma** *semiconfluentpI* [*intro?*]:

*semiconfluentp*  $r$  **if**  $\bigwedge x y z. \llbracket r x y; r^{**} x z \rrbracket \Longrightarrow \exists u. r^{**} y u \wedge r^{**} z u$   
 ⟨*proof*⟩

**lemma** *semiconfluentpD*:  $\exists u. r^{**} y u \wedge r^{**} z u$  **if** *semiconfluentp*  $r r x y r^{**} x z$   
 ⟨*proof*⟩

**lemma** *confluentpI*:

*confluentp*  $r$  **if**  $\bigwedge x y z. \llbracket r^{**} x y; r^{**} x z \rrbracket \Longrightarrow \exists u. r^{**} y u \wedge r^{**} z u$   
 ⟨*proof*⟩

**lemma** *confluentpD*:  $\exists u. r^{**} y u \wedge r^{**} z u$  **if** *confluentp*  $r r^{**} x y r^{**} x z$   
 ⟨*proof*⟩

**lemma** *strong-confluentpI* [*intro?*]:

*strong-confluentp*  $r$  **if**  $\bigwedge x y z. \llbracket r x y; r x z \rrbracket \Longrightarrow \exists u. r^{**} y u \wedge r^{==} z u$   
 ⟨*proof*⟩

**lemma** *strong-confluentpD*:  $\exists u. r^{**} y u \wedge r^{==} z u$  **if** *strong-confluentp*  $r r x y r x z$   
 ⟨*proof*⟩

**lemma** *semiconfluentp-imp-confluentp*: *confluentp*  $r$  **if**  $r$ : *semiconfluentp*  $r$   
 ⟨*proof*⟩

**lemma** *confluentp-imp-semiconfluentp*: *semiconfluentp*  $r$  **if** *confluentp*  $r$

*<proof>*

**lemma** *confluentp-eq-semiconfluentp*: *confluentp r*  $\longleftrightarrow$  *semiconfluentp r*  
*<proof>*

**lemma** *confluentp-conv-strong-confluentp-rtranclp*:  
*confluentp r*  $\longleftrightarrow$  *strong-confluentp (r<sup>\*\*</sup>)*  
*<proof>*

**lemma** *strong-confluentp-into-semiconfluentp*:  
*semiconfluentp r* **if** *r*: *strong-confluentp r*  
*<proof>*

**lemma** *strong-confluentp-imp-confluentp*: *confluentp r* **if** *strong-confluentp r*  
*<proof>*

**lemma** *semiconfluentp-equivclp*: *equivclp r = r<sup>\*\*</sup> OO r<sup>-1-1\*\*</sup>* **if** *r*: *semiconfluentp r*  
*<proof>*

**end**

**theory** *Confluent-Quotient* **imports**

*Confluence*

**begin**

Functors with finite setters preserve wide intersection for any equivalence relation that respects the mapper.

**lemma** *Inter-finite-subset*:  
**assumes**  $\forall A \in \mathcal{A}. \text{finite } A$   
**shows**  $\exists \mathcal{B} \subseteq \mathcal{A}. \text{finite } \mathcal{B} \wedge (\bigcap \mathcal{B}) = (\bigcap \mathcal{A})$   
*<proof>*

**locale** *wide-intersection-finite* =  
**fixes** *E* :: *'Fa*  $\Rightarrow$  *'Fa*  $\Rightarrow$  *bool*  
**and** *mapFa* :: *('a*  $\Rightarrow$  *'a)*  $\Rightarrow$  *'Fa*  $\Rightarrow$  *'Fa*  
**and** *setFa* :: *'Fa*  $\Rightarrow$  *'a set*  
**assumes** *equiv*: *equivp E*  
**and** *map-E*: *E x y*  $\Longrightarrow$  *E (mapFa f x) (mapFa f y)*  
**and** *map-id*: *mapFa id x = x*  
**and** *map-cong*:  $\forall a \in \text{setFa } x. f a = g a \Longrightarrow \text{mapFa } f x = \text{mapFa } g x$   
**and** *set-map*: *setFa (mapFa f x) = f ' setFa x*  
**and** *finite*: *finite (setFa x)*  
**begin**

**lemma** *binary-intersection*:  
**assumes** *E y z* **and** *y*: *setFa y*  $\subseteq$  *Y* **and** *z*: *setFa z*  $\subseteq$  *Z* **and** *a*: *a*  $\in$  *Y* *a*  $\in$  *Z*  
**shows**  $\exists x. E x y \wedge \text{setFa } x \subseteq Y \wedge \text{setFa } x \subseteq Z$   
*<proof>*

**lemma** *finite-intersection*:

**assumes**  $E: \forall y \in A. E y z$   
**and**  $fin$ : finite  $A$   
**and**  $sub: \forall y \in A. setFa y \subseteq Y y \wedge a \in Y y$   
**shows**  $\exists x. E x z \wedge (\forall y \in A. setFa x \subseteq Y y)$   
 $\langle proof \rangle$

**lemma** *wide-intersection*:

**assumes** *inter-nonempty*:  $\bigcap Ss \neq \{\}$   
**shows**  $(\bigcap As \in Ss. \{(x, x'). E x x'\} \text{ “ } \{x. setFa x \subseteq As\} \subseteq \{(x, x'). E x x'\} \text{ “ } \{x. setFa x \subseteq \bigcap Ss\} \text{ (is ?lhs } \subseteq \text{ ?rhs)}$   
 $\langle proof \rangle$

**end**

Subdistributivity for quotients via confluence

**lemma** *rtranclp-transp-reflp*:  $R^{**} = R$  if *transp*  $R$  *reflp*  $R$   
 $\langle proof \rangle$

**lemma** *rtranclp-equivp*:  $R^{**} = R$  if *equivp*  $R$   
 $\langle proof \rangle$

**locale** *confluent-quotient* =

**fixes**  $Rb :: 'Fb \Rightarrow 'Fb \Rightarrow bool$   
**and**  $Ea :: 'Fa \Rightarrow 'Fa \Rightarrow bool$   
**and**  $Eb :: 'Fb \Rightarrow 'Fb \Rightarrow bool$   
**and**  $Ec :: 'Fc \Rightarrow 'Fc \Rightarrow bool$   
**and**  $Eab :: 'Fab \Rightarrow 'Fab \Rightarrow bool$   
**and**  $Ebc :: 'Fbc \Rightarrow 'Fbc \Rightarrow bool$   
**and**  $\pi\text{-Faba} :: 'Fab \Rightarrow 'Fa$   
**and**  $\pi\text{-Fabb} :: 'Fab \Rightarrow 'Fb$   
**and**  $\pi\text{-Fbcb} :: 'Fbc \Rightarrow 'Fb$   
**and**  $\pi\text{-Fbcc} :: 'Fbc \Rightarrow 'Fc$   
**and**  $rel\text{-Fab} :: ('a \Rightarrow 'b \Rightarrow bool) \Rightarrow 'Fa \Rightarrow 'Fb \Rightarrow bool$   
**and**  $rel\text{-Fbc} :: ('b \Rightarrow 'c \Rightarrow bool) \Rightarrow 'Fb \Rightarrow 'Fc \Rightarrow bool$   
**and**  $rel\text{-Fac} :: ('a \Rightarrow 'c \Rightarrow bool) \Rightarrow 'Fa \Rightarrow 'Fc \Rightarrow bool$   
**and**  $set\text{-Fab} :: 'Fab \Rightarrow ('a \times 'b) \text{ set}$   
**and**  $set\text{-Fbc} :: 'Fbc \Rightarrow ('b \times 'c) \text{ set}$   
**assumes** *confluent*: *confluentp*  $Rb$   
**and** *retract1-ab*:  $\bigwedge x y. Rb (\pi\text{-Fabb } x) y \implies \exists z. Eab x z \wedge y = \pi\text{-Fabb } z \wedge set\text{-Fab } z \subseteq set\text{-Fab } x$   
**and** *retract1-bc*:  $\bigwedge x y. Rb (\pi\text{-Fbcb } x) y \implies \exists z. Ebc x z \wedge y = \pi\text{-Fbcb } z \wedge set\text{-Fbc } z \subseteq set\text{-Fbc } x$   
**and** *generated-b*:  $Eb \leq equivclp Rb$   
**and** *transp-a*: *transp*  $Ea$   
**and** *transp-c*: *transp*  $Ec$   
**and** *equivp-ab*: *equivp*  $Eab$   
**and** *equivp-bc*: *equivp*  $Ebc$   
**and** *in-rel-Fab*:  $\bigwedge A x y. rel\text{-Fab } A x y \longleftrightarrow (\exists z. z \in \{x. set\text{-Fab } x \subseteq \{(x, y). A$

$x\ y\}} \wedge \pi\text{-Faba } z = x \wedge \pi\text{-Fabb } z = y)$   
**and** *in-rel-Fbc*:  $\bigwedge B\ x\ y. \text{rel-Fbc } B\ x\ y \longleftrightarrow (\exists z. z \in \{x. \text{set-Fbc } x \subseteq \{(x, y). B\}$   
 $x\ y\}} \wedge \pi\text{-Fbcb } z = x \wedge \pi\text{-Fbcc } z = y)$   
**and** *rel-comp*:  $\bigwedge A\ B. \text{rel-Fac } (A\ OO\ B) = \text{rel-Fab } A\ OO\ \text{rel-Fbc } B$   
**and**  $\pi\text{-Faba-respect}$ : *rel-fun*  $Eab\ Ea\ \pi\text{-Faba}\ \pi\text{-Faba}$   
**and**  $\pi\text{-Fbcc-respect}$ : *rel-fun*  $Ebc\ Ec\ \pi\text{-Fbcc}\ \pi\text{-Fbcc}$   
**begin**

**lemma** *retract-ab*:  $Rb^{**} (\pi\text{-Fabb } x)\ y \implies \exists z. Eab\ x\ z \wedge y = \pi\text{-Fabb } z \wedge \text{set-Fab } z \subseteq \text{set-Fab } x$   
*<proof>*

**lemma** *retract-bc*:  $Rb^{**} (\pi\text{-Fbcb } x)\ y \implies \exists z. Ebc\ x\ z \wedge y = \pi\text{-Fbcb } z \wedge \text{set-Fbc } z \subseteq \text{set-Fbc } x$   
*<proof>*

**lemma** *subdistributivity*:  $\text{rel-Fab } A\ OO\ Eb\ OO\ \text{rel-Fbc } B \leq Ea\ OO\ \text{rel-Fac } (A\ OO\ B)\ OO\ Ec$   
*<proof>*

**end**

**end**

## 18 Old Datatype package: constructing datatypes from Cartesian Products and Disjoint Sums

**theory** *Old-Datatype*  
**imports** *Main*  
**begin**

### 18.1 The datatype universe

**definition** *Node* =  $\{p. \exists f\ x\ k. p = (f :: \text{nat} \Rightarrow 'b + \text{nat}, x :: 'a + \text{nat}) \wedge f\ k = \text{Inr } 0\}$

**typedef**  $('a, 'b)\ \text{node} = \text{Node} :: ((\text{nat} \Rightarrow 'b + \text{nat}) * ('a + \text{nat}))\ \text{set}$   
**morphisms** *Rep-Node* *Abs-Node*  
*<proof>*

Datatypes will be represented by sets of type *node*

**type-synonym**  $'a\ \text{item} = ('a, \text{unit})\ \text{node}\ \text{set}$   
**type-synonym**  $('a, 'b)\ \text{dtree} = ('a, 'b)\ \text{node}\ \text{set}$

**definition** *Push* ::  $[('b + \text{nat}), \text{nat} \Rightarrow ('b + \text{nat})] \Rightarrow (\text{nat} \Rightarrow ('b + \text{nat}))$

**where** *Push* ==  $(\%b\ h. \text{case-nat } b\ h)$



**definition** *Push-Node* ::  $[( 'b + \text{nat} ), ( 'a, 'b ) \text{ node}] \Rightarrow ( 'a, 'b ) \text{ node}$   
**where** *Push-Node* ==  $(\%n x. \text{Abs-Node} (\text{apfst} (\text{Push } n) (\text{Rep-Node } x)))$

**definition** *Atom* ::  $( 'a + \text{nat} ) \Rightarrow ( 'a, 'b ) \text{ dtree}$   
**where** *Atom* ==  $(\%x. \{ \text{Abs-Node} (\%k. \text{Inr } 0, x) \})$   
**definition** *Scons* ::  $[( 'a, 'b ) \text{ dtree}, ( 'a, 'b ) \text{ dtree}] \Rightarrow ( 'a, 'b ) \text{ dtree}$   
**where** *Scons* *M N* ==  $(\text{Push-Node} (\text{Inr } 1) ' M) \text{ Un } (\text{Push-Node} (\text{Inr} (\text{Suc } 1)) ' N)$

**definition** *Leaf* ::  $'a \Rightarrow ( 'a, 'b ) \text{ dtree}$   
**where** *Leaf* == *Atom*  $\circ$  *Inl*  
**definition** *Numb* ::  $\text{nat} \Rightarrow ( 'a, 'b ) \text{ dtree}$   
**where** *Numb* == *Atom*  $\circ$  *Inr*

**definition** *In0* ::  $( 'a, 'b ) \text{ dtree} \Rightarrow ( 'a, 'b ) \text{ dtree}$   
**where** *In0*(*M*) == *Scons* (*Numb* 0) *M*  
**definition** *In1* ::  $( 'a, 'b ) \text{ dtree} \Rightarrow ( 'a, 'b ) \text{ dtree}$   
**where** *In1*(*M*) == *Scons* (*Numb* 1) *M*

**definition** *Lim* ::  $( 'b \Rightarrow ( 'a, 'b ) \text{ dtree} ) \Rightarrow ( 'a, 'b ) \text{ dtree}$   
**where** *Lim* *f* ==  $\bigcup \{ z. \exists x. z = \text{Push-Node} (\text{Inl } x) ' (f x) \}$

**definition** *ndepth* ::  $( 'a, 'b ) \text{ node} \Rightarrow \text{nat}$   
**where** *ndepth*(*n*) ==  $(\%(f,x). \text{LEAST } k. f k = \text{Inr } 0) (\text{Rep-Node } n)$   
**definition** *ntrunc* ::  $[\text{nat}, ( 'a, 'b ) \text{ dtree}] \Rightarrow ( 'a, 'b ) \text{ dtree}$   
**where** *ntrunc* *k N* ==  $\{ n. n \in N \wedge \text{ndepth}(n) < k \}$

**definition** *uprod* ::  $[( 'a, 'b ) \text{ dtree set}, ( 'a, 'b ) \text{ dtree set}] \Rightarrow ( 'a, 'b ) \text{ dtree set}$   
**where** *uprod* *A B* ==  $\text{UN } x:A. \text{UN } y:B. \{ \text{Scons } x y \}$   
**definition** *usum* ::  $[( 'a, 'b ) \text{ dtree set}, ( 'a, 'b ) \text{ dtree set}] \Rightarrow ( 'a, 'b ) \text{ dtree set}$   
**where** *usum* *A B* == *In0*'*A Un In1*'*B*

**definition** *Split* ::  $[( 'a, 'b ) \text{ dtree}, ( 'a, 'b ) \text{ dtree}] \Rightarrow 'c, ( 'a, 'b ) \text{ dtree}] \Rightarrow 'c$   
**where** *Split* *c M* == *THE* *u. \exists x y. M = Scons x y \wedge u = c x y*

**definition** *Case* ::  $[( 'a, 'b ) \text{ dtree}] \Rightarrow 'c, [( 'a, 'b ) \text{ dtree}] \Rightarrow 'c, ( 'a, 'b ) \text{ dtree}] \Rightarrow 'c$   
**where** *Case* *c d M* == *THE* *u. (\exists x . M = In0(x) \wedge u = c(x)) \vee (\exists y . M = In1(y) \wedge u = d(y))*

**definition**  $dprod :: [((\text{'a}, \text{'b}) \text{dtree} * (\text{'a}, \text{'b}) \text{dtree})\text{set}, ((\text{'a}, \text{'b}) \text{dtree} * (\text{'a}, \text{'b}) \text{dtree})\text{set}]$   
 $=> ((\text{'a}, \text{'b}) \text{dtree} * (\text{'a}, \text{'b}) \text{dtree})\text{set}$   
**where**  $dprod\ r\ s == UN\ (x,x'):r.\ UN\ (y,y'):s.\ \{(Scons\ x\ y,\ Scons\ x'\ y')\}$

**definition**  $dsum :: [((\text{'a}, \text{'b}) \text{dtree} * (\text{'a}, \text{'b}) \text{dtree})\text{set}, ((\text{'a}, \text{'b}) \text{dtree} * (\text{'a}, \text{'b}) \text{dtree})\text{set}]$   
 $=> ((\text{'a}, \text{'b}) \text{dtree} * (\text{'a}, \text{'b}) \text{dtree})\text{set}$   
**where**  $dsum\ r\ s == (UN\ (x,x'):r.\ \{(In0(x),In0(x'))\})\ Un\ (UN\ (y,y'):s.\ \{(In1(y),In1(y'))\})$

**lemma**  $apfst\ convE$ :  
 $[\ [q = apfst\ f\ p;\ \forall x\ y.\ [p = (x,y);\ q = (f(x),y)] ==> R$   
 $]\ ] ==> R$   
 $\langle proof \rangle$

**lemma**  $Push\ inject1$ :  $Push\ i\ f = Push\ j\ g ==> i=j$   
 $\langle proof \rangle$

**lemma**  $Push\ inject2$ :  $Push\ i\ f = Push\ j\ g ==> f=g$   
 $\langle proof \rangle$

**lemma**  $Push\ inject$ :  
 $[\ [Push\ i\ f = Push\ j\ g;\ [i=j;\ f=g] ==> P]\ ] ==> P$   
 $\langle proof \rangle$

**lemma**  $Push\ neq\ K0$ :  $Push\ (Inr\ (Suc\ k))\ f = (\%z.\ Inr\ 0) ==> P$   
 $\langle proof \rangle$

**lemmas**  $Abs\ Node\ inj = Abs\ Node\ inject\ [THEN\ [2]\ rev\ iffD1]$

**lemma**  $Node\ K0\ I$ :  $(\lambda k.\ Inr\ 0,\ a) \in Node$   
 $\langle proof \rangle$

**lemma**  $Node\ Push\ I$ :  $p \in Node \implies apfst\ (Push\ i)\ p \in Node$   
 $\langle proof \rangle$

## 18.2 Freeness: Distinctness of Constructors

**lemma**  $Scons\ not\ Atom\ [iff]$ :  $Scons\ M\ N \neq Atom(a)$   
 $\langle proof \rangle$

**lemmas** *Atom-not-Scons* [iff] = *Scons-not-Atom* [THEN not-sym]

**lemma** *inj-Atom*: *inj(Atom)*

*<proof>*

**lemmas** *Atom-inject* = *inj-Atom* [THEN *injD*]

**lemma** *Atom-Atom-eq* [iff]:  $(Atom(a)=Atom(b)) = (a=b)$

*<proof>*

**lemma** *inj-Leaf*: *inj(Leaf)*

*<proof>*

**lemmas** *Leaf-inject* [dest!] = *inj-Leaf* [THEN *injD*]

**lemma** *inj-Numb*: *inj(Numb)*

*<proof>*

**lemmas** *Numb-inject* [dest!] = *inj-Numb* [THEN *injD*]

**lemma** *Push-Node-inject*:

$[[ Push-Node\ i\ m = Push-Node\ j\ n; \ [i=j; m=n] ] ] ==> P$

*<proof>*

**lemma** *Scons-inject-lemma1*:  $Scons\ M\ N <= Scons\ M'\ N' ==> M <= M'$

*<proof>*

**lemma** *Scons-inject-lemma2*:  $Scons\ M\ N <= Scons\ M'\ N' ==> N <= N'$

*<proof>*

**lemma** *Scons-inject1*:  $Scons\ M\ N = Scons\ M'\ N' ==> M = M'$

*<proof>*

**lemma** *Scons-inject2*:  $Scons\ M\ N = Scons\ M'\ N' ==> N = N'$

*<proof>*

**lemma** *Scons-inject*:

$\llbracket \text{Scons } M \ N = \text{Scons } M' \ N'; \llbracket M=M'; \ N=N' \rrbracket \implies P \rrbracket \implies P$   
 <proof>

**lemma** *Scons-Scons-eq* [iff]:  $(\text{Scons } M \ N = \text{Scons } M' \ N') = (M=M' \wedge N=N')$   
 <proof>

**lemma** *Scons-not-Leaf* [iff]:  $\text{Scons } M \ N \neq \text{Leaf}(a)$   
 <proof>

**lemmas** *Leaf-not-Scons* [iff] = *Scons-not-Leaf* [THEN not-sym]

**lemma** *Scons-not-Numb* [iff]:  $\text{Scons } M \ N \neq \text{Numb}(k)$   
 <proof>

**lemmas** *Numb-not-Scons* [iff] = *Scons-not-Numb* [THEN not-sym]

**lemma** *Leaf-not-Numb* [iff]:  $\text{Leaf}(a) \neq \text{Numb}(k)$   
 <proof>

**lemmas** *Numb-not-Leaf* [iff] = *Leaf-not-Numb* [THEN not-sym]

**lemma** *ndepth-K0*:  $\text{ndepth}(\text{Abs-Node}(\%k. \text{Inr } 0, x)) = 0$   
 <proof>

**lemma** *ndepth-Push-Node-aux*:  
 $\text{case-nat}(\text{Inr}(\text{Suc } i)) \ f \ k = \text{Inr } 0 \longrightarrow \text{Suc}(\text{LEAST } x. \ f \ x = \text{Inr } 0) \leq k$   
 <proof>

**lemma** *ndepth-Push-Node*:  
 $\text{ndepth}(\text{Push-Node}(\text{Inr}(\text{Suc } i)) \ n) = \text{Suc}(\text{ndepth}(n))$   
 <proof>

**lemma** *ntrunc-0* [simp]:  $\text{ntrunc } 0 \ M = \{\}$   
 <proof>

**lemma** *ntrunc-Atom* [*simp*]:  $ntrunc (Suc k) (Atom a) = Atom(a)$   
 ⟨*proof*⟩

**lemma** *ntrunc-Leaf* [*simp*]:  $ntrunc (Suc k) (Leaf a) = Leaf(a)$   
 ⟨*proof*⟩

**lemma** *ntrunc-Numb* [*simp*]:  $ntrunc (Suc k) (Numb i) = Numb(i)$   
 ⟨*proof*⟩

**lemma** *ntrunc-Scons* [*simp*]:  
 $ntrunc (Suc k) (Scons M N) = Scons (ntrunc k M) (ntrunc k N)$   
 ⟨*proof*⟩

**lemma** *ntrunc-one-In0* [*simp*]:  $ntrunc (Suc 0) (In0 M) = \{\}$   
 ⟨*proof*⟩

**lemma** *ntrunc-In0* [*simp*]:  $ntrunc (Suc(Suc k)) (In0 M) = In0 (ntrunc (Suc k) M)$   
 ⟨*proof*⟩

**lemma** *ntrunc-one-In1* [*simp*]:  $ntrunc (Suc 0) (In1 M) = \{\}$   
 ⟨*proof*⟩

**lemma** *ntrunc-In1* [*simp*]:  $ntrunc (Suc(Suc k)) (In1 M) = In1 (ntrunc (Suc k) M)$   
 ⟨*proof*⟩

### 18.3 Set Constructions

**lemma** *uprodI* [*intro!*]:  $\llbracket M \in A; N \in B \rrbracket \implies Scons M N \in uprod A B$   
 ⟨*proof*⟩

**lemma** *uprodE* [*elim!*]:  
 $\llbracket c \in uprod A B; \bigwedge x y. \llbracket x \in A; y \in B; c = Scons x y \rrbracket \implies P \rrbracket \implies P$   
 ⟨*proof*⟩

**lemma** *uprodE2*:  $\llbracket Scons M N \in uprod A B; \llbracket M \in A; N \in B \rrbracket \implies P \rrbracket \implies P$   
 ⟨*proof*⟩

**lemma** *usum-In0I* [*intro*]:  $M \in A \implies \text{In0}(M) \in \text{usum } A \ B$   
 ⟨*proof*⟩

**lemma** *usum-In1I* [*intro*]:  $N \in B \implies \text{In1}(N) \in \text{usum } A \ B$   
 ⟨*proof*⟩

**lemma** *usumE* [*elim!*]:  

$$\begin{aligned} & \llbracket u \in \text{usum } A \ B; \\ & \quad \bigwedge x. \llbracket x \in A; u = \text{In0}(x) \rrbracket \implies P; \\ & \quad \bigwedge y. \llbracket y \in B; u = \text{In1}(y) \rrbracket \implies P \\ & \rrbracket \implies P \end{aligned}$$
  
 ⟨*proof*⟩

**lemma** *In0-not-In1* [*iff*]:  $\text{In0}(M) \neq \text{In1}(N)$   
 ⟨*proof*⟩

**lemmas** *In1-not-In0* [*iff*] = *In0-not-In1* [*THEN not-sym*]

**lemma** *In0-inject*:  $\text{In0}(M) = \text{In0}(N) \implies M = N$   
 ⟨*proof*⟩

**lemma** *In1-inject*:  $\text{In1}(M) = \text{In1}(N) \implies M = N$   
 ⟨*proof*⟩

**lemma** *In0-eq* [*iff*]:  $(\text{In0 } M = \text{In0 } N) = (M = N)$   
 ⟨*proof*⟩

**lemma** *In1-eq* [*iff*]:  $(\text{In1 } M = \text{In1 } N) = (M = N)$   
 ⟨*proof*⟩

**lemma** *inj-In0*: *inj In0*  
 ⟨*proof*⟩

**lemma** *inj-In1*: *inj In1*  
 ⟨*proof*⟩

**lemma** *Lim-inject*:  $\text{Lim } f = \text{Lim } g \implies f = g$   
 ⟨*proof*⟩

**lemma** *ntrunc-subsetI*:  $ntrunc\ k\ M\ \leq\ M$   
 ⟨*proof*⟩

**lemma** *ntrunc-subsetD*:  $(!!k.\ ntrunc\ k\ M\ \leq\ N)\ \implies\ M\ \leq\ N$   
 ⟨*proof*⟩

**lemma** *ntrunc-equality*:  $(!!k.\ ntrunc\ k\ M\ =\ ntrunc\ k\ N)\ \implies\ M\ =\ N$   
 ⟨*proof*⟩

**lemma** *ntrunc-o-equality*:  
 $[!k.\ (ntrunc(k)\ \circ\ h1)\ =\ (ntrunc(k)\ \circ\ h2)]\ \implies\ h1\ =\ h2$   
 ⟨*proof*⟩

**lemma** *uprod-mono*:  $[!A\ \leq\ A';\ B\ \leq\ B']\ \implies\ uprod\ A\ B\ \leq\ uprod\ A'\ B'$   
 ⟨*proof*⟩

**lemma** *usum-mono*:  $[!A\ \leq\ A';\ B\ \leq\ B']\ \implies\ usum\ A\ B\ \leq\ usum\ A'\ B'$   
 ⟨*proof*⟩

**lemma** *Scons-mono*:  $[!M\ \leq\ M';\ N\ \leq\ N']\ \implies\ Scons\ M\ N\ \leq\ Scons\ M'\ N'$   
 ⟨*proof*⟩

**lemma** *In0-mono*:  $M\ \leq\ N\ \implies\ In0(M)\ \leq\ In0(N)$   
 ⟨*proof*⟩

**lemma** *In1-mono*:  $M\ \leq\ N\ \implies\ In1(M)\ \leq\ In1(N)$   
 ⟨*proof*⟩

**lemma** *Split [simp]*:  $Split\ c\ (Scons\ M\ N)\ =\ c\ M\ N$   
 ⟨*proof*⟩

**lemma** *Case-In0 [simp]*:  $Case\ c\ d\ (In0\ M)\ =\ c(M)$   
 ⟨*proof*⟩

**lemma** *Case-In1 [simp]*:  $Case\ c\ d\ (In1\ N)\ =\ d(N)$   
 ⟨*proof*⟩

**lemma** *ntrunc-UN1*:  $ntrunc\ k\ (UN\ x.\ f\ x) = (UN\ x.\ ntrunc\ k\ (f\ x))$   
 ⟨proof⟩

**lemma** *Scons-UN1-x*:  $Scons\ (UN\ x.\ f\ x)\ M = (UN\ x.\ Scons\ (f\ x)\ M)$   
 ⟨proof⟩

**lemma** *Scons-UN1-y*:  $Scons\ M\ (UN\ x.\ f\ x) = (UN\ x.\ Scons\ M\ (f\ x))$   
 ⟨proof⟩

**lemma** *In0-UN1*:  $In0\ (UN\ x.\ f\ x) = (UN\ x.\ In0\ (f\ x))$   
 ⟨proof⟩

**lemma** *In1-UN1*:  $In1\ (UN\ x.\ f\ x) = (UN\ x.\ In1\ (f\ x))$   
 ⟨proof⟩

**lemma** *dprodI* [*intro!*]:  
 $\llbracket (M, M') \in r; (N, N') \in s \rrbracket \implies (Scons\ M\ N, Scons\ M'\ N') \in dprod\ r\ s$   
 ⟨proof⟩

**lemma** *dprodE* [*elim!*]:  
 $\llbracket c \in dprod\ r\ s; \bigwedge x\ x'\ y'. \llbracket (x, x') \in r; (y, y') \in s; c = (Scons\ x\ y, Scons\ x'\ y') \rrbracket \implies P \rrbracket \implies P$   
 ⟨proof⟩

**lemma** *dsum-In0I* [*intro*]:  $(M, M') \in r \implies (In0\ (M), In0\ (M')) \in dsum\ r\ s$   
 ⟨proof⟩

**lemma** *dsum-In1I* [*intro*]:  $(N, N') \in s \implies (In1\ (N), In1\ (N')) \in dsum\ r\ s$   
 ⟨proof⟩

**lemma** *dsumE* [*elim!*]:  
 $\llbracket w \in dsum\ r\ s; \bigwedge x\ x'. \llbracket (x, x') \in r; w = (In0\ (x), In0\ (x')) \rrbracket \implies P; \bigwedge y\ y'. \llbracket (y, y') \in s; w = (In1\ (y), In1\ (y')) \rrbracket \implies P \rrbracket \implies P$   
 ⟨proof⟩



**lemma** *dprod-mono*:  $[[ r \leq r'; s \leq s' ]] \implies dprod\ r\ s \leq dprod\ r'\ s'$   
 <proof>

**lemma** *dsum-mono*:  $[[ r \leq r'; s \leq s' ]] \implies dsum\ r\ s \leq dsum\ r'\ s'$   
 <proof>

**lemma** *dprod-Sigma*:  $(dprod\ (A \times B)\ (C \times D)) \leq (uprod\ A\ C) \times (uprod\ B\ D)$   
 <proof>

**lemmas** *dprod-subset-Sigma* = *subset-trans* [OF *dprod-mono* *dprod-Sigma*]

**lemma** *dprod-subset-Sigma2*:  
 $(dprod\ (Sigma\ A\ B)\ (Sigma\ C\ D)) \leq Sigma\ (uprod\ A\ C)\ (Split\ (\%x\ y.\ uprod\ (B\ x)\ (D\ y)))$   
 <proof>

**lemma** *dsum-Sigma*:  $(dsum\ (A \times B)\ (C \times D)) \leq (usum\ A\ C) \times (usum\ B\ D)$   
 <proof>

**lemmas** *dsum-subset-Sigma* = *subset-trans* [OF *dsum-mono* *dsum-Sigma*]

**lemma** *Domain-dprod* [simp]:  $Domain\ (dprod\ r\ s) = uprod\ (Domain\ r)\ (Domain\ s)$   
 <proof>

**lemma** *Domain-dsum* [simp]:  $Domain\ (dsum\ r\ s) = usum\ (Domain\ r)\ (Domain\ s)$   
 <proof>

hides popular names

**hide-type** (open) *node item*

**hide-const** (open) *Push Node Atom Leaf Numb Lim Split Case*

<ML>

end

## 19 Bijections between natural numbers and other types

```
theory Nat-Bijection
  imports Main
begin
```

### 19.1 Type $\text{nat} \times \text{nat}$

Triangle numbers: 0, 1, 3, 6, 10, 15, ...

```
definition triangle :: nat  $\Rightarrow$  nat
  where triangle n = (n * Suc n) div 2
```

```
lemma triangle-0 [simp]: triangle 0 = 0
  <proof>
```

```
lemma triangle-Suc [simp]: triangle (Suc n) = triangle n + Suc n
  <proof>
```

```
definition prod-encode :: nat  $\times$  nat  $\Rightarrow$  nat
  where prod-encode = ( $\lambda(m, n).$  triangle (m + n) + m)
```

In this auxiliary function,  $\text{triangle } k + m$  is an invariant.

```
fun prod-decode-aux :: nat  $\Rightarrow$  nat  $\Rightarrow$  nat  $\times$  nat
  where prod-decode-aux k m =
    (if m  $\leq$  k then (m, k - m) else prod-decode-aux (Suc k) (m - Suc k))
```

```
declare prod-decode-aux.simps [simp del]
```

```
definition prod-decode :: nat  $\Rightarrow$  nat  $\times$  nat
  where prod-decode = prod-decode-aux 0
```

```
lemma prod-encode-prod-decode-aux: prod-encode (prod-decode-aux k m) = triangle
k + m
  <proof>
```

```
lemma prod-decode-inverse [simp]: prod-encode (prod-decode n) = n
  <proof>
```

```
lemma prod-decode-triangle-add: prod-decode (triangle k + m) = prod-decode-aux
k m
  <proof>
```

```
lemma prod-encode-inverse [simp]: prod-decode (prod-encode x) = x
  <proof>
```

```
lemma inj-prod-encode: inj-on prod-encode A
  <proof>
```

**lemma** *inj-prod-decode*: *inj-on prod-decode A*  
 ⟨*proof*⟩

**lemma** *surj-prod-encode*: *surj prod-encode*  
 ⟨*proof*⟩

**lemma** *surj-prod-decode*: *surj prod-decode*  
 ⟨*proof*⟩

**lemma** *bij-prod-encode*: *bij prod-encode*  
 ⟨*proof*⟩

**lemma** *bij-prod-decode*: *bij prod-decode*  
 ⟨*proof*⟩

**lemma** *prod-encode-eq* [*simp*]: *prod-encode x = prod-encode y*  $\longleftrightarrow$  *x = y*  
 ⟨*proof*⟩

**lemma** *prod-decode-eq* [*simp*]: *prod-decode x = prod-decode y*  $\longleftrightarrow$  *x = y*  
 ⟨*proof*⟩

Ordering properties

**lemma** *le-prod-encode-1*: *a ≤ prod-encode (a, b)*  
 ⟨*proof*⟩

**lemma** *le-prod-encode-2*: *b ≤ prod-encode (a, b)*  
 ⟨*proof*⟩

## 19.2 Type $\text{nat} + \text{nat}$

**definition** *sum-encode* :: *nat + nat*  $\Rightarrow$  *nat*  
 where *sum-encode x* = (*case x of Inl a*  $\Rightarrow$   $2 * a$  | *Inr b*  $\Rightarrow$  *Suc (2 \* b)*)

**definition** *sum-decode* :: *nat*  $\Rightarrow$  *nat + nat*  
 where *sum-decode n* = (*if even n then Inl (n div 2) else Inr (n div 2)*)

**lemma** *sum-encode-inverse* [*simp*]: *sum-decode (sum-encode x) = x*  
 ⟨*proof*⟩

**lemma** *sum-decode-inverse* [*simp*]: *sum-encode (sum-decode n) = n*  
 ⟨*proof*⟩

**lemma** *inj-sum-encode*: *inj-on sum-encode A*  
 ⟨*proof*⟩

**lemma** *inj-sum-decode*: *inj-on sum-decode A*  
 ⟨*proof*⟩

**lemma** *surj-sum-encode*: *surj sum-encode*

*<proof>*

**lemma** *surj-sum-decode*: *surj sum-decode*  
*<proof>*

**lemma** *bij-sum-encode*: *bij sum-encode*  
*<proof>*

**lemma** *bij-sum-decode*: *bij sum-decode*  
*<proof>*

**lemma** *sum-encode-eq*: *sum-encode x = sum-encode y  $\longleftrightarrow$  x = y*  
*<proof>*

**lemma** *sum-decode-eq*: *sum-decode x = sum-decode y  $\longleftrightarrow$  x = y*  
*<proof>*

### 19.3 Type *int*

**definition** *int-encode* :: *int  $\Rightarrow$  nat*  
**where** *int-encode i = sum-encode (if 0  $\leq$  i then Inl (nat i) else Inr (nat (- i - 1)))*

**definition** *int-decode* :: *nat  $\Rightarrow$  int*  
**where** *int-decode n = (case sum-decode n of Inl a  $\Rightarrow$  int a | Inr b  $\Rightarrow$  - int b - 1)*

**lemma** *int-encode-inverse* [*simp*]: *int-decode (int-encode x) = x*  
*<proof>*

**lemma** *int-decode-inverse* [*simp*]: *int-encode (int-decode n) = n*  
*<proof>*

**lemma** *inj-int-encode*: *inj-on int-encode A*  
*<proof>*

**lemma** *inj-int-decode*: *inj-on int-decode A*  
*<proof>*

**lemma** *surj-int-encode*: *surj int-encode*  
*<proof>*

**lemma** *surj-int-decode*: *surj int-decode*  
*<proof>*

**lemma** *bij-int-encode*: *bij int-encode*  
*<proof>*

**lemma** *bij-int-decode*: *bij int-decode*

*<proof>*

**lemma** *int-encode-eq*:  $\text{int-encode } x = \text{int-encode } y \longleftrightarrow x = y$   
*<proof>*

**lemma** *int-decode-eq*:  $\text{int-decode } x = \text{int-decode } y \longleftrightarrow x = y$   
*<proof>*

## 19.4 Type *nat list*

**fun** *list-encode* ::  $\text{nat list} \Rightarrow \text{nat}$   
**where**  
   *list-encode* [] = 0  
   | *list-encode* (x # xs) = *Suc* (*prod-encode* (x, *list-encode* xs))

**function** *list-decode* ::  $\text{nat} \Rightarrow \text{nat list}$   
**where**  
   *list-decode* 0 = []  
   | *list-decode* (*Suc* n) = (*case prod-decode* n of (x, y)  $\Rightarrow$  x # *list-decode* y)  
*<proof>*

**termination** *list-decode*  
*<proof>*

**lemma** *list-encode-inverse* [*simp*]:  $\text{list-decode } (\text{list-encode } x) = x$   
*<proof>*

**lemma** *list-decode-inverse* [*simp*]:  $\text{list-encode } (\text{list-decode } n) = n$   
*<proof>*

**lemma** *inj-list-encode*: *inj-on list-encode A*  
*<proof>*

**lemma** *inj-list-decode*: *inj-on list-decode A*  
*<proof>*

**lemma** *surj-list-encode*: *surj list-encode*  
*<proof>*

**lemma** *surj-list-decode*: *surj list-decode*  
*<proof>*

**lemma** *bij-list-encode*: *bij list-encode*  
*<proof>*

**lemma** *bij-list-decode*: *bij list-decode*  
*<proof>*

**lemma** *list-encode-eq*:  $\text{list-encode } x = \text{list-encode } y \longleftrightarrow x = y$

*<proof>*

**lemma** *list-decode-eq*:  $\text{list-decode } x = \text{list-decode } y \longleftrightarrow x = y$   
*<proof>*

## 19.5 Finite sets of naturals

### 19.5.1 Preliminaries

**lemma** *finite-vimage-Suc-iff*:  $\text{finite } (\text{Suc } -' F) \longleftrightarrow \text{finite } F$   
*<proof>*

**lemma** *vimage-Suc-insert-0*:  $\text{Suc } -' \text{insert } 0 A = \text{Suc } -' A$   
*<proof>*

**lemma** *vimage-Suc-insert-Suc*:  $\text{Suc } -' \text{insert } (\text{Suc } n) A = \text{insert } n (\text{Suc } -' A)$   
*<proof>*

**lemma** *div2-even-ext-nat*:  
**fixes**  $x y :: \text{nat}$   
**assumes**  $x \text{ div } 2 = y \text{ div } 2$   
**and**  $\text{even } x \longleftrightarrow \text{even } y$   
**shows**  $x = y$   
*<proof>*

### 19.5.2 From sets to naturals

**definition** *set-encode* ::  $\text{nat set} \Rightarrow \text{nat}$   
**where**  $\text{set-encode} = \text{sum } ((\cdot) 2)$

**lemma** *set-encode-empty* [*simp*]:  $\text{set-encode } \{\} = 0$   
*<proof>*

**lemma** *set-encode-inf*:  $\neg \text{finite } A \Longrightarrow \text{set-encode } A = 0$   
*<proof>*

**lemma** *set-encode-insert* [*simp*]:  $\text{finite } A \Longrightarrow n \notin A \Longrightarrow \text{set-encode } (\text{insert } n A) = 2^n + \text{set-encode } A$   
*<proof>*

**lemma** *even-set-encode-iff*:  $\text{finite } A \Longrightarrow \text{even } (\text{set-encode } A) \longleftrightarrow 0 \notin A$   
*<proof>*

**lemma** *set-encode-vimage-Suc*:  $\text{set-encode } (\text{Suc } -' A) = \text{set-encode } A \text{ div } 2$   
*<proof>*

**lemmas** *set-encode-div-2 = set-encode-vimage-Suc* [*symmetric*]

**19.5.3 From naturals to sets**

**definition** *set-decode* ::  $\text{nat} \Rightarrow \text{nat set}$   
**where** *set-decode*  $x = \{n. \text{odd } (x \text{ div } 2 \wedge n)\}$

**lemma** *set-decode-0* [*simp*]:  $0 \in \text{set-decode } x \longleftrightarrow \text{odd } x$   
 ⟨*proof*⟩

**lemma** *set-decode-Suc* [*simp*]:  $\text{Suc } n \in \text{set-decode } x \longleftrightarrow n \in \text{set-decode } (x \text{ div } 2)$   
 ⟨*proof*⟩

**lemma** *set-decode-zero* [*simp*]:  $\text{set-decode } 0 = \{\}$   
 ⟨*proof*⟩

**lemma** *set-decode-div-2*:  $\text{set-decode } (x \text{ div } 2) = \text{Suc } -' \text{ set-decode } x$   
 ⟨*proof*⟩

**lemma** *set-decode-plus-power-2*:  
 $n \notin \text{set-decode } z \Longrightarrow \text{set-decode } (2 \wedge n + z) = \text{insert } n (\text{set-decode } z)$   
 ⟨*proof*⟩

**lemma** *finite-set-decode* [*simp*]:  $\text{finite } (\text{set-decode } n)$   
 ⟨*proof*⟩

**19.5.4 Proof of isomorphism**

**lemma** *set-decode-inverse* [*simp*]:  $\text{set-encode } (\text{set-decode } n) = n$   
 ⟨*proof*⟩

**lemma** *set-encode-inverse* [*simp*]:  $\text{finite } A \Longrightarrow \text{set-decode } (\text{set-encode } A) = A$   
 ⟨*proof*⟩

**lemma** *inj-on-set-encode*:  $\text{inj-on set-encode } (\text{Collect finite})$   
 ⟨*proof*⟩

**lemma** *set-encode-eq*:  $\text{finite } A \Longrightarrow \text{finite } B \Longrightarrow \text{set-encode } A = \text{set-encode } B \longleftrightarrow A = B$   
 ⟨*proof*⟩

**lemma** *subset-decode-imp-le*:  
**assumes**  $\text{set-decode } m \subseteq \text{set-decode } n$   
**shows**  $m \leq n$   
 ⟨*proof*⟩

**end**

## 20 Encoding (almost) everything into natural numbers

```
theory Countable
imports Old-Datatype HOL.Rat Nat-Bijection
begin
```

### 20.1 The class of countable types

```
class countable =
  assumes ex-inj:  $\exists$  to-nat :: 'a  $\Rightarrow$  nat. inj to-nat
```

```
lemma countable-classI:
  fixes f :: 'a  $\Rightarrow$  nat
  assumes  $\bigwedge x y. f x = f y \implies x = y$ 
  shows OFCLASS('a, countable-class)
<proof>
```

### 20.2 Conversion functions

```
definition to-nat :: 'a::countable  $\Rightarrow$  nat where
  to-nat = (SOME f. inj f)
```

```
definition from-nat :: nat  $\Rightarrow$  'a::countable where
  from-nat = inv (to-nat :: 'a  $\Rightarrow$  nat)
```

```
lemma inj-to-nat [simp]: inj to-nat
<proof>
```

```
lemma inj-on-to-nat[simp, intro]: inj-on to-nat S
<proof>
```

```
lemma surj-from-nat [simp]: surj from-nat
<proof>
```

```
lemma to-nat-split [simp]: to-nat x = to-nat y  $\longleftrightarrow$  x = y
<proof>
```

```
lemma from-nat-to-nat [simp]:
  from-nat (to-nat x) = x
<proof>
```

### 20.3 Finite types are countable

```
subclass (in finite) countable
<proof>
```

### 20.4 Automatically proving countability of old-style datatypes

```
context
```



**begin**

**qualified inductive** *finite-item* :: 'a *Old-Datatype.item*  $\Rightarrow$  bool **where**  
*undefined*: *finite-item* *undefined*  
| *In0*: *finite-item* *x*  $\Longrightarrow$  *finite-item* (*Old-Datatype.In0* *x*)  
| *In1*: *finite-item* *x*  $\Longrightarrow$  *finite-item* (*Old-Datatype.In1* *x*)  
| *Leaf*: *finite-item* (*Old-Datatype.Leaf* *a*)  
| *Scons*:  $\llbracket$ *finite-item* *x*; *finite-item* *y* $\rrbracket \Longrightarrow$  *finite-item* (*Old-Datatype.Scons* *x* *y*)

**qualified function** *nth-item* :: nat  $\Rightarrow$  ('a::countable) *Old-Datatype.item*  
**where**

*nth-item* 0 = *undefined*  
| *nth-item* (*Suc* *n*) =  
(case *sum-decode* *n* of  
  *Inl* *i*  $\Rightarrow$   
    (case *sum-decode* *i* of  
      *Inl* *j*  $\Rightarrow$  *Old-Datatype.In0* (*nth-item* *j*)  
      | *Inr* *j*  $\Rightarrow$  *Old-Datatype.In1* (*nth-item* *j*))  
  | *Inr* *i*  $\Rightarrow$   
    (case *sum-decode* *i* of  
      *Inl* *j*  $\Rightarrow$  *Old-Datatype.Leaf* (*from-nat* *j*)  
      | *Inr* *j*  $\Rightarrow$   
        (case *prod-decode* *j* of  
          (*a*, *b*)  $\Rightarrow$  *Old-Datatype.Scons* (*nth-item* *a*) (*nth-item* *b*))))  
<*proof*>

**lemma** *le-sum-encode-Inl*:  $x \leq y \Longrightarrow x \leq$  *sum-encode* (*Inl* *y*)  
<*proof*>

**lemma** *le-sum-encode-Inr*:  $x \leq y \Longrightarrow x \leq$  *sum-encode* (*Inr* *y*)  
<*proof*> **termination**  
<*proof*>

**lemma** *nth-item-covers*: *finite-item* *x*  $\Longrightarrow \exists n.$  *nth-item* *n* = *x*  
<*proof*>

**theorem** *countable-datatype*:

**fixes** *Rep* :: 'b  $\Rightarrow$  ('a::countable) *Old-Datatype.item*  
**fixes** *Abs* :: ('a::countable) *Old-Datatype.item*  $\Rightarrow$  'b  
**fixes** *rep-set* :: ('a::countable) *Old-Datatype.item*  $\Rightarrow$  bool  
**assumes** *type*: *type-definition* *Rep* *Abs* (*Collect* *rep-set*)  
**assumes** *finite-item*:  $\bigwedge x.$  *rep-set* *x*  $\Longrightarrow$  *finite-item* *x*  
**shows** *OFCLASS*('b, *countable-class*)  
<*proof*>

<*ML*>

**end**

## 20.5 Automatically proving countability of datatypes

*<ML>*

## 20.6 More Countable types

Naturals

**instance** *nat* :: *countable*  
*<proof>*

Pairs

**instance** *prod* :: (*countable*, *countable*) *countable*  
*<proof>*

Sums

**instance** *sum* :: (*countable*, *countable*) *countable*  
*<proof>*

Integers

**instance** *int* :: *countable*  
*<proof>*

Options

**instance** *option* :: (*countable*) *countable*  
*<proof>*

Lists

**instance** *list* :: (*countable*) *countable*  
*<proof>*

String literals

**instance** *String.literal* :: *countable*  
*<proof>*

Functions

**instance** *fun* :: (*finite*, *countable*) *countable*  
*<proof>*

Typereps

**instance** *typerep* :: *countable*  
*<proof>*

## 20.7 The rationals are countably infinite

**definition** *nat-to-rat-surj* :: *nat*  $\Rightarrow$  *rat* **where**  
*nat-to-rat-surj* *n* = (*let* (*a*, *b*) = *prod-decode* *n* *in* *Fract* (*int-decode* *a*) (*int-decode* *b*))

**lemma** *surj-nat-to-rat-surj*: *surj* *nat-to-rat-surj*

*<proof>*

**lemma** *Rats-eq-range-nat-to-rat-surj*:  $\mathbb{Q} = \text{range } \text{nat-to-rat-surj}$   
*<proof>*

**context** *field-char-0*  
**begin**

**lemma** *Rats-eq-range-of-rat-o-nat-to-rat-surj*:  
 $\mathbb{Q} = \text{range } (\text{of-rat} \circ \text{nat-to-rat-surj})$   
*<proof>*

**lemma** *surj-of-rat-nat-to-rat-surj*:  
 $r \in \mathbb{Q} \implies \exists n. r = \text{of-rat } (\text{nat-to-rat-surj } n)$   
*<proof>*

**end**

**instance** *rat :: countable*  
*<proof>*

**theorem** *rat-denum*:  $\exists f :: \text{nat} \Rightarrow \text{rat}. \text{surj } f$   
*<proof>*

**end**

## 21 Infinite Sets and Related Concepts

**theory** *Infinite-Set*  
**imports** *Main*  
**begin**

### 21.1 The set of natural numbers is infinite

**lemma** *infinite-nat-iff-unbounded-le*:  $\text{infinite } S \longleftrightarrow (\forall m. \exists n \geq m. n \in S)$   
**for**  $S :: \text{nat set}$   
*<proof>*

**lemma** *infinite-nat-iff-unbounded*:  $\text{infinite } S \longleftrightarrow (\forall m. \exists n > m. n \in S)$   
**for**  $S :: \text{nat set}$   
*<proof>*

**lemma** *finite-nat-iff-bounded*:  $\text{finite } S \longleftrightarrow (\exists k. S \subseteq \{..<k\})$   
**for**  $S :: \text{nat set}$   
*<proof>*

**lemma** *finite-nat-iff-bounded-le*:  $\text{finite } S \longleftrightarrow (\exists k. S \subseteq \{.. k\})$   
**for**  $S :: \text{nat set}$   
*<proof>*

**lemma** *finite-nat-bounded*:  $finite\ S \implies \exists k. S \subseteq \{..<k\}$   
**for**  $S :: nat\ set$   
 $\langle proof \rangle$

For a set of natural numbers to be infinite, it is enough to know that for any number larger than some  $k$ , there is some larger number that is an element of the set.

**lemma** *unbounded-k-infinite*:  $\forall m > k. \exists n > m. n \in S \implies infinite\ (S :: nat\ set)$   
 $\langle proof \rangle$

**lemma** *nat-not-finite*:  $finite\ (UNIV :: nat\ set) \implies R$   
 $\langle proof \rangle$

**lemma** *range-inj-infinite*:  
**fixes**  $f :: nat \Rightarrow 'a$   
**assumes**  $inj\ f$   
**shows**  $infinite\ (range\ f)$   
 $\langle proof \rangle$

## 21.2 The set of integers is also infinite

**lemma** *infinite-int-iff-infinite-nat-abs*:  $infinite\ S \longleftrightarrow infinite\ ((nat \circ abs) ' S)$   
**for**  $S :: int\ set$   
 $\langle proof \rangle$

**proposition** *infinite-int-iff-unbounded-le*:  $infinite\ S \longleftrightarrow (\forall m. \exists n. |n| \geq m \wedge n \in S)$   
**for**  $S :: int\ set$   
 $\langle proof \rangle$

**proposition** *infinite-int-iff-unbounded*:  $infinite\ S \longleftrightarrow (\forall m. \exists n. |n| > m \wedge n \in S)$   
**for**  $S :: int\ set$   
 $\langle proof \rangle$

**proposition** *finite-int-iff-bounded*:  $finite\ S \longleftrightarrow (\exists k. abs ' S \subseteq \{..<k\})$   
**for**  $S :: int\ set$   
 $\langle proof \rangle$

**proposition** *finite-int-iff-bounded-le*:  $finite\ S \longleftrightarrow (\exists k. abs ' S \subseteq \{.. k\})$   
**for**  $S :: int\ set$   
 $\langle proof \rangle$

## 21.3 Infinitely Many and Almost All

We often need to reason about the existence of infinitely many (resp., all but finitely many) objects satisfying some predicate, so we introduce corresponding binders and their proof rules.

**lemma** *not-INFM* [*simp*]:  $\neg (\text{INFM } x. P x) \longleftrightarrow (\text{MOST } x. \neg P x)$   
 ⟨*proof*⟩

**lemma** *not-MOST* [*simp*]:  $\neg (\text{MOST } x. P x) \longleftrightarrow (\text{INFM } x. \neg P x)$   
 ⟨*proof*⟩

**lemma** *INFM-const* [*simp*]:  $(\text{INFM } x::'a. P) \longleftrightarrow P \wedge \text{infinite } (\text{UNIV}::'a \text{ set})$   
 ⟨*proof*⟩

**lemma** *MOST-const* [*simp*]:  $(\text{MOST } x::'a. P) \longleftrightarrow P \vee \text{finite } (\text{UNIV}::'a \text{ set})$   
 ⟨*proof*⟩

**lemma** *INFM-imp-distrib*:  $(\text{INFM } x. P x \longrightarrow Q x) \longleftrightarrow ((\text{MOST } x. P x) \longrightarrow (\text{INFM } x. Q x))$   
 ⟨*proof*⟩

**lemma** *MOST-imp-iff*:  $\text{MOST } x. P x \Longrightarrow (\text{MOST } x. P x \longrightarrow Q x) \longleftrightarrow (\text{MOST } x. Q x)$   
 ⟨*proof*⟩

**lemma** *INFM-conjI*:  $\text{INFM } x. P x \Longrightarrow \text{MOST } x. Q x \Longrightarrow \text{INFM } x. P x \wedge Q x$   
 ⟨*proof*⟩

Properties of quantifiers with injective functions.

**lemma** *INFM-inj*:  $\text{INFM } x. P (f x) \Longrightarrow \text{inj } f \Longrightarrow \text{INFM } x. P x$   
 ⟨*proof*⟩

**lemma** *MOST-inj*:  $\text{MOST } x. P x \Longrightarrow \text{inj } f \Longrightarrow \text{MOST } x. P (f x)$   
 ⟨*proof*⟩

Properties of quantifiers with singletons.

**lemma** *not-INFM-eq* [*simp*]:  
 $\neg (\text{INFM } x. x = a)$   
 $\neg (\text{INFM } x. a = x)$   
 ⟨*proof*⟩

**lemma** *MOST-neq* [*simp*]:  
 $\text{MOST } x. x \neq a$   
 $\text{MOST } x. a \neq x$   
 ⟨*proof*⟩

**lemma** *INFM-neq* [*simp*]:  
 $(\text{INFM } x::'a. x \neq a) \longleftrightarrow \text{infinite } (\text{UNIV}::'a \text{ set})$   
 $(\text{INFM } x::'a. a \neq x) \longleftrightarrow \text{infinite } (\text{UNIV}::'a \text{ set})$   
 ⟨*proof*⟩

**lemma** *MOST-eq* [*simp*]:  
 $(\text{MOST } x::'a. x = a) \longleftrightarrow \text{finite } (\text{UNIV}::'a \text{ set})$   
 $(\text{MOST } x::'a. a = x) \longleftrightarrow \text{finite } (\text{UNIV}::'a \text{ set})$

*<proof>*

**lemma** *MOST-eq-imp:*

*MOST*  $x. x = a \longrightarrow P x$

*MOST*  $x. a = x \longrightarrow P x$

*<proof>*

Properties of quantifiers over the naturals.

**lemma** *MOST-nat:*  $(\forall_{\infty} n. P n) \longleftrightarrow (\exists m. \forall n > m. P n)$

for  $P :: nat \Rightarrow bool$

*<proof>*

**lemma** *MOST-nat-le:*  $(\forall_{\infty} n. P n) \longleftrightarrow (\exists m. \forall n \geq m. P n)$

for  $P :: nat \Rightarrow bool$

*<proof>*

**lemma** *INFM-nat:*  $(\exists_{\infty} n. P n) \longleftrightarrow (\forall m. \exists n > m. P n)$

for  $P :: nat \Rightarrow bool$

*<proof>*

**lemma** *INFM-nat-le:*  $(\exists_{\infty} n. P n) \longleftrightarrow (\forall m. \exists n \geq m. P n)$

for  $P :: nat \Rightarrow bool$

*<proof>*

**lemma** *MOST-INFM:* *infinite* (*UNIV::'a set*)  $\Longrightarrow$  *MOST*  $x::'a. P x \Longrightarrow$  *INFM*  $x::'a. P x$

*<proof>*

**lemma** *MOST-Suc-iff:*  $(\text{MOST } n. P (\text{Suc } n)) \longleftrightarrow (\text{MOST } n. P n)$

*<proof>*

**lemma** *MOST-SucI:*  $\text{MOST } n. P n \Longrightarrow \text{MOST } n. P (\text{Suc } n)$

**and** *MOST-SucD:*  $\text{MOST } n. P (\text{Suc } n) \Longrightarrow \text{MOST } n. P n$

*<proof>*

**lemma** *MOST-ge-nat:*  $\text{MOST } n::nat. m \leq n$

*<proof>*

**lemma** *Inf-many-def:*  $\text{Inf-many } P \longleftrightarrow \text{infinite } \{x. P x\}$  *<proof>*

**lemma** *Alm-all-def:*  $\text{Alm-all } P \longleftrightarrow \neg (\text{INFM } x. \neg P x)$  *<proof>*

**lemma** *INFM-iff-infinite:*  $(\text{INFM } x. P x) \longleftrightarrow \text{infinite } \{x. P x\}$  *<proof>*

**lemma** *MOST-iff-cofinite:*  $(\text{MOST } x. P x) \longleftrightarrow \text{finite } \{x. \neg P x\}$  *<proof>*

**lemma** *INFM-EX:*  $(\exists_{\infty} x. P x) \Longrightarrow (\exists x. P x)$  *<proof>*

**lemma** *ALL-MOST:*  $\forall x. P x \Longrightarrow \forall_{\infty} x. P x$  *<proof>*

**lemma** *INFM-mono:*  $\exists_{\infty} x. P x \Longrightarrow (\bigwedge x. P x \Longrightarrow Q x) \Longrightarrow \exists_{\infty} x. Q x$  *<proof>*

**lemma** *MOST-mono:*  $\forall_{\infty} x. P x \Longrightarrow (\bigwedge x. P x \Longrightarrow Q x) \Longrightarrow \forall_{\infty} x. Q x$  *<proof>*

**lemma** *INFM-disj-distrib:*  $(\exists_{\infty} x. P x \vee Q x) \longleftrightarrow (\exists_{\infty} x. P x) \vee (\exists_{\infty} x. Q x)$  *<proof>*

**lemma** *MOST-rev-mp:*  $\forall_{\infty} x. P x \Longrightarrow \forall_{\infty} x. P x \longrightarrow Q x \Longrightarrow \forall_{\infty} x. Q x$  *<proof>*

**lemma** *MOST-conj-distrib:*  $(\forall_{\infty} x. P x \wedge Q x) \longleftrightarrow (\forall_{\infty} x. P x) \wedge (\forall_{\infty} x. Q x)$

*<proof>*

**lemma** *MOST-conjI*:  $MOST\ x.\ P\ x \implies MOST\ x.\ Q\ x \implies MOST\ x.\ P\ x \wedge Q\ x$

*<proof>*

**lemma** *INFM-finite-Bex-distrib*:  $finite\ A \implies (INFM\ y.\ \exists x \in A.\ P\ x\ y) \longleftrightarrow (\exists x \in A.\ INFM\ y.\ P\ x\ y)$  *<proof>*

**lemma** *MOST-finite-Ball-distrib*:  $finite\ A \implies (MOST\ y.\ \forall x \in A.\ P\ x\ y) \longleftrightarrow (\forall x \in A.\ MOST\ y.\ P\ x\ y)$  *<proof>*

**lemma** *INFM-E*:  $INFM\ x.\ P\ x \implies (\bigwedge x.\ P\ x \implies thesis) \implies thesis$  *<proof>*

**lemma** *MOST-I*:  $(\bigwedge x.\ P\ x) \implies MOST\ x.\ P\ x$  *<proof>*

**lemmas** *MOST-iff-finiteNeg = MOST-iff-cofinite*

## 21.4 Enumeration of an Infinite Set

The set’s element type must be wellordered (e.g. the natural numbers).

Could be generalized to  $enumerate'\ S\ n = (SOME\ t.\ t \in s \wedge finite\ \{s \in S.\ s < t\} \wedge card\ \{s \in S.\ s < t\} = n)$ .

**primrec** (in *wellorder*) *enumerate* :: ‘a set  $\Rightarrow$  nat  $\Rightarrow$  ‘a

**where**

*enumerate-0*:  $enumerate\ S\ 0 = (LEAST\ n.\ n \in S)$

| *enumerate-Suc*:  $enumerate\ S\ (Suc\ n) = enumerate\ (S - \{LEAST\ n.\ n \in S\})\ n$

**lemma** *enumerate-Suc'*:  $enumerate\ S\ (Suc\ n) = enumerate\ (S - \{enumerate\ S\ 0\})\ n$

*<proof>*

**lemma** *enumerate-in-set*:  $infinite\ S \implies enumerate\ S\ n \in S$

*<proof>*

**declare** *enumerate-0* [*simp del*] *enumerate-Suc* [*simp del*]

**lemma** *enumerate-step*:  $infinite\ S \implies enumerate\ S\ n < enumerate\ S\ (Suc\ n)$

*<proof>*

**lemma** *enumerate-mono*:  $m < n \implies infinite\ S \implies enumerate\ S\ m < enumerate\ S\ n$

*<proof>*

**lemma** *enumerate-mono-iff* [*simp*]:

$infinite\ S \implies enumerate\ S\ m < enumerate\ S\ n \longleftrightarrow m < n$

*<proof>*

**lemma** *enumerate-mono-le-iff* [*simp*]:

$infinite\ S \implies enumerate\ S\ m \leq enumerate\ S\ n \longleftrightarrow m \leq n$

*<proof>*

**lemma** *le-enumerate*:

**assumes** *S*:  $infinite\ S$

**shows**  $n \leq enumerate\ S\ n$

*<proof>*

**lemma** *infinite-enumerate:*

**assumes** *fS: infinite S*

**shows**  $\exists r::nat \Rightarrow nat. \text{strict-mono } r \wedge (\forall n. r\ n \in S)$

*<proof>*

**lemma** *enumerate-Suc'':*

**fixes** *S :: 'a::wellorder set*

**assumes** *infinite S*

**shows**  $\text{enumerate } S\ (\text{Suc } n) = (\text{LEAST } s. s \in S \wedge \text{enumerate } S\ n < s)$

*<proof>*

**lemma** *enumerate-Ex:*

**fixes** *S :: nat set*

**assumes** *S: infinite S*

**and** *s: s ∈ S*

**shows**  $\exists n. \text{enumerate } S\ n = s$

*<proof>*

**lemma** *inj-enumerate:*

**fixes** *S :: 'a::wellorder set*

**assumes** *S: infinite S*

**shows** *inj (enumerate S)*

*<proof>*

To generalise this, we'd need a condition that all initial segments were finite

**lemma** *bij-enumerate:*

**fixes** *S :: nat set*

**assumes** *S: infinite S*

**shows** *bij-betw (enumerate S) UNIV S*

*<proof>*

**lemma**

**fixes** *S :: nat set*

**assumes** *S: infinite S*

**shows** *range-enumerate: range (enumerate S) = S*

**and** *strict-mono-enumerate: strict-mono (enumerate S)*

*<proof>*

A pair of weird and wonderful lemmas from HOL Light.

**lemma** *finite-transitivity-chain:*

**assumes** *finite A*

**and** *R:  $\bigwedge x. \neg R\ x\ x \wedge x\ y\ z. \llbracket R\ x\ y; R\ y\ z \rrbracket \Longrightarrow R\ x\ z$*

**and** *A:  $\bigwedge x. x \in A \Longrightarrow \exists y. y \in A \wedge R\ x\ y$*

**shows** *A = {}*

*<proof>*



**corollary** *Union-maximal-sets:*

**assumes** *finite*  $\mathcal{F}$

**shows**  $\bigcup \{T \in \mathcal{F}. \forall U \in \mathcal{F}. \neg T \subset U\} = \bigcup \mathcal{F}$   
(is ?lhs = ?rhs)

$\langle$ proof $\rangle$

## 21.5 Properties of *wellorder-class.enumerate* on finite sets

**lemma** *finite-enumerate-in-set:*  $\llbracket \text{finite } S; n < \text{card } S \rrbracket \implies \text{enumerate } S \ n \in S$

$\langle$ proof $\rangle$

**lemma** *finite-enumerate-step:*  $\llbracket \text{finite } S; \text{Suc } n < \text{card } S \rrbracket \implies \text{enumerate } S \ n < \text{enumerate } S \ (\text{Suc } n)$

$\langle$ proof $\rangle$

**lemma** *finite-enumerate-mono:*  $\llbracket m < n; \text{finite } S; n < \text{card } S \rrbracket \implies \text{enumerate } S \ m < \text{enumerate } S \ n$

$\langle$ proof $\rangle$

**lemma** *finite-enumerate-mono-iff* [*simp*]:

$\llbracket \text{finite } S; m < \text{card } S; n < \text{card } S \rrbracket \implies \text{enumerate } S \ m < \text{enumerate } S \ n \longleftrightarrow m < n$

$\langle$ proof $\rangle$

**lemma** *finite-le-enumerate:*

**assumes** *finite*  $S \ n < \text{card } S$

**shows**  $n \leq \text{enumerate } S \ n$

$\langle$ proof $\rangle$

**lemma** *finite-enumerate:*

**assumes**  $fS: \text{finite } S$

**shows**  $\exists r::\text{nat} \implies \text{nat. strict-mono-on } \{..<\text{card } S\} \ r \wedge (\forall n < \text{card } S. r \ n \in S)$

$\langle$ proof $\rangle$

**lemma** *finite-enumerate-Suc'*:

**fixes**  $S :: 'a::\text{wellorder set}$

**assumes** *finite*  $S \ \text{Suc } n < \text{card } S$

**shows**  $\text{enumerate } S \ (\text{Suc } n) = (\text{LEAST } s. s \in S \wedge \text{enumerate } S \ n < s)$

$\langle$ proof $\rangle$

**lemma** *finite-enumerate-initial-segment:*

**fixes**  $S :: 'a::\text{wellorder set}$

**assumes** *finite*  $S$  **and**  $n: n < \text{card } (S \cap \{..<s\})$

**shows**  $\text{enumerate } (S \cap \{..<s\}) \ n = \text{enumerate } S \ n$

$\langle$ proof $\rangle$

**lemma** *finite-enumerate-Ex:*

**fixes**  $S :: 'a::\text{wellorder set}$

**assumes**  $S: \text{finite } S$

**and**  $s: s \in S$   
**shows**  $\exists n < \text{card } S. \text{ enumerate } S \ n = s$   
 $\langle \text{proof} \rangle$

**lemma** *finite-enum-subset*:  
**assumes**  $\bigwedge i. i < \text{card } X \implies \text{enumerate } X \ i = \text{enumerate } Y \ i$  **and** *finite X finite Y*  
 $\text{card } X \leq \text{card } Y$   
**shows**  $X \subseteq Y$   
 $\langle \text{proof} \rangle$

**lemma** *finite-enum-ext*:  
**assumes**  $\bigwedge i. i < \text{card } X \implies \text{enumerate } X \ i = \text{enumerate } Y \ i$  **and** *finite X finite Y*  
 $\text{card } X = \text{card } Y$   
**shows**  $X = Y$   
 $\langle \text{proof} \rangle$

**lemma** *finite-bij-enumerate*:  
**fixes**  $S :: 'a::\text{wellorder set}$   
**assumes**  $S: \text{finite } S$   
**shows** *bij-betw (enumerate S) {.. $\text{card } S$ } S*  
 $\langle \text{proof} \rangle$

**lemma** *ex-bij-betw-strict-mono-card*:  
**fixes**  $M :: 'a::\text{wellorder set}$   
**assumes** *finite M*  
**obtains**  $h$  **where** *bij-betw h {.. $\text{card } M$ } M* **and** *strict-mono-on {.. $\text{card } M$ } h*  
 $\langle \text{proof} \rangle$

**end**

## 22 Countable sets

**theory** *Countable-Set*  
**imports** *Countable Infinite-Set*  
**begin**

### 22.1 Predicate for countable sets

**definition** *countable*  $:: 'a \text{ set} \implies \text{bool}$  **where**  
 $\text{countable } S \iff (\exists f :: 'a \Rightarrow \text{nat}. \text{inj-on } f \ S)$

**lemma** *countable-as-injective-image-subset*:  $\text{countable } S \iff (\exists f. \exists K :: \text{nat set}. S = f \ ` \ K \wedge \text{inj-on } f \ K)$   
 $\langle \text{proof} \rangle$

**lemma** *countableE*:  
**assumes**  $S: \text{countable } S$  **obtains**  $f :: 'a \Rightarrow \text{nat}$  **where** *inj-on f S*  
 $\langle \text{proof} \rangle$

**lemma** *countableI*: *inj-on* (*f*::'a  $\Rightarrow$  nat) *S*  $\Longrightarrow$  *countable S*  
 ⟨*proof*⟩

**lemma** *countableI'*: *inj-on* (*f*::'a  $\Rightarrow$  'b::countable) *S*  $\Longrightarrow$  *countable S*  
 ⟨*proof*⟩

**lemma** *countableE-bij*:  
**assumes** *S*: *countable S* **obtains** *f* :: nat  $\Rightarrow$  'a **and** *C* :: nat set **where** *bij-betw*  
*f C S*  
 ⟨*proof*⟩

**lemma** *countableI-bij*: *bij-betw* *f* (*C*::nat set) *S*  $\Longrightarrow$  *countable S*  
 ⟨*proof*⟩

**lemma** *countable-finite*: *finite S*  $\Longrightarrow$  *countable S*  
 ⟨*proof*⟩

**lemma** *countableI-bij1*: *bij-betw* *f* *A B*  $\Longrightarrow$  *countable A*  $\Longrightarrow$  *countable B*  
 ⟨*proof*⟩

**lemma** *countableI-bij2*: *bij-betw* *f* *B A*  $\Longrightarrow$  *countable A*  $\Longrightarrow$  *countable B*  
 ⟨*proof*⟩

**lemma** *countable-iff-bij[simp]*: *bij-betw* *f* *A B*  $\Longrightarrow$  *countable A*  $\longleftrightarrow$  *countable B*  
 ⟨*proof*⟩

**lemma** *countable-subset*: *A*  $\subseteq$  *B*  $\Longrightarrow$  *countable B*  $\Longrightarrow$  *countable A*  
 ⟨*proof*⟩

**lemma** *countableI-type[intro, simp]*: *countable* (*A*:: 'a :: countable set)  
 ⟨*proof*⟩

## 22.2 Enumerate a countable set

**lemma** *countableE-infinite*:  
**assumes** *countable S* *infinite S*  
**obtains** *e* :: 'a  $\Rightarrow$  nat **where** *bij-betw* *e* *S* *UNIV*  
 ⟨*proof*⟩

**lemma** *countable-infiniteE'*:  
**assumes** *countable A* *infinite A*  
**obtains** *g* **where** *bij-betw* *g* (*UNIV* :: nat set) *A*  
 ⟨*proof*⟩

**lemma** *countable-enum-cases*:  
**assumes** *countable S*  
**obtains** (*finite*) *f* :: 'a  $\Rightarrow$  nat **where** *finite S* *bij-betw* *f* *S* {..*card S*}  
 | (*infinite*) *f* :: 'a  $\Rightarrow$  nat **where** *infinite S* *bij-betw* *f* *S* *UNIV*  
 ⟨*proof*⟩

**definition** *to-nat-on* :: 'a set  $\Rightarrow$  'a  $\Rightarrow$  nat **where**

*to-nat-on* S = (SOME f. if finite S then bij-betw f S {.. $\text{card } S$ } else bij-betw f S UNIV)

**definition** *from-nat-into* :: 'a set  $\Rightarrow$  nat  $\Rightarrow$  'a **where**

*from-nat-into* S n = (if n  $\in$  *to-nat-on* S ' S then inv-into S (*to-nat-on* S) n else SOME s. s  $\in$  S)

**lemma** *to-nat-on-finite*: finite S  $\Longrightarrow$  bij-betw (*to-nat-on* S) S {.. $\text{card } S$ }  
(proof)

**lemma** *to-nat-on-infinite*: countable S  $\Longrightarrow$  infinite S  $\Longrightarrow$  bij-betw (*to-nat-on* S) S UNIV  
(proof)

**lemma** *bij-betw-from-nat-into-finite*: finite S  $\Longrightarrow$  bij-betw (*from-nat-into* S) {.. $\text{card } S$ } S  
(proof)

**lemma** *bij-betw-from-nat-into*: countable S  $\Longrightarrow$  infinite S  $\Longrightarrow$  bij-betw (*from-nat-into* S) UNIV S  
(proof)

The sum/product over the enumeration of a finite set equals simply the sum/product over the set

**context** *comm-monoid-set*  
**begin**

**lemma** *card-from-nat-into*:  
F ( $\lambda i. h$  (*from-nat-into* A i)) {.. $\text{card } A$ } = F h A  
(proof)

**end**

**lemma** *countable-as-injective-image*:  
**assumes** countable A infinite A  
**obtains** f :: nat  $\Rightarrow$  'a **where** A = range f inj f  
(proof)

**lemma** *inj-on-to-nat-on[intro]*: countable A  $\Longrightarrow$  inj-on (*to-nat-on* A) A  
(proof)

**lemma** *to-nat-on-inj[simp]*:  
countable A  $\Longrightarrow$  a  $\in$  A  $\Longrightarrow$  b  $\in$  A  $\Longrightarrow$  *to-nat-on* A a = *to-nat-on* A b  $\longleftrightarrow$  a = b  
(proof)

**lemma** *from-nat-into-to-nat-on[simp]*: countable A  $\Longrightarrow$  a  $\in$  A  $\Longrightarrow$  *from-nat-into* A (*to-nat-on* A a) = a

*<proof>*

**lemma** *subset-range-from-nat-into*:  $\text{countable } A \implies A \subseteq \text{range } (\text{from-nat-into } A)$   
*<proof>*

**lemma** *from-nat-into*:  $A \neq \{\} \implies \text{from-nat-into } A \ n \in A$   
*<proof>*

**lemma** *range-from-nat-into-subset*:  $A \neq \{\} \implies \text{range } (\text{from-nat-into } A) \subseteq A$   
*<proof>*

**lemma** *range-from-nat-into[simp]*:  $A \neq \{\} \implies \text{countable } A \implies \text{range } (\text{from-nat-into } A) = A$   
*<proof>*

**lemma** *image-to-nat-on*:  $\text{countable } A \implies \text{infinite } A \implies \text{to-nat-on } A \text{ ' } A = \text{UNIV}$   
*<proof>*

**lemma** *to-nat-on-surj*:  $\text{countable } A \implies \text{infinite } A \implies \exists a \in A. \text{to-nat-on } A \ a = n$   
*<proof>*

**lemma** *to-nat-on-from-nat-into[simp]*:  $n \in \text{to-nat-on } A \text{ ' } A \implies \text{to-nat-on } A \ (\text{from-nat-into } A \ n) = n$   
*<proof>*

**lemma** *to-nat-on-from-nat-into-infinite[simp]*:  
 $\text{countable } A \implies \text{infinite } A \implies \text{to-nat-on } A \ (\text{from-nat-into } A \ n) = n$   
*<proof>*

**lemma** *from-nat-into-inj*:  
 $\text{countable } A \implies m \in \text{to-nat-on } A \text{ ' } A \implies n \in \text{to-nat-on } A \text{ ' } A \implies$   
 $\text{from-nat-into } A \ m = \text{from-nat-into } A \ n \longleftrightarrow m = n$   
*<proof>*

**lemma** *from-nat-into-inj-infinite[simp]*:  
 $\text{countable } A \implies \text{infinite } A \implies \text{from-nat-into } A \ m = \text{from-nat-into } A \ n \longleftrightarrow m$   
 $= n$   
*<proof>*

**lemma** *eq-from-nat-into-iff*:  
 $\text{countable } A \implies x \in A \implies i \in \text{to-nat-on } A \text{ ' } A \implies x = \text{from-nat-into } A \ i \longleftrightarrow$   
 $i = \text{to-nat-on } A \ x$   
*<proof>*

**lemma** *from-nat-into-surj*:  $\text{countable } A \implies a \in A \implies \exists n. \text{from-nat-into } A \ n = a$   
*<proof>*

**lemma** *from-nat-into-inject[simp]*:

$A \neq \{\} \implies \text{countable } A \implies B \neq \{\} \implies \text{countable } B \implies \text{from-nat-into } A = \text{from-nat-into } B \longleftrightarrow A = B$   
 ⟨proof⟩

**lemma** *inj-on-from-nat-into*: *inj-on from-nat-into* ( $\{A. A \neq \{\} \wedge \text{countable } A\}$ )  
 ⟨proof⟩

### 22.3 Closure properties of countability

**lemma** *countable-SIGMA*[*intro, simp*]:

$\text{countable } I \implies (\bigwedge i. i \in I \implies \text{countable } (A \ i)) \implies \text{countable } (\text{SIGMA } i : I. A \ i)$   
 ⟨proof⟩

**lemma** *countable-image*[*intro, simp*]:

**assumes** *countable*  $A$   
**shows** *countable* ( $f \ 'A$ )  
 ⟨proof⟩

**lemma** *countable-image-inj-on*: *countable* ( $f \ 'A$ )  $\implies$  *inj-on*  $f \ A \implies$  *countable*  $A$   
 ⟨proof⟩

**lemma** *countable-image-inj-Int-vimage*:

$\llbracket \text{inj-on } f \ S; \text{countable } A \rrbracket \implies \text{countable } (S \cap f \ - \ 'A)$   
 ⟨proof⟩

**lemma** *countable-image-inj-gen*:

$\llbracket \text{inj-on } f \ S; \text{countable } A \rrbracket \implies \text{countable } \{x \in S. f \ x \in A\}$   
 ⟨proof⟩

**lemma** *countable-image-inj-eq*:

$\text{inj-on } f \ S \implies \text{countable}(f \ 'S) \longleftrightarrow \text{countable } S$   
 ⟨proof⟩

**lemma** *countable-image-inj*:

$\llbracket \text{countable } A; \text{inj } f \rrbracket \implies \text{countable } \{x. f \ x \in A\}$   
 ⟨proof⟩

**lemma** *countable-UN*[*intro, simp*]:

**fixes**  $I :: 'i \text{ set}$  **and**  $A :: 'i \implies 'a \text{ set}$   
**assumes**  $I$ : *countable*  $I$   
**assumes**  $A$ :  $\bigwedge i. i \in I \implies \text{countable } (A \ i)$   
**shows** *countable* ( $\bigcup i \in I. A \ i$ )  
 ⟨proof⟩

**lemma** *countable-Un*[*intro*]: *countable*  $A \implies$  *countable*  $B \implies$  *countable* ( $A \cup B$ )  
 ⟨proof⟩

**lemma** *countable-Un-iff*[*simp*]: *countable* ( $A \cup B$ )  $\longleftrightarrow$  *countable*  $A \wedge$  *countable*  $B$

*<proof>*

**lemma** *countable-Plus*[*intro, simp*]:

*countable A*  $\implies$  *countable B*  $\implies$  *countable (A <+> B)*

*<proof>*

**lemma** *countable-empty*[*intro, simp*]: *countable {}*

*<proof>*

**lemma** *countable-insert*[*intro, simp*]: *countable A*  $\implies$  *countable (insert a A)*

*<proof>*

**lemma** *countable-Int1*[*intro, simp*]: *countable A*  $\implies$  *countable (A  $\cap$  B)*

*<proof>*

**lemma** *countable-Int2*[*intro, simp*]: *countable B*  $\implies$  *countable (A  $\cap$  B)*

*<proof>*

**lemma** *countable-INT*[*intro, simp*]:  $i \in I \implies$  *countable (A i)*  $\implies$  *countable ( $\bigcap_{i \in I} A i$ )*

*<proof>*

**lemma** *countable-Diff*[*intro, simp*]: *countable A*  $\implies$  *countable (A - B)*

*<proof>*

**lemma** *countable-insert-eq* [*simp*]: *countable (insert x A) = countable A*

*<proof>*

**lemma** *countable-vimage*:  $B \subseteq \text{range } f \implies$  *countable (f  $^{-1}$  B)*  $\implies$  *countable B*

*<proof>*

**lemma** *surj-countable-vimage*: *surj f*  $\implies$  *countable (f  $^{-1}$  B)*  $\implies$  *countable B*

*<proof>*

**lemma** *countable-Collect*[*simp*]: *countable A*  $\implies$  *countable {a  $\in$  A.  $\varphi$  a}*

*<proof>*

**lemma** *countable-Image*:

**assumes**  $\bigwedge y. y \in Y \implies$  *countable (X “ {y})*

**assumes** *countable Y*

**shows** *countable (X “ Y)*

*<proof>*

**lemma** *countable-relpow*:

**fixes**  $X :: 'a \text{ rel}$

**assumes** *Image-X*:  $\bigwedge Y. \text{countable } Y \implies$  *countable (X “ Y)*

**assumes**  $Y: \text{countable } Y$

**shows** *countable ((X  $\overset{\sim}{\sim}$  i) “ Y)*

*<proof>*

**lemma** *countable-funpow*:  
**fixes**  $f :: 'a \text{ set} \Rightarrow 'a \text{ set}$   
**assumes**  $\bigwedge A. \text{countable } A \Longrightarrow \text{countable } (f A)$   
**and** *countable*  $A$   
**shows**  $\text{countable } ((f \sim n) A)$   
 $\langle \text{proof} \rangle$

**lemma** *countable-rtrancl*:  
 $(\bigwedge Y. \text{countable } Y \Longrightarrow \text{countable } (X \text{ “ } Y)) \Longrightarrow \text{countable } Y \Longrightarrow \text{countable } (X^* \text{ “ } Y)$   
 $\langle \text{proof} \rangle$

**lemma** *countable-lists*[*intro, simp*]:  
**assumes**  $A: \text{countable } A$  **shows**  $\text{countable } (\text{lists } A)$   
 $\langle \text{proof} \rangle$

**lemma** *Collect-finite-eq-lists*:  $\text{Collect } \text{finite} = \text{set } \text{“ } \text{lists } \text{UNIV}$   
 $\langle \text{proof} \rangle$

**lemma** *countable-Collect-finite*:  $\text{countable } (\text{Collect } (\text{finite}::'a::\text{countable set} \Rightarrow \text{bool}))$   
 $\langle \text{proof} \rangle$

**lemma** *countable-int*:  $\text{countable } \mathbf{Z}$   
 $\langle \text{proof} \rangle$

**lemma** *countable-rat*:  $\text{countable } \mathbf{Q}$   
 $\langle \text{proof} \rangle$

**lemma** *Collect-finite-subset-eq-lists*:  $\{A. \text{finite } A \wedge A \subseteq T\} = \text{set } \text{“ } \text{lists } T$   
 $\langle \text{proof} \rangle$

**lemma** *countable-Collect-finite-subset*:  
 $\text{countable } T \Longrightarrow \text{countable } \{A. \text{finite } A \wedge A \subseteq T\}$   
 $\langle \text{proof} \rangle$

**lemma** *countable-Fpow*:  $\text{countable } S \Longrightarrow \text{countable } (\text{Fpow } S)$   
 $\langle \text{proof} \rangle$

**lemma** *countable-set-option* [*simp*]:  $\text{countable } (\text{set-option } x)$   
 $\langle \text{proof} \rangle$

## 22.4 Misc lemmas

**lemma** *countable-subset-image*:  
 $\text{countable } B \wedge B \subseteq (f \text{ ‘ } A) \longleftrightarrow (\exists A'. \text{countable } A' \wedge A' \subseteq A \wedge (B = f \text{ ‘ } A'))$   
**(is ?lhs = ?rhs)**  
 $\langle \text{proof} \rangle$



**lemma** *ex-subset-image-inj*:

$$(\exists T. T \subseteq f' S \wedge P T) \longleftrightarrow (\exists T. T \subseteq S \wedge \text{inj-on } f T \wedge P (f' T))$$

*<proof>*

**lemma** *all-subset-image-inj*:

$$(\forall T. T \subseteq f' S \longrightarrow P T) \longleftrightarrow (\forall T. T \subseteq S \wedge \text{inj-on } f T \longrightarrow P (f' T))$$

*<proof>*

**lemma** *ex-countable-subset-image-inj*:

$$(\exists T. \text{countable } T \wedge T \subseteq f' S \wedge P T) \longleftrightarrow$$

$$(\exists T. \text{countable } T \wedge T \subseteq S \wedge \text{inj-on } f T \wedge P (f' T))$$

*<proof>*

**lemma** *all-countable-subset-image-inj*:

$$(\forall T. \text{countable } T \wedge T \subseteq f' S \longrightarrow P T) \longleftrightarrow (\forall T. \text{countable } T \wedge T \subseteq S \wedge \text{inj-on } f T \longrightarrow P (f' T))$$

*<proof>*

**lemma** *ex-countable-subset-image*:

$$(\exists T. \text{countable } T \wedge T \subseteq f' S \wedge P T) \longleftrightarrow (\exists T. \text{countable } T \wedge T \subseteq S \wedge P (f' T))$$

*<proof>*

**lemma** *all-countable-subset-image*:

$$(\forall T. \text{countable } T \wedge T \subseteq f' S \longrightarrow P T) \longleftrightarrow (\forall T. \text{countable } T \wedge T \subseteq S \longrightarrow P (f' T))$$

*<proof>*

**lemma** *countable-image-eq*:

$$\text{countable}(f' S) \longleftrightarrow (\exists T. \text{countable } T \wedge T \subseteq S \wedge f' S = f' T)$$

*<proof>*

**lemma** *countable-image-eq-inj*:

$$\text{countable}(f' S) \longleftrightarrow (\exists T. \text{countable } T \wedge T \subseteq S \wedge f' S = f' T \wedge \text{inj-on } f T)$$

*<proof>*

**lemma** *infinite-countable-subset'*:

**assumes**  $X$ : *infinite*  $X$  **shows**  $\exists C \subseteq X. \text{countable } C \wedge \text{infinite } C$

*<proof>*

**lemma** *countable-all*:

**assumes**  $S$ : *countable*  $S$

**shows**  $(\forall s \in S. P s) \longleftrightarrow (\forall n :: \text{nat. from-nat-into } S n \in S \longrightarrow P (\text{from-nat-into } S n))$

*<proof>*

**lemma** *finite-sequence-to-countable-set*:

**assumes** *countable*  $X$

**obtains**  $F$  **where**  $\bigwedge i. F i \subseteq X \wedge i. F i \subseteq F (\text{Suc } i) \wedge i. \text{finite } (F i) (\bigcup i. F i)$

=  $X$   
 ⟨proof⟩

**lemma** *transfer-countable*[*transfer-rule*]:  
*bi-unique*  $R \implies \text{rel-fun } (\text{rel-set } R) (=) \text{ countable countable}$   
 ⟨proof⟩

## 22.5 Uncountable

**abbreviation** *uncountable where*  
*uncountable*  $A \equiv \neg \text{countable } A$

**lemma** *uncountable-def*: *uncountable*  $A \iff A \neq \{\} \wedge \neg (\exists f :: (\text{nat} \Rightarrow 'a). \text{range } f = A)$   
 ⟨proof⟩

**lemma** *uncountable-bij-betw*: *bij-betw*  $f A B \implies \text{uncountable } B \implies \text{uncountable } A$   
 ⟨proof⟩

**lemma** *uncountable-infinite*: *uncountable*  $A \implies \text{infinite } A$   
 ⟨proof⟩

**lemma** *uncountable-minus-countable*:  
*uncountable*  $A \implies \text{countable } B \implies \text{uncountable } (A - B)$   
 ⟨proof⟩

**lemma** *countable-Diff-eq* [*simp*]: *countable*  $(A - \{x\}) = \text{countable } A$   
 ⟨proof⟩

Every infinite set can be covered by a pairwise disjoint family of infinite sets. This version doesn't achieve equality, as it only covers a countable subset

**lemma** *infinite-infinite-partition*:  
**assumes** *infinite*  $A$   
**obtains**  $C :: \text{nat} \Rightarrow 'a \text{ set}$   
**where** *pairwise*  $(\lambda i j. \text{disjnt } (C i) (C j)) \text{ UNIV } (\bigcup i. C i) \subseteq A \wedge i. \text{infinite } (C i)$   
 ⟨proof⟩

**end**

## 23 Countable Complete Lattices

**theory** *Countable-Complete-Lattices*  
**imports** *Main Countable-Set*  
**begin**

**lemma** *UNIV-nat-eq*:  $\text{UNIV} = \text{insert } 0 (\text{range } \text{Suc})$   
 ⟨proof⟩

```

class countable-complete-lattice = lattice + Inf + Sup + bot + top +
  assumes ccInf-lower: countable A  $\implies x \in A \implies \text{Inf } A \leq x$ 
  assumes ccInf-greatest: countable A  $\implies (\bigwedge x. x \in A \implies z \leq x) \implies z \leq \text{Inf } A$ 
  assumes ccSup-upper: countable A  $\implies x \in A \implies x \leq \text{Sup } A$ 
  assumes ccSup-least: countable A  $\implies (\bigwedge x. x \in A \implies x \leq z) \implies \text{Sup } A \leq z$ 
  assumes ccInf-empty [simp]: Inf {} = top
  assumes ccSup-empty [simp]: Sup {} = bot
begin

subclass bounded-lattice
  <proof>

lemma ccINF-lower: countable A  $\implies i \in A \implies (\text{INF } i \in A. f i) \leq f i$ 
  <proof>

lemma ccINF-greatest: countable A  $\implies (\bigwedge i. i \in A \implies u \leq f i) \implies u \leq (\text{INF } i \in A. f i)$ 
  <proof>

lemma ccSUP-upper: countable A  $\implies i \in A \implies f i \leq (\text{SUP } i \in A. f i)$ 
  <proof>

lemma ccSUP-least: countable A  $\implies (\bigwedge i. i \in A \implies f i \leq u) \implies (\text{SUP } i \in A. f i) \leq u$ 
  <proof>

lemma ccInf-lower2: countable A  $\implies u \in A \implies u \leq v \implies \text{Inf } A \leq v$ 
  <proof>

lemma ccINF-lower2: countable A  $\implies i \in A \implies f i \leq u \implies (\text{INF } i \in A. f i) \leq u$ 
  <proof>

lemma ccSup-upper2: countable A  $\implies u \in A \implies v \leq u \implies v \leq \text{Sup } A$ 
  <proof>

lemma ccSUP-upper2: countable A  $\implies i \in A \implies u \leq f i \implies u \leq (\text{SUP } i \in A. f i)$ 
  <proof>

lemma le-ccInf-iff: countable A  $\implies b \leq \text{Inf } A \iff (\forall a \in A. b \leq a)$ 
  <proof>

lemma le-ccINF-iff: countable A  $\implies u \leq (\text{INF } i \in A. f i) \iff (\forall i \in A. u \leq f i)$ 
  <proof>

lemma ccSup-le-iff: countable A  $\implies \text{Sup } A \leq b \iff (\forall a \in A. a \leq b)$ 
  <proof>

```

**lemma** *ccSUP-le-iff*:  $\text{countable } A \implies (\text{SUP } i \in A. f i) \leq u \iff (\forall i \in A. f i \leq u)$   
 ⟨proof⟩

**lemma** *ccInf-insert [simp]*:  $\text{countable } A \implies \text{Inf } (\text{insert } a A) = \text{inf } a (\text{Inf } A)$   
 ⟨proof⟩

**lemma** *ccINF-insert [simp]*:  $\text{countable } A \implies (\text{INF } x \in \text{insert } a A. f x) = \text{inf } (f a)$   
 $(\text{Inf } (f ` A))$   
 ⟨proof⟩

**lemma** *ccSup-insert [simp]*:  $\text{countable } A \implies \text{Sup } (\text{insert } a A) = \text{sup } a (\text{Sup } A)$   
 ⟨proof⟩

**lemma** *ccSUP-insert [simp]*:  $\text{countable } A \implies (\text{SUP } x \in \text{insert } a A. f x) = \text{sup } (f a)$   
 $(\text{Sup } (f ` A))$   
 ⟨proof⟩

**lemma** *ccINF-empty [simp]*:  $(\text{INF } x \in \{\}. f x) = \text{top}$   
 ⟨proof⟩

**lemma** *ccSUP-empty [simp]*:  $(\text{SUP } x \in \{\}. f x) = \text{bot}$   
 ⟨proof⟩

**lemma** *ccInf-superset-mono*:  $\text{countable } A \implies B \subseteq A \implies \text{Inf } A \leq \text{Inf } B$   
 ⟨proof⟩

**lemma** *ccSup-subset-mono*:  $\text{countable } B \implies A \subseteq B \implies \text{Sup } A \leq \text{Sup } B$   
 ⟨proof⟩

**lemma** *ccInf-mono*:  
**assumes** *[intro]*:  $\text{countable } B \text{ countable } A$   
**assumes**  $\bigwedge b. b \in B \implies \exists a \in A. a \leq b$   
**shows**  $\text{Inf } A \leq \text{Inf } B$   
 ⟨proof⟩

**lemma** *ccINF-mono*:  
 $\text{countable } A \implies \text{countable } B \implies (\bigwedge m. m \in B \implies \exists n \in A. f n \leq g m) \implies (\text{INF } n \in A. f n) \leq (\text{INF } n \in B. g n)$   
 ⟨proof⟩

**lemma** *ccSup-mono*:  
**assumes** *[intro]*:  $\text{countable } B \text{ countable } A$   
**assumes**  $\bigwedge a. a \in A \implies \exists b \in B. a \leq b$   
**shows**  $\text{Sup } A \leq \text{Sup } B$   
 ⟨proof⟩

**lemma** *ccSUP-mono*:  
 $\text{countable } A \implies \text{countable } B \implies (\bigwedge n. n \in A \implies \exists m \in B. f n \leq g m) \implies (\text{SUP } n \in A. f n) \leq (\text{SUP } n \in B. g n)$

$$n \in A. f n \leq (SUP n \in B. g n)$$

⟨proof⟩

**lemma** *ccINF-superset-mono*:

$$\text{countable } A \implies B \subseteq A \implies (\bigwedge x. x \in B \implies f x \leq g x) \implies (INF x \in A. f x) \leq (INF x \in B. g x)$$

⟨proof⟩

**lemma** *ccSUP-subset-mono*:

$$\text{countable } B \implies A \subseteq B \implies (\bigwedge x. x \in A \implies f x \leq g x) \implies (SUP x \in A. f x) \leq (SUP x \in B. g x)$$

⟨proof⟩

**lemma** *less-eq-ccInf-inter*:  $\text{countable } A \implies \text{countable } B \implies \text{sup } (Inf A) (Inf B) \leq Inf (A \cap B)$

⟨proof⟩

**lemma** *ccSup-inter-less-eq*:  $\text{countable } A \implies \text{countable } B \implies \text{Sup } (A \cap B) \leq \text{inf } (Sup A) (Sup B)$

⟨proof⟩

**lemma** *ccInf-union-distrib*:  $\text{countable } A \implies \text{countable } B \implies Inf (A \cup B) = \text{inf } (Inf A) (Inf B)$

⟨proof⟩

**lemma** *ccINF-union*:

$$\text{countable } A \implies \text{countable } B \implies (INF i \in A \cup B. M i) = \text{inf } (INF i \in A. M i) (INF i \in B. M i)$$

⟨proof⟩

**lemma** *ccSup-union-distrib*:  $\text{countable } A \implies \text{countable } B \implies \text{Sup } (A \cup B) = \text{sup } (Sup A) (Sup B)$

⟨proof⟩

**lemma** *ccSUP-union*:

$$\text{countable } A \implies \text{countable } B \implies (SUP i \in A \cup B. M i) = \text{sup } (SUP i \in A. M i) (SUP i \in B. M i)$$

⟨proof⟩

**lemma** *ccINF-inf-distrib*:  $\text{countable } A \implies \text{inf } (INF a \in A. f a) (INF a \in A. g a) = (INF a \in A. \text{inf } (f a) (g a))$

⟨proof⟩

**lemma** *ccSUP-sup-distrib*:  $\text{countable } A \implies \text{sup } (SUP a \in A. f a) (SUP a \in A. g a) = (SUP a \in A. \text{sup } (f a) (g a))$

⟨proof⟩

**lemma** *ccINF-const* [simp]:  $A \neq \{\} \implies (INF i \in A. f) = f$

*<proof>*

**lemma** *ccSUP-const* [*simp*]:  $A \neq \{\}$   $\implies$   $(\text{SUP } i \in A. f) = f$   
*<proof>*

**lemma** *ccINF-top* [*simp*]:  $(\text{INF } x \in A. \text{top}) = \text{top}$   
*<proof>*

**lemma** *ccSUP-bot* [*simp*]:  $(\text{SUP } x \in A. \text{bot}) = \text{bot}$   
*<proof>*

**lemma** *ccINF-commute*: *countable*  $A \implies$  *countable*  $B \implies (\text{INF } i \in A. \text{INF } j \in B. f \ i \ j) = (\text{INF } j \in B. \text{INF } i \in A. f \ i \ j)$   
*<proof>*

**lemma** *ccSUP-commute*: *countable*  $A \implies$  *countable*  $B \implies (\text{SUP } i \in A. \text{SUP } j \in B. f \ i \ j) = (\text{SUP } j \in B. \text{SUP } i \in A. f \ i \ j)$   
*<proof>*

**end**

**context**

**fixes**  $a :: 'a::\{\text{countable-complete-lattice, linorder}\}$

**begin**

**lemma** *less-ccSup-iff*: *countable*  $S \implies a < \text{Sup } S \longleftrightarrow (\exists x \in S. a < x)$   
*<proof>*

**lemma** *less-ccSUP-iff*: *countable*  $A \implies a < (\text{SUP } i \in A. f \ i) \longleftrightarrow (\exists x \in A. a < f \ x)$   
*<proof>*

**lemma** *ccInf-less-iff*: *countable*  $S \implies \text{Inf } S < a \longleftrightarrow (\exists x \in S. x < a)$   
*<proof>*

**lemma** *ccINF-less-iff*: *countable*  $A \implies (\text{INF } i \in A. f \ i) < a \longleftrightarrow (\exists x \in A. f \ x < a)$   
*<proof>*

**end**

**class** *countable-complete-distrib-lattice* = *countable-complete-lattice* +

**assumes** *sup-ccInf*: *countable*  $B \implies \text{sup } a (\text{Inf } B) = (\text{INF } b \in B. \text{sup } a \ b)$

**assumes** *inf-ccSup*: *countable*  $B \implies \text{inf } a (\text{Sup } B) = (\text{SUP } b \in B. \text{inf } a \ b)$

**begin**

**lemma** *sup-ccINF*:

*countable*  $B \implies \text{sup } a (\text{INF } b \in B. f \ b) = (\text{INF } b \in B. \text{sup } a (f \ b))$

*<proof>*

**lemma** *inf-ccSUP*:

*countable*  $B \implies \inf a \ (SUP\ b \in B. f\ b) = (SUP\ b \in B. \inf a \ (f\ b))$   
 ⟨proof⟩

**subclass** *distrib-lattice*  
 ⟨proof⟩

**lemma** *ccInf-sup*:  
*countable*  $B \implies \sup \ (Inf\ B)\ a = (INF\ b \in B. \sup\ b\ a)$   
 ⟨proof⟩

**lemma** *ccSup-inf*:  
*countable*  $B \implies \inf \ (Sup\ B)\ a = (SUP\ b \in B. \inf\ b\ a)$   
 ⟨proof⟩

**lemma** *ccINF-sup*:  
*countable*  $B \implies \sup \ (INF\ b \in B. f\ b)\ a = (INF\ b \in B. \sup \ (f\ b)\ a)$   
 ⟨proof⟩

**lemma** *ccSUP-inf*:  
*countable*  $B \implies \inf \ (SUP\ b \in B. f\ b)\ a = (SUP\ b \in B. \inf \ (f\ b)\ a)$   
 ⟨proof⟩

**lemma** *ccINF-sup-distrib2*:  
*countable*  $A \implies \text{countable } B \implies \sup \ (INF\ a \in A. f\ a)\ (INF\ b \in B. g\ b) = (INF\ a \in A. INF\ b \in B. \sup \ (f\ a)\ (g\ b))$   
 ⟨proof⟩

**lemma** *ccSUP-inf-distrib2*:  
*countable*  $A \implies \text{countable } B \implies \inf \ (SUP\ a \in A. f\ a)\ (SUP\ b \in B. g\ b) = (SUP\ a \in A. SUP\ b \in B. \inf \ (f\ a)\ (g\ b))$   
 ⟨proof⟩

**context**  
**fixes**  $f :: 'a \Rightarrow 'b :: \text{countable-complete-lattice}$   
**assumes** *mono f*  
**begin**

**lemma** *mono-ccInf*:  
*countable*  $A \implies f \ (Inf\ A) \leq (INF\ x \in A. f\ x)$   
 ⟨proof⟩

**lemma** *mono-ccSup*:  
*countable*  $A \implies (SUP\ x \in A. f\ x) \leq f \ (Sup\ A)$   
 ⟨proof⟩

**lemma** *mono-ccINF*:  
*countable*  $I \implies f \ (INF\ i \in I. A\ i) \leq (INF\ x \in I. f \ (A\ x))$   
 ⟨proof⟩

```

lemma mono-ccSUP:
  countable I  $\implies$  (SUP x  $\in$  I. f (A x))  $\leq$  f (SUP i  $\in$  I. A i)
  <proof>

end

end

```

### 23.0.1 Instances of countable complete lattices

```

instance fun :: (type, countable-complete-lattice) countable-complete-lattice
  <proof>

subclass (in complete-lattice) countable-complete-lattice
  <proof>

subclass (in complete-distrib-lattice) countable-complete-distrib-lattice
  <proof>

end

```

## 24 Type of (at Most) Countable Sets

```

theory Countable-Set-Type
imports Countable-Set
begin

```

### 24.1 Cardinal stuff

```

context
  includes cardinal-syntax
begin

```

```

lemma countable-card-of-nat: countable A  $\longleftrightarrow$  |A|  $\leq_o$  |UNIV::nat set|
  <proof>

```

```

lemma countable-card-le-natLeq: countable A  $\longleftrightarrow$  |A|  $\leq_o$  natLeq
  <proof>

```

```

lemma countable-or-card-of:
assumes countable A
shows (finite A  $\wedge$  |A|  $<_o$  |UNIV::nat set|)  $\vee$ 
  (infinite A  $\wedge$  |A|  $=_o$  |UNIV::nat set|)
  <proof>

```

```

lemma countable-cases-card-of[elim]:
assumes countable A
obtains (Fin) finite A |A|  $<_o$  |UNIV::nat set|
  | (Inf) infinite A |A|  $=_o$  |UNIV::nat set|

```



⟨proof⟩

**lemma** *countable-or*:

*countable*  $A \implies (\exists f :: 'a \Rightarrow \text{nat}. \text{finite } A \wedge \text{inj-on } f A) \vee (\exists f :: 'a \Rightarrow \text{nat}. \text{infinite } A$

$\wedge \text{bij-betw } f A \text{ UNIV})$

⟨proof⟩

**lemma** *countable-cases*[*elim*]:

**assumes** *countable*  $A$

**obtains** (*Fin*)  $f :: 'a \Rightarrow \text{nat}$  **where** *finite*  $A$  *inj-on*  $f A$

| (*Inf*)  $f :: 'a \Rightarrow \text{nat}$  **where** *infinite*  $A$  *bij-betw*  $f A \text{ UNIV}$

⟨proof⟩

**lemma** *countable-ordLeq*:

**assumes**  $|A| \leq_o |B|$  **and** *countable*  $B$

**shows** *countable*  $A$

⟨proof⟩

**lemma** *countable-ordLess*:

**assumes**  $AB: |A| <_o |B|$  **and**  $B: \text{countable } B$

**shows** *countable*  $A$

⟨proof⟩

**end**

## 24.2 The type of countable sets

**typedef**  $'a \text{ cset} = \{A :: 'a \text{ set}. \text{countable } A\}$  **morphisms** *rcset* *acset*

⟨proof⟩

**setup-lifting** *type-definition-cset*

**declare**

*rcset-inverse*[*simp*]

*acset-inverse*[*Transfer.transferred*, *unfolded mem-Collect-eq*, *simp*]

*acset-inject*[*Transfer.transferred*, *unfolded mem-Collect-eq*, *simp*]

*rcset*[*Transfer.transferred*, *unfolded mem-Collect-eq*, *simp*]

**instantiation** *cset* :: (*type*) {*bounded-lattice-bot*, *distrib-lattice*, *minus*}

**begin**

**lift-definition** *bot-cset* ::  $'a \text{ cset}$  **is** {} **parametric** *empty-transfer* ⟨proof⟩

**lift-definition** *less-eq-cset* ::  $'a \text{ cset} \Rightarrow 'a \text{ cset} \Rightarrow \text{bool}$

**is** *subset-eq* **parametric** *subset-transfer* ⟨proof⟩

**definition** *less-cset* ::  $'a \text{ cset} \Rightarrow 'a \text{ cset} \Rightarrow \text{bool}$

**where**  $xs < ys \equiv xs \leq ys \wedge xs \neq (ys :: 'a \text{ cset})$

**lemma** *less-cset-transfer*[*transfer-rule*]:  
**includes** *lifting-syntax*  
**assumes** [*transfer-rule*]: *bi-unique A*  
**shows**  $((\text{pcr-cset } A) \implies (\text{pcr-cset } A) \implies (=))$  ( $\sqsubset$ ) ( $<$ )  
 $\langle \text{proof} \rangle$

**lift-definition** *sup-cset* ::  $'a \text{ cset} \Rightarrow 'a \text{ cset} \Rightarrow 'a \text{ cset}$   
**is** *union parametric union-transfer*  $\langle \text{proof} \rangle$

**lift-definition** *inf-cset* ::  $'a \text{ cset} \Rightarrow 'a \text{ cset} \Rightarrow 'a \text{ cset}$   
**is** *inter parametric inter-transfer*  $\langle \text{proof} \rangle$

**lift-definition** *minus-cset* ::  $'a \text{ cset} \Rightarrow 'a \text{ cset} \Rightarrow 'a \text{ cset}$   
**is** *minus parametric Diff-transfer*  $\langle \text{proof} \rangle$

**instance**  $\langle \text{proof} \rangle$

**end**

**abbreviation** *empty* ::  $'a \text{ cset}$  **where** *empty*  $\equiv \text{bot}$   
**abbreviation** *csubset-eq* ::  $'a \text{ cset} \Rightarrow 'a \text{ cset} \Rightarrow \text{bool}$  **where** *csubset-eq*  $xs \ ys \equiv xs \leq ys$   
**abbreviation** *csubset* ::  $'a \text{ cset} \Rightarrow 'a \text{ cset} \Rightarrow \text{bool}$  **where** *csubset*  $xs \ ys \equiv xs < ys$   
**abbreviation** *cUn* ::  $'a \text{ cset} \Rightarrow 'a \text{ cset} \Rightarrow 'a \text{ cset}$  **where** *cUn*  $xs \ ys \equiv \text{sup } xs \ ys$   
**abbreviation** *cInt* ::  $'a \text{ cset} \Rightarrow 'a \text{ cset} \Rightarrow 'a \text{ cset}$  **where** *cInt*  $xs \ ys \equiv \text{inf } xs \ ys$   
**abbreviation** *cDiff* ::  $'a \text{ cset} \Rightarrow 'a \text{ cset} \Rightarrow 'a \text{ cset}$  **where** *cDiff*  $xs \ ys \equiv \text{minus } xs \ ys$

**lift-definition** *cin* ::  $'a \Rightarrow 'a \text{ cset} \Rightarrow \text{bool}$  **is** ( $\in$ ) **parametric** *member-transfer*  
 $\langle \text{proof} \rangle$

**lift-definition** *cinsert* ::  $'a \Rightarrow 'a \text{ cset} \Rightarrow 'a \text{ cset}$  **is** *insert parametric Lifting-Set.insert-transfer*  
 $\langle \text{proof} \rangle$

**abbreviation** *csingle* ::  $'a \Rightarrow 'a \text{ cset}$  **where** *csingle*  $x \equiv \text{cinsert } x \ \text{empty}$

**lift-definition** *cimage* ::  $('a \Rightarrow 'b) \Rightarrow 'a \text{ cset} \Rightarrow 'b \text{ cset}$  **is** ( $\cdot$ ) **parametric** *image-transfer*  
 $\langle \text{proof} \rangle$

**lift-definition** *cBall* ::  $'a \text{ cset} \Rightarrow ('a \Rightarrow \text{bool}) \Rightarrow \text{bool}$  **is** *Ball parametric Ball-transfer*  
 $\langle \text{proof} \rangle$

**lift-definition** *cBex* ::  $'a \text{ cset} \Rightarrow ('a \Rightarrow \text{bool}) \Rightarrow \text{bool}$  **is** *Bex parametric Bex-transfer*  
 $\langle \text{proof} \rangle$

**lift-definition** *cUnion* ::  $'a \text{ cset } \text{cset} \Rightarrow 'a \text{ cset}$  **is** *Union parametric Union-transfer*  
 $\langle \text{proof} \rangle$

**abbreviation** (*input*) *cUNION* ::  $'a \text{ cset} \Rightarrow ('a \Rightarrow 'b \text{ cset}) \Rightarrow 'b \text{ cset}$   
**where** *cUNION*  $A \ f \equiv \text{cUnion } (\text{cimage } f \ A)$

**lemma** *Union-conv-UNION*:  $\bigcup A = \bigcup (\text{id } \cdot \ A)$   
 $\langle \text{proof} \rangle$

**lemmas** *cset-eqI = set-eqI*[*Transfer.transferred*]

**lemmas** *cset-eq-iff*[no-atp] = *set-eq-iff*[Transfer.transferred]  
**lemmas** *cBall*[intro!] = *ball*[Transfer.transferred]  
**lemmas** *cbspec*[dest?] = *bspec*[Transfer.transferred]  
**lemmas** *cBallE*[elim] = *ballE*[Transfer.transferred]  
**lemmas** *cBexI*[intro] = *bexI*[Transfer.transferred]  
**lemmas** *rev-cBexI*[intro?] = *rev-bexI*[Transfer.transferred]  
**lemmas** *cBexCI* = *bexCI*[Transfer.transferred]  
**lemmas** *cBexE*[elim!] = *bexE*[Transfer.transferred]  
**lemmas** *cBall-triv*[simp] = *ball-triv*[Transfer.transferred]  
**lemmas** *cBex-triv*[simp] = *bex-triv*[Transfer.transferred]  
**lemmas** *cBex-triv-one-point1*[simp] = *bex-triv-one-point1*[Transfer.transferred]  
**lemmas** *cBex-triv-one-point2*[simp] = *bex-triv-one-point2*[Transfer.transferred]  
**lemmas** *cBex-one-point1*[simp] = *bex-one-point1*[Transfer.transferred]  
**lemmas** *cBex-one-point2*[simp] = *bex-one-point2*[Transfer.transferred]  
**lemmas** *cBall-one-point1*[simp] = *ball-one-point1*[Transfer.transferred]  
**lemmas** *cBall-one-point2*[simp] = *ball-one-point2*[Transfer.transferred]  
**lemmas** *cBall-conj-distrib* = *ball-conj-distrib*[Transfer.transferred]  
**lemmas** *cBex-disj-distrib* = *bex-disj-distrib*[Transfer.transferred]  
**lemmas** *cBall-cong* = *ball-cong*[Transfer.transferred]  
**lemmas** *cBex-cong* = *bex-cong*[Transfer.transferred]  
**lemmas** *csubsetI*[intro!] = *subsetI*[Transfer.transferred]  
**lemmas** *csubsetD*[elim, intro?] = *subsetD*[Transfer.transferred]  
**lemmas** *rev-csubsetD*[no-atp, intro?] = *rev-subsetD*[Transfer.transferred]  
**lemmas** *csubsetCE*[no-atp, elim] = *subsetCE*[Transfer.transferred]  
**lemmas** *csubset-eq*[no-atp] = *subset-eq*[Transfer.transferred]  
**lemmas** *contra-csubsetD*[no-atp] = *contra-subsetD*[Transfer.transferred]  
**lemmas** *csubset-refl* = *subset-refl*[Transfer.transferred]  
**lemmas** *csubset-trans* = *subset-trans*[Transfer.transferred]  
**lemmas** *cset-rev-mp* = *rev-subsetD*[Transfer.transferred]  
**lemmas** *cset-mp* = *subsetD*[Transfer.transferred]  
**lemmas** *csubset-not-fsubset-eq*[code] = *subset-not-subset-eq*[Transfer.transferred]  
**lemmas** *eq-cmem-trans* = *eq-mem-trans*[Transfer.transferred]  
**lemmas** *csubset-antisym*[intro!] = *subset-antisym*[Transfer.transferred]  
**lemmas** *cequalityD1* = *equalityD1*[Transfer.transferred]  
**lemmas** *cequalityD2* = *equalityD2*[Transfer.transferred]  
**lemmas** *cequalityE* = *equalityE*[Transfer.transferred]  
**lemmas** *cequalityCE*[elim] = *equalityCE*[Transfer.transferred]  
**lemmas** *eqcset-imp-iff* = *eqset-imp-iff*[Transfer.transferred]  
**lemmas** *eqelem-imp-iff* = *equelem-imp-iff*[Transfer.transferred]  
**lemmas** *cempty-iff*[simp] = *empty-iff*[Transfer.transferred]  
**lemmas** *cempty-fsubsetI*[iff] = *empty-subsetI*[Transfer.transferred]  
**lemmas** *equals-cemptyI* = *equalsOI*[Transfer.transferred]  
**lemmas** *equals-cemptyD* = *equalsOD*[Transfer.transferred]  
**lemmas** *cBall-cempty*[simp] = *ball-empty*[Transfer.transferred]  
**lemmas** *cBex-cempty*[simp] = *bex-empty*[Transfer.transferred]  
**lemmas** *cInt-iff*[simp] = *Int-iff*[Transfer.transferred]  
**lemmas** *cIntI*[intro!] = *IntI*[Transfer.transferred]  
**lemmas** *cIntD1* = *IntD1*[Transfer.transferred]  
**lemmas** *cIntD2* = *IntD2*[Transfer.transferred]

**lemmas**  $cIntE[elim!] = IntE[Transfer.transferred]$   
**lemmas**  $cUn-iff[simp] = Un-iff[Transfer.transferred]$   
**lemmas**  $cUnI1[elim?] = UnI1[Transfer.transferred]$   
**lemmas**  $cUnI2[elim?] = UnI2[Transfer.transferred]$   
**lemmas**  $cUnCI[intro!] = UnCI[Transfer.transferred]$   
**lemmas**  $cuUnE[elim!] = UnE[Transfer.transferred]$   
**lemmas**  $cDiff-iff[simp] = Diff-iff[Transfer.transferred]$   
**lemmas**  $cDiffI[intro!] = DiffI[Transfer.transferred]$   
**lemmas**  $cDiffD1 = DiffD1[Transfer.transferred]$   
**lemmas**  $cDiffD2 = DiffD2[Transfer.transferred]$   
**lemmas**  $cDiffE[elim!] = DiffE[Transfer.transferred]$   
**lemmas**  $cinsert-iff[simp] = insert-iff[Transfer.transferred]$   
**lemmas**  $cinsertI1 = insertI1[Transfer.transferred]$   
**lemmas**  $cinsertI2 = insertI2[Transfer.transferred]$   
**lemmas**  $cinsertE[elim!] = insertE[Transfer.transferred]$   
**lemmas**  $cinsertCI[intro!] = insertCI[Transfer.transferred]$   
**lemmas**  $csubset-cinsert-iff = subset-insert-iff[Transfer.transferred]$   
**lemmas**  $cinsert-ident = insert-ident[Transfer.transferred]$   
**lemmas**  $csingletonI[intro!,no-atp] = singletonI[Transfer.transferred]$   
**lemmas**  $csingletonD[dest!,no-atp] = singletonD[Transfer.transferred]$   
**lemmas**  $fsingletonE = csingletonD [elim-format]$   
**lemmas**  $csingleton-iff = singleton-iff[Transfer.transferred]$   
**lemmas**  $csingleton-inject[dest!] = singleton-inject[Transfer.transferred]$   
**lemmas**  $csingleton-finsert-inj-eq[iff,no-atp] = singleton-insert-inj-eq[Transfer.transferred]$   
**lemmas**  $csingleton-finsert-inj-eq'[iff,no-atp] = singleton-insert-inj-eq'[Transfer.transferred]$   
**lemmas**  $csubset-csingletonD = subset-singletonD[Transfer.transferred]$   
**lemmas**  $cDiff-single-cinsert = Diff-single-insert[Transfer.transferred]$   
**lemmas**  $cdoubleton-eq-iff = doubleton-eq-iff[Transfer.transferred]$   
**lemmas**  $cUn-csingleton-iff = Un-singleton-iff[Transfer.transferred]$   
**lemmas**  $csingleton-cUn-iff = singleton-Un-iff[Transfer.transferred]$   
**lemmas**  $cimage-eqI[simp, intro] = image-eqI[Transfer.transferred]$   
**lemmas**  $cimageI = imageI[Transfer.transferred]$   
**lemmas**  $rev-cimage-eqI = rev-image-eqI[Transfer.transferred]$   
**lemmas**  $cimageE[elim!] = imageE[Transfer.transferred]$   
**lemmas**  $Compr-cimage-eq = Compr-image-eq[Transfer.transferred]$   
**lemmas**  $cimage-cUn = image-Un[Transfer.transferred]$   
**lemmas**  $cimage-iff = image-iff[Transfer.transferred]$   
**lemmas**  $cimage-csubset-iff[no-atp] = image-subset-iff[Transfer.transferred]$   
**lemmas**  $cimage-csubsetI = image-subsetI[Transfer.transferred]$   
**lemmas**  $cimage-ident[simp] = image-ident[Transfer.transferred]$   
**lemmas**  $if-split-cin1 = if-split-mem1[Transfer.transferred]$   
**lemmas**  $if-split-cin2 = if-split-mem2[Transfer.transferred]$   
**lemmas**  $cpsubsetI[intro!,no-atp] = psubsetI[Transfer.transferred]$   
**lemmas**  $cpsubsetE[elim!,no-atp] = psubsetE[Transfer.transferred]$   
**lemmas**  $cpsubset-finsert-iff = psubset-insert-iff[Transfer.transferred]$   
**lemmas**  $cpsubset-eq = psubset-eq[Transfer.transferred]$   
**lemmas**  $cpsubset-imp-fsubset = psubset-imp-subset[Transfer.transferred]$   
**lemmas**  $cpsubset-trans = psubset-trans[Transfer.transferred]$   
**lemmas**  $cpsubsetD = psubsetD[Transfer.transferred]$

**lemmas** *cpsubset-csubset-trans* = *psubset-subset-trans*[*Transfer.transferred*]  
**lemmas** *csubset-cpsubset-trans* = *subset-psubset-trans*[*Transfer.transferred*]  
**lemmas** *cpsubset-imp-ex-fmem* = *psubset-imp-ex-mem*[*Transfer.transferred*]  
**lemmas** *csubset-cinsertI* = *subset-insertI*[*Transfer.transferred*]  
**lemmas** *csubset-cinsertI2* = *subset-insertI2*[*Transfer.transferred*]  
**lemmas** *csubset-cinsert* = *subset-insert*[*Transfer.transferred*]  
**lemmas** *cUn-upper1* = *Un-upper1*[*Transfer.transferred*]  
**lemmas** *cUn-upper2* = *Un-upper2*[*Transfer.transferred*]  
**lemmas** *cUn-least* = *Un-least*[*Transfer.transferred*]  
**lemmas** *cInt-lower1* = *Int-lower1*[*Transfer.transferred*]  
**lemmas** *cInt-lower2* = *Int-lower2*[*Transfer.transferred*]  
**lemmas** *cInt-greatest* = *Int-greatest*[*Transfer.transferred*]  
**lemmas** *cDiff-csubset* = *Diff-subset*[*Transfer.transferred*]  
**lemmas** *cDiff-csubset-conv* = *Diff-subset-conv*[*Transfer.transferred*]  
**lemmas** *csubset-cempty[simp]* = *subset-empty*[*Transfer.transferred*]  
**lemmas** *not-cpsubset-cempty[iff]* = *not-psubset-empty*[*Transfer.transferred*]  
**lemmas** *cinsert-is-cUn* = *insert-is-Un*[*Transfer.transferred*]  
**lemmas** *cinsert-not-cempty[simp]* = *insert-not-empty*[*Transfer.transferred*]  
**lemmas** *cempty-not-cinsert* = *empty-not-insert*[*Transfer.transferred*]  
**lemmas** *cinsert-absorb* = *insert-absorb*[*Transfer.transferred*]  
**lemmas** *cinsert-absorb2[simp]* = *insert-absorb2*[*Transfer.transferred*]  
**lemmas** *cinsert-commute* = *insert-commute*[*Transfer.transferred*]  
**lemmas** *cinsert-csubset[simp]* = *insert-subset*[*Transfer.transferred*]  
**lemmas** *cinsert-cinter-cinsert[simp]* = *insert-inter-insert*[*Transfer.transferred*]  
**lemmas** *cinsert-disjoint[simp,no-atp]* = *insert-disjoint*[*Transfer.transferred*]  
**lemmas** *disjoint-cinsert[simp,no-atp]* = *disjoint-insert*[*Transfer.transferred*]  
**lemmas** *cimage-cempty[simp]* = *image-empty*[*Transfer.transferred*]  
**lemmas** *cimage-cinsert[simp]* = *image-insert*[*Transfer.transferred*]  
**lemmas** *cimage-constant* = *image-constant*[*Transfer.transferred*]  
**lemmas** *cimage-constant-conv* = *image-constant-conv*[*Transfer.transferred*]  
**lemmas** *cimage-cimage* = *image-image*[*Transfer.transferred*]  
**lemmas** *cinsert-cimage[simp]* = *insert-image*[*Transfer.transferred*]  
**lemmas** *cimage-is-cempty[iff]* = *image-is-empty*[*Transfer.transferred*]  
**lemmas** *cempty-is-cimage[iff]* = *empty-is-image*[*Transfer.transferred*]  
**lemmas** *cimage-cong* = *image-cong*[*Transfer.transferred*]  
**lemmas** *cimage-cInt-csubset* = *image-Int-subset*[*Transfer.transferred*]  
**lemmas** *cimage-cDiff-csubset* = *image-diff-subset*[*Transfer.transferred*]  
**lemmas** *cInt-absorb* = *Int-absorb*[*Transfer.transferred*]  
**lemmas** *cInt-left-absorb* = *Int-left-absorb*[*Transfer.transferred*]  
**lemmas** *cInt-commute* = *Int-commute*[*Transfer.transferred*]  
**lemmas** *cInt-left-commute* = *Int-left-commute*[*Transfer.transferred*]  
**lemmas** *cInt-assoc* = *Int-assoc*[*Transfer.transferred*]  
**lemmas** *cInt-ac* = *Int-ac*[*Transfer.transferred*]  
**lemmas** *cInt-absorb1* = *Int-absorb1*[*Transfer.transferred*]  
**lemmas** *cInt-absorb2* = *Int-absorb2*[*Transfer.transferred*]  
**lemmas** *cInt-cempty-left* = *Int-empty-left*[*Transfer.transferred*]  
**lemmas** *cInt-cempty-right* = *Int-empty-right*[*Transfer.transferred*]  
**lemmas** *disjoint-iff-cnot-equal* = *disjoint-iff-not-equal*[*Transfer.transferred*]  
**lemmas** *cInt-cUn-distrib* = *Int-Un-distrib*[*Transfer.transferred*]

**lemmas**  $cInt\text{-}cUn\text{-}distrib2 = Int\text{-}Un\text{-}distrib2[Transfer.transferred]$   
**lemmas**  $cInt\text{-}csubset\text{-}iff[no\text{-}atp, simp] = Int\text{-}subset\text{-}iff[Transfer.transferred]$   
**lemmas**  $cUn\text{-}absorb = Un\text{-}absorb[Transfer.transferred]$   
**lemmas**  $cUn\text{-}left\text{-}absorb = Un\text{-}left\text{-}absorb[Transfer.transferred]$   
**lemmas**  $cUn\text{-}commute = Un\text{-}commute[Transfer.transferred]$   
**lemmas**  $cUn\text{-}left\text{-}commute = Un\text{-}left\text{-}commute[Transfer.transferred]$   
**lemmas**  $cUn\text{-}assoc = Un\text{-}assoc[Transfer.transferred]$   
**lemmas**  $cUn\text{-}ac = Un\text{-}ac[Transfer.transferred]$   
**lemmas**  $cUn\text{-}absorb1 = Un\text{-}absorb1[Transfer.transferred]$   
**lemmas**  $cUn\text{-}absorb2 = Un\text{-}absorb2[Transfer.transferred]$   
**lemmas**  $cUn\text{-}empty\text{-}left = Un\text{-}empty\text{-}left[Transfer.transferred]$   
**lemmas**  $cUn\text{-}empty\text{-}right = Un\text{-}empty\text{-}right[Transfer.transferred]$   
**lemmas**  $cUn\text{-}cinsert\text{-}left[simp] = Un\text{-}insert\text{-}left[Transfer.transferred]$   
**lemmas**  $cUn\text{-}cinsert\text{-}right[simp] = Un\text{-}insert\text{-}right[Transfer.transferred]$   
**lemmas**  $cInt\text{-}cinsert\text{-}left = Int\text{-}insert\text{-}left[Transfer.transferred]$   
**lemmas**  $cInt\text{-}cinsert\text{-}left\text{-}if0[simp] = Int\text{-}insert\text{-}left\text{-}if0[Transfer.transferred]$   
**lemmas**  $cInt\text{-}cinsert\text{-}left\text{-}if1[simp] = Int\text{-}insert\text{-}left\text{-}if1[Transfer.transferred]$   
**lemmas**  $cInt\text{-}cinsert\text{-}right = Int\text{-}insert\text{-}right[Transfer.transferred]$   
**lemmas**  $cInt\text{-}cinsert\text{-}right\text{-}if0[simp] = Int\text{-}insert\text{-}right\text{-}if0[Transfer.transferred]$   
**lemmas**  $cInt\text{-}cinsert\text{-}right\text{-}if1[simp] = Int\text{-}insert\text{-}right\text{-}if1[Transfer.transferred]$   
**lemmas**  $cUn\text{-}cInt\text{-}distrib = Un\text{-}Int\text{-}distrib[Transfer.transferred]$   
**lemmas**  $cUn\text{-}cInt\text{-}distrib2 = Un\text{-}Int\text{-}distrib2[Transfer.transferred]$   
**lemmas**  $cUn\text{-}cInt\text{-}crazy = Un\text{-}Int\text{-}crazy[Transfer.transferred]$   
**lemmas**  $csubset\text{-}cUn\text{-}eq = subset\text{-}Un\text{-}eq[Transfer.transferred]$   
**lemmas**  $cUn\text{-}empty[iff] = Un\text{-}empty[Transfer.transferred]$   
**lemmas**  $cUn\text{-}csubset\text{-}iff[no\text{-}atp, simp] = Un\text{-}subset\text{-}iff[Transfer.transferred]$   
**lemmas**  $cUn\text{-}cDiff\text{-}cInt = Un\text{-}Diff\text{-}Int[Transfer.transferred]$   
**lemmas**  $cDiff\text{-}cInt2 = Diff\text{-}Int2[Transfer.transferred]$   
**lemmas**  $cUn\text{-}cInt\text{-}assoc\text{-}eq = Un\text{-}Int\text{-}assoc\text{-}eq[Transfer.transferred]$   
**lemmas**  $cBall\text{-}cUn = ball\text{-}Un[Transfer.transferred]$   
**lemmas**  $cBex\text{-}cUn = bex\text{-}Un[Transfer.transferred]$   
**lemmas**  $cDiff\text{-}eq\text{-}empty\text{-}iff[simp, no\text{-}atp] = Diff\text{-}eq\text{-}empty\text{-}iff[Transfer.transferred]$   
**lemmas**  $cDiff\text{-}cancel[simp] = Diff\text{-}cancel[Transfer.transferred]$   
**lemmas**  $cDiff\text{-}idemp[simp] = Diff\text{-}idemp[Transfer.transferred]$   
**lemmas**  $cDiff\text{-}triv = Diff\text{-}triv[Transfer.transferred]$   
**lemmas**  $empty\text{-}cDiff[simp] = empty\text{-}Diff[Transfer.transferred]$   
**lemmas**  $cDiff\text{-}empty[simp] = Diff\text{-}empty[Transfer.transferred]$   
**lemmas**  $cDiff\text{-}cinsert0[simp, no\text{-}atp] = Diff\text{-}insert0[Transfer.transferred]$   
**lemmas**  $cDiff\text{-}cinsert = Diff\text{-}insert[Transfer.transferred]$   
**lemmas**  $cDiff\text{-}cinsert2 = Diff\text{-}insert2[Transfer.transferred]$   
**lemmas**  $cinsert\text{-}cDiff\text{-}if = insert\text{-}Diff\text{-}if[Transfer.transferred]$   
**lemmas**  $cinsert\text{-}cDiff1[simp] = insert\text{-}Diff1[Transfer.transferred]$   
**lemmas**  $cinsert\text{-}cDiff\text{-}single[simp] = insert\text{-}Diff\text{-}single[Transfer.transferred]$   
**lemmas**  $cinsert\text{-}cDiff = insert\text{-}Diff[Transfer.transferred]$   
**lemmas**  $cDiff\text{-}cinsert\text{-}absorb = Diff\text{-}insert\text{-}absorb[Transfer.transferred]$   
**lemmas**  $cDiff\text{-}disjoint[simp] = Diff\text{-}disjoint[Transfer.transferred]$   
**lemmas**  $cDiff\text{-}partition = Diff\text{-}partition[Transfer.transferred]$   
**lemmas**  $double\text{-}cDiff = double\text{-}diff[Transfer.transferred]$   
**lemmas**  $cUn\text{-}cDiff\text{-}cancel[simp] = Un\text{-}Diff\text{-}cancel[Transfer.transferred]$

**lemmas**  $cUn\text{-}cDiff\text{-}cancel2[simp] = Un\text{-}Diff\text{-}cancel2[Transfer.transferred]$   
**lemmas**  $cDiff\text{-}cUn = Diff\text{-}Un[Transfer.transferred]$   
**lemmas**  $cDiff\text{-}cInt = Diff\text{-}Int[Transfer.transferred]$   
**lemmas**  $cUn\text{-}cDiff = Un\text{-}Diff[Transfer.transferred]$   
**lemmas**  $cInt\text{-}cDiff = Int\text{-}Diff[Transfer.transferred]$   
**lemmas**  $cDiff\text{-}cInt\text{-}distrib = Diff\text{-}Int\text{-}distrib[Transfer.transferred]$   
**lemmas**  $cDiff\text{-}cInt\text{-}distrib2 = Diff\text{-}Int\text{-}distrib2[Transfer.transferred]$   
**lemmas**  $cset\text{-}eq\text{-}csubset = set\text{-}eq\text{-}subset[Transfer.transferred]$   
**lemmas**  $csubset\text{-}iff[no\text{-}atp] = subset\text{-}iff[Transfer.transferred]$   
**lemmas**  $csubset\text{-}iff\text{-}pfssubset\text{-}eq = subset\text{-}iff\text{-}pfssubset\text{-}eq[Transfer.transferred]$   
**lemmas**  $all\text{-}not\text{-}cin\text{-}conv[simp] = all\text{-}not\text{-}in\text{-}conv[Transfer.transferred]$   
**lemmas**  $ex\text{-}cin\text{-}conv = ex\text{-}in\text{-}conv[Transfer.transferred]$   
**lemmas**  $cimage\text{-}mono = image\text{-}mono[Transfer.transferred]$   
**lemmas**  $cinsert\text{-}mono = insert\text{-}mono[Transfer.transferred]$   
**lemmas**  $cunion\text{-}mono = Un\text{-}mono[Transfer.transferred]$   
**lemmas**  $cinter\text{-}mono = Int\text{-}mono[Transfer.transferred]$   
**lemmas**  $cminus\text{-}mono = Diff\text{-}mono[Transfer.transferred]$   
**lemmas**  $cin\text{-}mono = in\text{-}mono[Transfer.transferred]$   
**lemmas**  $cLeast\text{-}mono = Least\text{-}mono[Transfer.transferred]$   
**lemmas**  $cequalityI = equalityI[Transfer.transferred]$   
**lemmas**  $cUN\text{-}iff[simp] = UN\text{-}iff[Transfer.transferred]$   
**lemmas**  $cUN\text{-}I[intro] = UN\text{-}I[Transfer.transferred]$   
**lemmas**  $cUN\text{-}E[elim!] = UN\text{-}E[Transfer.transferred]$   
**lemmas**  $cUN\text{-}upper = UN\text{-}upper[Transfer.transferred]$   
**lemmas**  $cUN\text{-}least = UN\text{-}least[Transfer.transferred]$   
**lemmas**  $cUN\text{-}cinsert\text{-}distrib = UN\text{-}insert\text{-}distrib[Transfer.transferred]$   
**lemmas**  $cUN\text{-}empty[simp] = UN\text{-}empty[Transfer.transferred]$   
**lemmas**  $cUN\text{-}empty2[simp] = UN\text{-}empty2[Transfer.transferred]$   
**lemmas**  $cUN\text{-}absorb = UN\text{-}absorb[Transfer.transferred]$   
**lemmas**  $cUN\text{-}cinsert[simp] = UN\text{-}insert[Transfer.transferred]$   
**lemmas**  $cUN\text{-}cUn[simp] = UN\text{-}Un[Transfer.transferred]$   
**lemmas**  $cUN\text{-}cUN\text{-}flatten = UN\text{-}UN\text{-}flatten[Transfer.transferred]$   
**lemmas**  $cUN\text{-}csubset\text{-}iff = UN\text{-}subset\text{-}iff[Transfer.transferred]$   
**lemmas**  $cUN\text{-}constant[simp] = UN\text{-}constant[Transfer.transferred]$   
**lemmas**  $cimage\text{-}cUnion = image\text{-}Union[Transfer.transferred]$   
**lemmas**  $cUNION\text{-}cempty\text{-}conv[simp] = UNION\text{-}empty\text{-}conv[Transfer.transferred]$   
**lemmas**  $cBall\text{-}cUN = ball\text{-}UN[Transfer.transferred]$   
**lemmas**  $cBex\text{-}cUN = bex\text{-}UN[Transfer.transferred]$   
**lemmas**  $cUn\text{-}eq\text{-}cUN = Un\text{-}eq\text{-}UN[Transfer.transferred]$   
**lemmas**  $cUN\text{-}mono = UN\text{-}mono[Transfer.transferred]$   
**lemmas**  $cimage\text{-}cUN = image\text{-}UN[Transfer.transferred]$   
**lemmas**  $cUN\text{-}csingleton[simp] = UN\text{-}singleton[Transfer.transferred]$

## 24.3 Additional lemmas

### 24.3.1 *cempty*

**lemma**  $cemptyE[elim!]$ :  $cin\ a\ cempty \implies P$  *<proof>*

**24.3.2** *cinsert*

**lemma** *countable-insert-iff*:  $\text{countable } (\text{insert } x \ A) \longleftrightarrow \text{countable } A$   
 ⟨proof⟩

**lemma** *set-cinsert*:

**assumes**  $\text{cin } x \ A$

**obtains**  $B$  **where**  $A = \text{cinsert } x \ B$  **and**  $\neg \text{cin } x \ B$

⟨proof⟩

**lemma** *mk-disjoint-cinsert*:  $\text{cin } a \ A \implies \exists B. A = \text{cinsert } a \ B \wedge \neg \text{cin } a \ B$

⟨proof⟩

**24.3.3** *cimage*

**lemma** *subset-cimage-iff*:  $\text{csubset-eq } B \ (\text{cimage } f \ A) \longleftrightarrow (\exists AA. \text{csubset-eq } AA \ A \wedge B = \text{cimage } f \ AA)$

⟨proof⟩

**24.3.4** **bounded quantification**

**lemma** *cBex-simps* [*simp, no-atp*]:

$\bigwedge A \ P \ Q. \text{cBex } A \ (\lambda x. P \ x \wedge Q) = (\text{cBex } A \ P \wedge Q)$

$\bigwedge A \ P \ Q. \text{cBex } A \ (\lambda x. P \wedge Q \ x) = (P \wedge \text{cBex } A \ Q)$

$\bigwedge P. \text{cBex } \text{empty } P = \text{False}$

$\bigwedge a \ B \ P. \text{cBex } (\text{cinsert } a \ B) \ P = (P \ a \vee \text{cBex } B \ P)$

$\bigwedge A \ P \ f. \text{cBex } (\text{cimage } f \ A) \ P = \text{cBex } A \ (\lambda x. P \ (f \ x))$

$\bigwedge A \ P. (\neg \text{cBex } A \ P) = \text{cBall } A \ (\lambda x. \neg P \ x)$

⟨proof⟩

**lemma** *cBall-simps* [*simp, no-atp*]:

$\bigwedge A \ P \ Q. \text{cBall } A \ (\lambda x. P \ x \vee Q) = (\text{cBall } A \ P \vee Q)$

$\bigwedge A \ P \ Q. \text{cBall } A \ (\lambda x. P \vee Q \ x) = (P \vee \text{cBall } A \ Q)$

$\bigwedge A \ P \ Q. \text{cBall } A \ (\lambda x. P \longrightarrow Q \ x) = (P \longrightarrow \text{cBall } A \ Q)$

$\bigwedge A \ P \ Q. \text{cBall } A \ (\lambda x. P \ x \longrightarrow Q) = (\text{cBex } A \ P \longrightarrow Q)$

$\bigwedge P. \text{cBall } \text{empty } P = \text{True}$

$\bigwedge a \ B \ P. \text{cBall } (\text{cinsert } a \ B) \ P = (P \ a \wedge \text{cBall } B \ P)$

$\bigwedge A \ P \ f. \text{cBall } (\text{cimage } f \ A) \ P = \text{cBall } A \ (\lambda x. P \ (f \ x))$

$\bigwedge A \ P. (\neg \text{cBall } A \ P) = \text{cBex } A \ (\lambda x. \neg P \ x)$

⟨proof⟩

**lemma** *atomize-cBall*:

$(\bigwedge x. \text{cin } x \ A \implies P \ x) \implies \text{Trueprop } (\text{cBall } A \ (\lambda x. P \ x))$

⟨proof⟩

**24.3.5** *cUnion*

**lemma** *cUNION-cimage*:  $\text{cUNION } (\text{cimage } f \ A) \ g = \text{cUNION } A \ (g \circ f)$

⟨proof⟩



## 24.4 Setup for Lifting/Transfer

### 24.4.1 Relator and predicator properties

**lift-definition**  $rel\text{-}cset :: ('a \Rightarrow 'b \Rightarrow bool) \Rightarrow 'a\ cset \Rightarrow 'b\ cset \Rightarrow bool$   
 is *rel-set parametric rel-set-transfer*  $\langle proof \rangle$

**lemma** *rel-cset-alt-def*:

$rel\text{-}cset\ R\ a\ b \iff$   
 $(\forall t \in rcset\ a. \exists u \in rcset\ b. R\ t\ u) \wedge$   
 $(\forall t \in rcset\ b. \exists u \in rcset\ a. R\ u\ t)$   
 $\langle proof \rangle$

**lemma** *rel-cset-iff*:

$rel\text{-}cset\ R\ a\ b \iff$   
 $(\forall t. cin\ t\ a \longrightarrow (\exists u. cin\ u\ b \wedge R\ t\ u)) \wedge$   
 $(\forall t. cin\ t\ b \longrightarrow (\exists u. cin\ u\ a \wedge R\ u\ t))$   
 $\langle proof \rangle$

**lemma** *rel-cset-cUNION*:

$\llbracket rel\text{-}cset\ Q\ A\ B; rel\text{-}fun\ Q\ (rel\text{-}cset\ R)\ f\ g \rrbracket$   
 $\implies rel\text{-}cset\ R\ (cUnion\ (cimage\ f\ A))\ (cUnion\ (cimage\ g\ B))$   
 $\langle proof \rangle$

**lemma** *rel-cset-csingle-iff* [*simp*]:  $rel\text{-}cset\ R\ (csingle\ x)\ (csingle\ y) \iff R\ x\ y$   
 $\langle proof \rangle$

### 24.4.2 Transfer rules for the Transfer package

Unconditional transfer rules

**context includes** *lifting-syntax*

**begin**

**lemmas** *empty-parametric* [*transfer-rule*] = *empty-transfer*[*Transfer.transferred*]

**lemma** *cinsert-parametric* [*transfer-rule*]:

$(A\ ==>\ rel\text{-}cset\ A\ ==>\ rel\text{-}cset\ A)\ cinsert\ cinsert$   
 $\langle proof \rangle$

**lemma** *cUn-parametric* [*transfer-rule*]:

$(rel\text{-}cset\ A\ ==>\ rel\text{-}cset\ A\ ==>\ rel\text{-}cset\ A)\ cUn\ cUn$   
 $\langle proof \rangle$

**lemma** *cUnion-parametric* [*transfer-rule*]:

$(rel\text{-}cset\ (rel\text{-}cset\ A)\ ==>\ rel\text{-}cset\ A)\ cUnion\ cUnion$   
 $\langle proof \rangle$

**lemma** *cimage-parametric* [*transfer-rule*]:

$((A\ ==>\ B)\ ==>\ rel\text{-}cset\ A\ ==>\ rel\text{-}cset\ B)\ cimage\ cimage$   
 $\langle proof \rangle$

**lemma** *cBall-parametric* [*transfer-rule*]:  
 $(rel\text{-}cset\ A\ ==\>\ (A\ ==\>\ (=))\ ==\>\ (=))\ cBall\ cBall$   
 ⟨*proof*⟩

**lemma** *cBex-parametric* [*transfer-rule*]:  
 $(rel\text{-}cset\ A\ ==\>\ (A\ ==\>\ (=))\ ==\>\ (=))\ cBex\ cBex$   
 ⟨*proof*⟩

**lemma** *rel-cset-parametric* [*transfer-rule*]:  
 $((A\ ==\>\ B\ ==\>\ (=))\ ==\>\ rel\text{-}cset\ A\ ==\>\ rel\text{-}cset\ B\ ==\>\ (=))$   
 $rel\text{-}cset\ rel\text{-}cset$   
 ⟨*proof*⟩

Rules requiring bi-unique, bi-total or right-total relations

**lemma** *cin-parametric* [*transfer-rule*]:  
 $bi\text{-}unique\ A\ \Longrightarrow\ (A\ ==\>\ rel\text{-}cset\ A\ ==\>\ (=))\ cin\ cin$   
 ⟨*proof*⟩

**lemma** *cInt-parametric* [*transfer-rule*]:  
 $bi\text{-}unique\ A\ \Longrightarrow\ (rel\text{-}cset\ A\ ==\>\ rel\text{-}cset\ A\ ==\>\ rel\text{-}cset\ A)\ cInt\ cInt$   
 ⟨*proof*⟩

**lemma** *cDiff-parametric* [*transfer-rule*]:  
 $bi\text{-}unique\ A\ \Longrightarrow\ (rel\text{-}cset\ A\ ==\>\ rel\text{-}cset\ A\ ==\>\ rel\text{-}cset\ A)\ cDiff\ cDiff$   
 ⟨*proof*⟩

**lemma** *csubset-parametric* [*transfer-rule*]:  
 $bi\text{-}unique\ A\ \Longrightarrow\ (rel\text{-}cset\ A\ ==\>\ rel\text{-}cset\ A\ ==\>\ (=))\ csubset\text{-}eq\ csubset\text{-}eq$   
 ⟨*proof*⟩

**end**

**lifting-update** *cset.lifting*

**lifting-forget** *cset.lifting*

## 24.5 Registration as BNF

**context**

**includes** *cardinal-syntax*

**begin**

**lemma** *card-of-countable-sets-range*:

**fixes**  $A :: 'a\ set$

**shows**  $|\{X. X \subseteq A \wedge countable\ X \wedge X \neq \{\}\}| \leq_o |\{f::nat \Rightarrow 'a. range\ f \subseteq A\}|$

⟨*proof*⟩

**lemma** *card-of-countable-sets-Func*:

$|\{X. X \subseteq A \wedge countable\ X \wedge X \neq \{\}\}| \leq_o |A| \hat{\ }_c\ natLeq$

⟨*proof*⟩

**lemma** *ordLeq-countable-subsets*:  
 $|A| \leq o |\{X. X \subseteq A \wedge \text{countable } X\}|$   
 ⟨proof⟩

**end**

**lemma** *finite-countable-subset*:  
 $\text{finite } \{X. X \subseteq A \wedge \text{countable } X\} \longleftrightarrow \text{finite } A$   
 ⟨proof⟩

**lemma** *rcset-to-rcset*:  $\text{countable } A \implies \text{rcset } (\text{the-inv rcset } A) = A$   
**including** *cset.lifting*  
 ⟨proof⟩

**lemma** *Collect-Int-Times*:  $\{(x, y). R x y\} \cap A \times B = \{(x, y). R x y \wedge x \in A \wedge y \in B\}$   
 ⟨proof⟩

**lemma** *rel-cset-aux*:  
 $(\forall t \in \text{rcset } a. \exists u \in \text{rcset } b. R t u) \wedge (\forall t \in \text{rcset } b. \exists u \in \text{rcset } a. R u t) \longleftrightarrow$   
 $((\text{BNF-Def.Grp } \{x. \text{rcset } x \subseteq \{(a, b). R a b\}\} (\text{cimage fst}))^{-1-1} \text{ OO}$   
 $\text{BNF-Def.Grp } \{x. \text{rcset } x \subseteq \{(a, b). R a b\}\} (\text{cimage snd})) a b (\text{is ?L = ?R})$   
 ⟨proof⟩ **including** *cset.lifting*  
 ⟨proof⟩

**context**  
**includes** *cardinal-syntax*  
**begin**

**bnf** 'a cset  
 map: cimage  
 sets: rcset  
 bd: card-suc natLeq  
 wits: cempty  
 rel: rel-cset  
 ⟨proof⟩ **including** *cset.lifting* ⟨proof⟩ **including** *cset.lifting* ⟨proof⟩ **including**  
*cset.lifting* ⟨proof⟩

**end**

**end**

## 25 Debugging facilities for code generated towards Isabelle/ML

**theory** *Debug*

```

imports Main
begin

context
begin

qualified definition trace :: String.literal  $\Rightarrow$  unit where
  [simp]: trace s = ()

qualified definition tracing :: String.literal  $\Rightarrow$  'a  $\Rightarrow$  'a where
  [simp]: tracing s = id

lemma [code]:
  tracing s = (let u = trace s in id)
  <proof> definition flush :: 'a  $\Rightarrow$  unit where
    [simp]: flush x = ()

qualified definition flushing :: 'a  $\Rightarrow$  'b  $\Rightarrow$  'b where
  [simp]: flushing x = id

lemma [code, code-unfold]:
  flushing x = (let u = flush x in id)
  <proof> definition timing :: String.literal  $\Rightarrow$  ('a  $\Rightarrow$  'b)  $\Rightarrow$  'a  $\Rightarrow$  'b where
    [simp]: timing s f x = f x

end

code-printing
  constant Debug.trace  $\rightarrow$  (Eval) Output.tracing
| constant Debug.flush  $\rightarrow$  (Eval) Output.tracing/ (@{make'-string} -) — note
indirection via antiquotation
| constant Debug.timing  $\rightarrow$  (Eval) Timing.timeap'-msg

code-reserved Eval Output Timing

end

```

## 26 Sequence of Properties on Subsequences

```

theory Diagonal-Subsequence
imports Complex-Main
begin

locale subseqs =
  fixes P::nat $\Rightarrow$ (nat $\Rightarrow$ nat) $\Rightarrow$ bool
  assumes ex-subseq:  $\bigwedge n s. \text{strict-mono } (s::\text{nat}\Rightarrow\text{nat}) \implies \exists r'. \text{strict-mono } r' \wedge$ 
P n (s  $\circ$  r')
begin

```

**definition** *reduce* **where**  $\text{reduce } s \ n = (\text{SOME } r' :: \text{nat} \Rightarrow \text{nat}. \text{strict-mono } r' \wedge P \ n \ (s \circ r'))$

**lemma** *subseq-reduce*[*intro, simp*]:  
 $\text{strict-mono } s \Longrightarrow \text{strict-mono } (\text{reduce } s \ n)$   
*<proof>*

**lemma** *reduce-holds*:  
 $\text{strict-mono } s \Longrightarrow P \ n \ (s \circ \text{reduce } s \ n)$   
*<proof>*

**primrec** *seqseq* ::  $\text{nat} \Rightarrow \text{nat} \Rightarrow \text{nat}$  **where**  
 $\text{seqseq } 0 = \text{id}$   
 $|\ \text{seqseq } (\text{Suc } n) = \text{seqseq } n \circ \text{reduce } (\text{seqseq } n) \ n$

**lemma** *subseq-seqseq*[*intro, simp*]:  $\text{strict-mono } (\text{seqseq } n)$   
*<proof>*

**lemma** *seqseq-holds*:  
 $P \ n \ (\text{seqseq } (\text{Suc } n))$   
*<proof>*

**definition** *diagseq* ::  $\text{nat} \Rightarrow \text{nat}$  **where**  $\text{diagseq } i = \text{seqseq } i \ i$

**lemma** *diagseq-mono*:  $\text{diagseq } n < \text{diagseq } (\text{Suc } n)$   
*<proof>*

**lemma** *subseq-diagseq*:  $\text{strict-mono } \text{diagseq}$   
*<proof>*

**primrec** *fold-reduce* **where**  
 $\text{fold-reduce } n \ 0 = \text{id}$   
 $|\ \text{fold-reduce } n \ (\text{Suc } k) = \text{fold-reduce } n \ k \circ \text{reduce } (\text{seqseq } (n + k)) \ (n + k)$

**lemma** *subseq-fold-reduce*[*intro, simp*]:  $\text{strict-mono } (\text{fold-reduce } n \ k)$   
*<proof>*

**lemma** *ex-subseq-reduce-index*:  $\text{seqseq } (n + k) = \text{seqseq } n \circ \text{fold-reduce } n \ k$   
*<proof>*

**lemma** *seqseq-fold-reduce*:  $\text{seqseq } n = \text{fold-reduce } 0 \ n$   
*<proof>*

**lemma** *diagseq-fold-reduce*:  $\text{diagseq } n = \text{fold-reduce } 0 \ n \ n$   
*<proof>*

**lemma** *fold-reduce-add*:  $\text{fold-reduce } 0 \ (m + n) = \text{fold-reduce } 0 \ m \circ \text{fold-reduce } m \ n$   
*<proof>*

**lemma** *diagseq-add*:  $\text{diagseq } (k + n) = (\text{seqseq } k \circ (\text{fold-reduce } k \ n)) \ (k + n)$   
 ⟨proof⟩

**lemma** *diagseq-sub*:  
**assumes**  $m \leq n$  **shows**  $\text{diagseq } n = (\text{seqseq } m \circ (\text{fold-reduce } m \ (n - m))) \ n$   
 ⟨proof⟩

**lemma** *subseq-diagonal-rest*: *strict-mono*  $(\lambda x. \text{fold-reduce } k \ x \ (k + x))$   
 ⟨proof⟩

**lemma** *diagseq-seqseq*:  $\text{diagseq} \circ ((+) \ k) = (\text{seqseq } k \circ (\lambda x. \text{fold-reduce } k \ x \ (k + x)))$   
 ⟨proof⟩

**lemma** *diagseq-holds*:  
**assumes** *subseq-stable*:  $\bigwedge r \ s \ n. \text{strict-mono } r \implies P \ n \ s \implies P \ n \ (s \circ r)$   
**shows**  $P \ k \ (\text{diagseq} \circ ((+) \ (\text{Suc } k)))$   
 ⟨proof⟩

**end**

**end**

## 27 Common discrete functions

**theory** *Discrete*  
**imports** *Complex-Main*  
**begin**

### 27.1 Discrete logarithm

**context**  
**begin**

**qualified fun** *log* ::  $\text{nat} \Rightarrow \text{nat}$   
**where** [*simp del*]:  $\text{log } n = (\text{if } n < 2 \text{ then } 0 \text{ else } \text{Suc } (\text{log } (n \ \text{div } 2)))$

**lemma** *log-induct* [*consumes 1, case-names one double*]:  
**fixes**  $n :: \text{nat}$   
**assumes**  $n > 0$   
**assumes** *one*:  $P \ 1$   
**assumes** *double*:  $\bigwedge n. n \geq 2 \implies P \ (n \ \text{div } 2) \implies P \ n$   
**shows**  $P \ n$   
 ⟨proof⟩

**lemma** *log-zero* [*simp*]:  $\text{log } 0 = 0$   
 ⟨proof⟩

**lemma** *log-one* [*simp*]:  $\log 1 = 0$   
 ⟨*proof*⟩

**lemma** *log-Suc-zero* [*simp*]:  $\log (\text{Suc } 0) = 0$   
 ⟨*proof*⟩

**lemma** *log-rec*:  $n \geq 2 \implies \log n = \text{Suc } (\log (n \text{ div } 2))$   
 ⟨*proof*⟩

**lemma** *log-twice* [*simp*]:  $n \neq 0 \implies \log (2 * n) = \text{Suc } (\log n)$   
 ⟨*proof*⟩

**lemma** *log-half* [*simp*]:  $\log (n \text{ div } 2) = \log n - 1$   
 ⟨*proof*⟩

**lemma** *log-exp* [*simp*]:  $\log (2 \wedge n) = n$   
 ⟨*proof*⟩

**lemma** *log-mono*: *mono log*  
 ⟨*proof*⟩

**lemma** *log-exp2-le*:  
**assumes**  $n > 0$   
**shows**  $2 \wedge \log n \leq n$   
 ⟨*proof*⟩

**lemma** *log-exp2-gt*:  $2 * 2 \wedge \log n > n$   
 ⟨*proof*⟩

**lemma** *log-exp2-ge*:  $2 * 2 \wedge \log n \geq n$   
 ⟨*proof*⟩

**lemma** *log-le-iff*:  $m \leq n \implies \log m \leq \log n$   
 ⟨*proof*⟩

**lemma** *log-eqI*:  
**assumes**  $n > 0 \ 2 \wedge k \leq n \ n < 2 * 2 \wedge k$   
**shows**  $\log n = k$   
 ⟨*proof*⟩

**lemma** *log-altdef*:  $\log n = (\text{if } n = 0 \text{ then } 0 \text{ else } \text{nat } \lfloor \text{Transcendental.log } 2 \text{ (real-of-nat } n) \rfloor)$   
 ⟨*proof*⟩

## 27.2 Discrete square root

**qualified definition** *sqrt* ::  $\text{nat} \Rightarrow \text{nat}$   
**where**  $\text{sqrt } n = \text{Max } \{m. m^2 \leq n\}$

**lemma** *sqrt-aux*:

**fixes**  $n :: \text{nat}$   
**shows**  $\text{finite } \{m. m^2 \leq n\}$  **and**  $\{m. m^2 \leq n\} \neq \{\}$   
 $\langle \text{proof} \rangle$

**lemma** *sqrt-unique*:

**assumes**  $m^2 \leq n$   $n < (\text{Suc } m)^2$   
**shows**  $\text{Discrete.sqrt } n = m$   
 $\langle \text{proof} \rangle$

**lemma** *sqrt-code*[*code*]:  $\text{sqrt } n = \text{Max } (\text{Set.filter } (\lambda m. m^2 \leq n) \{0..n\})$   
 $\langle \text{proof} \rangle$

**lemma** *sqrt-inverse-power2* [*simp*]:  $\text{sqrt } (n^2) = n$   
 $\langle \text{proof} \rangle$

**lemma** *sqrt-zero* [*simp*]:  $\text{sqrt } 0 = 0$   
 $\langle \text{proof} \rangle$

**lemma** *sqrt-one* [*simp*]:  $\text{sqrt } 1 = 1$   
 $\langle \text{proof} \rangle$

**lemma** *mono-sqrt*: *mono sqrt*  
 $\langle \text{proof} \rangle$

**lemma** *mono-sqrt'*:  $m \leq n \implies \text{Discrete.sqrt } m \leq \text{Discrete.sqrt } n$   
 $\langle \text{proof} \rangle$

**lemma** *sqrt-greater-zero-iff* [*simp*]:  $\text{sqrt } n > 0 \iff n > 0$   
 $\langle \text{proof} \rangle$

**lemma** *sqrt-power2-le* [*simp*]:  $(\text{sqrt } n)^2 \leq n$   
 $\langle \text{proof} \rangle$

**lemma** *sqrt-le*:  $\text{sqrt } n \leq n$   
 $\langle \text{proof} \rangle$

Additional facts about the discrete square root, thanks to Julian Bien-darra, Manuel Eberl

**lemma** *Suc-sqrt-power2-gt*:  $n < (\text{Suc } (\text{Discrete.sqrt } n))^2$   
 $\langle \text{proof} \rangle$

**lemma** *le-sqrt-iff*:  $x \leq \text{Discrete.sqrt } y \iff x^2 \leq y$   
 $\langle \text{proof} \rangle$

**lemma** *le-sqrtI*:  $x^2 \leq y \implies x \leq \text{Discrete.sqrt } y$   
 $\langle \text{proof} \rangle$



**lemma** *sqrt-le-iff*:  $Discrete.sqrt\ y \leq x \longleftrightarrow (\forall z. z^2 \leq y \longrightarrow z \leq x)$   
 ⟨proof⟩

**lemma** *sqrt-leI*:  
 $(\bigwedge z. z^2 \leq y \implies z \leq x) \implies Discrete.sqrt\ y \leq x$   
 ⟨proof⟩

**lemma** *sqrt-Suc*:  
 $Discrete.sqrt\ (Suc\ n) = (if\ \exists m. Suc\ n = m^2\ then\ Suc\ (Discrete.sqrt\ n)\ else\ Discrete.sqrt\ n)$   
 ⟨proof⟩

**end**

**end**

## 28 Pi and Function Sets

**theory** *FuncSet*  
**imports** *Main*  
**abbrevs**  $PiE = Pi_E$   
**and**  $PIE = \Pi_E$   
**begin**

**definition** *Pi* ::  $'a\ set \Rightarrow ('a \Rightarrow 'b\ set) \Rightarrow ('a \Rightarrow 'b)\ set$   
**where**  $Pi\ A\ B = \{f. \forall x. x \in A \longrightarrow f\ x \in B\ x\}$

**definition** *extensional* ::  $'a\ set \Rightarrow ('a \Rightarrow 'b)\ set$   
**where**  $extensional\ A = \{f. \forall x. x \notin A \longrightarrow f\ x = undefined\}$

**definition** *restrict* ::  $('a \Rightarrow 'b) \Rightarrow 'a\ set \Rightarrow 'a \Rightarrow 'b$   
**where**  $restrict\ f\ A = (\lambda x. if\ x \in A\ then\ f\ x\ else\ undefined)$

**abbreviation** *funcset* ::  $'a\ set \Rightarrow 'b\ set \Rightarrow ('a \Rightarrow 'b)\ set$  (**infixr**  $\rightarrow 60$ )  
**where**  $A \rightarrow B \equiv Pi\ A\ (\lambda -. B)$

**syntax**

*-Pi* ::  $pttrn \Rightarrow 'a\ set \Rightarrow 'b\ set \Rightarrow ('a \Rightarrow 'b)\ set$  ( $(\exists \Pi\ -\in-./\ -)$  10)

*-lam* ::  $pttrn \Rightarrow 'a\ set \Rightarrow ('a \Rightarrow 'b) \Rightarrow ('a \Rightarrow 'b)$  ( $(\exists \lambda\ -\in-./\ -)$  [0,0,3] 3)

**translations**

$\Pi\ x \in A. B \equiv CONST\ Pi\ A\ (\lambda x. B)$

$\lambda x \in A. f \equiv CONST\ restrict\ (\lambda x. f)\ A$

**definition** *compose* ::  $'a\ set \Rightarrow ('b \Rightarrow 'c) \Rightarrow ('a \Rightarrow 'b) \Rightarrow ('a \Rightarrow 'c)$   
**where**  $compose\ A\ g\ f = (\lambda x \in A. g\ (f\ x))$

### 28.1 Basic Properties of Pi

**lemma** *Pi-I[intro!]*:  $(\bigwedge x. x \in A \implies f\ x \in B\ x) \implies f \in Pi\ A\ B$

*<proof>*

**lemma** *Pi-I'* [simp]:  $(\bigwedge x. x \in A \longrightarrow f x \in B x) \Longrightarrow f \in \text{Pi } A B$   
*<proof>*

**lemma** *funcsetI*:  $(\bigwedge x. x \in A \Longrightarrow f x \in B) \Longrightarrow f \in A \rightarrow B$   
*<proof>*

**lemma** *Pi-mem*:  $f \in \text{Pi } A B \Longrightarrow x \in A \Longrightarrow f x \in B x$   
*<proof>*

**lemma** *Pi-iff*:  $f \in \text{Pi } I X \longleftrightarrow (\forall i \in I. f i \in X i)$   
*<proof>*

**lemma** *PiE* [elim]:  $f \in \text{Pi } A B \Longrightarrow (f x \in B x \Longrightarrow Q) \Longrightarrow (x \notin A \Longrightarrow Q) \Longrightarrow Q$   
*<proof>*

**lemma** *Pi-cong*:  $(\bigwedge w. w \in A \Longrightarrow f w = g w) \Longrightarrow f \in \text{Pi } A B \longleftrightarrow g \in \text{Pi } A B$   
*<proof>*

**lemma** *funcset-id* [simp]:  $(\lambda x. x) \in A \rightarrow A$   
*<proof>*

**lemma** *funcset-mem*:  $f \in A \rightarrow B \Longrightarrow x \in A \Longrightarrow f x \in B$   
*<proof>*

**lemma** *funcset-image*:  $f \in A \rightarrow B \Longrightarrow f ' A \subseteq B$   
*<proof>*

**lemma** *image-subset-iff-funcset*:  $F ' A \subseteq B \longleftrightarrow F \in A \rightarrow B$   
*<proof>*

**lemma** *funcset-to-empty-iff*:  $A \rightarrow \{\} = (\text{if } A = \{\} \text{ then } \text{UNIV} \text{ else } \{\})$   
*<proof>*

**lemma** *Pi-eq-empty* [simp]:  $(\prod x \in A. B x) = \{\} \longleftrightarrow (\exists x \in A. B x = \{\})$   
*<proof>*

**lemma** *Pi-empty* [simp]:  $\text{Pi } \{\} B = \text{UNIV}$   
*<proof>*

**lemma** *Pi-Int*:  $\text{Pi } I E \cap \text{Pi } I F = (\prod i \in I. E i \cap F i)$   
*<proof>*

**lemma** *Pi-UN*:

**fixes**  $A :: \text{nat} \Rightarrow 'i \Rightarrow 'a \text{ set}$

**assumes** *finite I*

**and** *mono*:  $\bigwedge i n m. i \in I \Longrightarrow n \leq m \Longrightarrow A n i \subseteq A m i$

**shows**  $(\bigcup n. \text{Pi } I (A n)) = (\prod i \in I. \bigcup n. A n i)$

*<proof>*

**lemma** *Pi-UNIV* [*simp*]:  $A \rightarrow UNIV = UNIV$

*<proof>*

Covariance of Pi-sets in their second argument

**lemma** *Pi-mono*:  $(\bigwedge x. x \in A \implies B x \subseteq C x) \implies Pi A B \subseteq Pi A C$

*<proof>*

Contravariance of Pi-sets in their first argument

**lemma** *Pi-anti-mono*:  $A' \subseteq A \implies Pi A B \subseteq Pi A' B$

*<proof>*

**lemma** *prod-final*:

**assumes** 1:  $fst \circ f \in Pi A B$

**and** 2:  $snd \circ f \in Pi A C$

**shows**  $f \in (\Pi z \in A. B z \times C z)$

*<proof>*

**lemma** *Pi-split-domain*[*simp*]:  $x \in Pi (I \cup J) X \longleftrightarrow x \in Pi I X \wedge x \in Pi J X$

*<proof>*

**lemma** *Pi-split-insert-domain*[*simp*]:  $x \in Pi (insert i I) X \longleftrightarrow x \in Pi I X \wedge x i \in X i$

*<proof>*

**lemma** *Pi-cancel-fupd-range*[*simp*]:  $i \notin I \implies x \in Pi I (B(i := b)) \longleftrightarrow x \in Pi I B$

*<proof>*

**lemma** *Pi-cancel-fupd*[*simp*]:  $i \notin I \implies x(i := a) \in Pi I B \longleftrightarrow x \in Pi I B$

*<proof>*

**lemma** *Pi-fupd-iff*:  $i \in I \implies f \in Pi I (B(i := A)) \longleftrightarrow f \in Pi (I - \{i\}) B \wedge f i \in A$

*<proof>*

**lemma** *fst-Pi*:  $fst \in A \times B \rightarrow A$  **and** *snd-Pi*:  $snd \in A \times B \rightarrow B$

*<proof>*

## 28.2 Composition With a Restricted Domain: *compose*

**lemma** *funcset-compose*:  $f \in A \rightarrow B \implies g \in B \rightarrow C \implies compose A g f \in A \rightarrow C$

*<proof>*

**lemma** *compose-assoc*:

**assumes**  $f \in A \rightarrow B$

**shows**  $compose A h (compose A g f) = compose A (compose B h g) f$

*<proof>*

**lemma** *compose-eq*:  $x \in A \implies \text{compose } A \ g \ f \ x = g \ (f \ x)$   
 ⟨proof⟩

**lemma** *surj-compose*:  $f \text{ ‘ } A = B \implies g \text{ ‘ } B = C \implies \text{compose } A \ g \ f \text{ ‘ } A = C$   
 ⟨proof⟩

### 28.3 Bounded Abstraction: *restrict*

**lemma** *restrict-cong*:  $I = J \implies (\bigwedge i. i \in J = \text{simp} \implies f \ i = g \ i) \implies \text{restrict } f \ I = \text{restrict } g \ J$   
 ⟨proof⟩

**lemma** *restrictI[intro!]*:  $(\bigwedge x. x \in A \implies f \ x \in B \ x) \implies (\lambda x \in A. f \ x) \in \text{Pi } A \ B$   
 ⟨proof⟩

**lemma** *restrict-apply[simp]*:  $(\lambda y \in A. f \ y) \ x = (\text{if } x \in A \text{ then } f \ x \text{ else undefined})$   
 ⟨proof⟩

**lemma** *restrict-apply'*:  $x \in A \implies (\lambda y \in A. f \ y) \ x = f \ x$   
 ⟨proof⟩

**lemma** *restrict-ext*:  $(\bigwedge x. x \in A \implies f \ x = g \ x) \implies (\lambda x \in A. f \ x) = (\lambda x \in A. g \ x)$   
 ⟨proof⟩

**lemma** *restrict-UNIV*:  $\text{restrict } f \ \text{UNIV} = f$   
 ⟨proof⟩

**lemma** *inj-on-restrict-eq [simp]*:  $\text{inj-on } (\text{restrict } f \ A) \ A \longleftrightarrow \text{inj-on } f \ A$   
 ⟨proof⟩

**lemma** *inj-on-restrict-iff*:  $A \subseteq B \implies \text{inj-on } (\text{restrict } f \ B) \ A \longleftrightarrow \text{inj-on } f \ A$   
 ⟨proof⟩

**lemma** *Id-compose*:  $f \in A \rightarrow B \implies f \in \text{extensional } A \implies \text{compose } A \ (\lambda y \in B. y) \ f = f$   
 ⟨proof⟩

**lemma** *compose-Id*:  $g \in A \rightarrow B \implies g \in \text{extensional } A \implies \text{compose } A \ g \ (\lambda x \in A. x) = g$   
 ⟨proof⟩

**lemma** *image-restrict-eq [simp]*:  $(\text{restrict } f \ A) \text{ ‘ } A = f \text{ ‘ } A$   
 ⟨proof⟩

**lemma** *restrict-restrict[simp]*:  $\text{restrict } (\text{restrict } f \ A) \ B = \text{restrict } f \ (A \cap B)$   
 ⟨proof⟩

**lemma** *restrict-fupd[simp]*:  $i \notin I \implies \text{restrict } (f \ (i := x)) \ I = \text{restrict } f \ I$

*<proof>*

**lemma** *restrict-upd[simp]*:  $i \notin I \implies (\text{restrict } f \ I)(i := y) = \text{restrict } (f(i := y))$   
*(insert i I)*  
*<proof>*

**lemma** *restrict-Pi-cancel*:  $\text{restrict } x \ I \in \text{Pi } I \ A \longleftrightarrow x \in \text{Pi } I \ A$   
*<proof>*

**lemma** *sum-restrict' [simp]*:  $\text{sum}' (\lambda i \in I. g \ i) \ I = \text{sum}' (\lambda i. g \ i) \ I$   
*<proof>*

**lemma** *prod-restrict' [simp]*:  $\text{prod}' (\lambda i \in I. g \ i) \ I = \text{prod}' (\lambda i. g \ i) \ I$   
*<proof>*

## 28.4 Bijections Between Sets

The definition of *bij-betw* is in *Fun.thy*, but most of the theorems belong here, or need at least *Hilbert-Choice*.

**lemma** *bij-betwI*:  
**assumes**  $f \in A \rightarrow B$   
**and**  $g \in B \rightarrow A$   
**and**  $g \cdot f: \bigwedge x. x \in A \implies g (f \ x) = x$   
**and**  $f \cdot g: \bigwedge y. y \in B \implies f (g \ y) = y$   
**shows** *bij-betw*  $f \ A \ B$   
*<proof>*

**lemma** *bij-betw-imp-funcset*: *bij-betw*  $f \ A \ B \implies f \in A \rightarrow B$   
*<proof>*

**lemma** *inj-on-compose*: *bij-betw*  $f \ A \ B \implies \text{inj-on } g \ B \implies \text{inj-on } (\text{compose } A \ g \ f)$   
 $A$   
*<proof>*

**lemma** *bij-betw-compose*: *bij-betw*  $f \ A \ B \implies \text{bij-betw } g \ B \ C \implies \text{bij-betw } (\text{compose } A \ g \ f) \ A \ C$   
*<proof>*

**lemma** *bij-betw-restrict-eq [simp]*: *bij-betw*  $(\text{restrict } f \ A) \ A \ B = \text{bij-betw } f \ A \ B$   
*<proof>*

## 28.5 Extensionality

**lemma** *extensional-empty[simp]*: *extensional*  $\{\}$  =  $\{\lambda x. \text{undefined}\}$   
*<proof>*

**lemma** *extensional-arb*:  $f \in \text{extensional } A \implies x \notin A \implies f \ x = \text{undefined}$   
*<proof>*

**lemma** *restrict-extensional* [*simp*]:  $\text{restrict } f \ A \in \text{extensional } A$   
 ⟨*proof*⟩

**lemma** *compose-extensional* [*simp*]:  $\text{compose } A \ f \ g \in \text{extensional } A$   
 ⟨*proof*⟩

**lemma** *extensionalityI*:  
**assumes**  $f \in \text{extensional } A$   
**and**  $g \in \text{extensional } A$   
**and**  $\bigwedge x. x \in A \implies f \ x = g \ x$   
**shows**  $f = g$   
 ⟨*proof*⟩

**lemma** *extensional-restrict*:  $f \in \text{extensional } A \implies \text{restrict } f \ A = f$   
 ⟨*proof*⟩

**lemma** *extensional-subset*:  $f \in \text{extensional } A \implies A \subseteq B \implies f \in \text{extensional } B$   
 ⟨*proof*⟩

**lemma** *inv-into-funcset*:  $f \text{ ' } A = B \implies (\lambda x \in B. \text{inv-into } A \ f \ x) \in B \rightarrow A$   
 ⟨*proof*⟩

**lemma** *compose-inv-into-id*:  $\text{bij-betw } f \ A \ B \implies \text{compose } A \ (\lambda y \in B. \text{inv-into } A \ f \ y)$   
 $f = (\lambda x \in A. x)$   
 ⟨*proof*⟩

**lemma** *compose-id-inv-into*:  $f \text{ ' } A = B \implies \text{compose } B \ f \ (\lambda y \in B. \text{inv-into } A \ f \ y)$   
 $= (\lambda x \in B. x)$   
 ⟨*proof*⟩

**lemma** *extensional-insert*[*intro, simp*]:  
**assumes**  $a \in \text{extensional } (\text{insert } i \ I)$   
**shows**  $a(i := b) \in \text{extensional } (\text{insert } i \ I)$   
 ⟨*proof*⟩

**lemma** *extensional-Int*[*simp*]:  $\text{extensional } I \cap \text{extensional } I' = \text{extensional } (I \cap I')$   
 ⟨*proof*⟩

**lemma** *extensional-UNIV*[*simp*]:  $\text{extensional } UNIV = UNIV$   
 ⟨*proof*⟩

**lemma** *restrict-extensional-sub*[*intro*]:  $A \subseteq B \implies \text{restrict } f \ A \in \text{extensional } B$   
 ⟨*proof*⟩

**lemma** *extensional-insert-undefined*[*intro, simp*]:  
 $a \in \text{extensional } (\text{insert } i \ I) \implies a(i := \text{undefined}) \in \text{extensional } I$   
 ⟨*proof*⟩

**lemma** *extensional-insert-cancel*[*intro, simp*]:  
 $a \in \text{extensional } I \implies a \in \text{extensional } (\text{insert } i \ I)$   
 ⟨*proof*⟩

## 28.6 Cardinality

**lemma** *card-inj*:  $f \in A \rightarrow B \implies \text{inj-on } f \ A \implies \text{finite } B \implies \text{card } A \leq \text{card } B$   
 ⟨*proof*⟩

**lemma** *card-bij*:  
**assumes**  $f \in A \rightarrow B$  *inj-on*  $f \ A$   
**and**  $g \in B \rightarrow A$  *inj-on*  $g \ B$   
**and** *finite*  $A$  *finite*  $B$   
**shows**  $\text{card } A = \text{card } B$   
 ⟨*proof*⟩

## 28.7 Extensional Function Spaces

**definition**  $PiE :: 'a \ \text{set} \Rightarrow ('a \Rightarrow 'b \ \text{set}) \Rightarrow ('a \Rightarrow 'b) \ \text{set}$   
**where**  $PiE \ S \ T = Pi \ S \ T \cap \text{extensional } S$

**abbreviation**  $Pi_E \ A \ B \equiv PiE \ A \ B$

**syntax**

$-PiE :: p\text{trn} \Rightarrow 'a \ \text{set} \Rightarrow 'b \ \text{set} \Rightarrow ('a \Rightarrow 'b) \ \text{set} \ ((\exists \Pi_E \ -\in \ - / \ -) \ 10)$

**translations**

$\Pi_E \ x \in A. \ B \equiv \text{CONST } Pi_E \ A \ (\lambda x. \ B)$

**abbreviation** *extensional-funcset* ::  $'a \ \text{set} \Rightarrow 'b \ \text{set} \Rightarrow ('a \Rightarrow 'b) \ \text{set}$  (**infixr**  $\rightarrow_E$  60)

**where**  $A \rightarrow_E B \equiv (\Pi_E \ i \in A. \ B)$

**lemma** *extensional-funcset-def*:  $\text{extensional-funcset } S \ T = (S \rightarrow T) \cap \text{extensional } S$

⟨*proof*⟩

**lemma** *PiE-empty-domain*[*simp*]:  $Pi_E \ \{\} \ T = \{\lambda x. \ \text{undefined}\}$   
 ⟨*proof*⟩

**lemma** *PiE-UNIV-domain*:  $Pi_E \ \text{UNIV} \ T = Pi \ \text{UNIV} \ T$   
 ⟨*proof*⟩

**lemma** *PiE-empty-range*[*simp*]:  $i \in I \implies F \ i = \{\} \implies (\Pi_E \ i \in I. \ F \ i) = \{\}$   
 ⟨*proof*⟩

**lemma** *PiE-eq-empty-iff*:  $Pi_E \ I \ F = \{\} \longleftrightarrow (\exists \ i \in I. \ F \ i = \{\})$   
 ⟨*proof*⟩

**lemma** *PiE-arb*:  $f \in Pi_E \ S \ T \implies x \notin S \implies f \ x = \text{undefined}$   
 ⟨*proof*⟩

**lemma** *PiE-mem*:  $f \in Pi_E S T \implies x \in S \implies f x \in T$   
 ⟨proof⟩

**lemma** *PiE-fun-upd*:  $y \in T \implies f \in Pi_E S T \implies f(x := y) \in Pi_E (insert x S) T$   
 ⟨proof⟩

**lemma** *fun-upd-in-PiE*:  $x \notin S \implies f \in Pi_E (insert x S) T \implies f(x := undefined) \in Pi_E S T$   
 ⟨proof⟩

**lemma** *PiE-insert-eq*:  $Pi_E (insert x S) T = (\lambda(y, g). g(x := y)) ' (T x \times Pi_E S T)$   
 ⟨proof⟩

**lemma** *PiE-Int*:  $Pi_E I A \cap Pi_E I B = Pi_E I (\lambda x. A x \cap B x)$   
 ⟨proof⟩

**lemma** *PiE-cong*:  $(\bigwedge i. i \in I \implies A i = B i) \implies Pi_E I A = Pi_E I B$   
 ⟨proof⟩

**lemma** *PiE-E [elim]*:  
**assumes**  $f \in Pi_E A B$   
**obtains**  $x \in A$  **and**  $f x \in B$   
 |  $x \notin A$  **and**  $f x = undefined$   
 ⟨proof⟩

**lemma** *PiE-I[intro!]*:  
 $(\bigwedge x. x \in A \implies f x \in B) \implies (\bigwedge x. x \notin A \implies f x = undefined) \implies f \in Pi_E A B$   
 ⟨proof⟩

**lemma** *PiE-mono*:  $(\bigwedge x. x \in A \implies B x \subseteq C x) \implies Pi_E A B \subseteq Pi_E A C$   
 ⟨proof⟩

**lemma** *PiE-iff*:  $f \in Pi_E I X \iff (\forall i \in I. f i \in X i) \wedge f \in extensional I$   
 ⟨proof⟩

**lemma** *restrict-PiE-iff*:  $restrict f I \in Pi_E I X \iff (\forall i \in I. f i \in X i)$   
 ⟨proof⟩

**lemma** *ext-funcset-to-sing-iff [simp]*:  $A \rightarrow_E \{a\} = \{\lambda x \in A. a\}$   
 ⟨proof⟩

**lemma** *PiE-restrict[simp]*:  $f \in Pi_E A B \implies restrict f A = f$   
 ⟨proof⟩

**lemma** *restrict-PiE[simp]*:  $restrict f I \in Pi_E I S \iff f \in Pi I S$



*<proof>*

**lemma** *PiE-eq-subset:*

**assumes** *ne*:  $\bigwedge i. i \in I \implies F i \neq \{\}$   $\bigwedge i. i \in I \implies F' i \neq \{\}$

**and** *eq*:  $Pi_E I F = Pi_E I F'$

**and**  $i \in I$

**shows**  $F i \subseteq F' i$

*<proof>*

**lemma** *PiE-eq-iff-not-empty:*

**assumes** *ne*:  $\bigwedge i. i \in I \implies F i \neq \{\}$   $\bigwedge i. i \in I \implies F' i \neq \{\}$

**shows**  $Pi_E I F = Pi_E I F' \longleftrightarrow (\forall i \in I. F i = F' i)$

*<proof>*

**lemma** *PiE-eq-iff:*

$Pi_E I F = Pi_E I F' \longleftrightarrow (\forall i \in I. F i = F' i) \vee ((\exists i \in I. F i = \{\}) \wedge (\exists i \in I. F' i = \{\}))$

*<proof>*

**lemma** *extensional-funcset-fun-upd-restricts-rangeI:*

$\forall y \in S. f x \neq f y \implies f \in (\text{insert } x S) \rightarrow_E T \implies f(x := \text{undefined}) \in S \rightarrow_E (T - \{f x\})$

*<proof>*

**lemma** *extensional-funcset-fun-upd-extends-rangeI:*

**assumes**  $a \in T$   $f \in S \rightarrow_E (T - \{a\})$

**shows**  $f(x := a) \in \text{insert } x S \rightarrow_E T$

*<proof>*

**lemma** *subset-PiE:*

$Pi_E I S \subseteq Pi_E I T \longleftrightarrow Pi_E I S = \{\} \vee (\forall i \in I. S i \subseteq T i)$  (**is** ?lhs  $\longleftrightarrow$  -  $\vee$  ?rhs)

*<proof>*

**lemma** *PiE-eq:*

$Pi_E I S = Pi_E I T \longleftrightarrow Pi_E I S = \{\} \wedge Pi_E I T = \{\} \vee (\forall i \in I. S i = T i)$

*<proof>*

**lemma** *PiE-UNIV [simp]:*  $Pi_E UNIV (\lambda i. UNIV) = UNIV$

*<proof>*

**lemma** *image-projection-PiE:*

$(\lambda f. f i) ' (Pi_E I S) = (\text{if } Pi_E I S = \{\} \text{ then } \{\} \text{ else if } i \in I \text{ then } S i \text{ else } \{\text{undefined}\})$

*<proof>*

**lemma** *PiE-singleton:*

**assumes**  $f \in \text{extensional } A$

**shows**  $Pi_E A (\lambda x. \{f x\}) = \{f\}$

*<proof>*

**lemma** *PiE-eq-singleton*:  $(\prod_E i \in I. S\ i) = \{\lambda i \in I. f\ i\} \longleftrightarrow (\forall i \in I. S\ i = \{f\ i\})$   
*<proof>*

**lemma** *PiE-over-singleton-iff*:  $(\prod_E x \in \{a\}. B\ x) = (\bigcup b \in B\ a. \{\lambda x \in \{a\}. b\})$   
*<proof>*

**lemma** *all-PiE-elements*:

$(\forall z \in \text{PiE } I\ S. \forall i \in I. P\ i\ (z\ i)) \longleftrightarrow \text{PiE } I\ S = \{\} \vee (\forall i \in I. \forall x \in S\ i. P\ i\ x)$  (is ?lhs = ?rhs)  
*<proof>*

**lemma** *PiE-ext*:  $[\![x \in \text{PiE } k\ s; y \in \text{PiE } k\ s; \bigwedge i. i \in k \implies x\ i = y\ i]\!] \implies x = y$   
*<proof>*

### 28.7.1 Injective Extensional Function Spaces

**lemma** *extensional-funcset-fun-upd-inj-onI*:

**assumes**  $f \in S \rightarrow_E (T - \{a\})$

**and** *inj-on*  $f\ S$

**shows** *inj-on*  $(f(x := a))\ S$

*<proof>*

**lemma** *extensional-funcset-extend-domain-inj-on-eq*:

**assumes**  $x \notin S$

**shows**  $\{f. f \in (\text{insert } x\ S) \rightarrow_E T \wedge \text{inj-on } f\ (\text{insert } x\ S)\} =$

$(\lambda(y, g). g(x := y))\ \{ (y, g). y \in T \wedge g \in S \rightarrow_E (T - \{y\}) \wedge \text{inj-on } g\ S \}$

*<proof>*

**lemma** *extensional-funcset-extend-domain-inj-onI*:

**assumes**  $x \notin S$

**shows** *inj-on*  $(\lambda(y, g). g(x := y))\ \{ (y, g). y \in T \wedge g \in S \rightarrow_E (T - \{y\}) \wedge \text{inj-on } g\ S \}$

*<proof>*

### 28.7.2 Misc properties of functions, composition and restriction from HOL Light

**lemma** *function-factors-left-gen*:

$(\forall x\ y. P\ x \wedge P\ y \wedge g\ x = g\ y \longrightarrow f\ x = f\ y) \longleftrightarrow (\exists h. \forall x. P\ x \longrightarrow f\ x = h(g\ x))$

(is ?lhs = ?rhs)

*<proof>*

**lemma** *function-factors-left*:

$(\forall x\ y. (g\ x = g\ y) \longrightarrow (f\ x = f\ y)) \longleftrightarrow (\exists h. f = h \circ g)$

*<proof>*

**lemma** *function-factors-right-gen*:

$(\forall x. P\ x \longrightarrow (\exists y. g\ y = f\ x)) \longleftrightarrow (\exists h. \forall x. P\ x \longrightarrow f\ x = g(h\ x))$

*<proof>*

**lemma** *function-factors-right*:

$$(\forall x. \exists y. g y = f x) \longleftrightarrow (\exists h. f = g \circ h)$$

*<proof>*

**lemma** *restrict-compose-right*:

$$\text{restrict } (g \circ \text{restrict } f S) S = \text{restrict } (g \circ f) S$$

*<proof>*

**lemma** *restrict-compose-left*:

$$f ' S \subseteq T \implies \text{restrict } (\text{restrict } g T \circ f) S = \text{restrict } (g \circ f) S$$

*<proof>*

### 28.7.3 Cardinality

**lemma** *finite-PiE*:  $\text{finite } S \implies (\bigwedge i. i \in S \implies \text{finite } (T i)) \implies \text{finite } (\prod_{E} i \in S. T i)$

*<proof>*

**lemma** *inj-combinator*:  $x \notin S \implies \text{inj-on } (\lambda(y, g). g(x := y)) (T x \times \prod_{E} S T)$

*<proof>*

**lemma** *card-PiE*:  $\text{finite } S \implies \text{card } (\prod_{E} i \in S. T i) = (\prod_{i \in S} \text{card } (T i))$

*<proof>*

**lemma** *card-funcsetE*:  $\text{finite } A \implies \text{card } (A \rightarrow_E B) = \text{card } B \wedge \text{card } A$

*<proof>*

**lemma** *card-inj-on-subset-funcset*: **assumes** *finB*:  $\text{finite } B$

**and** *finC*:  $\text{finite } C$

**and** *AB*:  $A \subseteq B$

**shows**  $\text{card } \{f \in B \rightarrow_E C. \text{inj-on } f A\} =$

$$\text{card } C \wedge (\text{card } B - \text{card } A) * \text{prod } ((-) (\text{card } C)) \{0 ..< \text{card } A\}$$

*<proof>*

## 28.8 The pigeonhole principle

An alternative formulation of this is that for a function mapping a finite set  $A$  of cardinality  $m$  to a finite set  $B$  of cardinality  $n$ , there exists an element  $y \in B$  that is hit at least  $\lceil \frac{m}{n} \rceil$  times. However, since we do not have real numbers or rounding yet, we state it in the following equivalent form:

**lemma** *pigeonhole-card*:

**assumes**  $f \in A \rightarrow B$  *finite A* *finite B*  $B \neq \{\}$

**shows**  $\exists y \in B. \text{card } (f -' \{y\} \cap A) * \text{card } B \geq \text{card } A$

*<proof>*

**end**

## 29 Partitions and Disjoint Sets

```
theory Disjoint-Sets
  imports FuncSet
begin
```

```
lemma mono-imp-UN-eq-last: mono A  $\implies$   $(\bigcup_{i \leq n}. A\ i) = A\ n$ 
  <proof>
```

### 29.1 Set of Disjoint Sets

```
abbreviation disjoint :: 'a set set  $\implies$  bool where disjoint  $\equiv$  pairwise disjnt
```

```
lemma disjoint-def: disjoint A  $\iff$   $(\forall a \in A. \forall b \in A. a \neq b \implies a \cap b = \{\})$ 
  <proof>
```

```
lemma disjointI:
   $(\bigwedge a\ b. a \in A \implies b \in A \implies a \neq b \implies a \cap b = \{\}) \implies$  disjoint A
  <proof>
```

```
lemma disjointD:
  disjoint A  $\implies$   $a \in A \implies b \in A \implies a \neq b \implies a \cap b = \{\}$ 
  <proof>
```

```
lemma disjoint-image: inj-on f  $(\bigcup A) \implies$  disjoint A  $\implies$  disjoint  $(\cdot) f ' A$ 
  <proof>
```

```
lemma assumes disjoint (A  $\cup$  B)
  shows disjoint-unionD1: disjoint A and disjoint-unionD2: disjoint B
  <proof>
```

```
lemma disjoint-INT:
  assumes *:  $\bigwedge i. i \in I \implies$  disjoint (F i)
  shows disjoint  $\{\bigcap_{i \in I}. X\ i \mid X. \forall i \in I. X\ i \in F\ i\}$ 
  <proof>
```

```
lemma diff-Union-pairwise-disjoint:
  assumes pairwise disjnt  $\mathcal{A}\ \mathcal{B} \subseteq \mathcal{A}$ 
  shows  $\bigcup \mathcal{A} - \bigcup \mathcal{B} = \bigcup (\mathcal{A} - \mathcal{B})$ 
  <proof>
```

```
lemma Int-Union-pairwise-disjoint:
  assumes pairwise disjnt  $(\mathcal{A} \cup \mathcal{B})$ 
  shows  $\bigcup \mathcal{A} \cap \bigcup \mathcal{B} = \bigcup (\mathcal{A} \cap \mathcal{B})$ 
  <proof>
```

```
lemma psubset-Union-pairwise-disjoint:
  assumes  $\mathcal{B}$ : pairwise disjnt  $\mathcal{B}$  and  $\mathcal{A} \subseteq \mathcal{B} - \{\{\}$ 
  shows  $\bigcup \mathcal{A} \subseteq \bigcup \mathcal{B}$ 
  <proof>
```

### 29.1.1 Family of Disjoint Sets

**definition** *disjoint-family-on* :: ( $'i \Rightarrow 'a \text{ set} \Rightarrow 'i \text{ set} \Rightarrow \text{bool}$  **where**  
 $\text{disjoint-family-on } A \ S \iff (\forall m \in S. \forall n \in S. m \neq n \longrightarrow A \ m \cap A \ n = \{\})$ )

**abbreviation** *disjoint-family*  $A \equiv \text{disjoint-family-on } A \ \text{UNIV}$

**lemma** *disjoint-family-elim-disjnt*:  
**assumes** *infinite*  $A$  *finite*  $C$   
**and** *df*: *disjoint-family-on*  $B \ A$   
**obtains**  $x$  **where**  $x \in A \ \text{disjnt } C \ (B \ x)$   
*<proof>*

**lemma** *disjoint-family-onD*:  
 $\text{disjoint-family-on } A \ I \implies i \in I \implies j \in I \implies i \neq j \implies A \ i \cap A \ j = \{\}$   
*<proof>*

**lemma** *disjoint-family-subset*:  $\text{disjoint-family } A \implies (\bigwedge x. B \ x \subseteq A \ x) \implies \text{disjoint-family } B$   
*<proof>*

**lemma** *disjoint-family-on-insert*:  
 $i \notin I \implies \text{disjoint-family-on } A \ (\text{insert } i \ I) \iff A \ i \cap (\bigcup_{i \in I. A \ i} = \{\} \wedge \text{disjoint-family-on } A \ I$   
*<proof>*

**lemma** *disjoint-family-on-bisimulation*:  
**assumes** *disjoint-family-on*  $f \ S$   
**and**  $\bigwedge n \ m. n \in S \implies m \in S \implies n \neq m \implies f \ n \cap f \ m = \{\} \implies g \ n \cap g \ m = \{\}$   
**shows** *disjoint-family-on*  $g \ S$   
*<proof>*

**lemma** *disjoint-family-on-mono*:  
 $A \subseteq B \implies \text{disjoint-family-on } f \ B \implies \text{disjoint-family-on } f \ A$   
*<proof>*

**lemma** *disjoint-family-Suc*:  
 $(\bigwedge n. A \ n \subseteq A \ (\text{Suc } n)) \implies \text{disjoint-family } (\lambda i. A \ (\text{Suc } i) - A \ i)$   
*<proof>*

**lemma** *disjoint-family-on-disjoint-image*:  
 $\text{disjoint-family-on } A \ I \implies \text{disjoint } (A \ ' I)$   
*<proof>*

**lemma** *disjoint-family-on-vimageI*:  $\text{disjoint-family-on } F \ I \implies \text{disjoint-family-on } (\lambda i. f \ -' F \ i) \ I$   
*<proof>*

**lemma** *disjoint-image-disjoint-family-on*:

**assumes**  $d$ : *disjoint* ( $A \text{ ' } I$ ) **and**  $i$ : *inj-on*  $A \ I$   
**shows** *disjoint-family-on*  $A \ I$   
 $\langle$ *proof* $\rangle$

**lemma** *disjoint-family-on-iff-disjoint-image*:  
**assumes**  $\bigwedge i. i \in I \implies A \ i \neq \{\}$   
**shows** *disjoint-family-on*  $A \ I \iff$  *disjoint* ( $A \text{ ' } I$ )  $\wedge$  *inj-on*  $A \ I$   
 $\langle$ *proof* $\rangle$

**lemma** *card-UN-disjoint'*:  
**assumes** *disjoint-family-on*  $A \ I \wedge i. i \in I \implies$  *finite* ( $A \ i$ ) *finite*  $I$   
**shows**  $\text{card} (\bigcup_{i \in I}. A \ i) = (\sum_{i \in I}. \text{card} (A \ i))$   
 $\langle$ *proof* $\rangle$

**lemma** *disjoint-UN*:  
**assumes**  $F$ :  $\bigwedge i. i \in I \implies$  *disjoint* ( $F \ i$ ) **and**  $*$ : *disjoint-family-on* ( $\lambda i. \bigcup (F \ i)$ )  
 $I$   
**shows** *disjoint* ( $\bigcup_{i \in I}. F \ i$ )  
 $\langle$ *proof* $\rangle$

**lemma** *distinct-list-bind*:  
**assumes** *distinct*  $xs \wedge x. x \in \text{set } xs \implies$  *distinct* ( $f \ x$ )  
*disjoint-family-on* ( $\text{set} \circ f$ ) ( $\text{set } xs$ )  
**shows** *distinct* ( $\text{List.bind } xs \ f$ )  
 $\langle$ *proof* $\rangle$

**lemma** *bij-betw-UNION-disjoint*:  
**assumes**  $disj$ : *disjoint-family-on*  $A' \ I$   
**assumes**  $bij$ :  $\bigwedge i. i \in I \implies$  *bij-betw*  $f \ (A \ i) \ (A' \ i)$   
**shows** *bij-betw*  $f \ (\bigcup_{i \in I}. A \ i) \ (\bigcup_{i \in I}. A' \ i)$   
 $\langle$ *proof* $\rangle$

**lemma** *disjoint-union*: *disjoint*  $C \implies$  *disjoint*  $B \implies \bigcup C \cap \bigcup B = \{\} \implies$  *disjoint*  
 $(C \cup B)$   
 $\langle$ *proof* $\rangle$

Sum/product of the union of a finite disjoint family

**context** *comm-monoid-set*  
**begin**

**lemma** *UNION-disjoint-family*:  
**assumes** *finite*  $I$  **and**  $\forall i \in I. \text{finite} (A \ i)$   
**and** *disjoint-family-on*  $A \ I$   
**shows**  $F \ g \ (\bigcup (A \text{ ' } I)) = F \ (\lambda x. F \ g \ (A \ x)) \ I$   
 $\langle$ *proof* $\rangle$

**lemma** *Union-disjoint-sets*:  
**assumes**  $\forall A \in C. \text{finite } A$  **and** *disjoint*  $C$   
**shows**  $F \ g \ (\bigcup C) = (F \circ F) \ g \ C$

*<proof>*

**end**

The union of an infinite disjoint family of non-empty sets is infinite.

**lemma** *infinite-disjoint-family-imp-infinite-UNION*:

**assumes**  $\neg \text{finite } A \wedge x. x \in A \implies f x \neq \{\}$  *disjoint-family-on f A*

**shows**  $\neg \text{finite } (\bigcup (f ` A))$

*<proof>*

## 29.2 Construct Disjoint Sequences

**definition** *disjointed* ::  $(\text{nat} \Rightarrow 'a \text{ set}) \Rightarrow \text{nat} \Rightarrow 'a \text{ set}$  **where**

*disjointed A n = A n - ( $\bigcup i \in \{0..<n\}. A i$ )*

**lemma** *finite-UN-disjointed-eq*:  $(\bigcup i \in \{0..<n\}. \text{disjointed } A i) = (\bigcup i \in \{0..<n\}. A i)$

*<proof>*

**lemma** *UN-disjointed-eq*:  $(\bigcup i. \text{disjointed } A i) = (\bigcup i. A i)$

*<proof>*

**lemma** *less-disjoint-disjointed*:  $m < n \implies \text{disjointed } A m \cap \text{disjointed } A n = \{\}$

*<proof>*

**lemma** *disjoint-family-disjointed*: *disjoint-family (disjointed A)*

*<proof>*

**lemma** *disjointed-subset*:  $\text{disjointed } A n \subseteq A n$

*<proof>*

**lemma** *disjointed-0[simp]*:  $\text{disjointed } A 0 = A 0$

*<proof>*

**lemma** *disjointed-mono*:  $\text{mono } A \implies \text{disjointed } A (\text{Suc } n) = A (\text{Suc } n) - A n$

*<proof>*

## 29.3 Partitions

Partitions  $P$  of a set  $A$ . We explicitly disallow empty sets.

**definition** *partition-on* ::  $'a \text{ set} \Rightarrow 'a \text{ set set} \Rightarrow \text{bool}$

**where**

*partition-on A P  $\longleftrightarrow \bigcup P = A \wedge \text{disjoint } P \wedge \{\} \notin P$*

**lemma** *partition-onI*:

$\bigcup P = A \implies (\bigwedge p q. p \in P \implies q \in P \implies p \neq q \implies \text{disjnt } p q) \implies \{\} \notin P$   
 $\implies \text{partition-on } A P$

*<proof>*

**lemma** *partition-onD1*:  $\text{partition-on } A P \implies A = \bigcup P$   
 ⟨proof⟩

**lemma** *partition-onD2*:  $\text{partition-on } A P \implies \text{disjoint } P$   
 ⟨proof⟩

**lemma** *partition-onD3*:  $\text{partition-on } A P \implies \{\} \notin P$   
 ⟨proof⟩

## 29.4 Constructions of partitions

**lemma** *partition-on-empty*:  $\text{partition-on } \{\} P \iff P = \{\}$   
 ⟨proof⟩

**lemma** *partition-on-space*:  $A \neq \{\} \implies \text{partition-on } A \{A\}$   
 ⟨proof⟩

**lemma** *partition-on-singletons*:  $\text{partition-on } A ((\lambda x. \{x\}) \text{ ` } A)$   
 ⟨proof⟩

**lemma** *partition-on-transform*:

**assumes**  $P$ :  $\text{partition-on } A P$

**assumes**  $F$ -UN:  $\bigcup (F \text{ ` } P) = F (\bigcup P)$  **and**  $F$ -disjnt:  $\bigwedge p q. p \in P \implies q \in P \implies \text{disjnt } p q \implies \text{disjnt } (F p) (F q)$

**shows**  $\text{partition-on } (F A) (F \text{ ` } P - \{\{\}\})$

⟨proof⟩

**lemma** *partition-on-restrict*:  $\text{partition-on } A P \implies \text{partition-on } (B \cap A) ((\cap) B \text{ ` } P - \{\{\}\})$   
 ⟨proof⟩

**lemma** *partition-on-vimage*:  $\text{partition-on } A P \implies \text{partition-on } (f \text{ ` } A) ((\text{ ` } f \text{ ` } P - \{\{\}\})$   
 ⟨proof⟩

**lemma** *partition-on-inj-image*:

**assumes**  $P$ :  $\text{partition-on } A P$  **and**  $f$ :  $\text{inj-on } f A$

**shows**  $\text{partition-on } (f \text{ ` } A) ((\text{ ` } f \text{ ` } P - \{\{\}\})$

⟨proof⟩

**lemma** *partition-on-insert*:

**assumes**  $\text{disjnt } p (\bigcup P)$

**shows**  $\text{partition-on } A (\text{insert } p P) \iff \text{partition-on } (A - p) P \wedge p \subseteq A \wedge p \neq \{\}$

⟨proof⟩

## 29.5 Finiteness of partitions

**lemma** *finitely-many-partition-on*:

**assumes**  $\text{finite } A$

**shows**  $\text{finite } \{P. \text{partition-on } A P\}$



*<proof>*

**lemma** *finite-elements*:  $\text{finite } A \implies \text{partition-on } A \ P \implies \text{finite } P$   
*<proof>*

**lemma** *product-partition*:  
**assumes**  $\text{partition-on } A \ P$  **and**  $\bigwedge p. p \in P \implies \text{finite } p$   
**shows**  $\text{card } A = (\sum p \in P. \text{card } p)$   
*<proof>*

## 29.6 Equivalence of partitions and equivalence classes

**lemma** *partition-on-quotient*:  
**assumes**  $r: \text{equiv } A \ r$   
**shows**  $\text{partition-on } A \ (A // r)$   
*<proof>*

**lemma** *equiv-partition-on*:  
**assumes**  $P: \text{partition-on } A \ P$   
**shows**  $\text{equiv } A \ \{(x, y). \exists p \in P. x \in p \wedge y \in p\}$   
*<proof>*

**lemma** *partition-on-eq-quotient*:  
**assumes**  $P: \text{partition-on } A \ P$   
**shows**  $A // \{(x, y). \exists p \in P. x \in p \wedge y \in p\} = P$   
*<proof>*

**lemma** *partition-on-alt*:  $\text{partition-on } A \ P \longleftrightarrow (\exists r. \text{equiv } A \ r \wedge P = A // r)$   
*<proof>*

## 29.7 Refinement of partitions

**definition** *refines* :: 'a set  $\Rightarrow$  'a set set  $\Rightarrow$  'a set set  $\Rightarrow$  bool  
**where**  $\text{refines } A \ P \ Q \equiv$   
 $\text{partition-on } A \ P \wedge \text{partition-on } A \ Q \wedge (\forall X \in P. \exists Y \in Q. X \subseteq Y)$

**lemma** *refines-refl*:  $\text{partition-on } A \ P \implies \text{refines } A \ P \ P$   
*<proof>*

**lemma** *refines-asym1*:  
**assumes**  $\text{refines } A \ P \ Q \ \text{refines } A \ Q \ P$   
**shows**  $P \subseteq Q$   
*<proof>*

**lemma** *refines-asym*:  $[[\text{refines } A \ P \ Q; \text{refines } A \ Q \ P]] \implies P=Q$   
*<proof>*

**lemma** *refines-trans*:  $[[\text{refines } A \ P \ Q; \text{refines } A \ Q \ R]] \implies \text{refines } A \ P \ R$   
*<proof>*

**lemma** *refines-obtains-subset*:  
**assumes** *refines*  $A P Q$   $q \in Q$   
**shows** *partition-on*  $q \{p \in P. p \subseteq q\}$   
 ⟨*proof*⟩

## 29.8 The coarsest common refinement of a set of partitions

**definition** *common-refinement* :: 'a set set  $\Rightarrow$  'a set set  
**where** *common-refinement*  $\mathcal{P} \equiv (\bigcup f \in (\Pi_E P \in \mathcal{P}. P). \{\bigcap (f \text{ ' } \mathcal{P})\}) - \{\{\}\}$

With non-extensional function space

**lemma** *common-refinement*: *common-refinement*  $\mathcal{P} = (\bigcup f \in (\Pi P \in \mathcal{P}. P). \{\bigcap (f \text{ ' } \mathcal{P})\}) - \{\{\}\}$   
 (is ?lhs = ?rhs)  
 ⟨*proof*⟩

**lemma** *common-refinement-exists*:  $\llbracket X \in \text{common-refinement } \mathcal{P}; P \in \mathcal{P} \rrbracket \Longrightarrow \exists R \in \mathcal{P}. X \subseteq R$   
 ⟨*proof*⟩

**lemma** *Union-common-refinement*:  $\bigcup (\text{common-refinement } \mathcal{P}) = (\bigcap P \in \mathcal{P}. \bigcup P)$   
 ⟨*proof*⟩

**lemma** *partition-on-common-refinement*:  
**assumes**  $A: \bigwedge P. P \in \mathcal{P} \Longrightarrow \text{partition-on } A P$  **and**  $\mathcal{P} \neq \{\}$   
**shows** *partition-on*  $A (\text{common-refinement } \mathcal{P})$   
 ⟨*proof*⟩

**lemma** *refines-common-refinement*:  
**assumes**  $\bigwedge P. P \in \mathcal{P} \Longrightarrow \text{partition-on } A P$   $P \in \mathcal{P}$   
**shows** *refines*  $A (\text{common-refinement } \mathcal{P}) P$   
 ⟨*proof*⟩

The common refinement is itself refined by any other

**lemma** *common-refinement-coarsest*:  
**assumes**  $\bigwedge P. P \in \mathcal{P} \Longrightarrow \text{partition-on } A P$  *partition-on*  $A R$   $\bigwedge P. P \in \mathcal{P} \Longrightarrow \text{refines } A R P$   $\mathcal{P} \neq \{\}$   
**shows** *refines*  $A R (\text{common-refinement } \mathcal{P})$   
 ⟨*proof*⟩

**lemma** *finite-common-refinement*:  
**assumes** *finite*  $\mathcal{P}$   $\bigwedge P. P \in \mathcal{P} \Longrightarrow \text{finite } P$   
**shows** *finite*  $(\text{common-refinement } \mathcal{P})$   
 ⟨*proof*⟩

**lemma** *card-common-refinement*:  
**assumes** *finite*  $\mathcal{P}$   $\bigwedge P. P \in \mathcal{P} \Longrightarrow \text{finite } P$   
**shows** *card*  $(\text{common-refinement } \mathcal{P}) \leq (\prod P \in \mathcal{P}. \text{card } P)$   
 ⟨*proof*⟩

end

### 30 Type of finite sets defined as a subtype of sets

```
theory FSet
imports Main Countable
begin
```

#### 30.1 Definition of the type

```
typedef 'a fset = {A :: 'a set. finite A} morphisms fset Abs-fset
⟨proof⟩
```

```
setup-lifting type-definition-fset
```

#### 30.2 Basic operations and type class instantiations

```
instantiation fset :: (finite) finite
begin
instance ⟨proof⟩
end
```

```
instantiation fset :: (type) {bounded-lattice-bot, distrib-lattice, minus}
begin
```

```
lift-definition bot-fset :: 'a fset is {} parametric empty-transfer ⟨proof⟩
```

```
lift-definition less-eq-fset :: 'a fset ⇒ 'a fset ⇒ bool is subset-eq parametric
subset-transfer
⟨proof⟩
```

```
definition less-fset :: 'a fset ⇒ 'a fset ⇒ bool where xs < ys ≡ xs ≤ ys ∧ xs ≠
(ys::'a fset)
```

```
lemma less-fset-transfer[transfer-rule]:
includes lifting-syntax
assumes [transfer-rule]: bi-unique A
shows ((pcr-fset A) == => (pcr-fset A) == => (=)) (C) (<)
⟨proof⟩
```

```
lift-definition sup-fset :: 'a fset ⇒ 'a fset ⇒ 'a fset is union parametric union-transfer
⟨proof⟩
```

```
lift-definition inf-fset :: 'a fset ⇒ 'a fset ⇒ 'a fset is inter parametric inter-transfer
⟨proof⟩
```

**lift-definition** *minus-fset* :: 'a fset  $\Rightarrow$  'a fset  $\Rightarrow$  'a fset **is** *minus* **parametric**  
*Diff-transfer*

*<proof>*

**instance**

*<proof>*

**end**

**abbreviation** *fempty* :: 'a fset ( $\{\{\}\}$ ) **where**  $\{\{\}\} \equiv \text{bot}$

**abbreviation** *fsubset-eq* :: 'a fset  $\Rightarrow$  'a fset  $\Rightarrow$  bool (**infix**  $|\subseteq|$  50) **where**  $xs |\subseteq| ys \equiv xs \leq ys$

**abbreviation** *fsubset* :: 'a fset  $\Rightarrow$  'a fset  $\Rightarrow$  bool (**infix**  $|\subset|$  50) **where**  $xs |\subset| ys \equiv xs < ys$

**abbreviation** *funion* :: 'a fset  $\Rightarrow$  'a fset  $\Rightarrow$  'a fset (**infixl**  $|\cup|$  65) **where**  $xs |\cup| ys \equiv \text{sup } xs \text{ } ys$

**abbreviation** *finter* :: 'a fset  $\Rightarrow$  'a fset  $\Rightarrow$  'a fset (**infixl**  $|\cap|$  65) **where**  $xs |\cap| ys \equiv \text{inf } xs \text{ } ys$

**abbreviation** *fminus* :: 'a fset  $\Rightarrow$  'a fset  $\Rightarrow$  'a fset (**infixl**  $|-|$  65) **where**  $xs |-| ys \equiv \text{minus } xs \text{ } ys$

**instantiation** *fset* :: (*equal*) *equal*

**begin**

**definition** *HOL.equal*  $A \ B \longleftrightarrow A |\subseteq| B \wedge B |\subseteq| A$

**instance** *<proof>*

**end**

**instantiation** *fset* :: (*type*) *conditionally-complete-lattice*

**begin**

**context includes** *lifting-syntax*

**begin**

**lemma** *right-total-Inf-fset-transfer*:

**assumes** [*transfer-rule*]: *bi-unique*  $A$  **and** [*transfer-rule*]: *right-total*  $A$

**shows** (*rel-set* (*rel-set*  $A$ ))  $\implies$  *rel-set*  $A$

( $\lambda S.$  *if finite* ( $\bigcap S \cap \text{Collect } (\text{Domainp } A)$ ) *then*  $\bigcap S \cap \text{Collect } (\text{Domainp } A)$  *else*  $\{\}$ )

( $\lambda S.$  *if finite* (*Inf*  $S$ ) *then* *Inf*  $S$  *else*  $\{\}$ )

*<proof>*

**lemma** *Inf-fset-transfer*:

**assumes** [*transfer-rule*]: *bi-unique*  $A$  **and** [*transfer-rule*]: *bi-total*  $A$

**shows** (*rel-set* (*rel-set*  $A$ ))  $\implies$  *rel-set*  $A$  ( $\lambda A.$  *if finite* (*Inf*  $A$ ) *then* *Inf*  $A$  *else*  $\{\}$ )

( $\lambda A.$  *if finite* (*Inf*  $A$ ) *then* *Inf*  $A$  *else*  $\{\}$ )

*<proof>*

**lift-definition** *Inf-fset* :: 'a fset *set*  $\Rightarrow$  'a fset **is**  $\lambda A.$  *if finite* (*Inf*  $A$ ) *then* *Inf*  $A$

*else* {}

**parametric** *right-total-Inf-fset-transfer* *Inf-fset-transfer* ⟨*proof*⟩

**lemma** *Sup-fset-transfer*:

**assumes** [*transfer-rule*]: *bi-unique A*

**shows** (*rel-set (rel-set A) == => rel-set A*) ( $\lambda A.$  *if finite (Sup A) then Sup A else {}*)

( $\lambda A.$  *if finite (Sup A) then Sup A else {}*) ⟨*proof*⟩

**lift-definition** *Sup-fset* :: '*a fset set*  $\Rightarrow$  '*a fset is*  $\lambda A.$  *if finite (Sup A) then Sup A else {}*

**parametric** *Sup-fset-transfer* ⟨*proof*⟩

**lemma** *finite-Sup*:  $\exists z.$  *finite z*  $\wedge$  ( $\forall a.$  *a*  $\in X \longrightarrow a \leq z$ )  $\Longrightarrow$  *finite (Sup X)*  
⟨*proof*⟩

**lemma** *transfer-bdd-below*[*transfer-rule*]: (*rel-set (pcr-fset (=)) == => (=)*) *bdd-below bdd-below*  
⟨*proof*⟩

**end**

**instance**

⟨*proof*⟩

**end**

**instantiation** *fset* :: (*finite*) *complete-lattice*

**begin**

**lift-definition** *top-fset* :: '*a fset is* *UNIV* **parametric** *right-total-UNIV-transfer UNIV-transfer*

⟨*proof*⟩

**instance**

⟨*proof*⟩

**end**

**instantiation** *fset* :: (*finite*) *complete-boolean-algebra*

**begin**

**lift-definition** *uminus-fset* :: '*a fset*  $\Rightarrow$  '*a fset is* *uminus*

**parametric** *right-total-Compl-transfer Compl-transfer* ⟨*proof*⟩

**instance**

⟨*proof*⟩

**end**

**abbreviation** *fUNIV* :: '*a::finite fset* **where** *fUNIV*  $\equiv$  *top*

**abbreviation** *fminus* :: 'a::finite fset  $\Rightarrow$  'a fset (|-| - [81] 80) **where** |-| *x*  $\equiv$  *uminus x*

**declare** *top-fset.rep-eq*[simp]

### 30.3 Other operations

**lift-definition** *finsert* :: 'a  $\Rightarrow$  'a fset  $\Rightarrow$  'a fset **is insert parametric** *Lifting-Set.insert-transfer* *<proof>*

**syntax**

*-insert-fset* :: *args*  $\Rightarrow$  'a fset ( $\{|(-)|\}$ )

**translations**

$\{|x, xs|\} \equiv \text{CONST } finsert\ x\ \{|xs|\}$   
 $\{|x|\} \equiv \text{CONST } finsert\ x\ \{|\}$

**abbreviation** *fmember* :: 'a  $\Rightarrow$  'a fset  $\Rightarrow$  bool (**infix** | $\in$ | 50) **where**  
*x* | $\in$ | *X*  $\equiv x \in fset\ X$

**abbreviation** *not-fmember* :: 'a  $\Rightarrow$  'a fset  $\Rightarrow$  bool (**infix** | $\notin$ | 50) **where**  
*x* | $\notin$ | *X*  $\equiv x \notin fset\ X$

**context**

**begin**

**qualified abbreviation** *Ball* :: 'a fset  $\Rightarrow$  ('a  $\Rightarrow$  bool)  $\Rightarrow$  bool **where**  
*Ball X*  $\equiv \text{Set.Ball } (fset\ X)$

**alias** *fBall* = *FSet.Ball*

**qualified abbreviation** *Bex* :: 'a fset  $\Rightarrow$  ('a  $\Rightarrow$  bool)  $\Rightarrow$  bool **where**  
*Bex X*  $\equiv \text{Set.Bex } (fset\ X)$

**alias** *fBex* = *FSet.Bex*

**end**

**syntax** (*input*)

*-fBall* :: *pttrn*  $\Rightarrow$  'a fset  $\Rightarrow$  bool  $\Rightarrow$  bool (( $\exists!$  (-/|:-)/ -) [0, 0, 10] 10)  
*-fBex* :: *pttrn*  $\Rightarrow$  'a fset  $\Rightarrow$  bool  $\Rightarrow$  bool (( $\exists?$  (-/|:-)/ -) [0, 0, 10] 10)

**syntax**

*-fBall* :: *pttrn*  $\Rightarrow$  'a fset  $\Rightarrow$  bool  $\Rightarrow$  bool (( $\forall$  (-/| $\in$ |-)/ -) [0, 0, 10] 10)  
*-fBex* :: *pttrn*  $\Rightarrow$  'a fset  $\Rightarrow$  bool  $\Rightarrow$  bool (( $\exists$  (-/| $\in$ |-)/ -) [0, 0, 10] 10)

**translations**

$\forall x| \in A. P \equiv \text{CONST } FSet.Ball\ A\ (\lambda x. P)$   
 $\exists x| \in A. P \equiv \text{CONST } FSet.Bex\ A\ (\lambda x. P)$

⟨ML⟩

**context includes** *lifting-syntax*  
**begin**

**lemma** *fmember-transfer0*[*transfer-rule*]:  
  **assumes** [*transfer-rule*]: *bi-unique A*  
  **shows** ( $A \text{ ===> } \text{pcr-fset } A \text{ ===> } (=)$ ) ( $\in$ ) ( $|\in|$ )  
  ⟨*proof*⟩

**lemma** *fBall-transfer0*[*transfer-rule*]:  
  **assumes** [*transfer-rule*]: *bi-unique A*  
  **shows** ( $\text{pcr-fset } A \text{ ===> } (A \text{ ===> } (=)) \text{ ===> } (=)$ ) (*Ball*) (*fBall*)  
  ⟨*proof*⟩

**lemma** *fBex-transfer0*[*transfer-rule*]:  
  **assumes** [*transfer-rule*]: *bi-unique A*  
  **shows** ( $\text{pcr-fset } A \text{ ===> } (A \text{ ===> } (=)) \text{ ===> } (=)$ ) (*Bex*) (*fBex*)  
  ⟨*proof*⟩

**lift-definition** *ffilter* :: ( $'a \Rightarrow \text{bool}$ )  $\Rightarrow$   $'a \text{ fset} \Rightarrow 'a \text{ fset}$  **is** *Set.filter*  
  **parametric** *Lifting-Set.filter-transfer* ⟨*proof*⟩

**lift-definition** *fPow* ::  $'a \text{ fset} \Rightarrow 'a \text{ fset fset}$  **is** *Pow* **parametric** *Pow-transfer*  
  ⟨*proof*⟩

**lift-definition** *fcard* ::  $'a \text{ fset} \Rightarrow \text{nat}$  **is** *card* **parametric** *card-transfer* ⟨*proof*⟩

**lift-definition** *fimage* :: ( $'a \Rightarrow 'b$ )  $\Rightarrow$   $'a \text{ fset} \Rightarrow 'b \text{ fset}$  (**infixr**  $|\cdot|$  90) **is** *image*  
  **parametric** *image-transfer* ⟨*proof*⟩

**lift-definition** *fthe-elem* ::  $'a \text{ fset} \Rightarrow 'a$  **is** *the-elem* ⟨*proof*⟩

**lift-definition** *fbind* ::  $'a \text{ fset} \Rightarrow ('a \Rightarrow 'b \text{ fset}) \Rightarrow 'b \text{ fset}$  **is** *Set.bind* **parametric**  
  *bind-transfer*  
  ⟨*proof*⟩

**lift-definition** *ffUnion* ::  $'a \text{ fset fset} \Rightarrow 'a \text{ fset}$  **is** *Union* **parametric** *Union-transfer*  
  ⟨*proof*⟩

**lift-definition** *ffold* :: ( $'a \Rightarrow 'b \Rightarrow 'b$ )  $\Rightarrow$   $'b \Rightarrow 'a \text{ fset} \Rightarrow 'b$  **is** *Finite-Set.fold* ⟨*proof*⟩

**lift-definition** *fset-of-list* ::  $'a \text{ list} \Rightarrow 'a \text{ fset}$  **is** *set* ⟨*proof*⟩

**lift-definition** *sorted-list-of-fset* ::  $'a::\text{linorder} \text{ fset} \Rightarrow 'a \text{ list}$  **is** *sorted-list-of-set*  
  ⟨*proof*⟩

### 30.4 Transferred lemmas from Set.thy

**lemma** *fset-eqI*:  $(\bigwedge x. (x \in A) = (x \in B)) \implies A = B$   
*<proof>*

**lemma** *fset-eq-iff[no-atp]*:  $(A = B) = (\forall x. (x \in A) = (x \in B))$   
*<proof>*

**lemma** *fBall[no-atp]*:  $(\bigwedge x. x \in A \implies P x) \implies fBall A P$   
*<proof>*

**lemma** *fbspec[no-atp]*:  $fBall A P \implies x \in A \implies P x$   
*<proof>*

**lemma** *fBallE[no-atp]*:  $fBall A P \implies (P x \implies Q) \implies (x \notin A \implies Q) \implies Q$   
*<proof>*

**lemma** *fBexI[no-atp]*:  $P x \implies x \in A \implies fBex A P$   
*<proof>*

**lemma** *rev-fBexI[no-atp]*:  $x \in A \implies P x \implies fBex A P$   
*<proof>*

**lemma** *fBexCI[no-atp]*:  $(fBall A (\lambda x. \neg P x) \implies P a) \implies a \in A \implies fBex A P$   
*<proof>*

**lemma** *fBexE[no-atp]*:  $fBex A P \implies (\bigwedge x. x \in A \implies P x \implies Q) \implies Q$   
*<proof>*

**lemma** *fBall-triv[no-atp]*:  $fBall A (\lambda x. P) = ((\exists x. x \in A) \longrightarrow P)$   
*<proof>*

**lemma** *fBex-triv[no-atp]*:  $fBex A (\lambda x. P) = ((\exists x. x \in A) \wedge P)$   
*<proof>*

**lemma** *fBex-triv-one-point1[no-atp]*:  $fBex A (\lambda x. x = a) = (a \in A)$   
*<proof>*

**lemma** *fBex-triv-one-point2[no-atp]*:  $fBex A ((=) a) = (a \in A)$   
*<proof>*

**lemma** *fBex-one-point1[no-atp]*:  $fBex A (\lambda x. x = a \wedge P x) = (a \in A \wedge P a)$   
*<proof>*

**lemma** *fBex-one-point2[no-atp]*:  $fBex A (\lambda x. a = x \wedge P x) = (a \in A \wedge P a)$   
*<proof>*

**lemma** *fBall-one-point1[no-atp]*:  $fBall A (\lambda x. x = a \longrightarrow P x) = (a \in A \longrightarrow P a)$   
*<proof>*



**lemma** *fBall-one-point2[no-atp]*:  $fBall\ A\ (\lambda x. a = x \longrightarrow P\ x) = (a\ |\in|\ A \longrightarrow P\ a)$   
 ⟨proof⟩

**lemma** *fBall-conj-distrib*:  $fBall\ A\ (\lambda x. P\ x \wedge Q\ x) = (fBall\ A\ P \wedge fBall\ A\ Q)$   
 ⟨proof⟩

**lemma** *fBex-disj-distrib*:  $fBex\ A\ (\lambda x. P\ x \vee Q\ x) = (fBex\ A\ P \vee fBex\ A\ Q)$   
 ⟨proof⟩

**lemma** *fBall-cong[fundef-cong]*:  $A = B \Longrightarrow (\bigwedge x. x\ |\in|\ B \Longrightarrow P\ x = Q\ x) \Longrightarrow fBall\ A\ P = fBall\ B\ Q$   
 ⟨proof⟩

**lemma** *fBex-cong[fundef-cong]*:  $A = B \Longrightarrow (\bigwedge x. x\ |\in|\ B \Longrightarrow P\ x = Q\ x) \Longrightarrow fBex\ A\ P = fBex\ B\ Q$   
 ⟨proof⟩

**lemma** *fsubsetI[intro!]*:  $(\bigwedge x. x\ |\in|\ A \Longrightarrow x\ |\in|\ B) \Longrightarrow A\ |\subseteq|\ B$   
 ⟨proof⟩

**lemma** *fsubsetD[elim, intro?]*:  $A\ |\subseteq|\ B \Longrightarrow c\ |\in|\ A \Longrightarrow c\ |\in|\ B$   
 ⟨proof⟩

**lemma** *rev-fsubsetD[no-atp,intro?]*:  $c\ |\in|\ A \Longrightarrow A\ |\subseteq|\ B \Longrightarrow c\ |\in|\ B$   
 ⟨proof⟩

**lemma** *fsubsetCE[no-atp,elim]*:  $A\ |\subseteq|\ B \Longrightarrow (c\ |\notin|\ A \Longrightarrow P) \Longrightarrow (c\ |\in|\ B \Longrightarrow P) \Longrightarrow P$   
 ⟨proof⟩

**lemma** *fsubset-eq[no-atp]*:  $(A\ |\subseteq|\ B) = fBall\ A\ (\lambda x. x\ |\in|\ B)$   
 ⟨proof⟩

**lemma** *contra-fsubsetD[no-atp]*:  $A\ |\subseteq|\ B \Longrightarrow c\ |\notin|\ B \Longrightarrow c\ |\notin|\ A$   
 ⟨proof⟩

**lemma** *fsubset-refl*:  $A\ |\subseteq|\ A$   
 ⟨proof⟩

**lemma** *fsubset-trans*:  $A\ |\subseteq|\ B \Longrightarrow B\ |\subseteq|\ C \Longrightarrow A\ |\subseteq|\ C$   
 ⟨proof⟩

**lemma** *fset-rev-mp*:  $c\ |\in|\ A \Longrightarrow A\ |\subseteq|\ B \Longrightarrow c\ |\in|\ B$   
 ⟨proof⟩

**lemma** *fset-mp*:  $A\ |\subseteq|\ B \Longrightarrow c\ |\in|\ A \Longrightarrow c\ |\in|\ B$   
 ⟨proof⟩

**lemma** *fsubset-not-fsubset-eq*[code]:  $(A \mid\subset\mid B) = (A \mid\subseteq\mid B \wedge \neg B \mid\subseteq\mid A)$   
 ⟨proof⟩

**lemma** *eq-fmem-trans*:  $a = b \implies b \mid\in\mid A \implies a \mid\in\mid A$   
 ⟨proof⟩

**lemma** *fsubset-antisym*[intro]:  $A \mid\subseteq\mid B \implies B \mid\subseteq\mid A \implies A = B$   
 ⟨proof⟩

**lemma** *fequalityD1*:  $A = B \implies A \mid\subseteq\mid B$   
 ⟨proof⟩

**lemma** *fequalityD2*:  $A = B \implies B \mid\subseteq\mid A$   
 ⟨proof⟩

**lemma** *fequalityE*:  $A = B \implies (A \mid\subseteq\mid B \implies B \mid\subseteq\mid A \implies P) \implies P$   
 ⟨proof⟩

**lemma** *fequalityCE*[elim]:  
 $A = B \implies (c \mid\in\mid A \implies c \mid\in\mid B \implies P) \implies (c \mid\notin\mid A \implies c \mid\notin\mid B \implies P) \implies P$   
 ⟨proof⟩

**lemma** *eqfset-imp-iff*:  $A = B \implies (x \mid\in\mid A) = (x \mid\in\mid B)$   
 ⟨proof⟩

**lemma** *eqfelem-imp-iff*:  $x = y \implies (x \mid\in\mid A) = (y \mid\in\mid A)$   
 ⟨proof⟩

**lemma** *fempty-iff*[simp]:  $(c \mid\in\mid \{\mid\}) = False$   
 ⟨proof⟩

**lemma** *fempty-fsubsetI*[iff]:  $\{\mid\} \mid\subseteq\mid x$   
 ⟨proof⟩

**lemma** *equalsffemptyI*:  $(\bigwedge y. y \mid\in\mid A \implies False) \implies A = \{\mid\}$   
 ⟨proof⟩

**lemma** *equalsffemptyD*:  $A = \{\mid\} \implies a \mid\notin\mid A$   
 ⟨proof⟩

**lemma** *fBall-fempty*[simp]:  $fBall \{\mid\} P = True$   
 ⟨proof⟩

**lemma** *fBex-fempty*[simp]:  $fBex \{\mid\} P = False$   
 ⟨proof⟩

**lemma** *fPow-iff*[iff]:  $(A \mid\in\mid fPow B) = (A \mid\subseteq\mid B)$   
 ⟨proof⟩

**lemma** *fPowI*:  $A \sqsubseteq B \implies A \in| fPow B$   
 ⟨proof⟩

**lemma** *fPowD*:  $A \in| fPow B \implies A \sqsubseteq B$   
 ⟨proof⟩

**lemma** *fPow-bottom*:  $\{\|\} \in| fPow B$   
 ⟨proof⟩

**lemma** *fPow-top*:  $A \in| fPow A$   
 ⟨proof⟩

**lemma** *fPow-not-empty*:  $fPow A \neq \{\|\}$   
 ⟨proof⟩

**lemma** *finter-iff[simp]*:  $(c \in| A \mid\cap| B) = (c \in| A \wedge c \in| B)$   
 ⟨proof⟩

**lemma** *finterI[intro!]*:  $c \in| A \implies c \in| B \implies c \in| A \mid\cap| B$   
 ⟨proof⟩

**lemma** *finterD1*:  $c \in| A \mid\cap| B \implies c \in| A$   
 ⟨proof⟩

**lemma** *finterD2*:  $c \in| A \mid\cap| B \implies c \in| B$   
 ⟨proof⟩

**lemma** *finterE[elim!]*:  $c \in| A \mid\cap| B \implies (c \in| A \implies c \in| B \implies P) \implies P$   
 ⟨proof⟩

**lemma** *funion-iff[simp]*:  $(c \in| A \mid\cup| B) = (c \in| A \vee c \in| B)$   
 ⟨proof⟩

**lemma** *funionI1[elim?]*:  $c \in| A \implies c \in| A \mid\cup| B$   
 ⟨proof⟩

**lemma** *funionI2[elim?]*:  $c \in| B \implies c \in| A \mid\cup| B$   
 ⟨proof⟩

**lemma** *funionCI[intro!]*:  $(c \notin| B \implies c \in| A) \implies c \in| A \mid\cup| B$   
 ⟨proof⟩

**lemma** *funionE[elim!]*:  $c \in| A \mid\cup| B \implies (c \in| A \implies P) \implies (c \in| B \implies P) \implies P$   
 ⟨proof⟩

**lemma** *fminus-iff[simp]*:  $(c \in| A \mid\minus| B) = (c \in| A \wedge c \notin| B)$   
 ⟨proof⟩

**lemma** *fminusI*[*intro!*]:  $c \in A \implies c \notin B \implies c \in A \mid\mid B$   
 ⟨*proof*⟩

**lemma** *fminusD1*:  $c \in A \mid\mid B \implies c \in A$   
 ⟨*proof*⟩

**lemma** *fminusD2*:  $c \in A \mid\mid B \implies c \in B \implies P$   
 ⟨*proof*⟩

**lemma** *fminusE*[*elim!*]:  $c \in A \mid\mid B \implies (c \in A \implies c \notin B \implies P) \implies P$   
 ⟨*proof*⟩

**lemma** *finsert-iff*[*simp*]:  $(a \in \text{finsert } b \ A) = (a = b \vee a \in A)$   
 ⟨*proof*⟩

**lemma** *finsertI1*:  $a \in \text{finsert } a \ B$   
 ⟨*proof*⟩

**lemma** *finsertI2*:  $a \in B \implies a \in \text{finsert } b \ B$   
 ⟨*proof*⟩

**lemma** *finsertE*[*elim!*]:  $a \in \text{finsert } b \ A \implies (a = b \implies P) \implies (a \in A \implies P) \implies P$   
 ⟨*proof*⟩

**lemma** *finsertCI*[*intro!*]:  $(a \notin B \implies a = b) \implies a \in \text{finsert } b \ B$   
 ⟨*proof*⟩

**lemma** *fsubset-finsert-iff*:  
 $(A \mid\subseteq \text{finsert } x \ B) = (\text{if } x \in A \text{ then } A \mid\mid \{x\} \mid\subseteq B \text{ else } A \mid\subseteq B)$   
 ⟨*proof*⟩

**lemma** *finsert-ident*:  $x \notin A \implies x \notin B \implies (\text{finsert } x \ A = \text{finsert } x \ B) = (A = B)$   
 ⟨*proof*⟩

**lemma** *fsingletonI*[*intro!,no-atp*]:  $a \in \{|a|\}$   
 ⟨*proof*⟩

**lemma** *fsingletonD*[*dest!,no-atp*]:  $b \in \{|a|\} \implies b = a$   
 ⟨*proof*⟩

**lemma** *fsingleton-iff*:  $(b \in \{|a|\}) = (b = a)$   
 ⟨*proof*⟩

**lemma** *fsingleton-inject*[*dest!*]:  $\{|a|\} = \{|b|\} \implies a = b$   
 ⟨*proof*⟩

**lemma** *fsingleton-finsert-inj-eq[iff,no-atp]*:  $(\{|b|\} = \text{finsert } a \ A) = (a = b \wedge A \mid\subseteq \{|b|\})$   
 ⟨proof⟩

**lemma** *fsingleton-finsert-inj-eq'[iff,no-atp]*:  $(\text{finsert } a \ A = \{|b|\}) = (a = b \wedge A \mid\subseteq \{|b|\})$   
 ⟨proof⟩

**lemma** *fsubset-fsingletonD*:  $A \mid\subseteq \{|x|\} \implies A = \{|\}\vee A = \{|x|\}$   
 ⟨proof⟩

**lemma** *fminus-single-finsert*:  $A \mid-\{|x|\} \mid\subseteq B \implies A \mid\subseteq \text{finsert } x \ B$   
 ⟨proof⟩

**lemma** *fdoubleton-eq-iff*:  $(\{|a, b|\} = \{|c, d|\}) = (a = c \wedge b = d \vee a = d \wedge b = c)$   
 ⟨proof⟩

**lemma** *funion-fsingleton-iff*:  
 $(A \mid\cup B = \{|x|\}) = (A = \{|\} \wedge B = \{|x|\} \vee A = \{|x|\} \wedge B = \{|\} \vee A = \{|x|\} \wedge B = \{|x|\})$   
 ⟨proof⟩

**lemma** *fsingleton-funion-iff*:  
 $(\{|x|\} = A \mid\cup B) = (A = \{|\} \wedge B = \{|x|\} \vee A = \{|x|\} \wedge B = \{|\} \vee A = \{|x|\} \wedge B = \{|x|\})$   
 ⟨proof⟩

**lemma** *fimage-eqI[simp, intro]*:  $b = f \ x \implies x \mid\in A \implies b \mid\in f \mid\uparrow A$   
 ⟨proof⟩

**lemma** *fimageI*:  $x \mid\in A \implies f \ x \mid\in f \mid\uparrow A$   
 ⟨proof⟩

**lemma** *rev-fimage-eqI*:  $x \mid\in A \implies b = f \ x \implies b \mid\in f \mid\uparrow A$   
 ⟨proof⟩

**lemma** *fimageE[elim!]*:  $b \mid\in f \mid\uparrow A \implies (\bigwedge x. b = f \ x \implies x \mid\in A \implies \text{thesis}) \implies \text{thesis}$   
 ⟨proof⟩

**lemma** *Compr-fimage-eq*:  $\{x. x \mid\in f \mid\uparrow A \wedge P \ x\} = f \ ' \ \{x. x \mid\in A \wedge P \ (f \ x)\}$   
 ⟨proof⟩

**lemma** *fimage-funion*:  $f \mid\uparrow (A \mid\cup B) = f \mid\uparrow A \mid\cup f \mid\uparrow B$   
 ⟨proof⟩

**lemma** *fimage-iff*:  $(z \mid\in f \mid\uparrow A) = \text{fBex } A \ (\lambda x. z = f \ x)$   
 ⟨proof⟩

**lemma** *fimage-fsubset-iff[no-atp]*:  $(f \mid^{\dagger} A \mid\subseteq B) = fBall A (\lambda x. f x \mid\in B)$   
 ⟨proof⟩

**lemma** *fimage-fsubsetI*:  $(\bigwedge x. x \mid\in A \implies f x \mid\in B) \implies f \mid^{\dagger} A \mid\subseteq B$   
 ⟨proof⟩

**lemma** *fimage-ident[simp]*:  $(\lambda x. x) \mid^{\dagger} Y = Y$   
 ⟨proof⟩

**lemma** *if-split-fmem1*:  $((if\ Q\ then\ x\ else\ y) \mid\in b) = ((Q \longrightarrow x \mid\in b) \wedge (\neg Q \longrightarrow y \mid\in b))$   
 ⟨proof⟩

**lemma** *if-split-fmem2*:  $(a \mid\in (if\ Q\ then\ x\ else\ y)) = ((Q \longrightarrow a \mid\in x) \wedge (\neg Q \longrightarrow a \mid\in y))$   
 ⟨proof⟩

**lemma** *pfssubsetI[intro!,no-atp]*:  $A \mid\subseteq B \implies A \neq B \implies A \mid\subset B$   
 ⟨proof⟩

**lemma** *pfssubsetE[elim!,no-atp]*:  $A \mid\subset B \implies (A \mid\subseteq B \implies \neg B \mid\subseteq A \implies R) \implies R$   
 ⟨proof⟩

**lemma** *pfssubset-finsert-iff*:  
 $(A \mid\subset finsert\ x\ B) =$   
 $(if\ x \mid\in B\ then\ A \mid\subset B\ else\ if\ x \mid\in A\ then\ A \mid\mid \{x\} \mid\subset B\ else\ A \mid\subseteq B)$   
 ⟨proof⟩

**lemma** *pfssubset-eq*:  $(A \mid\subset B) = (A \mid\subseteq B \wedge A \neq B)$   
 ⟨proof⟩

**lemma** *pfssubset-imp-fsubset*:  $A \mid\subset B \implies A \mid\subseteq B$   
 ⟨proof⟩

**lemma** *pfssubset-trans*:  $A \mid\subset B \implies B \mid\subset C \implies A \mid\subset C$   
 ⟨proof⟩

**lemma** *pfssubsetD*:  $A \mid\subset B \implies c \mid\in A \implies c \mid\in B$   
 ⟨proof⟩

**lemma** *pfssubset-fsubset-trans*:  $A \mid\subset B \implies B \mid\subseteq C \implies A \mid\subset C$   
 ⟨proof⟩

**lemma** *fsubset-pfssubset-trans*:  $A \mid\subseteq B \implies B \mid\subset C \implies A \mid\subset C$   
 ⟨proof⟩

**lemma** *pfssubset-imp-ex-fmem*:  $A \mid\subset B \implies \exists b. b \mid\in B \mid\mid A$

*<proof>*

**lemma** *fimage-fPow-mono*:  $f \mid^{\uparrow} A \mid \subseteq \mid B \implies (\mid^{\uparrow}) f \mid^{\uparrow} fPow A \mid \subseteq \mid fPow B$   
*<proof>*

**lemma** *fimage-fPow-surj*:  $f \mid^{\uparrow} A = B \implies (\mid^{\uparrow}) f \mid^{\uparrow} fPow A = fPow B$   
*<proof>*

**lemma** *fsubset-finsertI*:  $B \mid \subseteq \mid finsert a B$   
*<proof>*

**lemma** *fsubset-finsertI2*:  $A \mid \subseteq \mid B \implies A \mid \subseteq \mid finsert b B$   
*<proof>*

**lemma** *fsubset-finsert*:  $x \mid \notin \mid A \implies (A \mid \subseteq \mid finsert x B) = (A \mid \subseteq \mid B)$   
*<proof>*

**lemma** *funion-upper1*:  $A \mid \subseteq \mid A \mid \cup \mid B$   
*<proof>*

**lemma** *funion-upper2*:  $B \mid \subseteq \mid A \mid \cup \mid B$   
*<proof>*

**lemma** *funion-least*:  $A \mid \subseteq \mid C \implies B \mid \subseteq \mid C \implies A \mid \cup \mid B \mid \subseteq \mid C$   
*<proof>*

**lemma** *finter-lower1*:  $A \mid \cap \mid B \mid \subseteq \mid A$   
*<proof>*

**lemma** *finter-lower2*:  $A \mid \cap \mid B \mid \subseteq \mid B$   
*<proof>*

**lemma** *finter-greatest*:  $C \mid \subseteq \mid A \implies C \mid \subseteq \mid B \implies C \mid \subseteq \mid A \mid \cap \mid B$   
*<proof>*

**lemma** *fminus-fsubset*:  $A \mid - \mid B \mid \subseteq \mid A$   
*<proof>*

**lemma** *fminus-fsubset-conv*:  $(A \mid - \mid B \mid \subseteq \mid C) = (A \mid \subseteq \mid B \mid \cup \mid C)$   
*<proof>*

**lemma** *fsubset-fempty[simp]*:  $(A \mid \subseteq \mid \{\mid\}) = (A = \{\mid\})$   
*<proof>*

**lemma** *not-pfsubset-fempty[iff]*:  $\neg A \mid \subset \mid \{\mid\}$   
*<proof>*

**lemma** *finsert-is-funion*:  $finsert a A = \{\mid a \mid\} \mid \cup \mid A$   
*<proof>*

**lemma** *finsert-not-fempty[simp]*:  $finsert\ a\ A \neq \{\}\}$   
 ⟨proof⟩

**lemma** *fempty-not-finsert*:  $\{\} \neq finsert\ a\ A$   
 ⟨proof⟩

**lemma** *finsert-absorb*:  $a \in A \implies finsert\ a\ A = A$   
 ⟨proof⟩

**lemma** *finsert-absorb2[simp]*:  $finsert\ x\ (finsert\ x\ A) = finsert\ x\ A$   
 ⟨proof⟩

**lemma** *finsert-commute*:  $finsert\ x\ (finsert\ y\ A) = finsert\ y\ (finsert\ x\ A)$   
 ⟨proof⟩

**lemma** *finsert-fsubset[simp]*:  $(finsert\ x\ A \subseteq B) = (x \in B \wedge A \subseteq B)$   
 ⟨proof⟩

**lemma** *finsert-inter-finsert[simp]*:  $finsert\ a\ A \cap finsert\ a\ B = finsert\ a\ (A \cap B)$   
 ⟨proof⟩

**lemma** *finsert-disjoint[simp,no-atp]*:  
 $(finsert\ a\ A \cap B = \{\}) = (a \notin B \wedge A \cap B = \{\})$   
 $(\{\} = finsert\ a\ A \cap B) = (a \notin B \wedge \{\} = A \cap B)$   
 ⟨proof⟩

**lemma** *disjoint-finsert[simp,no-atp]*:  
 $(B \cap finsert\ a\ A = \{\}) = (a \notin B \wedge B \cap A = \{\})$   
 $(\{\} = A \cap finsert\ b\ B) = (b \notin A \wedge \{\} = A \cap B)$   
 ⟨proof⟩

**lemma** *fimage-fempty[simp]*:  $f \mid \{\} = \{\}$   
 ⟨proof⟩

**lemma** *fimage-finsert[simp]*:  $f \mid finsert\ a\ B = finsert\ (f\ a)\ (f \mid B)$   
 ⟨proof⟩

**lemma** *fimage-constant*:  $x \in A \implies (\lambda x. c) \mid A = \{c\}$   
 ⟨proof⟩

**lemma** *fimage-constant-conv*:  $(\lambda x. c) \mid A = (if\ A = \{\}\ then\ \{\}\ else\ \{c\})$   
 ⟨proof⟩

**lemma** *fimage-fimage*:  $f \mid g \mid A = (\lambda x. f\ (g\ x)) \mid A$   
 ⟨proof⟩

**lemma** *finsert-fimage[simp]*:  $x \in A \implies finsert\ (f\ x)\ (f \mid A) = f \mid A$   
 ⟨proof⟩



**lemma** *fimage-is-fempty[iff]*:  $(f \upharpoonright A = \{\}) = (A = \{\})$   
 ⟨proof⟩

**lemma** *fempty-is-fimage[iff]*:  $(\{\} = f \upharpoonright A) = (A = \{\})$   
 ⟨proof⟩

**lemma** *fimage-cong*:  $M = N \implies (\bigwedge x. x \in N \implies f x = g x) \implies f \upharpoonright M = g \upharpoonright N$   
 ⟨proof⟩

**lemma** *fimage-finter-fsubset*:  $f \upharpoonright (A \mid B) \subseteq f \upharpoonright A \mid f \upharpoonright B$   
 ⟨proof⟩

**lemma** *fimage-fminus-fsubset*:  $f \upharpoonright A \mid f \upharpoonright B \subseteq f \upharpoonright (A \mid B)$   
 ⟨proof⟩

**lemma** *finter-absorb*:  $A \mid A = A$   
 ⟨proof⟩

**lemma** *finter-left-absorb*:  $A \mid (A \mid B) = A \mid B$   
 ⟨proof⟩

**lemma** *finter-commute*:  $A \mid B = B \mid A$   
 ⟨proof⟩

**lemma** *finter-left-commute*:  $A \mid (B \mid C) = B \mid (A \mid C)$   
 ⟨proof⟩

**lemma** *finter-assoc*:  $A \mid B \mid C = A \mid (B \mid C)$   
 ⟨proof⟩

**lemma** *finter-ac*:  
 $A \mid B \mid C = A \mid (B \mid C)$   
 $A \mid (A \mid B) = A \mid B$   
 $A \mid B = B \mid A$   
 $A \mid (B \mid C) = B \mid (A \mid C)$   
 ⟨proof⟩

**lemma** *finter-absorb1*:  $B \subseteq A \implies A \mid B = B$   
 ⟨proof⟩

**lemma** *finter-absorb2*:  $A \subseteq B \implies A \mid B = A$   
 ⟨proof⟩

**lemma** *finter-fempty-left*:  $\{\} \mid B = \{\}$   
 ⟨proof⟩

**lemma** *finter-fempty-right*:  $A \mid \{\} = \{\}$

*<proof>*

**lemma** *disjoint-iff-fnot-equal*:  $(A \mid \cap \mid B = \{\mid\}) = fBall\ A\ (\lambda x. fBall\ B\ ((\neq)\ x))$   
*<proof>*

**lemma** *finter-funion-distrib*:  $A \mid \cap \mid (B \mid \cup \mid C) = A \mid \cap \mid B \mid \cup \mid (A \mid \cap \mid C)$   
*<proof>*

**lemma** *finter-funion-distrib2*:  $B \mid \cup \mid C \mid \cap \mid A = B \mid \cap \mid A \mid \cup \mid (C \mid \cap \mid A)$   
*<proof>*

**lemma** *finter-fsubset-iff[no-atp, simp]*:  $(C \mid \subseteq \mid A \mid \cap \mid B) = (C \mid \subseteq \mid A \wedge C \mid \subseteq \mid B)$   
*<proof>*

**lemma** *funion-absorb*:  $A \mid \cup \mid A = A$   
*<proof>*

**lemma** *funion-left-absorb*:  $A \mid \cup \mid (A \mid \cup \mid B) = A \mid \cup \mid B$   
*<proof>*

**lemma** *funion-commute*:  $A \mid \cup \mid B = B \mid \cup \mid A$   
*<proof>*

**lemma** *funion-left-commute*:  $A \mid \cup \mid (B \mid \cup \mid C) = B \mid \cup \mid (A \mid \cup \mid C)$   
*<proof>*

**lemma** *funion-assoc*:  $A \mid \cup \mid B \mid \cup \mid C = A \mid \cup \mid (B \mid \cup \mid C)$   
*<proof>*

**lemma** *funion-ac*:  
 $A \mid \cup \mid B \mid \cup \mid C = A \mid \cup \mid (B \mid \cup \mid C)$   
 $A \mid \cup \mid (A \mid \cup \mid B) = A \mid \cup \mid B$   
 $A \mid \cup \mid B = B \mid \cup \mid A$   
 $A \mid \cup \mid (B \mid \cup \mid C) = B \mid \cup \mid (A \mid \cup \mid C)$   
*<proof>*

**lemma** *funion-absorb1*:  $A \mid \subseteq \mid B \implies A \mid \cup \mid B = B$   
*<proof>*

**lemma** *funion-absorb2*:  $B \mid \subseteq \mid A \implies A \mid \cup \mid B = A$   
*<proof>*

**lemma** *funion-fempty-left*:  $\{\mid\} \mid \cup \mid B = B$   
*<proof>*

**lemma** *funion-fempty-right*:  $A \mid \cup \mid \{\mid\} = A$   
*<proof>*

**lemma** *funion-finsert-left[simp]*:  $finsert\ a\ B \mid \cup \mid C = finsert\ a\ (B \mid \cup \mid C)$

*<proof>*

**lemma** *funion-finsert-right[simp]*:  $A \mid \cup \mid \text{finsert } a \ B = \text{finsert } a \ (A \mid \cup \mid B)$   
*<proof>*

**lemma** *finter-finsert-left*:  $\text{finsert } a \ B \mid \cap \mid C = (\text{if } a \mid \in \mid C \text{ then } \text{finsert } a \ (B \mid \cap \mid C) \text{ else } B \mid \cap \mid C)$   
*<proof>*

**lemma** *finter-finsert-left-iffempty[simp]*:  $a \mid \notin \mid C \implies \text{finsert } a \ B \mid \cap \mid C = B \mid \cap \mid C$   
*<proof>*

**lemma** *finter-finsert-left-if1[simp]*:  $a \mid \in \mid C \implies \text{finsert } a \ B \mid \cap \mid C = \text{finsert } a \ (B \mid \cap \mid C)$   
*<proof>*

**lemma** *finter-finsert-right*:  
 $A \mid \cap \mid \text{finsert } a \ B = (\text{if } a \mid \in \mid A \text{ then } \text{finsert } a \ (A \mid \cap \mid B) \text{ else } A \mid \cap \mid B)$   
*<proof>*

**lemma** *finter-finsert-right-iffempty[simp]*:  $a \mid \notin \mid A \implies A \mid \cap \mid \text{finsert } a \ B = A \mid \cap \mid B$   
*<proof>*

**lemma** *finter-finsert-right-if1[simp]*:  $a \mid \in \mid A \implies A \mid \cap \mid \text{finsert } a \ B = \text{finsert } a \ (A \mid \cap \mid B)$   
*<proof>*

**lemma** *funion-finter-distrib*:  $A \mid \cup \mid (B \mid \cap \mid C) = A \mid \cup \mid B \mid \cap \mid (A \mid \cup \mid C)$   
*<proof>*

**lemma** *funion-finter-distrib2*:  $B \mid \cap \mid C \mid \cup \mid A = B \mid \cup \mid A \mid \cap \mid (C \mid \cup \mid A)$   
*<proof>*

**lemma** *funion-finter-crazy*:  
 $A \mid \cap \mid B \mid \cup \mid (B \mid \cap \mid C) \mid \cup \mid (C \mid \cap \mid A) = A \mid \cup \mid B \mid \cap \mid (B \mid \cup \mid C) \mid \cap \mid (C \mid \cup \mid A)$   
*<proof>*

**lemma** *fsubset-funion-eq*:  $(A \mid \subseteq \mid B) = (A \mid \cup \mid B = B)$   
*<proof>*

**lemma** *funion-fempty[iff]*:  $(A \mid \cup \mid B = \{\mid\}) = (A = \{\mid\} \wedge B = \{\mid\})$   
*<proof>*

**lemma** *funion-fsubset-iff[no-atp, simp]*:  $(A \mid \cup \mid B \mid \subseteq \mid C) = (A \mid \subseteq \mid C \wedge B \mid \subseteq \mid C)$   
*<proof>*

**lemma** *funion-fminus-finter*:  $A \mid - \mid B \mid \cup \mid (A \mid \cap \mid B) = A$   
*<proof>*

**lemma** *ffunion-empty[simp]*:  $ffUnion \{\}\ = \{\}$   
 ⟨proof⟩

**lemma** *ffunion-mono*:  $A \subseteq B \implies ffUnion A \subseteq ffUnion B$   
 ⟨proof⟩

**lemma** *ffunion-insert[simp]*:  $ffUnion (finsert a B) = a \cup ffUnion B$   
 ⟨proof⟩

**lemma** *fminus-finter2*:  $A \cap C \dashv B \cap C = A \cap C \dashv B$   
 ⟨proof⟩

**lemma** *funion-finter-assoc-eq*:  $(A \cap B \cup C = A \cap (B \cup C)) = (C \subseteq A)$   
 ⟨proof⟩

**lemma** *fBall-funion*:  $fBall (A \cup B) P = (fBall A P \wedge fBall B P)$   
 ⟨proof⟩

**lemma** *fBex-funion*:  $fBex (A \cup B) P = (fBex A P \vee fBex B P)$   
 ⟨proof⟩

**lemma** *fminus-eq-fempty-iff[simp,no-atp]*:  $(A \dashv B = \{\}) = (A \subseteq B)$   
 ⟨proof⟩

**lemma** *fminus-cancel[simp]*:  $A \dashv A = \{\}$   
 ⟨proof⟩

**lemma** *fminus-idemp[simp]*:  $A \dashv B \dashv B = A \dashv B$   
 ⟨proof⟩

**lemma** *fminus-triv*:  $A \cap B = \{\} \implies A \dashv B = A$   
 ⟨proof⟩

**lemma** *fempty-fminus[simp]*:  $\{\} \dashv A = \{\}$   
 ⟨proof⟩

**lemma** *fminus-fempty[simp]*:  $A \dashv \{\} = A$   
 ⟨proof⟩

**lemma** *fminus-finsertffempty[simp,no-atp]*:  $x \notin A \implies A \dashv finsert x B = A \dashv B$   
 ⟨proof⟩

**lemma** *fminus-finsert*:  $A \dashv finsert a B = A \dashv B \dashv \{a\}$   
 ⟨proof⟩

**lemma** *fminus-finsert2*:  $A \dashv finsert a B = A \dashv \{a\} \dashv B$   
 ⟨proof⟩

**lemma** *finsert-fminus-if*:  $finsert\ x\ A\ |-|\ B = (if\ x\ |\in|\ B\ then\ A\ |-|\ B\ else\ finsert\ x\ (A\ |-|\ B))$   
 ⟨proof⟩

**lemma** *finsert-fminus1[simp]*:  $x\ |\in|\ B \implies finsert\ x\ A\ |-|\ B = A\ |-|\ B$   
 ⟨proof⟩

**lemma** *finsert-fminus-single[simp]*:  $finsert\ a\ (A\ |-|\ \{|a|\}) = finsert\ a\ A$   
 ⟨proof⟩

**lemma** *finsert-fminus*:  $a\ |\in|\ A \implies finsert\ a\ (A\ |-|\ \{|a|\}) = A$   
 ⟨proof⟩

**lemma** *fminus-finsert-absorb*:  $x\ |\notin|\ A \implies finsert\ x\ A\ |-|\ \{|x|\} = A$   
 ⟨proof⟩

**lemma** *fminus-disjoint[simp]*:  $A\ |\cap|\ (B\ |-|\ A) = \{|\}$   
 ⟨proof⟩

**lemma** *fminus-partition*:  $A\ |\subseteq|\ B \implies A\ |\cup|\ (B\ |-|\ A) = B$   
 ⟨proof⟩

**lemma** *double-fminus*:  $A\ |\subseteq|\ B \implies B\ |\subseteq|\ C \implies B\ |-|\ (C\ |-|\ A) = A$   
 ⟨proof⟩

**lemma** *funion-fminus-cancel[simp]*:  $A\ |\cup|\ (B\ |-|\ A) = A\ |\cup|\ B$   
 ⟨proof⟩

**lemma** *funion-fminus-cancel2[simp]*:  $B\ |-|\ A\ |\cup|\ A = B\ |\cup|\ A$   
 ⟨proof⟩

**lemma** *fminus-funion*:  $A\ |-|\ (B\ |\cup|\ C) = A\ |-|\ B\ |\cap|\ (A\ |-|\ C)$   
 ⟨proof⟩

**lemma** *fminus-finter*:  $A\ |-|\ (B\ |\cap|\ C) = A\ |-|\ B\ |\cup|\ (A\ |-|\ C)$   
 ⟨proof⟩

**lemma** *funion-fminus*:  $A\ |\cup|\ B\ |-|\ C = A\ |-|\ C\ |\cup|\ (B\ |-|\ C)$   
 ⟨proof⟩

**lemma** *finter-fminus*:  $A\ |\cap|\ B\ |-|\ C = A\ |\cap|\ (B\ |-|\ C)$   
 ⟨proof⟩

**lemma** *fminus-finter-distrib*:  $C\ |\cap|\ (A\ |-|\ B) = C\ |\cap|\ A\ |-|\ (C\ |\cap|\ B)$   
 ⟨proof⟩

**lemma** *fminus-finter-distrib2*:  $A\ |-|\ B\ |\cap|\ C = A\ |\cap|\ C\ |-|\ (B\ |\cap|\ C)$   
 ⟨proof⟩

**lemma** *fUNIV-bool[no-atp]*:  $fUNIV = \{False, True\}$   
 ⟨proof⟩

**lemma** *fPow-empty[simp]*:  $fPow \{\}\} = \{\{\{\}\}\}$   
 ⟨proof⟩

**lemma** *fPow-finsert*:  $fPow (finsert a A) = fPow A \cup \{finsert a \}$   
 ⟨proof⟩

**lemma** *funion-fPow-fsubset*:  $fPow A \cup fPow B \subseteq fPow (A \cup B)$   
 ⟨proof⟩

**lemma** *fPow-finter-eq[simp]*:  $fPow (A \cap B) = fPow A \cap fPow B$   
 ⟨proof⟩

**lemma** *fset-eq-fsubset*:  $(A = B) = (A \subseteq B \wedge B \subseteq A)$   
 ⟨proof⟩

**lemma** *fsubset-iff[no-atp]*:  $(A \subseteq B) = (\forall t. t \in A \longrightarrow t \in B)$   
 ⟨proof⟩

**lemma** *fsubset-iff-pfsubset-eq*:  $(A \subseteq B) = (A \subset B \vee A = B)$   
 ⟨proof⟩

**lemma** *all-not-fin-conv[simp]*:  $(\forall x. x \notin A) = (A = \{\})$   
 ⟨proof⟩

**lemma** *ex-fin-conv*:  $(\exists x. x \in A) = (A \neq \{\})$   
 ⟨proof⟩

**lemma** *fimage-mono*:  $A \subseteq B \implies f \mid A \subseteq f \mid B$   
 ⟨proof⟩

**lemma** *fPow-mono*:  $A \subseteq B \implies fPow A \subseteq fPow B$   
 ⟨proof⟩

**lemma** *finsert-mono*:  $C \subseteq D \implies finsert a C \subseteq finsert a D$   
 ⟨proof⟩

**lemma** *funion-mono*:  $A \subseteq C \implies B \subseteq D \implies A \cup B \subseteq C \cup D$   
 ⟨proof⟩

**lemma** *finter-mono*:  $A \subseteq C \implies B \subseteq D \implies A \cap B \subseteq C \cap D$   
 ⟨proof⟩

**lemma** *fminus-mono*:  $A \subseteq C \implies D \subseteq B \implies A \setminus B \subseteq C \setminus D$   
 ⟨proof⟩

**lemma** *fin-mono*:  $A \sqsubseteq B \implies x \in A \longrightarrow x \in B$   
 ⟨proof⟩

**lemma** *fthe-felem-eq[simp]*:  $fthe\text{-}elem \{x\} = x$   
 ⟨proof⟩

**lemma** *fLeast-mono*:  
 $mono\ f \implies fBex\ S\ (\lambda x. fBall\ S\ ((\leq)\ x)) \implies (LEAST\ y. y \in f \mid S) = f$   
 $(LEAST\ x. x \in S)$   
 ⟨proof⟩

**lemma** *fbind-fbind*:  $fbind\ (fbind\ A\ B)\ C = fbind\ A\ (\lambda x. fbind\ (B\ x)\ C)$   
 ⟨proof⟩

**lemma** *fempty-fbind[simp]*:  $fbind\ \{\}\ f = \{\}$   
 ⟨proof⟩

**lemma** *nonfempty-fbind-const*:  $A \neq \{\} \implies fbind\ A\ (\lambda-. B) = B$   
 ⟨proof⟩

**lemma** *fbind-const*:  $fbind\ A\ (\lambda-. B) = (if\ A = \{\}\ then\ \{\}\ else\ B)$   
 ⟨proof⟩

**lemma** *ffmember-filter[simp]*:  $(x \in ffilter\ P\ A) = (x \in A \wedge P\ x)$   
 ⟨proof⟩

**lemma** *fequalityI*:  $A \sqsubseteq B \implies B \sqsubseteq A \implies A = B$   
 ⟨proof⟩

**lemma** *fset-of-list-simps[simp]*:  
 $fset\text{-of-list}\ [] = \{\}$   
 $fset\text{-of-list}\ (x21 \# x22) = finsert\ x21\ (fset\text{-of-list}\ x22)$   
 ⟨proof⟩

**lemma** *fset-of-list-append[simp]*:  $fset\text{-of-list}\ (xs @ ys) = fset\text{-of-list}\ xs \cup fset\text{-of-list}\ ys$   
 ⟨proof⟩

**lemma** *fset-of-list-rev[simp]*:  $fset\text{-of-list}\ (rev\ xs) = fset\text{-of-list}\ xs$   
 ⟨proof⟩

**lemma** *fset-of-list-map[simp]*:  $fset\text{-of-list}\ (map\ f\ xs) = f \mid fset\text{-of-list}\ xs$   
 ⟨proof⟩

## 30.5 Additional lemmas

### 30.5.1 ffUnion

**lemma** *ffUnion-union-distrib[simp]*:  $ffUnion\ (A \cup B) = ffUnion\ A \cup ffUnion\ B$   
 B

*<proof>*

### 30.5.2 *fbind*

**lemma** *fbind-cong*[*fundef-cong*]:  $A = B \implies (\bigwedge x. x \in B \implies f x = g x) \implies fbind A f = fbind B g$   
*<proof>*

### 30.5.3 *fsingleton*

**lemma** *fsingletonE*:  $b \in \{a\} \implies (b = a \implies thesis) \implies thesis$   
*<proof>*

### 30.5.4 *fempty*

**lemma** *fempty-ffilter*[*simp*]: *ffilter* ( $\lambda-. False$ )  $A = \{\}$   
*<proof>*

**lemma** *femptyE* [*elim!*]:  $a \in \{\} \implies P$   
*<proof>*

### 30.5.5 *fset*

**lemma** *fset-simps*[*simp*]:  
 $fset \{\} = \{\}$   
 $fset (finsert x X) = insert x (fset X)$   
*<proof>*

**lemma** *finite-fset* [*simp*]:  
**shows** *finite* (*fset*  $S$ )  
*<proof>*

**lemmas** *fset-cong* = *fset-inject*

**lemma** *filter-fset* [*simp*]:  
**shows**  $fset (ffilter P xs) = Collect P \cap fset xs$   
*<proof>*

**lemma** *inter-fset*[*simp*]:  $fset (A \mid\cap\mid B) = fset A \cap fset B$   
*<proof>*

**lemma** *union-fset*[*simp*]:  $fset (A \mid\cup\mid B) = fset A \cup fset B$   
*<proof>*

**lemma** *minus-fset*[*simp*]:  $fset (A \mid-\mid B) = fset A - fset B$   
*<proof>*



**30.5.6** *ffilter***lemma** *subset-ffilter*:
$$\text{ffilter } P \ A \ |\subseteq| \ \text{ffilter } Q \ A = (\forall x. x \ |\in| \ A \longrightarrow P \ x \longrightarrow Q \ x)$$

*<proof>*

**lemma** *eq-ffilter*:
$$(\text{ffilter } P \ A = \text{ffilter } Q \ A) = (\forall x. x \ |\in| \ A \longrightarrow P \ x = Q \ x)$$

*<proof>*

**lemma** *pfssubset-ffilter*:
$$(\bigwedge x. x \ |\in| \ A \Longrightarrow P \ x \Longrightarrow Q \ x) \Longrightarrow (x \ |\in| \ A \wedge \neg P \ x \wedge Q \ x) \Longrightarrow$$

$$\text{ffilter } P \ A \ |\subset| \ \text{ffilter } Q \ A$$

*<proof>*

**30.5.7** *fset-of-list***lemma** *fset-of-list-filter[simp]*:
$$\text{fset-of-list } (\text{filter } P \ xs) = \text{ffilter } P \ (\text{fset-of-list } xs)$$

*<proof>*

**lemma** *fset-of-list-subset[intro]*:
$$\text{set } xs \subseteq \text{set } ys \Longrightarrow \text{fset-of-list } xs \ |\subseteq| \ \text{fset-of-list } ys$$

*<proof>*

**lemma** *fset-of-list-elem*:  $(x \ |\in| \ \text{fset-of-list } xs) \longleftrightarrow (x \in \text{set } xs)$ *<proof>***30.5.8** *finsert***lemma** *set-finsert*:

**assumes**  $x \ |\in| \ A$   
**obtains**  $B$  **where**  $A = \text{finsert } x \ B$  **and**  $x \ |\notin| \ B$

*<proof>*

**lemma** *mk-disjoint-finsert*:  $a \ |\in| \ A \Longrightarrow \exists B. A = \text{finsert } a \ B \wedge a \ |\notin| \ B$ *<proof>***lemma** *finsert-eq-iff*:

**assumes**  $a \ |\notin| \ A$  **and**  $b \ |\notin| \ B$   
**shows**  $(\text{finsert } a \ A = \text{finsert } b \ B) =$   
 $(\text{if } a = b \ \text{then } A = B \ \text{else } \exists C. A = \text{finsert } b \ C \wedge b \ |\notin| \ C \wedge B = \text{finsert } a \ C \wedge$   
 $a \ |\notin| \ C)$

*<proof>*

**30.5.9** *fimage***lemma** *subset-fimage-iff*:  $(B \ |\subseteq| \ f \ \upharpoonright \ A) = (\exists AA. AA \ |\subseteq| \ A \wedge B = f \ \upharpoonright \ AA)$ *<proof>*

**lemma** *fimage-strict-mono*:

assumes *inj-on*  $f$  (*fset*  $B$ ) and  $A \mid\subset\mid B$

shows  $f \mid\uparrow\mid A \mid\subset\mid f \mid\uparrow\mid B$

— TODO: Configure transfer framework to lift  $\llbracket \text{inj-on } ?f \text{ } ?B; ?A \subset ?B \rrbracket \implies ?f$   
 $\langle ?A \subset ?f \text{ } ?B \rangle$   
 $\langle \text{proof} \rangle$

### 30.5.10 bounded quantification

**lemma** *bex-simps* [*simp*, *no-atp*]:

$\bigwedge A P Q. fBex A (\lambda x. P x \wedge Q) = (fBex A P \wedge Q)$

$\bigwedge A P Q. fBex A (\lambda x. P \wedge Q x) = (P \wedge fBex A Q)$

$\bigwedge P. fBex \{\mid\} P = \text{False}$

$\bigwedge a B P. fBex (\text{finsert } a B) P = (P a \vee fBex B P)$

$\bigwedge A P f. fBex (f \mid\uparrow\mid A) P = fBex A (\lambda x. P (f x))$

$\bigwedge A P. (\neg fBex A P) = fBall A (\lambda x. \neg P x)$

$\langle \text{proof} \rangle$

**lemma** *ball-simps* [*simp*, *no-atp*]:

$\bigwedge A P Q. fBall A (\lambda x. P x \vee Q) = (fBall A P \vee Q)$

$\bigwedge A P Q. fBall A (\lambda x. P \vee Q x) = (P \vee fBall A Q)$

$\bigwedge A P Q. fBall A (\lambda x. P \longrightarrow Q x) = (P \longrightarrow fBall A Q)$

$\bigwedge A P Q. fBall A (\lambda x. P x \longrightarrow Q) = (fBex A P \longrightarrow Q)$

$\bigwedge P. fBall \{\mid\} P = \text{True}$

$\bigwedge a B P. fBall (\text{finsert } a B) P = (P a \wedge fBall B P)$

$\bigwedge A P f. fBall (f \mid\uparrow\mid A) P = fBall A (\lambda x. P (f x))$

$\bigwedge A P. (\neg fBall A P) = fBex A (\lambda x. \neg P x)$

$\langle \text{proof} \rangle$

**lemma** *atomize-fBall*:

$(\bigwedge x. x \mid\in\mid A \implies P x) \implies \text{Trueprop } (fBall A (\lambda x. P x))$

$\langle \text{proof} \rangle$

**lemma** *fBall-mono*[*mono*]:  $P \leq Q \implies fBall S P \leq fBall S Q$

$\langle \text{proof} \rangle$

**lemma** *fBex-mono*[*mono*]:  $P \leq Q \implies fBex S P \leq fBex S Q$

$\langle \text{proof} \rangle$

**end**

### 30.5.11 fcard

**lemma** *fcard-fempty*:

$fcard \{\mid\} = 0$

$\langle \text{proof} \rangle$

**lemma** *fcard-finsert-disjoint*:

$x \mid\notin\mid A \implies fcard (\text{finsert } x A) = \text{Suc } (fcard A)$

$\langle \text{proof} \rangle$

**lemma** *fcard-finsert-if*:

$$\text{fcard} (\text{finsert } x \ A) = (\text{if } x \in A \text{ then } \text{fcard } A \text{ else } \text{Suc} (\text{fcard } A))$$

*<proof>*

**lemma** *fcard-0-eq* [*simp, no-atp*]:

$$\text{fcard } A = 0 \iff A = \{\}$$

*<proof>*

**lemma** *fcard-Suc-fminus1*:

$$x \in A \implies \text{Suc} (\text{fcard} (A \ - \ \{x\})) = \text{fcard } A$$

*<proof>*

**lemma** *fcard-fminus-fsingleton*:

$$x \in A \implies \text{fcard} (A \ - \ \{x\}) = \text{fcard } A - 1$$

*<proof>*

**lemma** *fcard-fminus-fsingleton-if*:

$$\text{fcard} (A \ - \ \{x\}) = (\text{if } x \in A \text{ then } \text{fcard } A - 1 \text{ else } \text{fcard } A)$$

*<proof>*

**lemma** *fcard-fminus-finsert*[*simp*]:

**assumes**  $a \in A$  **and**  $a \notin B$   
**shows**  $\text{fcard} (A \ - \ \text{finsert } a \ B) = \text{fcard} (A \ - \ B) - 1$

*<proof>*

**lemma** *fcard-finsert*:  $\text{fcard} (\text{finsert } x \ A) = \text{Suc} (\text{fcard} (A \ - \ \{x\}))$

*<proof>*

**lemma** *fcard-finsert-le*:  $\text{fcard } A \leq \text{fcard} (\text{finsert } x \ A)$

*<proof>*

**lemma** *fcard-mono*:

$$A \subseteq B \implies \text{fcard } A \leq \text{fcard } B$$

*<proof>*

**lemma** *fcard-seteq*:  $A \subseteq B \implies \text{fcard } B \leq \text{fcard } A \implies A = B$

*<proof>*

**lemma** *pfssubset-fcard-mono*:  $A \subset B \implies \text{fcard } A < \text{fcard } B$

*<proof>*

**lemma** *fcard-funion-finter*:

$$\text{fcard } A + \text{fcard } B = \text{fcard} (A \ \cup \ B) + \text{fcard} (A \ \cap \ B)$$

*<proof>*

**lemma** *fcard-funion-disjoint*:

$$A \ \cap \ B = \{\} \implies \text{fcard} (A \ \cup \ B) = \text{fcard } A + \text{fcard } B$$

*<proof>*

**lemma** *fcard-union-fsubset*:

$B \sqsubseteq A \implies \text{fcard } (A \mid\mid B) = \text{fcard } A - \text{fcard } B$   
 ⟨proof⟩

**lemma** *diff-fcard-le-fcard-fminus*:

$\text{fcard } A - \text{fcard } B \leq \text{fcard}(A \mid\mid B)$   
 ⟨proof⟩

**lemma** *fcard-fminus1-less*:  $x \in A \implies \text{fcard } (A \mid\mid \{|x\}) < \text{fcard } A$

⟨proof⟩

**lemma** *fcard-fminus2-less*:

$x \in A \implies y \in A \implies \text{fcard } (A \mid\mid \{|x\} \mid\mid \{|y\}) < \text{fcard } A$   
 ⟨proof⟩

**lemma** *fcard-fminus1-le*:  $\text{fcard } (A \mid\mid \{|x\}) \leq \text{fcard } A$

⟨proof⟩

**lemma** *fcard-pfsubset*:  $A \sqsubseteq B \implies \text{fcard } A < \text{fcard } B \implies A < B$

⟨proof⟩

### 30.5.12 sorted-list-of-fset

**lemma** *sorted-list-of-fset-simps*[simp]:

$\text{set } (\text{sorted-list-of-fset } S) = \text{fset } S$   
 $\text{fset-of-list } (\text{sorted-list-of-fset } S) = S$   
 ⟨proof⟩

### 30.5.13 ffold

**context** *comp-fun-commute*

**begin**

**lemma** *ffold-empty*[simp]:  $\text{ffold } f z \{\mid\} = z$   
 ⟨proof⟩

**lemma** *ffold-finsert* [simp]:

**assumes**  $x \notin A$   
**shows**  $\text{ffold } f z (\text{finsert } x A) = f x (\text{ffold } f z A)$   
 ⟨proof⟩

**lemma** *ffold-fun-left-comm*:

$f x (\text{ffold } f z A) = \text{ffold } f (f x z) A$   
 ⟨proof⟩

**lemma** *ffold-finsert2*:

$x \notin A \implies \text{ffold } f z (\text{finsert } x A) = \text{ffold } f (f x z) A$   
 ⟨proof⟩

**lemma** *ffold-rec*:

**assumes**  $x \in A$   
**shows**  $\text{ffold } f \ z \ A = f \ x \ (\text{ffold } f \ z \ (A \ -| \ \{|x\}))$   
 $\langle \text{proof} \rangle$

**lemma** *ffold-finsert-remove*:  
 $\text{ffold } f \ z \ (\text{finsert } x \ A) = f \ x \ (\text{ffold } f \ z \ (A \ -| \ \{|x\}))$   
 $\langle \text{proof} \rangle$

**end**

**lemma** *ffold-fimage*:  
**assumes** *inj-on*  $g \ (\text{fset } A)$   
**shows**  $\text{ffold } f \ z \ (g \ |^{\cdot} \ A) = \text{ffold } (f \circ g) \ z \ A$   
 $\langle \text{proof} \rangle$

**lemma** *ffold-cong*:  
**assumes** *comp-fun-commute*  $f \ \text{comp-fun-commute } g$   
 $\bigwedge x. x \in A \implies f \ x = g \ x$   
**and**  $s = t$  **and**  $A = B$   
**shows**  $\text{ffold } f \ s \ A = \text{ffold } g \ t \ B$   
 $\langle \text{proof} \rangle$

**context** *comp-fun-idem*  
**begin**

**lemma** *ffold-finsert-idem*:  
 $\text{ffold } f \ z \ (\text{finsert } x \ A) = f \ x \ (\text{ffold } f \ z \ A)$   
 $\langle \text{proof} \rangle$

**declare** *ffold-finsert* [*simp del*] *ffold-finsert-idem* [*simp*]

**lemma** *ffold-finsert-idem2*:  
 $\text{ffold } f \ z \ (\text{finsert } x \ A) = \text{ffold } f \ (f \ x \ z) \ A$   
 $\langle \text{proof} \rangle$

**end**

### 30.5.14 ( $|\cdot|$ )

**lemma** *wfP-pfsubset*: *wfP* ( $|\cdot|$ )  
 $\langle \text{proof} \rangle$

### 30.5.15 Group operations

**locale** *comm-monoid-fset = comm-monoid*  
**begin**

**sublocale** *set: comm-monoid-set*  $\langle \text{proof} \rangle$

**lift-definition**  $F :: ('b \Rightarrow 'a) \Rightarrow 'b \ \text{fset} \Rightarrow 'a \ \text{is } \text{set.F}$   $\langle \text{proof} \rangle$

**lemma** *cong*[*fundef-cong*]:  $A = B \implies (\bigwedge x. x \in B \implies g x = h x) \implies F g A = F h B$   
 ⟨*proof*⟩

**lemma** *cong-simp*[*cong*]:  
 $\llbracket A = B; \bigwedge x. x \in B =_{\text{simp}} \implies g x = h x \rrbracket \implies F g A = F h B$   
 ⟨*proof*⟩

**end**

**context** *comm-monoid-add* **begin**

**sublocale** *fsum*: *comm-monoid-fset plus 0*  
**rewrites** *comm-monoid-set.F plus 0 = sum*  
**defines** *fsum = fsum.F*  
 ⟨*proof*⟩

**end**

### 30.5.16 Semilattice operations

**locale** *semilattice-fset = semilattice*  
**begin**

**sublocale** *set*: *semilattice-set* ⟨*proof*⟩

**lift-definition** *F* :: 'a fset  $\Rightarrow$  'a is set.F ⟨*proof*⟩

**lemma** *eq-fold*:  $F (\text{finsert } x A) = \text{ffold } f x A$   
 ⟨*proof*⟩

**lemma** *singleton* [*simp*]:  $F \{x\} = x$   
 ⟨*proof*⟩

**lemma** *insert-not-elim*:  $x \notin A \implies A \neq \{\}\implies F (\text{finsert } x A) = x * F A$   
 ⟨*proof*⟩

**lemma** *in-idem*:  $x \in A \implies x * F A = F A$   
 ⟨*proof*⟩

**lemma** *insert* [*simp*]:  $A \neq \{\}\implies F (\text{finsert } x A) = x * F A$   
 ⟨*proof*⟩

**end**

**locale** *semilattice-order-fset = binary?: semilattice-order + semilattice-fset*  
**begin**

**end**

**context** *linorder* **begin**

**sublocale** *fMin*: *semilattice-order-fset min less-eq less*

**rewrites** *semilattice-set.F min = Min*

**defines** *fMin = fMin.F*

*<proof>*

**sublocale** *fMax*: *semilattice-order-fset max greater-eq greater*

**rewrites** *semilattice-set.F max = Max*

**defines** *fMax = fMax.F*

*<proof>*

**end**

**lemma** *mono-fMax-commute*:  $\text{mono } f \implies A \neq \{\mid\} \implies f (fMax A) = fMax (f \upharpoonright A)$

*<proof>*

**lemma** *mono-fMin-commute*:  $\text{mono } f \implies A \neq \{\mid\} \implies f (fMin A) = fMin (f \upharpoonright A)$

*<proof>*

**lemma** *fMax-in[simp]*:  $A \neq \{\mid\} \implies fMax A \mid\in A$

*<proof>*

**lemma** *fMin-in[simp]*:  $A \neq \{\mid\} \implies fMin A \mid\in A$

*<proof>*

**lemma** *fMax-ge[simp]*:  $x \mid\in A \implies x \leq fMax A$

*<proof>*

**lemma** *fMin-le[simp]*:  $x \mid\in A \implies fMin A \leq x$

*<proof>*

**lemma** *fMax-eqI*:  $(\bigwedge y. y \mid\in A \implies y \leq x) \implies x \mid\in A \implies fMax A = x$

*<proof>*

**lemma** *fMin-eqI*:  $(\bigwedge y. y \mid\in A \implies x \leq y) \implies x \mid\in A \implies fMin A = x$

*<proof>*

**lemma** *fMax-finsert[simp]*:  $fMax (finsert x A) = (\text{if } A = \{\mid\} \text{ then } x \text{ else } \max x (fMax A))$

*<proof>*

**lemma** *fMin-finsert[simp]*:  $fMin (finsert x A) = (\text{if } A = \{\mid\} \text{ then } x \text{ else } \min x (fMin A))$

*<proof>*

**context** *linorder* **begin**

**lemma** *fset-linorder-max-induct*[*case-names fempty finsert*]:  
**assumes**  $P \{\{\}\}$   
**and**  $\bigwedge x S. [\forall y. y \in S \longrightarrow y < x; P S] \Longrightarrow P (\text{finsert } x S)$   
**shows**  $P S$   
 $\langle \text{proof} \rangle$

**lemma** *fset-linorder-min-induct*[*case-names fempty finsert*]:  
**assumes**  $P \{\{\}\}$   
**and**  $\bigwedge x S. [\forall y. y \in S \longrightarrow y > x; P S] \Longrightarrow P (\text{finsert } x S)$   
**shows**  $P S$   
 $\langle \text{proof} \rangle$

**end**

### 30.6 Choice in fsets

**lemma** *fset-choice*:  
**assumes**  $\forall x. x \in A \longrightarrow (\exists y. P x y)$   
**shows**  $\exists f. \forall x. x \in A \longrightarrow P x (f x)$   
 $\langle \text{proof} \rangle$

### 30.7 Induction and Cases rules for fsets

**lemma** *fset-exhaust* [*case-names empty insert, cases type: fset*]:  
**assumes** *fempty-case*:  $S = \{\{\}\} \Longrightarrow P$   
**and** *finsert-case*:  $\bigwedge x S'. S = \text{finsert } x S' \Longrightarrow P$   
**shows**  $P$   
 $\langle \text{proof} \rangle$

**lemma** *fset-induct* [*case-names empty insert*]:  
**assumes** *fempty-case*:  $P \{\{\}\}$   
**and** *finsert-case*:  $\bigwedge x S. P S \Longrightarrow P (\text{finsert } x S)$   
**shows**  $P S$   
 $\langle \text{proof} \rangle$

**lemma** *fset-induct-stronger* [*case-names empty insert, induct type: fset*]:  
**assumes** *empty-fset-case*:  $P \{\{\}\}$   
**and** *insert-fset-case*:  $\bigwedge x S. [x \notin S; P S] \Longrightarrow P (\text{finsert } x S)$   
**shows**  $P S$   
 $\langle \text{proof} \rangle$

**lemma** *fset-card-induct*:  
**assumes** *empty-fset-case*:  $P \{\{\}\}$   
**and** *card-fset-Suc-case*:  $\bigwedge S T. \text{Suc } (fcard S) = (fcard T) \Longrightarrow P S \Longrightarrow P T$   
**shows**  $P S$   
 $\langle \text{proof} \rangle$



**lemma** *fset-strong-cases*:

**obtains**  $xs = \{\{\}\}$   
 |  $ys\ x$  **where**  $x \notin ys$  **and**  $xs = \text{finsert } x\ ys$   
 ⟨*proof*⟩

**lemma** *fset-induct2*:

$P\ \{\{\}\}\ \{\{\}\} \implies$   
 $(\bigwedge x\ xs.\ x \notin xs \implies P\ (\text{finsert } x\ xs)\ \{\{\}\}) \implies$   
 $(\bigwedge y\ ys.\ y \notin ys \implies P\ \{\{\}\}\ (\text{finsert } y\ ys)) \implies$   
 $(\bigwedge x\ xs\ y\ ys.\ \llbracket P\ xs\ ys;\ x \notin xs;\ y \notin ys \rrbracket \implies P\ (\text{finsert } x\ xs)\ (\text{finsert } y\ ys)) \implies$   
 $P\ xs\ a\ ysa$   
 ⟨*proof*⟩

### 30.8 Lemmas depending on induction

**lemma** *ffUnion-fsubset-iff*:  $\text{ffUnion } A \sqsubseteq B \iff \text{fBall } A\ (\lambda x.\ x \sqsubseteq B)$   
 ⟨*proof*⟩

### 30.9 Setup for Lifting/Transfer

#### 30.9.1 Relator and predicator properties

**lift-definition** *rel-fset* ::  $(\ 'a \Rightarrow \ 'b \Rightarrow \ \text{bool}) \Rightarrow \ 'a\ \text{fset} \Rightarrow \ 'b\ \text{fset} \Rightarrow \ \text{bool}$  **is** *rel-set*  
**parametric** *rel-set-transfer* ⟨*proof*⟩

**lemma** *rel-fset-alt-def*:  $\text{rel-fset } R = (\lambda A\ B.\ (\forall x.\exists y.\ x \in A \longrightarrow y \in B \wedge R\ x\ y) \wedge (\forall y.\exists x.\ y \in B \longrightarrow x \in A \wedge R\ x\ y))$   
 ⟨*proof*⟩

**lemma** *finite-rel-set*:

**assumes** *fin*: *finite*  $X$  *finite*  $Z$   
**assumes** *R-S*: *rel-set*  $(R\ OO\ S)\ X\ Z$   
**shows**  $\exists Y.$  *finite*  $Y \wedge \text{rel-set } R\ X\ Y \wedge \text{rel-set } S\ Y\ Z$   
 ⟨*proof*⟩

#### 30.9.2 Transfer rules for the Transfer package

Unconditional transfer rules

**context includes** *lifting-syntax*

**begin**

**lemma** *fempty-transfer* [*transfer-rule*]:

$\text{rel-fset } A\ \{\{\}\}\ \{\{\}\}$   
 ⟨*proof*⟩

**lemma** *finsert-transfer* [*transfer-rule*]:

$(A \implies \text{rel-fset } A \implies \text{rel-fset } A)$  *finsert finsert*  
 ⟨*proof*⟩

**lemma** *funion-transfer* [*transfer-rule*]:

$(rel\text{-}fset\ A\ ==\!>\ rel\text{-}fset\ A\ ==\!>\ rel\text{-}fset\ A)$  *funion funion*  
 $\langle proof \rangle$

**lemma** *ffUnion-transfer* [*transfer-rule*]:  
 $(rel\text{-}fset\ (rel\text{-}fset\ A)\ ==\!>\ rel\text{-}fset\ A)$  *ffUnion ffUnion*  
 $\langle proof \rangle$

**lemma** *fimage-transfer* [*transfer-rule*]:  
 $((A\ ==\!>\ B)\ ==\!>\ rel\text{-}fset\ A\ ==\!>\ rel\text{-}fset\ B)$  *fimage fimage*  
 $\langle proof \rangle$

**lemma** *fBall-transfer* [*transfer-rule*]:  
 $(rel\text{-}fset\ A\ ==\!>\ (A\ ==\!>\ (=))\ ==\!>\ (=))$  *fBall fBall*  
 $\langle proof \rangle$

**lemma** *fBex-transfer* [*transfer-rule*]:  
 $(rel\text{-}fset\ A\ ==\!>\ (A\ ==\!>\ (=))\ ==\!>\ (=))$  *fBex fBex*  
 $\langle proof \rangle$

**lemma** *fPow-transfer* [*transfer-rule*]:  
 $(rel\text{-}fset\ A\ ==\!>\ rel\text{-}fset\ (rel\text{-}fset\ A))$  *fPow fPow*  
 $\langle proof \rangle$

**lemma** *rel-fset-transfer* [*transfer-rule*]:  
 $((A\ ==\!>\ B)\ ==\!>\ (=))\ ==\!>\ rel\text{-}fset\ A\ ==\!>\ rel\text{-}fset\ B\ ==\!>\ (=)$   
 $rel\text{-}fset\ rel\text{-}fset$   
 $\langle proof \rangle$

**lemma** *bind-transfer* [*transfer-rule*]:  
 $(rel\text{-}fset\ A\ ==\!>\ (A\ ==\!>\ rel\text{-}fset\ B)\ ==\!>\ rel\text{-}fset\ B)$  *fbind fbind*  
 $\langle proof \rangle$

Rules requiring bi-unique, bi-total or right-total relations

**lemma** *fmember-transfer* [*transfer-rule*]:  
**assumes** *bi-unique A*  
**shows**  $(A\ ==\!>\ rel\text{-}fset\ A\ ==\!>\ (=))\ (|\in|)\ (|\in|)$   
 $\langle proof \rangle$

**lemma** *finter-transfer* [*transfer-rule*]:  
**assumes** *bi-unique A*  
**shows**  $(rel\text{-}fset\ A\ ==\!>\ rel\text{-}fset\ A\ ==\!>\ rel\text{-}fset\ A)$  *finter finter*  
 $\langle proof \rangle$

**lemma** *fminus-transfer* [*transfer-rule*]:  
**assumes** *bi-unique A*  
**shows**  $(rel\text{-}fset\ A\ ==\!>\ rel\text{-}fset\ A\ ==\!>\ rel\text{-}fset\ A)$   $(|\text{-}|)\ (|\text{-}|)$   
 $\langle proof \rangle$

**lemma** *fsubset-transfer* [*transfer-rule*]:

**assumes** *bi-unique A*

**shows**  $(rel\text{-}fset\ A ==> rel\text{-}fset\ A ==> (=))\ (|\subseteq|)\ (|\subseteq|)$

*<proof>*

**lemma** *fSup-transfer* [*transfer-rule*]:

*bi-unique A*  $\implies (rel\text{-}set\ (rel\text{-}fset\ A) ==> rel\text{-}fset\ A)\ Sup\ Sup$

*<proof>*

**lemma** *fInf-transfer* [*transfer-rule*]:

**assumes** *bi-unique A* **and** *bi-total A*

**shows**  $(rel\text{-}set\ (rel\text{-}fset\ A) ==> rel\text{-}fset\ A)\ Inf\ Inf$

*<proof>*

**lemma** *ffilter-transfer* [*transfer-rule*]:

**assumes** *bi-unique A*

**shows**  $((A ==> (=)) ==> rel\text{-}fset\ A ==> rel\text{-}fset\ A)\ ffilter\ ffilter$

*<proof>*

**lemma** *card-transfer* [*transfer-rule*]:

*bi-unique A*  $\implies (rel\text{-}fset\ A ==> (=))\ fcard\ fcard$

*<proof>*

**end**

**lifting-update** *fset.lifting*

**lifting-forget** *fset.lifting*

### 30.10 BNF setup

**context**

**includes** *fset.lifting*

**begin**

**lemma** *rel-fset-alt*:

$rel\text{-}fset\ R\ a\ b \iff (\forall t \in fset\ a.\ \exists u \in fset\ b.\ R\ t\ u) \wedge (\forall t \in fset\ b.\ \exists u \in fset\ a.\ R\ u\ t)$

*<proof>*

**lemma** *fset-to-fset*:  $finite\ A \implies fset\ (the\text{-}inv\ fset\ A) = A$

*<proof>*

**lemma** *rel-fset-aux*:

$(\forall t \in fset\ a.\ \exists u \in fset\ b.\ R\ t\ u) \wedge (\forall u \in fset\ b.\ \exists t \in fset\ a.\ R\ t\ u) \iff$   
 $((BNF\text{-}Def.\ Grp\ \{a.\ fset\ a \subseteq \{(a, b).\ R\ a\ b\}\}\ (fimage\ fst))^{-1-1}\ OO$

$BNF\text{-}Def.\ Grp\ \{a.\ fset\ a \subseteq \{(a, b).\ R\ a\ b\}\}\ (fimage\ snd))\ a\ b\ (is\ ?L = ?R)$

*<proof>*

```

bnf 'a fset
  map: fimage
  sets: fset
  bd: natLeq
  wits: {||}
  rel: rel-fset
⟨proof⟩

```

```

lemma rel-fset-fset: rel-set  $\chi$  (fset A1) (fset A2) = rel-fset  $\chi$  A1 A2
⟨proof⟩

```

**end**

```

declare
  fset.map-comp[simp]
  fset.map-id[simp]
  fset.set-map[simp]

```

### 30.11 Size setup

```

context includes fset.lifting begin

```

```

lift-definition size-fset :: ('a  $\Rightarrow$  nat)  $\Rightarrow$  'a fset  $\Rightarrow$  nat is  $\lambda f$ . sum (Suc  $\circ$  f) ⟨proof⟩
end

```

```

instantiation fset :: (type) size begin

```

```

definition size-fset where
  size-fset-overloaded-def: size-fset = FSet.size-fset ( $\lambda$ -. 0)
instance ⟨proof⟩
end

```

```

lemma size-fset-simps[simp]: size-fset f X = ( $\sum x \in$  fset X. Suc (f x))
⟨proof⟩

```

```

lemma size-fset-overloaded-simps[simp]: size X = ( $\sum x \in$  fset X. Suc 0)
⟨proof⟩

```

```

lemma fset-size-o-map: inj f  $\implies$  size-fset g  $\circ$  fimage f = size-fset (g  $\circ$  f)
⟨proof⟩
including fset.lifting ⟨proof⟩

```

⟨ML⟩

```

lifting-update fset.lifting
lifting-forget fset.lifting

```

### 30.12 Advanced relator customization

Set vs. sum relators:

**lemma** *rel-set-rel-sum*[simp]:  
 $rel\text{-}set\ (rel\text{-}sum\ \chi\ \varphi)\ A1\ A2 \longleftrightarrow$   
 $rel\text{-}set\ \chi\ (Inl\ -' A1)\ (Inl\ -' A2) \wedge rel\text{-}set\ \varphi\ (Inr\ -' A1)\ (Inr\ -' A2)$   
**(is** ?L  $\longleftrightarrow$  ?Rl  $\wedge$  ?Rr)  
 ⟨proof⟩

### 30.12.1 Countability

**lemma** *exists-fset-of-list*:  $\exists xs.\ fset\text{-}of\text{-}list\ xs = S$   
**including** *fset.lifting*  
 ⟨proof⟩

**lemma** *fset-of-list-surj*[simp, intro]: *surj fset-of-list*  
 ⟨proof⟩

**instance** *fset* :: (countable) countable  
 ⟨proof⟩

### 30.13 Quickcheck setup

Setup adapted from sets.

**notation** *Quickcheck-Exhaustive.orelse* (**infixr** *orelse* 55)

**context**

**includes** *term-syntax*

**begin**

**definition** [code-unfold]:  
*valterm-femptyset* = *Code-Evaluation.valtermify* ({} :: ('a :: typerep) fset)

**definition** [code-unfold]:  
*valtermify-finsert*  $x\ s = Code\text{-}Evaluation.valtermify\ finsert\ \{.\}\ (x :: ('a :: typerep * -))\ \{.\}\ s$

**end**

**instantiation** *fset* :: (exhaustive) exhaustive  
**begin**

**fun** *exhaustive-fset* **where**

*exhaustive-fset*  $f\ i = (if\ i = 0\ then\ None\ else\ (f\ \{\|\}\ orelse\ exhaustive\text{-}fset\ (\lambda A.\ f\ A\ orelse\ Quickcheck\text{-}Exhaustive.exhaustive\ (\lambda x.\ if\ x\ |\in|\ A\ then\ None\ else\ f\ (finsert\ x\ A))\ (i - 1))\ (i - 1)))$

**instance** ⟨proof⟩

**end**

**instantiation** *fset* :: (full-exhaustive) full-exhaustive

**begin**

**fun** *full-exhaustive-fset* **where**

*full-exhaustive-fset* *f* *i* = (if *i* = 0 then None else (*f* *valterm-femptyset* orelse  
*full-exhaustive-fset* ( $\lambda A. f A$  orelse *Quickcheck-Exhaustive.full-exhaustive* ( $\lambda x. if$   
*fst* *x* | $\in$ | *fst* *A* then None else *f* (*valtermify-finsert* *x* *A*)) (*i* - 1)) (*i* - 1)))

**instance**  $\langle proof \rangle$

**end**

**no-notation** *Quickcheck-Exhaustive.orelse* (**infixr** *orelse* 55)

**instantiation** *fset* :: (*random*) *random*

**begin**

**context**

**includes** *state-combinator-syntax*

**begin**

**fun** *random-aux-fset* :: *natural*  $\Rightarrow$  *natural*  $\Rightarrow$  *natural*  $\times$  *natural*  $\Rightarrow$  (*a* *fset*  $\times$  (*unit*  
 $\Rightarrow$  *term*))  $\times$  *natural*  $\times$  *natural* **where**

*random-aux-fset* 0 *j* = *Quickcheck-Random.collapse* (*Random.select-weight* [(1, *Pair*  
*valterm-femptyset*)] |

*random-aux-fset* (*Code-Numeral.Suc* *i*) *j* =

*Quickcheck-Random.collapse* (*Random.select-weight*

[(1, *Pair* *valterm-femptyset*),

(*Code-Numeral.Suc* *i*,

*Quickcheck-Random.random* *j*  $\circ \rightarrow$  ( $\lambda x. random-aux-fset$  *i* *j*  $\circ \rightarrow$  ( $\lambda s. Pair$

(*valtermify-finsert* *x* *s*))))])

**lemma** [*code*]:

*random-aux-fset* *i* *j* =

*Quickcheck-Random.collapse* (*Random.select-weight* [(1, *Pair* *valterm-femptyset*),

(*i*, *Quickcheck-Random.random* *j*  $\circ \rightarrow$  ( $\lambda x. random-aux-fset$  (*i* - 1) *j*  $\circ \rightarrow$  ( $\lambda s. Pair$

*Pair* (*valtermify-finsert* *x* *s*))))])

$\langle proof \rangle$

**definition** *random-fset* *i* = *random-aux-fset* *i* *i*

**instance**  $\langle proof \rangle$

**end**

**end**

### 30.14 Code Generation Setup

The following *code-unfold* lemmas are so the pre-processor of the code generator will perform conversions like, e.g.,  $(x \in f \mid f \mid \text{fset-of-list } xs) = (x \in f \text{ ' set } xs)$ .

```

declare
  ffilter.rep-eq[code-unfold]
  fimage.rep-eq[code-unfold]
  finsert.rep-eq[code-unfold]
  fset-of-list.rep-eq[code-unfold]
  inf-fset.rep-eq[code-unfold]
  minus-fset.rep-eq[code-unfold]
  sup-fset.rep-eq[code-unfold]
  uminus-fset.rep-eq[code-unfold]

end

```

## 31 Type of finite maps defined as a subtype of maps

```

theory Finite-Map
  imports FSet AList Conditional-Parametricity
  abbrevs (= =  $\subseteq_f$ )
begin

```

### 31.1 Auxiliary constants and lemmas over *map*

```

parametric-constant map-add-transfer[transfer-rule]: map-add-def
parametric-constant map-of-transfer[transfer-rule]: map-of-def

```

```

context includes lifting-syntax begin

```

```

abbreviation rel-map :: ('b  $\Rightarrow$  'c  $\Rightarrow$  bool)  $\Rightarrow$  ('a  $\rightarrow$  'b)  $\Rightarrow$  ('a  $\rightarrow$  'c)  $\Rightarrow$  bool where
rel-map f  $\equiv$  (=)  $\implies \implies \implies$  rel-option f

```

```

lemma ran-transfer[transfer-rule]: (rel-map A  $\implies \implies \implies$  rel-set A) ran ran
<proof>

```

```

lemma ran-alt-def: ran m = (the  $\circ$  m) ' dom m
<proof>

```

```

parametric-constant dom-transfer[transfer-rule]: dom-def

```

```

definition map-upd :: 'a  $\Rightarrow$  'b  $\Rightarrow$  ('a  $\rightarrow$  'b)  $\Rightarrow$  ('a  $\rightarrow$  'b) where
map-upd k v m = m(k  $\mapsto$  v)

```

```

parametric-constant map-upd-transfer[transfer-rule]: map-upd-def

```

**definition**  $\text{map-filter} :: ('a \Rightarrow \text{bool}) \Rightarrow ('a \rightarrow 'b) \Rightarrow ('a \rightarrow 'b)$  **where**  
 $\text{map-filter } P \ m = (\lambda x. \text{ if } P \ x \ \text{then } m \ x \ \text{else } \text{None})$

**parametric-constant**  $\text{map-filter-transfer}[\text{transfer-rule}]$ :  $\text{map-filter-def}$

**lemma**  $\text{map-filter-map-of}[\text{simp}]$ :  $\text{map-filter } P \ (\text{map-of } m) = \text{map-of } [(k, -) \leftarrow m. P \ k]$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{map-filter-finite}[\text{intro}]$ :  
**assumes**  $\text{finite } (\text{dom } m)$   
**shows**  $\text{finite } (\text{dom } (\text{map-filter } P \ m))$   
 $\langle \text{proof} \rangle$

**definition**  $\text{map-drop} :: 'a \Rightarrow ('a \rightarrow 'b) \Rightarrow ('a \rightarrow 'b)$  **where**  
 $\text{map-drop } a = \text{map-filter } (\lambda a'. a' \neq a)$

**parametric-constant**  $\text{map-drop-transfer}[\text{transfer-rule}]$ :  $\text{map-drop-def}$

**definition**  $\text{map-drop-set} :: 'a \ \text{set} \Rightarrow ('a \rightarrow 'b) \Rightarrow ('a \rightarrow 'b)$  **where**  
 $\text{map-drop-set } A = \text{map-filter } (\lambda a. a \notin A)$

**parametric-constant**  $\text{map-drop-set-transfer}[\text{transfer-rule}]$ :  $\text{map-drop-set-def}$

**definition**  $\text{map-restrict-set} :: 'a \ \text{set} \Rightarrow ('a \rightarrow 'b) \Rightarrow ('a \rightarrow 'b)$  **where**  
 $\text{map-restrict-set } A = \text{map-filter } (\lambda a. a \in A)$

**parametric-constant**  $\text{map-restrict-set-transfer}[\text{transfer-rule}]$ :  $\text{map-restrict-set-def}$

**definition**  $\text{map-pred} :: ('a \Rightarrow 'b \Rightarrow \text{bool}) \Rightarrow ('a \rightarrow 'b) \Rightarrow \text{bool}$  **where**  
 $\text{map-pred } P \ m \longleftrightarrow (\forall x. \text{ case } m \ x \ \text{of } \text{None} \Rightarrow \text{True} \mid \text{Some } y \Rightarrow P \ x \ y)$

**parametric-constant**  $\text{map-pred-transfer}[\text{transfer-rule}]$ :  $\text{map-pred-def}$

**definition**  $\text{rel-map-on-set} :: 'a \ \text{set} \Rightarrow ('b \Rightarrow 'c \Rightarrow \text{bool}) \Rightarrow ('a \rightarrow 'b) \Rightarrow ('a \rightarrow 'c) \Rightarrow \text{bool}$  **where**  
 $\text{rel-map-on-set } S \ P = \text{eq-onp } (\lambda x. x \in S) \implies \text{rel-option } P$

**definition**  $\text{set-of-map} :: ('a \rightarrow 'b) \Rightarrow ('a \times 'b) \ \text{set}$  **where**  
 $\text{set-of-map } m = \{(k, v) \mid k \ v. m \ k = \text{Some } v\}$

**lemma**  $\text{set-of-map-alt-def}$ :  $\text{set-of-map } m = (\lambda k. (k, \text{the } (m \ k))) \text{ ` dom } m$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{set-of-map-finite}$ :  $\text{finite } (\text{dom } m) \implies \text{finite } (\text{set-of-map } m)$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{set-of-map-inj}$ :  $\text{inj } \text{set-of-map}$   
 $\langle \text{proof} \rangle$



**lemma** *dom-comp*:  $\text{dom } (m \circ_m n) \subseteq \text{dom } n$   
 ⟨*proof*⟩

**lemma** *dom-comp-finite*:  $\text{finite } (\text{dom } n) \implies \text{finite } (\text{dom } (\text{map-comp } m \ n))$   
 ⟨*proof*⟩

**parametric-constant** *map-comp-transfer*[*transfer-rule*]: *map-comp-def*

**end**

### 31.2 Abstract characterisation

**typedef** (*'a*, *'b*) *fmap* = {*m*. *finite* (*dom m*)} :: (*'a*  $\rightarrow$  *'b*) *set*  
**morphisms** *fmlookup* *Abs-fmap*  
 ⟨*proof*⟩

**setup-lifting** *type-definition-fmap*

**lemma** *dom-fmlookup-finite*[*intro*, *simp*]:  $\text{finite } (\text{dom } (\text{fmlookup } m))$   
 ⟨*proof*⟩

**lemma** *fmap-ext*:  
**assumes**  $\bigwedge x. \text{fmlookup } m \ x = \text{fmlookup } n \ x$   
**shows**  $m = n$   
 ⟨*proof*⟩

### 31.3 Operations

**context**  
**includes** *fset.lifting*  
**begin**

**lift-definition** *fmran* :: (*'a*, *'b*) *fmap*  $\Rightarrow$  *'b* *fset*  
**is** *ran*  
**parametric** *ran-transfer*  
 ⟨*proof*⟩

**lemma** *fmlookup-ran-iff*:  $y \in | \text{fmran } m \iff (\exists x. \text{fmlookup } m \ x = \text{Some } y)$   
 ⟨*proof*⟩

**lemma** *fmranI*:  $\text{fmlookup } m \ x = \text{Some } y \implies y \in | \text{fmran } m$  ⟨*proof*⟩

**lemma** *fmranE*[*elim*]:  
**assumes**  $y \in | \text{fmran } m$   
**obtains**  $x$  **where**  $\text{fmlookup } m \ x = \text{Some } y$   
 ⟨*proof*⟩

**lift-definition** *fmdom* :: (*'a*, *'b*) *fmap*  $\Rightarrow$  *'a* *fset*  
**is** *dom*

**parametric dom-transfer**  
 ⟨proof⟩

**lemma fmllookup-dom-iff**:  $x \in | \text{fmdom } m \iff (\exists a. \text{fmllookup } m \ x = \text{Some } a)$   
 ⟨proof⟩

**lemma fmdom-notI**:  $\text{fmllookup } m \ x = \text{None} \implies x \notin | \text{fmdom } m$  ⟨proof⟩

**lemma fmdomI**:  $\text{fmllookup } m \ x = \text{Some } y \implies x \in | \text{fmdom } m$  ⟨proof⟩

**lemma fmdom-notD[dest]**:  $x \notin | \text{fmdom } m \implies \text{fmllookup } m \ x = \text{None}$  ⟨proof⟩

**lemma fmdomE[elim]**:  
 assumes  $x \in | \text{fmdom } m$   
 obtains  $y$  where  $\text{fmllookup } m \ x = \text{Some } y$   
 ⟨proof⟩

**lift-definition fmdom'** ::  $('a, 'b) \text{fmap} \Rightarrow 'a \text{ set}$   
 is *dom*  
**parametric dom-transfer**  
 ⟨proof⟩

**lemma fmllookup-dom'-iff**:  $x \in \text{fmdom}' \ m \iff (\exists a. \text{fmllookup } m \ x = \text{Some } a)$   
 ⟨proof⟩

**lemma fmdom'-notI**:  $\text{fmllookup } m \ x = \text{None} \implies x \notin \text{fmdom}' \ m$  ⟨proof⟩

**lemma fmdom'I**:  $\text{fmllookup } m \ x = \text{Some } y \implies x \in \text{fmdom}' \ m$  ⟨proof⟩

**lemma fmdom'-notD[dest]**:  $x \notin \text{fmdom}' \ m \implies \text{fmllookup } m \ x = \text{None}$  ⟨proof⟩

**lemma fmdom'E[elim]**:  
 assumes  $x \in \text{fmdom}' \ m$   
 obtains  $x \ y$  where  $\text{fmllookup } m \ x = \text{Some } y$   
 ⟨proof⟩

**lemma fmdom'-alt-def**:  $\text{fmdom}' \ m = \text{fset } (\text{fmdom } m)$   
 ⟨proof⟩

**lemma finite-fmdom'[simp]**:  $\text{finite } (\text{fmdom}' \ m)$   
 ⟨proof⟩

**lemma dom-fmllookup[simp]**:  $\text{dom } (\text{fmllookup } m) = \text{fmdom}' \ m$   
 ⟨proof⟩

**lift-definition fmempty** ::  $('a, 'b) \text{fmap}$   
 is *Map.empty*  
 ⟨proof⟩

**lemma fmempty-lookup[simp]**:  $\text{fmllookup } \text{fmempty } \ x = \text{None}$   
 ⟨proof⟩

**lemma fmdom-empty[simp]**:  $\text{fmdom } \text{fmempty} = \{\}\ \langle \text{proof} \rangle$

**lemma** *fmdom'-empty[simp]*:  $fmdom' fmempty = \{\}$  *<proof>*

**lemma** *fmrans-empty[simp]*:  $fmrans fmempty = fempty$  *<proof>*

**lift-definition** *fmupd* ::  $'a \Rightarrow 'b \Rightarrow ('a, 'b) fmap \Rightarrow ('a, 'b) fmap$   
**is** *map-upd*  
**parametric** *map-upd-transfer*  
*<proof>*

**lemma** *fmupd-lookup[simp]*:  $fmlookup (fmupd a b m) a' = (if a = a' then Some b else fmlookup m a')$   
*<proof>*

**lemma** *fmdom-fmupd[simp]*:  $fmdom (fmupd a b m) = finsert a (fmdom m)$  *<proof>*

**lemma** *fmdom'-fmupd[simp]*:  $fmdom' (fmupd a b m) = insert a (fmdom' m)$  *<proof>*

**lemma** *fmupd-reorder-neg*:  
**assumes**  $a \neq b$   
**shows**  $fmupd a x (fmupd b y m) = fmupd b y (fmupd a x m)$   
*<proof>*

**lemma** *fmupd-idem[simp]*:  $fmupd a x (fmupd a y m) = fmupd a x m$   
*<proof>*

**lift-definition** *fmfilter* ::  $('a \Rightarrow bool) \Rightarrow ('a, 'b) fmap \Rightarrow ('a, 'b) fmap$   
**is** *map-filter*  
**parametric** *map-filter-transfer*  
*<proof>*

**lemma** *fmdom-filter[simp]*:  $fmdom (fmfilter P m) = ffilter P (fmdom m)$   
*<proof>*

**lemma** *fmdom'-filter[simp]*:  $fmdom' (fmfilter P m) = Set.filter P (fmdom' m)$   
*<proof>*

**lemma** *fmlookup-filter[simp]*:  $fmlookup (fmfilter P m) x = (if P x then fmlookup m x else None)$   
*<proof>*

**lemma** *fmfilter-empty[simp]*:  $fmfilter P fmempty = fmempty$   
*<proof>*

**lemma** *fmfilter-true[simp]*:  
**assumes**  $\bigwedge x y. fmlookup m x = Some y \implies P x$   
**shows**  $fmfilter P m = m$   
*<proof>*

**lemma** *fmfilter-false[simp]*:  
**assumes**  $\bigwedge x y. fmlookup m x = Some y \implies \neg P x$   
**shows**  $fmfilter P m = fmempty$

⟨proof⟩

**lemma** *fmfilter-comp[simp]*:  $\text{fmfilter } P (\text{fmfilter } Q m) = \text{fmfilter } (\lambda x. P x \wedge Q x)$

*m*

⟨proof⟩

**lemma** *fmfilter-comm*:  $\text{fmfilter } P (\text{fmfilter } Q m) = \text{fmfilter } Q (\text{fmfilter } P m)$

⟨proof⟩

**lemma** *fmfilter-cong[cong]*:

**assumes**  $\bigwedge x y. \text{fmlookup } m x = \text{Some } y \implies P x = Q x$

**shows**  $\text{fmfilter } P m = \text{fmfilter } Q m$

⟨proof⟩

**lemma** *fmfilter-cong'[fundef-cong]*:

**assumes**  $m = n \wedge x. x \in \text{fmdom}' m \implies P x = Q x$

**shows**  $\text{fmfilter } P m = \text{fmfilter } Q n$

⟨proof⟩

**lemma** *fmfilter-upd[simp]*:

$\text{fmfilter } P (\text{fmupd } x y m) = (\text{if } P x \text{ then } \text{fmupd } x y (\text{fmfilter } P m) \text{ else } \text{fmfilter } P m)$

⟨proof⟩

**lift-definition** *fmdrop* ::  $'a \Rightarrow ('a, 'b) \text{fmap} \Rightarrow ('a, 'b) \text{fmap}$

**is** *map-drop*

**parametric** *map-drop-transfer*

⟨proof⟩

**lemma** *fmdrop-lookup[simp]*:  $\text{fmlookup } (\text{fmdrop } a m) a = \text{None}$

⟨proof⟩

**lift-definition** *fmdrop-set* ::  $'a \text{ set} \Rightarrow ('a, 'b) \text{fmap} \Rightarrow ('a, 'b) \text{fmap}$

**is** *map-drop-set*

**parametric** *map-drop-set-transfer*

⟨proof⟩

**lift-definition** *fmdrop-fset* ::  $'a \text{ fset} \Rightarrow ('a, 'b) \text{fmap} \Rightarrow ('a, 'b) \text{fmap}$

**is** *map-drop-set*

**parametric** *map-drop-set-transfer*

⟨proof⟩

**lift-definition** *fmrestrict-set* ::  $'a \text{ set} \Rightarrow ('a, 'b) \text{fmap} \Rightarrow ('a, 'b) \text{fmap}$

**is** *map-restrict-set*

**parametric** *map-restrict-set-transfer*

⟨proof⟩

**lift-definition** *fmrestrict-fset* ::  $'a \text{ fset} \Rightarrow ('a, 'b) \text{fmap} \Rightarrow ('a, 'b) \text{fmap}$

**is** *map-restrict-set*

**parametric map-restrict-set-transfer**  
 ⟨proof⟩

**lemma fmfILTER-alt-defs:**

$fmdrop\ a = fmfILTER\ (\lambda a'.\ a' \neq a)$   
 $fmdrop\text{-set}\ A = fmfILTER\ (\lambda a.\ a \notin A)$   
 $fmdrop\text{-fset}\ B = fmfILTER\ (\lambda a.\ a \notin B)$   
 $fmrestrict\text{-set}\ A = fmfILTER\ (\lambda a.\ a \in A)$   
 $fmrestrict\text{-fset}\ B = fmfILTER\ (\lambda a.\ a \in B)$   
 ⟨proof⟩

**lemma fmdom-drop[simp]:**  $fmdom\ (fmdrop\ a\ m) = fmdom\ m - \{a\}$  ⟨proof⟩

**lemma fmdom'-drop[simp]:**  $fmdom'\ (fmdrop\ a\ m) = fmdom'\ m - \{a\}$  ⟨proof⟩

**lemma fmdom'-drop-set[simp]:**  $fmdom'\ (fmdrop\text{-set}\ A\ m) = fmdom'\ m - A$  ⟨proof⟩

**lemma fmdom-drop-fset[simp]:**  $fmdom\ (fmdrop\text{-fset}\ A\ m) = fmdom\ m - A$  ⟨proof⟩

**lemma fmdom'-restrict-set:**  $fmdom'\ (fmrestrict\text{-set}\ A\ m) \subseteq A$  ⟨proof⟩

**lemma fmdom-restrict-fset:**  $fmdom\ (fmrestrict\text{-fset}\ A\ m) \subseteq A$  ⟨proof⟩

**lemma fmdrop-fmupd:**  $fmdrop\ x\ (fmupd\ y\ z\ m) = (if\ x = y\ then\ fmdrop\ x\ m\ else\ fmupd\ y\ z\ (fmdrop\ x\ m))$   
 ⟨proof⟩

**lemma fmdrop-idle:**  $x \notin fmdom\ B \implies fmdrop\ x\ B = B$   
 ⟨proof⟩

**lemma fmdrop-idle':**  $x \notin fmdom'\ B \implies fmdrop\ x\ B = B$   
 ⟨proof⟩

**lemma fmdrop-fmupd-same:**  $fmdrop\ x\ (fmupd\ x\ y\ m) = fmdrop\ x\ m$   
 ⟨proof⟩

**lemma fmdom'-restrict-set-precise:**  $fmdom'\ (fmrestrict\text{-set}\ A\ m) = fmdom'\ m \cap A$   
 ⟨proof⟩

**lemma fmdom'-restrict-fset-precise:**  $fmdom\ (fmrestrict\text{-fset}\ A\ m) = fmdom\ m \setminus A$   
 ⟨proof⟩

**lemma fmdom'-drop-fset[simp]:**  $fmdom'\ (fmdrop\text{-fset}\ A\ m) = fmdom'\ m - fset\ A$   
 ⟨proof⟩

**lemma fmdom'-restrict-fset:**  $fmdom'\ (fmrestrict\text{-fset}\ A\ m) \subseteq fset\ A$   
 ⟨proof⟩

**lemma fmllookup-drop[simp]:**

$fmllookup\ (fmdrop\ a\ m)\ x = (if\ x \neq a\ then\ fmllookup\ m\ x\ else\ None)$   
 ⟨proof⟩

**lemma** *fmlookup-drop-set[simp]*:

*fmlookup (fmdrop-set A m) x = (if x  $\notin$  A then fmlookup m x else None)*  
*<proof>*

**lemma** *fmlookup-drop-fset[simp]*:

*fmlookup (fmdrop-fset A m) x = (if x  $\notin$  A then fmlookup m x else None)*  
*<proof>*

**lemma** *fmlookup-restrict-set[simp]*:

*fmlookup (fmrestrict-set A m) x = (if x  $\in$  A then fmlookup m x else None)*  
*<proof>*

**lemma** *fmlookup-restrict-fset[simp]*:

*fmlookup (fmrestrict-fset A m) x = (if x  $\in$  A then fmlookup m x else None)*  
*<proof>*

**lemma** *fmrestrict-set-dom[simp]*: *fmrestrict-set (fmdom' m) m = m*

*<proof>*

**lemma** *fmrestrict-fset-dom[simp]*: *fmrestrict-fset (fmdom m) m = m*

*<proof>*

**lemma** *fmdrop-empty[simp]*: *fmdrop a fmempty = fmempty*

*<proof>*

**lemma** *fmdrop-set-empty[simp]*: *fmdrop-set A fmempty = fmempty*

*<proof>*

**lemma** *fmdrop-fset-empty[simp]*: *fmdrop-fset A fmempty = fmempty*

*<proof>*

**lemma** *fmdrop-fset-fmdom[simp]*: *fmdrop-fset (fmdom A) A = fmempty*

*<proof>*

**lemma** *fmdrop-set-fmdom[simp]*: *fmdrop-set (fmdom' A) A = fmempty*

*<proof>*

**lemma** *fmrestrict-set-empty[simp]*: *fmrestrict-set A fmempty = fmempty*

*<proof>*

**lemma** *fmrestrict-fset-empty[simp]*: *fmrestrict-fset A fmempty = fmempty*

*<proof>*

**lemma** *fmdrop-set-null[simp]*: *fmdrop-set {} m = m*

*<proof>*

**lemma** *fmdrop-fset-null[simp]*: *fmdrop-fset {} m = m*

*<proof>*

**lemma** *fmdrop-set-single[simp]*:  $fmdrop\text{-set } \{a\} m = fmdrop\ a\ m$   
 ⟨proof⟩

**lemma** *fmdrop-fset-single[simp]*:  $fmdrop\text{-fset } \{|a|\} m = fmdrop\ a\ m$   
 ⟨proof⟩

**lemma** *fmrestrict-set-null[simp]*:  $fmrestrict\text{-set } \{\} m = fmempty$   
 ⟨proof⟩

**lemma** *fmrestrict-fset-null[simp]*:  $fmrestrict\text{-fset } \{|\} m = fmempty$   
 ⟨proof⟩

**lemma** *fmdrop-comm*:  $fmdrop\ a\ (fmdrop\ b\ m) = fmdrop\ b\ (fmdrop\ a\ m)$   
 ⟨proof⟩

**lemma** *fmdrop-set-insert[simp]*:  $fmdrop\text{-set } (insert\ x\ S) m = fmdrop\ x\ (fmdrop\text{-set } S\ m)$   
 ⟨proof⟩

**lemma** *fmdrop-fset-insert[simp]*:  $fmdrop\text{-fset } (finsert\ x\ S) m = fmdrop\ x\ (fmdrop\text{-fset } S\ m)$   
 ⟨proof⟩

**lemma** *fmrestrict-set-twice[simp]*:  $fmrestrict\text{-set } S\ (fmrestrict\text{-set } T\ m) = fmrestrict\text{-set } (S \cap T)\ m$   
 ⟨proof⟩

**lemma** *fmrestrict-fset-twice[simp]*:  $fmrestrict\text{-fset } S\ (fmrestrict\text{-fset } T\ m) = fmrestrict\text{-fset } (S \mid\cap\ T)\ m$   
 ⟨proof⟩

**lemma** *fmrestrict-set-drop[simp]*:  $fmrestrict\text{-set } S\ (fmdrop\ b\ m) = fmrestrict\text{-set } (S - \{b\})\ m$   
 ⟨proof⟩

**lemma** *fmrestrict-fset-drop[simp]*:  $fmrestrict\text{-fset } S\ (fmdrop\ b\ m) = fmrestrict\text{-fset } (S - \{|b|\})\ m$   
 ⟨proof⟩

**lemma** *fmdrop-fmrestrict-set[simp]*:  $fmdrop\ b\ (fmrestrict\text{-set } S\ m) = fmrestrict\text{-set } (S - \{b\})\ m$   
 ⟨proof⟩

**lemma** *fmdrop-fmrestrict-fset[simp]*:  $fmdrop\ b\ (fmrestrict\text{-fset } S\ m) = fmrestrict\text{-fset } (S - \{|b|\})\ m$   
 ⟨proof⟩

**lemma** *fmdrop-idem[simp]*:  $fmdrop\ a\ (fmdrop\ a\ m) = fmdrop\ a\ m$   
 ⟨proof⟩

**lemma** *fmdrop-set-twice[simp]*:  $fmdrop\text{-}set\ S\ (fmdrop\text{-}set\ T\ m) = fmdrop\text{-}set\ (S\ \cup\ T)\ m$   
 ⟨proof⟩

**lemma** *fmdrop-fset-twice[simp]*:  $fmdrop\text{-}fset\ S\ (fmdrop\text{-}fset\ T\ m) = fmdrop\text{-}fset\ (S\ \cup\ T)\ m$   
 ⟨proof⟩

**lemma** *fmdrop-set-fmdrop[simp]*:  $fmdrop\text{-}set\ S\ (fmdrop\ b\ m) = fmdrop\text{-}set\ (insert\ b\ S)\ m$   
 ⟨proof⟩

**lemma** *fmdrop-fset-fmdrop[simp]*:  $fmdrop\text{-}fset\ S\ (fmdrop\ b\ m) = fmdrop\text{-}fset\ (finsert\ b\ S)\ m$   
 ⟨proof⟩

**lift-definition** *fmadd* ::  $('a, 'b)\ fmap \Rightarrow ('a, 'b)\ fmap \Rightarrow ('a, 'b)\ fmap$  (**infixl**  $++_f$  100)  
 is *map-add*  
**parametric** *map-add-transfer*  
 ⟨proof⟩

**lemma** *fmlookup-add[simp]*:  
 $fmlookup\ (m\ ++_f\ n)\ x = (if\ x\ \in\ fmdom\ n\ then\ fmlookup\ n\ x\ else\ fmlookup\ m\ x)$   
 ⟨proof⟩

**lemma** *fmdom-add[simp]*:  $fmdom\ (m\ ++_f\ n) = fmdom\ m\ \cup\ fmdom\ n$  ⟨proof⟩

**lemma** *fmdom'-add[simp]*:  $fmdom'\ (m\ ++_f\ n) = fmdom'\ m\ \cup\ fmdom'\ n$  ⟨proof⟩

**lemma** *fmadd-drop-left-dom*:  $fmdrop\text{-}fset\ (fmdom\ n)\ m\ ++_f\ n = m\ ++_f\ n$   
 ⟨proof⟩

**lemma** *fmadd-restrict-right-dom*:  $fmrestrict\text{-}fset\ (fmdom\ n)\ (m\ ++_f\ n) = n$   
 ⟨proof⟩

**lemma** *fmfilter-add-distrib[simp]*:  $fmfilter\ P\ (m\ ++_f\ n) = fmfilter\ P\ m\ ++_f\ fmfilter\ P\ n$   
 ⟨proof⟩

**lemma** *fmdrop-add-distrib[simp]*:  $fmdrop\ a\ (m\ ++_f\ n) = fmdrop\ a\ m\ ++_f\ fmdrop\ a\ n$   
 ⟨proof⟩

**lemma** *fmdrop-set-add-distrib[simp]*:  $fmdrop\text{-}set\ A\ (m\ ++_f\ n) = fmdrop\text{-}set\ A\ m\ ++_f\ fmdrop\text{-}set\ A\ n$   
 ⟨proof⟩



**lemma** *fmdrop-fset-add-distrib[simp]*:  $fmdrop-fset A (m ++_f n) = fmdrop-fset A m ++_f fmdrop-fset A n$   
 ⟨proof⟩

**lemma** *fmrestrict-set-add-distrib[simp]*:  
 $fmrestrict-set A (m ++_f n) = fmrestrict-set A m ++_f fmrestrict-set A n$   
 ⟨proof⟩

**lemma** *fmrestrict-fset-add-distrib[simp]*:  
 $fmrestrict-fset A (m ++_f n) = fmrestrict-fset A m ++_f fmrestrict-fset A n$   
 ⟨proof⟩

**lemma** *fmadd-empty[simp]*:  $fmempty ++_f m = m m ++_f fmempty = m$   
 ⟨proof⟩

**lemma** *fmadd-idempotent[simp]*:  $m ++_f m = m$   
 ⟨proof⟩

**lemma** *fmadd-assoc[simp]*:  $m ++_f (n ++_f p) = m ++_f n ++_f p$   
 ⟨proof⟩

**lemma** *fmadd-fmupd[simp]*:  $m ++_f fmupd a b n = fmupd a b (m ++_f n)$   
 ⟨proof⟩

**lift-definition** *fmpred* ::  $('a \Rightarrow 'b \Rightarrow bool) \Rightarrow ('a, 'b) fmap \Rightarrow bool$   
 is *map-pred*  
**parametric** *map-pred-transfer*  
 ⟨proof⟩

**lemma** *fmpredI[intro]*:  
 assumes  $\bigwedge x y. fmlookup m x = Some y \Longrightarrow P x y$   
 shows *fmpred*  $P m$   
 ⟨proof⟩

**lemma** *fmpredD[dest]*: *fmpred*  $P m \Longrightarrow fmlookup m x = Some y \Longrightarrow P x y$   
 ⟨proof⟩

**lemma** *fmpred-iff*: *fmpred*  $P m \longleftrightarrow (\forall x y. fmlookup m x = Some y \longrightarrow P x y)$   
 ⟨proof⟩

**lemma** *fmpred-alt-def*: *fmpred*  $P m \longleftrightarrow fBall (fmdom m) (\lambda x. P x (the (fmlookup m x)))$   
 ⟨proof⟩

**lemma** *fmpred-mono-strong*:  
 assumes  $\bigwedge x y. fmlookup m x = Some y \Longrightarrow P x y \Longrightarrow Q x y$   
 shows *fmpred*  $P m \Longrightarrow fmpred Q m$   
 ⟨proof⟩

**lemma** *fmpred-mono*[*mono*]:  $P \leq Q \implies \text{fmpred } P \leq \text{fmpred } Q$   
 ⟨*proof*⟩

**lemma** *fmpred-empty*[*intro!*, *simp*]:  $\text{fmpred } P \text{ fmempty}$   
 ⟨*proof*⟩

**lemma** *fmpred-upd*[*intro*]:  $\text{fmpred } P \ m \implies P \ x \ y \implies \text{fmpred } P \ (\text{fmupd } x \ y \ m)$   
 ⟨*proof*⟩

**lemma** *fmpred-updD*[*dest*]:  $\text{fmpred } P \ (\text{fmupd } x \ y \ m) \implies P \ x \ y$   
 ⟨*proof*⟩

**lemma** *fmpred-add*[*intro*]:  $\text{fmpred } P \ m \implies \text{fmpred } P \ n \implies \text{fmpred } P \ (m \ ++_f \ n)$   
 ⟨*proof*⟩

**lemma** *fmpred-filter*[*intro*]:  $\text{fmpred } P \ m \implies \text{fmpred } P \ (\text{fmfilter } Q \ m)$   
 ⟨*proof*⟩

**lemma** *fmpred-drop*[*intro*]:  $\text{fmpred } P \ m \implies \text{fmpred } P \ (\text{fmdrop } a \ m)$   
 ⟨*proof*⟩

**lemma** *fmpred-drop-set*[*intro*]:  $\text{fmpred } P \ m \implies \text{fmpred } P \ (\text{fmdrop-set } A \ m)$   
 ⟨*proof*⟩

**lemma** *fmpred-drop-fset*[*intro*]:  $\text{fmpred } P \ m \implies \text{fmpred } P \ (\text{fmdrop-fset } A \ m)$   
 ⟨*proof*⟩

**lemma** *fmpred-restrict-set*[*intro*]:  $\text{fmpred } P \ m \implies \text{fmpred } P \ (\text{fmrestrict-set } A \ m)$   
 ⟨*proof*⟩

**lemma** *fmpred-restrict-fset*[*intro*]:  $\text{fmpred } P \ m \implies \text{fmpred } P \ (\text{fmrestrict-fset } A \ m)$   
 ⟨*proof*⟩

**lemma** *fmpred-cases*[*consumes 1*]:

**assumes**  $\text{fmpred } P \ m$

**obtains**  $(\text{none}) \ \text{fmlookup } m \ x = \text{None} \mid (\text{some}) \ y \ \mathbf{where} \ \text{fmlookup } m \ x = \text{Some } y \ P \ x \ y$

  ⟨*proof*⟩

**lift-definition**  $\text{fmsubset} :: ('a, 'b) \ \text{fmap} \Rightarrow ('a, 'b) \ \text{fmap} \Rightarrow \text{bool} \ (\mathbf{infix} \ \subseteq_f \ 50)$

**is** *map-le*

  ⟨*proof*⟩

**lemma** *fmsubset-alt-def*:  $m \subseteq_f n \iff \text{fmpred } (\lambda k \ v. \ \text{fmlookup } n \ k = \text{Some } v) \ m$   
 ⟨*proof*⟩

**lemma** *fmsubset-pred*:  $\text{fmpred } P \ m \implies n \subseteq_f m \implies \text{fmpred } P \ n$   
 ⟨*proof*⟩

**lemma** *fmsubset-filter-mono*:  $m \subseteq_f n \implies \text{fmfilter } P \ m \subseteq_f \text{fmfilter } P \ n$   
 ⟨proof⟩

**lemma** *fmsubset-drop-mono*:  $m \subseteq_f n \implies \text{fmdrop } a \ m \subseteq_f \text{fmdrop } a \ n$   
 ⟨proof⟩

**lemma** *fmsubset-drop-set-mono*:  $m \subseteq_f n \implies \text{fmdrop-set } A \ m \subseteq_f \text{fmdrop-set } A \ n$   
 ⟨proof⟩

**lemma** *fmsubset-drop-fset-mono*:  $m \subseteq_f n \implies \text{fmdrop-fset } A \ m \subseteq_f \text{fmdrop-fset } A \ n$   
 ⟨proof⟩

**lemma** *fmsubset-restrict-set-mono*:  $m \subseteq_f n \implies \text{fmrestrict-set } A \ m \subseteq_f \text{fmrestrict-set } A \ n$   
 ⟨proof⟩

**lemma** *fmsubset-restrict-fset-mono*:  $m \subseteq_f n \implies \text{fmrestrict-fset } A \ m \subseteq_f \text{fmrestrict-fset } A \ n$   
 ⟨proof⟩

**lemma** *fmfilter-subset[simp]*:  $\text{fmfilter } P \ m \subseteq_f m$   
 ⟨proof⟩

**lemma** *fmsubset-drop[simp]*:  $\text{fmdrop } a \ m \subseteq_f m$   
 ⟨proof⟩

**lemma** *fmsubset-drop-set[simp]*:  $\text{fmdrop-set } S \ m \subseteq_f m$   
 ⟨proof⟩

**lemma** *fmsubset-drop-fset[simp]*:  $\text{fmdrop-fset } S \ m \subseteq_f m$   
 ⟨proof⟩

**lemma** *fmsubset-restrict-set[simp]*:  $\text{fmrestrict-set } S \ m \subseteq_f m$   
 ⟨proof⟩

**lemma** *fmsubset-restrict-fset[simp]*:  $\text{fmrestrict-fset } S \ m \subseteq_f m$   
 ⟨proof⟩

**lift-definition** *fset-of-fmap* ::  $('a, 'b) \text{ fmap} \Rightarrow ('a \times 'b) \text{ fset}$  **is** *set-of-map*  
 ⟨proof⟩

**lemma** *fset-of-fmap-inj[intro, simp]*: *inj fset-of-fmap*  
 ⟨proof⟩

**lemma** *fset-of-fmap-iff[simp]*:  $(a, b) \in | \text{fset-of-fmap } m \iff \text{fmlookup } m \ a = \text{Some } b$   
 ⟨proof⟩

**lemma** *fset-of-fmap-iff'*[simp]:  $(a, b) \in \text{fset} (\text{fset-of-fmap } m) \longleftrightarrow \text{fmlookup } m \ a = \text{Some } b$   
 ⟨proof⟩

**lift-definition** *fmap-of-list* ::  $('a \times 'b) \text{ list} \Rightarrow ('a, 'b) \text{ fmap}$   
 is *map-of*  
 parametric *map-of-transfer*  
 ⟨proof⟩

**lemma** *fmap-of-list-simps*[simp]:  
*fmap-of-list* [] = *fmempty*  
*fmap-of-list* ((*k*, *v*) # *kvs*) = *fmupd* *k* *v* (*fmap-of-list* *kvs*)  
 ⟨proof⟩

**lemma** *fmap-of-list-app*[simp]: *fmap-of-list* (*xs* @ *ys*) = *fmap-of-list* *ys* ++<sub>f</sub> *fmap-of-list* *xs*  
 ⟨proof⟩

**lemma** *fmupd-alt-def*: *fmupd* *k* *v* *m* = *m* ++<sub>f</sub> *fmap-of-list* [(*k*, *v*)]  
 ⟨proof⟩

**lemma** *fmpred-of-list*[intro]:  
 assumes  $\bigwedge k \ v. (k, v) \in \text{set } xs \implies P \ k \ v$   
 shows *fmpred* *P* (*fmap-of-list* *xs*)  
 ⟨proof⟩

**lemma** *fmap-of-list-SomeD*: *fmlookup* (*fmap-of-list* *xs*) *k* = *Some* *v*  $\implies (k, v) \in \text{set } xs$   
 ⟨proof⟩

**lemma** *fmdom-fmap-of-list*[simp]: *fmdom* (*fmap-of-list* *xs*) = *fset-of-list* (*map* *fst* *xs*)  
 ⟨proof⟩

**lift-definition** *fmrel-on-fset* ::  $'a \text{ fset} \Rightarrow ('b \Rightarrow 'c \Rightarrow \text{bool}) \Rightarrow ('a, 'b) \text{ fmap} \Rightarrow ('a, 'c) \text{ fmap} \Rightarrow \text{bool}$   
 is *rel-map-on-set*  
 ⟨proof⟩

**lemma** *fmrel-on-fset-alt-def*: *fmrel-on-fset* *S* *P* *m* *n*  $\longleftrightarrow \text{fBall } S (\lambda x. \text{rel-option } P (\text{fmlookup } m \ x) (\text{fmlookup } n \ x))$   
 ⟨proof⟩

**lemma** *fmrel-on-fsetI*[intro]:  
 assumes  $\bigwedge x. x \in S \implies \text{rel-option } P (\text{fmlookup } m \ x) (\text{fmlookup } n \ x)$   
 shows *fmrel-on-fset* *S* *P* *m* *n*  
 ⟨proof⟩

**lemma** *fmrel-on-fset-mono*[mono]:  $R \leq Q \implies \text{fmrel-on-fset } S \ R \leq \text{fmrel-on-fset } S \ Q$

$S Q$   
 $\langle proof \rangle$

**lemma**  $fmrel\text{-}on\text{-}fsetD$ :  $x \in S \implies fmrel\text{-}on\text{-}fset S P m n \implies rel\text{-}option P (fmlookup m x) (fmlookup n x)$   
 $\langle proof \rangle$

**lemma**  $fmrel\text{-}on\text{-}fsubset$ :  $fmrel\text{-}on\text{-}fset S R m n \implies T \subseteq S \implies fmrel\text{-}on\text{-}fset T R m n$   
 $\langle proof \rangle$

**lemma**  $fmrel\text{-}on\text{-}fset\text{-}unionI$ :  
 $fmrel\text{-}on\text{-}fset A R m n \implies fmrel\text{-}on\text{-}fset B R m n \implies fmrel\text{-}on\text{-}fset (A \cup B) R m n$   
 $\langle proof \rangle$

**lemma**  $fmrel\text{-}on\text{-}fset\text{-}updateI$ :  
**assumes**  $fmrel\text{-}on\text{-}fset S P m n P v_1 v_2$   
**shows**  $fmrel\text{-}on\text{-}fset (finsert k S) P (fmupd k v_1 m) (fmupd k v_2 n)$   
 $\langle proof \rangle$

**lift-definition**  $fmimage$  ::  $( 'a, 'b) fmap \Rightarrow 'a fset \Rightarrow 'b fset$  **is**  $\lambda m S. \{b \mid a b. m a = Some b \wedge a \in S\}$   
 $\langle proof \rangle$

**lemma**  $fmimage\text{-}alt\text{-}def$ :  $fmimage m S = fmran (fmrestrict\text{-}fset S m)$   
 $\langle proof \rangle$

**lemma**  $fmimage\text{-}empty[simp]$ :  $fmimage m fempty = fempty$   
 $\langle proof \rangle$

**lemma**  $fmimage\text{-}subset\text{-}ran[simp]$ :  $fmimage m S \subseteq fmran m$   
 $\langle proof \rangle$

**lemma**  $fmimage\text{-}dom[simp]$ :  $fmimage m (fmdom m) = fmran m$   
 $\langle proof \rangle$

**lemma**  $fmimage\text{-}inter$ :  $fmimage m (A \cap B) \subseteq fmimage m A \cap fmimage m B$   
 $\langle proof \rangle$

**lemma**  $fmimage\text{-}inter\text{-}dom[simp]$ :  
 $fmimage m (fmdom m \cap A) = fmimage m A$   
 $fmimage m (A \cap fmdom m) = fmimage m A$   
 $\langle proof \rangle$

**lemma**  $fmimage\text{-}union[simp]$ :  $fmimage m (A \cup B) = fmimage m A \cup fmimage m B$   
 $\langle proof \rangle$

**lemma** *fmimage-Union[simp]*:  $fmimage\ m\ (ffUnion\ A) = ffUnion\ (fmimage\ m\ |\cdot| A)$

*<proof>*

**lemma** *fmimage-filter[simp]*:  $fmimage\ (fmfilter\ P\ m)\ A = fmimage\ m\ (ffilter\ P\ A)$

*<proof>*

**lemma** *fmimage-drop[simp]*:  $fmimage\ (fmdrop\ a\ m)\ A = fmimage\ m\ (A - \{a\})$

*<proof>*

**lemma** *fmimage-drop-fset[simp]*:  $fmimage\ (fmdrop-fset\ B\ m)\ A = fmimage\ m\ (A - B)$

*<proof>*

**lemma** *fmimage-restrict-fset[simp]*:  $fmimage\ (fmrestrict-fset\ B\ m)\ A = fmimage\ m\ (A |\cap| B)$

*<proof>*

**lemma** *fmfilter-ran[simp]*:  $fmran\ (fmfilter\ P\ m) = fmimage\ m\ (ffilter\ P\ (fmdom\ m))$

*<proof>*

**lemma** *fmran-drop[simp]*:  $fmran\ (fmdrop\ a\ m) = fmimage\ m\ (fmdom\ m - \{a\})$

*<proof>*

**lemma** *fmran-drop-fset[simp]*:  $fmran\ (fmdrop-fset\ A\ m) = fmimage\ m\ (fmdom\ m - A)$

*<proof>*

**lemma** *fmran-restrict-fset*:  $fmran\ (fmrestrict-fset\ A\ m) = fmimage\ m\ (fmdom\ m |\cap| A)$

*<proof>*

**lemma** *fmlookup-image-iff*:  $y\ |\in|\ fmimage\ m\ A \iff (\exists x. fmlookup\ m\ x = Some\ y \wedge x\ |\in|\ A)$

*<proof>*

**lemma** *fmimageI*:  $fmlookup\ m\ x = Some\ y \implies x\ |\in|\ A \implies y\ |\in|\ fmimage\ m\ A$

*<proof>*

**lemma** *fmimageE[elim]*:

**assumes**  $y\ |\in|\ fmimage\ m\ A$

**obtains**  $x$  **where**  $fmlookup\ m\ x = Some\ y\ x\ |\in|\ A$

*<proof>*

**lift-definition** *fmcomp* ::  $('b, 'c)\ fmap \Rightarrow ('a, 'b)\ fmap \Rightarrow ('a, 'c)\ fmap$  (**infixl**  $\circ_f$  55)

**is** *map-comp*

**parametric** *map-comp-transfer*

⟨proof⟩

**lemma** *fmlookup-comp[simp]*:  $fmlookup (m \circ_f n) x = Option.bind (fmlookup n x) (fmlookup m)$   
 ⟨proof⟩

**end**

### 31.4 BNF setup

**lift-bnf** ('a, fmran': 'b) *fmap* [wits: Map.empty]  
 for *map*: *fmmmap*  
     *rel*: *fmrel*  
 ⟨proof⟩

**declare** *fmap.pred-mono*[mono]

**lemma** *fmran'-alt-def*:  $fmran' m = fset (fmran m)$   
**including** *fset.lifting*  
 ⟨proof⟩

**lemma** *fmlookup-ran'-iff*:  $y \in fmran' m \iff (\exists x. fmlookup m x = Some y)$   
 ⟨proof⟩

**lemma** *fmran'I*:  $fmlookup m x = Some y \implies y \in fmran' m$  ⟨proof⟩

**lemma** *fmran'E[elim]*:  
 assumes  $y \in fmran' m$   
 obtains  $x$  where  $fmlookup m x = Some y$   
 ⟨proof⟩

**lemma** *fmrel-iff*:  $fmrel R m n \iff (\forall x. rel-option R (fmlookup m x) (fmlookup n x))$   
 ⟨proof⟩

**lemma** *fmrelI[intro]*:  
 assumes  $\bigwedge x. rel-option R (fmlookup m x) (fmlookup n x)$   
 shows  $fmrel R m n$   
 ⟨proof⟩

**lemma** *fmrel-upd[intro]*:  $fmrel P m n \implies P x y \implies fmrel P (fmupd k x m) (fmupd k y n)$   
 ⟨proof⟩

**lemma** *fmrelD[dest]*:  $fmrel P m n \implies rel-option P (fmlookup m x) (fmlookup n x)$   
 ⟨proof⟩

**lemma** *fmrel-addI[intro]*:

**assumes**  $fmrel\ P\ m\ n\ fmrel\ P\ a\ b$   
**shows**  $fmrel\ P\ (m\ ++_f\ a)\ (n\ ++_f\ b)$   
 $\langle proof \rangle$

**lemma**  $fmrel-cases[consumes\ 1]$ :

**assumes**  $fmrel\ P\ m\ n$   
**obtains**  $(none)\ fmlookup\ m\ x = None\ fmlookup\ n\ x = None$   
 $\quad | (some)\ a\ b$  **where**  $fmlookup\ m\ x = Some\ a\ fmlookup\ n\ x = Some\ b\ P\ a\ b$   
 $\langle proof \rangle$

**lemma**  $fmrel-filter[intro]$ :  $fmrel\ P\ m\ n \implies fmrel\ P\ (fmfilter\ Q\ m)\ (fmfilter\ Q\ n)$   
 $\langle proof \rangle$

**lemma**  $fmrel-drop[intro]$ :  $fmrel\ P\ m\ n \implies fmrel\ P\ (fmdrop\ a\ m)\ (fmdrop\ a\ n)$   
 $\langle proof \rangle$

**lemma**  $fmrel-drop-set[intro]$ :  $fmrel\ P\ m\ n \implies fmrel\ P\ (fmdrop-set\ A\ m)\ (fmdrop-set\ A\ n)$   
 $\langle proof \rangle$

**lemma**  $fmrel-drop-fset[intro]$ :  $fmrel\ P\ m\ n \implies fmrel\ P\ (fmdrop-fset\ A\ m)\ (fmdrop-fset\ A\ n)$   
 $\langle proof \rangle$

**lemma**  $fmrel-restrict-set[intro]$ :  $fmrel\ P\ m\ n \implies fmrel\ P\ (fmrestrict-set\ A\ m)\ (fmrestrict-set\ A\ n)$   
 $\langle proof \rangle$

**lemma**  $fmrel-restrict-fset[intro]$ :  $fmrel\ P\ m\ n \implies fmrel\ P\ (fmrestrict-fset\ A\ m)\ (fmrestrict-fset\ A\ n)$   
 $\langle proof \rangle$

**lemma**  $fmrel-on-fset-fmrel-restrict$ :

$fmrel-on-fset\ S\ P\ m\ n \iff fmrel\ P\ (fmrestrict-fset\ S\ m)\ (fmrestrict-fset\ S\ n)$   
 $\langle proof \rangle$

**lemma**  $fmrel-on-fset-refl-strong$ :

**assumes**  $\bigwedge x\ y.\ x\ |\in|\ S \implies fmlookup\ m\ x = Some\ y \implies P\ y\ y$   
**shows**  $fmrel-on-fset\ S\ P\ m\ m$   
 $\langle proof \rangle$

**lemma**  $fmrel-on-fset-addI$ :

**assumes**  $fmrel-on-fset\ S\ P\ m\ n\ fmrel-on-fset\ S\ P\ a\ b$   
**shows**  $fmrel-on-fset\ S\ P\ (m\ ++_f\ a)\ (n\ ++_f\ b)$   
 $\langle proof \rangle$

**lemma**  $fmrel-fmdom-eq$ :

**assumes**  $fmrel\ P\ x\ y$   
**shows**  $fmdom\ x = fmdom\ y$



*<proof>*

**lemma** *fmrel-fmdom'-eq*:  $fmrel\ P\ x\ y \implies fmdom'\ x = fmdom'\ y$   
*<proof>*

**lemma** *fmrel-rel-fmran*:  
**assumes** *fmrel*  $P\ x\ y$   
**shows** *rel-fset*  $P\ (fmran\ x)\ (fmran\ y)$   
*<proof>*

**lemma** *fmrel-rel-fmran'*:  $fmrel\ P\ x\ y \implies rel\text{-set}\ P\ (fmran'\ x)\ (fmran'\ y)$   
*<proof>*

**lemma** *pred-fmap-fmpred[simp]*:  $pred\text{-fmap}\ P = fmpred\ (\lambda\cdot.\ P)$   
*<proof>*  
**including** *fset.lifting*  
*<proof>*

**lemma** *pred-fmap-id[simp]*:  $pred\text{-fmap}\ id\ (fmmap\ f\ m) \longleftrightarrow pred\text{-fmap}\ f\ m$   
*<proof>*

**lemma** *pred-fmapD*:  $pred\text{-fmap}\ P\ m \implies x \in | fmran\ m \implies P\ x$   
*<proof>*

**lemma** *fmlookup-map[simp]*:  $fmlookup\ (fmmap\ f\ m)\ x = map\text{-option}\ f\ (fmlookup\ m\ x)$   
*<proof>*

**lemma** *fmpred-map[simp]*:  $fmpred\ P\ (fmmap\ f\ m) \longleftrightarrow fmpred\ (\lambda k\ v.\ P\ k\ (f\ v))\ m$   
*<proof>*

**lemma** *fmpred-id[simp]*:  $fmpred\ (\lambda\cdot.\ id)\ (fmmap\ f\ m) \longleftrightarrow fmpred\ (\lambda\cdot.\ f)\ m$   
*<proof>*

**lemma** *fmmap-add[simp]*:  $fmmap\ f\ (m\ ++_f\ n) = fmmap\ f\ m\ ++_f\ fmmap\ f\ n$   
*<proof>*

**lemma** *fmmap-empty[simp]*:  $fmmap\ f\ fmempty = fmempty$   
*<proof>*

**lemma** *fmdom-map[simp]*:  $fmdom\ (fmmap\ f\ m) = fmdom\ m$   
**including** *fset.lifting*  
*<proof>*

**lemma** *fmdom'-map[simp]*:  $fmdom'\ (fmmap\ f\ m) = fmdom'\ m$   
*<proof>*

**lemma** *fmran-fmmap[simp]*:  $fmran\ (fmmap\ f\ m) = f\ |'\ fmran\ m$   
**including** *fset.lifting*

⟨proof⟩

**lemma** *fmran'-fmmap[simp]*:  $fmran' (fmmap f m) = f \text{ ' } fmran' m$   
 ⟨proof⟩

**lemma** *fmfilter-fmmap[simp]*:  $fmfilter P (fmmap f m) = fmmap f (fmfilter P m)$   
 ⟨proof⟩

**lemma** *fmdrop-fmmap[simp]*:  $fmdrop a (fmmap f m) = fmmap f (fmdrop a m)$   
 ⟨proof⟩

**lemma** *fmdrop-set-fmmap[simp]*:  $fmdrop-set A (fmmap f m) = fmmap f (fmdrop-set A m)$  ⟨proof⟩

**lemma** *fmdrop-fset-fmmap[simp]*:  $fmdrop-fset A (fmmap f m) = fmmap f (fmdrop-fset A m)$  ⟨proof⟩

**lemma** *fmrestrict-set-fmmap[simp]*:  $fmrestrict-set A (fmmap f m) = fmmap f (fmrestrict-set A m)$  ⟨proof⟩

**lemma** *fmrestrict-fset-fmmap[simp]*:  $fmrestrict-fset A (fmmap f m) = fmmap f (fmrestrict-fset A m)$  ⟨proof⟩

**lemma** *fmmap-subset[intro]*:  $m \subseteq_f n \implies fmmap f m \subseteq_f fmmap f n$   
 ⟨proof⟩

**lemma** *fmmap-fset-of-fmap*:  $fset-of-fmap (fmmap f m) = (\lambda(k, v). (k, f v)) \upharpoonright fset-of-fmap m$

**including** *fset.lifting*  
 ⟨proof⟩

**lemma** *fmmap-fmupd*:  $fmmap f (fmupd x y m) = fmupd x (f y) (fmmap f m)$   
 ⟨proof⟩

### 31.5 size setup

**definition** *size-fmap* ::  $('a \Rightarrow nat) \Rightarrow ('b \Rightarrow nat) \Rightarrow ('a, 'b) fmap \Rightarrow nat$  **where**  
*[simp]*:  $size-fmap f g m = size-fset (\lambda(a, b). f a + g b) (fset-of-fmap m)$

**instantiation** *fmap* ::  $(type, type) size$  **begin**

**definition** *size-fmap where*

*size-fmap-overloaded-def*:  $size-fmap = Finite-Map.size-fmap (\lambda-. 0) (\lambda-. 0)$

**instance** ⟨proof⟩

**end**

**lemma** *size-fmap-overloaded-simps[simp]*:  $size x = size (fset-of-fmap x)$   
 ⟨proof⟩

**lemma** *fmap-size-o-map*:  $inj h \implies size-fmap f g \circ fmmap h = size-fmap f (g \circ h)$   
 ⟨proof⟩

⟨ML⟩

### 31.6 Additional operations

**lift-definition**  $fmap-keys :: ('a \Rightarrow 'b \Rightarrow 'c) \Rightarrow ('a, 'b) fmap \Rightarrow ('a, 'c) fmap$  is  
 $\lambda f m a. map-option (f a) (m a)$   
 ⟨proof⟩

**lemma**  $fmpred-fmap-keys[simp]: fmpred P (fmap-keys f m) = fmpred (\lambda a b. P a (f a b)) m$   
 ⟨proof⟩

**lemma**  $fmdom-fmap-keys[simp]: fmdom (fmap-keys f m) = fmdom m$   
**including**  $fset.lifting$   
 ⟨proof⟩

**lemma**  $fmlookup-fmap-keys[simp]: fmlookup (fmap-keys f m) x = map-option (f x) (fmlookup m x)$   
 ⟨proof⟩

**lemma**  $fmfilter-fmap-keys[simp]: fmfilter P (fmap-keys f m) = fmap-keys f (fmfilter P m)$   
 ⟨proof⟩

**lemma**  $fmdrop-fmap-keys[simp]: fmdrop a (fmap-keys f m) = fmap-keys f (fmdrop a m)$   
 ⟨proof⟩

**lemma**  $fmdrop-set-fmap-keys[simp]: fmdrop-set A (fmap-keys f m) = fmap-keys f (fmdrop-set A m)$   
 ⟨proof⟩

**lemma**  $fmdrop-fset-fmap-keys[simp]: fmdrop-fset A (fmap-keys f m) = fmap-keys f (fmdrop-fset A m)$   
 ⟨proof⟩

**lemma**  $fmrestrict-set-fmap-keys[simp]: fmrestrict-set A (fmap-keys f m) = fmap-keys f (fmrestrict-set A m)$   
 ⟨proof⟩

**lemma**  $fmrestrict-fset-fmap-keys[simp]: fmrestrict-fset A (fmap-keys f m) = fmap-keys f (fmrestrict-fset A m)$   
 ⟨proof⟩

**lemma**  $fmap-keys-subset[intro]: m \subseteq_f n \Longrightarrow fmap-keys f m \subseteq_f fmap-keys f n$   
 ⟨proof⟩

**definition** *sorted-list-of-fmap* :: ('a::linorder, 'b) fmap  $\Rightarrow$  ('a  $\times$  'b) list **where**  
*sorted-list-of-fmap* m = map ( $\lambda k. (k, \text{the } (\text{fmlookup } m \ k))$ ) (sorted-list-of-fset (fdom m))

**lemma** *list-all-sorted-list[simp]*: list-all P (sorted-list-of-fmap m) = fmpred (curry P) m  
 <proof>  
**including** *fset.lifting*  
 <proof>

**lemma** *map-of-sorted-list[simp]*: map-of (sorted-list-of-fmap m) = fmlookup m  
 <proof>  
**including** *fset.lifting*  
 <proof>

### 31.7 Additional properties

**lemma** *fmchoice'*:  
 assumes finite S  $\forall x \in S. \exists y. Q \ x \ y$   
 shows  $\exists m. \text{fdom}' \ m = S \wedge \text{fmpred } Q \ m$   
 <proof>

### 31.8 Lifting/transfer setup

**context includes** *lifting-syntax* **begin**

**lemma** *fmempty-transfer[simp, intro, transfer-rule]*: fmrel P fmempty fmempty  
 <proof>

**lemma** *fmadd-transfer[transfer-rule]*:  
 (fmrel P  $\implies$  fmrel P  $\implies$  fmrel P) fmadd fmadd  
 <proof>

**lemma** *fmupd-transfer[transfer-rule]*:  
 ((=)  $\implies$  P  $\implies$  fmrel P  $\implies$  fmrel P) fmupd fmupd  
 <proof>

**end**

**lemma** *Quotient-fmap-bnf[quot-map]*:  
 assumes Quotient R Abs Rep T  
 shows Quotient (fmrel R) (fmmap Abs) (fmmap Rep) (fmrel T)  
 <proof>

### 31.9 View as datatype

**lemma** *fmap-distinct[simp]*:  
 fmempty  $\neq$  fmupd k v m  
 fmupd k v m  $\neq$  fmempty  
 <proof>

**lifting-update** *fmap.lifting*

**lemma** *fmap-exhaust*[*cases type: fmap*]:  
**obtains** (*fmempty*)  $m = fmempty$   
 $| (fmupd) x y m'$  **where**  $m = fmupd x y m' x \notin fmdom m'$   
 $\langle proof \rangle$  **including** *fmap.lifting fset.lifting*  
 $\langle proof \rangle$

**lemma** *fmap-induct*[*case-names fmempty fmupd, induct type: fmap*]:  
**assumes**  $P fmempty$   
**assumes**  $(\bigwedge x y m. P m \implies fmllookup m x = None \implies P (fmupd x y m))$   
**shows**  $P m$   
 $\langle proof \rangle$

### 31.10 Code setup

**instantiation** *fmap* :: (*type, equal*) *equal* **begin**

**definition** *equal-fmap*  $\equiv fmrel HOL.equal$

**instance**  $\langle proof \rangle$

**end**

**lemma** *fBall-alt-def*:  $fBall S P \longleftrightarrow (\forall x. x \in S \longrightarrow P x)$   
 $\langle proof \rangle$

**lemma** *fmrel-code*:  
 $fmrel R m n \longleftrightarrow$   
 $fBall (fmdom m) (\lambda x. rel-option R (fmlookup m x) (fmlookup n x)) \wedge$   
 $fBall (fmdom n) (\lambda x. rel-option R (fmlookup m x) (fmlookup n x))$   
 $\langle proof \rangle$

**lemmas** [*code*] =  
*fmrel-code*  
*fmran'-alt-def*  
*fmdom'-alt-def*  
*fmfilter-alt-defs*  
*pred-fmap-fmpred*  
*fmsubset-alt-def*  
*fmupd-alt-def*  
*fmrel-on-fset-alt-def*  
*fmpred-alt-def*

**code-datatype** *fmap-of-list*

**quickcheck-generator** *fmap* *constructors: fmap-of-list*

**context includes** *fset.lifting* **begin**

**lemma** *fmlookup-of-list*[code]: *fmlookup (fmap-of-list m) = map-of m*  
 ⟨proof⟩

**lemma** *fmempty-of-list*[code]: *fmempty = fmap-of-list []*  
 ⟨proof⟩

**lemma** *fmran-of-list*[code]: *fmran (fmap-of-list m) = snd |<sup>q</sup>| fset-of-list (AList.clearjunk m)*  
 ⟨proof⟩

**lemma** *fmdom-of-list*[code]: *fmdom (fmap-of-list m) = fst |<sup>q</sup>| fset-of-list m*  
 ⟨proof⟩

**lemma** *fmfilter-of-list*[code]: *fmfilter P (fmap-of-list m) = fmap-of-list (filter (λ(k, -). P k) m)*  
 ⟨proof⟩

**lemma** *fmadd-of-list*[code]: *fmap-of-list m ++<sub>f</sub> fmap-of-list n = fmap-of-list (AList.merge m n)*  
 ⟨proof⟩

**lemma** *fmmmap-of-list*[code]: *fmmmap f (fmap-of-list m) = fmap-of-list (map (apsnd f) m)*  
 ⟨proof⟩

**lemma** *fmmmap-keys-of-list*[code]:  
*fmmmap-keys f (fmap-of-list m) = fmap-of-list (map (λ(a, b). (a, f a b)) m)*  
 ⟨proof⟩

**lemma** *fmimage-of-list*[code]:  
*fmimage (fmap-of-list m) A = fset-of-list (map snd (filter (λ(k, -). k |∈| A) (AList.clearjunk m)))*  
 ⟨proof⟩

**lemma** *fmcomp-list*[code]:  
*fmap-of-list m ◦<sub>f</sub> fmap-of-list n = fmap-of-list (AList.compose n m)*  
 ⟨proof⟩

**end**

### 31.11 Instances

**lemma** *exists-map-of*:  
**assumes** *finite (dom m)* **shows**  $\exists xs. \text{map-of } xs = m$   
 ⟨proof⟩

**lemma** *exists-fmap-of-list*:  $\exists xs. \text{fmap-of-list } xs = m$

*<proof>*

**lemma** *fmap-of-list-surj*[*simp, intro*]: *surj fmap-of-list*  
*<proof>*

**instance** *fmap* :: (*countable, countable*) *countable*  
*<proof>*

**instance** *fmap* :: (*finite, finite*) *finite*  
*<proof>*

**lifting-update** *fmap.lifting*  
**lifting-forget** *fmap.lifting*

### 31.12 Tests

**export-code**

*Ball fset fmrel fmran fmran' fmdom fmdom' fmpred pred-fmap fmsubset fmupd  
 fmrel-on-fset  
 fmdrop fmdrop-set fmdrop-fset fmrestrict-set fmrestrict-fset fmimage fmlookup  
 fmempty  
 fmfilter fmadd fmmmap fmmmap-keys fmcomp  
 checking SML Scala Haskell? OCaml?*

— *lifting* through *fmap*

**experiment begin**

**context includes** *fset.lifting* **begin**

**lift-definition** *test1* :: (*'a, 'b fset*) *fmap* **is** *fmempty* :: (*'a, 'b set*) *fmap*  
*<proof>*

**lift-definition** *test2* :: *'a ⇒ 'b ⇒ ('a, 'b fset) fmap* **is**  $\lambda a b. fmupd a \{b\} fmempty$   
*<proof>*

**end**

**end**

**end**

## 32 Disjoint FSets

**theory** *Disjoint-FSets*

**imports**

*HOL-Library.Finite-Map*

*Disjoint-Sets*

**begin**

**context**

**includes** *fset.lifting*

**begin**

**lift-definition** *fdisjnt* :: 'a fset  $\Rightarrow$  'a fset  $\Rightarrow$  bool **is** *disjnt*  $\langle$ proof $\rangle$

**lemma** *fdisjnt-alt-def*: *fdisjnt* *M N*  $\longleftrightarrow$  (*M* | $\cap$ | *N* = {||})  
 $\langle$ proof $\rangle$

**lemma** *fdisjnt-insert*:  $x \notin | N \Longrightarrow \text{fdisjnt } M N \Longrightarrow \text{fdisjnt } (\text{finsert } x M) N$   
 $\langle$ proof $\rangle$

**lemma** *fdisjnt-subset-right*:  $N' \subseteq | N \Longrightarrow \text{fdisjnt } M N \Longrightarrow \text{fdisjnt } M N'$   
 $\langle$ proof $\rangle$

**lemma** *fdisjnt-subset-left*:  $N' \subseteq | N \Longrightarrow \text{fdisjnt } N M \Longrightarrow \text{fdisjnt } N' M$   
 $\langle$ proof $\rangle$

**lemma** *fdisjnt-union-right*:  $\text{fdisjnt } M A \Longrightarrow \text{fdisjnt } M B \Longrightarrow \text{fdisjnt } M (A \cup | B)$   
 $\langle$ proof $\rangle$

**lemma** *fdisjnt-union-left*:  $\text{fdisjnt } A M \Longrightarrow \text{fdisjnt } B M \Longrightarrow \text{fdisjnt } (A \cup | B) M$   
 $\langle$ proof $\rangle$

**lemma** *fdisjnt-swap*:  $\text{fdisjnt } M N \Longrightarrow \text{fdisjnt } N M$   
**including** *fset.lifting*  $\langle$ proof $\rangle$

**lemma** *distinct-append-fset*:  
**assumes** *distinct xs distinct ys fdisjnt (fset-of-list xs) (fset-of-list ys)*  
**shows** *distinct (xs @ ys)*  
 $\langle$ proof $\rangle$

**lemma** *fdisjnt-contrI*:  
**assumes**  $\bigwedge x. x \in | M \Longrightarrow x \in | N \Longrightarrow \text{False}$   
**shows** *fdisjnt M N*  
 $\langle$ proof $\rangle$

**lemma** *fdisjnt-Union-left*:  $\text{fdisjnt } (\text{ffUnion } S) T \longleftrightarrow \text{fBall } S (\lambda S. \text{fdisjnt } S T)$   
 $\langle$ proof $\rangle$

**lemma** *fdisjnt-Union-right*:  $\text{fdisjnt } T (\text{ffUnion } S) \longleftrightarrow \text{fBall } S (\lambda S. \text{fdisjnt } T S)$   
 $\langle$ proof $\rangle$

**lemma** *fdisjnt-ge-max*:  $\text{fBall } X (\lambda x. x > \text{fMax } Y) \Longrightarrow \text{fdisjnt } X Y$   
 $\langle$ proof $\rangle$

**end**



```

lemma fmadd-disjnt: fdisjnt (fmdom m) (fmdom n)  $\implies$  m ++f n = n ++f m
⟨proof⟩
including fset.lifting fmap.lifting
⟨proof⟩

end

```

### 33 Lists with elements distinct as canonical example for datatype invariants

```

theory Dlist
imports Confluent-Quotient
begin

```

#### 33.1 The type of distinct lists

```

typedef 'a dlist = {xs::'a list. distinct xs}
morphisms list-of-dlist Abs-dlist
⟨proof⟩

```

```

context begin

```

```

qualified definition dlist-eq where dlist-eq = BNF-Def.vimage2p remdups remdups
(=)

```

```

qualified lemma equivp-dlist-eq: equivp dlist-eq
⟨proof⟩ definition abs-dlist :: 'a list  $\Rightarrow$  'a dlist where abs-dlist = Abs-dlist o
remdups

```

```

definition qcr-dlist :: 'a list  $\Rightarrow$  'a dlist  $\Rightarrow$  bool where qcr-dlist x y  $\longleftrightarrow$  y =
abs-dlist x

```

```

qualified lemma Quotient-dlist-remdups: Quotient dlist-eq abs-dlist list-of-dlist
qcr-dlist
⟨proof⟩

```

```

end

```

```

locale Quotient-dlist begin
setup-lifting Dlist.Quotient-dlist-remdups Dlist.equivp-dlist-eq[THEN equivp-reflp2]
end

```

```

setup-lifting type-definition-dlist

```

```

lemma dlist-eq-iff:
dxs = dys  $\longleftrightarrow$  list-of-dlist dxs = list-of-dlist dys
⟨proof⟩

```

**lemma** *dlist-eqI*:

*list-of-dlist dxs = list-of-dlist dys  $\implies$  dxs = dys*  
*<proof>*

Formal, totalized constructor for 'a dlist:

**definition** *Dlist* :: 'a list  $\Rightarrow$  'a dlist **where**

*Dlist xs = Abs-dlist (remdups xs)*

**lemma** *distinct-list-of-dlist* [*simp, intro*]:

*distinct (list-of-dlist dxs)*  
*<proof>*

**lemma** *list-of-dlist-Dlist* [*simp*]:

*list-of-dlist (Dlist xs) = remdups xs*  
*<proof>*

**lemma** *remdups-list-of-dlist* [*simp*]:

*remdups (list-of-dlist dxs) = list-of-dlist dxs*  
*<proof>*

**lemma** *Dlist-list-of-dlist* [*simp, code abstype*]:

*Dlist (list-of-dlist dxs) = dxs*  
*<proof>*

Fundamental operations:

**context**

**begin**

**qualified definition** *empty* :: 'a dlist **where**

*empty = Dlist []*

**qualified definition** *insert* :: 'a  $\Rightarrow$  'a dlist  $\Rightarrow$  'a dlist **where**

*insert x dxs = Dlist (List.insert x (list-of-dlist dxs))*

**qualified definition** *remove* :: 'a  $\Rightarrow$  'a dlist  $\Rightarrow$  'a dlist **where**

*remove x dxs = Dlist (remove1 x (list-of-dlist dxs))*

**qualified definition** *map* :: ('a  $\Rightarrow$  'b)  $\Rightarrow$  'a dlist  $\Rightarrow$  'b dlist **where**

*map f dxs = Dlist (remdups (List.map f (list-of-dlist dxs)))*

**qualified definition** *filter* :: ('a  $\Rightarrow$  bool)  $\Rightarrow$  'a dlist  $\Rightarrow$  'a dlist **where**

*filter P dxs = Dlist (List.filter P (list-of-dlist dxs))*

**qualified definition** *rotate* :: nat  $\Rightarrow$  'a dlist  $\Rightarrow$  'a dlist **where**

*rotate n dxs = Dlist (List.rotate n (list-of-dlist dxs))*

**end**

Derived operations:

**context**  
**begin**

**qualified definition**  $null :: 'a\ dlist \Rightarrow bool$  **where**  
 $null\ dxs = List.null\ (list-of-dlist\ dxs)$

**qualified definition**  $member :: 'a\ dlist \Rightarrow 'a \Rightarrow bool$  **where**  
 $member\ dxs = List.member\ (list-of-dlist\ dxs)$

**qualified definition**  $length :: 'a\ dlist \Rightarrow nat$  **where**  
 $length\ dxs = List.length\ (list-of-dlist\ dxs)$

**qualified definition**  $fold :: ('a \Rightarrow 'b \Rightarrow 'b) \Rightarrow 'a\ dlist \Rightarrow 'b \Rightarrow 'b$  **where**  
 $fold\ f\ dxs = List.fold\ f\ (list-of-dlist\ dxs)$

**qualified definition**  $foldr :: ('a \Rightarrow 'b \Rightarrow 'b) \Rightarrow 'a\ dlist \Rightarrow 'b \Rightarrow 'b$  **where**  
 $foldr\ f\ dxs = List.foldr\ f\ (list-of-dlist\ dxs)$

**end**

### 33.2 Executable version obeying invariant

**lemma**  $list-of-dlist-empty$  [*simp*, *code abstract*]:

$list-of-dlist\ Dlist.empty = []$   
(*proof*)

**lemma**  $list-of-dlist-insert$  [*simp*, *code abstract*]:

$list-of-dlist\ (Dlist.insert\ x\ dxs) = List.insert\ x\ (list-of-dlist\ dxs)$   
(*proof*)

**lemma**  $list-of-dlist-remove$  [*simp*, *code abstract*]:

$list-of-dlist\ (Dlist.remove\ x\ dxs) = remove1\ x\ (list-of-dlist\ dxs)$   
(*proof*)

**lemma**  $list-of-dlist-map$  [*simp*, *code abstract*]:

$list-of-dlist\ (Dlist.map\ f\ dxs) = remdups\ (List.map\ f\ (list-of-dlist\ dxs))$   
(*proof*)

**lemma**  $list-of-dlist-filter$  [*simp*, *code abstract*]:

$list-of-dlist\ (Dlist.filter\ P\ dxs) = List.filter\ P\ (list-of-dlist\ dxs)$   
(*proof*)

**lemma**  $list-of-dlist-rotate$  [*simp*, *code abstract*]:

$list-of-dlist\ (Dlist.rotate\ n\ dxs) = List.rotate\ n\ (list-of-dlist\ dxs)$   
(*proof*)

Explicit executable conversion

**definition**  $dlist-of-list$  [*simp*]:

$dlist-of-list = Dlist$

**lemma** [*code abstract*]:

*list-of-dlist (dlist-of-list xs) = remdups xs*

*<proof>*

Equality

**instantiation** *dlist :: (equal) equal*

**begin**

**definition** *HOL.equal dxs dys  $\longleftrightarrow$  HOL.equal (list-of-dlist dxs) (list-of-dlist dys)*

**instance**

*<proof>*

**end**

**declare** *equal-dlist-def [code]*

**lemma** [*code nbe*]: *HOL.equal (dxs :: 'a::equal dlist) dxs  $\longleftrightarrow$  True*

*<proof>*

### 33.3 Induction principle and case distinction

**lemma** *dlist-induct [case-names empty insert, induct type: dlist]:*

**assumes** *empty: P Dlist.empty*

**assumes** *insrt:  $\bigwedge x dxs. \neg Dlist.member dxs x \implies P dxs \implies P (Dlist.insert x dxs)$*

**shows** *P dxs*

*<proof>*

**lemma** *dlist-case [cases type: dlist]:*

**obtains** *(empty) dxs = Dlist.empty*

*| (insert) x dys where  $\neg Dlist.member dys x$  and  $dxs = Dlist.insert x dys$*

*<proof>*

### 33.4 Functorial structure

**functor** *map: map*

*<proof>*

### 33.5 Quickcheck generators

**quickcheck-generator** *dlist predicate: distinct constructors: Dlist.empty, Dlist.insert*

### 33.6 BNF instance

**context** **begin**

**qualified inductive** *double :: 'a list  $\Rightarrow$  'a list  $\Rightarrow$  bool where*

*double (xs @ ys) (xs @ x # ys) if  $x \in set ys$*

**qualified lemma** *strong-confluentp-double*: *strong-confluentp double*  
 ⟨proof⟩ **lemma** *double-Cons1* [*simp*]: *double xs (x # xs) if x ∈ set xs*  
 ⟨proof⟩ **lemma** *double-Cons-same* [*simp*]: *double xs ys ⇒ double (x # xs) (x # ys)*  
 ⟨proof⟩ **lemma** *doubles-Cons-same*: *double\*\* xs ys ⇒ double\*\* (x # xs) (x # ys)*  
 ⟨proof⟩ **lemma** *remdups-into-doubles*: *double\*\* (remdups xs) xs*  
 ⟨proof⟩ **lemma** *dlist-eq-into-doubles*: *Dlist.dlist-eq ≤ equivclp double*  
 ⟨proof⟩ **lemma** *factor-double-map*: *double (map f xs) ys ⇒ ∃ zs. Dlist.dlist-eq xs zs ∧ ys = map f zs ∧ set zs ⊆ set xs*  
 ⟨proof⟩ **lemma** *dlist-eq-set-eq*: *Dlist.dlist-eq xs ys ⇒ set xs = set ys*  
 ⟨proof⟩ **lemma** *dlist-eq-map-respect*: *Dlist.dlist-eq xs ys ⇒ Dlist.dlist-eq (map f xs) (map f ys)*  
 ⟨proof⟩ **lemma** *confluent-quotient-dlist*:  
*confluent-quotient double Dlist.dlist-eq Dlist.dlist-eq Dlist.dlist-eq Dlist.dlist-eq Dlist.dlist-eq*  
*(map fst) (map snd) (map fst) (map snd) list-all2 list-all2 list-all2 set set*  
 ⟨proof⟩

**lifting-update** *dlist.lifting*  
**lifting-forget** *dlist.lifting*

**end**

**context begin**

**interpretation** *Quotient-dlist*: *Quotient-dlist* ⟨proof⟩

**lift-bnf** (*plugins del: code*) 'a *dlist*

⟨proof⟩ **lemma** *list-of-dlist-transfer*[*transfer-rule*]:

*bi-unique R ⇒ (rel-fun (Quotient-dlist.pcr-dlist R) (list-all2 R)) remdups list-of-dlist*

⟨proof⟩

**lemma** *list-of-dlist-map-dlist*[*simp*]:

*list-of-dlist (map-dlist f xs) = remdups (map f (list-of-dlist xs))*

⟨proof⟩

**end**

**end**

## 34 Type of dual ordered lattices

**theory** *Dual-Ordered-Lattice*

**imports** *Main*

**begin**

The *dual* of an ordered structure is an isomorphic copy of the underlying type, with the  $\leq$  relation defined as the inverse of the original one.

The class of lattices is closed under formation of dual structures. This means that for any theorem of lattice theory, the dualized statement holds as well; this important fact simplifies many proofs of lattice theory.

```
typedef 'a dual = UNIV :: 'a set
morphisms undual dual <proof>
```

```
setup-lifting type-definition-dual
```

```
code-datatype dual
```

```
lemma dual-eqI:
  x = y if undual x = undual y
  <proof>
```

```
lemma dual-eq-iff:
  x = y  $\longleftrightarrow$  undual x = undual y
  <proof>
```

```
lemma eq-dual-iff [iff]:
  dual x = dual y  $\longleftrightarrow$  x = y
  <proof>
```

```
lemma undual-dual [simp, code]:
  undual (dual x) = x
  <proof>
```

```
lemma dual-undual [simp]:
  dual (undual x) = x
  <proof>
```

```
lemma undual-comp-dual [simp]:
  undual  $\circ$  dual = id
  <proof>
```

```
lemma dual-comp-undual [simp]:
  dual  $\circ$  undual = id
  <proof>
```

```
lemma inj-dual:
  inj dual
  <proof>
```

```
lemma inj-undual:
  inj undual
  <proof>
```

```
lemma surj-dual:
  surj dual
  <proof>
```

**lemma** *surj-undual*:

*surj undual*

*<proof>*

**lemma** *bij-dual*:

*bij dual*

*<proof>*

**lemma** *bij-undual*:

*bij undual*

*<proof>*

**instance** *dual* :: (*finite*) *finite*

*<proof>*

**instantiation** *dual* :: (*equal*) *equal*

**begin**

**lift-definition** *equal-dual* :: '*a dual* ⇒ '*a dual* ⇒ *bool*

**is** *HOL.equal* *<proof>*

**instance**

*<proof>*

**end**

### 34.1 Pointwise ordering

**instantiation** *dual* :: (*ord*) *ord*

**begin**

**lift-definition** *less-eq-dual* :: '*a dual* ⇒ '*a dual* ⇒ *bool*

**is** ( $\geq$ ) *<proof>*

**lift-definition** *less-dual* :: '*a dual* ⇒ '*a dual* ⇒ *bool*

**is** ( $>$ ) *<proof>*

**instance** *<proof>*

**end**

**lemma** *dual-less-eqI*:

$x \leq y$  **if** *undual*  $y \leq$  *undual*  $x$

*<proof>*

**lemma** *dual-less-eq-iff*:

$x \leq y \iff$  *undual*  $y \leq$  *undual*  $x$

*<proof>*

**lemma** *less-eq-dual-iff* [*iff*]:  
 $dual\ x \leq dual\ y \longleftrightarrow y \leq x$   
 ⟨*proof*⟩

**lemma** *dual-lessI*:  
 $x < y$  **if**  $undual\ y < undual\ x$   
 ⟨*proof*⟩

**lemma** *dual-less-iff*:  
 $x < y \longleftrightarrow undual\ y < undual\ x$   
 ⟨*proof*⟩

**lemma** *less-dual-iff* [*iff*]:  
 $dual\ x < dual\ y \longleftrightarrow y < x$   
 ⟨*proof*⟩

**instance** *dual* :: (*preorder*) *preorder*  
 ⟨*proof*⟩

**instance** *dual* :: (*order*) *order*  
 ⟨*proof*⟩

## 34.2 Binary infimum and supremum

**instantiation** *dual* :: (*sup*) *inf*  
**begin**

**lift-definition** *inf-dual* :: 'a *dual*  $\Rightarrow$  'a *dual*  $\Rightarrow$  'a *dual*  
**is** *sup* ⟨*proof*⟩

**instance** ⟨*proof*⟩

**end**

**lemma** *undual-inf-eq* [*simp*]:  
 $undual\ (inf\ x\ y) = sup\ (undual\ x)\ (undual\ y)$   
 ⟨*proof*⟩

**lemma** *dual-sup-eq* [*simp*]:  
 $dual\ (sup\ x\ y) = inf\ (dual\ x)\ (dual\ y)$   
 ⟨*proof*⟩

**instantiation** *dual* :: (*inf*) *sup*  
**begin**

**lift-definition** *sup-dual* :: 'a *dual*  $\Rightarrow$  'a *dual*  $\Rightarrow$  'a *dual*  
**is** *inf* ⟨*proof*⟩



```

instance ⟨proof⟩

end

lemma undual-sup-eq [simp]:
  undual (sup x y) = inf (undual x) (undual y)
  ⟨proof⟩

lemma dual-inf-eq [simp]:
  dual (inf x y) = sup (dual x) (dual y)
  ⟨proof⟩

instance dual :: (semilattice-sup) semilattice-inf
  ⟨proof⟩

instance dual :: (semilattice-inf) semilattice-sup
  ⟨proof⟩

instance dual :: (lattice) lattice ⟨proof⟩

instance dual :: (distrib-lattice) distrib-lattice
  ⟨proof⟩

```

### 34.3 Top and bottom elements

```

instantiation dual :: (top) bot
begin

lift-definition bot-dual :: 'a dual
  is top ⟨proof⟩

instance ⟨proof⟩

end

lemma undual-bot-eq [simp]:
  undual bot = top
  ⟨proof⟩

lemma dual-top-eq [simp]:
  dual top = bot
  ⟨proof⟩

instantiation dual :: (bot) top
begin

lift-definition top-dual :: 'a dual
  is bot ⟨proof⟩

```

```

instance ⟨proof⟩

end

lemma undual-top-eq [simp]:
  undual top = bot
  ⟨proof⟩

lemma dual-bot-eq [simp]:
  dual bot = top
  ⟨proof⟩

instance dual :: (order-top) order-bot
  ⟨proof⟩

instance dual :: (order-bot) order-top
  ⟨proof⟩

instance dual :: (bounded-lattice-top) bounded-lattice-bot ⟨proof⟩

instance dual :: (bounded-lattice-bot) bounded-lattice-top ⟨proof⟩

instance dual :: (bounded-lattice) bounded-lattice ⟨proof⟩

```

### 34.4 Complement

```

instantiation dual :: (uminus) uminus
begin

lift-definition uminus-dual :: 'a dual ⇒ 'a dual
  is uminus ⟨proof⟩

instance ⟨proof⟩

end

lemma undual-uminus-eq [simp]:
  undual (- x) = - undual x
  ⟨proof⟩

lemma dual-uminus-eq [simp]:
  dual (- x) = - dual x
  ⟨proof⟩

instantiation dual :: (boolean-algebra) boolean-algebra
begin

lift-definition minus-dual :: 'a dual ⇒ 'a dual ⇒ 'a dual
  is λx y. - (y - x) ⟨proof⟩

```

**instance**

*<proof>*

**end**

**lemma** *undual-minus-eq* [simp]:

$undual (x - y) = - (undual y - undual x)$

*<proof>*

**lemma** *dual-minus-eq* [simp]:

$dual (x - y) = - (dual y - dual x)$

*<proof>*

### 34.5 Complete lattice operations

The class of complete lattices is closed under formation of dual structures.

**instantiation** *dual* :: (Sup) Inf

**begin**

**lift-definition** *Inf-dual* :: 'a dual set  $\Rightarrow$  'a dual

**is** Sup *<proof>*

**instance** *<proof>*

**end**

**lemma** *undual-Inf-eq* [simp]:

$undual (Inf A) = Sup (undual ' A)$

*<proof>*

**lemma** *dual-Sup-eq* [simp]:

$dual (Sup A) = Inf (dual ' A)$

*<proof>*

**instantiation** *dual* :: (Inf) Sup

**begin**

**lift-definition** *Sup-dual* :: 'a dual set  $\Rightarrow$  'a dual

**is** Inf *<proof>*

**instance** *<proof>*

**end**

**lemma** *undual-Sup-eq* [simp]:

$undual (Sup A) = Inf (undual ' A)$

*<proof>*

```

lemma dual-Inf-eq [simp]:
  dual (Inf A) = Sup (dual ‘ A)
  ⟨proof⟩

instance dual :: (complete-lattice) complete-lattice
  ⟨proof⟩

context
  fixes f :: 'a::complete-lattice ⇒ 'a
    and g :: 'a dual ⇒ 'a dual
  assumes mono f
  defines g ≡ dual ∘ f ∘ undual
begin

private lemma mono-dual:
  mono g
  ⟨proof⟩

lemma lfp-dual-gfp:
  lfp f = undual (gfp g) (is ?lhs = ?rhs)
  ⟨proof⟩

lemma gfp-dual-lfp:
  gfp f = undual (lfp g)
  ⟨proof⟩

end

  Finally

lifting-update dual.lifting
lifting-forget dual.lifting

end

```

## 35 Equipollence and Other Relations Connected with Cardinality

```

theory Equipollence
  imports FuncSet Countable-Set
begin

```

### 35.1 Eqpoll

```

definition eqpoll :: 'a set ⇒ 'b set ⇒ bool (infixl ≈ 50)
  where eqpoll A B ≡ ∃f. bij-betw f A B

```

```

definition lepoll :: 'a set ⇒ 'b set ⇒ bool (infixl ≲ 50)
  where lepoll A B ≡ ∃f. inj-on f A ∧ f ‘ A ⊆ B

```

**definition** *lesspoll* :: 'a set  $\Rightarrow$  'b set  $\Rightarrow$  bool (**infixl**  $\prec$  50)  
**where**  $A \prec B == A \lesssim B \wedge \sim(A \approx B)$

**lemma** *lepoll-def'*:  $lepoll\ A\ B \equiv \exists f. inj\text{-on}\ f\ A \wedge f \in A \rightarrow B$   
*<proof>*

**lemma** *eqpoll-empty-iff-empty* [*simp*]:  $A \approx \{\} \longleftrightarrow A = \{\}$   
*<proof>*

**lemma** *lepoll-empty-iff-empty* [*simp*]:  $A \lesssim \{\} \longleftrightarrow A = \{\}$   
*<proof>*

**lemma** *not-lesspoll-empty*:  $\neg A \prec \{\}$   
*<proof>*

**lemma** *lepoll-relational-full*:

**assumes**  $\bigwedge y. y \in B \implies \exists x. x \in A \wedge R\ x\ y$   
**and**  $\bigwedge x\ y\ y'. \llbracket x \in A; y \in B; y' \in B; R\ x\ y; R\ x\ y' \rrbracket \implies y = y'$   
**shows**  $B \lesssim A$

*<proof>*

**lemma** *eqpoll-iff-card-of-ordIso*:  $A \approx B \longleftrightarrow ordIso2\ (card\text{-of}\ A)\ (card\text{-of}\ B)$   
*<proof>*

**lemma** *eqpoll-refl* [*iff*]:  $A \approx A$   
*<proof>*

**lemma** *eqpoll-finite-iff*:  $A \approx B \implies finite\ A \longleftrightarrow finite\ B$   
*<proof>*

**lemma** *eqpoll-iff-card*:

**assumes**  $finite\ A\ finite\ B$   
**shows**  $A \approx B \longleftrightarrow card\ A = card\ B$   
*<proof>*

**lemma** *eqpoll-singleton-iff*:  $A \approx \{x\} \longleftrightarrow (\exists u. A = \{u\})$   
*<proof>*

**lemma** *eqpoll-doubleton-iff*:  $A \approx \{x, y\} \longleftrightarrow (\exists u\ v. A = \{u, v\} \wedge (u=v \longleftrightarrow x=y))$   
*<proof>*

**lemma** *lepoll-antisym*:

**assumes**  $A \lesssim B\ B \lesssim A$  **shows**  $A \approx B$   
*<proof>*

**lemma** *lepoll-trans* [*trans*]:

**assumes**  $A \lesssim B\ B \lesssim C$  **shows**  $A \lesssim C$

$\langle proof \rangle$

**lemma** *lepoll-trans1* [trans]:  $\llbracket A \approx B; B \lesssim C \rrbracket \implies A \lesssim C$   
 $\langle proof \rangle$

**lemma** *lepoll-trans2* [trans]:  $\llbracket A \lesssim B; B \approx C \rrbracket \implies A \lesssim C$   
 $\langle proof \rangle$

**lemma** *eqpoll-sym*:  $A \approx B \implies B \approx A$   
 $\langle proof \rangle$

**lemma** *eqpoll-trans* [trans]:  $\llbracket A \approx B; B \approx C \rrbracket \implies A \approx C$   
 $\langle proof \rangle$

**lemma** *eqpoll-imp-lepoll*:  $A \approx B \implies A \lesssim B$   
 $\langle proof \rangle$

**lemma** *subset-imp-lepoll*:  $A \subseteq B \implies A \lesssim B$   
 $\langle proof \rangle$

**lemma** *lepoll-refl* [iff]:  $A \lesssim A$   
 $\langle proof \rangle$

**lemma** *lepoll-iff*:  $A \lesssim B \iff (\exists g. A \subseteq g \text{ ‘ } B)$   
 $\langle proof \rangle$

**lemma** *empty-lepoll* [iff]:  $\{\} \lesssim A$   
 $\langle proof \rangle$

**lemma** *subset-image-lepoll*:  $B \subseteq f \text{ ‘ } A \implies B \lesssim A$   
 $\langle proof \rangle$

**lemma** *image-lepoll*:  $f \text{ ‘ } A \lesssim A$   
 $\langle proof \rangle$

**lemma** *infinite-le-lepoll*:  $infinite\ A \iff (UNIV::nat\ set) \lesssim A$   
 $\langle proof \rangle$

**lemma** *lepoll-Pow-self*:  $A \lesssim Pow\ A$   
 $\langle proof \rangle$

**lemma** *eqpoll-iff-bijections*:

$A \approx B \iff (\exists f\ g. (\forall x \in A. f\ x \in B \wedge g(f\ x) = x) \wedge (\forall y \in B. g\ y \in A \wedge f(g\ y) = y))$   
 $\langle proof \rangle$

**lemma** *lepoll-restricted-funspace*:

$\{f. f \text{ ‘ } A \subseteq B \wedge \{x. f\ x \neq k\ x\} \subseteq A \wedge finite\ \{x. f\ x \neq k\ x\}\} \lesssim Fpow\ (A \times B)$   
 $\langle proof \rangle$

**lemma** *singleton-lepoll*:  $\{x\} \lesssim \text{insert } y A$   
 ⟨proof⟩

**lemma** *singleton-epoll*:  $\{x\} \approx \{y\}$   
 ⟨proof⟩

**lemma** *subset-singleton-iff-lepoll*:  $(\exists x. S \subseteq \{x\}) \longleftrightarrow S \lesssim \{\}\}$   
 ⟨proof⟩

**lemma** *infinite-insert-lepoll*:  
 assumes *infinite* *A* shows *insert a A*  $\lesssim A$   
 ⟨proof⟩

**lemma** *infinite-insert-epoll*: *infinite A*  $\implies \text{insert } a A \approx A$   
 ⟨proof⟩

**lemma** *finite-lepoll-infinite*:  
 assumes *infinite A* *finite B* shows *B*  $\lesssim A$   
 ⟨proof⟩

**lemma** *countable-lepoll*:  $[\text{countable } A; B \lesssim A] \implies \text{countable } B$   
 ⟨proof⟩

**lemma** *countable-epoll*:  $[\text{countable } A; B \approx A] \implies \text{countable } B$   
 ⟨proof⟩

## 35.2 The strict relation

**lemma** *lesspoll-not-refl* [iff]:  $\sim (i \prec i)$   
 ⟨proof⟩

**lemma** *lesspoll-imp-lepoll*:  $A \prec B \implies A \lesssim B$   
 ⟨proof⟩

**lemma** *lepoll-iff-leqpoll*:  $A \lesssim B \longleftrightarrow A \prec B \mid A \approx B$   
 ⟨proof⟩

**lemma** *lesspoll-trans* [trans]:  $[[X \prec Y; Y \prec Z] \implies X \prec Z$   
 ⟨proof⟩

**lemma** *lesspoll-trans1* [trans]:  $[[X \lesssim Y; Y \prec Z] \implies X \prec Z$   
 ⟨proof⟩

**lemma** *lesspoll-trans2* [trans]:  $[[X \prec Y; Y \lesssim Z] \implies X \prec Z$   
 ⟨proof⟩

**lemma** *eq-lesspoll-trans* [trans]:  $[[X \approx Y; Y \prec Z] \implies X \prec Z$   
 ⟨proof⟩

**lemma** *lesspoll-eq-trans* [*trans*]:  $\llbracket X \prec Y; Y \approx Z \rrbracket \implies X \prec Z$   
 ⟨*proof*⟩

**lemma** *lesspoll-Pow-self*:  $A \prec \text{Pow } A$   
 ⟨*proof*⟩

**lemma** *finite-lesspoll-infinite*:  
**assumes** *infinite A finite B* **shows**  $B \prec A$   
 ⟨*proof*⟩

**lemma** *countable-lesspoll*:  $\llbracket \text{countable } A; B \prec A \rrbracket \implies \text{countable } B$   
 ⟨*proof*⟩

**lemma** *lepoll-iff-card-le*:  $\llbracket \text{finite } A; \text{finite } B \rrbracket \implies A \lesssim B \longleftrightarrow \text{card } A \leq \text{card } B$   
 ⟨*proof*⟩

**lemma** *lepoll-iff-finite-card*:  $A \lesssim \{..<n::\text{nat}\} \longleftrightarrow \text{finite } A \wedge \text{card } A \leq n$   
 ⟨*proof*⟩

**lemma** *eqpoll-iff-finite-card*:  $A \approx \{..<n::\text{nat}\} \longleftrightarrow \text{finite } A \wedge \text{card } A = n$   
 ⟨*proof*⟩

**lemma** *lesspoll-iff-finite-card*:  $A \prec \{..<n::\text{nat}\} \longleftrightarrow \text{finite } A \wedge \text{card } A < n$   
 ⟨*proof*⟩

### 35.3 Mapping by an injection

**lemma** *inj-on-image-eqpoll-self*:  $\text{inj-on } f A \implies f ' A \approx A$   
 ⟨*proof*⟩

**lemma** *inj-on-image-lepoll-1* [*simp*]:  
**assumes** *inj-on f A* **shows**  $f ' A \lesssim B \longleftrightarrow A \lesssim B$   
 ⟨*proof*⟩

**lemma** *inj-on-image-lepoll-2* [*simp*]:  
**assumes** *inj-on f B* **shows**  $A \lesssim f ' B \longleftrightarrow A \lesssim B$   
 ⟨*proof*⟩

**lemma** *inj-on-image-lesspoll-1* [*simp*]:  
**assumes** *inj-on f A* **shows**  $f ' A \prec B \longleftrightarrow A \prec B$   
 ⟨*proof*⟩

**lemma** *inj-on-image-lesspoll-2* [*simp*]:  
**assumes** *inj-on f B* **shows**  $A \prec f ' B \longleftrightarrow A \prec B$   
 ⟨*proof*⟩

**lemma** *inj-on-image-eqpoll-1* [*simp*]:  
**assumes** *inj-on f A* **shows**  $f ' A \approx B \longleftrightarrow A \approx B$



$\langle \text{proof} \rangle$

**lemma** *inj-on-image-epoll-2* [*simp*]:

**assumes** *inj-on*  $f B$  **shows**  $A \approx f' B \longleftrightarrow A \approx B$

$\langle \text{proof} \rangle$

### 35.4 Inserting elements into sets

**lemma** *insert-lepoll-insertD*:

**assumes** *insert*  $u A \lesssim \text{insert } v B$   $u \notin A$   $v \notin B$  **shows**  $A \lesssim B$

$\langle \text{proof} \rangle$

**lemma** *insert-epoll-insertD*:  $\llbracket \text{insert } u A \approx \text{insert } v B; u \notin A; v \notin B \rrbracket \implies A \approx B$

$\langle \text{proof} \rangle$

**lemma** *insert-lepoll-cong*:

**assumes**  $A \lesssim B$   $a \notin B$  **shows** *insert*  $a A \lesssim \text{insert } a B$

$\langle \text{proof} \rangle$

**lemma** *insert-epoll-cong*:

$\llbracket A \approx B; a \notin A; b \notin B \rrbracket \implies \text{insert } a A \approx \text{insert } b B$

$\langle \text{proof} \rangle$

**lemma** *insert-epoll-insert-iff*:

$\llbracket a \notin A; b \notin B \rrbracket \implies \text{insert } a A \approx \text{insert } b B \longleftrightarrow A \approx B$

$\langle \text{proof} \rangle$

**lemma** *insert-lepoll-insert-iff*:

$\llbracket a \notin A; b \notin B \rrbracket \implies (\text{insert } a A \lesssim \text{insert } b B) \longleftrightarrow (A \lesssim B)$

$\langle \text{proof} \rangle$

**lemma** *less-imp-insert-lepoll*:

**assumes**  $A \prec B$  **shows** *insert*  $a A \lesssim B$

$\langle \text{proof} \rangle$

**lemma** *finite-insert-lepoll*: *finite*  $A \implies (\text{insert } a A \lesssim A) \longleftrightarrow (a \in A)$

$\langle \text{proof} \rangle$

### 35.5 Binary sums and unions

**lemma** *Un-lepoll-mono*:

**assumes**  $A \lesssim C$   $B \lesssim D$  *disjnt*  $C D$  **shows**  $A \cup B \lesssim C \cup D$

$\langle \text{proof} \rangle$

**lemma** *Un-epoll-cong*:  $\llbracket A \approx C; B \approx D; \text{disjnt } A B; \text{disjnt } C D \rrbracket \implies A \cup B \approx C \cup D$

$\langle \text{proof} \rangle$

**lemma** *sum-lepoll-mono*:

**assumes**  $A \lesssim C$   $B \lesssim D$  **shows**  $A \langle + \rangle B \lesssim C \langle + \rangle D$

*<proof>*

**lemma** *sum-epoll-cong*:  $\llbracket A \approx C; B \approx D \rrbracket \implies A \langle + \rangle B \approx C \langle + \rangle D$   
*<proof>*

### 35.6 Binary Cartesian products

**lemma** *times-square-lepoll*:  $A \lesssim A \times A$   
*<proof>*

**lemma** *times-commute-epoll*:  $A \times B \approx B \times A$   
*<proof>*

**lemma** *times-assoc-epoll*:  $(A \times B) \times C \approx A \times (B \times C)$   
*<proof>*

**lemma** *times-singleton-epoll*:  $\{a\} \times A \approx A$   
*<proof>*

**lemma** *times-lepoll-mono*:  
**assumes**  $A \lesssim C$   $B \lesssim D$  **shows**  $A \times B \lesssim C \times D$   
*<proof>*

**lemma** *times-epoll-cong*:  $\llbracket A \approx C; B \approx D \rrbracket \implies A \times B \approx C \times D$   
*<proof>*

**lemma**  
**assumes**  $B \neq \{\}$  **shows** *lepoll-times1*:  $A \lesssim A \times B$  **and** *lepoll-times2*:  $A \lesssim B \times A$   
*<proof>*

**lemma** *times-0-epoll*:  $\{\} \times A \approx \{\}$   
*<proof>*

**lemma** *Sigma-inj-lepoll-mono*:  
**assumes**  $h$ : *inj-on*  $h$   $A$   $h^{-1} A \subseteq C$  **and**  $\bigwedge x. x \in A \implies B x \lesssim D (h x)$   
**shows**  $\text{Sigma } A B \lesssim \text{Sigma } C D$   
*<proof>*

**lemma** *Sigma-lepoll-mono*:  
**assumes**  $A \subseteq C$   $\bigwedge x. x \in A \implies B x \lesssim D x$  **shows**  $\text{Sigma } A B \lesssim \text{Sigma } C D$   
*<proof>*

**lemma** *sum-times-distrib-epoll*:  $(A \langle + \rangle B) \times C \approx (A \times C) \langle + \rangle (B \times C)$   
*<proof>*

**lemma** *Sigma-epoll-cong*:  
**assumes**  $h$ : *bij-betw*  $h$   $A$   $C$  **and**  $BD$ :  $\bigwedge x. x \in A \implies B x \approx D (h x)$   
**shows**  $\text{Sigma } A B \approx \text{Sigma } C D$

*<proof>*

**lemma** *prod-insert-epoll*:

**assumes**  $a \notin A$  **shows**  $\text{insert } a \ A \times B \approx B \langle + \rangle A \times B$

*<proof>*

### 35.7 General Unions

**lemma** *Union-epoll-Times*:

**assumes**  $B: \bigwedge x. x \in A \implies F x \approx B$  **and** *disj*:  $\text{pairwise } (\lambda x y. \text{disjnt } (F x) (F y)) \ A$

**shows**  $(\bigcup_{x \in A}. F x) \approx A \times B$

*<proof>*

**lemma** *UN-lepoll-UN*:

**assumes**  $A: \bigwedge x. x \in A \implies B x \lesssim C x$

**and** *disj*:  $\text{pairwise } (\lambda x y. \text{disjnt } (C x) (C y)) \ A$

**shows**  $\bigcup (B^i A) \lesssim \bigcup (C^i A)$

*<proof>*

**lemma** *UN-epoll-UN*:

**assumes**  $A: \bigwedge x. x \in A \implies B x \approx C x$

**and**  $B: \text{pairwise } (\lambda x y. \text{disjnt } (B x) (B y)) \ A$

**and**  $C: \text{pairwise } (\lambda x y. \text{disjnt } (C x) (C y)) \ A$

**shows**  $(\bigcup_{x \in A}. B x) \approx (\bigcup_{x \in A}. C x)$

*<proof>*

### 35.8 General Cartesian products (Pi)

**lemma** *PiE-sing-epoll-self*:  $(\{a\} \rightarrow_E B) \approx B$

*<proof>*

**lemma** *lepoll-funcset-right*:

$B \lesssim B' \implies A \rightarrow_E B \lesssim A \rightarrow_E B'$

*<proof>*

**lemma** *lepoll-funcset-left*:

**assumes**  $B \neq \{\}$   $A \lesssim A'$

**shows**  $A \rightarrow_E B \lesssim A' \rightarrow_E B$

*<proof>*

**lemma** *lepoll-funcset*:

$\llbracket B \neq \{\}; A \lesssim A'; B \lesssim B' \rrbracket \implies A \rightarrow_E B \lesssim A' \rightarrow_E B'$

*<proof>*

**lemma** *lepoll-PiE*:

**assumes**  $\bigwedge i. i \in A \implies B i \lesssim C i$

**shows**  $\text{PiE } A \ B \lesssim \text{PiE } A \ C$

*<proof>*

**lemma** *card-le-PiE-subindex*:  
**assumes**  $A \subseteq A' \text{ Pi}_E A' B \neq \{\}$   
**shows**  $\text{Pi}_E A B \lesssim \text{Pi}_E A' B$   
 $\langle \text{proof} \rangle$

**lemma** *finite-restricted-funspace*:  
**assumes** *finite A finite B*  
**shows** *finite  $\{f. f ' A \subseteq B \wedge \{x. f x \neq k x\} \subseteq A\}$  (is finite ?F)*  
 $\langle \text{proof} \rangle$

**proposition** *finite-PiE-iff*:  
 $\text{finite}(\text{Pi}_E I S) \longleftrightarrow \text{Pi}_E I S = \{\} \vee \text{finite} \{i \in I. \sim(\exists a. S i \subseteq \{a\})\} \wedge (\forall i \in I. \text{finite}(S i))$   
**(is ?lhs = ?rhs)**  
 $\langle \text{proof} \rangle$

**corollary** *finite-funcset-iff*:  
 $\text{finite}(I \rightarrow_E S) \longleftrightarrow (\exists a. S \subseteq \{a\}) \vee I = \{\} \vee \text{finite } I \wedge \text{finite } S$   
 $\langle \text{proof} \rangle$

### 35.9 Misc other resultd

**lemma** *lists-lepoll-mono*:  
**assumes**  $A \lesssim B$  **shows** *lists A  $\lesssim$  lists B*  
 $\langle \text{proof} \rangle$

**lemma** *lepoll-lists*:  $A \lesssim \text{lists } A$   
 $\langle \text{proof} \rangle$

Dedekind’s definition of infinite set

**lemma** *infinite-iff-psubset*:  $\text{infinite } A \longleftrightarrow (\exists B. B \subset A \wedge A \approx B)$   
 $\langle \text{proof} \rangle$

**lemma** *infinite-iff-psubset-le*:  $\text{infinite } A \longleftrightarrow (\exists B. B \subset A \wedge A \lesssim B)$   
 $\langle \text{proof} \rangle$

**end**

**theory** *Simps-Case-Conv*  
**imports** *Case-Converter*  
**keywords** *simps-of-case case-of-simps :: thy-decl*  
**abbrevs** *simps-of-case case-of-simps =*  
**begin**  
 $\langle ML \rangle$

**end**

**theory** *Extended*

**imports** *Simps-Case-Conv*

**begin**

**datatype** *'a extended* = *Fin 'a* | *Pinf* ( $\infty$ ) | *Minf* ( $-\infty$ )

**instantiation** *extended* :: (*order*)*order*

**begin**

**fun** *less-eq-extended* :: *'a extended*  $\Rightarrow$  *'a extended*  $\Rightarrow$  *bool* **where**

*Fin*  $x \leq$  *Fin*  $y$  = ( $x \leq y$ ) |

$- \leq$  *Pinf* = *True* |

*Minf*  $\leq -$  = *True* |

( $::$ *'a extended*)  $\leq -$  = *False*

**case-of-simps** *less-eq-extended-case*: *less-eq-extended.simps*

**definition** *less-extended* :: *'a extended*  $\Rightarrow$  *'a extended*  $\Rightarrow$  *bool* **where**

(( $::$ *'a extended*)  $<$   $y$ ) = ( $x \leq y \wedge \neg y \leq x$ )

**instance**

*<proof>*

**end**

**instance** *extended* :: (*linorder*)*linorder*

*<proof>*

**lemma** *Minf-le[simp]*: *Minf*  $\leq y$

*<proof>*

**lemma** *le-Pinf[simp]*:  $x \leq$  *Pinf*

*<proof>*

**lemma** *le-Minf[simp]*:  $x \leq$  *Minf*  $\longleftrightarrow x =$  *Minf*

*<proof>*

**lemma** *Pinf-le[simp]*: *Pinf*  $\leq x \longleftrightarrow x =$  *Pinf*

*<proof>*

**lemma** *less-extended-simps[simp]*:

*Fin*  $x <$  *Fin*  $y$  = ( $x < y$ )

*Fin*  $x <$  *Pinf* = *True*

*Fin*  $x <$  *Minf* = *False*

*Pinf*  $<$   $h$  = *False*

*Minf*  $<$  *Fin*  $x$  = *True*

*Minf*  $<$  *Pinf* = *True*

```

  l < Minf = False
⟨proof⟩

```

```

lemma min-extended-simps[simp]:
  min (Fin x) (Fin y) = Fin(min x y)
  min xx Pinf = xx
  min xx Minf = Minf
  min Pinf yy = yy
  min Minf yy = Minf
⟨proof⟩

```

```

lemma max-extended-simps[simp]:
  max (Fin x) (Fin y) = Fin(max x y)
  max xx Pinf = Pinf
  max xx Minf = xx
  max Pinf yy = Pinf
  max Minf yy = yy
⟨proof⟩

```

```

instantiation extended :: (zero)zero
begin
definition 0 = Fin(0::'a)
instance ⟨proof⟩
end

```

```

declare zero-extended-def[symmetric, code-post]

```

```

instantiation extended :: (one)one
begin
definition 1 = Fin(1::'a)
instance ⟨proof⟩
end

```

```

declare one-extended-def[symmetric, code-post]

```

```

instantiation extended :: (plus)plus
begin

```

The following definition of addition is totalized to make it associative and commutative. Normally the sum of plus and minus infinity is undefined.

```

fun plus-extended where
  Fin x + Fin y = Fin(x+y) |
  Fin x + Pinf = Pinf |
  Pinf + Fin x = Pinf |
  Pinf + Pinf = Pinf |
  Minf + Fin y = Minf |
  Fin x + Minf = Minf |
  Minf + Minf = Minf |

```

$$\begin{aligned} \text{Minf} + \text{Pinf} &= \text{Pinf} \mid \\ \text{Pinf} + \text{Minf} &= \text{Pinf} \end{aligned}$$

**case-of-simps** *plus-case: plus-extended.simps*

**instance**  $\langle \text{proof} \rangle$

**end**

**instance** *extended* :: (*ab-semigroup-add*)*ab-semigroup-add*  
 $\langle \text{proof} \rangle$

**instance** *extended* :: (*ordered-ab-semigroup-add*)*ordered-ab-semigroup-add*  
 $\langle \text{proof} \rangle$

**instance** *extended* :: (*comm-monoid-add*)*comm-monoid-add*  
 $\langle \text{proof} \rangle$

**instantiation** *extended* :: (*uminus*)*uminus*  
**begin**

**fun** *uminus-extended* **where**

$$\begin{aligned} - (\text{Fin } x) &= \text{Fin } (- x) \mid \\ - \text{Pinf} &= \text{Minf} \mid \\ - \text{Minf} &= \text{Pinf} \end{aligned}$$

**instance**  $\langle \text{proof} \rangle$

**end**

**instantiation** *extended* :: (*ab-group-add*)*minus*

**begin**

**definition**  $x - y = x + -(y::'a \text{ extended})$

**instance**  $\langle \text{proof} \rangle$

**end**

**lemma** *minus-extended-simps*[*simp*]:

$$\begin{aligned} \text{Fin } x - \text{Fin } y &= \text{Fin}(x - y) \\ \text{Fin } x - \text{Pinf} &= \text{Minf} \\ \text{Fin } x - \text{Minf} &= \text{Pinf} \\ \text{Pinf} - \text{Fin } y &= \text{Pinf} \\ \text{Pinf} - \text{Minf} &= \text{Pinf} \\ \text{Minf} - \text{Fin } y &= \text{Minf} \\ \text{Minf} - \text{Pinf} &= \text{Minf} \\ \text{Minf} - \text{Minf} &= \text{Pinf} \\ \text{Pinf} - \text{Pinf} &= \text{Pinf} \end{aligned}$$

*<proof>*

Numerals:

**instance** *extended* :: (*{ab-semigroup-add,one}*)*numeral* *<proof>*

**lemma** *Fin-numeral[code-post]*: *Fin*(*numeral w*) = *numeral w*  
*<proof>*

**lemma** *Fin-neg-numeral[code-post]*: *Fin* (− *numeral w*) = − *numeral w*  
*<proof>*

**instantiation** *extended* :: (*lattice*)*bounded-lattice*  
**begin**

**definition** *bot* = *Minf*

**definition** *top* = *Pinf*

**fun** *inf-extended* :: '*a extended* ⇒ '*a extended* ⇒ '*a extended* **where**  
*inf-extended* (*Fin i*) (*Fin j*) = *Fin* (*inf i j*) |  
*inf-extended* *a Minf* = *Minf* |  
*inf-extended* *Minf a* = *Minf* |  
*inf-extended* *Pinf a* = *a* |  
*inf-extended* *a Pinf* = *a*

**fun** *sup-extended* :: '*a extended* ⇒ '*a extended* ⇒ '*a extended* **where**  
*sup-extended* (*Fin i*) (*Fin j*) = *Fin* (*sup i j*) |  
*sup-extended* *a Pinf* = *Pinf* |  
*sup-extended* *Pinf a* = *Pinf* |  
*sup-extended* *Minf a* = *a* |  
*sup-extended* *a Minf* = *a*

**case-of-simps** *inf-extended-case*: *inf-extended.simps*

**case-of-simps** *sup-extended-case*: *sup-extended.simps*

**instance**

*<proof>*

**end**

**end**

## 36 Continuity and iterations

**theory** *Order-Continuity*

**imports** *Complex-Main Countable-Complete-Lattices*

**begin**



**lemma** *SUP-nat-binary*:

$(\text{sup } A (\text{SUP } x \in \text{Collect } ((<) (0::\text{nat})). B)) = (\text{sup } A B::'a::\text{countable-complete-lattice})$   
 ⟨proof⟩

**lemma** *INF-nat-binary*:

$(\text{inf } A (\text{INF } x \in \text{Collect } ((<) (0::\text{nat})). B)) = (\text{inf } A B::'a::\text{countable-complete-lattice})$   
 ⟨proof⟩

The name *continuous* is already taken in *Complex-Main*, so we use *sup-continuous* and *inf-continuous*. These names appear sometimes in literature and have the advantage that these names are duals.

**named-theorems** *order-continuous-intros*

### 36.1 Continuity for complete lattices

**definition**

*sup-continuous* :: ('a::countable-complete-lattice  $\Rightarrow$  'b::countable-complete-lattice)  
 $\Rightarrow$  bool

**where**

*sup-continuous* F  $\longleftrightarrow$  ( $\forall M::\text{nat} \Rightarrow 'a. \text{mono } M \longrightarrow F (\text{SUP } i. M i) = (\text{SUP } i. F (M i))$ )

**lemma** *sup-continuousD*: *sup-continuous* F  $\Longrightarrow$  *mono* M  $\Longrightarrow$  F (SUP i::nat. M i) = (SUP i. F (M i))  
 ⟨proof⟩

**lemma** *sup-continuous-mono*:

*mono* F **if** *sup-continuous* F  
 ⟨proof⟩

**lemma** [*order-continuous-intros*]:

**shows** *sup-continuous-const*: *sup-continuous* ( $\lambda x. c$ )

**and** *sup-continuous-id*: *sup-continuous* ( $\lambda x. x$ )

**and** *sup-continuous-apply*: *sup-continuous* ( $\lambda f. f x$ )

**and** *sup-continuous-fun*: ( $\bigwedge s. \text{sup-continuous } (\lambda x. P x s)$ )  $\Longrightarrow$  *sup-continuous* P

**and** *sup-continuous-If*: *sup-continuous* F  $\Longrightarrow$  *sup-continuous* G  $\Longrightarrow$  *sup-continuous* ( $\lambda f. \text{if } C \text{ then } F f \text{ else } G f$ )  
 ⟨proof⟩

**lemma** *sup-continuous-compose*:

**assumes** f: *sup-continuous* f **and** g: *sup-continuous* g

**shows** *sup-continuous* ( $\lambda x. f (g x)$ )

⟨proof⟩

**lemma** *sup-continuous-sup*[*order-continuous-intros*]:

*sup-continuous* f  $\Longrightarrow$  *sup-continuous* g  $\Longrightarrow$  *sup-continuous* ( $\lambda x. \text{sup } (f x) (g x)$ )

⟨proof⟩

**lemma** *sup-continuous-inf*[*order-continuous-intros*]:  
**fixes**  $P Q :: 'a :: \text{countable-complete-lattice} \Rightarrow 'b :: \text{countable-complete-distrib-lattice}$   
**assumes**  $P: \text{sup-continuous } P$  **and**  $Q: \text{sup-continuous } Q$   
**shows**  $\text{sup-continuous } (\lambda x. \text{inf } (P x) (Q x))$   
 $\langle \text{proof} \rangle$

**lemma** *sup-continuous-and*[*order-continuous-intros*]:  
 $\text{sup-continuous } P \Longrightarrow \text{sup-continuous } Q \Longrightarrow \text{sup-continuous } (\lambda x. P x \wedge Q x)$   
 $\langle \text{proof} \rangle$

**lemma** *sup-continuous-or*[*order-continuous-intros*]:  
 $\text{sup-continuous } P \Longrightarrow \text{sup-continuous } Q \Longrightarrow \text{sup-continuous } (\lambda x. P x \vee Q x)$   
 $\langle \text{proof} \rangle$

**lemma** *sup-continuous-lfp*:  
**assumes**  $\text{sup-continuous } F$  **shows**  $\text{lfp } F = (\text{SUP } i. (F \overset{\sim}{\sim} i) \text{ bot})$  (**is**  $\text{lfp } F = ?U$ )  
 $\langle \text{proof} \rangle$

**lemma** *lfp-transfer-bounded*:  
**assumes**  $P: P \text{ bot } \wedge x. P x \Longrightarrow P (f x) \wedge M. (\wedge i. P (M i)) \Longrightarrow P (\text{SUP } i::\text{nat}. M i)$   
**assumes**  $\alpha: \wedge M. \text{mono } M \Longrightarrow (\wedge i::\text{nat}. P (M i)) \Longrightarrow \alpha (\text{SUP } i. M i) = (\text{SUP } i. \alpha (M i))$   
**assumes**  $f: \text{sup-continuous } f$  **and**  $g: \text{sup-continuous } g$   
**assumes** [*simp*]:  $\wedge x. P x \Longrightarrow x \leq \text{lfp } f \Longrightarrow \alpha (f x) = g (\alpha x)$   
**assumes**  $g\text{-bound}: \wedge x. \alpha \text{ bot } \leq g x$   
**shows**  $\alpha (\text{lfp } f) = \text{lfp } g$   
 $\langle \text{proof} \rangle$

**lemma** *lfp-transfer*:  
 $\text{sup-continuous } \alpha \Longrightarrow \text{sup-continuous } f \Longrightarrow \text{sup-continuous } g \Longrightarrow$   
 $(\wedge x. \alpha \text{ bot } \leq g x) \Longrightarrow (\wedge x. x \leq \text{lfp } f \Longrightarrow \alpha (f x) = g (\alpha x)) \Longrightarrow \alpha (\text{lfp } f) =$   
 $\text{lfp } g$   
 $\langle \text{proof} \rangle$

**definition**  
 $\text{inf-continuous} :: ('a::\text{countable-complete-lattice} \Rightarrow 'b::\text{countable-complete-lattice})$   
 $\Rightarrow \text{bool}$   
**where**  
 $\text{inf-continuous } F \longleftrightarrow (\forall M::\text{nat} \Rightarrow 'a. \text{antimono } M \longrightarrow F (\text{INF } i. M i) = (\text{INF } i. F (M i)))$

**lemma** *inf-continuousD*:  $\text{inf-continuous } F \Longrightarrow \text{antimono } M \Longrightarrow F (\text{INF } i::\text{nat}. M i) = (\text{INF } i. F (M i))$   
 $\langle \text{proof} \rangle$

**lemma** *inf-continuous-mono*:  
 $\text{mono } F$  **if**  $\text{inf-continuous } F$   
 $\langle \text{proof} \rangle$

**lemma** *[order-continuous-intros]*:

**shows** *inf-continuous-const*: *inf-continuous* ( $\lambda x. c$ )  
**and** *inf-continuous-id*: *inf-continuous* ( $\lambda x. x$ )  
**and** *inf-continuous-apply*: *inf-continuous* ( $\lambda f. f x$ )  
**and** *inf-continuous-fun*:  $(\bigwedge s. \text{inf-continuous } (\lambda x. P x s)) \implies \text{inf-continuous } P$   
**and** *inf-continuous-If*: *inf-continuous*  $F \implies \text{inf-continuous } G \implies \text{inf-continuous}$   
 $(\lambda f. \text{if } C \text{ then } F f \text{ else } G f)$   
*<proof>*

**lemma** *inf-continuous-inf**[order-continuous-intros]*:

*inf-continuous*  $f \implies \text{inf-continuous } g \implies \text{inf-continuous } (\lambda x. \text{inf } (f x) (g x))$   
*<proof>*

**lemma** *inf-continuous-sup**[order-continuous-intros]*:

**fixes**  $P Q :: 'a :: \text{countable-complete-lattice} \Rightarrow 'b :: \text{countable-complete-distrib-lattice}$   
**assumes**  $P$ : *inf-continuous*  $P$  **and**  $Q$ : *inf-continuous*  $Q$   
**shows** *inf-continuous*  $(\lambda x. \text{sup } (P x) (Q x))$   
*<proof>*

**lemma** *inf-continuous-and**[order-continuous-intros]*:

*inf-continuous*  $P \implies \text{inf-continuous } Q \implies \text{inf-continuous } (\lambda x. P x \wedge Q x)$   
*<proof>*

**lemma** *inf-continuous-or**[order-continuous-intros]*:

*inf-continuous*  $P \implies \text{inf-continuous } Q \implies \text{inf-continuous } (\lambda x. P x \vee Q x)$   
*<proof>*

**lemma** *inf-continuous-compose*:

**assumes**  $f$ : *inf-continuous*  $f$  **and**  $g$ : *inf-continuous*  $g$   
**shows** *inf-continuous*  $(\lambda x. f (g x))$   
*<proof>*

**lemma** *inf-continuous-gfp*:

**assumes** *inf-continuous*  $F$  **shows**  $\text{gfp } F = (\text{INF } i. (F \text{ } \sim i) \text{ top})$  (**is**  $\text{gfp } F = ?U$ )  
*<proof>*

**lemma** *gfp-transfer*:

**assumes**  $\alpha$ : *inf-continuous*  $\alpha$  **and**  $f$ : *inf-continuous*  $f$  **and**  $g$ : *inf-continuous*  $g$   
**assumes** *[simp]*:  $\alpha \text{ top} = \text{top} \wedge x. \alpha (f x) = g (\alpha x)$   
**shows**  $\alpha (\text{gfp } f) = \text{gfp } g$   
*<proof>*

**lemma** *gfp-transfer-bounded*:

**assumes**  $P$ :  $P (f \text{ top}) \wedge x. P x \implies P (f x) \wedge M. \text{antimono } M \implies (\bigwedge i. P (M i)) \implies P (\text{INF } i::\text{nat. } M i)$   
**assumes**  $\alpha$ :  $\bigwedge M. \text{antimono } M \implies (\bigwedge i::\text{nat. } P (M i)) \implies \alpha (\text{INF } i. M i) = (\text{INF } i. \alpha (M i))$   
**assumes**  $f$ : *inf-continuous*  $f$  **and**  $g$ : *inf-continuous*  $g$

**assumes** *[simp]*:  $\bigwedge x. P\ x \implies \alpha\ (f\ x) = g\ (\alpha\ x)$   
**assumes** *g-bound*:  $\bigwedge x. g\ x \leq \alpha\ (f\ top)$   
**shows**  $\alpha\ (gfp\ f) = gfp\ g$   
*<proof>*

### 36.1.1 Least fixed points in countable complete lattices

**definition** (in *countable-complete-lattice*) *cclfp* ::  $(\ 'a \Rightarrow \ 'a) \Rightarrow \ 'a$   
**where**  $cclfp\ f = (SUP\ i. (f\ \hat{\sim}\ i)\ bot)$

**lemma** *cclfp-unfold*:  
**assumes** *sup-continuous F* **shows**  $cclfp\ F = F\ (cclfp\ F)$   
*<proof>*

**lemma** *cclfp-lowerbound*: **assumes** *f: mono f and A: f A ≤ A* **shows**  $cclfp\ f \leq A$   
*<proof>*

**lemma** *cclfp-transfer*:  
**assumes** *sup-continuous α mono f*  
**assumes**  $\alpha\ bot = bot \ \bigwedge x. \alpha\ (f\ x) = g\ (\alpha\ x)$   
**shows**  $\alpha\ (cclfp\ f) = cclfp\ g$   
*<proof>*

**end**

## 37 Extended natural numbers (i.e. with infinity)

**theory** *Extended-Nat*  
**imports** *Main Countable Order-Continuity*  
**begin**

**class** *infinity* =  
**fixes** *infinity* ::  $\ 'a\ (\infty)$

**context**  
**fixes** *f* ::  $nat \Rightarrow \ 'a::\{\text{canonically-ordered-monoid-add, linorder-topology, complete-linorder}\}$   
**begin**

**lemma** *sums-SUP*[*simp, intro*]:  $f\ sums\ (SUP\ n. \sum\ i < n. f\ i)$   
*<proof>*

**lemma** *suminf-eq-SUP*:  $suminf\ f = (SUP\ n. \sum\ i < n. f\ i)$   
*<proof>*

**end**

### 37.1 Type definition

We extend the standard natural numbers by a special value indicating infinity.

**typedef** *enat* = *UNIV* :: *nat option set* *<proof>*

TODO: introduce *enat* as coinductive datatype, *enat* is just *of-nat*

**definition** *enat* :: *nat*  $\Rightarrow$  *enat* **where**  
*enat* *n* = *Abs-enat* (*Some* *n*)

**instantiation** *enat* :: *infinity*  
**begin**

**definition**  $\infty$  = *Abs-enat* *None*  
**instance** *<proof>*

**end**

**instance** *enat* :: *countable*  
*<proof>*

**old-rep-datatype** *enat*  $\infty$  :: *enat*  
*<proof>*

**declare** [[*coercion enat::nat $\Rightarrow$ enat*]]

**lemmas** *enat2-cases* = *enat.exhaust*[*case-product enat.exhaust*]

**lemmas** *enat3-cases* = *enat.exhaust*[*case-product enat.exhaust enat.exhaust*]

**lemma** *not-infinity-eq* [*iff*]:  $(x \neq \infty) = (\exists i. x = \text{enat } i)$   
*<proof>*

**lemma** *not-enat-eq* [*iff*]:  $(\forall y. x \neq \text{enat } y) = (x = \infty)$   
*<proof>*

**lemma** *enat-ex-split*:  $(\exists c::\text{enat}. P c) \longleftrightarrow P \infty \vee (\exists c::\text{nat}. P c)$   
*<proof>*

**primrec** *the-enat* :: *enat*  $\Rightarrow$  *nat*  
**where** *the-enat* (*enat* *n*) = *n*

### 37.2 Constructors and numbers

**instantiation** *enat* :: *zero-neq-one*  
**begin**

**definition**  
 $0 = \text{enat } 0$

**definition**

$$1 = \text{enat } 1$$
**instance**

$$\langle \text{proof} \rangle$$
**end****definition**  $e\text{Suc} :: \text{enat} \Rightarrow \text{enat}$  **where**

$$e\text{Suc } i = (\text{case } i \text{ of } \text{enat } n \Rightarrow \text{enat } (\text{Suc } n) \mid \infty \Rightarrow \infty)$$
**lemma**  $\text{enat-0}$  [code-post]:  $\text{enat } 0 = 0$ 

$$\langle \text{proof} \rangle$$
**lemma**  $\text{enat-1}$  [code-post]:  $\text{enat } 1 = 1$ 

$$\langle \text{proof} \rangle$$
**lemma**  $\text{enat-0-iff}$ :  $\text{enat } x = 0 \longleftrightarrow x = 0 \quad 0 = \text{enat } x \longleftrightarrow x = 0$ 

$$\langle \text{proof} \rangle$$
**lemma**  $\text{enat-1-iff}$ :  $\text{enat } x = 1 \longleftrightarrow x = 1 \quad 1 = \text{enat } x \longleftrightarrow x = 1$ 

$$\langle \text{proof} \rangle$$
**lemma**  $\text{one-eSuc}$ :  $1 = e\text{Suc } 0$ 

$$\langle \text{proof} \rangle$$
**lemma**  $\text{infinity-ne-i0}$  [simp]:  $(\infty :: \text{enat}) \neq 0$ 

$$\langle \text{proof} \rangle$$
**lemma**  $\text{i0-ne-infinity}$  [simp]:  $0 \neq (\infty :: \text{enat})$ 

$$\langle \text{proof} \rangle$$
**lemma**  $\text{zero-one-enat-neq}$ :
$$\neg 0 = (1 :: \text{enat})$$

$$\neg 1 = (0 :: \text{enat})$$

$$\langle \text{proof} \rangle$$
**lemma**  $\text{infinity-ne-i1}$  [simp]:  $(\infty :: \text{enat}) \neq 1$ 

$$\langle \text{proof} \rangle$$
**lemma**  $\text{i1-ne-infinity}$  [simp]:  $1 \neq (\infty :: \text{enat})$ 

$$\langle \text{proof} \rangle$$
**lemma**  $\text{eSuc-enat}$ :  $e\text{Suc } (\text{enat } n) = \text{enat } (\text{Suc } n)$ 

$$\langle \text{proof} \rangle$$
**lemma**  $\text{eSuc-infinity}$  [simp]:  $e\text{Suc } \infty = \infty$ 

$$\langle \text{proof} \rangle$$

**lemma** *eSuc-ne-0* [*simp*]:  $eSuc\ n \neq 0$   
 ⟨*proof*⟩

**lemma** *zero-ne-eSuc* [*simp*]:  $0 \neq eSuc\ n$   
 ⟨*proof*⟩

**lemma** *eSuc-inject* [*simp*]:  $eSuc\ m = eSuc\ n \longleftrightarrow m = n$   
 ⟨*proof*⟩

**lemma** *eSuc-enat-iff*:  $eSuc\ x = enat\ y \longleftrightarrow (\exists n. y = Suc\ n \wedge x = enat\ n)$   
 ⟨*proof*⟩

**lemma** *enat-eSuc-iff*:  $enat\ y = eSuc\ x \longleftrightarrow (\exists n. y = Suc\ n \wedge enat\ n = x)$   
 ⟨*proof*⟩

### 37.3 Addition

**instantiation** *enat* :: *comm-monoid-add*  
**begin**

**definition** [*nitpick-simp*]:

$m + n = (case\ m\ of\ \infty \Rightarrow \infty \mid enat\ m \Rightarrow (case\ n\ of\ \infty \Rightarrow \infty \mid enat\ n \Rightarrow enat\ (m + n)))$

**lemma** *plus-enat-simps* [*simp*, *code*]:

**fixes**  $q :: enat$

**shows**  $enat\ m + enat\ n = enat\ (m + n)$

**and**  $\infty + q = \infty$

**and**  $q + \infty = \infty$

⟨*proof*⟩

**instance**

⟨*proof*⟩

**end**

**lemma** *eSuc-plus-1*:

$eSuc\ n = n + 1$

⟨*proof*⟩

**lemma** *plus-1-eSuc*:

$1 + q = eSuc\ q$

$q + 1 = eSuc\ q$

⟨*proof*⟩

**lemma** *iadd-Suc*:  $eSuc\ m + n = eSuc\ (m + n)$

⟨*proof*⟩

**lemma** *iadd-Suc-right*:  $m + eSuc\ n = eSuc\ (m + n)$

*<proof>*

### 37.4 Multiplication

**instantiation** *enat* :: {*comm-semiring-1*, *semiring-no-zero-divisors*}  
**begin**

**definition** *times-enat-def* [*nitpick-simp*]:

$m * n = (\text{case } m \text{ of } \infty \Rightarrow \text{if } n = 0 \text{ then } 0 \text{ else } \infty \mid \text{enat } m \Rightarrow$   
 $(\text{case } n \text{ of } \infty \Rightarrow \text{if } m = 0 \text{ then } 0 \text{ else } \infty \mid \text{enat } n \Rightarrow \text{enat } (m * n)))$

**lemma** *times-enat-simps* [*simp*, *code*]:

$\text{enat } m * \text{enat } n = \text{enat } (m * n)$   
 $\infty * \infty = (\infty :: \text{enat})$   
 $\infty * \text{enat } n = (\text{if } n = 0 \text{ then } 0 \text{ else } \infty)$   
 $\text{enat } m * \infty = (\text{if } m = 0 \text{ then } 0 \text{ else } \infty)$   
*<proof>*

**instance**

*<proof>*

**end**

**lemma** *mult-eSuc*:  $eSuc\ m * n = n + m * n$

*<proof>*

**lemma** *mult-eSuc-right*:  $m * eSuc\ n = m + m * n$

*<proof>*

**lemma** *of-nat-eq-enat*:  $of\text{-nat } n = \text{enat } n$

*<proof>*

**instance** *enat* :: *semiring-char-0*

*<proof>*

**lemma** *imult-is-infinity*:  $((a :: \text{enat}) * b = \infty) = (a = \infty \wedge b \neq 0 \vee b = \infty \wedge a \neq 0)$

*<proof>*

### 37.5 Numerals

**lemma** *numeral-eq-enat*:

$\text{numeral } k = \text{enat } (\text{numeral } k)$   
*<proof>*

**lemma** *enat-numeral* [*code-abbrev*]:

$\text{enat } (\text{numeral } k) = \text{numeral } k$   
*<proof>*

**lemma** *infinity-ne-numeral* [*simp*]:  $(\infty :: \text{enat}) \neq \text{numeral } k$



⟨proof⟩

**lemma** *numeral-ne-infinity* [simp]: numeral  $k \neq (\infty::\text{enat})$   
 ⟨proof⟩

**lemma** *eSuc-numeral* [simp]:  $e\text{Suc} (\text{numeral } k) = \text{numeral } (k + \text{Num.One})$   
 ⟨proof⟩

### 37.6 Subtraction

**instantiation** *enat* :: minus  
**begin**

**definition** *diff-enat-def*:

$a - b = (\text{case } a \text{ of } (\text{enat } x) \Rightarrow (\text{case } b \text{ of } (\text{enat } y) \Rightarrow \text{enat } (x - y) \mid \infty \Rightarrow 0) \mid \infty \Rightarrow \infty)$

**instance** ⟨proof⟩

**end**

**lemma** *idiff-enat-enat* [simp, code]:  $\text{enat } a - \text{enat } b = \text{enat } (a - b)$   
 ⟨proof⟩

**lemma** *idiff-infinity* [simp, code]:  $\infty - n = (\infty::\text{enat})$   
 ⟨proof⟩

**lemma** *idiff-infinity-right* [simp, code]:  $\text{enat } a - \infty = 0$   
 ⟨proof⟩

**lemma** *idiff-0* [simp]:  $(0::\text{enat}) - n = 0$   
 ⟨proof⟩

**lemmas** *idiff-enat-0* [simp] = *idiff-0* [unfolded zero-enat-def]

**lemma** *idiff-0-right* [simp]:  $(n::\text{enat}) - 0 = n$   
 ⟨proof⟩

**lemmas** *idiff-enat-0-right* [simp] = *idiff-0-right* [unfolded zero-enat-def]

**lemma** *idiff-self* [simp]:  $n \neq \infty \implies (n::\text{enat}) - n = 0$   
 ⟨proof⟩

**lemma** *eSuc-minus-eSuc* [simp]:  $e\text{Suc } n - e\text{Suc } m = n - m$   
 ⟨proof⟩

**lemma** *eSuc-minus-1* [simp]:  $e\text{Suc } n - 1 = n$   
 ⟨proof⟩

### 37.7 Ordering

**instantiation** *enat* :: *linordered-ab-semigroup-add*  
**begin**

**definition** [*nitpick-simp*]:

$$m \leq n = (\text{case } n \text{ of } \text{enat } n1 \Rightarrow (\text{case } m \text{ of } \text{enat } m1 \Rightarrow m1 \leq n1 \mid \infty \Rightarrow \text{False}) \\ \mid \infty \Rightarrow \text{True})$$

**definition** [*nitpick-simp*]:

$$m < n = (\text{case } m \text{ of } \text{enat } m1 \Rightarrow (\text{case } n \text{ of } \text{enat } n1 \Rightarrow m1 < n1 \mid \infty \Rightarrow \text{True}) \\ \mid \infty \Rightarrow \text{False})$$

**lemma** *enat-ord-simps* [*simp*]:

$$\begin{aligned} \text{enat } m \leq \text{enat } n &\longleftrightarrow m \leq n \\ \text{enat } m < \text{enat } n &\longleftrightarrow m < n \\ q \leq (\infty :: \text{enat}) & \\ q < (\infty :: \text{enat}) &\longleftrightarrow q \neq \infty \\ (\infty :: \text{enat}) \leq q &\longleftrightarrow q = \infty \\ (\infty :: \text{enat}) < q &\longleftrightarrow \text{False} \\ \langle \text{proof} \rangle & \end{aligned}$$

**lemma** *numeral-le-enat-iff* [*simp*]:

**shows** *numeral*  $m \leq \text{enat } n \longleftrightarrow \text{numeral } m \leq n$   
 $\langle \text{proof} \rangle$

**lemma** *numeral-less-enat-iff* [*simp*]:

**shows** *numeral*  $m < \text{enat } n \longleftrightarrow \text{numeral } m < n$   
 $\langle \text{proof} \rangle$

**lemma** *enat-ord-code* [*code*]:

$$\begin{aligned} \text{enat } m \leq \text{enat } n &\longleftrightarrow m \leq n \\ \text{enat } m < \text{enat } n &\longleftrightarrow m < n \\ q \leq (\infty :: \text{enat}) &\longleftrightarrow \text{True} \\ \text{enat } m < \infty &\longleftrightarrow \text{True} \\ \infty \leq \text{enat } n &\longleftrightarrow \text{False} \\ (\infty :: \text{enat}) < q &\longleftrightarrow \text{False} \\ \langle \text{proof} \rangle & \end{aligned}$$

**instance**

$\langle \text{proof} \rangle$

**end**

**instance** *enat* :: *dioid*

$\langle \text{proof} \rangle$

**instance** *enat* ::  $\{\text{linordered-nonzero-semiring, strict-ordered-comm-monoid-add}\}$

$\langle \text{proof} \rangle$

**lemma** *add-diff-assoc-enat*:  $z \leq y \implies x + (y - z) = x + y - (z::\text{enat})$   
 ⟨*proof*⟩

**lemma** *enat-ord-number* [*simp*]:  
 $(\text{numeral } m :: \text{enat}) \leq \text{numeral } n \longleftrightarrow (\text{numeral } m :: \text{nat}) \leq \text{numeral } n$   
 $(\text{numeral } m :: \text{enat}) < \text{numeral } n \longleftrightarrow (\text{numeral } m :: \text{nat}) < \text{numeral } n$   
 ⟨*proof*⟩

**lemma** *infinity-ileE* [*elim!*]:  $\infty \leq \text{enat } m \implies R$   
 ⟨*proof*⟩

**lemma** *infinity-ilessE* [*elim!*]:  $\infty < \text{enat } m \implies R$   
 ⟨*proof*⟩

**lemma** *eSuc-ile-mono* [*simp*]:  $e\text{Suc } n \leq e\text{Suc } m \longleftrightarrow n \leq m$   
 ⟨*proof*⟩

**lemma** *eSuc-mono* [*simp*]:  $e\text{Suc } n < e\text{Suc } m \longleftrightarrow n < m$   
 ⟨*proof*⟩

**lemma** *ile-eSuc* [*simp*]:  $n \leq e\text{Suc } n$   
 ⟨*proof*⟩

**lemma** *not-eSuc-ilei0* [*simp*]:  $\neg e\text{Suc } n \leq 0$   
 ⟨*proof*⟩

**lemma** *i0-iless-eSuc* [*simp*]:  $0 < e\text{Suc } n$   
 ⟨*proof*⟩

**lemma** *iless-eSuc0* [*simp*]:  $(n < e\text{Suc } 0) = (n = 0)$   
 ⟨*proof*⟩

**lemma** *ileI1*:  $m < n \implies e\text{Suc } m \leq n$   
 ⟨*proof*⟩

**lemma** *Suc-ile-eq*:  $\text{enat } (\text{Suc } m) \leq n \longleftrightarrow \text{enat } m < n$   
 ⟨*proof*⟩

**lemma** *iless-Suc-eq* [*simp*]:  $\text{enat } m < e\text{Suc } n \longleftrightarrow \text{enat } m \leq n$   
 ⟨*proof*⟩

**lemma** *imult-infinity*:  $(0::\text{enat}) < n \implies \infty * n = \infty$   
 ⟨*proof*⟩

**lemma** *imult-infinity-right*:  $(0::\text{enat}) < n \implies n * \infty = \infty$   
 ⟨*proof*⟩

**lemma** *enat-0-less-mult-iff*:  $(0 < (m::enat) * n) = (0 < m \wedge 0 < n)$   
 ⟨proof⟩

**lemma** *mono-eSuc*: *mono eSuc*  
 ⟨proof⟩

**lemma** *min-enat-simps* [*simp*]:  
 $\text{min } (enat \ m) \ (enat \ n) = enat \ (\text{min } m \ n)$   
 $\text{min } q \ 0 = 0$   
 $\text{min } 0 \ q = 0$   
 $\text{min } q \ (\infty::enat) = q$   
 $\text{min } (\infty::enat) \ q = q$   
 ⟨proof⟩

**lemma** *max-enat-simps* [*simp*]:  
 $\text{max } (enat \ m) \ (enat \ n) = enat \ (\text{max } m \ n)$   
 $\text{max } q \ 0 = q$   
 $\text{max } 0 \ q = q$   
 $\text{max } q \ \infty = (\infty::enat)$   
 $\text{max } \infty \ q = (\infty::enat)$   
 ⟨proof⟩

**lemma** *enat-ile*:  $n \leq enat \ m \implies \exists k. n = enat \ k$   
 ⟨proof⟩

**lemma** *enat-iless*:  $n < enat \ m \implies \exists k. n = enat \ k$   
 ⟨proof⟩

**lemma** *iadd-le-enat-iff*:  
 $x + y \leq enat \ n \iff (\exists y' \ x'. x = enat \ x' \wedge y = enat \ y' \wedge x' + y' \leq n)$   
 ⟨proof⟩

**lemma** *chain-incr*:  $\forall i. \exists j. Y \ i < Y \ j \implies \exists j. enat \ k < Y \ j$   
 ⟨proof⟩

**lemma** *eSuc-max*:  $eSuc \ (\text{max } x \ y) = \text{max } (eSuc \ x) \ (eSuc \ y)$   
 ⟨proof⟩

**lemma** *eSuc-Max*:  
**assumes** *finite A A ≠ {}*  
**shows**  $eSuc \ (\text{Max } A) = \text{Max } (eSuc \ ` \ A)$   
 ⟨proof⟩

**instantiation** *enat* :: {*order-bot, order-top*}  
**begin**

**definition** *bot-enat* :: *enat* **where** *bot-enat* = 0

**definition** *top-enat* :: *enat* **where** *top-enat* =  $\infty$

**instance**  
 ⟨*proof*⟩

**end**

**lemma** *finite-enat-bounded*:  
 assumes *le-fin*:  $\bigwedge y. y \in A \implies y \leq \text{enat } n$   
 shows *finite A*  
 ⟨*proof*⟩

### 37.8 Cancellation simprocs

**lemma** *add-diff-cancel-enat[simp]*:  $x \neq \infty \implies x + y - x = (y::\text{enat})$   
 ⟨*proof*⟩

**lemma** *enat-add-left-cancel*:  $a + b = a + c \longleftrightarrow a = (\infty::\text{enat}) \vee b = c$   
 ⟨*proof*⟩

**lemma** *enat-add-left-cancel-le*:  $a + b \leq a + c \longleftrightarrow a = (\infty::\text{enat}) \vee b \leq c$   
 ⟨*proof*⟩

**lemma** *enat-add-left-cancel-less*:  $a + b < a + c \longleftrightarrow a \neq (\infty::\text{enat}) \wedge b < c$   
 ⟨*proof*⟩

**lemma** *plus-eq-infty-iff-enat*:  $(m::\text{enat}) + n = \infty \longleftrightarrow m = \infty \vee n = \infty$   
 ⟨*proof*⟩

⟨*ML*⟩

TODO: add regression tests for these simprocs

TODO: add simprocs for combining and cancelling numerals

### 37.9 Well-ordering

**lemma** *less-enatE*:  
 $[[ n < \text{enat } m; !!k. n = \text{enat } k \implies k < m \implies P ]] \implies P$   
 ⟨*proof*⟩

**lemma** *less-infinityE*:  
 $[[ n < \infty; !!k. n = \text{enat } k \implies P ]] \implies P$   
 ⟨*proof*⟩

**lemma** *enat-less-induct*:  
 assumes *prem*:  $\bigwedge n. \forall m::\text{enat}. m < n \longrightarrow P m \implies P n$  shows *P n*  
 ⟨*proof*⟩

**instance** *enat :: wellorder*  
 ⟨*proof*⟩

### 37.10 Complete Lattice

**instantiation** *enat* :: *complete-lattice*  
**begin**

**definition** *inf-enat* :: *enat*  $\Rightarrow$  *enat*  $\Rightarrow$  *enat* **where**  
*inf-enat* = *min*

**definition** *sup-enat* :: *enat*  $\Rightarrow$  *enat*  $\Rightarrow$  *enat* **where**  
*sup-enat* = *max*

**definition** *Inf-enat* :: *enat set*  $\Rightarrow$  *enat* **where**  
*Inf-enat* *A* = (if *A* = {} then  $\infty$  else (LEAST *x*. *x*  $\in$  *A*))

**definition** *Sup-enat* :: *enat set*  $\Rightarrow$  *enat* **where**  
*Sup-enat* *A* = (if *A* = {} then 0 else if finite *A* then Max *A* else  $\infty$ )

**instance**  
 <proof>  
**end**

**instance** *enat* :: *complete-linorder* <proof>

**lemma** *eSuc-Sup*:  $A \neq \{\}$   $\Longrightarrow$  *eSuc* (*Sup* *A*) = *Sup* (*eSuc* ‘ *A*)  
 <proof>

**lemma** *sup-continuous-eSuc*: *sup-continuous* *f*  $\Longrightarrow$  *sup-continuous* ( $\lambda x$ . *eSuc* (*f* *x*))  
 <proof>

### 37.11 Traditional theorem names

**lemmas** *enat-defs* = *zero-enat-def* *one-enat-def* *eSuc-def*  
*plus-enat-def* *less-eq-enat-def* *less-enat-def*

**lemma** *iadd-is-0*:  $(m + n = (0::enat)) = (m = 0 \wedge n = 0)$   
 <proof>

**lemma** *i0-lb* :  $(0::enat) \leq n$   
 <proof>

**lemma** *ile0-eq*:  $n \leq (0::enat) \longleftrightarrow n = 0$   
 <proof>

**lemma** *not-iless0*:  $\neg n < (0::enat)$   
 <proof>

**lemma** *i0-less[simp]*:  $(0::enat) < n \longleftrightarrow n \neq 0$   
 <proof>

**lemma** *imult-is-0*:  $((m::enat) * n = 0) = (m = 0 \vee n = 0)$   
 <proof>

end

### 38 Liminf and Limsup on conditionally complete lattices

**theory** *Liminf-Limsup*  
**imports** *Complex-Main*  
**begin**

**lemma** (in *conditionally-complete-linorder*) *le-cSup-iff*:

**assumes**  $A \neq \{\}$  *bdd-above*  $A$   
**shows**  $x \leq \text{Sup } A \longleftrightarrow (\forall y < x. \exists a \in A. y < a)$

*<proof>*

**lemma** (in *conditionally-complete-linorder*) *le-cSUP-iff*:

$A \neq \{\} \implies \text{bdd-above } (f' A) \implies x \leq \text{Sup } (f' A) \longleftrightarrow (\forall y < x. \exists i \in A. y < f i)$

*<proof>*

**lemma** *le-cSup-iff-less*:

**fixes**  $x :: 'a :: \{\text{conditionally-complete-linorder, dense-linorder}\}$

**shows**  $A \neq \{\} \implies \text{bdd-above } (f' A) \implies x \leq (\text{SUP } i \in A. f i) \longleftrightarrow (\forall y < x. \exists i \in A. y \leq f i)$

*<proof>*

**lemma** *le-Sup-iff-less*:

**fixes**  $x :: 'a :: \{\text{complete-linorder, dense-linorder}\}$

**shows**  $x \leq (\text{SUP } i \in A. f i) \longleftrightarrow (\forall y < x. \exists i \in A. y \leq f i)$  (is ?lhs = ?rhs)

*<proof>*

**lemma** (in *conditionally-complete-linorder*) *cInf-le-iff*:

**assumes**  $A \neq \{\}$  *bdd-below*  $A$

**shows**  $\text{Inf } A \leq x \longleftrightarrow (\forall y > x. \exists a \in A. y > a)$

*<proof>*

**lemma** (in *conditionally-complete-linorder*) *cINF-le-iff*:

$A \neq \{\} \implies \text{bdd-below } (f' A) \implies \text{Inf } (f' A) \leq x \longleftrightarrow (\forall y > x. \exists i \in A. y > f i)$

*<proof>*

**lemma** *cInf-le-iff-less*:

**fixes**  $x :: 'a :: \{\text{conditionally-complete-linorder, dense-linorder}\}$

**shows**  $A \neq \{\} \implies \text{bdd-below } (f' A) \implies (\text{INF } i \in A. f i) \leq x \longleftrightarrow (\forall y > x. \exists i \in A. f i \leq y)$

*<proof>*

**lemma** *Inf-le-iff-less*:

**fixes**  $x :: 'a :: \{\text{complete-linorder, dense-linorder}\}$

**shows**  $(\text{INF } i \in A. f i) \leq x \longleftrightarrow (\forall y > x. \exists i \in A. f i \leq y)$

*<proof>*

**lemma** *SUP-pair*:

**fixes**  $f :: - \Rightarrow - \Rightarrow - :: \text{complete-lattice}$

**shows**  $(\text{SUP } i \in A. \text{SUP } j \in B. f \ i \ j) = (\text{SUP } p \in A \times B. f \ (\text{fst } p) \ (\text{snd } p))$

*<proof>*

**lemma** *INF-pair*:

**fixes**  $f :: - \Rightarrow - \Rightarrow - :: \text{complete-lattice}$

**shows**  $(\text{INF } i \in A. \text{INF } j \in B. f \ i \ j) = (\text{INF } p \in A \times B. f \ (\text{fst } p) \ (\text{snd } p))$

*<proof>*

**lemma** *INF-Sigma*:

**fixes**  $f :: - \Rightarrow - \Rightarrow - :: \text{complete-lattice}$

**shows**  $(\text{INF } i \in A. \text{INF } j \in B \ i. f \ i \ j) = (\text{INF } p \in \text{Sigma } A \ B. f \ (\text{fst } p) \ (\text{snd } p))$

*<proof>*

### 38.0.1 *Liminf and Limsup*

**definition** *Liminf* :: 'a filter  $\Rightarrow$  ('a  $\Rightarrow$  'b)  $\Rightarrow$  'b :: complete-lattice **where**

$\text{Liminf } F \ f = (\text{SUP } P \in \{P. \text{eventually } P \ F\}. \text{INF } x \in \{x. P \ x\}. f \ x)$

**definition** *Limsup* :: 'a filter  $\Rightarrow$  ('a  $\Rightarrow$  'b)  $\Rightarrow$  'b :: complete-lattice **where**

$\text{Limsup } F \ f = (\text{INF } P \in \{P. \text{eventually } P \ F\}. \text{SUP } x \in \{x. P \ x\}. f \ x)$

**abbreviation** *liminf*  $\equiv$  *Liminf* sequentially

**abbreviation** *limsup*  $\equiv$  *Limsup* sequentially

**lemma** *Liminf-eqI*:

$(\bigwedge P. \text{eventually } P \ F \Longrightarrow \text{Inf } (f \ ' (\text{Collect } P)) \leq x) \Longrightarrow$

$(\bigwedge y. (\bigwedge P. \text{eventually } P \ F \Longrightarrow \text{Inf } (f \ ' (\text{Collect } P)) \leq y) \Longrightarrow x \leq y) \Longrightarrow \text{Liminf}$

$F \ f = x$

*<proof>*

**lemma** *Limsup-eqI*:

$(\bigwedge P. \text{eventually } P \ F \Longrightarrow x \leq \text{Sup } (f \ ' (\text{Collect } P))) \Longrightarrow$

$(\bigwedge y. (\bigwedge P. \text{eventually } P \ F \Longrightarrow y \leq \text{Sup } (f \ ' (\text{Collect } P))) \Longrightarrow y \leq x) \Longrightarrow$

$\text{Limsup } F \ f = x$

*<proof>*

**lemma** *liminf-SUP-INF*:  $\text{liminf } f = (\text{SUP } n. \text{INF } m \in \{n..\}. f \ m)$

*<proof>*

**lemma** *limsup-INF-SUP*:  $\text{limsup } f = (\text{INF } n. \text{SUP } m \in \{n..\}. f \ m)$

*<proof>*

**lemma** *mem-limsup-iff*:  $x \in \text{limsup } A \longleftrightarrow (\exists_F n \text{ in sequentially. } x \in A \ n)$

*<proof>*



**lemma** *mem-liminf-iff*:  $x \in \text{liminf } A \iff (\forall_F n \text{ in sequentially. } x \in A n)$   
 ⟨proof⟩

**lemma** *Limsup-const*:  
**assumes** *ntriv*:  $\neg \text{trivial-limit } F$   
**shows**  $\text{Limsup } F (\lambda x. c) = c$   
 ⟨proof⟩

**lemma** *Liminf-const*:  
**assumes** *ntriv*:  $\neg \text{trivial-limit } F$   
**shows**  $\text{Liminf } F (\lambda x. c) = c$   
 ⟨proof⟩

**lemma** *Liminf-mono*:  
**assumes** *ev*: *eventually*  $(\lambda x. f x \leq g x) F$   
**shows**  $\text{Liminf } F f \leq \text{Liminf } F g$   
 ⟨proof⟩

**lemma** *Liminf-eq*:  
**assumes** *eventually*  $(\lambda x. f x = g x) F$   
**shows**  $\text{Liminf } F f = \text{Liminf } F g$   
 ⟨proof⟩

**lemma** *Limsup-mono*:  
**assumes** *ev*: *eventually*  $(\lambda x. f x \leq g x) F$   
**shows**  $\text{Limsup } F f \leq \text{Limsup } F g$   
 ⟨proof⟩

**lemma** *Limsup-eq*:  
**assumes** *eventually*  $(\lambda x. f x = g x) \text{ net}$   
**shows**  $\text{Limsup } \text{net } f = \text{Limsup } \text{net } g$   
 ⟨proof⟩

**lemma** *Liminf-bot[simp]*:  $\text{Liminf } \text{bot } f = \text{top}$   
 ⟨proof⟩

**lemma** *Limsup-bot[simp]*:  $\text{Limsup } \text{bot } f = \text{bot}$   
 ⟨proof⟩

**lemma** *Liminf-le-Limsup*:  
**assumes** *ntriv*:  $\neg \text{trivial-limit } F$   
**shows**  $\text{Liminf } F f \leq \text{Limsup } F f$   
 ⟨proof⟩

**lemma** *Liminf-bounded*:  
**assumes** *le*: *eventually*  $(\lambda n. C \leq X n) F$   
**shows**  $C \leq \text{Liminf } F X$   
 ⟨proof⟩

**lemma** *Limsup-bounded*:

**assumes** *le*: eventually  $(\lambda n. X n \leq C)$  *F*  
**shows**  $Limsup\ F\ X \leq C$   
 $\langle proof \rangle$

**lemma** *le-Limsup*:

**assumes** *F*:  $F \neq bot$  **and** *x*:  $\forall_F x\ in\ F. l \leq f\ x$   
**shows**  $l \leq Limsup\ F\ f$   
 $\langle proof \rangle$

**lemma** *Liminf-le*:

**assumes** *F*:  $F \neq bot$  **and** *x*:  $\forall_F x\ in\ F. f\ x \leq l$   
**shows**  $Liminf\ F\ f \leq l$   
 $\langle proof \rangle$

**lemma** *le-Liminf-iff*:

**fixes** *X* ::  $- \Rightarrow -$  :: *complete-linorder*  
**shows**  $C \leq Liminf\ F\ X \longleftrightarrow (\forall y < C. eventually\ (\lambda x. y < X\ x)\ F)$   
 $\langle proof \rangle$

**lemma** *Limsup-le-iff*:

**fixes** *X* ::  $- \Rightarrow -$  :: *complete-linorder*  
**shows**  $C \geq Limsup\ F\ X \longleftrightarrow (\forall y > C. eventually\ (\lambda x. y > X\ x)\ F)$   
 $\langle proof \rangle$

**lemma** *less-LiminfD*:

$y < Liminf\ F\ (f :: - \Rightarrow 'a :: complete-linorder) \implies eventually\ (\lambda x. f\ x > y)\ F$   
 $\langle proof \rangle$

**lemma** *Limsup-lessD*:

$y > Limsup\ F\ (f :: - \Rightarrow 'a :: complete-linorder) \implies eventually\ (\lambda x. f\ x < y)\ F$   
 $\langle proof \rangle$

**lemma** *lim-imp-Liminf*:

**fixes** *f* ::  $'a \Rightarrow -$  ::  $\{complete-linorder, linorder-topology\}$   
**assumes** *ntriv*:  $\neg trivial-limit\ F$   
**assumes** *lim*:  $(f \longrightarrow f0)\ F$   
**shows**  $Liminf\ F\ f = f0$   
 $\langle proof \rangle$

**lemma** *lim-imp-Limsup*:

**fixes** *f* ::  $'a \Rightarrow -$  ::  $\{complete-linorder, linorder-topology\}$   
**assumes** *ntriv*:  $\neg trivial-limit\ F$   
**assumes** *lim*:  $(f \longrightarrow f0)\ F$   
**shows**  $Limsup\ F\ f = f0$   
 $\langle proof \rangle$

**lemma** *Liminf-eq-Limsup*:

**fixes**  $f0 :: 'a :: \{complete-linorder, linorder-topology\}$   
**assumes**  $ntriv: \neg trivial-limit F$   
**and**  $lim: Liminf F f = f0 \ Limsup F f = f0$   
**shows**  $(f \longrightarrow f0) F$   
 $\langle proof \rangle$

**lemma** *tendsto-iff-Liminf-eq-Limsup*:  
**fixes**  $f0 :: 'a :: \{complete-linorder, linorder-topology\}$   
**shows**  $\neg trivial-limit F \implies (f \longrightarrow f0) F \iff (Liminf F f = f0 \wedge Limsup F f = f0)$   
 $\langle proof \rangle$

**lemma** *liminf-subseq-mono*:  
**fixes**  $X :: nat \Rightarrow 'a :: complete-linorder$   
**assumes** *strict-mono r*  
**shows**  $liminf X \leq liminf (X \circ r)$   
 $\langle proof \rangle$

**lemma** *limsup-subseq-mono*:  
**fixes**  $X :: nat \Rightarrow 'a :: complete-linorder$   
**assumes** *strict-mono r*  
**shows**  $limsup (X \circ r) \leq limsup X$   
 $\langle proof \rangle$

**lemma** *continuous-on-imp-continuous-within*:  
 $continuous-on\ s\ f \implies t \subseteq s \implies x \in s \implies continuous\ (at\ x\ within\ t)\ f$   
 $\langle proof \rangle$

**lemma** *Liminf-compose-continuous-mono*:  
**fixes**  $f :: 'a :: \{complete-linorder, linorder-topology\} \Rightarrow 'b :: \{complete-linorder, linorder-topology\}$   
**assumes**  $c: continuous-on\ UNIV\ f$  **and**  $am: mono\ f$  **and**  $F: F \neq bot$   
**shows**  $Liminf F (\lambda n. f (g\ n)) = f (Liminf F g)$   
 $\langle proof \rangle$

**lemma** *Limsup-compose-continuous-mono*:  
**fixes**  $f :: 'a :: \{complete-linorder, linorder-topology\} \Rightarrow 'b :: \{complete-linorder, linorder-topology\}$   
**assumes**  $c: continuous-on\ UNIV\ f$  **and**  $am: mono\ f$  **and**  $F: F \neq bot$   
**shows**  $Limsup F (\lambda n. f (g\ n)) = f (Limsup F g)$   
 $\langle proof \rangle$

**lemma** *Liminf-compose-continuous-antimono*:  
**fixes**  $f :: 'a :: \{complete-linorder, linorder-topology\} \Rightarrow 'b :: \{complete-linorder, linorder-topology\}$   
**assumes**  $c: continuous-on\ UNIV\ f$   
**and**  $am: antimono\ f$   
**and**  $F: F \neq bot$   
**shows**  $Liminf F (\lambda n. f (g\ n)) = f (Limsup F g)$   
 $\langle proof \rangle$

**lemma** *Limsup-compose-continuous-antimono*:

**fixes**  $f :: 'a::\{complete-linorder, linorder-topology\} \Rightarrow 'b::\{complete-linorder, linorder-topology\}$   
**assumes**  $c$ : continuous-on UNIV  $f$  **and**  $am$ : antimono  $f$  **and**  $F$ :  $F \neq bot$   
**shows**  $Limsup F (\lambda n. f (g n)) = f (Liminf F g)$   
 $\langle proof \rangle$

**lemma** *Liminf-filtermap-le*:  $Liminf (filtermap f F) g \leq Liminf F (\lambda x. g (f x))$   
 $\langle proof \rangle$

**lemma** *Limsup-filtermap-ge*:  $Limsup (filtermap f F) g \geq Limsup F (\lambda x. g (f x))$   
 $\langle proof \rangle$

**lemma** *Liminf-least*:  $(\bigwedge P. eventually P F \implies (INF x \in Collect P. f x) \leq x) \implies Liminf F f \leq x$   
 $\langle proof \rangle$

**lemma** *Limsup-greatest*:  $(\bigwedge P. eventually P F \implies x \leq (SUP x \in Collect P. f x)) \implies Limsup F f \geq x$   
 $\langle proof \rangle$

**lemma** *Liminf-filtermap-ge*:  $inj f \implies Liminf (filtermap f F) g \geq Liminf F (\lambda x. g (f x))$   
 $\langle proof \rangle$

**lemma** *Limsup-filtermap-le*:  $inj f \implies Limsup (filtermap f F) g \leq Limsup F (\lambda x. g (f x))$   
 $\langle proof \rangle$

**lemma** *Liminf-filtermap-eq*:  $inj f \implies Liminf (filtermap f F) g = Liminf F (\lambda x. g (f x))$   
 $\langle proof \rangle$

**lemma** *Limsup-filtermap-eq*:  $inj f \implies Limsup (filtermap f F) g = Limsup F (\lambda x. g (f x))$   
 $\langle proof \rangle$

### 38.1 More Limits

**lemma** *convergent-limsup-cl*:  
**fixes**  $X :: nat \Rightarrow 'a::\{complete-linorder, linorder-topology\}$   
**shows**  $convergent X \implies limsup X = lim X$   
 $\langle proof \rangle$

**lemma** *convergent-liminf-cl*:  
**fixes**  $X :: nat \Rightarrow 'a::\{complete-linorder, linorder-topology\}$   
**shows**  $convergent X \implies liminf X = lim X$   
 $\langle proof \rangle$

**lemma** *lim-increasing-cl*:  
**assumes**  $\bigwedge n m. n \geq m \implies f n \geq f m$

**obtains**  $l$  **where**  $f \longrightarrow (l::'a::\{\text{complete-linorder}, \text{linorder-topology}\})$   
 $\langle \text{proof} \rangle$

**lemma** *lim-decreasing-cl*:

**assumes**  $\bigwedge n m. n \geq m \implies f n \leq f m$

**obtains**  $l$  **where**  $f \longrightarrow (l::'a::\{\text{complete-linorder}, \text{linorder-topology}\})$   
 $\langle \text{proof} \rangle$

**lemma** *compact-complete-linorder*:

**fixes**  $X :: \text{nat} \Rightarrow 'a::\{\text{complete-linorder}, \text{linorder-topology}\}$

**shows**  $\exists l r. \text{strict-mono } r \wedge (X \circ r) \longrightarrow l$   
 $\langle \text{proof} \rangle$

**lemma** *tendsto-Limsup*:

**fixes**  $f :: - \Rightarrow 'a :: \{\text{complete-linorder}, \text{linorder-topology}\}$

**shows**  $F \neq \text{bot} \implies \text{Limsup } F f = \text{Liminf } F f \implies (f \longrightarrow \text{Limsup } F f) F$

$\langle \text{proof} \rangle$

**lemma** *tendsto-Liminf*:

**fixes**  $f :: - \Rightarrow 'a :: \{\text{complete-linorder}, \text{linorder-topology}\}$

**shows**  $F \neq \text{bot} \implies \text{Limsup } F f = \text{Liminf } F f \implies (f \longrightarrow \text{Liminf } F f) F$

$\langle \text{proof} \rangle$

**end**

## 39 Extended real number line

**theory** *Extended-Real*

**imports** *Complex-Main Extended-Nat Liminf-Limsup*

**begin**

This should be part of *HOL-Library.Extended-Nat* or *HOL-Library.Order-Continuity*, but then the AFP-entry *Jinja-Thread* fails, as it does overload certain named from *Complex-Main*.

**lemma** *incseq-sumI2*:

**fixes**  $f :: 'i \Rightarrow \text{nat} \Rightarrow 'a::\text{ordered-comm-monoid-add}$

**shows**  $(\bigwedge n. n \in A \implies \text{mono } (f n)) \implies \text{mono } (\lambda i. \sum_{n \in A} f n i)$

$\langle \text{proof} \rangle$

**lemma** *incseq-sumI*:

**fixes**  $f :: \text{nat} \Rightarrow 'a::\text{ordered-comm-monoid-add}$

**assumes**  $\bigwedge i. 0 \leq f i$

**shows**  $\text{incseq } (\lambda i. \text{sum } f \{..< i\})$

$\langle \text{proof} \rangle$

**lemma** *continuous-at-left-imp-sup-continuous*:

**fixes**  $f :: 'a::\{\text{complete-linorder}, \text{linorder-topology}\} \Rightarrow 'b::\{\text{complete-linorder}, \text{linorder-topology}\}$

**assumes**  $\text{mono } f \wedge x. \text{continuous } (\text{at-left } x) f$

**shows**  $\text{sup-continuous } f$

⟨proof⟩

**lemma** *sup-continuous-at-left*:

**fixes**  $f :: 'a::\{\text{complete-linorder, linorder-topology, first-countable-topology}\} \Rightarrow$   
 $'b::\{\text{complete-linorder, linorder-topology}\}$

**assumes**  $f: \text{sup-continuous } f$

**shows**  $\text{continuous (at-left } x) f$

⟨proof⟩

**lemma** *sup-continuous-iff-at-left*:

**fixes**  $f :: 'a::\{\text{complete-linorder, linorder-topology, first-countable-topology}\} \Rightarrow$   
 $'b::\{\text{complete-linorder, linorder-topology}\}$

**shows**  $\text{sup-continuous } f \longleftrightarrow (\forall x. \text{continuous (at-left } x) f) \wedge \text{mono } f$

⟨proof⟩

**lemma** *continuous-at-right-imp-inf-continuous*:

**fixes**  $f :: 'a::\{\text{complete-linorder, linorder-topology}\} \Rightarrow 'b::\{\text{complete-linorder, linorder-topology}\}$

**assumes**  $\text{mono } f \wedge x. \text{continuous (at-right } x) f$

**shows**  $\text{inf-continuous } f$

⟨proof⟩

**lemma** *inf-continuous-at-right*:

**fixes**  $f :: 'a::\{\text{complete-linorder, linorder-topology, first-countable-topology}\} \Rightarrow$   
 $'b::\{\text{complete-linorder, linorder-topology}\}$

**assumes**  $f: \text{inf-continuous } f$

**shows**  $\text{continuous (at-right } x) f$

⟨proof⟩

**lemma** *inf-continuous-iff-at-right*:

**fixes**  $f :: 'a::\{\text{complete-linorder, linorder-topology, first-countable-topology}\} \Rightarrow$   
 $'b::\{\text{complete-linorder, linorder-topology}\}$

**shows**  $\text{inf-continuous } f \longleftrightarrow (\forall x. \text{continuous (at-right } x) f) \wedge \text{mono } f$

⟨proof⟩

**instantiation**  $\text{enat} :: \text{linorder-topology}$

**begin**

**definition**  $\text{open-enat} :: \text{enat set} \Rightarrow \text{bool}$  **where**

$\text{open-enat} = \text{generate-topology (range lessThan} \cup \text{range greaterThan)}$

**instance**

⟨proof⟩

**end**

**lemma**  $\text{open-enat: open \{enat } n\}$

⟨proof⟩

**lemma** *open-enat-iff*:

```

fixes  $A :: \text{enat set}$ 
shows  $\text{open } A \longleftrightarrow (\infty \in A \longrightarrow (\exists n::\text{nat. } \{n <..\} \subseteq A))$ 
<proof>

```

```

lemma  $\text{nhds-enat: nhds } x = (\text{if } x = \infty \text{ then } \text{INF } i. \text{principal } \{\text{enat } i..\} \text{ else } \text{principal } \{x\})$ 
<proof>

```

```

instance  $\text{enat} :: \text{topological-comm-monoid-add}$ 
<proof>

```

For more lemmas about the extended real numbers see `~/src/HOL/Analysis/Extended_Real_Limits.thy`.

### 39.1 Definition and basic properties

```

datatype  $\text{ereal} = \text{ereal real} \mid \text{PInfty} \mid \text{MInfty}$ 

```

```

lemma  $\text{ereal-cong: } x = y \implies \text{ereal } x = \text{ereal } y$  <proof>

```

```

instantiation  $\text{ereal} :: \text{uminus}$ 
begin

```

```

fun  $\text{uminus-ereal where}$ 
  -  $(\text{ereal } r) = \text{ereal } (- r)$ 
| -  $\text{PInfty} = \text{MInfty}$ 
| -  $\text{MInfty} = \text{PInfty}$ 

```

```

instance <proof>

```

```

end

```

```

instantiation  $\text{ereal} :: \text{infinity}$ 
begin

```

```

definition  $(\infty::\text{ereal}) = \text{PInfty}$ 
instance <proof>

```

```

end

```

```

declare  $[[\text{coercion } \text{ereal} :: \text{real} \Rightarrow \text{ereal}]]$ 

```

```

lemma  $\text{ereal-uminus-uminus[simp]:}$ 
  fixes  $a :: \text{ereal}$ 
  shows  $- (- a) = a$ 
  <proof>

```

```

lemma
  shows  $\text{PInfty-eq-infinity[simp]: } \text{PInfty} = \infty$ 

```

```

and MInfty-eq-minfinity[simp]: MInfty = - ∞
and MInfty-neq-PInfty[simp]: ∞ ≠ - (∞::ereal) - ∞ ≠ (∞::ereal)
and MInfty-neq-ereal[simp]: ereal r ≠ - ∞ - ∞ ≠ ereal r
and PInfty-neq-ereal[simp]: ereal r ≠ ∞ ∞ ≠ ereal r
and PInfty-cases[simp]: (case ∞ of ereal r ⇒ f r | PInfty ⇒ y | MInfty ⇒ z)
= y
and MInfty-cases[simp]: (case - ∞ of ereal r ⇒ f r | PInfty ⇒ y | MInfty ⇒
z) = z
⟨proof⟩

```

**declare**

```

PInfty-eq-infinity[code-post]
MInfty-eq-minfinity[code-post]

```

**lemma** [code-unfold]:

```

∞ = PInfty
- PInfty = MInfty
⟨proof⟩

```

**lemma** *inj-ereal*[simp]: *inj-on* *ereal* *A*

⟨*proof*⟩

**lemma** *ereal-cases*[cases type: *ereal*]:

```

obtains (real) r where x = ereal r
| (PInf) x = ∞
| (MInf) x = -∞
⟨proof⟩

```

**lemmas** *ereal2-cases* = *ereal-cases*[case-product *ereal-cases*]

**lemmas** *ereal3-cases* = *ereal2-cases*[case-product *ereal-cases*]

**lemma** *ereal-all-split*:  $\bigwedge P. (\forall x::ereal. P x) \longleftrightarrow P \ \infty \wedge (\forall x. P \ (ereal \ x)) \wedge P \ (-\infty)$

⟨*proof*⟩

**lemma** *ereal-ex-split*:  $\bigwedge P. (\exists x::ereal. P x) \longleftrightarrow P \ \infty \vee (\exists x. P \ (ereal \ x)) \vee P \ (-\infty)$

⟨*proof*⟩

**lemma** *ereal-uminus-eq-iff*[simp]:

```

fixes a b :: ereal
shows -a = -b  $\longleftrightarrow$  a = b
⟨proof⟩

```

**function** *real-of-ereal* :: *ereal* ⇒ *real* **where**

```

real-of-ereal (ereal r) = r
| real-of-ereal ∞ = 0
| real-of-ereal (-∞) = 0
⟨proof⟩

```



**termination**  $\langle proof \rangle$

**lemma** *real-of-ereal[simp]*:  
 $real-of-ereal (- x :: ereal) = - (real-of-ereal x)$   
 $\langle proof \rangle$

**lemma** *range-ereal[simp]*:  $range\ ereal = UNIV - \{\infty, -\infty\}$   
 $\langle proof \rangle$

**lemma** *ereal-range-uminus[simp]*:  $range\ uminus = (UNIV::ereal\ set)$   
 $\langle proof \rangle$

**instantiation** *ereal* :: *abs*  
**begin**

**function** *abs-ereal* **where**

$|ereal\ r| = ereal\ |r|$   
 $|-\infty| = (\infty::ereal)$   
 $|\infty| = (\infty::ereal)$   
 $\langle proof \rangle$

**termination**  $\langle proof \rangle$

**instance**  $\langle proof \rangle$

**end**

**lemma** *abs-eq-infinity-cases[elim!]*:  
**fixes**  $x :: ereal$   
**assumes**  $|x| = \infty$   
**obtains**  $x = \infty \mid x = -\infty$   
 $\langle proof \rangle$

**lemma** *abs-neq-infinity-cases[elim!]*:  
**fixes**  $x :: ereal$   
**assumes**  $|x| \neq \infty$   
**obtains**  $r$  **where**  $x = ereal\ r$   
 $\langle proof \rangle$

**lemma** *abs-ereal-uminus[simp]*:  
**fixes**  $x :: ereal$   
**shows**  $|- x| = |x|$   
 $\langle proof \rangle$

**lemma** *ereal-infinity-cases*:  
**fixes**  $a :: ereal$   
**shows**  $a \neq \infty \implies a \neq -\infty \implies |a| \neq \infty$   
 $\langle proof \rangle$

**39.1.1 Addition**

**instantiation** *ereal* :: {*one, comm-monoid-add, zero-neq-one*}  
**begin**

**definition**  $0 = \text{ereal } 0$

**definition**  $1 = \text{ereal } 1$

**function** *plus-ereal* **where**  
 $\text{ereal } r + \text{ereal } p = \text{ereal } (r + p)$   
 $|\infty + a = (\infty::\text{ereal})$   
 $|a + \infty = (\infty::\text{ereal})$   
 $|\text{ereal } r + -\infty = -\infty$   
 $|- \infty + \text{ereal } p = -(\infty::\text{ereal})$   
 $|- \infty + -\infty = -(\infty::\text{ereal})$   
 $\langle \text{proof} \rangle$   
**termination**  $\langle \text{proof} \rangle$

**lemma** *Infty-neq-0*[*simp*]:  
 $(\infty::\text{ereal}) \neq 0 \quad 0 \neq (\infty::\text{ereal})$   
 $-(\infty::\text{ereal}) \neq 0 \quad 0 \neq -(\infty::\text{ereal})$   
 $\langle \text{proof} \rangle$

**lemma** *ereal-eq-0*[*simp*]:  
 $\text{ereal } r = 0 \iff r = 0$   
 $0 = \text{ereal } r \iff r = 0$   
 $\langle \text{proof} \rangle$

**lemma** *ereal-eq-1*[*simp*]:  
 $\text{ereal } r = 1 \iff r = 1$   
 $1 = \text{ereal } r \iff r = 1$   
 $\langle \text{proof} \rangle$

**instance**  
 $\langle \text{proof} \rangle$

**end**

**lemma** *ereal-0-plus* [*simp*]:  $\text{ereal } 0 + x = x$   
**and** *plus-ereal-0* [*simp*]:  $x + \text{ereal } 0 = x$   
 $\langle \text{proof} \rangle$

**instance** *ereal* :: *numeral*  $\langle \text{proof} \rangle$

**lemma** *real-of-ereal-0*[*simp*]:  $\text{real-of-ereal } (0::\text{ereal}) = 0$   
 $\langle \text{proof} \rangle$

**lemma** *abs-ereal-zero*[*simp*]:  $|0| = (0::\text{ereal})$   
 $\langle \text{proof} \rangle$

**lemma** *ereal-uminus-zero[simp]*:  $- 0 = (0::ereal)$   
 ⟨proof⟩

**lemma** *ereal-uminus-zero-iff[simp]*:  
**fixes**  $a :: ereal$   
**shows**  $-a = 0 \longleftrightarrow a = 0$   
 ⟨proof⟩

**lemma** *ereal-plus-eq-PIfty[simp]*:  
**fixes**  $a b :: ereal$   
**shows**  $a + b = \infty \longleftrightarrow a = \infty \vee b = \infty$   
 ⟨proof⟩

**lemma** *ereal-plus-eq-MIfty[simp]*:  
**fixes**  $a b :: ereal$   
**shows**  $a + b = -\infty \longleftrightarrow (a = -\infty \vee b = -\infty) \wedge a \neq \infty \wedge b \neq \infty$   
 ⟨proof⟩

**lemma** *ereal-add-cancel-left*:  
**fixes**  $a b :: ereal$   
**assumes**  $a \neq -\infty$   
**shows**  $a + b = a + c \longleftrightarrow a = \infty \vee b = c$   
 ⟨proof⟩

**lemma** *ereal-add-cancel-right*:  
**fixes**  $a b :: ereal$   
**assumes**  $a \neq -\infty$   
**shows**  $b + a = c + a \longleftrightarrow a = \infty \vee b = c$   
 ⟨proof⟩

**lemma** *ereal-real*:  $ereal (real-of-ereal x) = (if |x| = \infty then 0 else x)$   
 ⟨proof⟩

**lemma** *real-of-ereal-add*:  
**fixes**  $a b :: ereal$   
**shows**  $real-of-ereal (a + b) =$   
 (if  $(|a| = \infty) \wedge (|b| = \infty) \vee (|a| \neq \infty) \wedge (|b| \neq \infty)$  then  $real-of-ereal a +$   
 $real-of-ereal b$  else  $0$ )  
 ⟨proof⟩

### 39.1.2 Linear order on *ereal*

**instantiation**  $ereal :: linorder$   
**begin**

**function** *less-ereal*

**where**

$ereal\ x < ereal\ y \quad \longleftrightarrow x < y$   
 $| (\infty::ereal) < a \quad \longleftrightarrow False$

```

|      a < -(∞::ereal) ↔ False
| ereal x < ∞          ↔ True
|      -∞ < ereal r   ↔ True
|      -∞ < (∞::ereal) ↔ True
⟨proof⟩
termination ⟨proof⟩

```

**definition**  $x \leq (y::ereal) \longleftrightarrow x < y \vee x = y$

**lemma** *ereal-infity-less[simp]*:  
**fixes**  $x :: ereal$   
**shows**  $x < \infty \longleftrightarrow (x \neq \infty)$   
 $-\infty < x \longleftrightarrow (x \neq -\infty)$   
⟨proof⟩

**lemma** *ereal-infity-less-eq[simp]*:  
**fixes**  $x :: ereal$   
**shows**  $\infty \leq x \longleftrightarrow x = \infty$   
**and**  $x \leq -\infty \longleftrightarrow x = -\infty$   
⟨proof⟩

**lemma** *ereal-less[simp]*:  
 $ereal\ r < 0 \longleftrightarrow (r < 0)$   
 $0 < ereal\ r \longleftrightarrow (0 < r)$   
 $ereal\ r < 1 \longleftrightarrow (r < 1)$   
 $1 < ereal\ r \longleftrightarrow (1 < r)$   
 $0 < (\infty::ereal)$   
 $-(\infty::ereal) < 0$   
⟨proof⟩

**lemma** *ereal-less-eq[simp]*:  
 $x \leq (\infty::ereal)$   
 $-(\infty::ereal) \leq x$   
 $ereal\ r \leq ereal\ p \longleftrightarrow r \leq p$   
 $ereal\ r \leq 0 \longleftrightarrow r \leq 0$   
 $0 \leq ereal\ r \longleftrightarrow 0 \leq r$   
 $ereal\ r \leq 1 \longleftrightarrow r \leq 1$   
 $1 \leq ereal\ r \longleftrightarrow 1 \leq r$   
⟨proof⟩

**lemma** *ereal-infity-less-eq2*:  
 $a \leq b \implies a = \infty \implies b = (\infty::ereal)$   
 $a \leq b \implies b = -\infty \implies a = -(\infty::ereal)$   
⟨proof⟩

**instance**  
⟨proof⟩

**end**

**lemma** *ereal-dense2*:  $x < y \implies \exists z. x < \text{ereal } z \wedge \text{ereal } z < y$   
 ⟨proof⟩

**instance** *ereal* :: *dense-linorder*  
 ⟨proof⟩

**instance** *ereal* :: *ordered-comm-monoid-add*  
 ⟨proof⟩

**lemma** *ereal-one-not-less-zero-ereal[simp]*:  $\neg 1 < (0::\text{ereal})$   
 ⟨proof⟩

**lemma** *real-of-ereal-positive-mono*:  
**fixes**  $x\ y :: \text{ereal}$   
**shows**  $0 \leq x \implies x \leq y \implies y \neq \infty \implies \text{real-of-ereal } x \leq \text{real-of-ereal } y$   
 ⟨proof⟩

**lemma** *ereal-MInfty-lessI[intro, simp]*:  
**fixes**  $a :: \text{ereal}$   
**shows**  $a \neq -\infty \implies -\infty < a$   
 ⟨proof⟩

**lemma** *ereal-less-PInfty[intro, simp]*:  
**fixes**  $a :: \text{ereal}$   
**shows**  $a \neq \infty \implies a < \infty$   
 ⟨proof⟩

**lemma** *ereal-less-ereal-Ex*:  
**fixes**  $a\ b :: \text{ereal}$   
**shows**  $x < \text{ereal } r \iff x = -\infty \vee (\exists p. p < r \wedge x = \text{ereal } p)$   
 ⟨proof⟩

**lemma** *less-PInf-Ex-of-nat*:  $x \neq \infty \iff (\exists n::\text{nat}. x < \text{ereal } (\text{real } n))$   
 ⟨proof⟩

**lemma** *ereal-add-strict-mono2*:  
**fixes**  $a\ b\ c\ d :: \text{ereal}$   
**assumes**  $a < b$  **and**  $c < d$   
**shows**  $a + c < b + d$   
 ⟨proof⟩

**lemma** *ereal-minus-le-minus[simp]*:  
**fixes**  $a\ b :: \text{ereal}$   
**shows**  $-a \leq -b \iff b \leq a$   
 ⟨proof⟩

**lemma** *ereal-minus-less-minus[simp]*:  
**fixes**  $a\ b :: \text{ereal}$

**shows**  $- a < - b \longleftrightarrow b < a$   
 ⟨proof⟩

**lemma** *ereal-le-real-iff*:  
 $x \leq \text{real-of-ereal } y \longleftrightarrow (|y| \neq \infty \longrightarrow \text{ereal } x \leq y) \wedge (|y| = \infty \longrightarrow x \leq 0)$   
 ⟨proof⟩

**lemma** *real-le-ereal-iff*:  
 $\text{real-of-ereal } y \leq x \longleftrightarrow (|y| \neq \infty \longrightarrow y \leq \text{ereal } x) \wedge (|y| = \infty \longrightarrow 0 \leq x)$   
 ⟨proof⟩

**lemma** *ereal-less-real-iff*:  
 $x < \text{real-of-ereal } y \longleftrightarrow (|y| \neq \infty \longrightarrow \text{ereal } x < y) \wedge (|y| = \infty \longrightarrow x < 0)$   
 ⟨proof⟩

**lemma** *real-less-ereal-iff*:  
 $\text{real-of-ereal } y < x \longleftrightarrow (|y| \neq \infty \longrightarrow y < \text{ereal } x) \wedge (|y| = \infty \longrightarrow 0 < x)$   
 ⟨proof⟩

To help with inferences like  $\llbracket a < \text{ereal } x; x < y \rrbracket \Longrightarrow a < \text{ereal } y$ , where  $x$  and  $y$  are real.

**lemma** *le-ereal-le*:  $a \leq \text{ereal } x \Longrightarrow x \leq y \Longrightarrow a \leq \text{ereal } y$   
 ⟨proof⟩

**lemma** *le-ereal-less*:  $a \leq \text{ereal } x \Longrightarrow x < y \Longrightarrow a < \text{ereal } y$   
 ⟨proof⟩

**lemma** *less-ereal-le*:  $a < \text{ereal } x \Longrightarrow x \leq y \Longrightarrow a < \text{ereal } y$   
 ⟨proof⟩

**lemma** *ereal-le-le*:  $\text{ereal } y \leq a \Longrightarrow x \leq y \Longrightarrow \text{ereal } x \leq a$   
 ⟨proof⟩

**lemma** *ereal-le-less*:  $\text{ereal } y \leq a \Longrightarrow x < y \Longrightarrow \text{ereal } x < a$   
 ⟨proof⟩

**lemma** *ereal-less-le*:  $\text{ereal } y < a \Longrightarrow x \leq y \Longrightarrow \text{ereal } x < a$   
 ⟨proof⟩

**lemma** *real-of-ereal-pos*:  
 fixes  $x :: \text{ereal}$   
 shows  $0 \leq x \Longrightarrow 0 \leq \text{real-of-ereal } x$  ⟨proof⟩

**lemmas** *real-of-ereal-ord-simps* =  
 $\text{ereal-le-real-iff } \text{real-le-ereal-iff } \text{ereal-less-real-iff } \text{real-less-ereal-iff}$

**lemma** *abs-ereal-ge0[simp]*:  $0 \leq x \Longrightarrow |x :: \text{ereal}| = x$   
 ⟨proof⟩

**lemma** *abs-ereal-less0[simp]*:  $x < 0 \implies |x :: \text{ereal}| = -x$   
 ⟨proof⟩

**lemma** *abs-ereal-pos[simp]*:  $0 \leq |x :: \text{ereal}|$   
 ⟨proof⟩

**lemma** *ereal-abs-leI*:  
 fixes  $x y :: \text{ereal}$   
 shows  $\llbracket x \leq y; -x \leq y \rrbracket \implies |x| \leq y$   
 ⟨proof⟩

**lemma** *ereal-abs-add*:  
 fixes  $a b :: \text{ereal}$   
 shows  $\text{abs}(a+b) \leq \text{abs } a + \text{abs } b$   
 ⟨proof⟩

**lemma** *real-of-ereal-le-0[simp]*:  $\text{real-of-ereal } (x :: \text{ereal}) \leq 0 \iff x \leq 0 \vee x = \infty$   
 ⟨proof⟩

**lemma** *abs-real-of-ereal[simp]*:  $|\text{real-of-ereal } (x :: \text{ereal})| = \text{real-of-ereal } |x|$   
 ⟨proof⟩

**lemma** *zero-less-real-of-ereal*:  
 fixes  $x :: \text{ereal}$   
 shows  $0 < \text{real-of-ereal } x \iff 0 < x \wedge x \neq \infty$   
 ⟨proof⟩

**lemma** *ereal-0-le-uminus-iff[simp]*:  
 fixes  $a :: \text{ereal}$   
 shows  $0 \leq -a \iff a \leq 0$   
 ⟨proof⟩

**lemma** *ereal-uminus-le-0-iff[simp]*:  
 fixes  $a :: \text{ereal}$   
 shows  $-a \leq 0 \iff 0 \leq a$   
 ⟨proof⟩

**lemma** *ereal-add-strict-mono*:  
 fixes  $a b c d :: \text{ereal}$   
 assumes  $a \leq b$   
 and  $0 \leq a$   
 and  $a \neq \infty$   
 and  $c < d$   
 shows  $a + c < b + d$   
 ⟨proof⟩

**lemma** *ereal-less-add*:  
 fixes  $a b c :: \text{ereal}$   
 shows  $|a| \neq \infty \implies c < b \implies a + c < a + b$

*<proof>*

**lemma** *ereal-add-nonneg-eq-0-iff*:

**fixes**  $a\ b :: \text{ereal}$

**shows**  $0 \leq a \implies 0 \leq b \implies a + b = 0 \longleftrightarrow a = 0 \wedge b = 0$

*<proof>*

**lemma** *ereal-uminus-eq-reorder*:  $- a = b \longleftrightarrow a = (-b :: \text{ereal})$

*<proof>*

**lemma** *ereal-uminus-less-reorder*:  $- a < b \longleftrightarrow -b < (a :: \text{ereal})$

*<proof>*

**lemma** *ereal-less-uminus-reorder*:  $a < - b \longleftrightarrow b < - (a :: \text{ereal})$

*<proof>*

**lemma** *ereal-uminus-le-reorder*:  $- a \leq b \longleftrightarrow -b \leq (a :: \text{ereal})$

*<proof>*

**lemmas** *ereal-uminus-reorder =*

*ereal-uminus-eq-reorder ereal-uminus-less-reorder ereal-uminus-le-reorder*

**lemma** *ereal-bot*:

**fixes**  $x :: \text{ereal}$

**assumes**  $\bigwedge B. x \leq \text{ereal } B$

**shows**  $x = - \infty$

*<proof>*

**lemma** *ereal-top*:

**fixes**  $x :: \text{ereal}$

**assumes**  $\bigwedge B. x \geq \text{ereal } B$

**shows**  $x = \infty$

*<proof>*

**lemma**

**shows** *ereal-max[simp]*:  $\text{ereal } (\max x y) = \max (\text{ereal } x) (\text{ereal } y)$

**and** *ereal-min[simp]*:  $\text{ereal } (\min x y) = \min (\text{ereal } x) (\text{ereal } y)$

*<proof>*

**lemma** *ereal-max-0*:  $\max 0 (\text{ereal } r) = \text{ereal } (\max 0 r)$

*<proof>*

**lemma**

**fixes**  $f :: \text{nat} \Rightarrow \text{ereal}$

**shows** *ereal-incseq-uminus[simp]*:  $\text{incseq } (\lambda x. - f x) \longleftrightarrow \text{decseq } f$

**and** *ereal-decseq-uminus[simp]*:  $\text{decseq } (\lambda x. - f x) \longleftrightarrow \text{incseq } f$

*<proof>*

**lemma** *incseq-ereal*:  $\text{incseq } f \implies \text{incseq } (\lambda x. \text{ereal } (f x))$



⟨proof⟩

**lemma** *sum-ereal[simp]*:  $(\sum x \in A. \text{ereal } (f x)) = \text{ereal } (\sum x \in A. f x)$   
 ⟨proof⟩

**lemma** *sum-list-ereal [simp]*:  $\text{sum-list } (\text{map } (\lambda x. \text{ereal } (f x)) xs) = \text{ereal } (\text{sum-list } (\text{map } f xs))$   
 ⟨proof⟩

**lemma** *sum-Pinfity*:  
**fixes**  $f :: 'a \Rightarrow \text{ereal}$   
**shows**  $(\sum x \in P. f x) = \infty \longleftrightarrow \text{finite } P \wedge (\exists i \in P. f i = \infty)$   
 ⟨proof⟩

**lemma** *sum-Inf*:  
**fixes**  $f :: 'a \Rightarrow \text{ereal}$   
**shows**  $|\text{sum } f A| = \infty \longleftrightarrow \text{finite } A \wedge (\exists i \in A. |f i| = \infty)$   
 ⟨proof⟩

**lemma** *sum-real-of-ereal*:  
**fixes**  $f :: 'i \Rightarrow \text{ereal}$   
**assumes**  $\bigwedge x. x \in S \implies |f x| \neq \infty$   
**shows**  $(\sum x \in S. \text{real-of-ereal } (f x)) = \text{real-of-ereal } (\text{sum } f S)$   
 ⟨proof⟩

**lemma** *sum-ereal-0*:  
**fixes**  $f :: 'a \Rightarrow \text{ereal}$   
**assumes** *finite*  $A$   
**and**  $\bigwedge i. i \in A \implies 0 \leq f i$   
**shows**  $(\sum x \in A. f x) = 0 \longleftrightarrow (\forall i \in A. f i = 0)$   
 ⟨proof⟩

### 39.1.3 Multiplication

**instantiation** *ereal* :: {*comm-monoid-mult,sgn*}  
**begin**

**function** *sgn-ereal* :: *ereal*  $\Rightarrow$  *ereal* **where**  
 $\text{sgn } (\text{ereal } r) = \text{ereal } (\text{sgn } r)$   
 $|\text{sgn } (\infty :: \text{ereal}) = 1$   
 $|\text{sgn } (-\infty :: \text{ereal}) = -1$   
 ⟨proof⟩

**termination** ⟨proof⟩

**function** *times-ereal* **where**  
 $\text{ereal } r * \text{ereal } p = \text{ereal } (r * p)$   
 $|\text{ereal } r * \infty = (\text{if } r = 0 \text{ then } 0 \text{ else if } r > 0 \text{ then } \infty \text{ else } -\infty)$   
 $|\infty * \text{ereal } r = (\text{if } r = 0 \text{ then } 0 \text{ else if } r > 0 \text{ then } \infty \text{ else } -\infty)$   
 $|\text{ereal } r * -\infty = (\text{if } r = 0 \text{ then } 0 \text{ else if } r > 0 \text{ then } -\infty \text{ else } \infty)$

```

|  $-\infty * \text{ereal } r = (\text{if } r = 0 \text{ then } 0 \text{ else if } r > 0 \text{ then } -\infty \text{ else } \infty)$ 
|  $(\infty::\text{ereal}) * \infty = \infty$ 
|  $-(\infty::\text{ereal}) * \infty = -\infty$ 
|  $(\infty::\text{ereal}) * -\infty = -\infty$ 
|  $-(\infty::\text{ereal}) * -\infty = \infty$ 
<proof>
termination <proof>

```

**instance**

<proof>

**end**

**lemma** [simp]:

**shows** *ereal-1-times*:  $\text{ereal } 1 * x = x$

**and** *times-ereal-1*:  $x * \text{ereal } 1 = x$

<proof>

**lemma** *one-not-le-zero-ereal*[simp]:  $\neg (1 \leq (0::\text{ereal}))$

<proof>

**lemma** *real-ereal-1*[simp]:  $\text{real-of-ereal } (1::\text{ereal}) = 1$

<proof>

**lemma** *real-of-ereal-le-1*:

**fixes**  $a :: \text{ereal}$

**shows**  $a \leq 1 \implies \text{real-of-ereal } a \leq 1$

<proof>

**lemma** *abs-ereal-one*[simp]:  $|1| = (1::\text{ereal})$

<proof>

**lemma** *ereal-mult-zero*[simp]:

**fixes**  $a :: \text{ereal}$

**shows**  $a * 0 = 0$

<proof>

**lemma** *ereal-zero-mult*[simp]:

**fixes**  $a :: \text{ereal}$

**shows**  $0 * a = 0$

<proof>

**lemma** *ereal-m1-less-0*[simp]:  $-(1::\text{ereal}) < 0$

<proof>

**lemma** *ereal-times*[simp]:

$1 \neq (\infty::\text{ereal})$   $(\infty::\text{ereal}) \neq 1$

$1 \neq -(\infty::\text{ereal})$   $-(\infty::\text{ereal}) \neq 1$

<proof>

**lemma** *ereal-plus-1*[simp]:

$1 + \text{ereal } r = \text{ereal } (r + 1)$   
 $\text{ereal } r + 1 = \text{ereal } (r + 1)$   
 $1 + -(\infty::\text{ereal}) = -\infty$   
 $-(\infty::\text{ereal}) + 1 = -\infty$   
 ⟨proof⟩

**lemma** *ereal-zero-times*[simp]:

**fixes**  $a b :: \text{ereal}$   
**shows**  $a * b = 0 \iff a = 0 \vee b = 0$   
 ⟨proof⟩

**lemma** *ereal-mult-eq-PIfty*[simp]:

$a * b = (\infty::\text{ereal}) \iff$   
 $(a = \infty \wedge b > 0) \vee (a > 0 \wedge b = \infty) \vee (a = -\infty \wedge b < 0) \vee (a < 0 \wedge b =$   
 $-\infty)$   
 ⟨proof⟩

**lemma** *ereal-mult-eq-MIfty*[simp]:

$a * b = -(\infty::\text{ereal}) \iff$   
 $(a = \infty \wedge b < 0) \vee (a < 0 \wedge b = \infty) \vee (a = -\infty \wedge b > 0) \vee (a > 0 \wedge b =$   
 $-\infty)$   
 ⟨proof⟩

**lemma** *ereal-abs-mult*:  $|x * y :: \text{ereal}| = |x| * |y|$

⟨proof⟩

**lemma** *ereal-0-less-1*[simp]:  $0 < (1::\text{ereal})$

⟨proof⟩

**lemma** *ereal-mult-minus-left*[simp]:

**fixes**  $a b :: \text{ereal}$   
**shows**  $-a * b = -(a * b)$   
 ⟨proof⟩

**lemma** *ereal-mult-minus-right*[simp]:

**fixes**  $a b :: \text{ereal}$   
**shows**  $a * -b = -(a * b)$   
 ⟨proof⟩

**lemma** *ereal-mult-infty*[simp]:

$a * (\infty::\text{ereal}) = (\text{if } a = 0 \text{ then } 0 \text{ else if } 0 < a \text{ then } \infty \text{ else } -\infty)$   
 ⟨proof⟩

**lemma** *ereal-infty-mult*[simp]:

$(\infty::\text{ereal}) * a = (\text{if } a = 0 \text{ then } 0 \text{ else if } 0 < a \text{ then } \infty \text{ else } -\infty)$   
 ⟨proof⟩

**lemma** *ereal-mult-strict-right-mono*:

**assumes**  $a < b$   
**and**  $0 < c$   
**and**  $c < (\infty::ereal)$   
**shows**  $a * c < b * c$   
 $\langle proof \rangle$

**lemma** *ereal-mult-strict-left-mono*:

$a < b \implies 0 < c \implies c < (\infty::ereal) \implies c * a < c * b$   
 $\langle proof \rangle$

**lemma** *ereal-mult-right-mono*:

**fixes**  $a b c :: ereal$   
**assumes**  $a \leq b$   $0 \leq c$   
**shows**  $a * c \leq b * c$   
 $\langle proof \rangle$

**lemma** *ereal-mult-left-mono*:

**fixes**  $a b c :: ereal$   
**shows**  $a \leq b \implies 0 \leq c \implies c * a \leq c * b$   
 $\langle proof \rangle$

**lemma** *ereal-mult-mono*:

**fixes**  $a b c d :: ereal$   
**assumes**  $b \geq 0$   $c \geq 0$   $a \leq b$   $c \leq d$   
**shows**  $a * c \leq b * d$   
 $\langle proof \rangle$

**lemma** *ereal-mult-mono'*:

**fixes**  $a b c d :: ereal$   
**assumes**  $a \geq 0$   $c \geq 0$   $a \leq b$   $c \leq d$   
**shows**  $a * c \leq b * d$   
 $\langle proof \rangle$

**lemma** *ereal-mult-mono-strict*:

**fixes**  $a b c d :: ereal$   
**assumes**  $b > 0$   $c > 0$   $a < b$   $c < d$   
**shows**  $a * c < b * d$   
 $\langle proof \rangle$

**lemma** *ereal-mult-mono-strict'*:

**fixes**  $a b c d :: ereal$   
**assumes**  $a > 0$   $c > 0$   $a < b$   $c < d$   
**shows**  $a * c < b * d$   
 $\langle proof \rangle$

**lemma** *zero-less-one-ereal[simp]*:  $0 \leq (1::ereal)$

$\langle proof \rangle$

**lemma** *ereal-0-le-mult[simp]*:  $0 \leq a \implies 0 \leq b \implies 0 \leq a * b$  (*b* :: *ereal*)  
 ⟨*proof*⟩

**lemma** *ereal-right-distrib*:  
**fixes** *r a b* :: *ereal*  
**shows**  $0 \leq a \implies 0 \leq b \implies r * (a + b) = r * a + r * b$   
 ⟨*proof*⟩

**lemma** *ereal-left-distrib*:  
**fixes** *r a b* :: *ereal*  
**shows**  $0 \leq a \implies 0 \leq b \implies (a + b) * r = a * r + b * r$   
 ⟨*proof*⟩

**lemma** *ereal-mult-le-0-iff*:  
**fixes** *a b* :: *ereal*  
**shows**  $a * b \leq 0 \iff (0 \leq a \wedge b \leq 0) \vee (a \leq 0 \wedge 0 \leq b)$   
 ⟨*proof*⟩

**lemma** *ereal-zero-le-0-iff*:  
**fixes** *a b* :: *ereal*  
**shows**  $0 \leq a * b \iff (0 \leq a \wedge 0 \leq b) \vee (a \leq 0 \wedge b \leq 0)$   
 ⟨*proof*⟩

**lemma** *ereal-mult-less-0-iff*:  
**fixes** *a b* :: *ereal*  
**shows**  $a * b < 0 \iff (0 < a \wedge b < 0) \vee (a < 0 \wedge 0 < b)$   
 ⟨*proof*⟩

**lemma** *ereal-zero-less-0-iff*:  
**fixes** *a b* :: *ereal*  
**shows**  $0 < a * b \iff (0 < a \wedge 0 < b) \vee (a < 0 \wedge b < 0)$   
 ⟨*proof*⟩

**lemma** *ereal-left-mult-cong*:  
**fixes** *a b c* :: *ereal*  
**shows**  $c = d \implies (d \neq 0 \implies a = b) \implies a * c = b * d$   
 ⟨*proof*⟩

**lemma** *ereal-right-mult-cong*:  
**fixes** *a b c* :: *ereal*  
**shows**  $c = d \implies (d \neq 0 \implies a = b) \implies c * a = d * b$   
 ⟨*proof*⟩

**lemma** *ereal-distrib*:  
**fixes** *a b c* :: *ereal*  
**assumes**  $a \neq \infty \vee b \neq -\infty$   
**and**  $a \neq -\infty \vee b \neq \infty$   
**and**  $|c| \neq \infty$   
**shows**  $(a + b) * c = a * c + b * c$

$\langle proof \rangle$

**lemma** *numeral-eq-ereal* [simp]:  $numeral\ w = ereal\ (numeral\ w)$   
 $\langle proof \rangle$

**lemma** *distrib-left-ereal-nn*:  
 $c \geq 0 \implies (x + y) * ereal\ c = x * ereal\ c + y * ereal\ c$   
 $\langle proof \rangle$

**lemma** *sum-ereal-right-distrib*:  
**fixes**  $f :: 'a \Rightarrow ereal$   
**shows**  $(\bigwedge i. i \in A \implies 0 \leq f\ i) \implies r * sum\ f\ A = (\sum n \in A. r * f\ n)$   
 $\langle proof \rangle$

**lemma** *sum-ereal-left-distrib*:  
 $(\bigwedge i. i \in A \implies 0 \leq f\ i) \implies sum\ f\ A * r = (\sum n \in A. f\ n * r :: ereal)$   
 $\langle proof \rangle$

**lemma** *sum-distrib-right-ereal*:  
 $c \geq 0 \implies sum\ f\ A * ereal\ c = (\sum x \in A. f\ x * c :: ereal)$   
 $\langle proof \rangle$

**lemma** *ereal-le-epsilon*:  
**fixes**  $x\ y :: ereal$   
**assumes**  $\bigwedge e. 0 < e \implies x \leq y + e$   
**shows**  $x \leq y$   
 $\langle proof \rangle$

**lemma** *ereal-le-epsilon2*:  
**fixes**  $x\ y :: ereal$   
**assumes**  $\bigwedge e :: real. 0 < e \implies x \leq y + ereal\ e$   
**shows**  $x \leq y$   
 $\langle proof \rangle$

**lemma** *ereal-le-real*:  
**fixes**  $x\ y :: ereal$   
**assumes**  $\bigwedge z. x \leq ereal\ z \implies y \leq ereal\ z$   
**shows**  $y \leq x$   
 $\langle proof \rangle$

**lemma** *prod-ereal-0*:  
**fixes**  $f :: 'a \Rightarrow ereal$   
**shows**  $(\prod i \in A. f\ i) = 0 \iff finite\ A \wedge (\exists i \in A. f\ i = 0)$   
 $\langle proof \rangle$

**lemma** *prod-ereal-pos*:  
**fixes**  $f :: 'a \Rightarrow ereal$   
**assumes**  $pos: \bigwedge i. i \in I \implies 0 \leq f\ i$   
**shows**  $0 \leq (\prod i \in I. f\ i)$

*<proof>*

**lemma** *prod-PInf*:

**fixes**  $f :: 'a \Rightarrow \text{ereal}$

**assumes**  $\bigwedge i. i \in I \implies 0 \leq f i$

**shows**  $(\prod_{i \in I}. f i) = \infty \iff \text{finite } I \wedge (\exists i \in I. f i = \infty) \wedge (\forall i \in I. f i \neq 0)$

*<proof>*

**lemma** *prod-ereal*:  $(\prod_{i \in A}. \text{ereal } (f i)) = \text{ereal } (\text{prod } f A)$

*<proof>*

### 39.1.4 Power

**lemma** *ereal-power[simp]*:  $(\text{ereal } x) ^ n = \text{ereal } (x ^ n)$

*<proof>*

**lemma** *ereal-power-PInf[simp]*:  $(\infty :: \text{ereal}) ^ n = (\text{if } n = 0 \text{ then } 1 \text{ else } \infty)$

*<proof>*

**lemma** *ereal-power-uminus[simp]*:

**fixes**  $x :: \text{ereal}$

**shows**  $(- x) ^ n = (\text{if even } n \text{ then } x ^ n \text{ else } - (x ^ n))$

*<proof>*

**lemma** *ereal-power-numeral[simp]*:

$(\text{numeral } num :: \text{ereal}) ^ n = \text{ereal } (\text{numeral } num ^ n)$

*<proof>*

**lemma** *zero-le-power-ereal[simp]*:

**fixes**  $a :: \text{ereal}$

**assumes**  $0 \leq a$

**shows**  $0 \leq a ^ n$

*<proof>*

### 39.1.5 Subtraction

**lemma** *ereal-minus-minus-image[simp]*:

**fixes**  $S :: \text{ereal set}$

**shows**  $\text{uminus } ' \text{uminus } ' S = S$

*<proof>*

**lemma** *ereal-uminus-lessThan[simp]*:

**fixes**  $a :: \text{ereal}$

**shows**  $\text{uminus } ' \{.. < a\} = \{-a <..\}$

*<proof>*

**lemma** *ereal-uminus-greaterThan[simp]*:  $\text{uminus } ' \{(a :: \text{ereal}) <..\} = \{.. < -a\}$

*<proof>*

**instantiation** *ereal* :: *minus*

**begin**

**definition**  $x - y = x + -(y::ereal)$

**instance**  $\langle proof \rangle$

**end**

**lemma** *ereal-minus[simp]*:

$ereal\ r -ereal\ p =ereal\ (r - p)$

$-\infty -ereal\ r =-\infty$

$ereal\ r -\infty =-\infty$

$(\infty::ereal) -x =\infty$

$-(\infty::ereal) -\infty =-\infty$

$x - -y =x + y$

$x - 0 =x$

$0 -x =-x$

$\langle proof \rangle$

**lemma** *ereal-x-minus-x[simp]*:  $x - x = (if\ |x| = \infty\ then\ \infty\ else\ 0::ereal)$

$\langle proof \rangle$

**lemma** *ereal-eq-minus-iff*:

**fixes**  $x\ y\ z ::ereal$

**shows**  $x = z - y \longleftrightarrow$

$(|y| \neq \infty \longrightarrow x + y = z) \wedge$

$(y = -\infty \longrightarrow x = \infty) \wedge$

$(y = \infty \longrightarrow z = \infty \longrightarrow x = \infty) \wedge$

$(y = \infty \longrightarrow z \neq \infty \longrightarrow x = -\infty)$

$\langle proof \rangle$

**lemma** *ereal-eq-minus*:

**fixes**  $x\ y\ z ::ereal$

**shows**  $|y| \neq \infty \implies x = z - y \longleftrightarrow x + y = z$

$\langle proof \rangle$

**lemma** *ereal-less-minus-iff*:

**fixes**  $x\ y\ z ::ereal$

**shows**  $x < z - y \longleftrightarrow$

$(y = \infty \longrightarrow z = \infty \wedge x \neq \infty) \wedge$

$(y = -\infty \longrightarrow x \neq \infty) \wedge$

$(|y| \neq \infty \longrightarrow x + y < z)$

$\langle proof \rangle$

**lemma** *ereal-less-minus*:

**fixes**  $x\ y\ z ::ereal$

**shows**  $|y| \neq \infty \implies x < z - y \longleftrightarrow x + y < z$

$\langle proof \rangle$

**lemma** *ereal-le-minus-iff*:



**fixes**  $x y z :: \text{ereal}$   
**shows**  $x \leq z - y \longleftrightarrow (y = \infty \longrightarrow z \neq \infty \longrightarrow x = -\infty) \wedge (|y| \neq \infty \longrightarrow x + y \leq z)$   
 ⟨proof⟩

**lemma** *ereal-le-minus:*

**fixes**  $x y z :: \text{ereal}$   
**shows**  $|y| \neq \infty \implies x \leq z - y \longleftrightarrow x + y \leq z$   
 ⟨proof⟩

**lemma** *ereal-minus-less-iff:*

**fixes**  $x y z :: \text{ereal}$   
**shows**  $x - y < z \longleftrightarrow y \neq -\infty \wedge (y = \infty \longrightarrow x \neq \infty \wedge z \neq -\infty) \wedge (y \neq \infty \longrightarrow x < z + y)$   
 ⟨proof⟩

**lemma** *ereal-minus-less:*

**fixes**  $x y z :: \text{ereal}$   
**shows**  $|y| \neq \infty \implies x - y < z \longleftrightarrow x < z + y$   
 ⟨proof⟩

**lemma** *ereal-minus-le-iff:*

**fixes**  $x y z :: \text{ereal}$   
**shows**  $x - y \leq z \longleftrightarrow$   
 $(y = -\infty \longrightarrow z = \infty) \wedge$   
 $(y = \infty \longrightarrow x = \infty \longrightarrow z = \infty) \wedge$   
 $(|y| \neq \infty \longrightarrow x \leq z + y)$   
 ⟨proof⟩

**lemma** *ereal-minus-le:*

**fixes**  $x y z :: \text{ereal}$   
**shows**  $|y| \neq \infty \implies x - y \leq z \longleftrightarrow x \leq z + y$   
 ⟨proof⟩

**lemma** *ereal-minus-eq-minus-iff:*

**fixes**  $a b c :: \text{ereal}$   
**shows**  $a - b = a - c \longleftrightarrow$   
 $b = c \vee a = \infty \vee (a = -\infty \wedge b \neq -\infty \wedge c \neq -\infty)$   
 ⟨proof⟩

**lemma** *ereal-add-le-add-iff:*

**fixes**  $a b c :: \text{ereal}$   
**shows**  $c + a \leq c + b \longleftrightarrow$   
 $a \leq b \vee c = \infty \vee (c = -\infty \wedge a \neq \infty \wedge b \neq \infty)$   
 ⟨proof⟩

**lemma** *ereal-add-le-add-iff2:*

**fixes**  $a b c :: \text{ereal}$   
**shows**  $a + c \leq b + c \longleftrightarrow a \leq b \vee c = \infty \vee (c = -\infty \wedge a \neq \infty \wedge b \neq \infty)$

*<proof>*

**lemma** *ereal-mult-le-mult-iff*:

**fixes**  $a\ b\ c :: \text{ereal}$

**shows**  $|c| \neq \infty \implies c * a \leq c * b \iff (0 < c \implies a \leq b) \wedge (c < 0 \implies b \leq a)$

*<proof>*

**lemma** *ereal-minus-mono*:

**fixes**  $A\ B\ C\ D :: \text{ereal}$  **assumes**  $A \leq B\ D \leq C$

**shows**  $A - C \leq B - D$

*<proof>*

**lemma** *ereal-mono-minus-cancel*:

**fixes**  $a\ b\ c :: \text{ereal}$

**shows**  $c - a \leq c - b \implies 0 \leq c \implies c < \infty \implies b \leq a$

*<proof>*

**lemma** *real-of-ereal-minus*:

**fixes**  $a\ b :: \text{ereal}$

**shows**  $\text{real-of-ereal } (a - b) = (\text{if } |a| = \infty \vee |b| = \infty \text{ then } 0 \text{ else } \text{real-of-ereal } a - \text{real-of-ereal } b)$

*<proof>*

**lemma** *real-of-ereal-minus'*:  $|x| = \infty \iff |y| = \infty \implies \text{real-of-ereal } x - \text{real-of-ereal } y = \text{real-of-ereal } (x - y :: \text{ereal})$

*<proof>*

**lemma** *ereal-diff-positive*:

**fixes**  $a\ b :: \text{ereal}$  **shows**  $a \leq b \implies 0 \leq b - a$

*<proof>*

**lemma** *ereal-between*:

**fixes**  $x\ e :: \text{ereal}$

**assumes**  $|x| \neq \infty$

**and**  $0 < e$

**shows**  $x - e < x$

**and**  $x < x + e$

*<proof>*

**lemma** *ereal-minus-eq-PIfty-iff*:

**fixes**  $x\ y :: \text{ereal}$

**shows**  $x - y = \infty \iff y = -\infty \vee x = \infty$

*<proof>*

**lemma** *ereal-diff-add-eq-diff-diff-swap*:

**fixes**  $x\ y\ z :: \text{ereal}$

**shows**  $|y| \neq \infty \implies x - (y + z) = x - y - z$

*<proof>*

**lemma** *ereal-diff-add-assoc2*:

**fixes**  $x\ y\ z :: \text{ereal}$

**shows**  $x + y - z = x - z + y$

$\langle \text{proof} \rangle$

**lemma** *ereal-add-uminus-conv-diff*: **fixes**  $x\ y\ z :: \text{ereal}$  **shows**  $-x + y = y - x$

$\langle \text{proof} \rangle$

**lemma** *ereal-minus-diff-eq*:

**fixes**  $x\ y :: \text{ereal}$

**shows**  $\llbracket x = \infty \longrightarrow y \neq \infty; x = -\infty \longrightarrow y \neq -\infty \rrbracket \Longrightarrow -(x - y) = y - x$

$\langle \text{proof} \rangle$

**lemma** *ediff-le-self* [*simp*]:  $x - y \leq (x :: \text{enat})$

$\langle \text{proof} \rangle$

**lemma** *ereal-abs-diff*:

**fixes**  $a\ b :: \text{ereal}$

**shows**  $\text{abs}(a - b) \leq \text{abs}\ a + \text{abs}\ b$

$\langle \text{proof} \rangle$

### 39.1.6 Division

**instantiation** *ereal* :: *inverse*

**begin**

**function** *inverse-ereal* **where**

*inverse* (*ereal*  $r$ ) = (if  $r = 0$  then  $\infty$  else *ereal* (*inverse*  $r$ ))

| *inverse* ( $\infty :: \text{ereal}$ ) = 0

| *inverse* ( $-\infty :: \text{ereal}$ ) = 0

$\langle \text{proof} \rangle$

**termination**  $\langle \text{proof} \rangle$

**definition**  $x \text{ div } y = x * \text{inverse}(y :: \text{ereal})$

**instance**  $\langle \text{proof} \rangle$

**end**

**lemma** *real-of-ereal-inverse*[*simp*]:

**fixes**  $a :: \text{ereal}$

**shows** *real-of-ereal* (*inverse*  $a$ ) = 1 / *real-of-ereal*  $a$

$\langle \text{proof} \rangle$

**lemma** *ereal-inverse*[*simp*]:

*inverse* ( $0 :: \text{ereal}$ ) =  $\infty$

*inverse* ( $1 :: \text{ereal}$ ) = 1

$\langle \text{proof} \rangle$

- lemma** *ereal-divide[simp]*:  
 $ereal\ r /ereal\ p = (if\ p = 0\ then\ ereal\ r * \infty\ else\ ereal\ (r / p))$   
 ⟨proof⟩
- lemma** *ereal-divide-same[simp]*:  
**fixes**  $x :: ereal$   
**shows**  $x / x = (if\ |x| = \infty \vee x = 0\ then\ 0\ else\ 1)$   
 ⟨proof⟩
- lemma** *ereal-inv-inv[simp]*:  
**fixes**  $x :: ereal$   
**shows**  $inverse\ (inverse\ x) = (if\ x \neq -\infty\ then\ x\ else\ \infty)$   
 ⟨proof⟩
- lemma** *ereal-inverse-minus[simp]*:  
**fixes**  $x :: ereal$   
**shows**  $inverse\ (-x) = (if\ x = 0\ then\ \infty\ else\ -inverse\ x)$   
 ⟨proof⟩
- lemma** *ereal-uminus-divide[simp]*:  
**fixes**  $x\ y :: ereal$   
**shows**  $-x / y = -(x / y)$   
 ⟨proof⟩
- lemma** *ereal-divide-Infty[simp]*:  
**fixes**  $x :: ereal$   
**shows**  $x / \infty = 0\ x / -\infty = 0$   
 ⟨proof⟩
- lemma** *ereal-divide-one[simp]*:  $x / 1 = (x::ereal)$   
 ⟨proof⟩
- lemma** *ereal-divide-ereal[simp]*:  $\infty /ereal\ r = (if\ 0 \leq r\ then\ \infty\ else\ -\infty)$   
 ⟨proof⟩
- lemma** *ereal-inverse-nonneg-iff*:  $0 \leq inverse\ (x :: ereal) \longleftrightarrow 0 \leq x \vee x = -\infty$   
 ⟨proof⟩
- lemma** *inverse-ereal-ge0I*:  $0 \leq (x :: ereal) \implies 0 \leq inverse\ x$   
 ⟨proof⟩
- lemma** *zero-le-divide-ereal[simp]*:  
**fixes**  $a :: ereal$   
**assumes**  $0 \leq a$   
**and**  $0 \leq b$   
**shows**  $0 \leq a / b$   
 ⟨proof⟩
- lemma** *ereal-le-divide-pos*:

**fixes**  $x\ y\ z :: \text{ereal}$   
**shows**  $x > 0 \implies x \neq \infty \implies y \leq z / x \longleftrightarrow x * y \leq z$   
 $\langle \text{proof} \rangle$

**lemma** *ereal-divide-le-pos*:  
**fixes**  $x\ y\ z :: \text{ereal}$   
**shows**  $x > 0 \implies x \neq \infty \implies z / x \leq y \longleftrightarrow z \leq x * y$   
 $\langle \text{proof} \rangle$

**lemma** *ereal-le-divide-neg*:  
**fixes**  $x\ y\ z :: \text{ereal}$   
**shows**  $x < 0 \implies x \neq -\infty \implies y \leq z / x \longleftrightarrow z \leq x * y$   
 $\langle \text{proof} \rangle$

**lemma** *ereal-divide-le-neg*:  
**fixes**  $x\ y\ z :: \text{ereal}$   
**shows**  $x < 0 \implies x \neq -\infty \implies z / x \leq y \longleftrightarrow x * y \leq z$   
 $\langle \text{proof} \rangle$

**lemma** *ereal-inverse-antimono-strict*:  
**fixes**  $x\ y :: \text{ereal}$   
**shows**  $0 \leq x \implies x < y \implies \text{inverse } y < \text{inverse } x$   
 $\langle \text{proof} \rangle$

**lemma** *ereal-inverse-antimono*:  
**fixes**  $x\ y :: \text{ereal}$   
**shows**  $0 \leq x \implies x \leq y \implies \text{inverse } y \leq \text{inverse } x$   
 $\langle \text{proof} \rangle$

**lemma** *inverse-inverse-Pinfity-iff[simp]*:  
**fixes**  $x :: \text{ereal}$   
**shows**  $\text{inverse } x = \infty \longleftrightarrow x = 0$   
 $\langle \text{proof} \rangle$

**lemma** *ereal-inverse-eq-0*:  
**fixes**  $x :: \text{ereal}$   
**shows**  $\text{inverse } x = 0 \longleftrightarrow x = \infty \vee x = -\infty$   
 $\langle \text{proof} \rangle$

**lemma** *ereal-0-gt-inverse*:  
**fixes**  $x :: \text{ereal}$   
**shows**  $0 < \text{inverse } x \longleftrightarrow x \neq \infty \wedge 0 \leq x$   
 $\langle \text{proof} \rangle$

**lemma** *ereal-inverse-le-0-iff*:  
**fixes**  $x :: \text{ereal}$   
**shows**  $\text{inverse } x \leq 0 \longleftrightarrow x < 0 \vee x = \infty$   
 $\langle \text{proof} \rangle$

**lemma** *ereal-divide-eq-0-iff*:  $x / y = 0 \longleftrightarrow x = 0 \vee |y :: \text{ereal}| = \infty$   
 ⟨proof⟩

**lemma** *ereal-mult-less-right*:

**fixes**  $a\ b\ c :: \text{ereal}$   
**assumes**  $b * a < c * a$   
**and**  $0 < a$   
**and**  $a < \infty$   
**shows**  $b < c$   
 ⟨proof⟩

**lemma** *ereal-mult-divide*: **fixes**  $a\ b :: \text{ereal}$  **shows**  $0 < b \implies b < \infty \implies b * (a / b) = a$   
 ⟨proof⟩

**lemma** *ereal-power-divide*:

**fixes**  $x\ y :: \text{ereal}$   
**shows**  $y \neq 0 \implies (x / y) ^ n = x ^ n / y ^ n$   
 ⟨proof⟩

**lemma** *ereal-le-mult-one-interval*:

**fixes**  $x\ y :: \text{ereal}$   
**assumes**  $y: y \neq -\infty$   
**assumes**  $z: \bigwedge z. 0 < z \implies z < 1 \implies z * x \leq y$   
**shows**  $x \leq y$   
 ⟨proof⟩

**lemma** *ereal-divide-right-mono[simp]*:

**fixes**  $x\ y\ z :: \text{ereal}$   
**assumes**  $x \leq y$   
**and**  $0 < z$   
**shows**  $x / z \leq y / z$   
 ⟨proof⟩

**lemma** *ereal-divide-left-mono[simp]*:

**fixes**  $x\ y\ z :: \text{ereal}$   
**assumes**  $y \leq x$   
**and**  $0 < z$   
**and**  $0 < x * y$   
**shows**  $z / x \leq z / y$   
 ⟨proof⟩

**lemma** *ereal-divide-zero-left[simp]*:

**fixes**  $a :: \text{ereal}$   
**shows**  $0 / a = 0$   
 ⟨proof⟩

**lemma** *ereal-times-divide-eq-left[simp]*:

**fixes**  $a\ b\ c :: \text{ereal}$

**shows**  $b / c * a = b * a / c$   
 ⟨proof⟩

**lemma** *ereal-times-divide-eq*:  $a * (b / c :: \text{ereal}) = a * b / c$   
 ⟨proof⟩

**lemma** *ereal-inverse-real* [simp]:  $|z| \neq \infty \implies z \neq 0 \implies \text{ereal} (\text{inverse} (\text{real-of-ereal } z)) = \text{inverse } z$   
 ⟨proof⟩

**lemma** *ereal-inverse-mult*:  
 $a \neq 0 \implies b \neq 0 \implies \text{inverse} (a * (b :: \text{ereal})) = \text{inverse } a * \text{inverse } b$   
 ⟨proof⟩

**lemma** *inverse-eq-infinity-iff-eq-zero* [simp]:  
 $1/(x :: \text{ereal}) = \infty \iff x = 0$   
 ⟨proof⟩

**lemma** *ereal-distrib-left*:  
**fixes**  $a b c :: \text{ereal}$   
**assumes**  $a \neq \infty \vee b \neq -\infty$   
**and**  $a \neq -\infty \vee b \neq \infty$   
**and**  $|c| \neq \infty$   
**shows**  $c * (a + b) = c * a + c * b$   
 ⟨proof⟩

**lemma** *ereal-distrib-minus-left*:  
**fixes**  $a b c :: \text{ereal}$   
**assumes**  $a \neq \infty \vee b \neq \infty$   
**and**  $a \neq -\infty \vee b \neq -\infty$   
**and**  $|c| \neq \infty$   
**shows**  $c * (a - b) = c * a - c * b$   
 ⟨proof⟩

**lemma** *ereal-distrib-minus-right*:  
**fixes**  $a b c :: \text{ereal}$   
**assumes**  $a \neq \infty \vee b \neq \infty$   
**and**  $a \neq -\infty \vee b \neq -\infty$   
**and**  $|c| \neq \infty$   
**shows**  $(a - b) * c = a * c - b * c$   
 ⟨proof⟩

## 39.2 Complete lattice

**instantiation** *ereal* :: *lattice*  
**begin**

**definition** [simp]:  $\text{sup } x y = (\text{max } x y :: \text{ereal})$

**definition** [simp]:  $\text{inf } x y = (\text{min } x y :: \text{ereal})$

**instance**  $\langle proof \rangle$

**end**

**instantiation**  $ereal :: complete-lattice$   
**begin**

**definition**  $bot = (-\infty :: ereal)$

**definition**  $top = (\infty :: ereal)$

**definition**  $Sup\ S = (SOME\ x :: ereal.\ (\forall\ y \in S.\ y \leq x) \wedge (\forall\ z.\ (\forall\ y \in S.\ y \leq z) \longrightarrow x \leq z))$

**definition**  $Inf\ S = (SOME\ x :: ereal.\ (\forall\ y \in S.\ x \leq y) \wedge (\forall\ z.\ (\forall\ y \in S.\ z \leq y) \longrightarrow z \leq x))$

**lemma**  $ereal-complete-Sup$ :

**fixes**  $S :: ereal\ set$

**shows**  $\exists x.\ (\forall y \in S.\ y \leq x) \wedge (\forall z.\ (\forall y \in S.\ y \leq z) \longrightarrow x \leq z)$

$\langle proof \rangle$

**lemma**  $ereal-complete-uminus-eq$ :

**fixes**  $S :: ereal\ set$

**shows**  $(\forall y \in uminus\ S.\ y \leq x) \wedge (\forall z.\ (\forall y \in uminus\ S.\ y \leq z) \longrightarrow x \leq z)$

$\longleftrightarrow (\forall y \in S.\ -x \leq y) \wedge (\forall z.\ (\forall y \in S.\ z \leq y) \longrightarrow z \leq -x)$

$\langle proof \rangle$

**lemma**  $ereal-complete-Inf$ :

$\exists x.\ (\forall y \in S :: ereal\ set.\ x \leq y) \wedge (\forall z.\ (\forall y \in S.\ z \leq y) \longrightarrow z \leq x)$

$\langle proof \rangle$

**instance**

$\langle proof \rangle$

**end**

**instance**  $ereal :: complete-linorder \langle proof \rangle$

**instance**  $ereal :: linear-continuum$

$\langle proof \rangle$

**lemma**  $min-PInf [simp]$ :  $min\ (\infty :: ereal)\ x = x$

$\langle proof \rangle$

**lemma**  $min-PInf2 [simp]$ :  $min\ x\ (\infty :: ereal) = x$

$\langle proof \rangle$

**lemma**  $max-PInf [simp]$ :  $max\ (\infty :: ereal)\ x = \infty$

$\langle proof \rangle$



**lemma** *max-PInf2* [simp]:  $\max x (\infty::ereal) = \infty$   
 ⟨proof⟩

**lemma** *min-MInf* [simp]:  $\min (-\infty::ereal) x = -\infty$   
 ⟨proof⟩

**lemma** *min-MInf2* [simp]:  $\min x (-\infty::ereal) = -\infty$   
 ⟨proof⟩

**lemma** *max-MInf* [simp]:  $\max (-\infty::ereal) x = x$   
 ⟨proof⟩

**lemma** *max-MInf2* [simp]:  $\max x (-\infty::ereal) = x$   
 ⟨proof⟩

### 39.3 Extended real intervals

**lemma** *real-greaterThanLessThan-infinity-eq*:  
 $\text{real-of-ereal } \{N::ereal <..<\infty\} =$   
 (if  $N = \infty$  then  $\{\}$  else if  $N = -\infty$  then UNIV else  $\{\text{real-of-ereal } N <..\}$ )  
 ⟨proof⟩

**lemma** *real-greaterThanLessThan-minus-infinity-eq*:  
 $\text{real-of-ereal } \{-\infty <..<N::ereal\} =$   
 (if  $N = \infty$  then UNIV else if  $N = -\infty$  then  $\{\}$  else  $\{..<\text{real-of-ereal } N\}$ )  
 ⟨proof⟩

**lemma** *real-greaterThanLessThan-inter*:  
 $\text{real-of-ereal } \{N <..<M::ereal\} = \text{real-of-ereal } \{-\infty <..<M\} \cap \text{real-of-ereal } \{N <..<\infty\}$   
 ⟨proof⟩

**lemma** *real-atLeastGreaterThan-eq*:  $\text{real-of-ereal } \{N <..<M::ereal\} =$   
 (if  $N = \infty$  then  $\{\}$  else  
 if  $N = -\infty$  then  
 (if  $M = \infty$  then UNIV  
 else if  $M = -\infty$  then  $\{\}$   
 else  $\{..<\text{real-of-ereal } M\}$ )  
 else if  $M = -\infty$  then  $\{\}$   
 else if  $M = \infty$  then  $\{\text{real-of-ereal } N <..\}$   
 else  $\{\text{real-of-ereal } N <..<\text{real-of-ereal } M\}$ )  
 ⟨proof⟩

**lemma** *real-image-ereal-ivl*:  
**fixes**  $a b::ereal$   
**shows**  
 $\text{real-of-ereal } \{a <..<b\} =$   
 (if  $a < b$  then (if  $a = -\infty$  then if  $b = \infty$  then UNIV else  $\{..<\text{real-of-ereal } b\}$   
 else if  $b = \infty$  then  $\{\text{real-of-ereal } a <..\}$  else  $\{\text{real-of-ereal } a <..<\text{real-of-ereal } b\}$ )

else {}  
 ⟨proof⟩

**lemma** fixes  $a b c :: \text{ereal}$   
 shows  $\text{not-infityI}: a < b \implies b < c \implies \text{abs } b \neq \infty$   
 ⟨proof⟩

**lemma**  
*interval-neqs:*  
 fixes  $r s t :: \text{real}$   
 shows  $\{r <..< s\} \neq \{t <..\}$   
 and  $\{r <..< s\} \neq \{..< t\}$   
 and  $\{r <..< r a\} \neq \text{UNIV}$   
 and  $\{r <..\} \neq \{..< s\}$   
 and  $\{r <..\} \neq \text{UNIV}$   
 and  $\{..< r\} \neq \text{UNIV}$   
 and  $\{\} \neq \{r <..\}$   
 and  $\{\} \neq \{..< r\}$   
 ⟨proof⟩

**lemma** *greaterThanLessThan-eq-iff:*  
 fixes  $r s t u :: \text{real}$   
 shows  $(\{r <..< s\} = \{t <..< u\}) = (r \geq s \wedge u \leq t \vee r = t \wedge s = u)$   
 ⟨proof⟩

**lemma** *real-of-ereal-image-greaterThanLessThan-iff:*  
 $\text{real-of-ereal } \{a <..< b\} = \text{real-of-ereal } \{c <..< d\} \iff (a \geq b \wedge c \geq d \vee a = c \wedge b = d)$   
 ⟨proof⟩

**lemma** *uminus-image-real-of-ereal-image-greaterThanLessThan:*  
 $\text{uminus } \{l <..< u\} = \text{real-of-ereal } \{-u <..< -l\}$   
 ⟨proof⟩

**lemma** *add-image-real-of-ereal-image-greaterThanLessThan:*  
 $(+) c \{l <..< u\} = \text{real-of-ereal } \{c + l <..< c + u\}$   
 ⟨proof⟩

**lemma** *add2-image-real-of-ereal-image-greaterThanLessThan:*  
 $(\lambda x. x + c) \{l <..< u\} = \text{real-of-ereal } \{l + c <..< u + c\}$   
 ⟨proof⟩

**lemma** *minus-image-real-of-ereal-image-greaterThanLessThan:*  
 $(-) c \{l <..< u\} = \text{real-of-ereal } \{c - u <..< c - l\}$   
 (is ?l = ?r)  
 ⟨proof⟩

**lemma** *real-ereal-bound-lemma-up:*  
 assumes  $s \in \text{real-of-ereal } \{a <..< b\}$

**assumes**  $t \notin \text{real-of-ereal } \{a < .. < b\}$   
**assumes**  $s \leq t$   
**shows**  $b \neq \infty$   
 $\langle \text{proof} \rangle$

**lemma** *real-ereal-bound-lemma-down*:  
**assumes**  $s: s \in \text{real-of-ereal } \{a < .. < b\}$   
**and**  $t: t \notin \text{real-of-ereal } \{a < .. < b\}$   
**and**  $t \leq s$   
**shows**  $a \neq -\infty$   
 $\langle \text{proof} \rangle$

### 39.4 Topological space

**instantiation** *ereal* :: *linear-continuum-topology*  
**begin**

**definition** *open-ereal* :: *ereal set*  $\Rightarrow$  *bool* **where**  
*open-ereal-generated*: *open-ereal* = *generate-topology* (*range lessThan*  $\cup$  *range greaterThan*)

**instance**  
 $\langle \text{proof} \rangle$

**end**

**lemma** *continuous-on-ereal*[*continuous-intros*]:  
**assumes**  $f: \text{continuous-on } s \ f$  **shows** *continuous-on*  $s \ (\lambda x. \text{ereal } (f \ x))$   
 $\langle \text{proof} \rangle$

**lemma** *tendsto-ereal*[*tendsto-intros*, *simp*, *intro*]:  $(f \longrightarrow x) \ F \Longrightarrow ((\lambda x. \text{ereal } (f \ x)) \longrightarrow \text{ereal } x) \ F$   
 $\langle \text{proof} \rangle$

**lemma** *tendsto-uminus-ereal*[*tendsto-intros*, *simp*, *intro*]:  
**assumes**  $(f \longrightarrow x) \ F$   
**shows**  $((\lambda x. - \ f \ x :: \text{ereal}) \longrightarrow - \ x) \ F$   
 $\langle \text{proof} \rangle$

**lemma** *at-infty-ereal-eq-at-top*:  $\text{at } \infty = \text{filtermap } \text{ereal } \text{at-top}$   
 $\langle \text{proof} \rangle$

**lemma** *ereal-Lim-uminus*:  $(f \longrightarrow f0) \ \text{net} \longleftrightarrow ((\lambda x. - \ f \ x :: \text{ereal}) \longrightarrow - \ f0) \ \text{net}$   
 $\langle \text{proof} \rangle$

**lemma** *ereal-divide-less-iff*:  $0 < (c :: \text{ereal}) \Longrightarrow c < \infty \Longrightarrow a / c < b \longleftrightarrow a < b * c$   
 $\langle \text{proof} \rangle$

**lemma** *ereal-less-divide-iff*:  $0 < (c::ereal) \implies c < \infty \implies a < b / c \longleftrightarrow a * c < b$   
 ⟨proof⟩

**lemma** *tendsto-cmult-ereal*[*tendsto-intros, simp, intro*]:  
 assumes  $c: |c| \neq \infty$  and  $f: (f \longrightarrow x) F$  shows  $((\lambda x. c * f x::ereal) \longrightarrow c * x) F$   
 ⟨proof⟩

**lemma** *tendsto-cmult-ereal-not-0*[*tendsto-intros, simp, intro*]:  
 assumes  $x \neq 0$  and  $f: (f \longrightarrow x) F$  shows  $((\lambda x. c * f x::ereal) \longrightarrow c * x) F$   
 ⟨proof⟩

**lemma** *tendsto-cadd-ereal*[*tendsto-intros, simp, intro*]:  
 assumes  $c: y \neq -\infty$   $x \neq -\infty$  and  $f: (f \longrightarrow x) F$  shows  $((\lambda x. f x + y::ereal) \longrightarrow x + y) F$   
 ⟨proof⟩

**lemma** *tendsto-add-left-ereal*[*tendsto-intros, simp, intro*]:  
 assumes  $c: |y| \neq \infty$  and  $f: (f \longrightarrow x) F$  shows  $((\lambda x. f x + y::ereal) \longrightarrow x + y) F$   
 ⟨proof⟩

**lemma** *continuous-at-ereal*[*continuous-intros*]: *continuous*  $F f \implies$  *continuous*  $F (\lambda x. \text{ereal } (f x))$   
 ⟨proof⟩

**lemma** *ereal-Sup*:  
 assumes  $*$ :  $|SUP a \in A. \text{ereal } a| \neq \infty$   
 shows  $\text{ereal } (Sup A) = (SUP a \in A. \text{ereal } a)$   
 ⟨proof⟩

**lemma** *ereal-SUP*:  $|SUP a \in A. \text{ereal } (f a)| \neq \infty \implies \text{ereal } (SUP a \in A. f a) = (SUP a \in A. \text{ereal } (f a))$   
 ⟨proof⟩

**lemma** *ereal-Inf*:  
 assumes  $*$ :  $|INF a \in A. \text{ereal } a| \neq \infty$   
 shows  $\text{ereal } (Inf A) = (INF a \in A. \text{ereal } a)$   
 ⟨proof⟩

**lemma** *ereal-Inf'*:  
 assumes  $*$ : *bdd-below*  $A$   $A \neq \{\}$   
 shows  $\text{ereal } (Inf A) = (INF a \in A. \text{ereal } a)$   
 ⟨proof⟩

**lemma** *ereal-INF*:  $|INF a \in A. \text{ereal } (f a)| \neq \infty \implies \text{ereal } (INF a \in A. f a) = (INF a \in A. \text{ereal } (f a))$

*<proof>*

**lemma** *ereal-Sup-uminus-image-eq*:  $Sup (uminus \text{ ' } S :: \text{ereal set}) = - Inf S$   
*<proof>*

**lemma** *ereal-SUP-uminus-eq*:  
**fixes**  $f :: 'a \Rightarrow \text{ereal}$   
**shows**  $(SUP x \in S. uminus (f x)) = - (INF x \in S. f x)$   
*<proof>*

**lemma** *ereal-inj-on-uminus[intro, simp]*: *inj-on uminus* ( $A :: \text{ereal set}$ )  
*<proof>*

**lemma** *ereal-Inf-uminus-image-eq*:  $Inf (uminus \text{ ' } S :: \text{ereal set}) = - Sup S$   
*<proof>*

**lemma** *ereal-INF-uminus-eq*:  
**fixes**  $f :: 'a \Rightarrow \text{ereal}$   
**shows**  $(INF x \in S. - f x) = - (SUP x \in S. f x)$   
*<proof>*

**lemma** *ereal-SUP-uminus*:  
**fixes**  $f :: 'a \Rightarrow \text{ereal}$   
**shows**  $(SUP i \in R. - f i) = - (INF i \in R. f i)$   
*<proof>*

**lemma** *ereal-SUP-not-infty*:  
**fixes**  $f :: - \Rightarrow \text{ereal}$   
**shows**  $A \neq \{\} \implies l \neq -\infty \implies u \neq \infty \implies \forall a \in A. l \leq f a \wedge f a \leq u \implies |Sup (f \text{ ' } A)| \neq \infty$   
*<proof>*

**lemma** *ereal-INF-not-infty*:  
**fixes**  $f :: - \Rightarrow \text{ereal}$   
**shows**  $A \neq \{\} \implies l \neq -\infty \implies u \neq \infty \implies \forall a \in A. l \leq f a \wedge f a \leq u \implies |Inf (f \text{ ' } A)| \neq \infty$   
*<proof>*

**lemma** *ereal-image-uminus-shift*:  
**fixes**  $X Y :: \text{ereal set}$   
**shows**  $uminus \text{ ' } X = Y \longleftrightarrow X = uminus \text{ ' } Y$   
*<proof>*

**lemma** *Sup-eq-MInfty*:  
**fixes**  $S :: \text{ereal set}$   
**shows**  $Sup S = -\infty \longleftrightarrow S = \{\} \vee S = \{-\infty\}$   
*<proof>*

**lemma** *Inf-eq-PInfty*:

**fixes**  $S :: \text{ereal set}$   
**shows**  $\text{Inf } S = \infty \longleftrightarrow S = \{\} \vee S = \{\infty\}$   
 $\langle \text{proof} \rangle$

**lemma** *Inf-eq-MInfty*:  
**fixes**  $S :: \text{ereal set}$   
**shows**  $-\infty \in S \implies \text{Inf } S = -\infty$   
 $\langle \text{proof} \rangle$

**lemma** *Sup-eq-PInfty*:  
**fixes**  $S :: \text{ereal set}$   
**shows**  $\infty \in S \implies \text{Sup } S = \infty$   
 $\langle \text{proof} \rangle$

**lemma** *not-MInfty-nonneg[simp]*:  $0 \leq (x :: \text{ereal}) \implies x \neq -\infty$   
 $\langle \text{proof} \rangle$

**lemma** *Sup-ereal-close*:  
**fixes**  $e :: \text{ereal}$   
**assumes**  $0 < e$   
**and**  $S: |\text{Sup } S| \neq \infty \ S \neq \{\}$   
**shows**  $\exists x \in S. \text{Sup } S - e < x$   
 $\langle \text{proof} \rangle$

**lemma** *Inf-ereal-close*:  
**fixes**  $e :: \text{ereal}$   
**assumes**  $|\text{Inf } X| \neq \infty$   
**and**  $0 < e$   
**shows**  $\exists x \in X. x < \text{Inf } X + e$   
 $\langle \text{proof} \rangle$

**lemma** *SUP-PInfty*:  
 $(\bigwedge n :: \text{nat}. \exists i \in A. \text{ereal } (\text{real } n) \leq f i) \implies (\text{SUP } i \in A. f i :: \text{ereal}) = \infty$   
 $\langle \text{proof} \rangle$

**lemma** *SUP-nat-Infty*:  $(\text{SUP } i. \text{ereal } (\text{real } i)) = \infty$   
 $\langle \text{proof} \rangle$

**lemma** *SUP-ereal-add-left*:  
**assumes**  $I \neq \{\} \ c \neq -\infty$   
**shows**  $(\text{SUP } i \in I. f i + c :: \text{ereal}) = (\text{SUP } i \in I. f i) + c$   
 $\langle \text{proof} \rangle$

**lemma** *SUP-ereal-add-right*:  
**fixes**  $c :: \text{ereal}$   
**shows**  $I \neq \{\} \implies c \neq -\infty \implies (\text{SUP } i \in I. c + f i) = c + (\text{SUP } i \in I. f i)$   
 $\langle \text{proof} \rangle$

**lemma** *SUP-ereal-minus-right*:

**assumes**  $I \neq \{\}$   $c \neq -\infty$   
**shows**  $(\text{SUP } i \in I. c - f i :: \text{ereal}) = c - (\text{INF } i \in I. f i)$   
 $\langle \text{proof} \rangle$

**lemma** *SUP-ereal-minus-left*:

**assumes**  $I \neq \{\}$   $c \neq \infty$   
**shows**  $(\text{SUP } i \in I. f i - c :: \text{ereal}) = (\text{SUP } i \in I. f i) - c$   
 $\langle \text{proof} \rangle$

**lemma** *INF-ereal-minus-right*:

**assumes**  $I \neq \{\}$  **and**  $|c| \neq \infty$   
**shows**  $(\text{INF } i \in I. c - f i) = c - (\text{SUP } i \in I. f i :: \text{ereal})$   
 $\langle \text{proof} \rangle$

**lemma** *SUP-ereal-le-addI*:

**fixes**  $f :: 'i \Rightarrow \text{ereal}$   
**assumes**  $\bigwedge i. f i + y \leq z$  **and**  $y \neq -\infty$   
**shows**  $\text{Sup } (f \text{ ' UNIV}) + y \leq z$   
 $\langle \text{proof} \rangle$

**lemma** *SUP-combine*:

**fixes**  $f :: 'a :: \text{semilattice-sup} \Rightarrow 'a :: \text{semilattice-sup} \Rightarrow 'b :: \text{complete-lattice}$   
**assumes** *mono*:  $\bigwedge a b c d. a \leq b \implies c \leq d \implies f a c \leq f b d$   
**shows**  $(\text{SUP } i \in \text{UNIV}. \text{SUP } j \in \text{UNIV}. f i j) = (\text{SUP } i. f i i)$   
 $\langle \text{proof} \rangle$

**lemma** *SUP-ereal-add*:

**fixes**  $f g :: \text{nat} \Rightarrow \text{ereal}$   
**assumes** *inc*:  $\text{incseq } f \text{ incseq } g$   
**and** *pos*:  $\bigwedge i. f i \neq -\infty \bigwedge i. g i \neq -\infty$   
**shows**  $(\text{SUP } i. f i + g i) = \text{Sup } (f \text{ ' UNIV}) + \text{Sup } (g \text{ ' UNIV})$   
 $\langle \text{proof} \rangle$

**lemma** *INF-eq-minf*:  $(\text{INF } i \in I. f i :: \text{ereal}) \neq -\infty \iff (\exists b > -\infty. \forall i \in I. b \leq f i)$   
 $\langle \text{proof} \rangle$

**lemma** *INF-ereal-add-left*:

**assumes**  $I \neq \{\}$   $c \neq -\infty \bigwedge x. x \in I \implies 0 \leq f x$   
**shows**  $(\text{INF } i \in I. f i + c :: \text{ereal}) = (\text{INF } i \in I. f i) + c$   
 $\langle \text{proof} \rangle$

**lemma** *INF-ereal-add-right*:

**assumes**  $I \neq \{\}$   $c \neq -\infty \bigwedge x. x \in I \implies 0 \leq f x$   
**shows**  $(\text{INF } i \in I. c + f i :: \text{ereal}) = c + (\text{INF } i \in I. f i)$   
 $\langle \text{proof} \rangle$

**lemma** *INF-ereal-add-directed*:

**fixes**  $f g :: 'a \Rightarrow \text{ereal}$   
**assumes** *nonneg*:  $\bigwedge i. i \in I \implies 0 \leq f i \bigwedge i. i \in I \implies 0 \leq g i$

**assumes** *directed*:  $\bigwedge i j. i \in I \implies j \in I \implies \exists k \in I. f i + g j \geq f k + g k$   
**shows**  $(\text{INF } i \in I. f i + g i) = (\text{INF } i \in I. f i) + (\text{INF } i \in I. g i)$   
 ⟨*proof*⟩

**lemma** *INF-ereal-add*:

**fixes**  $f :: \text{nat} \Rightarrow \text{ereal}$   
**assumes** *decseq*  $f$  *decseq*  $g$   
**and** *fin*:  $\bigwedge i. f i \neq \infty \wedge \bigwedge i. g i \neq \infty$   
**shows**  $(\text{INF } i. f i + g i) = \text{Inf } (f \text{ ' UNIV}) + \text{Inf } (g \text{ ' UNIV})$   
 ⟨*proof*⟩

**lemma** *SUP-ereal-add-pos*:

**fixes**  $f g :: \text{nat} \Rightarrow \text{ereal}$   
**assumes** *inc*: *incseq*  $f$  *incseq*  $g$   
**and** *pos*:  $\bigwedge i. 0 \leq f i \wedge \bigwedge i. 0 \leq g i$   
**shows**  $(\text{SUP } i. f i + g i) = \text{Sup } (f \text{ ' UNIV}) + \text{Sup } (g \text{ ' UNIV})$   
 ⟨*proof*⟩

**lemma** *SUP-ereal-sum*:

**fixes**  $f g :: 'a \Rightarrow \text{nat} \Rightarrow \text{ereal}$   
**assumes**  $\bigwedge n. n \in A \implies \text{incseq } (f n)$   
**and** *pos*:  $\bigwedge n i. n \in A \implies 0 \leq f n i$   
**shows**  $(\text{SUP } i. \sum n \in A. f n i) = (\sum n \in A. \text{Sup } ((f n) \text{ ' UNIV}))$   
 ⟨*proof*⟩

**lemma** *SUP-ereal-mult-left*:

**fixes**  $f :: 'a \Rightarrow \text{ereal}$   
**assumes**  $I \neq \{\}$   
**assumes**  $f$ :  $\bigwedge i. i \in I \implies 0 \leq f i$  **and**  $c$ :  $0 \leq c$   
**shows**  $(\text{SUP } i \in I. c * f i) = c * (\text{SUP } i \in I. f i)$   
 ⟨*proof*⟩

**lemma** *countable-approach*:

**fixes**  $x :: \text{ereal}$   
**assumes**  $x \neq -\infty$   
**shows**  $\exists f. \text{incseq } f \wedge (\forall i :: \text{nat}. f i < x) \wedge (f \longrightarrow x)$   
 ⟨*proof*⟩

**lemma** *Sup-countable-SUP*:

**assumes**  $A \neq \{\}$   
**shows**  $\exists f :: \text{nat} \Rightarrow \text{ereal}. \text{incseq } f \wedge \text{range } f \subseteq A \wedge \text{Sup } A = (\text{SUP } i. f i)$   
 ⟨*proof*⟩

**lemma** *Inf-countable-INF*:

**assumes**  $A \neq \{\}$  **shows**  $\exists f :: \text{nat} \Rightarrow \text{ereal}. \text{decseq } f \wedge \text{range } f \subseteq A \wedge \text{Inf } A = (\text{INF } i. f i)$   
 ⟨*proof*⟩

**lemma** *SUP-countable-SUP*:



$A \neq \{\}$   $\implies \exists f :: \text{nat} \Rightarrow \text{ereal}. \text{range } f \subseteq g'A \wedge \text{Sup } (g' A) = \text{Sup } (f' \text{UNIV})$   
 ⟨proof⟩

### 39.5 Relation to *enat*

**definition** *ereal-of-enat*  $n = (\text{case } n \text{ of } \text{enat } n \Rightarrow \text{ereal } (\text{real } n) \mid \infty \Rightarrow \infty)$

**declare**  $[[\text{coercion } \text{ereal-of-enat} :: \text{enat} \Rightarrow \text{ereal}]]$

**declare**  $[[\text{coercion } (\lambda n. \text{ereal } (\text{real } n)) :: \text{nat} \Rightarrow \text{ereal}]]$

**lemma** *ereal-of-enat-simps*[*simp*]:

*ereal-of-enat* (*enat*  $n$ ) = *ereal*  $n$

*ereal-of-enat*  $\infty = \infty$

⟨proof⟩

**lemma** *ereal-of-enat-le-iff*[*simp*]: *ereal-of-enat*  $m \leq \text{ereal-of-enat } n \longleftrightarrow m \leq n$

⟨proof⟩

**lemma** *ereal-of-enat-less-iff*[*simp*]: *ereal-of-enat*  $m < \text{ereal-of-enat } n \longleftrightarrow m < n$

⟨proof⟩

**lemma** *numeral-le-ereal-of-enat-iff*[*simp*]: *numeral*  $m \leq \text{ereal-of-enat } n \longleftrightarrow \text{numeral } m \leq n$

⟨proof⟩

**lemma** *numeral-less-ereal-of-enat-iff*[*simp*]: *numeral*  $m < \text{ereal-of-enat } n \longleftrightarrow \text{numeral } m < n$

⟨proof⟩

**lemma** *ereal-of-enat-ge-zero-cancel-iff*[*simp*]:  $0 \leq \text{ereal-of-enat } n \longleftrightarrow 0 \leq n$

⟨proof⟩

**lemma** *ereal-of-enat-gt-zero-cancel-iff*[*simp*]:  $0 < \text{ereal-of-enat } n \longleftrightarrow 0 < n$

⟨proof⟩

**lemma** *ereal-of-enat-zero*[*simp*]: *ereal-of-enat*  $0 = 0$

⟨proof⟩

**lemma** *ereal-of-enat-inf*[*simp*]: *ereal-of-enat*  $n = \infty \longleftrightarrow n = \infty$

⟨proof⟩

**lemma** *ereal-of-enat-add*: *ereal-of-enat* ( $m + n$ ) = *ereal-of-enat*  $m + \text{ereal-of-enat } n$

⟨proof⟩

**lemma** *ereal-of-enat-sub*:

**assumes**  $n \leq m$

**shows** *ereal-of-enat* ( $m - n$ ) = *ereal-of-enat*  $m - \text{ereal-of-enat } n$

⟨proof⟩

**lemma** *ereal-of-enat-mult*:

*ereal-of-enat* ( $m * n$ ) = *ereal-of-enat*  $m$  \* *ereal-of-enat*  $n$   
 ⟨*proof*⟩

**lemmas** *ereal-of-enat-pushin* = *ereal-of-enat-add* *ereal-of-enat-sub* *ereal-of-enat-mult*  
**lemmas** *ereal-of-enat-pushout* = *ereal-of-enat-pushin*[*symmetric*]

**lemma** *ereal-of-enat-nonneg*: *ereal-of-enat*  $n \geq 0$   
 ⟨*proof*⟩

**lemma** *ereal-of-enat-Sup*:

**assumes**  $A \neq \{\}$  **shows** *ereal-of-enat* ( $\text{Sup } A$ ) = ( $\text{SUP } a \in A.$  *ereal-of-enat*  $a$ )  
 ⟨*proof*⟩

**lemma** *ereal-of-enat-SUP*:

$A \neq \{\} \implies$  *ereal-of-enat* ( $\text{SUP } a \in A. f a$ ) = ( $\text{SUP } a \in A.$  *ereal-of-enat* ( $f a$ ))  
 ⟨*proof*⟩

### 39.6 Limits on *ereal*

**lemma** *open-PInfty*: *open*  $A \implies \infty \in A \implies (\exists x. \{\text{ereal } x <..\} \subseteq A)$   
 ⟨*proof*⟩

**lemma** *open-MInfty*: *open*  $A \implies -\infty \in A \implies (\exists x. \{.. < \text{ereal } x\} \subseteq A)$   
 ⟨*proof*⟩

**lemma** *open-ereal-vimage*: *open*  $S \implies$  *open* (*ereal*  $- ' S$ )  
 ⟨*proof*⟩

**lemma** *open-ereal*: *open*  $S \implies$  *open* (*ereal*  $' S$ )  
 ⟨*proof*⟩

**lemma** *open-image-real-of-ereal*:

**fixes**  $X :: \text{ereal set}$

**assumes** *open*  $X$

**assumes** *infty*:  $\infty \notin X$   $-\infty \notin X$

**shows** *open* (*real-of-ereal*  $' X$ )

⟨*proof*⟩

**lemma** *eventually-finite*:

**fixes**  $x :: \text{ereal}$

**assumes**  $|x| \neq \infty$  ( $f \longrightarrow x$ )  $F$

**shows** *eventually* ( $\lambda x. |f x| \neq \infty$ )  $F$

⟨*proof*⟩

**lemma** *open-ereal-def*:

*open*  $A \iff$  *open* (*ereal*  $- ' A$ )  $\wedge$  ( $\infty \in A \implies (\exists x. \{\text{ereal } x <..\} \subseteq A)$ )  $\wedge$  ( $-\infty$

$\in A \longrightarrow (\exists x. \{..<ereal x\} \subseteq A)$   
 (is open  $A \longleftrightarrow ?rhs$ )  
 ⟨proof⟩

**lemma** *open-PInfty2*:  
 assumes open  $A$   
 and  $\infty \in A$   
 obtains  $x$  where  $\{ereal x<..\} \subseteq A$   
 ⟨proof⟩

**lemma** *open-MInfty2*:  
 assumes open  $A$   
 and  $-\infty \in A$   
 obtains  $x$  where  $\{..<ereal x\} \subseteq A$   
 ⟨proof⟩

**lemma** *ereal-openE*:  
 assumes open  $A$   
 obtains  $x y$  where open  $(ereal - 'A)$   
 and  $\infty \in A \implies \{ereal x<..\} \subseteq A$   
 and  $-\infty \in A \implies \{..<ereal y\} \subseteq A$   
 ⟨proof⟩

**lemmas** *open-ereal-lessThan* = *open-lessThan*[where 'a=ereal]  
**lemmas** *open-ereal-greaterThan* = *open-greaterThan*[where 'a=ereal]  
**lemmas** *ereal-open-greaterThanLessThan* = *open-greaterThanLessThan*[where 'a=ereal]  
**lemmas** *closed-ereal-atLeast* = *closed-atLeast*[where 'a=ereal]  
**lemmas** *closed-ereal-atMost* = *closed-atMost*[where 'a=ereal]  
**lemmas** *closed-ereal-atLeastAtMost* = *closed-atLeastAtMost*[where 'a=ereal]  
**lemmas** *closed-ereal-singleton* = *closed-singleton*[where 'a=ereal]

**lemma** *ereal-open-cont-interval*:  
 fixes  $S :: ereal\ set$   
 assumes open  $S$   
 and  $x \in S$   
 and  $|x| \neq \infty$   
 obtains  $e$  where  $e > 0$  and  $\{x-e <..< x+e\} \subseteq S$   
 ⟨proof⟩

**lemma** *ereal-open-cont-interval2*:  
 fixes  $S :: ereal\ set$   
 assumes open  $S$   
 and  $x \in S$   
 and  $x: |x| \neq \infty$   
 obtains  $a b$  where  $a < x$  and  $x < b$  and  $\{a <..< b\} \subseteq S$   
 ⟨proof⟩

### 39.6.1 Convergent sequences

**lemma** *lim-real-of-ereal[simp]*:

**assumes** *lim*:  $(f \longrightarrow \text{ereal } x)$  *net*

**shows**  $((\lambda x. \text{real-of-ereal } (f x)) \longrightarrow x)$  *net*

*<proof>*

**lemma** *lim-ereal[simp]*:  $((\lambda n. \text{ereal } (f n)) \longrightarrow \text{ereal } x)$  *net*  $\longleftrightarrow$   $(f \longrightarrow x)$  *net*

*<proof>*

**lemma** *convergent-real-imp-convergent-ereal*:

**assumes** *convergent a*

**shows** *convergent*  $(\lambda n. \text{ereal } (a n))$  **and**  $\text{lim } (\lambda n. \text{ereal } (a n)) = \text{ereal } (\text{lim } a)$

*<proof>*

**lemma** *tendsto-PInfy*:  $(f \longrightarrow \infty)$  *F*  $\longleftrightarrow$   $(\forall r. \text{eventually } (\lambda x. \text{ereal } r < f x) F)$

*<proof>*

**lemma** *tendsto-PInfy'*:  $(f \longrightarrow \infty)$  *F* =  $(\forall r > c. \text{eventually } (\lambda x. \text{ereal } r < f x) F)$

*<proof>*

**lemma** *tendsto-PInfy-eq-at-top*:

$((\lambda z. \text{ereal } (f z)) \longrightarrow \infty)$  *F*  $\longleftrightarrow$   $(\text{LIM } z F. f z \text{ :> at-top})$

*<proof>*

**lemma** *tendsto-MInfy*:  $(f \longrightarrow -\infty)$  *F*  $\longleftrightarrow$   $(\forall r. \text{eventually } (\lambda x. f x < \text{ereal } r) F)$

*<proof>*

**lemma** *tendsto-MInfy'*:  $(f \longrightarrow -\infty)$  *F* =  $(\forall r < c. \text{eventually } (\lambda x. \text{ereal } r > f x) F)$

*<proof>*

**lemma** *Lim-PInfy*:  $f \longrightarrow \infty$   $\longleftrightarrow$   $(\forall B. \exists N. \forall n \geq N. f n \geq \text{ereal } B)$

*<proof>*

**lemma** *Lim-MInfy*:  $f \longrightarrow -\infty$   $\longleftrightarrow$   $(\forall B. \exists N. \forall n \geq N. \text{ereal } B \geq f n)$

*<proof>*

**lemma** *Lim-bounded-PInfy*:  $f \longrightarrow l \implies (\bigwedge n. f n \leq \text{ereal } B) \implies l \neq \infty$

*<proof>*

**lemma** *Lim-bounded-MInfy*:  $f \longrightarrow l \implies (\bigwedge n. \text{ereal } B \leq f n) \implies l \neq -\infty$

*<proof>*

**lemma** *tendsto-zero-erealI*:

**assumes**  $\bigwedge e. e > 0 \implies \text{eventually } (\lambda x. |f x| < \text{ereal } e) F$

**shows**  $(f \longrightarrow 0) F$

*<proof>*

**lemma** *Lim-bounded-PInfy2*:  $f \longrightarrow l \implies \forall n \geq N. f\ n \leq \text{ereal } B \implies l \neq \infty$   
 ⟨proof⟩

**lemma** *real-of-ereal-mult[simp]*:  
**fixes**  $a\ b :: \text{ereal}$   
**shows**  $\text{real-of-ereal } (a * b) = \text{real-of-ereal } a * \text{real-of-ereal } b$   
 ⟨proof⟩

**lemma** *real-of-ereal-eq-0*:  
**fixes**  $x :: \text{ereal}$   
**shows**  $\text{real-of-ereal } x = 0 \longleftrightarrow x = \infty \vee x = -\infty \vee x = 0$   
 ⟨proof⟩

**lemma** *tendsto-ereal-realD*:  
**fixes**  $f :: 'a \Rightarrow \text{ereal}$   
**assumes**  $x \neq 0$   
**and** *tendsto*:  $((\lambda x. \text{ereal } (\text{real-of-ereal } (f\ x))) \longrightarrow x)$  *net*  
**shows**  $(f \longrightarrow x)$  *net*  
 ⟨proof⟩

**lemma** *tendsto-ereal-realI*:  
**fixes**  $f :: 'a \Rightarrow \text{ereal}$   
**assumes**  $x: |x| \neq \infty$  **and** *tendsto*:  $(f \longrightarrow x)$  *net*  
**shows**  $((\lambda x. \text{ereal } (\text{real-of-ereal } (f\ x))) \longrightarrow x)$  *net*  
 ⟨proof⟩

**lemma** *ereal-mult-cancel-left*:  
**fixes**  $a\ b\ c :: \text{ereal}$   
**shows**  $a * b = a * c \longleftrightarrow (|a| = \infty \wedge 0 < b * c) \vee a = 0 \vee b = c$   
 ⟨proof⟩

**lemma** *tendsto-add-ereal*:  
**fixes**  $x\ y :: \text{ereal}$   
**assumes**  $x: |x| \neq \infty$  **and**  $y: |y| \neq \infty$   
**assumes**  $f: (f \longrightarrow x)$   $F$  **and**  $g: (g \longrightarrow y)$   $F$   
**shows**  $((\lambda x. f\ x + g\ x) \longrightarrow x + y)$   $F$   
 ⟨proof⟩

**lemma** *tendsto-add-ereal-nonneg*:  
**fixes**  $x\ y :: \text{ereal}$   
**assumes**  $x \neq -\infty$   $y \neq -\infty$   $(f \longrightarrow x)$   $F$   $(g \longrightarrow y)$   $F$   
**shows**  $((\lambda x. f\ x + g\ x) \longrightarrow x + y)$   $F$   
 ⟨proof⟩

**lemma** *ereal-inj-affinity*:  
**fixes**  $m\ t :: \text{ereal}$   
**assumes**  $|m| \neq \infty$   
**and**  $m \neq 0$

**and**  $|t| \neq \infty$   
**shows**  $\text{inj-on } (\lambda x. m * x + t) A$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{ereal-PInfty-eq-plus[simp]}$ :  
**fixes**  $a b :: \text{ereal}$   
**shows**  $\infty = a + b \longleftrightarrow a = \infty \vee b = \infty$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{ereal-MInfty-eq-plus[simp]}$ :  
**fixes**  $a b :: \text{ereal}$   
**shows**  $-\infty = a + b \longleftrightarrow (a = -\infty \wedge b \neq \infty) \vee (b = -\infty \wedge a \neq \infty)$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{ereal-less-divide-pos}$ :  
**fixes**  $x y :: \text{ereal}$   
**shows**  $x > 0 \implies x \neq \infty \implies y < z / x \longleftrightarrow x * y < z$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{ereal-divide-less-pos}$ :  
**fixes**  $x y z :: \text{ereal}$   
**shows**  $x > 0 \implies x \neq \infty \implies y / x < z \longleftrightarrow y < x * z$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{ereal-divide-eq}$ :  
**fixes**  $a b c :: \text{ereal}$   
**shows**  $b \neq 0 \implies |b| \neq \infty \implies a / b = c \longleftrightarrow a = b * c$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{ereal-inverse-not-MInfty[simp]}$ :  $\text{inverse } (a :: \text{ereal}) \neq -\infty$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{ereal-mult-m1[simp]}$ :  $x * \text{ereal } (-1) = -x$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{ereal-real'}$ :  
**assumes**  $|x| \neq \infty$   
**shows**  $\text{ereal } (\text{real-of-ereal } x) = x$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{real-ereal-id}$ :  $\text{real-of-ereal} \circ \text{ereal} = \text{id}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{open-image-ereal}$ :  $\text{open}(UNIV - \{ \infty, (-\infty :: \text{ereal}) \})$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{ereal-le-distrib}$ :  
**fixes**  $a b c :: \text{ereal}$   
**shows**  $c * (a + b) \leq c * a + c * b$

$\langle proof \rangle$

**lemma** *ereal-pos-distrib*:

**fixes**  $a\ b\ c :: \text{ereal}$

**assumes**  $0 \leq c$

**and**  $c \neq \infty$

**shows**  $c * (a + b) = c * a + c * b$

$\langle proof \rangle$

**lemma** *ereal-LimI-finite*:

**fixes**  $x :: \text{ereal}$

**assumes**  $|x| \neq \infty$

**and**  $\bigwedge r. 0 < r \implies \exists N. \forall n \geq N. u\ n < x + r \wedge x < u\ n + r$

**shows**  $u \longrightarrow x$

$\langle proof \rangle$

**lemma** *tendsto-obtains-N*:

**assumes**  $f \longrightarrow f0$

**assumes** *open*  $S$

**and**  $f0 \in S$

**obtains**  $N$  **where**  $\forall n \geq N. f\ n \in S$

$\langle proof \rangle$

**lemma** *ereal-LimI-finite-iff*:

**fixes**  $x :: \text{ereal}$

**assumes**  $|x| \neq \infty$

**shows**  $u \longrightarrow x \iff (\forall r. 0 < r \longrightarrow (\exists N. \forall n \geq N. u\ n < x + r \wedge x < u\ n + r))$

**(is**  $?lhs \iff ?rhs$ )

$\langle proof \rangle$

**lemma** *ereal-Limsup-uminus*:

**fixes**  $f :: 'a \Rightarrow \text{ereal}$

**shows**  $Limsup\ net\ (\lambda x. - (f\ x)) = -\ Liminf\ net\ f$

$\langle proof \rangle$

**lemma** *liminf-bounded-iff*:

**fixes**  $x :: \text{nat} \Rightarrow \text{ereal}$

**shows**  $C \leq liminf\ x \iff (\forall B < C. \exists N. \forall n \geq N. B < x\ n)$

**(is**  $?lhs \iff ?rhs$ )

$\langle proof \rangle$

**lemma** *Liminf-add-le*:

**fixes**  $f\ g :: - \Rightarrow \text{ereal}$

**assumes**  $F: F \neq \text{bot}$

**assumes** *ev*: *eventually*  $(\lambda x. 0 \leq f\ x)$  *F* *eventually*  $(\lambda x. 0 \leq g\ x)$  *F*

**shows**  $Liminf\ F\ f + Liminf\ F\ g \leq Liminf\ F\ (\lambda x. f\ x + g\ x)$

$\langle proof \rangle$

**lemma** *Sup-ereal-mult-right'*:

**assumes** *nonempty*:  $Y \neq \{\}$

**and**  $x: x \geq 0$

**shows**  $(\text{SUP } i \in Y. f i) * \text{ereal } x = (\text{SUP } i \in Y. f i * \text{ereal } x)$  (**is** *?lhs = ?rhs*)

*<proof>*

**lemma** *Sup-ereal-mult-left'*:

$\llbracket Y \neq \{\}; x \geq 0 \rrbracket \implies \text{ereal } x * (\text{SUP } i \in Y. f i) = (\text{SUP } i \in Y. \text{ereal } x * f i)$

*<proof>*

**lemma** *sup-continuous-add[order-continuous-intros]*:

**fixes**  $f g :: 'a::\text{complete-lattice} \Rightarrow \text{ereal}$

**assumes**  $nn: \bigwedge x. 0 \leq f x \wedge x. 0 \leq g x$  **and** *cont*: *sup-continuous f sup-continuous*

*g*

**shows** *sup-continuous*  $(\lambda x. f x + g x)$

*<proof>*

**lemma** *sup-continuous-mult-right[order-continuous-intros]*:

$0 \leq c \implies c < \infty \implies \text{sup-continuous } f \implies \text{sup-continuous } (\lambda x. f x * c :: \text{ereal})$

*<proof>*

**lemma** *sup-continuous-mult-left[order-continuous-intros]*:

$0 \leq c \implies c < \infty \implies \text{sup-continuous } f \implies \text{sup-continuous } (\lambda x. c * f x :: \text{ereal})$

*<proof>*

**lemma** *sup-continuous-ereal-of-enat[order-continuous-intros]*:

**assumes**  $f: \text{sup-continuous } f$  **shows** *sup-continuous*  $(\lambda x. \text{ereal-of-enat } (f x))$

*<proof>*

### 39.6.2 Sums

**lemma** *sums-ereal-positive*:

**fixes**  $f :: \text{nat} \Rightarrow \text{ereal}$

**assumes**  $\bigwedge i. 0 \leq f i$

**shows**  $f \text{ sums } (\text{SUP } n. \sum i < n. f i)$

*<proof>*

**lemma** *summable-ereal-pos*:

**fixes**  $f :: \text{nat} \Rightarrow \text{ereal}$

**assumes**  $\bigwedge i. 0 \leq f i$

**shows** *summable f*

*<proof>*

**lemma** *sums-ereal*:  $(\lambda x. \text{ereal } (f x)) \text{ sums } \text{ereal } x \longleftrightarrow f \text{ sums } x$

*<proof>*

**lemma** *suminf-ereal-eq-SUP*:

**fixes**  $f :: \text{nat} \Rightarrow \text{ereal}$

**assumes**  $\bigwedge i. 0 \leq f i$



**shows**  $(\sum x. f x) = (SUP n. \sum i < n. f i)$   
 ⟨proof⟩

**lemma** *suminf-bound*:  
**fixes**  $f :: nat \Rightarrow ereal$   
**assumes**  $\forall N. (\sum n < N. f n) \leq x$   
**and**  $pos: \bigwedge n. 0 \leq f n$   
**shows**  $suminf f \leq x$   
 ⟨proof⟩

**lemma** *suminf-bound-add*:  
**fixes**  $f :: nat \Rightarrow ereal$   
**assumes**  $\forall N. (\sum n < N. f n) + y \leq x$   
**and**  $pos: \bigwedge n. 0 \leq f n$   
**and**  $y \neq -\infty$   
**shows**  $suminf f + y \leq x$   
 ⟨proof⟩

**lemma** *suminf-upper*:  
**fixes**  $f :: nat \Rightarrow ereal$   
**assumes**  $\bigwedge n. 0 \leq f n$   
**shows**  $(\sum n < N. f n) \leq (\sum n. f n)$   
 ⟨proof⟩

**lemma** *suminf-0-le*:  
**fixes**  $f :: nat \Rightarrow ereal$   
**assumes**  $\bigwedge n. 0 \leq f n$   
**shows**  $0 \leq (\sum n. f n)$   
 ⟨proof⟩

**lemma** *suminf-le-pos*:  
**fixes**  $f g :: nat \Rightarrow ereal$   
**assumes**  $\bigwedge N. f N \leq g N$   
**and**  $\bigwedge N. 0 \leq f N$   
**shows**  $suminf f \leq suminf g$   
 ⟨proof⟩

**lemma** *suminf-half-series-ereal*:  $(\sum n. (1/2 :: ereal) \wedge Suc n) = 1$   
 ⟨proof⟩

**lemma** *suminf-add-ereal*:  
**fixes**  $f g :: nat \Rightarrow ereal$   
**assumes**  $\bigwedge i. 0 \leq f i \wedge i. 0 \leq g i$   
**shows**  $(\sum i. f i + g i) = suminf f + suminf g$   
 ⟨proof⟩

**lemma** *suminf-cmult-ereal*:  
**fixes**  $f g :: nat \Rightarrow ereal$   
**assumes**  $\bigwedge i. 0 \leq f i$

**and**  $0 \leq a$   
**shows**  $(\sum i. a * f i) = a * \text{suminf } f$   
 ⟨proof⟩

**lemma** *suminf-PInfty*:

**fixes**  $f :: \text{nat} \Rightarrow \text{ereal}$   
**assumes**  $\bigwedge i. 0 \leq f i$   
**and**  $\text{suminf } f \neq \infty$   
**shows**  $f i \neq \infty$   
 ⟨proof⟩

**lemma** *suminf-PInfty-fun*:

**assumes**  $\bigwedge i. 0 \leq f i$   
**and**  $\text{suminf } f \neq \infty$   
**shows**  $\exists f'. f = (\lambda x. \text{ereal } (f' x))$   
 ⟨proof⟩

**lemma** *summable-ereal*:

**assumes**  $\bigwedge i. 0 \leq f i$   
**and**  $(\sum i. \text{ereal } (f i)) \neq \infty$   
**shows** *summable*  $f$   
 ⟨proof⟩

**lemma** *suminf-ereal*:

**assumes**  $\bigwedge i. 0 \leq f i$   
**and**  $(\sum i. \text{ereal } (f i)) \neq \infty$   
**shows**  $(\sum i. \text{ereal } (f i)) = \text{ereal } (\text{suminf } f)$   
 ⟨proof⟩

**lemma** *suminf-ereal-minus*:

**fixes**  $f g :: \text{nat} \Rightarrow \text{ereal}$   
**assumes** *ord*:  $\bigwedge i. g i \leq f i \wedge i. 0 \leq g i$   
**and** *fin*:  $\text{suminf } f \neq \infty \wedge \text{suminf } g \neq \infty$   
**shows**  $(\sum i. f i - g i) = \text{suminf } f - \text{suminf } g$   
 ⟨proof⟩

**lemma** *suminf-ereal-PInf [simp]*:  $(\sum x. \infty :: \text{ereal}) = \infty$

⟨proof⟩

**lemma** *summable-real-of-ereal*:

**fixes**  $f :: \text{nat} \Rightarrow \text{ereal}$   
**assumes** *f*:  $\bigwedge i. 0 \leq f i$   
**and** *fin*:  $(\sum i. f i) \neq \infty$   
**shows** *summable*  $(\lambda i. \text{real-of-ereal } (f i))$   
 ⟨proof⟩

**lemma** *suminf-SUP-eq*:

**fixes**  $f :: \text{nat} \Rightarrow \text{nat} \Rightarrow \text{ereal}$   
**assumes**  $\bigwedge i. \text{incseq } (\lambda n. f n i)$

**and**  $\bigwedge n i. 0 \leq f n i$   
**shows**  $(\sum i. SUP n. f n i) = (SUP n. \sum i. f n i)$   
 ⟨proof⟩

**lemma** *suminf-sum-ereal*:  
**fixes**  $f :: - \Rightarrow - \Rightarrow \text{ereal}$   
**assumes** *nonneg*:  $\bigwedge i a. a \in A \implies 0 \leq f i a$   
**shows**  $(\sum i. \sum a \in A. f i a) = (\sum a \in A. \sum i. f i a)$   
 ⟨proof⟩

**lemma** *suminf-ereal-eq-0*:  
**fixes**  $f :: \text{nat} \Rightarrow \text{ereal}$   
**assumes** *nneg*:  $\bigwedge i. 0 \leq f i$   
**shows**  $(\sum i. f i) = 0 \iff (\forall i. f i = 0)$   
 ⟨proof⟩

**lemma** *suminf-ereal-offset-le*:  
**fixes**  $f :: \text{nat} \Rightarrow \text{ereal}$   
**assumes**  $f: \bigwedge i. 0 \leq f i$   
**shows**  $(\sum i. f (i + k)) \leq \text{suminf } f$   
 ⟨proof⟩

**lemma** *sums-suminf-ereal*:  $f \text{ sums } x \implies (\sum i. \text{ereal } (f i)) = \text{ereal } x$   
 ⟨proof⟩

**lemma** *suminf-ereal'*:  $\text{summable } f \implies (\sum i. \text{ereal } (f i)) = \text{ereal } (\sum i. f i)$   
 ⟨proof⟩

**lemma** *suminf-ereal-finite*:  $\text{summable } f \implies (\sum i. \text{ereal } (f i)) \neq \infty$   
 ⟨proof⟩

**lemma** *suminf-ereal-finite-neg*:  
**assumes** *summable*  $f$   
**shows**  $(\sum x. \text{ereal } (f x)) \neq -\infty$   
 ⟨proof⟩

**lemma** *SUP-ereal-add-directed*:  
**fixes**  $f g :: 'a \Rightarrow \text{ereal}$   
**assumes** *nonneg*:  $\bigwedge i. i \in I \implies 0 \leq f i \wedge i \in I \implies 0 \leq g i$   
**assumes** *directed*:  $\bigwedge i j. i \in I \implies j \in I \implies \exists k \in I. f i + g j \leq f k + g k$   
**shows**  $(SUP i \in I. f i + g i) = (SUP i \in I. f i) + (SUP i \in I. g i)$   
 ⟨proof⟩

**lemma** *SUP-ereal-sum-directed*:  
**fixes**  $f g :: 'a \Rightarrow 'b \Rightarrow \text{ereal}$   
**assumes**  $I \neq \{\}$   
**assumes** *directed*:  $\bigwedge N i j. N \subseteq A \implies i \in I \implies j \in I \implies \exists k \in I. \forall n \in N. f n i \leq f n k \wedge f n j \leq f n k$   
**assumes** *nonneg*:  $\bigwedge n i. i \in I \implies n \in A \implies 0 \leq f n i$

**shows**  $(\text{SUP } i \in I. \sum n \in A. f \ n \ i) = (\sum n \in A. \text{SUP } i \in I. f \ n \ i)$   
 ⟨proof⟩

**lemma** *suminf-SUP-eq-directed*:

**fixes**  $f :: - \Rightarrow \text{nat} \Rightarrow \text{ereal}$

**assumes**  $I \neq \{\}$

**assumes** *directed*:  $\bigwedge N \ i \ j. i \in I \Longrightarrow j \in I \Longrightarrow \text{finite } N \Longrightarrow \exists k \in I. \forall n \in N. f \ i \ n \leq f \ k \ n \wedge f \ j \ n \leq f \ k \ n$

**assumes** *nonneg*:  $\bigwedge n \ i. 0 \leq f \ n \ i$

**shows**  $(\sum i. \text{SUP } n \in I. f \ n \ i) = (\text{SUP } n \in I. \sum i. f \ n \ i)$   
 ⟨proof⟩

**lemma** *ereal-dense3*:

**fixes**  $x \ y :: \text{ereal}$

**shows**  $x < y \Longrightarrow \exists r :: \text{rat}. x < \text{real-of-rat } r \wedge \text{real-of-rat } r < y$   
 ⟨proof⟩

**lemma** *continuous-within-ereal*[*intro, simp*]:  $x \in A \Longrightarrow \text{continuous (at } x \text{ within } A)$   
*ereal*

⟨proof⟩

**lemma** *ereal-open-uminus*:

**fixes**  $S :: \text{ereal set}$

**assumes** *open*  $S$

**shows** *open*  $(\text{uminus } ' S)$

⟨proof⟩

**lemma** *ereal-uminus-complement*:

**fixes**  $S :: \text{ereal set}$

**shows**  $\text{uminus } ' (- S) = - \text{uminus } ' S$

⟨proof⟩

**lemma** *ereal-closed-uminus*:

**fixes**  $S :: \text{ereal set}$

**assumes** *closed*  $S$

**shows** *closed*  $(\text{uminus } ' S)$

⟨proof⟩

**lemma** *ereal-open-affinity-pos*:

**fixes**  $S :: \text{ereal set}$

**assumes** *open*  $S$

**and**  $m: m \neq \infty \ 0 < m$

**and**  $t: |t| \neq \infty$

**shows** *open*  $((\lambda x. m * x + t) ' S)$

⟨proof⟩

**lemma** *ereal-open-affinity*:

**fixes**  $S :: \text{ereal set}$

**assumes** *open*  $S$

**and**  $m: |m| \neq \infty \ m \neq 0$   
**and**  $t: |t| \neq \infty$   
**shows**  $\text{open } ((\lambda x. m * x + t) ' S)$   
 ⟨*proof*⟩

**lemma** *open-uminus-iff*:  
**fixes**  $S :: \text{ereal set}$   
**shows**  $\text{open } (\text{uminus } ' S) \longleftrightarrow \text{open } S$   
 ⟨*proof*⟩

**lemma** *ereal-Liminf-uminus*:  
**fixes**  $f :: 'a \Rightarrow \text{ereal}$   
**shows**  $\text{Liminf net } (\lambda x. - (f x)) = - \text{Limsup net } f$   
 ⟨*proof*⟩

**lemma** *Liminf-PInfy*:  
**fixes**  $f :: 'a \Rightarrow \text{ereal}$   
**assumes**  $\neg \text{trivial-limit net}$   
**shows**  $(f \longrightarrow \infty) \text{ net} \longleftrightarrow \text{Liminf net } f = \infty$   
 ⟨*proof*⟩

**lemma** *Limsup-MInfy*:  
**fixes**  $f :: 'a \Rightarrow \text{ereal}$   
**assumes**  $\neg \text{trivial-limit net}$   
**shows**  $(f \longrightarrow -\infty) \text{ net} \longleftrightarrow \text{Limsup net } f = -\infty$   
 ⟨*proof*⟩

**lemma** *convergent-ereal*: — RENAME  
**fixes**  $X :: \text{nat} \Rightarrow 'a :: \{\text{complete-linorder}, \text{linorder-topology}\}$   
**shows**  $\text{convergent } X \longleftrightarrow \text{lmsup } X = \text{liminf } X$   
 ⟨*proof*⟩

**lemma** *lmsup-le-liminf-real*:  
**fixes**  $X :: \text{nat} \Rightarrow \text{real}$  **and**  $L :: \text{real}$   
**assumes**  $1: \text{lmsup } X \leq L$  **and**  $2: L \leq \text{liminf } X$   
**shows**  $X \longrightarrow L$   
 ⟨*proof*⟩

**lemma** *liminf-PInfy*:  
**fixes**  $X :: \text{nat} \Rightarrow \text{ereal}$   
**shows**  $X \longrightarrow \infty \longleftrightarrow \text{liminf } X = \infty$   
 ⟨*proof*⟩

**lemma** *lmsup-MInfy*:  
**fixes**  $X :: \text{nat} \Rightarrow \text{ereal}$   
**shows**  $X \longrightarrow -\infty \longleftrightarrow \text{lmsup } X = -\infty$   
 ⟨*proof*⟩

**lemma** *SUP-eq-LIMSEQ*:

**assumes** *mono f*  
**shows**  $(\text{SUP } n. \text{ereal } (f \ n)) = \text{ereal } x \iff f \longrightarrow x$   
 ⟨*proof*⟩

**lemma** *liminf-ereal-cminus*:  
**fixes**  $f :: \text{nat} \Rightarrow \text{ereal}$   
**assumes**  $c \neq -\infty$   
**shows**  $\text{liminf } (\lambda x. c - f \ x) = c - \text{limsup } f$   
 ⟨*proof*⟩

### 39.6.3 Continuity

**lemma** *continuous-at-of-ereal*:  
 $|x0 :: \text{ereal}| \neq \infty \implies \text{continuous } (\text{at } x0) \text{ real-of-ereal}$   
 ⟨*proof*⟩

**lemma** *nhds-ereal*:  $\text{nhds } (\text{ereal } r) = \text{filtermap } \text{ereal } (\text{nhds } r)$   
 ⟨*proof*⟩

**lemma** *at-ereal*:  $\text{at } (\text{ereal } r) = \text{filtermap } \text{ereal } (\text{at } r)$   
 ⟨*proof*⟩

**lemma** *at-left-ereal*:  $\text{at-left } (\text{ereal } r) = \text{filtermap } \text{ereal } (\text{at-left } r)$   
 ⟨*proof*⟩

**lemma** *at-right-ereal*:  $\text{at-right } (\text{ereal } r) = \text{filtermap } \text{ereal } (\text{at-right } r)$   
 ⟨*proof*⟩

**lemma**  
**shows** *at-left-PInf*:  $\text{at-left } \infty = \text{filtermap } \text{ereal } \text{at-top}$   
**and** *at-right-MInf*:  $\text{at-right } (-\infty) = \text{filtermap } \text{ereal } \text{at-bot}$   
 ⟨*proof*⟩

**lemma** *ereal-tendsto-simps1*:  
 $((f \circ \text{real-of-ereal}) \longrightarrow y) (\text{at-left } (\text{ereal } x)) \iff (f \longrightarrow y) (\text{at-left } x)$   
 $((f \circ \text{real-of-ereal}) \longrightarrow y) (\text{at-right } (\text{ereal } x)) \iff (f \longrightarrow y) (\text{at-right } x)$   
 $((f \circ \text{real-of-ereal}) \longrightarrow y) (\text{at-left } (\infty :: \text{ereal})) \iff (f \longrightarrow y) \text{at-top}$   
 $((f \circ \text{real-of-ereal}) \longrightarrow y) (\text{at-right } (-\infty :: \text{ereal})) \iff (f \longrightarrow y) \text{at-bot}$   
 ⟨*proof*⟩

**lemma** *ereal-tendsto-simps2*:  
 $((\text{ereal} \circ f) \longrightarrow \text{ereal } a) F \iff (f \longrightarrow a) F$   
 $((\text{ereal} \circ f) \longrightarrow \infty) F \iff (\text{LIM } x F. f \ x \text{ :> } \text{at-top})$   
 $((\text{ereal} \circ f) \longrightarrow -\infty) F \iff (\text{LIM } x F. f \ x \text{ :> } \text{at-bot})$   
 ⟨*proof*⟩

**lemma** *inverse-infty-ereal-tendsto-0*:  $\text{inverse } -\infty \rightarrow (0 :: \text{ereal})$   
 ⟨*proof*⟩

**lemma** *inverse-ereal-tendsto-pos*:

**fixes**  $x :: \text{ereal}$  **assumes**  $0 < x$

**shows**  $\text{inverse } -x \rightarrow \text{inverse } x$

*<proof>*

**lemma** *inverse-ereal-tendsto-at-right-0*:  $(\text{inverse } \longrightarrow \infty)$   $(\text{at-right } (0 :: \text{ereal}))$

*<proof>*

**lemmas** *ereal-tendsto-simps* = *ereal-tendsto-simps1* *ereal-tendsto-simps2*

**lemma** *continuous-at-iff-ereal*:

**fixes**  $f :: 'a :: t2\text{-space} \Rightarrow \text{real}$

**shows**  $\text{continuous } (\text{at } x0 \text{ within } s) f \longleftrightarrow \text{continuous } (\text{at } x0 \text{ within } s) (\text{ereal } \circ f)$

*<proof>*

**lemma** *continuous-on-iff-ereal*:

**fixes**  $f :: 'a :: t2\text{-space} \Rightarrow \text{real}$

**assumes** *open*  $A$

**shows**  $\text{continuous-on } A f \longleftrightarrow \text{continuous-on } A (\text{ereal } \circ f)$

*<proof>*

**lemma** *continuous-on-real*:  $\text{continuous-on } (\text{UNIV} - \{\infty, -\infty :: \text{ereal}\}) \text{ real-of-ereal}$

*<proof>*

**lemma** *continuous-on-iff-real*:

**fixes**  $f :: 'a :: t2\text{-space} \Rightarrow \text{ereal}$

**assumes**  $\bigwedge x. x \in A \Rightarrow |f x| \neq \infty$

**shows**  $\text{continuous-on } A f \longleftrightarrow \text{continuous-on } A (\text{real-of-ereal } \circ f)$

*<proof>*

**lemma** *continuous-uminus-ereal* [*continuous-intros*]:  $\text{continuous-on } (A :: \text{ereal set})$

*uminus*

*<proof>*

**lemma** *ereal-uminus-atMost* [*simp*]:  $\text{uminus } \{..(a :: \text{ereal})\} = \{-a..\}$

*<proof>*

**lemma** *continuous-on-inverse-ereal* [*continuous-intros*]:

$\text{continuous-on } \{0 :: \text{ereal } ..\} \text{ inverse}$

*<proof>*

**lemma** *continuous-inverse-ereal-nonpos*:  $\text{continuous-on } (\{..<0\} :: \text{ereal set}) \text{ inverse}$

*<proof>*

**lemma** *tendsto-inverse-ereal*:

**assumes**  $(f \longrightarrow (c :: \text{ereal})) F$

**assumes** *eventually*  $(\lambda x. f x \geq 0) F$

**shows**  $((\lambda x. \text{inverse } (f x)) \longrightarrow \text{inverse } c) F$

$\langle proof \rangle$

### 39.6.4 liminf and limsup

**lemma** *Limsup-ereal-mult-right:*

**assumes**  $F \neq \text{bot } (c::\text{real}) \geq 0$

**shows**  $Limsup F (\lambda n. f n * \text{ereal } c) = Limsup F f * \text{ereal } c$   
 $\langle proof \rangle$

**lemma** *Liminf-ereal-mult-right:*

**assumes**  $F \neq \text{bot } (c::\text{real}) \geq 0$

**shows**  $Liminf F (\lambda n. f n * \text{ereal } c) = Liminf F f * \text{ereal } c$   
 $\langle proof \rangle$

**lemma** *Liminf-ereal-mult-left:*

**assumes**  $F \neq \text{bot } (c::\text{real}) \geq 0$

**shows**  $Liminf F (\lambda n. \text{ereal } c * f n) = \text{ereal } c * Liminf F f$   
 $\langle proof \rangle$

**lemma** *Limsup-ereal-mult-left:*

**assumes**  $F \neq \text{bot } (c::\text{real}) \geq 0$

**shows**  $Limsup F (\lambda n. \text{ereal } c * f n) = \text{ereal } c * Limsup F f$   
 $\langle proof \rangle$

**lemma** *limsup-ereal-mult-right:*

$(c::\text{real}) \geq 0 \implies \text{limsup } (\lambda n. f n * \text{ereal } c) = \text{limsup } f * \text{ereal } c$

$\langle proof \rangle$

**lemma** *limsup-ereal-mult-left:*

$(c::\text{real}) \geq 0 \implies \text{limsup } (\lambda n. \text{ereal } c * f n) = \text{ereal } c * \text{limsup } f$

$\langle proof \rangle$

**lemma** *Limsup-add-ereal-right:*

$F \neq \text{bot} \implies \text{abs } c \neq \infty \implies Limsup F (\lambda n. g n + (c :: \text{ereal})) = Limsup F g + c$

$\langle proof \rangle$

**lemma** *Limsup-add-ereal-left:*

$F \neq \text{bot} \implies \text{abs } c \neq \infty \implies Limsup F (\lambda n. (c :: \text{ereal}) + g n) = c + Limsup F g$

$\langle proof \rangle$

**lemma** *Liminf-add-ereal-right:*

$F \neq \text{bot} \implies \text{abs } c \neq \infty \implies Liminf F (\lambda n. g n + (c :: \text{ereal})) = Liminf F g + c$

$\langle proof \rangle$

**lemma** *Liminf-add-ereal-left:*

$F \neq \text{bot} \implies \text{abs } c \neq \infty \implies Liminf F (\lambda n. (c :: \text{ereal}) + g n) = c + Liminf F g$

$\langle proof \rangle$



**lemma**

**assumes**  $F \neq \text{bot}$

**assumes** *nonneg: eventually*  $(\lambda x. f x \geq (0 :: \text{ereal})) F$

**shows** *Liminf-inverse-ereal*:  $\text{Liminf } F (\lambda x. \text{inverse } (f x)) = \text{inverse } (\text{Limsup } F f)$

**and** *Limsup-inverse-ereal*:  $\text{Limsup } F (\lambda x. \text{inverse } (f x)) = \text{inverse } (\text{Liminf } F f)$

*<proof>*

**lemma** *ereal-diff-le-mono-left*:  $\llbracket x \leq z; 0 \leq y \rrbracket \Longrightarrow x - y \leq (z :: \text{ereal})$

*<proof>*

**lemma** *neg-0-less-iff-less-erea [simp]*:  $0 < - a \longleftrightarrow (a :: \text{ereal}) < 0$

*<proof>*

**lemma** *not-inf-ereal*:  $|x| \neq \infty \longleftrightarrow (\exists x'. x = \text{ereal } x')$

*<proof>*

**lemma** *neg-PIInf-trans*: **fixes**  $x y :: \text{ereal}$  **shows**  $\llbracket y \neq \infty; x \leq y \rrbracket \Longrightarrow x \neq \infty$

*<proof>*

**lemma** *mult-2-ereal*:  $\text{ereal } 2 * x = x + x$

*<proof>*

**lemma** *ereal-diff-le-self*:  $0 \leq y \Longrightarrow x - y \leq (x :: \text{ereal})$

*<proof>*

**lemma** *ereal-le-add-self*:  $0 \leq y \Longrightarrow x \leq x + (y :: \text{ereal})$

*<proof>*

**lemma** *ereal-le-add-self2*:  $0 \leq y \Longrightarrow x \leq y + (x :: \text{ereal})$

*<proof>*

**lemma** *ereal-le-add-mono1*:  $\llbracket x \leq y; 0 \leq (z :: \text{ereal}) \rrbracket \Longrightarrow x \leq y + z$

*<proof>*

**lemma** *ereal-le-add-mono2*:  $\llbracket x \leq z; 0 \leq (y :: \text{ereal}) \rrbracket \Longrightarrow x \leq y + z$

*<proof>*

**lemma** *ereal-diff-nonpos*:

**fixes**  $a b :: \text{ereal}$  **shows**  $\llbracket a \leq b; a = \infty \Longrightarrow b \neq \infty; a = -\infty \Longrightarrow b \neq -\infty \rrbracket \Longrightarrow a - b \leq 0$

*<proof>*

**lemma** *minus-ereal-0 [simp]*:  $x - \text{ereal } 0 = x$

*<proof>*

**lemma** *ereal-diff-eq-0-iff*: **fixes**  $a b :: \text{ereal}$

**shows**  $(|a| = \infty \implies |b| \neq \infty) \implies a - b = 0 \iff a = b$   
 ⟨proof⟩

**lemma** *SUP-ereal-eq-0-iff-nonneg*:

**fixes**  $f :: - \Rightarrow \text{ereal}$  **and**  $A$

**assumes** *nonneg*:  $\forall x \in A. f x \geq 0$

**and**  $A: A \neq \{\}$

**shows**  $(\text{SUP } x \in A. f x) = 0 \iff (\forall x \in A. f x = 0)$  (**is** *?lhs*  $\iff$  *?rhs*)

⟨proof⟩

**lemma** *ereal-divide-le-posI*:

**fixes**  $x y z :: \text{ereal}$

**shows**  $x > 0 \implies z \neq -\infty \implies z \leq x * y \implies z / x \leq y$

⟨proof⟩

**lemma** *add-diff-eq-ereal*: **fixes**  $x y z :: \text{ereal}$

**shows**  $x + (y - z) = x + y - z$

⟨proof⟩

**lemma** *ereal-diff-gr0*:

**fixes**  $a b :: \text{ereal}$  **shows**  $a < b \implies 0 < b - a$

⟨proof⟩

**lemma** *ereal-minus-minus*: **fixes**  $x y z :: \text{ereal}$  **shows**

$(|y| = \infty \implies |z| \neq \infty) \implies x - (y - z) = x + z - y$

⟨proof⟩

**lemma** *diff-add-eq-ereal*: **fixes**  $a b c :: \text{ereal}$  **shows**  $a - b + c = a + c - b$

⟨proof⟩

**lemma** *diff-diff-commute-ereal*: **fixes**  $x y z :: \text{ereal}$  **shows**  $x - y - z = x - z - y$

⟨proof⟩

**lemma** *ereal-diff-eq-MInfty-iff*: **fixes**  $x y :: \text{ereal}$  **shows**  $x - y = -\infty \iff x =$

$-\infty \wedge y \neq -\infty \vee y = \infty \wedge |x| \neq \infty$

⟨proof⟩

**lemma** *ereal-diff-add-inverse*: **fixes**  $x y :: \text{ereal}$  **shows**  $|x| \neq \infty \implies x + y - x =$

$y$

⟨proof⟩

**lemma** *tendsto-diff-ereal*:

**fixes**  $x y :: \text{ereal}$

**assumes**  $x: |x| \neq \infty$  **and**  $y: |y| \neq \infty$

**assumes**  $f: (f \longrightarrow x) F$  **and**  $g: (g \longrightarrow y) F$

**shows**  $((\lambda x. f x - g x) \longrightarrow x - y) F$

⟨proof⟩

**lemma** *continuous-on-diff-ereal*:

*continuous-on A f*  $\implies$  *continuous-on A g*  $\implies$   $(\bigwedge x. x \in A \implies |f x| \neq \infty) \implies$   
 $(\bigwedge x. x \in A \implies |g x| \neq \infty) \implies$  *continuous-on A*  $(\lambda z. f z - g z :: ereal)$   
 ⟨proof⟩

### 39.6.5 Tests for code generator

A small list of simple arithmetic expressions.

**value**  $-\infty :: ereal$   
**value**  $|\!-\!\infty| :: ereal$   
**value**  $4 + 5 / 4 - ereal 2 :: ereal$   
**value**  $ereal 3 < \infty$   
**value** *real-of-ereal*  $(\infty :: ereal) = 0$

**end**

## 40 Indicator Function

**theory** *Indicator-Function*  
**imports** *Complex-Main Disjoint-Sets*  
**begin**

**definition** *indicator S x = of-bool*  $(x \in S)$

Type constrained version

**abbreviation** *indicat-real*  $:: 'a \text{ set} \Rightarrow 'a \Rightarrow real$  **where** *indicat-real S*  $\equiv$  *indicator S*

**lemma** *indicator-simps[simp]*:  
 $x \in S \implies indicator\ S\ x = 1$   
 $x \notin S \implies indicator\ S\ x = 0$   
 ⟨proof⟩

**lemma** *indicator-pos-le[intro, simp]*:  $(0 :: 'a :: linordered-semidom) \leq indicator\ S\ x$   
**and** *indicator-le-1[intro, simp]*:  $indicator\ S\ x \leq (1 :: 'a :: linordered-semidom)$   
 ⟨proof⟩

**lemma** *indicator-abs-le-1*:  $|indicator\ S\ x| \leq (1 :: 'a :: linordered-idom)$   
 ⟨proof⟩

**lemma** *indicator-eq-0-iff*:  $indicator\ A\ x = (0 :: 'a :: zero-neq-one) \longleftrightarrow x \notin A$   
 ⟨proof⟩

**lemma** *indicator-eq-1-iff*:  $indicator\ A\ x = (1 :: 'a :: zero-neq-one) \longleftrightarrow x \in A$   
 ⟨proof⟩

**lemma** *indicator-UNIV [simp]*:  $indicator\ UNIV = (\lambda x. 1)$   
 ⟨proof⟩

**lemma** *indicator-leI*:

$(x \in A \implies y \in B) \implies (\text{indicator } A \ x :: 'a::\text{linordered-nonzero-semiring}) \leq$   
 $\text{indicator } B \ y$   
 ⟨proof⟩

**lemma** *split-indicator*:  $P (\text{indicator } S \ x) \longleftrightarrow ((x \in S \longrightarrow P \ 1) \wedge (x \notin S \longrightarrow P \ 0))$

⟨proof⟩

**lemma** *split-indicator-asm*:  $P (\text{indicator } S \ x) \longleftrightarrow (\neg (x \in S \wedge \neg P \ 1 \vee x \notin S \wedge \neg P \ 0))$

⟨proof⟩

**lemma** *indicator-inter-arith*:  $\text{indicator } (A \cap B) \ x = \text{indicator } A \ x * (\text{indicator } B \ x :: 'a::\text{semiring-1})$

⟨proof⟩

**lemma** *indicator-union-arith*:

$\text{indicator } (A \cup B) \ x = \text{indicator } A \ x + \text{indicator } B \ x - \text{indicator } A \ x * (\text{indicator } B \ x :: 'a::\text{ring-1})$

⟨proof⟩

**lemma** *indicator-inter-min*:  $\text{indicator } (A \cap B) \ x = \min (\text{indicator } A \ x) (\text{indicator } B \ x :: 'a::\text{linordered-semidom})$

**and** *indicator-union-max*:  $\text{indicator } (A \cup B) \ x = \max (\text{indicator } A \ x) (\text{indicator } B \ x :: 'a::\text{linordered-semidom})$

⟨proof⟩

**lemma** *indicator-disj-union*:

$A \cap B = \{\} \implies \text{indicator } (A \cup B) \ x = (\text{indicator } A \ x + \text{indicator } B \ x :: 'a::\text{linordered-semidom})$

⟨proof⟩

**lemma** *indicator-compl*:  $\text{indicator } (\neg A) \ x = 1 - (\text{indicator } A \ x :: 'a::\text{ring-1})$

**and** *indicator-diff*:  $\text{indicator } (A - B) \ x = \text{indicator } A \ x * (1 - \text{indicator } B \ x :: 'a::\text{ring-1})$

⟨proof⟩

**lemma** *indicator-times*:

$\text{indicator } (A \times B) \ x = \text{indicator } A \ (\text{fst } x) * (\text{indicator } B \ (\text{snd } x) :: 'a::\text{semiring-1})$

⟨proof⟩

**lemma** *indicator-sum*:

$\text{indicator } (A \lt;+\gt B) \ x = (\text{case } x \text{ of } \text{Inl } x \Rightarrow \text{indicator } A \ x \mid \text{Inr } x \Rightarrow \text{indicator } B \ x)$

⟨proof⟩

**lemma** *indicator-image*:  $\text{inj } f \implies \text{indicator } (f \text{ ' } X) \ (f \ x) = (\text{indicator } X \ x :: \text{zero-neq-one})$

⟨proof⟩

**lemma** *indicator-vimage*:  $\text{indicator } (f \text{ -' } A) x = \text{indicator } A (f x)$   
 ⟨proof⟩

**lemma** *mult-indicator-cong*:  
**fixes**  $f g :: - \Rightarrow 'a :: \text{semiring-1}$   
**shows**  $(\bigwedge x. x \in A \implies f x = g x) \implies \text{indicator } A x * f x = \text{indicator } A x * g x$   
 ⟨proof⟩

**lemma**  
**fixes**  $f :: 'a \Rightarrow 'b :: \text{semiring-1}$   
**assumes** *finite A*  
**shows** *sum-mult-indicator[simp]*:  $(\sum x \in A. f x * \text{indicator } B x) = (\sum x \in A \cap B. f x)$   
**and** *sum-indicator-mult[simp]*:  $(\sum x \in A. \text{indicator } B x * f x) = (\sum x \in A \cap B. f x)$   
 ⟨proof⟩

**lemma** *sum-indicator-eq-card*:  
**assumes** *finite A*  
**shows**  $(\sum x \in A. \text{indicator } B x) = \text{card } (A \text{ Int } B)$   
 ⟨proof⟩

**lemma** *sum-indicator-scaleR[simp]*:  
*finite A*  $\implies$   
 $(\sum x \in A. \text{indicator } (B x) (g x) *_{\mathbb{R}} f x) = (\sum x \in \{x \in A. g x \in B x\}. f x :: 'a :: \text{real-vector})$   
 ⟨proof⟩

**lemma** *LIMSEQ-indicator-incseq*:  
**assumes** *incseq A*  
**shows**  $(\lambda i. \text{indicator } (A i) x :: 'a :: \{\text{topological-space, zero-neq-one}\}) \longrightarrow \text{indicator } (\bigcup i. A i) x$   
 ⟨proof⟩

**lemma** *LIMSEQ-indicator-UN*:  
 $(\lambda k. \text{indicator } (\bigcup i < k. A i) x :: 'a :: \{\text{topological-space, zero-neq-one}\}) \longrightarrow \text{indicator } (\bigcup i. A i) x$   
 ⟨proof⟩

**lemma** *LIMSEQ-indicator-decseq*:  
**assumes** *decseq A*  
**shows**  $(\lambda i. \text{indicator } (A i) x :: 'a :: \{\text{topological-space, zero-neq-one}\}) \longrightarrow \text{indicator } (\bigcap i. A i) x$   
 ⟨proof⟩

**lemma** *LIMSEQ-indicator-INT*:  
 $(\lambda k. \text{indicator } (\bigcap i < k. A i) x :: 'a :: \{\text{topological-space, zero-neq-one}\}) \longrightarrow \text{indicator } (\bigcap i. A i) x$

*<proof>*

**lemma** *indicator-add:*

$A \cap B = \{\} \implies (\text{indicator } A \ x :: \text{monoid-add}) + \text{indicator } B \ x = \text{indicator } (A \cup B) \ x$   
*<proof>*

**lemma** *of-real-indicator:*  $\text{of-real } (\text{indicator } A \ x) = \text{indicator } A \ x$

*<proof>*

**lemma** *real-of-nat-indicator:*  $\text{real } (\text{indicator } A \ x :: \text{nat}) = \text{indicator } A \ x$

*<proof>*

**lemma** *abs-indicator:*  $|\text{indicator } A \ x :: 'a::\text{linordered-idom}| = \text{indicator } A \ x$

*<proof>*

**lemma** *mult-indicator-subset:*

$A \subseteq B \implies \text{indicator } A \ x * \text{indicator } B \ x = (\text{indicator } A \ x :: 'a::\text{comm-semiring-1})$   
*<proof>*

**lemma** *indicator-times-eq-if:*

**fixes**  $f :: 'a \Rightarrow 'b::\text{comm-ring-1}$

**shows**  $\text{indicator } S \ x * f \ x = (\text{if } x \in S \text{ then } f \ x \text{ else } 0) f \ x * \text{indicator } S \ x = (\text{if } x \in S \text{ then } f \ x \text{ else } 0)$

*<proof>*

**lemma** *indicator-scaleR-eq-if:*

**fixes**  $f :: 'a \Rightarrow 'b::\text{real-vector}$

**shows**  $\text{indicator } S \ x *_R f \ x = (\text{if } x \in S \text{ then } f \ x \text{ else } 0)$

*<proof>*

**lemma** *indicator-sums:*

**assumes**  $\bigwedge i \ j. i \neq j \implies A \ i \cap A \ j = \{\}$

**shows**  $(\lambda i. \text{indicator } (A \ i) \ x :: \text{real}) \text{ sums } \text{indicator } (\bigcup i. A \ i) \ x$

*<proof>*

The indicator function of the union of a disjoint family of sets is the sum over all the individual indicators.

**lemma** *indicator-UN-disjoint:*

$\text{finite } A \implies \text{disjoint-family-on } f \ A \implies \text{indicator } (\bigcup (f \ 'A)) \ x = (\sum y \in A. \text{indicator } (f \ y) \ x)$

*<proof>*

**end**

## 41 The type of non-negative extended real numbers

**theory** *Extended-Nonnegative-Real*

**imports** *Extended-Real Indicator-Function*

**begin**

**lemma** *ereal-ineq-diff-add*:

**assumes**  $b \neq (-\infty::ereal)$   $a \geq b$

**shows**  $a = b + (a - b)$

*<proof>*

**lemma** *Limsup-const-add*:

**fixes**  $c :: 'a::\{complete-linorder, linorder-topology, topological-monoid-add, ordered-ab-semigroup-add\}$

**shows**  $F \neq bot \implies Limsup F (\lambda x. c + f x) = c + Limsup F f$

*<proof>*

**lemma** *Liminf-const-add*:

**fixes**  $c :: 'a::\{complete-linorder, linorder-topology, topological-monoid-add, ordered-ab-semigroup-add\}$

**shows**  $F \neq bot \implies Liminf F (\lambda x. c + f x) = c + Liminf F f$

*<proof>*

**lemma** *Liminf-add-const*:

**fixes**  $c :: 'a::\{complete-linorder, linorder-topology, topological-monoid-add, ordered-ab-semigroup-add\}$

**shows**  $F \neq bot \implies Liminf F (\lambda x. f x + c) = Liminf F f + c$

*<proof>*

**lemma** *sums-offset*:

**fixes**  $f g :: nat \implies 'a :: \{t2-space, topological-comm-monoid-add\}$

**assumes**  $(\lambda n. f (n + i))$  *sums*  $l$  **shows**  $f$  *sums*  $(l + (\sum j < i. f j))$

*<proof>*

**lemma** *suminf-offset*:

**fixes**  $f g :: nat \implies 'a :: \{t2-space, topological-comm-monoid-add\}$

**shows** *summable*  $(\lambda j. f (j + i)) \implies \text{suminf } f = (\sum j. f (j + i)) + (\sum j < i. f j)$

*<proof>*

**lemma** *eventually-at-left-1*:  $(\bigwedge z::real. 0 < z \implies z < 1 \implies P z) \implies \text{eventually } P \text{ (at-left 1)}$

*<proof>*

**lemma** *mult-eq-1*:

**fixes**  $a b :: 'a :: \{ordered-semiring, comm-monoid-mult\}$

**shows**  $0 \leq a \implies a \leq 1 \implies b \leq 1 \implies a * b = 1 \iff (a = 1 \wedge b = 1)$

*<proof>*

**lemma** *ereal-add-diff-cancel*:

**fixes**  $a\ b :: \text{ereal}$

**shows**  $|b| \neq \infty \implies (a + b) - b = a$

*<proof>*

**lemma** *add-top*:

**fixes**  $x :: 'a::\{\text{order-top, ordered-comm-monoid-add}\}$

**shows**  $0 \leq x \implies x + \text{top} = \text{top}$

*<proof>*

**lemma** *top-add*:

**fixes**  $x :: 'a::\{\text{order-top, ordered-comm-monoid-add}\}$

**shows**  $0 \leq x \implies \text{top} + x = \text{top}$

*<proof>*

**lemma** *le-lfp*:  $\text{mono } f \implies x \leq \text{lfp } f \implies f\ x \leq \text{lfp } f$

*<proof>*

**lemma** *lfp-transfer*:

**assumes**  $\alpha$ : *sup-continuous*  $\alpha$  **and**  $f$ : *sup-continuous*  $f$  **and**  $mg$ : *mono*  $g$

**assumes**  $bot$ :  $\alpha\ bot \leq \text{lfp } g$  **and**  $eq$ :  $\bigwedge x. x \leq \text{lfp } f \implies \alpha\ (f\ x) = g\ (\alpha\ x)$

**shows**  $\alpha\ (\text{lfp } f) = \text{lfp } g$

*<proof>*

**lemma** *sup-continuous-applyD*:  $\text{sup-continuous } f \implies \text{sup-continuous } (\lambda x. f\ x\ h)$

*<proof>*

**lemma** *sup-continuous-SUP*[*order-continuous-intros*]:

**fixes**  $M :: - \Rightarrow - \Rightarrow 'a::\text{complete-lattice}$

**assumes**  $M$ :  $\bigwedge i. i \in I \implies \text{sup-continuous } (M\ i)$

**shows**  $\text{sup-continuous } (\text{SUP } i \in I. M\ i)$

*<proof>*

**lemma** *sup-continuous-apply-SUP*[*order-continuous-intros*]:

**fixes**  $M :: - \Rightarrow - \Rightarrow 'a::\text{complete-lattice}$

**shows**  $(\bigwedge i. i \in I \implies \text{sup-continuous } (M\ i)) \implies \text{sup-continuous } (\lambda x. \text{SUP } i \in I. M\ i\ x)$

*<proof>*

**lemma** *sup-continuous-lfp'*[*order-continuous-intros*]:

**assumes**  $1$ : *sup-continuous*  $f$

**assumes**  $2$ :  $\bigwedge g. \text{sup-continuous } g \implies \text{sup-continuous } (f\ g)$

**shows**  $\text{sup-continuous } (\text{lfp } f)$

*<proof>*

**lemma** *sup-continuous-lfp''*[*order-continuous-intros*]:

**assumes**  $1$ :  $\bigwedge s. \text{sup-continuous } (f\ s)$

**assumes**  $2$ :  $\bigwedge g. \text{sup-continuous } g \implies \text{sup-continuous } (\lambda s. f\ s\ (g\ s))$

**shows**  $\text{sup-continuous } (\lambda x. \text{lfp } (f\ x))$



*<proof>*

**lemma** *mono-INF-fun*:

$(\bigwedge x y. \text{mono } (F x y)) \implies \text{mono } (\lambda z x. \text{INF } y \in X x. F x y z :: 'a :: \text{complete-lattice})$

*<proof>*

**lemma** *continuous-on-cmult-ereal*:

$|c::\text{ereal}| \neq \infty \implies \text{continuous-on } A f \implies \text{continuous-on } A (\lambda x. c * f x)$

*<proof>*

**lemma** *real-of-nat-Sup*:

**assumes**  $A \neq \{\}$  *bdd-above*  $A$

**shows**  $\text{of-nat } (\text{Sup } A) = (\text{SUP } a \in A. \text{of-nat } a :: \text{real})$

*<proof>*

**lemma** (**in** *complete-lattice*) *SUP-sup-const1*:

$I \neq \{\} \implies (\text{SUP } i \in I. \text{sup } c (f i)) = \text{sup } c (\text{SUP } i \in I. f i)$

*<proof>*

**lemma** (**in** *complete-lattice*) *SUP-sup-const2*:

$I \neq \{\} \implies (\text{SUP } i \in I. \text{sup } (f i) c) = \text{sup } (\text{SUP } i \in I. f i) c$

*<proof>*

**lemma** *one-less-of-natD*:

**assumes**  $(1::'a::\text{linordered-semidom}) < \text{of-nat } n$  **shows**  $1 < n$

*<proof>*

## 41.1 Defining the extended non-negative reals

Basic definitions and type class setup

**typedef** *ennreal* =  $\{x :: \text{ereal}. 0 \leq x\}$

**morphisms** *enn2ereal* *e2ennreal'*

*<proof>*

**definition** *e2ennreal*  $x = e2ennreal' (\text{max } 0 x)$

**lemma** *enn2ereal-range*:  $e2ennreal' \{0..\} = \text{UNIV}$

*<proof>*

**lemma** *type-definition-ennreal'*: *type-definition* *enn2ereal* *e2ennreal*  $\{x. 0 \leq x\}$

*<proof>*

**setup-lifting** *type-definition-ennreal'*

**declare**  $[[\text{coercion } e2ennreal]]$

**instantiation** *ennreal* :: *complete-linorder*

**begin**

```

lift-definition top-ennreal :: ennreal is top <proof>
lift-definition bot-ennreal :: ennreal is 0 <proof>
lift-definition sup-ennreal :: ennreal  $\Rightarrow$  ennreal  $\Rightarrow$  ennreal is sup <proof>
lift-definition inf-ennreal :: ennreal  $\Rightarrow$  ennreal  $\Rightarrow$  ennreal is inf <proof>

lift-definition Inf-ennreal :: ennreal set  $\Rightarrow$  ennreal is Inf
  <proof>

lift-definition Sup-ennreal :: ennreal set  $\Rightarrow$  ennreal is sup 0  $\circ$  Sup
  <proof>

lift-definition less-eq-ennreal :: ennreal  $\Rightarrow$  ennreal  $\Rightarrow$  bool is ( $\leq$ ) <proof>
lift-definition less-ennreal :: ennreal  $\Rightarrow$  ennreal  $\Rightarrow$  bool is ( $<$ ) <proof>

instance
  <proof>

end

lemma pcr-ennreal-enn2ereal[simp]: pcr-ennreal (enn2ereal x) x
  <proof>

lemma rel-fun-eq-pcr-ennreal: rel-fun (=) pcr-ennreal f g  $\longleftrightarrow$  f = enn2ereal  $\circ$  g
  <proof>

instantiation ennreal :: infinity
begin

definition infinity-ennreal :: ennreal
where
  [simp]:  $\infty$  = (top::ennreal)

instance <proof>

end

instantiation ennreal :: {semiring-1-no-zero-divisors, comm-semiring-1}
begin

lift-definition one-ennreal :: ennreal is 1 <proof>
lift-definition zero-ennreal :: ennreal is 0 <proof>
lift-definition plus-ennreal :: ennreal  $\Rightarrow$  ennreal  $\Rightarrow$  ennreal is (+) <proof>
lift-definition times-ennreal :: ennreal  $\Rightarrow$  ennreal  $\Rightarrow$  ennreal is (*) <proof>

instance
  <proof>

end

```

```

instantiation ennreal :: minus
begin

lift-definition minus-ennreal :: ennreal  $\Rightarrow$  ennreal  $\Rightarrow$  ennreal is  $\lambda a b. \max 0 (a - b)$ 
   $\langle$ proof $\rangle$ 

instance  $\langle$ proof $\rangle$ 

end

instance ennreal :: numeral  $\langle$ proof $\rangle$ 

instantiation ennreal :: inverse
begin

lift-definition inverse-ennreal :: ennreal  $\Rightarrow$  ennreal is inverse
   $\langle$ proof $\rangle$ 

definition divide-ennreal :: ennreal  $\Rightarrow$  ennreal  $\Rightarrow$  ennreal
  where  $x \text{ div } y = x * \text{inverse } (y :: \text{ennreal})$ 

instance  $\langle$ proof $\rangle$ 

end

lemma ennreal-zero-less-one:  $0 < (1 :: \text{ennreal})$  — TODO: remove
   $\langle$ proof $\rangle$ 

instance ennreal :: dioid
   $\langle$ proof $\rangle$ 

instance ennreal :: ordered-comm-semiring
   $\langle$ proof $\rangle$ 

instance ennreal :: linordered-nonzero-semiring
   $\langle$ proof $\rangle$ 

instance ennreal :: strict-ordered-ab-semigroup-add
   $\langle$ proof $\rangle$ 

declare  $[[\text{coercion of-nat} :: \text{nat} \Rightarrow \text{ennreal}]]$ 

lemma e2ennreal-neg:  $x \leq 0 \implies e2ennreal x = 0$ 
   $\langle$ proof $\rangle$ 

lemma e2ennreal-mono:  $x \leq y \implies e2ennreal x \leq e2ennreal y$ 
   $\langle$ proof $\rangle$ 

```

**lemma** *enn2ereal-nonneg[simp]*:  $0 \leq \text{enn2ereal } x$   
 ⟨proof⟩

**lemma** *ereal-ennreal-cases*:  
**obtains**  $b$  **where**  $0 \leq a$   $a = \text{enn2ereal } b$  |  $a < 0$   
 ⟨proof⟩

**lemma** *rel-fun-liminf[transfer-rule]*: *rel-fun* (*rel-fun* (=) *pcr-ennreal*) *pcr-ennreal*  
*liminf* *liminf*  
 ⟨proof⟩

**lemma** *rel-fun-limsup[transfer-rule]*: *rel-fun* (*rel-fun* (=) *pcr-ennreal*) *pcr-ennreal*  
*limsup* *limsup*  
 ⟨proof⟩

**lemma** *sum-enn2ereal[simp]*:  $(\bigwedge i. i \in I \implies 0 \leq f i) \implies (\sum_{i \in I. \text{enn2ereal } (f i))$   
 $= \text{enn2ereal } (\text{sum } f I)$   
 ⟨proof⟩

**lemma** *transfer-e2ennreal-sum [transfer-rule]*:  
*rel-fun* (*rel-fun* (=) *pcr-ennreal*) (*rel-fun* (=) *pcr-ennreal*) *sum* *sum*  
 ⟨proof⟩

**lemma** *enn2ereal-of-nat[simp]*:  $\text{enn2ereal } (\text{of-nat } n) = \text{ereal } n$   
 ⟨proof⟩

**lemma** *enn2ereal-numeral[simp]*:  $\text{enn2ereal } (\text{numeral } a) = \text{numeral } a$   
 ⟨proof⟩

**lemma** *transfer-numeral[transfer-rule]*: *pcr-ennreal* (*numeral*  $a$ ) (*numeral*  $a$ )  
 ⟨proof⟩

## 41.2 Cancellation simprocs

**lemma** *ennreal-add-left-cancel*:  $a + b = a + c \longleftrightarrow a = (\infty::\text{ennreal}) \vee b = c$   
 ⟨proof⟩

**lemma** *ennreal-add-left-cancel-le*:  $a + b \leq a + c \longleftrightarrow a = (\infty::\text{ennreal}) \vee b \leq c$   
 ⟨proof⟩

**lemma** *ereal-add-left-cancel-less*:  
**fixes**  $a b c :: \text{ereal}$   
**shows**  $0 \leq a \implies 0 \leq b \implies a + b < a + c \longleftrightarrow a \neq \infty \wedge b < c$   
 ⟨proof⟩

**lemma** *ennreal-add-left-cancel-less*:  $a + b < a + c \longleftrightarrow a \neq (\infty::\text{ennreal}) \wedge b < c$   
 ⟨proof⟩

⟨ML⟩

### 41.3 Order with top

**lemma** *ennreal-zero-less-top[simp]*:  $0 < (top::ennreal)$   
 ⟨proof⟩

**lemma** *ennreal-one-less-top[simp]*:  $1 < (top::ennreal)$   
 ⟨proof⟩

**lemma** *ennreal-zero-neq-top[simp]*:  $0 \neq (top::ennreal)$   
 ⟨proof⟩

**lemma** *ennreal-top-neq-zero[simp]*:  $(top::ennreal) \neq 0$   
 ⟨proof⟩

**lemma** *ennreal-top-neq-one[simp]*:  $top \neq (1::ennreal)$   
 ⟨proof⟩

**lemma** *ennreal-one-neq-top[simp]*:  $1 \neq (top::ennreal)$   
 ⟨proof⟩

**lemma** *ennreal-add-less-top[simp]*:  
**fixes**  $a\ b :: ennreal$   
**shows**  $a + b < top \longleftrightarrow a < top \wedge b < top$   
 ⟨proof⟩

**lemma** *ennreal-add-eq-top[simp]*:  
**fixes**  $a\ b :: ennreal$   
**shows**  $a + b = top \longleftrightarrow a = top \vee b = top$   
 ⟨proof⟩

**lemma** *ennreal-sum-less-top[simp]*:  
**fixes**  $f :: 'a \Rightarrow ennreal$   
**shows**  $finite\ I \implies (\sum i \in I. f\ i) < top \longleftrightarrow (\forall i \in I. f\ i < top)$   
 ⟨proof⟩

**lemma** *ennreal-sum-eq-top[simp]*:  
**fixes**  $f :: 'a \Rightarrow ennreal$   
**shows**  $finite\ I \implies (\sum i \in I. f\ i) = top \longleftrightarrow (\exists i \in I. f\ i = top)$   
 ⟨proof⟩

**lemma** *ennreal-mult-eq-top-iff*:  
**fixes**  $a\ b :: ennreal$   
**shows**  $a * b = top \longleftrightarrow (a = top \wedge b \neq 0) \vee (b = top \wedge a \neq 0)$   
 ⟨proof⟩

**lemma** *ennreal-top-eq-mult-iff*:  
**fixes**  $a\ b :: ennreal$

**shows**  $top = a * b \longleftrightarrow (a = top \wedge b \neq 0) \vee (b = top \wedge a \neq 0)$   
 ⟨proof⟩

**lemma** *ennreal-mult-less-top*:

**fixes**  $a\ b :: \text{ennreal}$

**shows**  $a * b < top \longleftrightarrow (a = 0 \vee b = 0 \vee (a < top \wedge b < top))$   
 ⟨proof⟩

**lemma** *top-power-ennreal*:  $top \wedge n = (\text{if } n = 0 \text{ then } 1 \text{ else } top :: \text{ennreal})$

⟨proof⟩

**lemma** *ennreal-prod-eq-0[simp]*:

**fixes**  $f :: 'a \Rightarrow \text{ennreal}$

**shows**  $(\text{prod } f\ A = 0) = (\text{finite } A \wedge (\exists i \in A. f\ i = 0))$   
 ⟨proof⟩

**lemma** *ennreal-prod-eq-top*:

**fixes**  $f :: 'a \Rightarrow \text{ennreal}$

**shows**  $(\prod i \in I. f\ i) = top \longleftrightarrow (\text{finite } I \wedge ((\forall i \in I. f\ i \neq 0) \wedge (\exists i \in I. f\ i = top)))$   
 ⟨proof⟩

**lemma** *ennreal-top-mult*:  $top * a = (\text{if } a = 0 \text{ then } 0 \text{ else } top :: \text{ennreal})$

⟨proof⟩

**lemma** *ennreal-mult-top*:  $a * top = (\text{if } a = 0 \text{ then } 0 \text{ else } top :: \text{ennreal})$

⟨proof⟩

**lemma** *enn2ereal-eq-top-iff[simp]*:  $\text{enn2ereal } x = \infty \longleftrightarrow x = top$

⟨proof⟩

**lemma** *enn2ereal-top[simp]*:  $\text{enn2ereal } top = \infty$

⟨proof⟩

**lemma** *e2ennreal-infty[simp]*:  $e2ennreal\ \infty = top$

⟨proof⟩

**lemma** *ennreal-top-minus[simp]*:  $top - x = (top :: \text{ennreal})$

⟨proof⟩

**lemma** *minus-top-ennreal*:  $x - top = (\text{if } x = top \text{ then } top \text{ else } 0 :: \text{ennreal})$

⟨proof⟩

**lemma** *bot-ennreal*:  $bot = (0 :: \text{ennreal})$

⟨proof⟩

**lemma** *ennreal-of-nat-neq-top[simp]*:  $\text{of-nat } i \neq (top :: \text{ennreal})$

⟨proof⟩

**lemma** *numeral-eq-of-nat*:  $(\text{numeral } a :: \text{ennreal}) = \text{of-nat } (\text{numeral } a)$

*<proof>*

**lemma** *of-nat-less-top*:  $of\ nat\ i < (top :: ennreal)$   
*<proof>*

**lemma** *top-neq-numeral[simp]*:  $top \neq (numeral\ i :: ennreal)$   
*<proof>*

**lemma** *ennreal-numeral-less-top[simp]*:  $numeral\ i < (top :: ennreal)$   
*<proof>*

**lemma** *ennreal-add-bot[simp]*:  $bot + x = (x :: ennreal)$   
*<proof>*

**lemma** *add-top-right-ennreal [simp]*:  $x + top = (top :: ennreal)$   
*<proof>*

**lemma** *add-top-left-ennreal [simp]*:  $top + x = (top :: ennreal)$   
*<proof>*

**lemma** *ennreal-top-mult-left [simp]*:  $x \neq 0 \implies x * top = (top :: ennreal)$   
*<proof>*

**lemma** *ennreal-top-mult-right [simp]*:  $x \neq 0 \implies top * x = (top :: ennreal)$   
*<proof>*

**lemma** *power-top-ennreal [simp]*:  $n > 0 \implies top \wedge n = (top :: ennreal)$   
*<proof>*

**lemma** *power-eq-top-ennreal-iff*:  $x \wedge n = top \iff x = (top :: ennreal) \wedge n > 0$   
*<proof>*

**lemma** *ennreal-mult-le-mult-iff*:  $c \neq 0 \implies c \neq top \implies c * a \leq c * b \iff a \leq (b :: ennreal)$   
**including** *ennreal.lifting*  
*<proof>*

**lemma** *power-mono-ennreal*:  $x \leq y \implies x \wedge n \leq (y \wedge n :: ennreal)$   
*<proof>*

**instance** *ennreal :: semiring-char-0*  
*<proof>*

#### 41.4 Arithmetic

**lemma** *ennreal-minus-zero[simp]*:  $a - (0 :: ennreal) = a$   
*<proof>*

**lemma** *ennreal-add-diff-cancel-right[simp]*:

**fixes**  $x\ y\ z :: \text{ennreal}$  **shows**  $y \neq \text{top} \implies (x + y) - y = x$   
 $\langle \text{proof} \rangle$

**lemma** *ennreal-add-diff-cancel-left[simp]*:

**fixes**  $x\ y\ z :: \text{ennreal}$  **shows**  $y \neq \text{top} \implies (y + x) - y = x$   
 $\langle \text{proof} \rangle$

**lemma**

**fixes**  $a\ b :: \text{ennreal}$   
**shows**  $a - b = 0 \implies a \leq b$   
 $\langle \text{proof} \rangle$

**lemma** *ennreal-minus-cancel*:

**fixes**  $a\ b\ c :: \text{ennreal}$   
**shows**  $c \neq \text{top} \implies a \leq c \implies b \leq c \implies c - a = c - b \implies a = b$   
 $\langle \text{proof} \rangle$

**lemma** *sup-const-add-ennreal*:

**fixes**  $a\ b\ c :: \text{ennreal}$   
**shows**  $\text{sup } (c + a) (c + b) = c + \text{sup } a\ b$   
 $\langle \text{proof} \rangle$

**lemma** *ennreal-diff-add-assoc*:

**fixes**  $a\ b\ c :: \text{ennreal}$   
**shows**  $a \leq b \implies c + b - a = c + (b - a)$   
 $\langle \text{proof} \rangle$

**lemma** *mult-divide-eq-ennreal*:

**fixes**  $a\ b :: \text{ennreal}$   
**shows**  $b \neq 0 \implies b \neq \text{top} \implies (a * b) / b = a$   
 $\langle \text{proof} \rangle$

**lemma** *divide-mult-eq*:  $a \neq 0 \implies a \neq \infty \implies x * a / (b * a) = x / (b :: \text{ennreal})$

$\langle \text{proof} \rangle$

**lemma** *ennreal-mult-divide-eq*:

**fixes**  $a\ b :: \text{ennreal}$   
**shows**  $b \neq 0 \implies b \neq \text{top} \implies (a * b) / b = a$   
 $\langle \text{proof} \rangle$

**lemma** *ennreal-add-diff-cancel*:

**fixes**  $a\ b :: \text{ennreal}$   
**shows**  $b \neq \infty \implies (a + b) - b = a$   
 $\langle \text{proof} \rangle$

**lemma** *ennreal-minus-eq-0*:

$a - b = 0 \implies a \leq (b :: \text{ennreal})$   
 $\langle \text{proof} \rangle$



**lemma** *ennreal-mono-minus-cancel*:

**fixes**  $a\ b\ c :: \text{ennreal}$

**shows**  $a - b \leq a - c \implies a < \text{top} \implies b \leq a \implies c \leq a \implies c \leq b$

*<proof>*

**lemma** *ennreal-mono-minus*:

**fixes**  $a\ b\ c :: \text{ennreal}$

**shows**  $c \leq b \implies a - b \leq a - c$

*<proof>*

**lemma** *ennreal-minus-pos-iff*:

**fixes**  $a\ b :: \text{ennreal}$

**shows**  $a < \text{top} \vee b < \text{top} \implies 0 < a - b \implies b < a$

*<proof>*

**lemma** *ennreal-inverse-top[simp]*:  $\text{inverse } \text{top} = (0 :: \text{ennreal})$

*<proof>*

**lemma** *ennreal-inverse-zero[simp]*:  $\text{inverse } 0 = (\text{top} :: \text{ennreal})$

*<proof>*

**lemma** *ennreal-top-divide*:  $\text{top} / (x :: \text{ennreal}) = (\text{if } x = \text{top} \text{ then } 0 \text{ else } \text{top})$

*<proof>*

**lemma** *ennreal-zero-divide[simp]*:  $0 / (x :: \text{ennreal}) = 0$

*<proof>*

**lemma** *ennreal-divide-zero[simp]*:  $x / (0 :: \text{ennreal}) = (\text{if } x = 0 \text{ then } 0 \text{ else } \text{top})$

*<proof>*

**lemma** *ennreal-divide-top[simp]*:  $x / (\text{top} :: \text{ennreal}) = 0$

*<proof>*

**lemma** *ennreal-times-divide*:  $a * (b / c) = a * b / (c :: \text{ennreal})$

*<proof>*

**lemma** *ennreal-zero-less-divide*:  $0 < a / b \iff (0 < a \wedge b < (\text{top} :: \text{ennreal}))$

*<proof>*

**lemma** *add-divide-distrib-ennreal*:  $(a + b) / c = a / c + b / (c :: \text{ennreal})$

*<proof>*

**lemma** *divide-right-mono-ennreal*:

**fixes**  $a\ b\ c :: \text{ennreal}$

**shows**  $a \leq b \implies a / c \leq b / c$

*<proof>*

**lemma** *ennreal-mult-strict-right-mono*:  $(a :: \text{ennreal}) < c \implies 0 < b \implies b < \text{top}$

$\implies a * b < c * b$   
 ⟨proof⟩

**lemma** *ennreal-indicator-less[simp]*:  
 $\text{indicator } A \ x \leq (\text{indicator } B \ x::\text{ennreal}) \longleftrightarrow (x \in A \longrightarrow x \in B)$   
 ⟨proof⟩

**lemma** *ennreal-inverse-positive*:  $0 < \text{inverse } x \longleftrightarrow (x::\text{ennreal}) \neq \text{top}$   
 ⟨proof⟩

**lemma** *ennreal-inverse-mult'*:  $((0 < b \vee a < \text{top}) \wedge (0 < a \vee b < \text{top})) \implies$   
 $\text{inverse } (a * b::\text{ennreal}) = \text{inverse } a * \text{inverse } b$   
 ⟨proof⟩

**lemma** *ennreal-inverse-mult*:  $a < \text{top} \implies b < \text{top} \implies \text{inverse } (a * b::\text{ennreal}) =$   
 $\text{inverse } a * \text{inverse } b$   
 ⟨proof⟩

**lemma** *ennreal-inverse-1[simp]*:  $\text{inverse } (1::\text{ennreal}) = 1$   
 ⟨proof⟩

**lemma** *ennreal-inverse-eq-0-iff[simp]*:  $\text{inverse } (a::\text{ennreal}) = 0 \longleftrightarrow a = \text{top}$   
 ⟨proof⟩

**lemma** *ennreal-inverse-eq-top-iff[simp]*:  $\text{inverse } (a::\text{ennreal}) = \text{top} \longleftrightarrow a = 0$   
 ⟨proof⟩

**lemma** *ennreal-divide-eq-0-iff[simp]*:  $(a::\text{ennreal}) / b = 0 \longleftrightarrow (a = 0 \vee b = \text{top})$   
 ⟨proof⟩

**lemma** *ennreal-divide-eq-top-iff*:  $(a::\text{ennreal}) / b = \text{top} \longleftrightarrow ((a \neq 0 \wedge b = 0) \vee$   
 $(a = \text{top} \wedge b \neq \text{top}))$   
 ⟨proof⟩

**lemma** *one-divide-one-divide-ennreal[simp]*:  $1 / (1 / c) = (c::\text{ennreal})$   
**including** *ennreal.lifting*  
 ⟨proof⟩

**lemma** *ennreal-mult-left-cong*:  
 $((a::\text{ennreal}) \neq 0 \implies b = c) \implies a * b = a * c$   
 ⟨proof⟩

**lemma** *ennreal-mult-right-cong*:  
 $((a::\text{ennreal}) \neq 0 \implies b = c) \implies b * a = c * a$   
 ⟨proof⟩

**lemma** *ennreal-zero-less-mult-iff*:  $0 < a * b \longleftrightarrow 0 < a \wedge 0 < (b::\text{ennreal})$   
 ⟨proof⟩

**lemma** *less-diff-eq-ennreal*:

**fixes**  $a\ b\ c :: \text{ennreal}$

**shows**  $b < \text{top} \vee c < \text{top} \implies a < b - c \longleftrightarrow a + c < b$

$\langle \text{proof} \rangle$

**lemma** *diff-add-cancel-ennreal*:

**fixes**  $a\ b :: \text{ennreal}$  **shows**  $a \leq b \implies b - a + a = b$

$\langle \text{proof} \rangle$

**lemma** *ennreal-diff-self[simp]*:  $a \neq \text{top} \implies a - a = (0::\text{ennreal})$

$\langle \text{proof} \rangle$

**lemma** *ennreal-minus-mono*:

**fixes**  $a\ b\ c :: \text{ennreal}$

**shows**  $a \leq c \implies d \leq b \implies a - b \leq c - d$

$\langle \text{proof} \rangle$

**lemma** *ennreal-minus-eq-top[simp]*:  $a - (b::\text{ennreal}) = \text{top} \longleftrightarrow a = \text{top}$

$\langle \text{proof} \rangle$

**lemma** *ennreal-divide-self[simp]*:  $a \neq 0 \implies a < \text{top} \implies a / a = (1::\text{ennreal})$

$\langle \text{proof} \rangle$

## 41.5 Coercion from *real* to *ennreal*

**lift-definition** *ennreal* :: *real*  $\Rightarrow$  *ennreal* **is**  $\text{sup } 0 \circ \text{ereal}$

$\langle \text{proof} \rangle$

**declare**  $[[\text{coercion } \text{ennreal}]]$

**lemma** *ennreal-cong*:  $x = y \implies \text{ennreal } x = \text{ennreal } y$

$\langle \text{proof} \rangle$

**lemma** *ennreal-cases[cases type: ennreal]*:

**fixes**  $x :: \text{ennreal}$

**obtains** (*real*)  $r :: \text{real}$  **where**  $0 \leq r \ x = \text{ennreal } r \mid (\text{top}) \ x = \text{top}$

$\langle \text{proof} \rangle$

**lemmas** *ennreal2-cases* = *ennreal-cases*[*case-product ennreal-cases*]

**lemmas** *ennreal3-cases* = *ennreal-cases*[*case-product ennreal2-cases*]

**lemma** *ennreal-neq-top[simp]*: *ennreal*  $r \neq \text{top}$

$\langle \text{proof} \rangle$

**lemma** *top-neq-ennreal[simp]*:  $\text{top} \neq \text{ennreal } r$

$\langle \text{proof} \rangle$

**lemma** *ennreal-less-top[simp]*: *ennreal*  $x < \text{top}$

$\langle \text{proof} \rangle$

**lemma** *ennreal-neg*:  $x \leq 0 \implies \text{ennreal } x = 0$

*<proof>*

**lemma** *ennreal-inj[simp]*:

$0 \leq a \implies 0 \leq b \implies \text{ennreal } a = \text{ennreal } b \longleftrightarrow a = b$

*<proof>*

**lemma** *ennreal-le-iff[simp]*:  $0 \leq y \implies \text{ennreal } x \leq \text{ennreal } y \longleftrightarrow x \leq y$

*<proof>*

**lemma** *le-ennreal-iff*:  $0 \leq r \implies x \leq \text{ennreal } r \longleftrightarrow (\exists q \geq 0. x = \text{ennreal } q \wedge q \leq r)$

*<proof>*

**lemma** *ennreal-less-iff*:  $0 \leq r \implies \text{ennreal } r < \text{ennreal } q \longleftrightarrow r < q$

*<proof>*

**lemma** *ennreal-eq-zero-iff[simp]*:  $0 \leq x \implies \text{ennreal } x = 0 \longleftrightarrow x = 0$

*<proof>*

**lemma** *ennreal-less-zero-iff[simp]*:  $0 < \text{ennreal } x \longleftrightarrow 0 < x$

*<proof>*

**lemma** *ennreal-lessI*:  $0 < q \implies r < q \implies \text{ennreal } r < \text{ennreal } q$

*<proof>*

**lemma** *ennreal-leI*:  $x \leq y \implies \text{ennreal } x \leq \text{ennreal } y$

*<proof>*

**lemma** *enn2ereal-ennreal[simp]*:  $0 \leq x \implies \text{enn2ereal } (\text{ennreal } x) = x$

*<proof>*

**lemma** *e2ennreal-enn2ereal[simp]*:  $e2ennreal (\text{enn2ereal } x) = x$

*<proof>*

**lemma** *enn2ereal-e2ennreal*:  $x \geq 0 \implies \text{enn2ereal } (e2ennreal x) = x$

*<proof>*

**lemma** *e2ennreal-ereal [simp]*:  $e2ennreal (\text{ereal } x) = \text{ennreal } x$

*<proof>*

**lemma** *ennreal-0[simp]*:  $\text{ennreal } 0 = 0$

*<proof>*

**lemma** *ennreal-1[simp]*:  $\text{ennreal } 1 = 1$

*<proof>*

**lemma** *ennreal-eq-0-iff*:  $\text{ennreal } x = 0 \longleftrightarrow x \leq 0$

*<proof>*

**lemma** *ennreal-le-iff2*:  $\text{ennreal } x \leq \text{ennreal } y \longleftrightarrow ((0 \leq y \wedge x \leq y) \vee (x \leq 0 \wedge y \leq 0))$   
*<proof>*

**lemma** *ennreal-eq-1[simp]*:  $\text{ennreal } x = 1 \longleftrightarrow x = 1$   
*<proof>*

**lemma** *ennreal-le-1[simp]*:  $\text{ennreal } x \leq 1 \longleftrightarrow x \leq 1$   
*<proof>*

**lemma** *ennreal-ge-1[simp]*:  $\text{ennreal } x \geq 1 \longleftrightarrow x \geq 1$   
*<proof>*

**lemma** *one-less-ennreal[simp]*:  $1 < \text{ennreal } x \longleftrightarrow 1 < x$   
*<proof>*

**lemma** *ennreal-plus[simp]*:  
 $0 \leq a \implies 0 \leq b \implies \text{ennreal } (a + b) = \text{ennreal } a + \text{ennreal } b$   
*<proof>*

**lemma** *add-mono-ennreal*:  $x < \text{ennreal } y \implies x' < \text{ennreal } y' \implies x + x' < \text{ennreal } (y + y')$   
*<proof>*

**lemma** *sum-ennreal[simp]*:  $(\bigwedge i. i \in I \implies 0 \leq f i) \implies (\sum i \in I. \text{ennreal } (f i)) = \text{ennreal } (\text{sum } f I)$   
*<proof>*

**lemma** *sum-list-ennreal[simp]*:  
**assumes**  $\bigwedge x. x \in \text{set } xs \implies f x \geq 0$   
**shows**  $\text{sum-list } (\text{map } (\lambda x. \text{ennreal } (f x)) xs) = \text{ennreal } (\text{sum-list } (\text{map } f xs))$   
*<proof>*

**lemma** *ennreal-of-nat-eq-real-of-nat*:  $\text{of-nat } i = \text{ennreal } (\text{of-nat } i)$   
*<proof>*

**lemma** *of-nat-le-ennreal-iff[simp]*:  $0 \leq r \implies \text{of-nat } i \leq \text{ennreal } r \longleftrightarrow \text{of-nat } i \leq r$   
*<proof>*

**lemma** *ennreal-le-of-nat-iff[simp]*:  $\text{ennreal } r \leq \text{of-nat } i \longleftrightarrow r \leq \text{of-nat } i$   
*<proof>*

**lemma** *ennreal-indicator*:  $\text{ennreal } (\text{indicator } A x) = \text{indicator } A x$   
*<proof>*

**lemma** *ennreal-numeral[simp]*:  $\text{ennreal } (\text{numeral } n) = \text{numeral } n$

*<proof>*

**lemma** *ennreal-less-numeral-iff [simp]*:  $\text{ennreal } n < \text{numeral } w \longleftrightarrow n < \text{numeral } w$

*<proof>*

**lemma** *numeral-less-ennreal-iff [simp]*:  $\text{numeral } w < \text{ennreal } n \longleftrightarrow \text{numeral } w < n$

*<proof>*

**lemma** *numeral-le-ennreal-iff [simp]*:  $\text{numeral } n \leq \text{ennreal } m \longleftrightarrow \text{numeral } n \leq m$

*<proof>*

**lemma** *min-ennreal*:  $0 \leq x \implies 0 \leq y \implies \min (\text{ennreal } x) (\text{ennreal } y) = \text{ennreal } (\min x y)$

*<proof>*

**lemma** *ennreal-half[simp]*:  $\text{ennreal } (1/2) = \text{inverse } 2$

*<proof>*

**lemma** *ennreal-minus*:  $0 \leq q \implies \text{ennreal } r - \text{ennreal } q = \text{ennreal } (r - q)$

*<proof>*

**lemma** *ennreal-minus-top[simp]*:  $\text{ennreal } a - \text{top} = 0$

*<proof>*

**lemma** *e2ennreal-enn2ereal-diff [simp]*:

$e2\text{ennreal}(\text{enn2ereal } x - \text{enn2ereal } y) = x - y$  **for**  $x y$

*<proof>*

**lemma** *ennreal-mult*:  $0 \leq a \implies 0 \leq b \implies \text{ennreal } (a * b) = \text{ennreal } a * \text{ennreal } b$

*<proof>*

**lemma** *ennreal-mult'*:  $0 \leq a \implies \text{ennreal } (a * b) = \text{ennreal } a * \text{ennreal } b$

*<proof>*

**lemma** *indicator-mult-ennreal*:  $\text{indicator } A x * \text{ennreal } r = \text{ennreal } (\text{indicator } A x * r)$

*<proof>*

**lemma** *ennreal-mult''*:  $0 \leq b \implies \text{ennreal } (a * b) = \text{ennreal } a * \text{ennreal } b$

*<proof>*

**lemma** *numeral-mult-ennreal*:  $0 \leq x \implies \text{numeral } b * \text{ennreal } x = \text{ennreal } (\text{numeral } b * x)$

*<proof>*

**lemma** *ennreal-power*:  $0 \leq r \implies \text{ennreal } r \hat{=} n = \text{ennreal } (r \hat{=} n)$

*<proof>*

**lemma** *power-eq-top-ennreal*:  $x \wedge n = \text{top} \iff (n \neq 0 \wedge (x::\text{ennreal}) = \text{top})$   
*<proof>*

**lemma** *inverse-ennreal*:  $0 < r \implies \text{inverse} (\text{ennreal } r) = \text{ennreal} (\text{inverse } r)$   
*<proof>*

**lemma** *divide-ennreal*:  $0 \leq r \implies 0 < q \implies \text{ennreal } r / \text{ennreal } q = \text{ennreal} (r / q)$   
*<proof>*

**lemma** *ennreal-inverse-power*:  $\text{inverse} (x \wedge n :: \text{ennreal}) = \text{inverse } x \wedge n$   
*<proof>*

**lemma** *power-divide-distrib-ennreal* [*algebra-simps*]:  
 $(x / y) \wedge n = x \wedge n / (y \wedge n :: \text{ennreal})$   
*<proof>*

**lemma** *ennreal-divide-numeral*:  $0 \leq x \implies \text{ennreal } x / \text{numeral } b = \text{ennreal} (x / \text{numeral } b)$   
*<proof>*

**lemma** *prod-ennreal*:  $(\bigwedge i. i \in A \implies 0 \leq f i) \implies (\prod i \in A. \text{ennreal} (f i)) = \text{ennreal} (\text{prod } f A)$   
*<proof>*

**lemma** *prod-mono-ennreal*:  
**assumes**  $\bigwedge x. x \in A \implies f x \leq (g x :: \text{ennreal})$   
**shows**  $\text{prod } f A \leq \text{prod } g A$   
*<proof>*

**lemma** *mult-right-ennreal-cancel*:  $a * \text{ennreal } c = b * \text{ennreal } c \iff (a = b \vee c \leq 0)$   
*<proof>*

**lemma** *ennreal-le-epsilon*:  
 $(\bigwedge e::\text{real}. y < \text{top} \implies 0 < e \implies x \leq y + \text{ennreal } e) \implies x \leq y$   
*<proof>*

**lemma** *ennreal-rat-dense*:  
**fixes**  $x y :: \text{ennreal}$   
**shows**  $x < y \implies \exists r::\text{rat}. x < \text{real-of-rat } r \wedge \text{real-of-rat } r < y$   
*<proof>*

**lemma** *ennreal-Ex-less-of-nat*:  $(x::\text{ennreal}) < \text{top} \implies \exists n. x < \text{of-nat } n$   
*<proof>*

**41.6 Coercion from *ennreal* to *real***

**definition**  $enn2real\ x = real\text{-of-ereal}\ (enn2ereal\ x)$

**lemma**  $enn2real\text{-nonneg}[simp]: 0 \leq enn2real\ x$   
*<proof>*

**lemma**  $enn2real\text{-mono}: a \leq b \implies b < top \implies enn2real\ a \leq enn2real\ b$   
*<proof>*

**lemma**  $enn2real\text{-of-nat}[simp]: enn2real\ (of\text{-nat}\ n) = n$   
*<proof>*

**lemma**  $enn2real\text{-ennreal}[simp]: 0 \leq r \implies enn2real\ (ennreal\ r) = r$   
*<proof>*

**lemma**  $ennreal\text{-enn2real}[simp]: r < top \implies ennreal\ (enn2real\ r) = r$   
*<proof>*

**lemma**  $real\text{-of-ereal-enn2ereal}[simp]: real\text{-of-ereal}\ (enn2ereal\ x) = enn2real\ x$   
*<proof>*

**lemma**  $enn2real\text{-top}[simp]: enn2real\ top = 0$   
*<proof>*

**lemma**  $enn2real\text{-0}[simp]: enn2real\ 0 = 0$   
*<proof>*

**lemma**  $enn2real\text{-1}[simp]: enn2real\ 1 = 1$   
*<proof>*

**lemma**  $enn2real\text{-numeral}[simp]: enn2real\ (numeral\ n) = (numeral\ n)$   
*<proof>*

**lemma**  $enn2real\text{-mult}: enn2real\ (a * b) = enn2real\ a * enn2real\ b$   
*<proof>*

**lemma**  $enn2real\text{-leI}: 0 \leq B \implies x \leq ennreal\ B \implies enn2real\ x \leq B$   
*<proof>*

**lemma**  $enn2real\text{-positive-iff}: 0 < enn2real\ x \iff (0 < x \wedge x < top)$   
*<proof>*

**lemma**  $enn2real\text{-eq-posreal-iff}[simp]: c > 0 \implies enn2real\ x = c \iff x = c$   
*<proof>*

**lemma**  $ennreal\text{-enn2real-if}: ennreal\ (enn2real\ r) = (if\ r = top\ then\ 0\ else\ r)$   
*<proof>*



### 41.7 Coercion from *enat* to *ennreal*

**definition** *ennreal-of-enat* :: *enat*  $\Rightarrow$  *ennreal*

**where**

*ennreal-of-enat* *n* = (case *n* of  $\infty \Rightarrow \text{top}$  | *enat* *n*  $\Rightarrow$  *of-nat* *n*)

**declare** [[*coercion* *ennreal-of-enat*]]

**declare** [[*coercion* *of-nat* :: *nat*  $\Rightarrow$  *ennreal*]]

**lemma** *ennreal-of-enat-infty*[*simp*]: *ennreal-of-enat*  $\infty$  =  $\infty$   
 ⟨*proof*⟩

**lemma** *ennreal-of-enat-enat*[*simp*]: *ennreal-of-enat* (*enat* *n*) = *of-nat* *n*  
 ⟨*proof*⟩

**lemma** *ennreal-of-enat-0*[*simp*]: *ennreal-of-enat* 0 = 0  
 ⟨*proof*⟩

**lemma** *ennreal-of-enat-1*[*simp*]: *ennreal-of-enat* 1 = 1  
 ⟨*proof*⟩

**lemma** *ennreal-top-neq-of-nat*[*simp*]: (*top*::*ennreal*)  $\neq$  *of-nat* *i*  
 ⟨*proof*⟩

**lemma** *ennreal-of-enat-inj*[*simp*]: *ennreal-of-enat* *i* = *ennreal-of-enat* *j*  $\longleftrightarrow$  *i* = *j*  
 ⟨*proof*⟩

**lemma** *ennreal-of-enat-le-iff*[*simp*]: *ennreal-of-enat* *m*  $\leq$  *ennreal-of-enat* *n*  $\longleftrightarrow$  *m*  $\leq$  *n*  
 ⟨*proof*⟩

**lemma** *of-nat-less-ennreal-of-nat*[*simp*]: *of-nat* *n*  $\leq$  *ennreal-of-enat* *x*  $\longleftrightarrow$  *of-nat* *n*  $\leq$  *x*  
 ⟨*proof*⟩

**lemma** *ennreal-of-enat-Sup*: *ennreal-of-enat* (*Sup* *X*) = (*SUP* *x* ∈ *X*. *ennreal-of-enat* *x*)  
 ⟨*proof*⟩

**lemma** *ennreal-of-enat-eSuc*[*simp*]: *ennreal-of-enat* (*eSuc* *x*) = 1 + *ennreal-of-enat* *x*  
 ⟨*proof*⟩

**lemma** *ennreal-of-enat-plus*[*simp*]:  $\langle$ *ennreal-of-enat* (*a*+*b*) = *ennreal-of-enat* *a* + *ennreal-of-enat* *b* $\rangle$   
 ⟨*proof*⟩

**lemma** *sum-ennreal-of-enat*[*simp*]: ( $\sum$  *i* ∈ *I*. *ennreal-of-enat* (*f* *i*)) = *ennreal-of-enat*

(*sum f I*)  
 ⟨*proof*⟩

### 41.8 Topology on *ennreal*

**lemma** *enn2ereal-Iio*: *enn2ereal* - ‘{..*a*} = (if  $0 \leq a$  then {..*e2ennreal a*} else {})  
 ⟨*proof*⟩

**lemma** *enn2ereal-Ioi*: *enn2ereal* - ‘{*a* <..} = (if  $0 \leq a$  then {*e2ennreal a* <..} else *UNIV*)  
 ⟨*proof*⟩

**instantiation** *ennreal* :: *linear-continuum-topology*  
**begin**

**definition** *open-ennreal* :: *ennreal set*  $\Rightarrow$  *bool*  
**where** (*open* :: *ennreal set*  $\Rightarrow$  *bool*) = *generate-topology* (*range lessThan*  $\cup$  *range greaterThan*)

**instance**  
 ⟨*proof*⟩

**end**

**lemma** *continuous-on-e2ennreal*: *continuous-on A e2ennreal*  
 ⟨*proof*⟩

**lemma** *continuous-at-e2ennreal*: *continuous (at x within A) e2ennreal*  
 ⟨*proof*⟩

**lemma** *continuous-on-enn2ereal*: *continuous-on UNIV enn2ereal*  
 ⟨*proof*⟩

**lemma** *continuous-at-enn2ereal*: *continuous (at x within A) enn2ereal*  
 ⟨*proof*⟩

**lemma** *sup-continuous-e2ennreal*[*order-continuous-intros*]:  
**assumes** *f*: *sup-continuous f* **shows** *sup-continuous* ( $\lambda x. e2ennreal (f x)$ )  
 ⟨*proof*⟩

**lemma** *sup-continuous-enn2ereal*[*order-continuous-intros*]:  
**assumes** *f*: *sup-continuous f* **shows** *sup-continuous* ( $\lambda x. enn2ereal (f x)$ )  
 ⟨*proof*⟩

**lemma** *sup-continuous-mult-left-ennreal'*:  
**fixes** *c* :: *ennreal*  
**shows** *sup-continuous* ( $\lambda x. c * x$ )  
 ⟨*proof*⟩

**lemma** *sup-continuous-mult-left-ennreal*[*order-continuous-intros*]:  
 $sup\text{-}continuous\ f \implies sup\text{-}continuous\ (\lambda x. c * f\ x :: ennreal)$   
 ⟨*proof*⟩

**lemma** *sup-continuous-mult-right-ennreal*[*order-continuous-intros*]:  
 $sup\text{-}continuous\ f \implies sup\text{-}continuous\ (\lambda x. f\ x * c :: ennreal)$   
 ⟨*proof*⟩

**lemma** *sup-continuous-divide-ennreal*[*order-continuous-intros*]:  
**fixes**  $f\ g :: 'a::complete\text{-}lattice \Rightarrow ennreal$   
**shows**  $sup\text{-}continuous\ f \implies sup\text{-}continuous\ (\lambda x. f\ x / c)$   
 ⟨*proof*⟩

**lemma** *transfer-enn2ereal-continuous-on* [*transfer-rule*]:  
 $rel\text{-}fun\ (=)\ (rel\text{-}fun\ (rel\text{-}fun\ (=)\ pcr\text{-}ennreal)\ (=))\ continuous\text{-}on\ continuous\text{-}on$   
 ⟨*proof*⟩

**lemma** *transfer-sup-continuous*[*transfer-rule*]:  
 $(rel\text{-}fun\ (rel\text{-}fun\ (=)\ pcr\text{-}ennreal)\ (=))\ sup\text{-}continuous\ sup\text{-}continuous$   
 ⟨*proof*⟩

**lemma** *continuous-on-ennreal*[*tendsto-intros*]:  
 $continuous\text{-}on\ A\ f \implies continuous\text{-}on\ A\ (\lambda x. ennreal\ (f\ x))$   
 ⟨*proof*⟩

**lemma** *tendsto-ennrealD*:  
**assumes**  $lim: ((\lambda x. ennreal\ (f\ x)) \longrightarrow ennreal\ x)\ F$   
**assumes**  $*$ :  $\forall_F\ x\ in\ F. 0 \leq f\ x$  **and**  $x: 0 \leq x$   
**shows**  $(f \longrightarrow x)\ F$   
 ⟨*proof*⟩

**lemma** *tendsto-ennreal-iff* [*simp*]:  
 $\langle ((\lambda x. ennreal\ (f\ x)) \longrightarrow ennreal\ x)\ F \longleftrightarrow (f \longrightarrow x)\ F \rangle$  **(is**  $\langle ?P \longleftrightarrow ?Q \rangle$   
**if**  $\langle \forall_F\ x\ in\ F. 0 \leq f\ x \rangle \langle 0 \leq x \rangle$   
 ⟨*proof*⟩

**lemma** *tendsto-enn2ereal-iff*[*simp*]:  $((\lambda i. enn2ereal\ (f\ i)) \longrightarrow enn2ereal\ x)\ F \longleftrightarrow$   
 $(f \longrightarrow x)\ F$   
 ⟨*proof*⟩

**lemma** *ennreal-tendsto-0-iff*:  $(\bigwedge n. f\ n \geq 0) \implies ((\lambda n. ennreal\ (f\ n)) \longrightarrow 0)$   
 $\longleftrightarrow (f \longrightarrow 0)$   
 ⟨*proof*⟩

**lemma** *continuous-on-add-ennreal*:  
**fixes**  $f\ g :: 'a::topological\text{-}space \Rightarrow ennreal$   
**shows**  $continuous\text{-}on\ A\ f \implies continuous\text{-}on\ A\ g \implies continuous\text{-}on\ A\ (\lambda x. f\ x + g\ x)$

*<proof>*

**lemma** *continuous-on-inverse-ennreal*[*continuous-intros*]:

**fixes**  $f :: 'a::\text{topological-space} \Rightarrow \text{ennreal}$

**shows** *continuous-on*  $A f \Longrightarrow \text{continuous-on } A (\lambda x. \text{inverse } (f x))$

*<proof>*

**instance** *ennreal* :: *topological-comm-monoid-add*

*<proof>*

**lemma** *sup-continuous-add-ennreal*[*order-continuous-intros*]:

**fixes**  $f g :: 'a::\text{complete-lattice} \Rightarrow \text{ennreal}$

**shows** *sup-continuous*  $f \Longrightarrow \text{sup-continuous } g \Longrightarrow \text{sup-continuous } (\lambda x. f x + g x)$

*<proof>*

**lemma** *ennreal-suminf-lessD*:  $(\sum i. f i :: \text{ennreal}) < x \Longrightarrow f i < x$

*<proof>*

**lemma** *sums-ennreal*[*simp*]:  $(\bigwedge i. 0 \leq f i) \Longrightarrow 0 \leq x \Longrightarrow (\lambda i. \text{ennreal } (f i)) \text{ sums } \text{ennreal } x \longleftrightarrow f \text{ sums } x$

*<proof>*

**lemma** *summable-suminf-not-top*:  $(\bigwedge i. 0 \leq f i) \Longrightarrow (\sum i. \text{ennreal } (f i)) \neq \text{top} \Longrightarrow \text{summable } f$

*<proof>*

**lemma** *suminf-ennreal*[*simp*]:

$(\bigwedge i. 0 \leq f i) \Longrightarrow (\sum i. \text{ennreal } (f i)) \neq \text{top} \Longrightarrow (\sum i. \text{ennreal } (f i)) = \text{ennreal } (\sum i. f i)$

*<proof>*

**lemma** *sums-enn2ereal*[*simp*]:  $(\lambda i. \text{enn2ereal } (f i)) \text{ sums } \text{enn2ereal } x \longleftrightarrow f \text{ sums } x$

*<proof>*

**lemma** *suminf-enn2ereal*[*simp*]:  $(\sum i. \text{enn2ereal } (f i)) = \text{enn2ereal } (\text{suminf } f)$

*<proof>*

**lemma** *transfer-e2ennreal-suminf* [*transfer-rule*]: *rel-fun* (*rel-fun* (=) *pcr-ennreal*) *pcr-ennreal* *suminf* *suminf*

*<proof>*

**lemma** *ennreal-suminf-cmult*[*simp*]:  $(\sum i. r * f i) = r * (\sum i. f i::\text{ennreal})$

*<proof>*

**lemma** *ennreal-suminf-multc*[*simp*]:  $(\sum i. f i * r) = (\sum i. f i::\text{ennreal}) * r$

*<proof>*

**lemma** *ennreal-suminf-divide[simp]*:  $(\sum i. f i / r) = (\sum i. f i :: \text{ennreal}) / r$   
 ⟨proof⟩

**lemma** *ennreal-suminf-neq-top*:  $\text{summable } f \implies (\bigwedge i. 0 \leq f i) \implies (\sum i. \text{ennreal } (f i)) \neq \text{top}$   
 ⟨proof⟩

**lemma** *suminf-ennreal-eq*:  
 $(\bigwedge i. 0 \leq f i) \implies f \text{ sums } x \implies (\sum i. \text{ennreal } (f i)) = \text{ennreal } x$   
 ⟨proof⟩

**lemma** *ennreal-suminf-bound-add*:  
 fixes  $f :: \text{nat} \Rightarrow \text{ennreal}$   
 shows  $(\bigwedge N. (\sum n < N. f n) + y \leq x) \implies \text{suminf } f + y \leq x$   
 ⟨proof⟩

**lemma** *ennreal-suminf-SUP-eq-directed*:  
 fixes  $f :: 'a \Rightarrow \text{nat} \Rightarrow \text{ennreal}$   
 assumes \*:  $\bigwedge N i j. i \in I \implies j \in I \implies \text{finite } N \implies \exists k \in I. \forall n \in N. f i n \leq f k n$   
 $n \wedge f j n \leq f k n$   
 shows  $(\sum n. \text{SUP } i \in I. f i n) = (\text{SUP } i \in I. \sum n. f i n)$   
 ⟨proof⟩

**lemma** *INF-ennreal-add-const*:  
 fixes  $f g :: \text{nat} \Rightarrow \text{ennreal}$   
 shows  $(\text{INF } i. f i + c) = (\text{INF } i. f i) + c$   
 ⟨proof⟩

**lemma** *INF-ennreal-const-add*:  
 fixes  $f g :: \text{nat} \Rightarrow \text{ennreal}$   
 shows  $(\text{INF } i. c + f i) = c + (\text{INF } i. f i)$   
 ⟨proof⟩

**lemma** *SUP-mult-left-ennreal*:  $c * (\text{SUP } i \in I. f i) = (\text{SUP } i \in I. c * f i :: \text{ennreal})$   
 ⟨proof⟩

**lemma** *SUP-mult-right-ennreal*:  $(\text{SUP } i \in I. f i) * c = (\text{SUP } i \in I. f i * c :: \text{ennreal})$   
 ⟨proof⟩

**lemma** *SUP-divide-ennreal*:  $(\text{SUP } i \in I. f i) / c = (\text{SUP } i \in I. f i / c :: \text{ennreal})$   
 ⟨proof⟩

**lemma** *ennreal-SUP-of-nat-eq-top*:  $(\text{SUP } x. \text{of-nat } x :: \text{ennreal}) = \text{top}$   
 ⟨proof⟩

**lemma** *ennreal-SUP-eq-top*:  
 fixes  $f :: 'a \Rightarrow \text{ennreal}$   
 assumes  $\bigwedge n. \exists i \in I. \text{of-nat } n \leq f i$   
 shows  $(\text{SUP } i \in I. f i) = \text{top}$

*<proof>*

**lemma** *ennreal-INF-const-minus*:

**fixes**  $f :: 'a \Rightarrow \text{ennreal}$

**shows**  $I \neq \{\} \implies (\text{SUP } x \in I. c - f x) = c - (\text{INF } x \in I. f x)$

*<proof>*

**lemma** *of-nat-Sup-ennreal*:

**assumes**  $A \neq \{\}$  *bdd-above*  $A$

**shows**  $\text{of-nat } (\text{Sup } A) = (\text{SUP } a \in A. \text{of-nat } a :: \text{ennreal})$

*<proof>*

**lemma** *ennreal-tendsto-const-minus*:

**fixes**  $g :: 'a \Rightarrow \text{ennreal}$

**assumes**  $ae: \forall_F x \text{ in } F. g x \leq c$

**assumes**  $g: ((\lambda x. c - g x) \longrightarrow 0) F$

**shows**  $(g \longrightarrow c) F$

*<proof>*

**lemma** *ennreal-SUP-add*:

**fixes**  $f g :: \text{nat} \Rightarrow \text{ennreal}$

**shows**  $\text{incseq } f \implies \text{incseq } g \implies (\text{SUP } i. f i + g i) = \text{Sup } (f \text{ ' UNIV}) + \text{Sup } (g \text{ ' UNIV})$

*<proof>*

**lemma** *ennreal-SUP-sum*:

**fixes**  $f :: 'a \Rightarrow \text{nat} \Rightarrow \text{ennreal}$

**shows**  $(\bigwedge i. i \in I \implies \text{incseq } (f i)) \implies (\text{SUP } n. \sum i \in I. f i n) = (\sum i \in I. \text{SUP } n. f i n)$

*<proof>*

**lemma** *ennreal-liminf-minus*:

**fixes**  $f :: \text{nat} \Rightarrow \text{ennreal}$

**shows**  $(\bigwedge n. f n \leq c) \implies \text{liminf } (\lambda n. c - f n) = c - \text{limsup } f$

*<proof>*

**lemma** *ennreal-continuous-on-cmult*:

$(c :: \text{ennreal}) < \text{top} \implies \text{continuous-on } A f \implies \text{continuous-on } A (\lambda x. c * f x)$

*<proof>*

**lemma** *ennreal-tendsto-cmult*:

$(c :: \text{ennreal}) < \text{top} \implies (f \longrightarrow x) F \implies ((\lambda x. c * f x) \longrightarrow c * x) F$

*<proof>*

**lemma** *tendsto-ennrealI* [*intro, simp, tendsto-intros*]:

$(f \longrightarrow x) F \implies ((\lambda x. \text{ennreal } (f x)) \longrightarrow \text{ennreal } x) F$

*<proof>*

**lemma** *tendsto-enn2erealI* [*tendsto-intros*]:

**assumes**  $(f \longrightarrow l) F$   
**shows**  $((\lambda i. \text{enn2ereal}(f i)) \longrightarrow \text{enn2ereal } l) F$   
 ⟨proof⟩

**lemma** *tendsto-e2ennrealI* [*tendsto-intros*]:

**assumes**  $(f \longrightarrow l) F$   
**shows**  $((\lambda i. \text{e2ennreal}(f i)) \longrightarrow \text{e2ennreal } l) F$   
 ⟨proof⟩

**lemma** *ennreal-suminf-minus*:

**fixes**  $f g :: \text{nat} \Rightarrow \text{ennreal}$   
**shows**  $(\bigwedge i. g i \leq f i) \Longrightarrow \text{suminf } f \neq \text{top} \Longrightarrow \text{suminf } g \neq \text{top} \Longrightarrow (\sum i. f i - g i) = \text{suminf } f - \text{suminf } g$   
 ⟨proof⟩

**lemma** *ennreal-Sup-countable-SUP*:

$A \neq \{\}$   $\Longrightarrow \exists f :: \text{nat} \Rightarrow \text{ennreal}. \text{incseq } f \wedge \text{range } f \subseteq A \wedge \text{Sup } A = (\text{SUP } i. f i)$   
 ⟨proof⟩

**lemma** *ennreal-Inf-countable-INF*:

$A \neq \{\}$   $\Longrightarrow \exists f :: \text{nat} \Rightarrow \text{ennreal}. \text{decseq } f \wedge \text{range } f \subseteq A \wedge \text{Inf } A = (\text{INF } i. f i)$   
 ⟨proof⟩

**lemma** *ennreal-SUP-countable-SUP*:

$A \neq \{\}$   $\Longrightarrow \exists f :: \text{nat} \Rightarrow \text{ennreal}. \text{range } f \subseteq g' A \wedge \text{Sup } (g' A) = \text{Sup } (f' \text{UNIV})$   
 ⟨proof⟩

**lemma** *of-nat-tendsto-top-ennreal*:  $(\lambda n :: \text{nat}. \text{of-nat } n :: \text{ennreal}) \longrightarrow \text{top}$

⟨proof⟩

**lemma** *SUP-sup-continuous-ennreal*:

**fixes**  $f :: \text{ennreal} \Rightarrow 'a :: \text{complete-lattice}$   
**assumes**  $f: \text{sup-continuous } f$  **and**  $I \neq \{\}$   
**shows**  $(\text{SUP } i \in I. f (g i)) = f (\text{SUP } i \in I. g i)$   
 ⟨proof⟩

**lemma** *ennreal-suminf-SUP-eq*:

**fixes**  $f :: \text{nat} \Rightarrow \text{nat} \Rightarrow \text{ennreal}$   
**shows**  $(\bigwedge i. \text{incseq } (\lambda n. f n i)) \Longrightarrow (\sum i. \text{SUP } n. f n i) = (\text{SUP } n. \sum i. f n i)$   
 ⟨proof⟩

**lemma** *ennreal-SUP-add-left*:

**fixes**  $c :: \text{ennreal}$   
**shows**  $I \neq \{\} \Longrightarrow (\text{SUP } i \in I. f i + c) = (\text{SUP } i \in I. f i) + c$   
 ⟨proof⟩

**lemma** *ennreal-SUP-const-minus*:

**fixes**  $f :: 'a \Rightarrow \text{ennreal}$   
**shows**  $I \neq \{\} \Longrightarrow c < \text{top} \Longrightarrow (\text{INF } x \in I. c - f x) = c - (\text{SUP } x \in I. f x)$

$\langle proof \rangle$

**lemma** *isCont-ennreal[simp]*:  $\langle isCont\ ennreal\ x \rangle$   
 $\langle proof \rangle$

**lemma** *isCont-ennreal-of-enat[simp]*:  $\langle isCont\ ennreal-of-enat\ x \rangle$   
 $\langle proof \rangle$

## 41.9 Approximation lemmas

**lemma** *INF-approx-ennreal*:  
**fixes**  $x::ennreal$  **and**  $e::real$   
**assumes**  $e > 0$   
**assumes** *INF*:  $x = (INF\ i \in A. f\ i)$   
**assumes**  $x \neq \infty$   
**shows**  $\exists i \in A. f\ i < x + e$   
 $\langle proof \rangle$

**lemma** *SUP-approx-ennreal*:  
**fixes**  $x::ennreal$  **and**  $e::real$   
**assumes**  $e > 0$   $A \neq \{\}$   
**assumes** *SUP*:  $x = (SUP\ i \in A. f\ i)$   
**assumes**  $x \neq \infty$   
**shows**  $\exists i \in A. x < f\ i + e$   
 $\langle proof \rangle$

**lemma** *ennreal-approx-SUP*:  
**fixes**  $x::ennreal$   
**assumes** *f-bound*:  $\bigwedge i. i \in A \implies f\ i \leq x$   
**assumes** *approx*:  $\bigwedge e. (e::real) > 0 \implies \exists i \in A. x \leq f\ i + e$   
**shows**  $x = (SUP\ i \in A. f\ i)$   
 $\langle proof \rangle$

**lemma** *ennreal-approx-INF*:  
**fixes**  $x::ennreal$   
**assumes** *f-bound*:  $\bigwedge i. i \in A \implies x \leq f\ i$   
**assumes** *approx*:  $\bigwedge e. (e::real) > 0 \implies \exists i \in A. f\ i \leq x + e$   
**shows**  $x = (INF\ i \in A. f\ i)$   
 $\langle proof \rangle$

**lemma** *ennreal-approx-unit*:  
 $(\bigwedge a::ennreal. 0 < a \implies a < 1 \implies a * z \leq y) \implies z \leq y$   
 $\langle proof \rangle$

**lemma** *suminf-ennreal2*:  
 $(\bigwedge i. 0 \leq f\ i) \implies summable\ f \implies (\sum i. ennreal\ (f\ i)) = ennreal\ (\sum i. f\ i)$   
 $\langle proof \rangle$



**lemma** *less-top-ennreal*:  $x < \text{top} \longleftrightarrow (\exists r \geq 0. x = \text{ennreal } r)$

*<proof>*

**lemma** *enn2real-less-iff[simp]*:  $x < \text{top} \implies \text{enn2real } x < c \longleftrightarrow x < c$

*<proof>*

**lemma** *enn2real-le-iff[simp]*:  $\llbracket x < \text{top}; c > 0 \rrbracket \implies \text{enn2real } x \leq c \longleftrightarrow x \leq c$

*<proof>*

**lemma** *enn2real-less*:

**assumes**  $\text{enn2real } e < r$   $e \neq \text{top}$  **shows**  $e < \text{ennreal } r$

*<proof>*

**lemma** *enn2real-le*:

**assumes**  $\text{enn2real } e \leq r$   $e \neq \text{top}$  **shows**  $e \leq \text{ennreal } r$

*<proof>*

**lemma** *tendsto-top-iff-ennreal*:

**fixes**  $f :: 'a \Rightarrow \text{ennreal}$

**shows**  $(f \longrightarrow \text{top}) F \longleftrightarrow (\forall l \geq 0. \text{eventually } (\lambda x. \text{ennreal } l < f x) F)$

*<proof>*

**lemma** *ennreal-tendsto-top-eq-at-top*:

$((\lambda z. \text{ennreal } (f z)) \longrightarrow \text{top}) F \longleftrightarrow (\text{LIM } z F. f z := \text{at-top})$

*<proof>*

**lemma** *tendsto-0-if-Limsup-eq-0-ennreal*:

**fixes**  $f :: - \Rightarrow \text{ennreal}$

**shows**  $\text{Limsup } F f = 0 \implies (f \longrightarrow 0) F$

*<proof>*

**lemma** *diff-le-self-ennreal[simp]*:  $a - b \leq (a :: \text{ennreal})$

*<proof>*

**lemma** *ennreal-ineq-diff-add*:  $b \leq a \implies a = b + (a - b :: \text{ennreal})$

*<proof>*

**lemma** *ennreal-mult-strict-left-mono*:  $(a :: \text{ennreal}) < c \implies 0 < b \implies b < \text{top} \implies$

$b * a < b * c$

*<proof>*

**lemma** *ennreal-between*:  $0 < e \implies 0 < x \implies x < \text{top} \implies x - e < (x :: \text{ennreal})$

*<proof>*

**lemma** *minus-less-iff-ennreal*:  $b < \text{top} \implies b \leq a \implies a - b < c \longleftrightarrow a < c +$

$(b :: \text{ennreal})$

*<proof>*

**lemma** *tendsto-zero-ennreal*:

**assumes** *ev*:  $\bigwedge r. 0 < r \implies \forall_F x \text{ in } F. f x < \text{ennreal } r$

**shows**  $(f \longrightarrow 0) F$

*<proof>*

**lifting-update** *ennreal.lifting*

**lifting-forget** *ennreal.lifting*

#### 41.10 *ennreal* theorems

**lemma** *neq-top-trans*: **fixes**  $x y :: \text{ennreal}$  **shows**  $\llbracket y \neq \text{top}; x \leq y \rrbracket \implies x \neq \text{top}$   
*<proof>*

**lemma** *diff-diff-ennreal*: **fixes**  $a b :: \text{ennreal}$  **shows**  $a \leq b \implies b \neq \infty \implies b - (b - a) = a$   
*<proof>*

**lemma** *ennreal-less-one-iff[simp]*:  $\text{ennreal } x < 1 \iff x < 1$   
*<proof>*

**lemma** *SUP-const-minus-ennreal*:

**fixes**  $f :: 'a \Rightarrow \text{ennreal}$  **shows**  $I \neq \{\} \implies (\text{SUP } x \in I. c - f x) = c - (\text{INF } x \in I. f x)$

**including** *ennreal.lifting*

*<proof>*

**lemma** *zero-minus-ennreal[simp]*:  $0 - (a :: \text{ennreal}) = 0$

**including** *ennreal.lifting*

*<proof>*

**lemma** *diff-diff-commute-ennreal*:

**fixes**  $a b c :: \text{ennreal}$  **shows**  $a - b - c = a - c - b$

*<proof>*

**lemma** *diff-gr0-ennreal*:  $b < (a :: \text{ennreal}) \implies 0 < a - b$

**including** *ennreal.lifting* *<proof>*

**lemma** *divide-le-posI-ennreal*:

**fixes**  $x y z :: \text{ennreal}$

**shows**  $x > 0 \implies z \leq x * y \implies z / x \leq y$

*<proof>*

**lemma** *add-diff-eq-ennreal*:

**fixes**  $x y z :: \text{ennreal}$

**shows**  $z \leq y \implies x + (y - z) = x + y - z$

*<proof>*

**lemma** *add-diff-inverse-ennreal*:

**fixes**  $x y :: \text{ennreal}$  **shows**  $x \leq y \implies x + (y - x) = y$

*<proof>*

**lemma** *add-diff-eq-iff-ennreal[simp]*:

**fixes**  $x\ y :: \text{ennreal}$  **shows**  $x + (y - x) = y \longleftrightarrow x \leq y$   
*<proof>*

**lemma** *add-diff-le-ennreal*:  $a + b - c \leq a + (b - c :: \text{ennreal})$

*<proof>*

**lemma** *diff-eq-0-ennreal*:  $a < \text{top} \implies a \leq b \implies a - b = (0 :: \text{ennreal})$

*<proof>*

**lemma** *diff-diff-ennreal'*: **fixes**  $x\ y\ z :: \text{ennreal}$  **shows**  $z \leq y \implies y - z \leq x \implies x - (y - z) = x + z - y$

*<proof>*

**lemma** *diff-diff-ennreal''*: **fixes**  $x\ y\ z :: \text{ennreal}$

**shows**  $z \leq y \implies x - (y - z) = (\text{if } y - z \leq x \text{ then } x + z - y \text{ else } 0)$   
*<proof>*

**lemma** *power-less-top-ennreal*: **fixes**  $x :: \text{ennreal}$  **shows**  $x^n < \text{top} \longleftrightarrow x < \text{top} \vee n = 0$

*<proof>*

**lemma** *ennreal-divide-times*:  $(a / b) * c = a * (c / b :: \text{ennreal})$

*<proof>*

**lemma** *diff-less-top-ennreal*:  $a - b < \text{top} \longleftrightarrow a < (\text{top} :: \text{ennreal})$

*<proof>*

**lemma** *divide-less-ennreal*:  $b \neq 0 \implies b < \text{top} \implies a / b < c \longleftrightarrow a < (c * b :: \text{ennreal})$

*<proof>*

**lemma** *one-less-numeral[simp]*:  $1 < (\text{numeral } n :: \text{ennreal}) \longleftrightarrow (\text{num.One} < n)$

*<proof>*

**lemma** *divide-eq-1-ennreal*:  $a / b = (1 :: \text{ennreal}) \longleftrightarrow (b \neq \text{top} \wedge b \neq 0 \wedge b = a)$

*<proof>*

**lemma** *ennreal-mult-cancel-left*:  $(a * b = a * c) = (a = \text{top} \wedge b \neq 0 \wedge c \neq 0 \vee a = 0 \vee b = (c :: \text{ennreal}))$

*<proof>*

**lemma** *ennreal-minus-if*:  $\text{ennreal } a - \text{ennreal } b = \text{ennreal } (\text{if } 0 \leq b \text{ then } (\text{if } b \leq a \text{ then } a - b \text{ else } 0) \text{ else } a)$

*<proof>*

**lemma** *ennreal-plus-if*:  $\text{ennreal } a + \text{ennreal } b = \text{ennreal } (\text{if } 0 \leq a \text{ then } (\text{if } 0 \leq b$

then  $a + b$  else  $a$  else  $b$ )  
 ⟨proof⟩

**lemma** *ennreal-diff-le-mono-left*:  $a \leq b \implies a - c \leq (b::ennreal)$   
 ⟨proof⟩

**lemma** *ennreal-minus-le-iff*:  $a - b \leq c \iff (a \leq b + (c::ennreal) \wedge (a = top \wedge b = top \implies c = top))$   
 ⟨proof⟩

**lemma** *ennreal-le-minus-iff*:  $a \leq b - c \iff (a + c \leq (b::ennreal) \vee (a = 0 \wedge b \leq c))$   
 ⟨proof⟩

**lemma** *diff-add-eq-diff-diff-swap-ennreal*:  $x - (y + z :: ennreal) = x - y - z$   
 ⟨proof⟩

**lemma** *diff-add-assoc2-ennreal*:  $b \leq a \implies (a - b + c::ennreal) = a + c - b$   
 ⟨proof⟩

**lemma** *diff-gt-0-iff-gt-ennreal*:  $0 < a - b \iff (a = top \wedge b = top \vee b < (a::ennreal))$   
 ⟨proof⟩

**lemma** *diff-eq-0-iff-ennreal*:  $(a - b::ennreal) = 0 \iff (a < top \wedge a \leq b)$   
 ⟨proof⟩

**lemma** *add-diff-self-ennreal*:  $a + (b - a::ennreal) = (if\ a \leq b\ then\ b\ else\ a)$   
 ⟨proof⟩

**lemma** *diff-add-self-ennreal*:  $(b - a + a::ennreal) = (if\ a \leq b\ then\ b\ else\ a)$   
 ⟨proof⟩

**lemma** *ennreal-minus-cancel-iff*:

**fixes**  $a\ b\ c :: ennreal$

**shows**  $a - b = a - c \iff (b = c \vee (a \leq b \wedge a \leq c) \vee a = top)$

⟨proof⟩

The next lemma is wrong for  $a = top$ , for  $b = c = 1$  for instance.

**lemma** *ennreal-right-diff-distrib*:

**fixes**  $a\ b\ c :: ennreal$

**assumes**  $a \neq top$

**shows**  $a * (b - c) = a * b - a * c$

⟨proof⟩

**lemma** *SUP-diff-ennreal*:

$c < top \implies (SUP\ i \in I. f\ i - c :: ennreal) = (SUP\ i \in I. f\ i) - c$

⟨proof⟩

**lemma** *ennreal-SUP-add-right*:

**fixes**  $c :: \text{ennreal}$  **shows**  $I \neq \{\}$   $\implies c + (\text{SUP } i \in I. f i) = (\text{SUP } i \in I. c + f i)$   
 ⟨proof⟩

**lemma** *SUP-add-directed-ennreal*:

**fixes**  $f g :: - \Rightarrow \text{ennreal}$

**assumes** *directed*:  $\bigwedge i j. i \in I \implies j \in I \implies \exists k \in I. f i + g j \leq f k + g k$

**shows**  $(\text{SUP } i \in I. f i + g i) = (\text{SUP } i \in I. f i) + (\text{SUP } i \in I. g i)$

⟨proof⟩

**lemma** *enn2real-eq-0-iff*:  $\text{enn2real } x = 0 \iff x = 0 \vee x = \text{top}$

⟨proof⟩

**lemma** *continuous-on-diff-ennreal*:

*continuous-on*  $A f \implies \text{continuous-on } A g \implies (\bigwedge x. x \in A \implies f x \neq \text{top}) \implies$   
 $(\bigwedge x. x \in A \implies g x \neq \text{top}) \implies \text{continuous-on } A (\lambda z. f z - g z :: \text{ennreal})$

**including** *ennreal.lifting*

⟨proof⟩

**lemma** *tendsto-diff-ennreal*:

$(f \longrightarrow x) F \implies (g \longrightarrow y) F \implies x \neq \text{top} \implies y \neq \text{top} \implies ((\lambda z. f z - g z :: \text{ennreal}) \longrightarrow x - y) F$

⟨proof⟩

**declare** *lim-real-of-ereal* [*tendsto-intros*]

**lemma** *tendsto-enn2real* [*tendsto-intros*]:

**assumes**  $(u \longrightarrow \text{ennreal } l) F$   $l \geq 0$

**shows**  $((\lambda n. \text{enn2real } (u n)) \longrightarrow l) F$

⟨proof⟩

**end**

## 42 Logarithm of Natural Numbers

**theory** *Log-Nat*

**imports** *Complex-Main*

**begin**

### 42.1 Preliminaries

**lemma** *divide-nat-diff-div-nat-less-one*:

$\text{real } x / \text{real } b - \text{real } (x \text{ div } b) < 1$  **for**  $x b :: \text{nat}$

⟨proof⟩

### 42.2 Floorlog

**definition** *floorlog*  $:: \text{nat} \Rightarrow \text{nat} \Rightarrow \text{nat}$

**where**  $\text{floorlog } b a = (\text{if } a > 0 \wedge b > 1 \text{ then } \text{nat } \lfloor \log b a \rfloor + 1 \text{ else } 0)$

**lemma** *floorlog-mono*:  $x \leq y \implies \text{floorlog } b \ x \leq \text{floorlog } b \ y$   
 ⟨proof⟩

**lemma** *floorlog-bounds*:  
 $b^\wedge(\text{floorlog } b \ x - 1) \leq x \wedge x < b^\wedge(\text{floorlog } b \ x)$  **if**  $x > 0 \ b > 1$   
 ⟨proof⟩

**lemma** *floorlog-power* [simp]:  
 $\text{floorlog } b \ (a * b^\wedge c) = \text{floorlog } b \ a + c$  **if**  $a > 0 \ b > 1$   
 ⟨proof⟩

**lemma** *floor-log-add-eqI*:  
 $\lfloor \log b \ (a + r) \rfloor = \lfloor \log b \ a \rfloor$  **if**  $b > 1 \ a \geq 1 \ 0 \leq r \ r < 1$   
**for**  $a \ b :: \text{nat}$  **and**  $r :: \text{real}$   
 ⟨proof⟩

**lemma** *floor-log-div*:  
 $\lfloor \log b \ x \rfloor = \lfloor \log b \ (x \ \text{div} \ b) \rfloor + 1$  **if**  $b > 1 \ x > 0 \ x \ \text{div} \ b > 0$   
**for**  $b \ x :: \text{nat}$   
 ⟨proof⟩

**lemma** *compute-floorlog* [code]:  
 $\text{floorlog } b \ x = (\text{if } x > 0 \ \wedge \ b > 1 \ \text{then } \text{floorlog } b \ (x \ \text{div} \ b) + 1 \ \text{else } 0)$   
 ⟨proof⟩

**lemma** *floor-log-eq-if*:  
 $\lfloor \log b \ x \rfloor = \lfloor \log b \ y \rfloor$  **if**  $x \ \text{div} \ b = y \ \text{div} \ b \ b > 1 \ x > 0 \ x \ \text{div} \ b \geq 1$   
**for**  $b \ x \ y :: \text{nat}$   
 ⟨proof⟩

**lemma** *floorlog-eq-if*:  
 $\text{floorlog } b \ x = \text{floorlog } b \ y$  **if**  $x \ \text{div} \ b = y \ \text{div} \ b \ b > 1 \ x > 0 \ x \ \text{div} \ b \geq 1$   
**for**  $b \ x \ y :: \text{nat}$   
 ⟨proof⟩

**lemma** *floorlog-leD*:  
 $\text{floorlog } b \ x \leq w \implies b > 1 \implies x < b^\wedge w$   
 ⟨proof⟩

**lemma** *floorlog-leI*:  
 $x < b^\wedge w \implies 0 \leq w \implies b > 1 \implies \text{floorlog } b \ x \leq w$   
 ⟨proof⟩

**lemma** *floorlog-eq-zero-iff*:  
 $\text{floorlog } b \ x = 0 \iff b \leq 1 \vee x \leq 0$   
 ⟨proof⟩

**lemma** *floorlog-le-iff*:

$\text{floorlog } b \ x \leq w \iff b \leq 1 \vee b > 1 \wedge 0 \leq w \wedge x < b \wedge w$   
 ⟨proof⟩

**lemma** *floorlog-ge-SucI*:

$\text{Suc } w \leq \text{floorlog } b \ x$  **if**  $b \wedge w \leq x < b > 1$   
 ⟨proof⟩

**lemma** *floorlog-geI*:

$w \leq \text{floorlog } b \ x$  **if**  $b \wedge (w - 1) \leq x < b > 1$   
 ⟨proof⟩

**lemma** *floorlog-geD*:

$b \wedge (w - 1) \leq x$  **if**  $w \leq \text{floorlog } b \ x \wedge w > 0$   
 ⟨proof⟩

### 42.3 Bitlen

**definition** *bitlen* ::  $\text{int} \Rightarrow \text{int}$

**where**  $\text{bitlen } a = \text{floorlog } 2 \ (\text{nat } a)$

**lemma** *bitlen-alt-def*:

$\text{bitlen } a = (\text{if } a > 0 \text{ then } \lfloor \log 2 a \rfloor + 1 \text{ else } 0)$   
 ⟨proof⟩

**lemma** *bitlen-zero* [*simp*]:

$\text{bitlen } 0 = 0$   
 ⟨proof⟩

**lemma** *bitlen-nonneg*:

$0 \leq \text{bitlen } x$   
 ⟨proof⟩

**lemma** *bitlen-bounds*:

$2 \wedge \text{nat } (\text{bitlen } x - 1) \leq x \wedge x < 2 \wedge \text{nat } (\text{bitlen } x)$  **if**  $x > 0$   
 ⟨proof⟩

**lemma** *bitlen-pow2* [*simp*]:

$\text{bitlen } (b * 2 \wedge c) = \text{bitlen } b + c$  **if**  $b > 0$   
 ⟨proof⟩

**lemma** *compute-bitlen* [*code*]:

$\text{bitlen } x = (\text{if } x > 0 \text{ then } \text{bitlen } (x \text{ div } 2) + 1 \text{ else } 0)$   
 ⟨proof⟩

**lemma** *bitlen-eq-zero-iff*:

$\text{bitlen } x = 0 \iff x \leq 0$   
 ⟨proof⟩

**lemma** *bitlen-div*:

$1 \leq \text{real-of-int } m / 2^{\text{nat } (\text{bitlen } m - 1)}$   
**and**  $\text{real-of-int } m / 2^{\text{nat } (\text{bitlen } m - 1)} < 2$  **if**  $0 < m$   
 ⟨proof⟩

**lemma** *bitlen-le-iff-floorlog*:  
 $\text{bitlen } x \leq w \iff w \geq 0 \wedge \text{floorlog } 2 (\text{nat } x) \leq \text{nat } w$   
 ⟨proof⟩

**lemma** *bitlen-le-iff-power*:  
 $\text{bitlen } x \leq w \iff w \geq 0 \wedge x < 2^{\text{nat } w}$   
 ⟨proof⟩

**lemma** *less-power-nat-iff-bitlen*:  
 $x < 2^w \iff \text{bitlen } (\text{int } x) \leq w$   
 ⟨proof⟩

**lemma** *bitlen-ge-iff-power*:  
 $w \leq \text{bitlen } x \iff w \leq 0 \vee 2^{\text{nat } w - 1} \leq x$   
 ⟨proof⟩

**lemma** *bitlen-twopow-add-eq*:  
 $\text{bitlen } (2^w + b) = w + 1$  **if**  $0 \leq b < 2^w$   
 ⟨proof⟩

end

## 43 Various algebraic structures combined with a lattice

**theory** *Lattice-Algebras*  
**imports** *Complex-Main*  
**begin**

**class** *semilattice-inf-ab-group-add* = *ordered-ab-group-add* + *semilattice-inf*  
**begin**

**lemma** *add-inf-distrib-left*:  $a + \text{inf } b \ c = \text{inf } (a + b) \ (a + c)$   
 ⟨proof⟩

**lemma** *add-inf-distrib-right*:  $\text{inf } a \ b + c = \text{inf } (a + c) \ (b + c)$   
 ⟨proof⟩

end

**class** *semilattice-sup-ab-group-add* = *ordered-ab-group-add* + *semilattice-sup*  
**begin**

**lemma** *add-sup-distrib-left*:  $a + \text{sup } b \ c = \text{sup } (a + b) \ (a + c)$



*<proof>*

**lemma** *add-sup-distrib-right*:  $\text{sup } a \ b + c = \text{sup } (a + c) \ (b + c)$   
*<proof>*

**end**

**class** *lattice-ab-group-add* = *ordered-ab-group-add* + *lattice*  
**begin**

**subclass** *semilattice-inf-ab-group-add* *<proof>*  
**subclass** *semilattice-sup-ab-group-add* *<proof>*

**lemmas** *add-sup-inf-distrib* =  
*add-inf-distrib-right add-inf-distrib-left add-sup-distrib-right add-sup-distrib-left*

**lemma** *inf-eq-neg-sup*:  $\text{inf } a \ b = - \text{sup } (- a) \ (- b)$   
*<proof>*

**lemma** *sup-eq-neg-inf*:  $\text{sup } a \ b = - \text{inf } (- a) \ (- b)$   
*<proof>*

**lemma** *neg-inf-eq-sup*:  $- \text{inf } a \ b = \text{sup } (- a) \ (- b)$   
*<proof>*

**lemma** *diff-inf-eq-sup*:  $a - \text{inf } b \ c = a + \text{sup } (- b) \ (- c)$   
*<proof>*

**lemma** *neg-sup-eq-inf*:  $- \text{sup } a \ b = \text{inf } (- a) \ (- b)$   
*<proof>*

**lemma** *diff-sup-eq-inf*:  $a - \text{sup } b \ c = a + \text{inf } (- b) \ (- c)$   
*<proof>*

**lemma** *add-eq-inf-sup*:  $a + b = \text{sup } a \ b + \text{inf } a \ b$   
*<proof>*

### 43.1 Positive Part, Negative Part, Absolute Value

**definition** *nppt* ::  $'a \Rightarrow 'a$   
**where** *nppt*  $x = \text{inf } x \ 0$

**definition** *pprt* ::  $'a \Rightarrow 'a$   
**where** *pprt*  $x = \text{sup } x \ 0$

**lemma** *pprt-neg*:  $\text{pprt } (- x) = - \text{nppt } x$   
*<proof>*

**lemma** *nppt-neg*:  $\text{nppt } (- x) = - \text{pprt } x$

$\langle proof \rangle$

**lemma** *prts*:  $a = pprt\ a + nprt\ a$   
 $\langle proof \rangle$

**lemma** *zero-le-pprt*[*simp*]:  $0 \leq pprt\ a$   
 $\langle proof \rangle$

**lemma** *nprrt-le-zero*[*simp*]:  $nprrt\ a \leq 0$   
 $\langle proof \rangle$

**lemma** *le-eq-neg*:  $a \leq -b \longleftrightarrow a + b \leq 0$   
 (is ?lhs = ?rhs)  
 $\langle proof \rangle$

**lemma** *pprt-0*[*simp*]:  $pprt\ 0 = 0$   $\langle proof \rangle$

**lemma** *nprrt-0*[*simp*]:  $nprrt\ 0 = 0$   $\langle proof \rangle$

**lemma** *pprt-eq-id* [*simp*, *no-atp*]:  $0 \leq x \implies pprt\ x = x$   
 $\langle proof \rangle$

**lemma** *nprrt-eq-id* [*simp*, *no-atp*]:  $x \leq 0 \implies nprrt\ x = x$   
 $\langle proof \rangle$

**lemma** *pprt-eq-0* [*simp*, *no-atp*]:  $x \leq 0 \implies pprt\ x = 0$   
 $\langle proof \rangle$

**lemma** *nprrt-eq-0* [*simp*, *no-atp*]:  $0 \leq x \implies nprrt\ x = 0$   
 $\langle proof \rangle$

**lemma** *sup-0-imp-0*:  
 assumes  $sup\ a\ (-a) = 0$   
 shows  $a = 0$   
 $\langle proof \rangle$

**lemma** *inf-0-imp-0*:  $inf\ a\ (-a) = 0 \implies a = 0$   
 $\langle proof \rangle$

**lemma** *inf-0-eq-0* [*simp*, *no-atp*]:  $inf\ a\ (-a) = 0 \longleftrightarrow a = 0$   
 $\langle proof \rangle$

**lemma** *sup-0-eq-0* [*simp*, *no-atp*]:  $sup\ a\ (-a) = 0 \longleftrightarrow a = 0$   
 $\langle proof \rangle$

**lemma** *zero-le-double-add-iff-zero-le-single-add* [*simp*]:  $0 \leq a + a \longleftrightarrow 0 \leq a$   
 (is ?lhs  $\longleftrightarrow$  ?rhs)  
 $\langle proof \rangle$

**lemma** *double-zero* [*simp*]:  $a + a = 0 \longleftrightarrow a = 0$

*<proof>*

**lemma** *zero-less-double-add-iff-zero-less-single-add* [*simp*]:  $0 < a + a \longleftrightarrow 0 < a$   
*<proof>*

**lemma** *double-add-le-zero-iff-single-add-le-zero* [*simp*]:  $a + a \leq 0 \longleftrightarrow a \leq 0$   
*<proof>*

**lemma** *double-add-less-zero-iff-single-less-zero* [*simp*]:  $a + a < 0 \longleftrightarrow a < 0$   
*<proof>*

**declare** *neg-inf-eq-sup* [*simp*]  
**and** *neg-sup-eq-inf* [*simp*]  
**and** *diff-inf-eq-sup* [*simp*]  
**and** *diff-sup-eq-inf* [*simp*]

**lemma** *le-minus-self-iff*:  $a \leq -a \longleftrightarrow a \leq 0$   
*<proof>*

**lemma** *minus-le-self-iff*:  $-a \leq a \longleftrightarrow 0 \leq a$   
*<proof>*

**lemma** *zero-le-iff-zero-nprt*:  $0 \leq a \longleftrightarrow \text{nprt } a = 0$   
*<proof>*

**lemma** *le-zero-iff-zero-pprt*:  $a \leq 0 \longleftrightarrow \text{pprt } a = 0$   
*<proof>*

**lemma** *le-zero-iff-pprt-id*:  $0 \leq a \longleftrightarrow \text{pprt } a = a$   
*<proof>*

**lemma** *zero-le-iff-nprt-id*:  $a \leq 0 \longleftrightarrow \text{nprt } a = a$   
*<proof>*

**lemma** *pprt-mono* [*simp*, *no-atp*]:  $a \leq b \implies \text{pprt } a \leq \text{pprt } b$   
*<proof>*

**lemma** *nprt-mono* [*simp*, *no-atp*]:  $a \leq b \implies \text{nprt } a \leq \text{nprt } b$   
*<proof>*

**end**

**lemmas** *add-sup-inf-distrib* =  
*add-inf-distrib-right add-inf-distrib-left add-sup-distrib-right add-sup-distrib-left*

**class** *lattice-ab-group-add-abs* = *lattice-ab-group-add* + *abs* +  
**assumes** *abs-lattice*:  $|a| = \text{sup } a \ (-a)$   
**begin**

**lemma** *abs-prts*:  $|a| = \text{pprt } a - \text{nprt } a$   
 $\langle \text{proof} \rangle$

**subclass** *ordered-ab-group-add-abs*  
 $\langle \text{proof} \rangle$

**end**

**lemma** *sup-eq-if*:  
**fixes**  $a :: 'a::\{\text{lattice-ab-group-add,linorder}\}$   
**shows**  $\text{sup } a (- a) = (\text{if } a < 0 \text{ then } - a \text{ else } a)$   
 $\langle \text{proof} \rangle$

**lemma** *abs-if-lattice*:  
**fixes**  $a :: 'a::\{\text{lattice-ab-group-add-abs,linorder}\}$   
**shows**  $|a| = (\text{if } a < 0 \text{ then } - a \text{ else } a)$   
 $\langle \text{proof} \rangle$

**lemma** *estimate-by-abs*:  
**fixes**  $a b c :: 'a::\text{lattice-ab-group-add-abs}$   
**assumes**  $a + b \leq c$   
**shows**  $a \leq c + |b|$   
 $\langle \text{proof} \rangle$

**class** *lattice-ring* = *ordered-ring* + *lattice-ab-group-add-abs*  
**begin**

**subclass** *semilattice-inf-ab-group-add*  $\langle \text{proof} \rangle$   
**subclass** *semilattice-sup-ab-group-add*  $\langle \text{proof} \rangle$

**end**

**lemma** *abs-le-mult*:  
**fixes**  $a b :: 'a::\text{lattice-ring}$   
**shows**  $|a * b| \leq |a| * |b|$   
 $\langle \text{proof} \rangle$

**instance** *lattice-ring*  $\subseteq$  *ordered-ring-abs*  
 $\langle \text{proof} \rangle$

**lemma** *mult-le-prts*:  
**fixes**  $a b :: 'a::\text{lattice-ring}$   
**assumes**  $a1 \leq a$   
**and**  $a \leq a2$   
**and**  $b1 \leq b$   
**and**  $b \leq b2$   
**shows**  $a * b \leq$   
 $\text{pprt } a2 * \text{pprt } b2 + \text{pprt } a1 * \text{nprt } b2 + \text{nprt } a2 * \text{pprt } b1 + \text{nprt } a1 * \text{nprt } b2$

*b1*  
 ⟨*proof*⟩

**lemma** *mult-ge-prts*:

**fixes** *a b* :: '*a*::*lattice-ring*

**assumes**  $a1 \leq a$

**and**  $a \leq a2$

**and**  $b1 \leq b$

**and**  $b \leq b2$

**shows**  $a * b \geq$

$nprt\ a1 * pprr\ b2 + nprt\ a2 * nprt\ b2 + pprr\ a1 * pprr\ b1 + pprr\ a2 * nprt$

*b1*

⟨*proof*⟩

**instance** *int* :: *lattice-ring*

⟨*proof*⟩

**instance** *real* :: *lattice-ring*

⟨*proof*⟩

**end**

## 44 Floating-Point Numbers

**theory** *Float*

**imports** *Log-Nat Lattice-Algebras*

**begin**

**definition** *float* =  $\{m * 2^{\text{pow } e} \mid (m :: \text{int}) (e :: \text{int}). \text{True}\}$

**typedef** *float* = *float*

**morphisms** *real-of-float float-of*

⟨*proof*⟩

**setup-lifting** *type-definition-float*

**declare** *real-of-float* [*code-unfold*]

**lemmas** *float-of-inject*[*simp*]

**declare** [[*coercion real-of-float* :: *float*  $\Rightarrow$  *real*]]

**lemma** *real-of-float-eq*:  $f1 = f2 \iff \text{real-of-float } f1 = \text{real-of-float } f2$  **for** *f1 f2* :: *float*

⟨*proof*⟩

**declare** *real-of-float-inverse*[*simp*] *float-of-inverse* [*simp*]

**declare** *real-of-float* [*simp*]

#### 44.1 Real operations preserving the representation as floating point number

**lemma** *floatI*:  $m * 2^{\text{powr } e} = x \implies x \in \text{float}$  **for**  $m \ e :: \text{int}$   
 ⟨*proof*⟩

**lemma** *zero-float[simp]*:  $0 \in \text{float}$   
 ⟨*proof*⟩

**lemma** *one-float[simp]*:  $1 \in \text{float}$   
 ⟨*proof*⟩

**lemma** *numeral-float[simp]*:  $\text{numeral } i \in \text{float}$   
 ⟨*proof*⟩

**lemma** *neg-numeral-float[simp]*:  $-\text{numeral } i \in \text{float}$   
 ⟨*proof*⟩

**lemma** *real-of-int-float[simp]*:  $\text{real-of-int } x \in \text{float}$  **for**  $x :: \text{int}$   
 ⟨*proof*⟩

**lemma** *real-of-nat-float[simp]*:  $\text{real } x \in \text{float}$  **for**  $x :: \text{nat}$   
 ⟨*proof*⟩

**lemma** *two-powr-int-float[simp]*:  $2^{\text{powr } ( \text{real-of-int } i )} \in \text{float}$  **for**  $i :: \text{int}$   
 ⟨*proof*⟩

**lemma** *two-powr-nat-float[simp]*:  $2^{\text{powr } ( \text{real } i )} \in \text{float}$  **for**  $i :: \text{nat}$   
 ⟨*proof*⟩

**lemma** *two-powr-minus-int-float[simp]*:  $2^{\text{powr } - ( \text{real-of-int } i )} \in \text{float}$  **for**  $i :: \text{int}$   
 ⟨*proof*⟩

**lemma** *two-powr-minus-nat-float[simp]*:  $2^{\text{powr } - ( \text{real } i )} \in \text{float}$  **for**  $i :: \text{nat}$   
 ⟨*proof*⟩

**lemma** *two-powr-numeral-float[simp]*:  $2^{\text{powr } \text{numeral } i} \in \text{float}$   
 ⟨*proof*⟩

**lemma** *two-powr-neg-numeral-float[simp]*:  $2^{\text{powr } - \text{numeral } i} \in \text{float}$   
 ⟨*proof*⟩

**lemma** *two-pow-float[simp]*:  $2^n \in \text{float}$   
 ⟨*proof*⟩

**lemma** *plus-float[simp]*:  $r \in \text{float} \implies p \in \text{float} \implies r + p \in \text{float}$   
 ⟨*proof*⟩

**lemma** *uminus-float[simp]*:  $x \in \text{float} \implies -x \in \text{float}$

*<proof>*

**lemma** *times-float[simp]*:  $x \in \text{float} \implies y \in \text{float} \implies x * y \in \text{float}$   
*<proof>*

**lemma** *minus-float[simp]*:  $x \in \text{float} \implies y \in \text{float} \implies x - y \in \text{float}$   
*<proof>*

**lemma** *abs-float[simp]*:  $x \in \text{float} \implies |x| \in \text{float}$   
*<proof>*

**lemma** *sgn-of-float[simp]*:  $x \in \text{float} \implies \text{sgn } x \in \text{float}$   
*<proof>*

**lemma** *div-power-2-float[simp]*:  $x \in \text{float} \implies x / 2^d \in \text{float}$   
*<proof>*

**lemma** *div-power-2-int-float[simp]*:  $x \in \text{float} \implies x / (2::\text{int})^d \in \text{float}$   
*<proof>*

**lemma** *div-numeral-Bit0-float[simp]*:  
**assumes**  $x / \text{numeral } n \in \text{float}$   
**shows**  $x / (\text{numeral } (\text{Num.Bit0 } n)) \in \text{float}$   
*<proof>*

**lemma** *div-neg-numeral-Bit0-float[simp]*:  
**assumes**  $x / \text{numeral } n \in \text{float}$   
**shows**  $x / (- \text{numeral } (\text{Num.Bit0 } n)) \in \text{float}$   
*<proof>*

**lemma** *power-float[simp]*:  
**assumes**  $a \in \text{float}$   
**shows**  $a ^ b \in \text{float}$   
*<proof>*

**lift-definition** *Float* ::  $\text{int} \Rightarrow \text{int} \Rightarrow \text{float}$  is  $\lambda(m::\text{int}) (e::\text{int}). m * 2^{\text{powr } e}$   
*<proof>*

**declare** *Float.rep-eq[simp]*

**code-datatype** *Float*

**lemma** *compute-real-of-float[code]*:  
 $\text{real-of-float } (\text{Float } m \ e) = (\text{if } e \geq 0 \text{ then } m * 2^{\text{nat } e} \text{ else } m / 2^{\text{nat } (-e)})$   
*<proof>*

## 44.2 Arithmetic operations on floating point numbers

**instantiation** *float* ::  $\{\text{ring-1}, \text{linorder}, \text{linordered-ring}, \text{linordered-idom}, \text{numeral}, \text{equal}\}$   
**begin**

```

lift-definition zero-float :: float is 0 ⟨proof⟩
declare zero-float.rep-eq[simp]

lift-definition one-float :: float is 1 ⟨proof⟩
declare one-float.rep-eq[simp]

lift-definition plus-float :: float ⇒ float ⇒ float is (+) ⟨proof⟩
declare plus-float.rep-eq[simp]

lift-definition times-float :: float ⇒ float ⇒ float is (*) ⟨proof⟩
declare times-float.rep-eq[simp]

lift-definition minus-float :: float ⇒ float ⇒ float is (-) ⟨proof⟩
declare minus-float.rep-eq[simp]

lift-definition uminus-float :: float ⇒ float is uminus ⟨proof⟩
declare uminus-float.rep-eq[simp]

lift-definition abs-float :: float ⇒ float is abs ⟨proof⟩
declare abs-float.rep-eq[simp]

lift-definition sgn-float :: float ⇒ float is sgn ⟨proof⟩
declare sgn-float.rep-eq[simp]

lift-definition equal-float :: float ⇒ float ⇒ bool is (=) :: real ⇒ real ⇒ bool
⟨proof⟩

lift-definition less-eq-float :: float ⇒ float ⇒ bool is (≤) ⟨proof⟩
declare less-eq-float.rep-eq[simp]

lift-definition less-float :: float ⇒ float ⇒ bool is (<) ⟨proof⟩
declare less-float.rep-eq[simp]

instance
  ⟨proof⟩

end

lemma real-of-float [simp]: real-of-float (of-nat n) = of-nat n
  ⟨proof⟩

lemma real-of-float-of-int-eq [simp]: real-of-float (of-int z) = of-int z
  ⟨proof⟩

lemma Float-0-eq-0 [simp]: Float 0 e = 0
  ⟨proof⟩

lemma real-of-float-power [simp]: real-of-float (f^n) = real-of-float f^n for f :: float

```



*<proof>*

**lemma** *real-of-float-min*: *real-of-float (min x y) = min (real-of-float x) (real-of-float y)*

**and** *real-of-float-max*: *real-of-float (max x y) = max (real-of-float x) (real-of-float y)*

**for** *x y :: float*

*<proof>*

**instance** *float :: unbounded-dense-linorder*

*<proof>*

**instantiation** *float :: lattice-ab-group-add*

**begin**

**definition** *inf-float :: float ⇒ float ⇒ float*

**where** *inf-float a b = min a b*

**definition** *sup-float :: float ⇒ float ⇒ float*

**where** *sup-float a b = max a b*

**instance**

*<proof>*

**end**

**lemma** *float-numeral[simp]*: *real-of-float (numeral x :: float) = numeral x*

*<proof>*

**lemma** *transfer-numeral [transfer-rule]*:

*rel-fun (=) pcr-float (numeral :: - ⇒ real) (numeral :: - ⇒ float)*

*<proof>*

**lemma** *float-neg-numeral[simp]*: *real-of-float (- numeral x :: float) = - numeral x*

*<proof>*

**lemma** *transfer-neg-numeral [transfer-rule]*:

*rel-fun (=) pcr-float (- numeral :: - ⇒ real) (- numeral :: - ⇒ float)*

*<proof>*

**lemma** *float-of-numeral*: *numeral k = float-of (numeral k)*

**and** *float-of-neg-numeral*: *- numeral k = float-of (- numeral k)*

*<proof>*

### 44.3 Quickcheck

**instantiation** *float :: exhaustive*

**begin**

**definition** *exhaustive-float* **where**

*exhaustive-float*  $f$   $d =$   
*Quickcheck-Exhaustive.exhaustive*  $(\lambda x. \text{Quickcheck-Exhaustive.exhaustive } (\lambda y. f$   
 $(\text{Float } x \ y)) \ d) \ d$

**instance**  $\langle \text{proof} \rangle$

**end**

**context**

**includes** *term-syntax*

**begin**

**definition** [*code-unfold*]:

*valtermify-float*  $x \ y = \text{Code-Evaluation.valtermify Float } \{\cdot\} \ x \ \{\cdot\} \ y$

**end**

**instantiation** *float*  $::$  *full-exhaustive*

**begin**

**definition**

*full-exhaustive-float*  $f \ d =$   
*Quickcheck-Exhaustive.full-exhaustive*  
 $(\lambda x. \text{Quickcheck-Exhaustive.full-exhaustive } (\lambda y. f \ (\text{valtermify-float } x \ y)) \ d) \ d$

**instance**  $\langle \text{proof} \rangle$

**end**

**instantiation** *float*  $::$  *random*

**begin**

**definition** *Quickcheck-Random.random*  $i =$

*scomp*  $(\text{Quickcheck-Random.random } (2 \wedge \text{nat-of-natural } i))$   
 $(\lambda \text{man. scomp } (\text{Quickcheck-Random.random } i) \ (\lambda \text{exp. Pair } (\text{valtermify-float}$   
 $\text{man } \text{exp})))$

**instance**  $\langle \text{proof} \rangle$

**end**

#### 44.4 Represent floats as unique mantissa and exponent

**lemma** *int-induct-abs*[*case-names less*]:

**fixes**  $j :: \text{int}$

**assumes**  $H: \bigwedge n. (\bigwedge i. |i| < |n| \implies P \ i) \implies P \ n$

**shows**  $P \ j$

⟨proof⟩

**lemma** *int-cancel-factors*:

**fixes**  $n :: int$

**assumes**  $1 < r$

**shows**  $n = 0 \vee (\exists k i. n = k * r^i \wedge \neg r \text{ dvd } k)$

⟨proof⟩

**lemma** *mult-powr-eq-mult-powr-iff-asym*:

**fixes**  $m1\ m2\ e1\ e2 :: int$

**assumes**  $m1: \neg 2 \text{ dvd } m1$

**and**  $e1 \leq e2$

**shows**  $m1 * 2^{\text{powr } e1} = m2 * 2^{\text{powr } e2} \longleftrightarrow m1 = m2 \wedge e1 = e2$

(**is** ?lhs  $\longleftrightarrow$  ?rhs)

⟨proof⟩

**lemma** *mult-powr-eq-mult-powr-iff*:

$\neg 2 \text{ dvd } m1 \implies \neg 2 \text{ dvd } m2 \implies m1 * 2^{\text{powr } e1} = m2 * 2^{\text{powr } e2} \longleftrightarrow m1 = m2 \wedge e1 = e2$

**for**  $m1\ m2\ e1\ e2 :: int$

⟨proof⟩

**lemma** *floatE-normed*:

**assumes**  $x: x \in \text{float}$

**obtains** (zero)  $x = 0$

| (powr)  $m\ e :: int$  **where**  $x = m * 2^{\text{powr } e} \wedge \neg 2 \text{ dvd } m\ x \neq 0$

⟨proof⟩

**lemma** *float-normed-cases*:

**fixes**  $f :: float$

**obtains** (zero)  $f = 0$

| (powr)  $m\ e :: int$  **where**  $\text{real-of-float } f = m * 2^{\text{powr } e} \wedge \neg 2 \text{ dvd } m\ f \neq 0$

⟨proof⟩

**definition** *mantissa*  $:: float \Rightarrow int$

**where** *mantissa*  $f =$

$\text{fst } (\text{SOME } p::int \times int. (f = 0 \wedge \text{fst } p = 0 \wedge \text{snd } p = 0) \vee$

$(f \neq 0 \wedge \text{real-of-float } f = \text{real-of-int } (\text{fst } p) * 2^{\text{powr } \text{real-of-int } (\text{snd } p)} \wedge \neg 2 \text{ dvd } \text{fst } p))$

**definition** *exponent*  $:: float \Rightarrow int$

**where** *exponent*  $f =$

$\text{snd } (\text{SOME } p::int \times int. (f = 0 \wedge \text{fst } p = 0 \wedge \text{snd } p = 0) \vee$

$(f \neq 0 \wedge \text{real-of-float } f = \text{real-of-int } (\text{fst } p) * 2^{\text{powr } \text{real-of-int } (\text{snd } p)} \wedge \neg 2 \text{ dvd } \text{fst } p))$

**lemma** *exponent-0[simp]*:  $\text{exponent } 0 = 0$  (**is** ?E)

**and** *mantissa-0[simp]*:  $\text{mantissa } 0 = 0$  (**is** ?M)

⟨proof⟩

**lemma** *mantissa-exponent*:  $\text{real-of-float } f = \text{mantissa } f * 2^{\text{powr exponent } f}$  (**is** ?E)

**and** *mantissa-not-dvd*:  $f \neq 0 \implies \neg 2 \text{ dvd mantissa } f$  (**is** -  $\implies$  ?D)  
 <proof>

**lemma** *mantissa-noteq-0*:  $f \neq 0 \implies \text{mantissa } f \neq 0$   
 <proof>

**lemma** *mantissa-eq-zero-iff*:  $\text{mantissa } x = 0 \longleftrightarrow x = 0$   
 (**is** ?lhs  $\longleftrightarrow$  ?rhs)  
 <proof>

**lemma** *mantissa-pos-iff*:  $0 < \text{mantissa } x \longleftrightarrow 0 < x$   
 <proof>

**lemma** *mantissa-nonneg-iff*:  $0 \leq \text{mantissa } x \longleftrightarrow 0 \leq x$   
 <proof>

**lemma** *mantissa-neg-iff*:  $0 > \text{mantissa } x \longleftrightarrow 0 > x$   
 <proof>

**lemma**

**fixes**  $m e :: \text{int}$

**defines**  $f \equiv \text{float-of } (m * 2^{\text{powr } e})$

**assumes** *dvd*:  $\neg 2 \text{ dvd } m$

**shows** *mantissa-float*:  $\text{mantissa } f = m$  (**is** ?M)

**and** *exponent-float*:  $m \neq 0 \implies \text{exponent } f = e$  (**is** -  $\implies$  ?E)

<proof>

## 44.5 Compute arithmetic operations

**lemma** *Float-mantissa-exponent*:  $\text{Float } (\text{mantissa } f) (\text{exponent } f) = f$   
 <proof>

**lemma** *Float-cases* [*cases type: float*]:

**fixes**  $f :: \text{float}$

**obtains** (*Float*)  $m e :: \text{int}$  **where**  $f = \text{Float } m e$

<proof>

**lemma** *denormalize-shift*:

**assumes** *f-def*:  $f = \text{Float } m e$

**and** *not-0*:  $f \neq 0$

**obtains**  $i$  **where**  $m = \text{mantissa } f * 2^i$   $e = \text{exponent } f - i$

<proof>

**context**

**begin**

**qualified lemma** *compute-float-zero*[code-unfold, code]:  $0 = \text{Float } 0 \ 0$   
 ⟨proof⟩ **lemma** *compute-float-one*[code-unfold, code]:  $1 = \text{Float } 1 \ 0$   
 ⟨proof⟩

**lift-definition** *normfloat* :: *float*  $\Rightarrow$  *float* **is**  $\lambda x. x$  ⟨proof⟩  
**lemma** *normfloat-id*[simp]: *normfloat*  $x = x$  ⟨proof⟩ **lemma** *compute-normfloat*[code]:  
*normfloat* (*Float*  $m \ e$ ) =  
 (if  $m \bmod 2 = 0 \wedge m \neq 0$  then *normfloat* (*Float* ( $m \ \text{div } 2$ ) ( $e + 1$ ))  
 else if  $m = 0$  then  $0$  else *Float*  $m \ e$ )  
 ⟨proof⟩ **lemma** *compute-float-numeral*[code-abbrev]: *Float* (*numeral*  $k$ )  $0 = \text{numeral } k$   
 ⟨proof⟩ **lemma** *compute-float-neg-numeral*[code-abbrev]: *Float* ( $- \text{numeral } k$ )  $0 = - \text{numeral } k$   
 ⟨proof⟩ **lemma** *compute-float-uminus*[code]:  $- \text{Float } m1 \ e1 = \text{Float } (- m1) \ e1$   
 ⟨proof⟩ **lemma** *compute-float-times*[code]: *Float*  $m1 \ e1 * \text{Float } m2 \ e2 = \text{Float } (m1 * m2) \ (e1 + e2)$   
 ⟨proof⟩ **lemma** *compute-float-plus*[code]:  
*Float*  $m1 \ e1 + \text{Float } m2 \ e2 =$   
 (if  $m1 = 0$  then *Float*  $m2 \ e2$   
 else if  $m2 = 0$  then *Float*  $m1 \ e1$   
 else if  $e1 \leq e2$  then *Float* ( $m1 + m2 * 2^{\text{nat}} (e2 - e1)$ )  $e1$   
 else *Float* ( $m2 + m1 * 2^{\text{nat}} (e1 - e2)$ )  $e2$ )  
 ⟨proof⟩ **lemma** *compute-float-minus*[code]:  $f - g = f + (-g)$  **for**  $f \ g :: \text{float}$   
 ⟨proof⟩ **lemma** *compute-float-sgn*[code]:  
*sgn* (*Float*  $m1 \ e1$ ) = (if  $0 < m1$  then  $1$  else if  $m1 < 0$  then  $-1$  else  $0$ )  
 ⟨proof⟩

**lift-definition** *is-float-pos* :: *float*  $\Rightarrow$  *bool* **is**  $(<) \ 0 :: \text{real} \Rightarrow \text{bool}$  ⟨proof⟩ **lemma**  
*compute-is-float-pos*[code]: *is-float-pos* (*Float*  $m \ e$ )  $\longleftrightarrow 0 < m$   
 ⟨proof⟩

**lift-definition** *is-float-nonneg* :: *float*  $\Rightarrow$  *bool* **is**  $(\leq) \ 0 :: \text{real} \Rightarrow \text{bool}$  ⟨proof⟩ **lemma**  
*compute-is-float-nonneg*[code]: *is-float-nonneg* (*Float*  $m \ e$ )  $\longleftrightarrow 0 \leq m$   
 ⟨proof⟩

**lift-definition** *is-float-zero* :: *float*  $\Rightarrow$  *bool* **is**  $(=) \ 0 :: \text{real} \Rightarrow \text{bool}$  ⟨proof⟩ **lemma**  
*compute-is-float-zero*[code]: *is-float-zero* (*Float*  $m \ e$ )  $\longleftrightarrow 0 = m$   
 ⟨proof⟩ **lemma** *compute-float-abs*[code]:  $|\text{Float } m \ e| = \text{Float } |m| \ e$   
 ⟨proof⟩ **lemma** *compute-float-eq*[code]: *equal-class.equal*  $f \ g = \text{is-float-zero } (f - g)$   
 ⟨proof⟩

**end**

#### 44.6 Lemmas for types *real*, *nat*, *int*

**lemmas** *real-of-ints* =  
*of-int-add*  
*of-int-minus*

*of-int-diff*  
*of-int-mult*  
*of-int-power*  
*of-int-numeral of-int-neg-numeral*

**lemmas** *int-of-reals* = *real-of-ints[symmetric]*

## 44.7 Rounding Real Numbers

**definition** *round-down* :: *int*  $\Rightarrow$  *real*  $\Rightarrow$  *real*  
**where** *round-down prec x* =  $\lfloor x * 2^{\text{powr } \text{prec}} \rfloor * 2^{\text{powr } -\text{prec}}$

**definition** *round-up* :: *int*  $\Rightarrow$  *real*  $\Rightarrow$  *real*  
**where** *round-up prec x* =  $\lceil x * 2^{\text{powr } \text{prec}} \rceil * 2^{\text{powr } -\text{prec}}$

**lemma** *round-down-float[simp]*: *round-down prec x*  $\in$  *float*  
*<proof>*

**lemma** *round-up-float[simp]*: *round-up prec x*  $\in$  *float*  
*<proof>*

**lemma** *round-up*:  $x \leq \text{round-up } \text{prec } x$   
*<proof>*

**lemma** *round-down*:  $\text{round-down } \text{prec } x \leq x$   
*<proof>*

**lemma** *round-up-0[simp]*:  $\text{round-up } p \ 0 = 0$   
*<proof>*

**lemma** *round-down-0[simp]*:  $\text{round-down } p \ 0 = 0$   
*<proof>*

**lemma** *round-up-diff-round-down*:  $\text{round-up } \text{prec } x - \text{round-down } \text{prec } x \leq 2^{\text{powr } -\text{prec}}$   
*<proof>*

**lemma** *round-down-shift*:  $\text{round-down } p (x * 2^{\text{powr } k}) = 2^{\text{powr } k} * \text{round-down } (p + k) x$   
*<proof>*

**lemma** *round-up-shift*:  $\text{round-up } p (x * 2^{\text{powr } k}) = 2^{\text{powr } k} * \text{round-up } (p + k) x$   
*<proof>*

**lemma** *round-up-uminus-eq*:  $\text{round-up } p (-x) = - \text{round-down } p x$   
**and** *round-down-uminus-eq*:  $\text{round-down } p (-x) = - \text{round-up } p x$   
*<proof>*

**lemma** *round-up-mono*:  $x \leq y \implies \text{round-up } p \ x \leq \text{round-up } p \ y$   
 ⟨*proof*⟩

**lemma** *round-up-le1*:  
**assumes**  $x \leq 1 \ \text{prec} \geq 0$   
**shows**  $\text{round-up } \text{prec} \ x \leq 1$   
 ⟨*proof*⟩

**lemma** *round-up-less1*:  
**assumes**  $x < 1 / 2 \ p > 0$   
**shows**  $\text{round-up } p \ x < 1$   
 ⟨*proof*⟩

**lemma** *round-down-ge1*:  
**assumes**  $x: x \geq 1$   
**assumes** *prec*:  $p \geq -\log 2 \ x$   
**shows**  $1 \leq \text{round-down } p \ x$   
 ⟨*proof*⟩

**lemma** *round-up-le0*:  $x \leq 0 \implies \text{round-up } p \ x \leq 0$   
 ⟨*proof*⟩

## 44.8 Rounding Floats

**definition** *div-twopow* ::  $\text{int} \Rightarrow \text{nat} \Rightarrow \text{int}$   
**where** [*simp*]:  $\text{div-twopow } x \ n = x \ \text{div} \ (2 \wedge n)$

**definition** *mod-twopow* ::  $\text{int} \Rightarrow \text{nat} \Rightarrow \text{int}$   
**where** [*simp*]:  $\text{mod-twopow } x \ n = x \ \text{mod} \ (2 \wedge n)$

**lemma** *compute-div-twopow*[*code*]:  
 $\text{div-twopow } x \ n = (\text{if } x = 0 \vee x = -1 \vee n = 0 \text{ then } x \text{ else } \text{div-twopow } (x \ \text{div} \ 2) \ (n - 1))$   
 ⟨*proof*⟩

**lemma** *compute-mod-twopow*[*code*]:  
 $\text{mod-twopow } x \ n = (\text{if } n = 0 \text{ then } 0 \text{ else } x \ \text{mod} \ 2 + 2 * \text{mod-twopow } (x \ \text{div} \ 2) \ (n - 1))$   
 ⟨*proof*⟩

**lift-definition** *float-up* ::  $\text{int} \Rightarrow \text{float} \Rightarrow \text{float}$  **is** *round-up* ⟨*proof*⟩  
**declare** *float-up.rep-eq*[*simp*]

**lemma** *round-up-correct*:  $\text{round-up } e \ f - f \in \{0..2 \ \text{powr } -e\}$   
 ⟨*proof*⟩

**lemma** *float-up-correct*:  $\text{real-of-float } (\text{float-up } e \ f) - \text{real-of-float } f \in \{0..2 \ \text{powr } -e\}$   
 ⟨*proof*⟩

**lift-definition** *float-down* :: *int*  $\Rightarrow$  *float*  $\Rightarrow$  *float* **is** *round-down*  $\langle$ *proof* $\rangle$   
**declare** *float-down.rep-eq*[*simp*]

**lemma** *round-down-correct*:  $f - (\text{round-down } e f) \in \{0..2 \text{ powr } -e\}$   
 $\langle$ *proof* $\rangle$

**lemma** *float-down-correct*:  $\text{real-of-float } f - \text{real-of-float } (\text{float-down } e f) \in \{0..2 \text{ powr } -e\}$   
 $\langle$ *proof* $\rangle$

**context**  
**begin**

**qualified lemma** *compute-float-down*[*code*]:  
 $\text{float-down } p (\text{Float } m e) =$   
 $(\text{if } p + e < 0 \text{ then } \text{Float } (\text{div-two-pow } m (\text{nat } (-(p + e)))) (-p) \text{ else } \text{Float } m e)$   
 $\langle$ *proof* $\rangle$

**lemma** *abs-round-down-le*:  $|f - (\text{round-down } e f)| \leq 2 \text{ powr } -e$   
 $\langle$ *proof* $\rangle$

**lemma** *abs-round-up-le*:  $|f - (\text{round-up } e f)| \leq 2 \text{ powr } -e$   
 $\langle$ *proof* $\rangle$

**lemma** *round-down-nonneg*:  $0 \leq s \implies 0 \leq \text{round-down } p s$   
 $\langle$ *proof* $\rangle$

**lemma** *ceil-divide-floor-conv*:  
**assumes**  $b \neq 0$   
**shows**  $\lceil \text{real-of-int } a / \text{real-of-int } b \rceil =$   
 $(\text{if } b \text{ dvd } a \text{ then } a \text{ div } b \text{ else } \lfloor \text{real-of-int } a / \text{real-of-int } b \rfloor + 1)$   
 $\langle$ *proof* $\rangle$  **lemma** *compute-float-up*[*code*]:  $\text{float-up } p x = - \text{float-down } p (-x)$   
 $\langle$ *proof* $\rangle$

**end**

**lemma** *bitlen-Float*:  
**fixes**  $m e$   
**defines** [*THEN meta-eq-to-obj-eq*]:  $f \equiv \text{Float } m e$   
**shows**  $\text{bitlen } |\text{mantissa } f| + \text{exponent } f = (\text{if } m = 0 \text{ then } 0 \text{ else } \text{bitlen } |m| + e)$   
 $\langle$ *proof* $\rangle$

**lemma** *float-gt1-scale*:  
**assumes**  $1 \leq \text{Float } m e$   
**shows**  $0 \leq e + (\text{bitlen } m - 1)$   
 $\langle$ *proof* $\rangle$



## 44.9 Truncating Real Numbers

**definition** *truncate-down::nat  $\Rightarrow$  real  $\Rightarrow$  real*

**where** *truncate-down prec x = round-down (prec -  $\lfloor \log 2 |x| \rfloor$ ) x*

**lemma** *truncate-down: truncate-down prec x  $\leq$  x*

*\langle proof \rangle*

**lemma** *truncate-down-le: x  $\leq$  y  $\implies$  truncate-down prec x  $\leq$  y*

*\langle proof \rangle*

**lemma** *truncate-down-zero[simp]: truncate-down prec 0 = 0*

*\langle proof \rangle*

**lemma** *truncate-down-float[simp]: truncate-down p x  $\in$  float*

*\langle proof \rangle*

**definition** *truncate-up::nat  $\Rightarrow$  real  $\Rightarrow$  real*

**where** *truncate-up prec x = round-up (prec -  $\lfloor \log 2 |x| \rfloor$ ) x*

**lemma** *truncate-up: x  $\leq$  truncate-up prec x*

*\langle proof \rangle*

**lemma** *truncate-up-le: x  $\leq$  y  $\implies$  x  $\leq$  truncate-up prec y*

*\langle proof \rangle*

**lemma** *truncate-up-zero[simp]: truncate-up prec 0 = 0*

*\langle proof \rangle*

**lemma** *truncate-up-uminus-eq: truncate-up prec (-x) = - truncate-down prec x*

**and** *truncate-down-uminus-eq: truncate-down prec (-x) = - truncate-up prec x*

*\langle proof \rangle*

**lemma** *truncate-up-float[simp]: truncate-up p x  $\in$  float*

*\langle proof \rangle*

**lemma** *mult-powr-eq: 0 < b  $\implies$  b  $\neq$  1  $\implies$  0 < x  $\implies$  x \* b powr y = b powr (y + log b x)*

*\langle proof \rangle*

**lemma** *truncate-down-pos:*

**assumes** *x > 0*

**shows** *truncate-down p x > 0*

*\langle proof \rangle*

**lemma** *truncate-down-nonneg: 0  $\leq$  y  $\implies$  0  $\leq$  truncate-down prec y*

*\langle proof \rangle*

**lemma** *truncate-down-ge1: 1  $\leq$  x  $\implies$  1  $\leq$  truncate-down p x*

*\langle proof \rangle*

**lemma** *truncate-up-nonpos*:  $x \leq 0 \implies \text{truncate-up } \text{prec } x \leq 0$   
 ⟨*proof*⟩

**lemma** *truncate-up-le1*:  
**assumes**  $x \leq 1$   
**shows**  $\text{truncate-up } p \ x \leq 1$   
 ⟨*proof*⟩

**lemma** *truncate-down-shift-int*:  
 $\text{truncate-down } p \ (x * 2^{\text{powr } \text{real-of-int } k}) = \text{truncate-down } p \ x * 2^{\text{powr } k}$   
 ⟨*proof*⟩

**lemma** *truncate-down-shift-nat*:  $\text{truncate-down } p \ (x * 2^{\text{powr } \text{real } k}) = \text{truncate-down } p \ x * 2^{\text{powr } k}$   
 ⟨*proof*⟩

**lemma** *truncate-up-shift-int*:  $\text{truncate-up } p \ (x * 2^{\text{powr } \text{real-of-int } k}) = \text{truncate-up } p \ x * 2^{\text{powr } k}$   
 ⟨*proof*⟩

**lemma** *truncate-up-shift-nat*:  $\text{truncate-up } p \ (x * 2^{\text{powr } \text{real } k}) = \text{truncate-up } p \ x * 2^{\text{powr } k}$   
 ⟨*proof*⟩

#### 44.10 Truncating Floats

**lift-definition** *float-round-up* ::  $\text{nat} \Rightarrow \text{float} \Rightarrow \text{float}$  **is** *truncate-up*  
 ⟨*proof*⟩

**lemma** *float-round-up*:  $\text{real-of-float } x \leq \text{real-of-float } (\text{float-round-up } \text{prec } x)$   
 ⟨*proof*⟩

**lemma** *float-round-up-zero[simp]*:  $\text{float-round-up } \text{prec } 0 = 0$   
 ⟨*proof*⟩

**lift-definition** *float-round-down* ::  $\text{nat} \Rightarrow \text{float} \Rightarrow \text{float}$  **is** *truncate-down*  
 ⟨*proof*⟩

**lemma** *float-round-down*:  $\text{real-of-float } (\text{float-round-down } \text{prec } x) \leq \text{real-of-float } x$   
 ⟨*proof*⟩

**lemma** *float-round-down-zero[simp]*:  $\text{float-round-down } \text{prec } 0 = 0$   
 ⟨*proof*⟩

**lemmas** *float-round-up-le* = *order-trans*[*OF* - *float-round-up*]  
**and** *float-round-down-le* = *order-trans*[*OF* *float-round-down*]

**lemma** *minus-float-round-up-eq*:  $-\text{float-round-up } \text{prec } x = \text{float-round-down } \text{prec } x$

( $- x$ )  
**and** *minus-float-round-down-eq*:  $- \text{float-round-down } \text{prec } x = \text{float-round-up } \text{prec } (- x)$   
 ( $- x$ )  
 $\langle \text{proof} \rangle$

**context**  
**begin**

**qualified lemma** *compute-float-round-down*[code]:  
 $\text{float-round-down } \text{prec } (\text{Float } m \ e) =$   
 (let  $d = \text{bitlen } |m| - \text{int } \text{prec} - 1$  in  
 if  $0 < d$  then  $\text{Float } (\text{div-two } m \ (\text{nat } d)) \ (e + d)$   
 else  $\text{Float } m \ e$ )  
 $\langle \text{proof} \rangle$  **lemma** *compute-float-round-up*[code]:  
 $\text{float-round-up } \text{prec } x = - \text{float-round-down } \text{prec } (-x)$   
 $\langle \text{proof} \rangle$

**end**

**lemma** *truncate-up-nonneg-mono*:  
**assumes**  $0 \leq x \ x \leq y$   
**shows**  $\text{truncate-up } \text{prec } x \leq \text{truncate-up } \text{prec } y$   
 $\langle \text{proof} \rangle$

**lemma** *truncate-up-switch-sign-mono*:  
**assumes**  $x \leq 0 \ 0 \leq y$   
**shows**  $\text{truncate-up } \text{prec } x \leq \text{truncate-up } \text{prec } y$   
 $\langle \text{proof} \rangle$

**lemma** *truncate-down-switch-sign-mono*:  
**assumes**  $x \leq 0$   
**and**  $0 \leq y$   
**and**  $x \leq y$   
**shows**  $\text{truncate-down } \text{prec } x \leq \text{truncate-down } \text{prec } y$   
 $\langle \text{proof} \rangle$

**lemma** *truncate-down-nonneg-mono*:  
**assumes**  $0 \leq x \ x \leq y$   
**shows**  $\text{truncate-down } \text{prec } x \leq \text{truncate-down } \text{prec } y$   
 $\langle \text{proof} \rangle$

**lemma** *truncate-down-eq-truncate-up*:  $\text{truncate-down } p \ x = - \text{truncate-up } p \ (-x)$   
**and** *truncate-up-eq-truncate-down*:  $\text{truncate-up } p \ x = - \text{truncate-down } p \ (-x)$   
 $\langle \text{proof} \rangle$

**lemma** *truncate-down-mono*:  $x \leq y \implies \text{truncate-down } p \ x \leq \text{truncate-down } p \ y$   
 $\langle \text{proof} \rangle$

**lemma** *truncate-up-mono*:  $x \leq y \implies \text{truncate-up } p \ x \leq \text{truncate-up } p \ y$

*<proof>*

**lemma** *truncate-up-nonneg*:  $0 \leq \text{truncate-up } p \ x$  **if**  $0 \leq x$   
*<proof>*

**lemma** *truncate-up-pos*:  $0 < \text{truncate-up } p \ x$  **if**  $0 < x$   
*<proof>*

**lemma** *truncate-up-less-zero-iff[simp]*:  $\text{truncate-up } p \ x < 0 \longleftrightarrow x < 0$   
*<proof>*

**lemma** *truncate-up-nonneg-iff[simp]*:  $\text{truncate-up } p \ x \geq 0 \longleftrightarrow x \geq 0$   
*<proof>*

**lemma** *truncate-down-less-zero-iff[simp]*:  $\text{truncate-down } p \ x < 0 \longleftrightarrow x < 0$   
*<proof>*

**lemma** *truncate-down-nonneg-iff[simp]*:  $\text{truncate-down } p \ x \geq 0 \longleftrightarrow x \geq 0$   
*<proof>*

**lemma** *truncate-down-eq-zero-iff[simp]*:  $\text{truncate-down } p \ x = 0 \longleftrightarrow x = 0$   
*<proof>*

**lemma** *truncate-up-eq-zero-iff[simp]*:  $\text{truncate-up } p \ x = 0 \longleftrightarrow x = 0$   
*<proof>*

#### 44.11 Approximation of positive rationals

**lemma** *div-mult-twopow-eq*:  $a \ \text{div} \ ((2::\text{nat}) \wedge^n) \ \text{div} \ b = a \ \text{div} \ (b * 2 \wedge^n)$  **for**  $a \ b :: \text{nat}$   
*<proof>*

**lemma** *real-div-nat-eq-floor-of-divide*:  $a \ \text{div} \ b = \text{real-of-int } \lfloor a / b \rfloor$  **for**  $a \ b :: \text{nat}$   
*<proof>*

**definition** *rat-precision*  $\text{prec } x \ y =$   
 (let  $d = \text{bitlen } x - \text{bitlen } y$   
 in  $\text{int } \text{prec} - d + (\text{if } \text{Float } (\text{abs } x) \ 0 < \text{Float } (\text{abs } y) \ d \text{ then } 1 \text{ else } 0)$ )

**lemma** *floor-log-divide-eq*:  
**assumes**  $i > 0 \ j > 0 \ p > 1$   
**shows**  $\lfloor \log p \ (i / j) \rfloor = \text{floor } (\log p \ i) - \text{floor } (\log p \ j) -$   
 (if  $i \geq j * p \ \text{powr } (\text{floor } (\log p \ i) - \text{floor } (\log p \ j))$  then 0 else 1)  
*<proof>*

**lemma** *truncate-down-rat-precision*:  
 $\text{truncate-down } p \ (\text{real } x / \text{real } y) = \text{round-down } (\text{rat-precision } p \ x \ y) \ (\text{real } x / \text{real } y)$   
**and** *truncate-up-rat-precision*:

*truncate-up prec (real x / real y) = round-up (rat-precision prec x y) (real x / real y)*  
 ⟨proof⟩

**lift-definition** *lapprox-posrat :: nat ⇒ nat ⇒ nat ⇒ float*  
**is**  $\lambda prec (x::nat) (y::nat). truncate-down\ prec\ (x / y)$   
 ⟨proof⟩

**context**  
**begin**

**qualified lemma** *compute-lapprox-posrat[code]:*

*lapprox-posrat prec x y =*  
 (let  
   *l = rat-precision prec x y;*  
   *d = if 0 ≤ l then x \* 2<sup>nat l</sup> div y else x div 2<sup>nat (- l)</sup> div y*  
   *in normfloat (Float d (- l))*)  
 ⟨proof⟩

**end**

**lift-definition** *rapprox-posrat :: nat ⇒ nat ⇒ nat ⇒ float*  
**is**  $\lambda prec (x::nat) (y::nat). truncate-up\ prec\ (x / y)$   
 ⟨proof⟩

**context**  
**begin**

**qualified lemma** *compute-rapprox-posrat[code]:*

**fixes** *prec x y*  
**defines** *l ≡ rat-precision prec x y*  
**shows** *rapprox-posrat prec x y =*  
 (let  
   *l = l;*  
   *(r, s) = if 0 ≤ l then (x \* 2<sup>nat l</sup>, y) else (x, y \* 2<sup>nat(-l)</sup>);*  
   *d = r div s;*  
   *m = r mod s*  
   *in normfloat (Float (d + (if m = 0 ∨ y = 0 then 0 else 1)) (- l))*)  
 ⟨proof⟩

**end**

**lemma** *rat-precision-pos:*

**assumes**  $0 \leq x$   
**and**  $0 < y$   
**and**  $2 * x < y$   
**shows** *rat-precision n (int x) (int y) > 0*  
 ⟨proof⟩

**lemma** *rapprox-posrat-less1*:

$0 \leq x \implies 0 < y \implies 2 * x < y \implies \text{real-of-float } (\text{rapprox-posrat } n \ x \ y) < 1$   
 ⟨proof⟩

**lift-definition** *lapprox-rat* :: *nat*  $\Rightarrow$  *int*  $\Rightarrow$  *int*  $\Rightarrow$  *float* **is**

$\lambda \text{prec } (x::\text{int}) \ (y::\text{int}). \text{truncate-down } \text{prec } (x / y)$   
 ⟨proof⟩

**context**

**begin**

**qualified lemma** *compute-lapprox-rat*[code]:

*lapprox-rat* *prec* *x* *y* =  
 (if *y* = 0 then 0  
 else if  $0 \leq x$  then  
 (if  $0 < y$  then *lapprox-posrat* *prec* (nat *x*) (nat *y*)  
 else  $- (\text{rapprox-posrat } \text{prec } (\text{nat } x) (\text{nat } (-y)))$ )  
 else  
 (if  $0 < y$   
 then  $- (\text{rapprox-posrat } \text{prec } (\text{nat } (-x)) (\text{nat } y))$   
 else *lapprox-posrat* *prec* (nat  $(-x)$ ) (nat  $(-y)$ )))  
 ⟨proof⟩

**lift-definition** *rapprox-rat* :: *nat*  $\Rightarrow$  *int*  $\Rightarrow$  *int*  $\Rightarrow$  *float* **is**

$\lambda \text{prec } (x::\text{int}) \ (y::\text{int}). \text{truncate-up } \text{prec } (x / y)$   
 ⟨proof⟩

**lemma** *rapprox-rat* = *rapprox-posrat*

⟨proof⟩

**lemma** *lapprox-rat* = *lapprox-posrat*

⟨proof⟩ **lemma** *compute-rapprox-rat*[code]:

*rapprox-rat* *prec* *x* *y* =  $- \text{lapprox-rat } \text{prec } (-x) \ y$

⟨proof⟩ **lemma** *compute-truncate-down*[code]:

*truncate-down* *p* (Ratreal *r*) = (let (*a*, *b*) = *quotient-of* *r* in *lapprox-rat* *p* *a* *b*)

⟨proof⟩ **lemma** *compute-truncate-up*[code]:

*truncate-up* *p* (Ratreal *r*) = (let (*a*, *b*) = *quotient-of* *r* in *rapprox-rat* *p* *a* *b*)

⟨proof⟩

**end**

## 44.12 Division

**definition** *real-divl* *prec* *a* *b* = *truncate-down* *prec* (*a* / *b*)

**definition** *real-divr* *prec* *a* *b* = *truncate-up* *prec* (*a* / *b*)

**lift-definition** *float-divl* :: *nat*  $\Rightarrow$  *float*  $\Rightarrow$  *float*  $\Rightarrow$  *float* **is** *real-divl*

⟨proof⟩

**context**

**begin**

**qualified lemma** *compute-float-divl*[code]:

*float-divl prec (Float m1 s1) (Float m2 s2) = lapprox-rat prec m1 m2 \* Float 1 (s1 - s2)*

*<proof>*

**lift-definition** *float-divr* :: *nat*  $\Rightarrow$  *float*  $\Rightarrow$  *float*  $\Rightarrow$  *float* **is** *real-divr*

*<proof>* **lemma** *compute-float-divr*[code]:

*float-divr prec x y = - float-divl prec (-x) y*

*<proof>*

**end**

### 44.13 Approximate Addition

**definition** *plus-down prec x y = truncate-down prec (x + y)*

**definition** *plus-up prec x y = truncate-up prec (x + y)*

**lemma** *float-plus-down-float*[intro, simp]: *x*  $\in$  *float*  $\Longrightarrow$  *y*  $\in$  *float*  $\Longrightarrow$  *plus-down p x y*  $\in$  *float*

*<proof>*

**lemma** *float-plus-up-float*[intro, simp]: *x*  $\in$  *float*  $\Longrightarrow$  *y*  $\in$  *float*  $\Longrightarrow$  *plus-up p x y*  $\in$  *float*

*<proof>*

**lift-definition** *float-plus-down* :: *nat*  $\Rightarrow$  *float*  $\Rightarrow$  *float*  $\Rightarrow$  *float* **is** *plus-down* *<proof>*

**lift-definition** *float-plus-up* :: *nat*  $\Rightarrow$  *float*  $\Rightarrow$  *float*  $\Rightarrow$  *float* **is** *plus-up* *<proof>*

**lemma** *plus-down*: *plus-down prec x y*  $\leq$  *x + y*

**and** *plus-up*: *x + y*  $\leq$  *plus-up prec x y*

*<proof>*

**lemma** *float-plus-down*: *real-of-float (float-plus-down prec x y)*  $\leq$  *x + y*

**and** *float-plus-up*: *x + y*  $\leq$  *real-of-float (float-plus-up prec x y)*

*<proof>*

**lemmas** *plus-down-le = order-trans[OF plus-down]*

**and** *plus-up-le = order-trans[OF plus-up]*

**and** *float-plus-down-le = order-trans[OF float-plus-down]*

**and** *float-plus-up-le = order-trans[OF float-plus-up]*

**lemma** *compute-plus-up*[code]: *plus-up p x y = - plus-down p (-x) (-y)*

*<proof>*

**lemma** *truncate-down-log2-eqI*:

**assumes**  $\lfloor \log 2 |x| \rfloor = \lfloor \log 2 |y| \rfloor$

**assumes**  $\lfloor x * 2^{\text{powr } (p - \lfloor \log 2 |x| \rfloor)} \rfloor = \lfloor y * 2^{\text{powr } (p - \lfloor \log 2 |x| \rfloor)} \rfloor$

**shows** *truncate-down*  $p$   $x = \text{truncate-down } p$   $y$

*<proof>*

**lemma** *sum-neq-zeroI*:

$|a| \geq k \implies |b| < k \implies a + b \neq 0$

$|a| > k \implies |b| \leq k \implies a + b \neq 0$

**for**  $a$   $k :: \text{real}$

*<proof>*

**lemma** *abs-real-le-2-powr-bitlen[simp]*:  $| \text{real-of-int } m2 | < 2^{\text{powr } \text{real-of-int } (\text{bitlen } |m2|)}$

*<proof>*

**lemma** *floor-sum-times-2-powr-sgn-eq*:

**fixes**  $ai$   $p$   $q :: \text{int}$

**and**  $a$   $b :: \text{real}$

**assumes**  $a * 2^{\text{powr } p} = ai$

**and** *b-le-1*:  $|b * 2^{\text{powr } (p + 1)}| \leq 1$

**and** *leq*:  $q \leq p$

**shows**  $\lfloor (a + b) * 2^{\text{powr } q} \rfloor = \lfloor (2 * ai + \text{sgn } b) * 2^{\text{powr } (q - p - 1)} \rfloor$

*<proof>*

**lemma** *log2-abs-int-add-less-half-sgn-eq*:

**fixes**  $ai :: \text{int}$

**and**  $b :: \text{real}$

**assumes**  $|b| \leq 1/2$

**and**  $ai \neq 0$

**shows**  $\lfloor \log 2 | \text{real-of-int } ai + b | \rfloor = \lfloor \log 2 |ai + \text{sgn } b / 2| \rfloor$

*<proof>*

**context**

**begin**

**qualified lemma** *compute-far-float-plus-down*:

**fixes**  $m1$   $e1$   $m2$   $e2 :: \text{int}$

**and**  $p :: \text{nat}$

**defines**  $k1 \equiv \text{Suc } p - \text{nat } (\text{bitlen } |m1|)$

**assumes** *H*:  $\text{bitlen } |m2| \leq e1 - e2 - k1 - 2$   $m1 \neq 0$   $m2 \neq 0$   $e1 \geq e2$

**shows** *float-plus-down*  $p$  (*Float*  $m1$   $e1$ ) (*Float*  $m2$   $e2$ ) =

*float-round-down*  $p$  (*Float*  $(m1 * 2^{\wedge} (\text{Suc } (\text{Suc } k1)) + \text{sgn } m2)$   $(e1 - \text{int } k1 - 2)$ )

*<proof>*

**lemma** *compute-float-plus-down-naive[code]*: *float-plus-down*  $p$   $x$   $y = \text{float-round-down } p$   $(x + y)$



⟨proof⟩ **lemma** *compute-float-plus-down*[code]:  
**fixes**  $p::\text{nat}$  **and**  $m1\ e1\ m2\ e2::\text{int}$   
**shows**  $\text{float-plus-down } p\ (\text{Float } m1\ e1)\ (\text{Float } m2\ e2) =$   
 (if  $m1 = 0$  then  $\text{float-round-down } p\ (\text{Float } m2\ e2)$   
 else if  $m2 = 0$  then  $\text{float-round-down } p\ (\text{Float } m1\ e1)$   
 else  
 (if  $e1 \geq e2$  then  
 (let  $k1 = \text{Suc } p - \text{nat } (\text{bitlen } |m1|)$  in  
 if  $\text{bitlen } |m2| > e1 - e2 - k1 - 2$   
 then  $\text{float-round-down } p\ ((\text{Float } m1\ e1) + (\text{Float } m2\ e2))$   
 else  $\text{float-round-down } p\ (\text{Float } (m1 * 2 ^ (\text{Suc } (\text{Suc } k1)) + \text{sgn } m2)\ (e1$   
 $- \text{int } k1 - 2))$ )  
 else  $\text{float-plus-down } p\ (\text{Float } m2\ e2)\ (\text{Float } m1\ e1))$ )  
 ⟨proof⟩ **lemma** *compute-float-plus-up*[code]:  $\text{float-plus-up } p\ x\ y = - \text{float-plus-down}$   
 $p\ (-x)\ (-y)$   
 ⟨proof⟩

**lemma** *mantissa-zero*:  $\text{mantissa } 0 = 0$   
 ⟨proof⟩ **lemma** *compute-float-less*[code]:  $a < b \longleftrightarrow \text{is-float-pos } (\text{float-plus-down}$   
 $0\ b\ (-a))$   
 ⟨proof⟩ **lemma** *compute-float-le*[code]:  $a \leq b \longleftrightarrow \text{is-float-nonneg } (\text{float-plus-down}$   
 $0\ b\ (-a))$   
 ⟨proof⟩

**end**

**lemma** *plus-down-mono*:  $\text{plus-down } p\ a\ b \leq \text{plus-down } p\ c\ d$  **if**  $a + b \leq c + d$   
 ⟨proof⟩

**lemma** *plus-up-mono*:  $\text{plus-up } p\ a\ b \leq \text{plus-up } p\ c\ d$  **if**  $a + b \leq c + d$   
 ⟨proof⟩

#### 44.14 Approximate Multiplication

**lemma** *mult-mono-nonpos-nonneg*:  $a * b \leq c * d$   
**if**  $a \leq c\ a \leq 0\ 0 \leq d\ d \leq b$  **for**  $a\ b\ c\ d::'a::\text{ordered-ring}$   
 ⟨proof⟩

**lemma** *mult-mono-nonneg-nonpos*:  $b * a \leq d * c$   
**if**  $a \leq c\ c \leq 0\ 0 \leq d\ d \leq b$  **for**  $a\ b\ c\ d::'a::\text{ordered-ring}$   
 ⟨proof⟩

**lemma** *mult-mono-nonpos-nonpos*:  $a * b \leq c * d$   
**if**  $a \geq c\ a \leq 0\ b \geq d\ d \leq 0$  **for**  $a\ b\ c\ d::\text{real}$   
 ⟨proof⟩

**lemma** *mult-float-mono1*:  
**shows**  $a \leq b \implies ab \leq bb \implies$   
 $aa \leq a \implies$

$$\begin{aligned}
& b \leq ba \implies \\
& ac \leq ab \implies \\
& bb \leq bc \implies \\
& \text{plus-down prec (nprt aa * pprrt bc)} \\
& \quad (\text{plus-down prec (nprt ba * nprt bc)} \\
& \quad \quad (\text{plus-down prec (pprrt aa * pprrt ac)} \\
& \quad \quad \quad (\text{pprrt ba * nprt ac}))) \\
& \leq \text{plus-down prec (nprt a * pprrt bb)} \\
& \quad (\text{plus-down prec (nprt b * nprt bb)} \\
& \quad \quad (\text{plus-down prec (pprrt a * pprrt ab)} \\
& \quad \quad \quad (\text{pprrt b * nprt ab}))) \\
& \langle \text{proof} \rangle
\end{aligned}$$

**lemma** *mult-float-mono2*:

**shows**  $a \leq b \implies$

$$\begin{aligned}
& ab \leq bb \implies \\
& aa \leq a \implies \\
& b \leq ba \implies \\
& ac \leq ab \implies \\
& bb \leq bc \implies \\
& \text{plus-up prec (pprrt b * pprrt bb)} \\
& \quad (\text{plus-up prec (pprrt a * nprt bb)} \\
& \quad \quad (\text{plus-up prec (nprt b * pprrt ab)} \\
& \quad \quad \quad (\text{nprt a * nprt ab}))) \\
& \leq \text{plus-up prec (pprrt ba * pprrt bc)} \\
& \quad (\text{plus-up prec (pprrt aa * nprt bc)} \\
& \quad \quad (\text{plus-up prec (nprt ba * pprrt ac)} \\
& \quad \quad \quad (\text{nprt aa * nprt ac}))) \\
& \langle \text{proof} \rangle
\end{aligned}$$

#### 44.15 Approximate Power

**lemma** *div2-less-self[termination-simp]*:  $\text{odd } n \implies n \text{ div } 2 < n$  **for**  $n :: \text{nat}$   
 $\langle \text{proof} \rangle$

**fun** *power-down* ::  $\text{nat} \Rightarrow \text{real} \Rightarrow \text{nat} \Rightarrow \text{real}$

**where**

$$\begin{aligned}
& \text{power-down } p \ x \ 0 = 1 \\
& | \text{power-down } p \ x \ (\text{Suc } n) = \\
& \quad (\text{if odd } n \text{ then truncate-down (Suc } p) ((\text{power-down } p \ x \ (\text{Suc } n \text{ div } 2))^2) \\
& \quad \quad \text{else truncate-down (Suc } p) (x * \text{power-down } p \ x \ n))
\end{aligned}$$

**fun** *power-up* ::  $\text{nat} \Rightarrow \text{real} \Rightarrow \text{nat} \Rightarrow \text{real}$

**where**

$$\begin{aligned}
& \text{power-up } p \ x \ 0 = 1 \\
& | \text{power-up } p \ x \ (\text{Suc } n) = \\
& \quad (\text{if odd } n \text{ then truncate-up } p \ ((\text{power-up } p \ x \ (\text{Suc } n \text{ div } 2))^2) \\
& \quad \quad \text{else truncate-up } p \ (x * \text{power-up } p \ x \ n))
\end{aligned}$$

**lift-definition** *power-up-fl* :: nat  $\Rightarrow$  float  $\Rightarrow$  nat  $\Rightarrow$  float **is** *power-up*  
 ⟨proof⟩

**lift-definition** *power-down-fl* :: nat  $\Rightarrow$  float  $\Rightarrow$  nat  $\Rightarrow$  float **is** *power-down*  
 ⟨proof⟩

**lemma** *power-float-transfer*[transfer-rule]:  
 (rel-fun pcr-float (rel-fun (=) pcr-float)) ( $\curvearrowright$ ) ( $\curvearrowright$ )  
 ⟨proof⟩

**lemma** *compute-power-up-fl*[code]:  
*power-up-fl* p x 0 = 1  
*power-up-fl* p x (Suc n) =  
 (if odd n then float-round-up p ((*power-up-fl* p x (Suc n div 2))<sup>2</sup>)  
 else float-round-up p (x \* *power-up-fl* p x n))  
**and** *compute-power-down-fl*[code]:  
*power-down-fl* p x 0 = 1  
*power-down-fl* p x (Suc n) =  
 (if odd n then float-round-down (Suc p) ((*power-down-fl* p x (Suc n div 2))<sup>2</sup>)  
 else float-round-down (Suc p) (x \* *power-down-fl* p x n))  
 ⟨proof⟩

**lemma** *power-down-pos*: 0 < x  $\implies$  0 < *power-down* p x n  
 ⟨proof⟩

**lemma** *power-down-nonneg*: 0  $\leq$  x  $\implies$  0  $\leq$  *power-down* p x n  
 ⟨proof⟩

**lemma** *power-down*: 0  $\leq$  x  $\implies$  *power-down* p x n  $\leq$  x <sup>n</sup>  
 ⟨proof⟩

**lemma** *power-up*: 0  $\leq$  x  $\implies$  x <sup>n</sup>  $\leq$  *power-up* p x n  
 ⟨proof⟩

**lemmas** *power-up-le* = order-trans[OF - *power-up*]  
**and** *power-up-less* = less-le-trans[OF - *power-up*]  
**and** *power-down-le* = order-trans[OF *power-down*]

**lemma** *power-down-fl*: 0  $\leq$  x  $\implies$  *power-down-fl* p x n  $\leq$  x <sup>n</sup>  
 ⟨proof⟩

**lemma** *power-up-fl*: 0  $\leq$  x  $\implies$  x <sup>n</sup>  $\leq$  *power-up-fl* p x n  
 ⟨proof⟩

**lemma** *real-power-up-fl*: real-of-float (*power-up-fl* p x n) = *power-up* p x n  
 ⟨proof⟩

**lemma** *real-power-down-fl*: real-of-float (*power-down-fl* p x n) = *power-down* p x n  
 n

*<proof>*

**lemmas**  $[simp\ del] = power-down.simps(2)\ power-up.simps(2)$

**lemmas**  $power-down-simp = power-down.simps(2)$

**lemmas**  $power-up-simp = power-up.simps(2)$

**lemma**  $power-down-even-nonneg: even\ n \implies 0 \leq power-down\ p\ x\ n$

*<proof>*

**lemma**  $power-down-eq-zero-iff[simp]: power-down\ prec\ b\ n = 0 \iff b = 0 \wedge n \neq 0$

*<proof>*

**lemma**  $power-down-nonneg-iff[simp]:$

$power-down\ prec\ b\ n \geq 0 \iff even\ n \vee b \geq 0$

*<proof>*

**lemma**  $power-down-neg-iff[simp]:$

$power-down\ prec\ b\ n < 0 \iff$

$b < 0 \wedge odd\ n$

*<proof>*

**lemma**  $power-down-nonpos-iff[simp]:$

**notes**  $[simp\ del] = power-down-neg-iff\ power-down-eq-zero-iff$

**shows**  $power-down\ prec\ b\ n \leq 0 \iff b < 0 \wedge odd\ n \vee b = 0 \wedge n \neq 0$

*<proof>*

**lemma**  $power-down-mono:$

$power-down\ prec\ a\ n \leq power-down\ prec\ b\ n$

**if**  $((0 \leq a \wedge a \leq b) \vee (odd\ n \wedge a \leq b) \vee (even\ n \wedge a \leq 0 \wedge b \leq a))$

*<proof>*

**lemma**  $power-up-even-nonneg: even\ n \implies 0 \leq power-up\ p\ x\ n$

*<proof>*

**lemma**  $power-up-eq-zero-iff[simp]: power-up\ prec\ b\ n = 0 \iff b = 0 \wedge n \neq 0$

*<proof>*

**lemma**  $power-up-nonneg-iff[simp]:$

$power-up\ prec\ b\ n \geq 0 \iff even\ n \vee b \geq 0$

*<proof>*

**lemma**  $power-up-neg-iff[simp]:$

$power-up\ prec\ b\ n < 0 \iff b < 0 \wedge odd\ n$

*<proof>*

**lemma**  $power-up-nonpos-iff[simp]:$

**notes**  $[simp\ del] = power-up-neg-iff\ power-up-eq-zero-iff$

**shows**  $\text{power-up prec } b \ n \leq 0 \iff b < 0 \wedge \text{odd } n \vee b = 0 \wedge n \neq 0$   
 ⟨proof⟩

**lemma** *power-up-mono*:

$\text{power-up prec } a \ n \leq \text{power-up prec } b \ n$   
**if**  $((0 \leq a \wedge a \leq b) \vee (\text{odd } n \wedge a \leq b) \vee (\text{even } n \wedge a \leq 0 \wedge b \leq a))$   
 ⟨proof⟩

#### 44.16 Lemmas needed by Approximate

**lemma** *Float-num[simp]*:

$\text{real-of-float } (\text{Float } 1 \ 0) = 1$   
 $\text{real-of-float } (\text{Float } 1 \ 1) = 2$   
 $\text{real-of-float } (\text{Float } 1 \ 2) = 4$   
 $\text{real-of-float } (\text{Float } 1 \ (-1)) = 1/2$   
 $\text{real-of-float } (\text{Float } 1 \ (-2)) = 1/4$   
 $\text{real-of-float } (\text{Float } 1 \ (-3)) = 1/8$   
 $\text{real-of-float } (\text{Float } (-1) \ 0) = -1$   
 $\text{real-of-float } (\text{Float } (\text{numeral } n) \ 0) = \text{numeral } n$   
 $\text{real-of-float } (\text{Float } (-\text{numeral } n) \ 0) = -\text{numeral } n$   
 ⟨proof⟩

**lemma** *real-of-Float-int[simp]*:  $\text{real-of-float } (\text{Float } n \ 0) = \text{real } n$   
 ⟨proof⟩

**lemma** *float-zero[simp]*:  $\text{real-of-float } (\text{Float } 0 \ e) = 0$   
 ⟨proof⟩

**lemma** *abs-div-2-less*:  $a \neq 0 \implies a \neq -1 \implies |(a::\text{int}) \ \text{div } 2| < |a|$   
 ⟨proof⟩

**lemma** *lapprox-rat*:  $\text{real-of-float } (\text{lapprox-rat prec } x \ y) \leq \text{real-of-int } x / \text{real-of-int } y$   
 ⟨proof⟩

**lemma** *mult-div-le*:

**fixes**  $a \ b :: \text{int}$   
**assumes**  $b > 0$   
**shows**  $a \geq b * (a \ \text{div } b)$   
 ⟨proof⟩

**lemma** *lapprox-rat-nonneg*:

**assumes**  $0 \leq x$  **and**  $0 \leq y$   
**shows**  $0 \leq \text{real-of-float } (\text{lapprox-rat } n \ x \ y)$   
 ⟨proof⟩

**lemma** *rapprox-rat*:  $\text{real-of-int } x / \text{real-of-int } y \leq \text{real-of-float } (\text{rapprox-rat prec } x \ y)$   
 ⟨proof⟩

**lemma** *rapprox-rat-le1*:

**assumes**  $0 \leq x \ 0 < y \ x \leq y$

**shows**  $\text{real-of-float} (\text{rapprox-rat } n \ x \ y) \leq 1$

*<proof>*

**lemma** *rapprox-rat-nonneg-nonpos*:  $0 \leq x \implies y \leq 0 \implies \text{real-of-float} (\text{rapprox-rat } n \ x \ y) \leq 0$

*<proof>*

**lemma** *rapprox-rat-nonpos-nonneg*:  $x \leq 0 \implies 0 \leq y \implies \text{real-of-float} (\text{rapprox-rat } n \ x \ y) \leq 0$

*<proof>*

**lemma** *real-divl*:  $\text{real-divl } \text{prec } x \ y \leq x / y$

*<proof>*

**lemma** *real-divr*:  $x / y \leq \text{real-divr } \text{prec } x \ y$

*<proof>*

**lemma** *float-divl*:  $\text{real-of-float} (\text{float-divl } \text{prec } x \ y) \leq x / y$

*<proof>*

**lemma** *real-divl-lower-bound*:  $0 \leq x \implies 0 \leq y \implies 0 \leq \text{real-divl } \text{prec } x \ y$

*<proof>*

**lemma** *float-divl-lower-bound*:  $0 \leq x \implies 0 \leq y \implies 0 \leq \text{real-of-float} (\text{float-divl } \text{prec } x \ y)$

*<proof>*

**lemma** *exponent-1*:  $\text{exponent } 1 = 0$

*<proof>*

**lemma** *mantissa-1*:  $\text{mantissa } 1 = 1$

*<proof>*

**lemma** *bitlen-1*:  $\text{bitlen } 1 = 1$

*<proof>*

**lemma** *float-upper-bound*:  $x \leq 2^{\text{powr} (\text{bitlen } | \text{mantissa } x | + \text{exponent } x)}$

*<proof>*

**lemma** *real-divl-pos-less1-bound*:

**assumes**  $0 < x \ x \leq 1$

**shows**  $1 \leq \text{real-divl } \text{prec } 1 \ x$

*<proof>*

**lemma** *float-divl-pos-less1-bound*:

$0 < \text{real-of-float } x \implies \text{real-of-float } x \leq 1 \implies \text{prec} \geq 1 \implies$

$1 \leq \text{real-of-float } (\text{float-divl prec } 1 \ x)$   
 ⟨proof⟩

**lemma** *float-divr*:  $\text{real-of-float } x / \text{real-of-float } y \leq \text{real-of-float } (\text{float-divr prec } x \ y)$   
 ⟨proof⟩

**lemma** *real-divr-pos-less1-lower-bound*:  
**assumes**  $0 < x$   
**and**  $x \leq 1$   
**shows**  $1 \leq \text{real-divr prec } 1 \ x$   
 ⟨proof⟩

**lemma** *float-divr-pos-less1-lower-bound*:  $0 < x \implies x \leq 1 \implies 1 \leq \text{float-divr prec } 1 \ x$   
 ⟨proof⟩

**lemma** *real-divr-nonpos-pos-upper-bound*:  $x \leq 0 \implies 0 \leq y \implies \text{real-divr prec } x \ y \leq 0$   
 ⟨proof⟩

**lemma** *float-divr-nonpos-pos-upper-bound*:  
 $\text{real-of-float } x \leq 0 \implies 0 \leq \text{real-of-float } y \implies \text{real-of-float } (\text{float-divr prec } x \ y) \leq 0$   
 ⟨proof⟩

**lemma** *real-divr-nonneg-neg-upper-bound*:  $0 \leq x \implies y \leq 0 \implies \text{real-divr prec } x \ y \leq 0$   
 ⟨proof⟩

**lemma** *float-divr-nonneg-neg-upper-bound*:  
 $0 \leq \text{real-of-float } x \implies \text{real-of-float } y \leq 0 \implies \text{real-of-float } (\text{float-divr prec } x \ y) \leq 0$   
 ⟨proof⟩

**lemma** *Float-le-zero-iff*:  $\text{Float } a \ b \leq 0 \iff a \leq 0$   
 ⟨proof⟩

**lemma** *real-of-float-pprt[simp]*:  
**fixes**  $a :: \text{float}$   
**shows**  $\text{real-of-float } (\text{pprt } a) = \text{pprt } (\text{real-of-float } a)$   
 ⟨proof⟩

**lemma** *real-of-float-nprt[simp]*:  
**fixes**  $a :: \text{float}$   
**shows**  $\text{real-of-float } (\text{nprt } a) = \text{nprt } (\text{real-of-float } a)$   
 ⟨proof⟩

**context**

**begin**

**lift-definition** *int-floor-fl* :: *float*  $\Rightarrow$  *int* **is** *floor*  $\langle$ *proof* $\rangle$  **lemma** *compute-int-floor-fl*[*code*]:  
*int-floor-fl* (*Float* *m e*) = (if  $0 \leq e$  then  $m * 2^{\text{nat } e}$  else  $m \text{ div } (2^{\text{nat } (-e)})$ )  
 $\langle$ *proof* $\rangle$

**lift-definition** *floor-fl* :: *float*  $\Rightarrow$  *float* **is**  $\lambda x. \text{real-of-int } \lfloor x \rfloor$   
 $\langle$ *proof* $\rangle$  **lemma** *compute-floor-fl*[*code*]:  
*floor-fl* (*Float* *m e*) = (if  $0 \leq e$  then *Float* *m e* else *Float* ( $m \text{ div } (2^{\text{nat } (-e)})$ )  
 $0$ )  
 $\langle$ *proof* $\rangle$

**end**

**lemma** *floor-fl*: *real-of-float* (*floor-fl* *x*)  $\leq$  *real-of-float* *x*  
 $\langle$ *proof* $\rangle$

**lemma** *int-floor-fl*: *real-of-int* (*int-floor-fl* *x*)  $\leq$  *real-of-float* *x*  
 $\langle$ *proof* $\rangle$

**lemma** *floor-pos-exp*: *exponent* (*floor-fl* *x*)  $\geq 0$   
 $\langle$ *proof* $\rangle$

**lemma** *compute-mantissa*[*code*]:  
*mantissa* (*Float* *m e*) =  
 (if  $m = 0$  then  $0$  else if  $2 \text{ dvd } m$  then *mantissa* (*normfloat* (*Float* *m e*)) else *m*)  
 $\langle$ *proof* $\rangle$

**lemma** *compute-exponent*[*code*]:  
*exponent* (*Float* *m e*) =  
 (if  $m = 0$  then  $0$  else if  $2 \text{ dvd } m$  then *exponent* (*normfloat* (*Float* *m e*)) else *e*)  
 $\langle$ *proof* $\rangle$

**lifting-update** *Float.float.lifting*

**lifting-forget** *Float.float.lifting*

**end**

## 45 Pointwise instantiation of functions to algebra type classes

**theory** *Function-Algebras*

**imports** *Main*

**begin**

Pointwise operations

**instantiation** *fun* :: (*type*, *plus*) *plus*



**begin**

**definition**  $f + g = (\lambda x. f\ x + g\ x)$

**instance**  $\langle proof \rangle$

**end**

**lemma** *plus-fun-apply* [*simp*]:

$(f + g)\ x = f\ x + g\ x$

$\langle proof \rangle$

**instantiation** *fun* ::  $(type, zero)\ zero$

**begin**

**definition**  $0 = (\lambda x. 0)$

**instance**  $\langle proof \rangle$

**end**

**lemma** *zero-fun-apply* [*simp*]:

$0\ x = 0$

$\langle proof \rangle$

**instantiation** *fun* ::  $(type, times)\ times$

**begin**

**definition**  $f * g = (\lambda x. f\ x * g\ x)$

**instance**  $\langle proof \rangle$

**end**

**lemma** *times-fun-apply* [*simp*]:

$(f * g)\ x = f\ x * g\ x$

$\langle proof \rangle$

**instantiation** *fun* ::  $(type, one)\ one$

**begin**

**definition**  $1 = (\lambda x. 1)$

**instance**  $\langle proof \rangle$

**end**

**lemma** *one-fun-apply* [*simp*]:

$1\ x = 1$

$\langle proof \rangle$

Additive structures

**instance** *fun* ::  $(type, semigroup-add)\ semigroup-add$

*<proof>*

**instance** *fun* :: (*type*, *cancel-semigroup-add*) *cancel-semigroup-add*  
*<proof>*

**instance** *fun* :: (*type*, *ab-semigroup-add*) *ab-semigroup-add*  
*<proof>*

**instance** *fun* :: (*type*, *cancel-ab-semigroup-add*) *cancel-ab-semigroup-add*  
*<proof>*

**instance** *fun* :: (*type*, *monoid-add*) *monoid-add*  
*<proof>*

**instance** *fun* :: (*type*, *comm-monoid-add*) *comm-monoid-add*  
*<proof>*

**instance** *fun* :: (*type*, *cancel-comm-monoid-add*) *cancel-comm-monoid-add* *<proof>*

**instance** *fun* :: (*type*, *group-add*) *group-add*  
*<proof>*

**instance** *fun* :: (*type*, *ab-group-add*) *ab-group-add*  
*<proof>*

Multiplicative structures

**instance** *fun* :: (*type*, *semigroup-mult*) *semigroup-mult*  
*<proof>*

**instance** *fun* :: (*type*, *ab-semigroup-mult*) *ab-semigroup-mult*  
*<proof>*

**instance** *fun* :: (*type*, *monoid-mult*) *monoid-mult*  
*<proof>*

**instance** *fun* :: (*type*, *comm-monoid-mult*) *comm-monoid-mult*  
*<proof>*

Misc

**instance** *fun* :: (*type*, *Rings.dvd*) *Rings.dvd* *<proof>*

**instance** *fun* :: (*type*, *mult-zero*) *mult-zero*  
*<proof>*

**instance** *fun* :: (*type*, *zero-neq-one*) *zero-neq-one*  
*<proof>*

Ring structures

**instance** *fun* :: (*type*, *semiring*) *semiring*

*<proof>*

**instance** *fun* :: (*type*, *comm-semiring*) *comm-semiring*  
*<proof>*

**instance** *fun* :: (*type*, *semiring-0*) *semiring-0* *<proof>*

**instance** *fun* :: (*type*, *comm-semiring-0*) *comm-semiring-0* *<proof>*

**instance** *fun* :: (*type*, *semiring-0-cancel*) *semiring-0-cancel* *<proof>*

**instance** *fun* :: (*type*, *comm-semiring-0-cancel*) *comm-semiring-0-cancel* *<proof>*

**instance** *fun* :: (*type*, *semiring-1*) *semiring-1* *<proof>*

**lemma** *numeral-fun*:  
*<numeral n = (λx::'a. numeral n)>*  
*<proof>*

**lemma** *numeral-fun-apply [simp]*:  
*<numeral n x = numeral n>*  
*<proof>*

**lemma** *of-nat-fun*: *of-nat n = (λx::'a. of-nat n)*  
*<proof>*

**lemma** *of-nat-fun-apply [simp]*:  
*of-nat n x = of-nat n*  
*<proof>*

**instance** *fun* :: (*type*, *comm-semiring-1*) *comm-semiring-1* *<proof>*

**instance** *fun* :: (*type*, *semiring-1-cancel*) *semiring-1-cancel* *<proof>*

**instance** *fun* :: (*type*, *comm-semiring-1-cancel*) *comm-semiring-1-cancel*  
*<proof>*

**instance** *fun* :: (*type*, *semiring-char-0*) *semiring-char-0*  
*<proof>*

**instance** *fun* :: (*type*, *ring*) *ring* *<proof>*

**instance** *fun* :: (*type*, *comm-ring*) *comm-ring* *<proof>*

**instance** *fun* :: (*type*, *ring-1*) *ring-1* *<proof>*

**instance** *fun* :: (*type*, *comm-ring-1*) *comm-ring-1* *<proof>*

**instance** *fun* :: (*type*, *ring-char-0*) *ring-char-0* *<proof>*

Ordered structures

```

instance fun :: (type, ordered-ab-semigroup-add) ordered-ab-semigroup-add
  ⟨proof⟩

instance fun :: (type, ordered-cancel-ab-semigroup-add) ordered-cancel-ab-semigroup-add
  ⟨proof⟩

instance fun :: (type, ordered-ab-semigroup-add-imp-le) ordered-ab-semigroup-add-imp-le
  ⟨proof⟩

instance fun :: (type, ordered-comm-monoid-add) ordered-comm-monoid-add ⟨proof⟩

instance fun :: (type, ordered-cancel-comm-monoid-add) ordered-cancel-comm-monoid-add
  ⟨proof⟩

instance fun :: (type, ordered-ab-group-add) ordered-ab-group-add ⟨proof⟩

instance fun :: (type, ordered-semiring) ordered-semiring
  ⟨proof⟩

instance fun :: (type, dioid) dioid
  ⟨proof⟩

instance fun :: (type, ordered-comm-semiring) ordered-comm-semiring
  ⟨proof⟩

instance fun :: (type, ordered-cancel-semiring) ordered-cancel-semiring ⟨proof⟩

instance fun :: (type, ordered-cancel-comm-semiring) ordered-cancel-comm-semiring
  ⟨proof⟩

instance fun :: (type, ordered-ring) ordered-ring ⟨proof⟩

instance fun :: (type, ordered-comm-ring) ordered-comm-ring ⟨proof⟩

lemmas func-plus = plus-fun-def
lemmas func-zero = zero-fun-def
lemmas func-times = times-fun-def
lemmas func-one = one-fun-def

end

```

## 46 Pointwise instantiation of functions to division

```

theory Function-Division
imports Function-Algebras
begin

```

## 46.1 Syntactic with division

**instantiation**  $fun :: (type, inverse) inverse$   
**begin**

**definition**  $inverse\ f = inverse \circ f$

**definition**  $f\ div\ g = (\lambda x. f\ x / g\ x)$

**instance**  $\langle proof \rangle$

**end**

**lemma**  $inverse\ fun\ apply\ [simp]$ :  
 $inverse\ f\ x = inverse\ (f\ x)$   
 $\langle proof \rangle$

**lemma**  $divide\ fun\ apply\ [simp]$ :  
 $(f / g)\ x = f\ x / g\ x$   
 $\langle proof \rangle$

Unfortunately, we cannot lift these operations to algebraic type classes for division: being different from the constant zero function  $f \neq (0 :: 'a)$  is too weak as a precondition. So we must introduce our own set of lemmas.

**abbreviation**  $zero\ free :: ('b \Rightarrow 'a::field) \Rightarrow bool$  **where**  
 $zero\ free\ f \equiv \neg (\exists x. f\ x = 0)$

**lemma**  $fun\ left\ inverse$ :  
**fixes**  $f :: 'b \Rightarrow 'a::field$   
**shows**  $zero\ free\ f \Longrightarrow inverse\ f * f = 1$   
 $\langle proof \rangle$

**lemma**  $fun\ right\ inverse$ :  
**fixes**  $f :: 'b \Rightarrow 'a::field$   
**shows**  $zero\ free\ f \Longrightarrow f * inverse\ f = 1$   
 $\langle proof \rangle$

**lemma**  $fun\ divide\ inverse$ :  
**fixes**  $f\ g :: 'b \Rightarrow 'a::field$   
**shows**  $f / g = f * inverse\ g$   
 $\langle proof \rangle$

Feel free to extend this.

Another possibility would be a reformulation of the division type classes to use a *zero-free* predicate rather than a direct  $a \neq (0 :: 'a)$  condition.

**end**

## 47 Lexicographic order on functions

**theory** *Fun-Lexorder*  
**imports** *Main*  
**begin**

**definition** *less-fun* :: ('a::linorder  $\Rightarrow$  'b::linorder)  $\Rightarrow$  ('a  $\Rightarrow$  'b)  $\Rightarrow$  bool  
**where**

*less-fun* f g  $\longleftrightarrow$  ( $\exists k. f k < g k \wedge (\forall k' < k. f k' = g k')$ )

**lemma** *less-funI*:

**assumes**  $\exists k. f k < g k \wedge (\forall k' < k. f k' = g k')$

**shows** *less-fun* f g

*<proof>*

**lemma** *less-funE*:

**assumes** *less-fun* f g

**obtains** k **where** f k < g k **and**  $\bigwedge k'. k' < k \implies f k' = g k'$

*<proof>*

**lemma** *less-fun-asymp*:

**assumes** *less-fun* f g

**shows**  $\neg$  *less-fun* g f

*<proof>*

**lemma** *less-fun-irrefl*:

$\neg$  *less-fun* f f

*<proof>*

**lemma** *less-fun-trans*:

**assumes** *less-fun* f g **and** *less-fun* g h

**shows** *less-fun* f h

*<proof>*

**lemma** *order-less-fun*:

*class.order* ( $\lambda f g. \text{less-fun } f g \vee f = g$ ) *less-fun*

*<proof>*

**lemma** *less-fun-trichotomy*:

**assumes** *finite* {k. f k  $\neq$  g k}

**shows** *less-fun* f g  $\vee$  f = g  $\vee$  *less-fun* g f

*<proof>*

**end**

## 48 The going-to filter

**theory** *Going-To-Filter*  
**imports** *Complex-Main*

**begin**

**definition** *going-to-within* :: ('a  $\Rightarrow$  'b)  $\Rightarrow$  'b filter  $\Rightarrow$  'a set  $\Rightarrow$  'a filter  
 ( $\langle$ (-)/ *going'-to* (-)/ *within* (-) $\rangle$  [1000,60,60] 60) **where**  
*f going-to F within A* = *inf (filtercomap f F) (principal A)*

**abbreviation** *going-to* :: ('a  $\Rightarrow$  'b)  $\Rightarrow$  'b filter  $\Rightarrow$  'a filter  
 (**infix**  $\langle$ *going'-to* $\rangle$  60)  
**where** *f going-to F*  $\equiv$  *f going-to F within UNIV*

The *going-to* filter is, in a sense, the opposite of *filtermap*. It corresponds to the intuition of, given a function  $f : A \rightarrow B$  and a filter  $F$  on the range of  $B$ , looking at such values of  $x$  that  $f(x)$  approaches  $F$ . This can be written as *f going-to F*.

A classic example is the *at-infinity* filter, which describes the neighbourhood of infinity (i. e. all values sufficiently far away from the zero). This can also be written as *norm going-to at-top*.

Additionally, the *going-to* filter can be restricted with an optional ‘within’ parameter. For instance, if one would want to consider the filter of complex numbers near infinity that do not lie on the negative real line, one could write *cmod going-to at-top within - complex-of-real ‘{..0}*’.

A third, less mathematical example lies in the complexity analysis of algorithms. Suppose we wanted to say that an algorithm on lists takes  $O(n^2)$  time where  $n$  is the length of the input list. We can write this using the Landau symbols from the AFP, where the underlying filter is *length going-to sequentially*. If, on the other hand, we want to look the complexity of the algorithm on sorted lists, we could use the filter *length going-to sequentially within Collect sorted*.

**lemma** *going-to-def*: *f going-to F* = *filtercomap f F*  
 $\langle$ *proof* $\rangle$

**lemma** *eventually-going-toI* [*intro*]:  
**assumes** *eventually P F*  
**shows** *eventually* ( $\lambda x. P (f x)$ ) (*f going-to F*)  
 $\langle$ *proof* $\rangle$

**lemma** *filterlim-going-toI-weak* [*intro*]: *filterlim f F* (*f going-to F within A*)  
 $\langle$ *proof* $\rangle$

**lemma** *going-to-mono*:  $F \leq G \implies A \subseteq B \implies f \text{ going-to } F \text{ within } A \leq f \text{ going-to } G \text{ within } B$   
 $\langle$ *proof* $\rangle$

**lemma** *going-to-inf*:  
*f going-to (inf F G) within A* = *inf (f going-to F within A) (f going-to G within A)*  
 $\langle$ *proof* $\rangle$

**lemma** *going-to-sup*:

*f going-to (sup F G) within A ≥ sup (f going-to F within A) (f going-to G within A)*  
 ⟨proof⟩

**lemma** *going-to-top [simp]*: *f going-to top within A = principal A*

⟨proof⟩

**lemma** *going-to-bot [simp]*: *f going-to bot within A = bot*

⟨proof⟩

**lemma** *going-to-principal*:

*f going-to principal A within B = principal (f -‘ A ∩ B)*  
 ⟨proof⟩

**lemma** *going-to-within-empty [simp]*: *f going-to F within {} = bot*

⟨proof⟩

**lemma** *going-to-within-union [simp]*:

*f going-to F within (A ∪ B) = sup (f going-to F within A) (f going-to F within B)*  
 ⟨proof⟩

**lemma** *eventually-going-to-at-top-linorder*:

**fixes** *f :: 'a ⇒ 'b :: linorder*

**shows** *eventually P (f going-to at-top within A) ⟷ (∃ C. ∀ x ∈ A. f x ≥ C ⟶ P x)*

⟨proof⟩

**lemma** *eventually-going-to-at-bot-linorder*:

**fixes** *f :: 'a ⇒ 'b :: linorder*

**shows** *eventually P (f going-to at-bot within A) ⟷ (∃ C. ∀ x ∈ A. f x ≤ C ⟶ P x)*

⟨proof⟩

**lemma** *eventually-going-to-at-top-dense*:

**fixes** *f :: 'a ⇒ 'b :: {linorder, no-top}*

**shows** *eventually P (f going-to at-top within A) ⟷ (∃ C. ∀ x ∈ A. f x > C ⟶ P x)*

⟨proof⟩

**lemma** *eventually-going-to-at-bot-dense*:

**fixes** *f :: 'a ⇒ 'b :: {linorder, no-bot}*

**shows** *eventually P (f going-to at-bot within A) ⟷ (∃ C. ∀ x ∈ A. f x < C ⟶ P x)*

⟨proof⟩

**lemma** *eventually-going-to-nhds*:



*eventually P (f going-to nhds a within A)  $\longleftrightarrow$   
 $(\exists S. \text{open } S \wedge a \in S \wedge (\forall x \in A. f x \in S \longrightarrow P x))$   
 ⟨proof⟩*

**lemma** *eventually-going-to-at:*

*eventually P (f going-to (at a within B) within A)  $\longleftrightarrow$   
 $(\exists S. \text{open } S \wedge a \in S \wedge (\forall x \in A. f x \in B \cap S - \{a\} \longrightarrow P x))$   
 ⟨proof⟩*

**lemma** *norm-going-to-at-top-eq: norm going-to at-top = at-infinity*

⟨proof⟩

**lemmas** *at-infinity-altdef = norm-going-to-at-top-eq [symmetric]*

**end**

## 49 Big sum and product over function bodies

**theory** *Groups-Big-Fun*

**imports**

*Main*

**begin**

### 49.1 Abstract product

**locale** *comm-monoid-fun = comm-monoid*

**begin**

**definition**  $G :: ('b \Rightarrow 'a) \Rightarrow 'a$

**where**

*expand-set:  $G g = \text{comm-monoid-set.F f } \mathbf{1} g \{a. g a \neq \mathbf{1}\}$*

**interpretation**  $F: \text{comm-monoid-set f } \mathbf{1}$

⟨proof⟩

**lemma** *expand-superset:*

**assumes** *finite A and  $\{a. g a \neq \mathbf{1}\} \subseteq A$*

**shows**  $G g = F.F g A$

⟨proof⟩

**lemma** *conditionalize:*

**assumes** *finite A*

**shows**  $F.F g A = G (\lambda a. \text{if } a \in A \text{ then } g a \text{ else } \mathbf{1})$

⟨proof⟩

**lemma** *neutral [simp]:*

$G (\lambda a. \mathbf{1}) = \mathbf{1}$

⟨proof⟩

**lemma** *update* [*simp*]:

**assumes** *finite*  $\{a. g a \neq \mathbf{1}\}$

**assumes**  $g a = \mathbf{1}$

**shows**  $G (g(a := b)) = b * G g$

*<proof>*

**lemma** *infinite* [*simp*]:

**$\neg$  finite**  $\{a. g a \neq \mathbf{1}\} \implies G g = \mathbf{1}$

*<proof>*

**lemma** *cong* [*cong*]:

**assumes**  $\bigwedge a. g a = h a$

**shows**  $G g = G h$

*<proof>*

**lemma** *not-neutral-obtains-not-neutral*:

**assumes**  $G g \neq \mathbf{1}$

**obtains** *a* **where**  $g a \neq \mathbf{1}$

*<proof>*

**lemma** *reindex-cong*:

**assumes** *bij* *l*

**assumes**  $g \circ l = h$

**shows**  $G g = G h$

*<proof>*

**lemma** *distrib*:

**assumes** *finite*  $\{a. g a \neq \mathbf{1}\}$  **and** *finite*  $\{a. h a \neq \mathbf{1}\}$

**shows**  $G (\lambda a. g a * h a) = G g * G h$

*<proof>*

**lemma** *swap*:

**assumes** *finite* *C*

**assumes** *subset*:  $\{a. \exists b. g a b \neq \mathbf{1}\} \times \{b. \exists a. g a b \neq \mathbf{1}\} \subseteq C$  (**is**  $?A \times ?B \subseteq C$ )

**shows**  $G (\lambda a. G (g a)) = G (\lambda b. G (\lambda a. g a b))$

*<proof>*

**lemma** *cartesian-product*:

**assumes** *finite* *C*

**assumes** *subset*:  $\{a. \exists b. g a b \neq \mathbf{1}\} \times \{b. \exists a. g a b \neq \mathbf{1}\} \subseteq C$  (**is**  $?A \times ?B \subseteq C$ )

**shows**  $G (\lambda a. G (g a)) = G (\lambda (a, b). g a b)$

*<proof>*

**lemma** *cartesian-product2*:

**assumes** *fin*: *finite* *D*

**assumes** *subset*:  $\{(a, b). \exists c. g a b c \neq \mathbf{1}\} \times \{c. \exists a b. g a b c \neq \mathbf{1}\} \subseteq D$  (**is**

$?AB \times ?C \subseteq D$   
**shows**  $G (\lambda(a, b). G (g a b)) = G (\lambda(a, b, c). g a b c)$   
 $\langle proof \rangle$

**lemma** *delta* [*simp*]:  
 $G (\lambda b. \text{if } b = a \text{ then } g b \text{ else } \mathbf{1}) = g a$   
 $\langle proof \rangle$

**lemma** *delta'* [*simp*]:  
 $G (\lambda b. \text{if } a = b \text{ then } g b \text{ else } \mathbf{1}) = g a$   
 $\langle proof \rangle$

**end**

## 49.2 Concrete sum

**context** *comm-monoid-add*  
**begin**

**sublocale** *Sum-any: comm-monoid-fun plus 0*  
**rewrites** *comm-monoid-set.F plus 0 = sum*  
**defines**  $Sum\text{-any} = Sum\text{-any}.G$   
 $\langle proof \rangle$

**end**

**syntax** (*ASCII*)  
 $-Sum\text{-any} :: p\text{trn} \Rightarrow 'a \Rightarrow 'a :: comm\text{-monoid-add} \quad ((\mathcal{S}SUM \text{ - } -) [0, 10] 10)$

**syntax**  
 $-Sum\text{-any} :: p\text{trn} \Rightarrow 'a \Rightarrow 'a :: comm\text{-monoid-add} \quad ((\mathcal{S}\Sigma \text{ - } -) [0, 10] 10)$

**translations**  
 $\sum a. b \equiv CONST Sum\text{-any} (\lambda a. b)$

**lemma** *Sum-any-left-distrib*:  
**fixes**  $r :: 'a :: semiring-0$   
**assumes** *finite*  $\{a. g a \neq 0\}$   
**shows**  $Sum\text{-any } g * r = (\sum n. g n * r)$   
 $\langle proof \rangle$

**lemma** *Sum-any-right-distrib*:  
**fixes**  $r :: 'a :: semiring-0$   
**assumes** *finite*  $\{a. g a \neq 0\}$   
**shows**  $r * Sum\text{-any } g = (\sum n. r * g n)$   
 $\langle proof \rangle$

**lemma** *Sum-any-product*:  
**fixes**  $f g :: 'b \Rightarrow 'a :: semiring-0$   
**assumes** *finite*  $\{a. f a \neq 0\}$  **and** *finite*  $\{b. g b \neq 0\}$   
**shows**  $Sum\text{-any } f * Sum\text{-any } g = (\sum a. \sum b. f a * g b)$

*<proof>*

**lemma** *Sum-any-eq-zero-iff* [*simp*]:

**fixes**  $f :: 'a \Rightarrow \text{nat}$

**assumes**  $\text{finite } \{a. f a \neq 0\}$

**shows**  $\text{Sum-any } f = 0 \iff f = (\lambda \cdot. 0)$

*<proof>*

### 49.3 Concrete product

**context** *comm-monoid-mult*

**begin**

**sublocale** *Prod-any: comm-monoid-fun times 1*

**rewrites** *comm-monoid-set.F times 1 = prod*

**defines**  $\text{Prod-any} = \text{Prod-any.G}$

*<proof>*

**end**

**syntax** (*ASCII*)

*-Prod-any* ::  $\text{pttrn} \Rightarrow 'a \Rightarrow 'a :: \text{comm-monoid-mult } ((\exists \text{PROD } \cdot. \cdot) [0, 10] 10)$

**syntax**

*-Prod-any* ::  $\text{pttrn} \Rightarrow 'a \Rightarrow 'a :: \text{comm-monoid-mult } ((\exists \prod \cdot. \cdot) [0, 10] 10)$

**translations**

$\prod a. b == \text{CONST } \text{Prod-any } (\lambda a. b)$

**lemma** *Prod-any-zero*:

**fixes**  $f :: 'b \Rightarrow 'a :: \text{comm-semiring-1}$

**assumes**  $\text{finite } \{a. f a \neq 1\}$

**assumes**  $f a = 0$

**shows**  $(\prod a. f a) = 0$

*<proof>*

**lemma** *Prod-any-not-zero*:

**fixes**  $f :: 'b \Rightarrow 'a :: \text{comm-semiring-1}$

**assumes**  $\text{finite } \{a. f a \neq 1\}$

**assumes**  $(\prod a. f a) \neq 0$

**shows**  $f a \neq 0$

*<proof>*

**lemma** *power-Sum-any*:

**assumes**  $\text{finite } \{a. f a \neq 0\}$

**shows**  $c \wedge (\sum a. f a) = (\prod a. c \wedge f a)$

*<proof>*

**end**

## 50 Infinite Type Class

The type class of infinite sets (originally from the Incredible Proof Machine)

**theory** *Infinite-Typeclass*

**imports** *Complex-Main*

**begin**

**class** *infinite* =

**assumes** *infinite-UNIV*: *infinite* (*UNIV*::'a set)

**begin**

**lemma** *arb-element*: *finite* *Y*  $\implies \exists x :: 'a. x \notin Y$

*<proof>*

**lemma** *arb-finite-subset*: *finite* *Y*  $\implies \exists X :: 'a$  set.  $Y \cap X = \{\}$   $\wedge$  *finite* *X*  $\wedge n \leq \text{card } X$

*<proof>*

**lemma** *arb-countable-map*: *finite* *Y*  $\implies \exists f :: (\text{nat} \Rightarrow 'a). \text{inj } f \wedge \text{range } f \subseteq \text{UNIV} - Y$

*<proof>*

**end**

**instance** *nat* :: *infinite*

*<proof>*

**instance** *int* :: *infinite*

*<proof>*

**instance** *rat* :: *infinite*

*<proof>*

**instance** *real* :: *infinite*

*<proof>*

**instance** *complex* :: *infinite*

*<proof>*

**instance** *option* :: (*infinite*) *infinite*

*<proof>*

**instance** *prod* :: (*infinite*, *type*) *infinite*

*<proof>*

**instance** *list* :: (*type*) *infinite*

*<proof>*

end

## 51 Algebraic operations on sets

**theory** *Set-Algebras*

**imports** *Main*

**begin**

This library lifts operations like addition and multiplication to sets. It was designed to support asymptotic calculations for the now-obsolete BigO theory, but has other uses.

**instantiation** *set* :: (*plus*) *plus*

**begin**

**definition** *plus-set* :: '*a*::*plus set* ⇒ '*a set* ⇒ '*a set*

**where** *set-plus-def*:  $A + B = \{c. \exists a \in A. \exists b \in B. c = a + b\}$

**instance** ⟨*proof*⟩

end

**instantiation** *set* :: (*times*) *times*

**begin**

**definition** *times-set* :: '*a*::*times set* ⇒ '*a set* ⇒ '*a set*

**where** *set-times-def*:  $A * B = \{c. \exists a \in A. \exists b \in B. c = a * b\}$

**instance** ⟨*proof*⟩

end

**instantiation** *set* :: (*zero*) *zero*

**begin**

**definition** *set-zero[simp]*:  $(0::'a::zero set) = \{0\}$

**instance** ⟨*proof*⟩

end

**instantiation** *set* :: (*one*) *one*

**begin**

**definition** *set-one[simp]*:  $(1::'a::one set) = \{1\}$

**instance** ⟨*proof*⟩

end

**definition** *elt-set-plus* :: 'a::plus  $\Rightarrow$  'a set  $\Rightarrow$  'a set (infixl +o 70)  
**where**  $a +o B = \{c. \exists b \in B. c = a + b\}$

**definition** *elt-set-times* :: 'a::times  $\Rightarrow$  'a set  $\Rightarrow$  'a set (infixl \*o 80)  
**where**  $a *o B = \{c. \exists b \in B. c = a * b\}$

**abbreviation** (input) *elt-set-eq* :: 'a  $\Rightarrow$  'a set  $\Rightarrow$  bool (infix =o 50)  
**where**  $x =o A \equiv x \in A$

**instance** *set* :: (semigroup-add) semigroup-add  
 ⟨proof⟩

**instance** *set* :: (ab-semigroup-add) ab-semigroup-add  
 ⟨proof⟩

**instance** *set* :: (monoid-add) monoid-add  
 ⟨proof⟩

**instance** *set* :: (comm-monoid-add) comm-monoid-add  
 ⟨proof⟩

**instance** *set* :: (semigroup-mult) semigroup-mult  
 ⟨proof⟩

**instance** *set* :: (ab-semigroup-mult) ab-semigroup-mult  
 ⟨proof⟩

**instance** *set* :: (monoid-mult) monoid-mult  
 ⟨proof⟩

**instance** *set* :: (comm-monoid-mult) comm-monoid-mult  
 ⟨proof⟩

**lemma** *sumset-empty* [simp]:  $A + \{\} = \{\} \{\} + A = \{\}$   
 ⟨proof⟩

**lemma** *Un-set-plus*:  $(A \cup B) + C = (A+C) \cup (B+C)$  **and** *set-plus-Un*:  $C + (A \cup B) = (C+A) \cup (C+B)$   
 ⟨proof⟩

**lemma**

**fixes**  $A :: 'a::comm-monoid-add$  set

**shows** *insert-set-plus*:  $(insert\ a\ A) + B = (A+B) \cup (((+)\ a)\ 'B)$  **and** *set-plus-insert*:  
 $B + (insert\ a\ A) = (B+A) \cup (((+)\ a)\ 'B)$   
 ⟨proof⟩

**lemma** *set-add-0* [simp]:

**fixes**  $A :: 'a::comm-monoid-add$  set

**shows**  $\{0\} + A = A$

*<proof>*

**lemma** *set-add-0-right* [*simp*]:  
**fixes**  $A :: 'a::comm-monoid-add\ set$   
**shows**  $A + \{0\} = A$   
*<proof>*

**lemma** *card-plus-sing*:  
**fixes**  $A :: 'a::ab-group-add\ set$   
**shows**  $card\ (A + \{a\}) = card\ A$   
*<proof>*

**lemma** *set-plus-intro* [*intro*]:  $a \in C \implies b \in D \implies a + b \in C + D$   
*<proof>*

**lemma** *set-plus-elim*:  
**assumes**  $x \in A + B$   
**obtains**  $a\ b$  **where**  $x = a + b$  **and**  $a \in A$  **and**  $b \in B$   
*<proof>*

**lemma** *set-plus-intro2* [*intro*]:  $b \in C \implies a + b \in a + o\ C$   
*<proof>*

**lemma** *set-plus-rearrange*:  $(a + o\ C) + (b + o\ D) = (a + b) + o\ (C + D)$   
**for**  $a\ b :: 'a::comm-monoid-add$   
*<proof>*

**lemma** *set-plus-rearrange2*:  $a + o\ (b + o\ C) = (a + b) + o\ C$   
**for**  $a\ b :: 'a::semigroup-add$   
*<proof>*

**lemma** *set-plus-rearrange3*:  $(a + o\ B) + C = a + o\ (B + C)$   
**for**  $a :: 'a::semigroup-add$   
*<proof>*

**theorem** *set-plus-rearrange4*:  $C + (a + o\ D) = a + o\ (C + D)$   
**for**  $a :: 'a::comm-monoid-add$   
*<proof>*

**lemmas** *set-plus-rearranges* = *set-plus-rearrange set-plus-rearrange2*  
*set-plus-rearrange3 set-plus-rearrange4*

**lemma** *set-plus-mono* [*intro!*]:  $C \subseteq D \implies a + o\ C \subseteq a + o\ D$   
*<proof>*

**lemma** *set-plus-mono2* [*intro*]:  $C \subseteq D \implies E \subseteq F \implies C + E \subseteq D + F$   
**for**  $C\ D\ E\ F :: 'a::plus\ set$   
*<proof>*



**lemma** *set-plus-mono3* [*intro*]:  $a \in C \implies a +_o D \subseteq C + D$   
 ⟨*proof*⟩

**lemma** *set-plus-mono4* [*intro*]:  $a \in C \implies a +_o D \subseteq D + C$   
**for**  $a :: 'a::comm-monoid-add$   
 ⟨*proof*⟩

**lemma** *set-plus-mono5*:  $a \in C \implies B \subseteq D \implies a +_o B \subseteq C + D$   
 ⟨*proof*⟩

**lemma** *set-plus-mono-b*:  $C \subseteq D \implies x \in a +_o C \implies x \in a +_o D$   
 ⟨*proof*⟩

**lemma** *set-zero-plus* [*simp*]:  $0 +_o C = C$   
**for**  $C :: 'a::comm-monoid-add set$   
 ⟨*proof*⟩

**lemma** *set-zero-plus2*:  $0 \in A \implies B \subseteq A + B$   
**for**  $A B :: 'a::comm-monoid-add set$   
 ⟨*proof*⟩

**lemma** *set-plus-imp-minus*:  $a \in b +_o C \implies a - b \in C$   
**for**  $a b :: 'a::ab-group-add$   
 ⟨*proof*⟩

**lemma** *set-minus-imp-plus*:  $a - b \in C \implies a \in b +_o C$   
**for**  $a b :: 'a::ab-group-add$   
 ⟨*proof*⟩

**lemma** *set-minus-plus*:  $a - b \in C \iff a \in b +_o C$   
**for**  $a b :: 'a::ab-group-add$   
 ⟨*proof*⟩

**lemma** *set-times-intro* [*intro*]:  $a \in C \implies b \in D \implies a * b \in C * D$   
 ⟨*proof*⟩

**lemma** *set-times-elim*:  
**assumes**  $x \in A * B$   
**obtains**  $a b$  **where**  $x = a * b$  **and**  $a \in A$  **and**  $b \in B$   
 ⟨*proof*⟩

**lemma** *set-times-intro2* [*intro!*]:  $b \in C \implies a * b \in a *_o C$   
 ⟨*proof*⟩

**lemma** *set-times-rearrange*:  $(a *_o C) * (b *_o D) = (a * b) *_o (C * D)$   
**for**  $a b :: 'a::comm-monoid-mult$   
 ⟨*proof*⟩

**lemma** *set-times-rearrange2*:  $a *_o (b *_o C) = (a * b) *_o C$

**for**  $a\ b :: 'a::\text{semigroup-mult}$   
 ⟨*proof*⟩

**lemma** *set-times-rearrange3*:  $(a * o B) * C = a * o (B * C)$   
**for**  $a :: 'a::\text{semigroup-mult}$   
 ⟨*proof*⟩

**theorem** *set-times-rearrange4*:  $C * (a * o D) = a * o (C * D)$   
**for**  $a :: 'a::\text{comm-monoid-mult}$   
 ⟨*proof*⟩

**lemmas** *set-times-rearranges* = *set-times-rearrange set-times-rearrange2*  
*set-times-rearrange3 set-times-rearrange4*

**lemma** *set-times-mono* [*intro*]:  $C \subseteq D \implies a * o C \subseteq a * o D$   
 ⟨*proof*⟩

**lemma** *set-times-mono2* [*intro*]:  $C \subseteq D \implies E \subseteq F \implies C * E \subseteq D * F$   
**for**  $C\ D\ E\ F :: 'a::\text{times set}$   
 ⟨*proof*⟩

**lemma** *set-times-mono3* [*intro*]:  $a \in C \implies a * o D \subseteq C * D$   
 ⟨*proof*⟩

**lemma** *set-times-mono4* [*intro*]:  $a \in C \implies a * o D \subseteq D * C$   
**for**  $a :: 'a::\text{comm-monoid-mult}$   
 ⟨*proof*⟩

**lemma** *set-times-mono5*:  $a \in C \implies B \subseteq D \implies a * o B \subseteq C * D$   
 ⟨*proof*⟩

**lemma** *set-one-times* [*simp*]:  $1 * o C = C$   
**for**  $C :: 'a::\text{comm-monoid-mult set}$   
 ⟨*proof*⟩

**lemma** *set-times-plus-distrib*:  $a * o (b + o C) = (a * b) + o (a * o C)$   
**for**  $a\ b :: 'a::\text{semiring}$   
 ⟨*proof*⟩

**lemma** *set-times-plus-distrib2*:  $a * o (B + C) = (a * o B) + (a * o C)$   
**for**  $a :: 'a::\text{semiring}$   
 ⟨*proof*⟩

**lemma** *set-times-plus-distrib3*:  $(a + o C) * D \subseteq a * o D + C * D$   
**for**  $a :: 'a::\text{semiring}$   
 ⟨*proof*⟩

**lemmas** *set-times-plus-distrib3* =  
*set-times-plus-distrib*

*set-times-plus-distrib2*

**lemma** *set-neg-intro*:  $a \in (- 1) *o C \implies - a \in C$   
**for**  $a :: 'a::ring-1$   
 ⟨*proof*⟩

**lemma** *set-neg-intro2*:  $a \in C \implies - a \in (- 1) *o C$   
**for**  $a :: 'a::ring-1$   
 ⟨*proof*⟩

**lemma** *set-plus-image*:  $S + T = (\lambda(x, y). x + y) ` (S \times T)$   
 ⟨*proof*⟩

**lemma** *set-times-image*:  $S * T = (\lambda(x, y). x * y) ` (S \times T)$   
 ⟨*proof*⟩

**lemma** *finite-set-plus*:  $finite\ s \implies finite\ t \implies finite\ (s + t)$   
 ⟨*proof*⟩

**lemma** *finite-set-times*:  $finite\ s \implies finite\ t \implies finite\ (s * t)$   
 ⟨*proof*⟩

**lemma** *set-sum-alt*:  
**assumes**  $fin: finite\ I$   
**shows**  $sum\ S\ I = \{sum\ s\ I \mid s. \forall i \in I. s\ i \in S\ i\}$   
 (is  $- = ?sum\ I$ )  
 ⟨*proof*⟩

**lemma** *sum-set-cond-linear*:  
**fixes**  $f :: 'a::comm-monoid-add\ set \Rightarrow 'b::comm-monoid-add\ set$   
**assumes** [*intro!*]:  $\bigwedge A\ B. P\ A \implies P\ B \implies P\ (A + B)\ P\ \{0\}$   
**and**  $f: \bigwedge A\ B. P\ A \implies P\ B \implies f\ (A + B) = f\ A + f\ B\ f\ \{0\} = \{0\}$   
**assumes**  $all: \bigwedge i. i \in I \implies P\ (S\ i)$   
**shows**  $f\ (sum\ S\ I) = sum\ (f \circ S)\ I$   
 ⟨*proof*⟩

**lemma** *sum-set-linear*:  
**fixes**  $f :: 'a::comm-monoid-add\ set \Rightarrow 'b::comm-monoid-add\ set$   
**assumes**  $\bigwedge A\ B. f(A) + f(B) = f(A + B)\ f\ \{0\} = \{0\}$   
**shows**  $f\ (sum\ S\ I) = sum\ (f \circ S)\ I$   
 ⟨*proof*⟩

**lemma** *set-times-Un-distrib*:  
 $A * (B \cup C) = A * B \cup A * C$   
 $(A \cup B) * C = A * C \cup B * C$   
 ⟨*proof*⟩

**lemma** *set-times-UNION-distrib*:  
 $A * \bigcup (M ` I) = (\bigcup i \in I. A * M\ i)$

$$\bigcup (M \text{ ' } I) * A = (\bigcup i \in I. M \ i * A)$$

*<proof>*

**end**

## 52 Interval Type

**theory** *Interval*

**imports**

*Complex-Main*

*Lattice-Algebras*

*Set-Algebras*

**begin**

A type of non-empty, closed intervals.

**typedef** (**overloaded**) *'a interval* =  
 {(*a::'a::preorder*, *b*).  $a \leq b$ }  
**morphisms** *bounds-of-interval Interval*  
*<proof>*

**setup-lifting** *type-definition-interval*

**lift-definition** *lower::('a::preorder) interval*  $\Rightarrow$  *'a is fst* *<proof>*

**lift-definition** *upper::('a::preorder) interval*  $\Rightarrow$  *'a is snd* *<proof>*

**lemma** *interval-eq-iff*:  $a = b \iff \text{lower } a = \text{lower } b \wedge \text{upper } a = \text{upper } b$   
*<proof>*

**lemma** *interval-eqI*:  $\text{lower } a = \text{lower } b \implies \text{upper } a = \text{upper } b \implies a = b$   
*<proof>*

**lemma** *lower-le-upper[simp]*:  $\text{lower } i \leq \text{upper } i$   
*<proof>*

**lift-definition** *set-of :: 'a::preorder interval*  $\Rightarrow$  *'a set is*  $\lambda x. \{\text{fst } x .. \text{snd } x\}$  *<proof>*

**lemma** *set-of-eq*:  $\text{set-of } x = \{\text{lower } x .. \text{upper } x\}$   
*<proof>*

**context notes** *[[typedef-overloaded]] begin*

**lift-definition**(*code-dt*) *Interval::'a::preorder*  $\Rightarrow$  *'a::preorder*  $\Rightarrow$  *'a interval option*  
**is**  $\lambda a \ b. \text{if } a \leq b \text{ then } \text{Some } (a, b) \text{ else } \text{None}$   
*<proof>*

**lemma** *Interval'-split*:  
 $P (\text{Interval}' a \ b) \iff$

$(\forall ivl. a \leq b \longrightarrow lower\ ivl = a \longrightarrow upper\ ivl = b \longrightarrow P\ (Some\ ivl)) \wedge (\neg a \leq b \longrightarrow P\ None)$   
 ⟨proof⟩

**lemma** *Interval'-split-asm:*

$P\ (Interval'\ a\ b) \longleftrightarrow \neg((\exists ivl. a \leq b \wedge lower\ ivl = a \wedge upper\ ivl = b \wedge \neg P\ (Some\ ivl)) \vee (\neg a \leq b \wedge \neg P\ None))$   
 ⟨proof⟩

**lemmas** *Interval'-splits = Interval'-split Interval'-split-asm*

**lemma** *Interval'-eq-Some:*  $Interval'\ a\ b = Some\ i \implies lower\ i = a \wedge upper\ i = b$   
 ⟨proof⟩

**end**

**instantiation** *interval* :: (*{preorder,equal}*) *equal*  
**begin**

**definition** *equal-class.equal*  $a\ b \equiv (lower\ a = lower\ b) \wedge (upper\ a = upper\ b)$

**instance** ⟨proof⟩  
**end**

**instantiation** *interval* :: (*preorder*) *ord* **begin**

**definition** *less-eq-interval* :: '*a interval*  $\Rightarrow$  '*a interval*  $\Rightarrow$  *bool*  
**where** *less-eq-interval*  $a\ b \longleftrightarrow lower\ b \leq lower\ a \wedge upper\ a \leq upper\ b$

**definition** *less-interval* :: '*a interval*  $\Rightarrow$  '*a interval*  $\Rightarrow$  *bool*  
**where** *less-interval*  $x\ y = (x \leq y \wedge \neg y \leq x)$

**instance** ⟨proof⟩  
**end**

**instantiation** *interval* :: (*lattice*) *semilattice-sup*  
**begin**

**lift-definition** *sup-interval* :: '*a interval*  $\Rightarrow$  '*a interval*  $\Rightarrow$  '*a interval*  
**is**  $\lambda(a, b)\ (c, d). (inf\ a\ c, sup\ b\ d)$   
 ⟨proof⟩

**lemma** *lower-sup[simp]:*  $lower\ (sup\ A\ B) = inf\ (lower\ A)\ (lower\ B)$   
 ⟨proof⟩

**lemma** *upper-sup[simp]:*  $upper\ (sup\ A\ B) = sup\ (upper\ A)\ (upper\ B)$   
 ⟨proof⟩

**instance**  $\langle proof \rangle$   
**end**

**lemma** *set-of-interval-union*:  $set\text{-of } A \cup set\text{-of } B \subseteq set\text{-of } (sup\ A\ B)$  **for**  $A :: 'a::lattice\ interval$   
 $\langle proof \rangle$

**lemma** *interval-union-commute*:  $sup\ A\ B = sup\ B\ A$  **for**  $A :: 'a::lattice\ interval$   
 $\langle proof \rangle$

**lemma** *interval-union-mono1*:  $set\text{-of } a \subseteq set\text{-of } (sup\ a\ A)$  **for**  $A :: 'a::lattice\ interval$   
 $\langle proof \rangle$

**lemma** *interval-union-mono2*:  $set\text{-of } A \subseteq set\text{-of } (sup\ a\ A)$  **for**  $A :: 'a::lattice\ interval$   
 $\langle proof \rangle$

**lift-definition** *interval-of* ::  $'a::preorder \Rightarrow 'a\ interval$  **is**  $\lambda x. (x, x)$   
 $\langle proof \rangle$

**lemma** *lower-interval-of[simp]*:  $lower\ (interval\text{-of } a) = a$   
 $\langle proof \rangle$

**lemma** *upper-interval-of[simp]*:  $upper\ (interval\text{-of } a) = a$   
 $\langle proof \rangle$

**definition** *width* ::  $'a::\{preorder,minus\}\ interval \Rightarrow 'a$   
**where**  $width\ i = upper\ i - lower\ i$

**instantiation** *interval* ::  $(ordered\text{-ab}\text{-semigroup}\text{-add})\ ab\text{-semigroup}\text{-add}$   
**begin**

**lift-definition** *plus-interval*:: $'a\ interval \Rightarrow 'a\ interval \Rightarrow 'a\ interval$   
**is**  $\lambda(a, b). \lambda(c, d). (a + c, b + d)$   
 $\langle proof \rangle$

**lemma** *lower-plus[simp]*:  $lower\ (plus\ A\ B) = plus\ (lower\ A)\ (lower\ B)$   
 $\langle proof \rangle$

**lemma** *upper-plus[simp]*:  $upper\ (plus\ A\ B) = plus\ (upper\ A)\ (upper\ B)$   
 $\langle proof \rangle$

**instance**  $\langle proof \rangle$   
**end**

**instance** *interval* ::  $(\{ordered\text{-ab}\text{-semigroup}\text{-add}, lattice\})\ ordered\text{-ab}\text{-semigroup}\text{-add}$   
 $\langle proof \rangle$

**instantiation** *interval* ::  $(\{preorder,zero\})\ zero$

**begin**

**lift-definition** *zero-interval*::'a interval **is** (0, 0) <proof>

**lemma** *lower-zero*[simp]: lower 0 = 0  
<proof>

**lemma** *upper-zero*[simp]: upper 0 = 0  
<proof>

**instance** <proof>  
**end**

**instance** *interval* :: ({ordered-comm-monoid-add}) comm-monoid-add  
<proof>

**instance** *interval* :: ({ordered-comm-monoid-add,lattice}) ordered-comm-monoid-add  
<proof>

**instantiation** *interval* :: ({ordered-ab-group-add}) uminus  
**begin**

**lift-definition** *uminus-interval*::'a interval  $\Rightarrow$  'a interval **is**  $\lambda(a, b). (-b, -a)$   
<proof>

**lemma** *lower-uminus*[simp]: lower (- A) = - upper A  
<proof>

**lemma** *upper-uminus*[simp]: upper (- A) = - lower A  
<proof>

**instance** <proof>  
**end**

**instantiation** *interval* :: ({ordered-ab-group-add}) minus  
**begin**

**definition** *minus-interval*::'a interval  $\Rightarrow$  'a interval  $\Rightarrow$  'a interval  
**where** *minus-interval* a b = a + - b

**lemma** *lower-minus*[simp]: lower (minus A B) = minus (lower A) (upper B)  
<proof>

**lemma** *upper-minus*[simp]: upper (minus A B) = minus (upper A) (lower B)  
<proof>

**instance** <proof>  
**end**

**instantiation** *interval* :: ({times, linorder}) times  
**begin**

**lift-definition** *times-interval* :: 'a interval  $\Rightarrow$  'a interval  $\Rightarrow$  'a interval  
**is**  $\lambda(a1, a2). \lambda(b1, b2).$

(let x1 = a1 \* b1; x2 = a1 \* b2; x3 = a2 \* b1; x4 = a2 \* b2  
in (min x1 (min x2 (min x3 x4)), max x1 (max x2 (max x3 x4))))  
<proof>

**lemma** *lower-times*:

$lower (times A B) = Min \{lower A * lower B, lower A * upper B, upper A * lower B, upper A * upper B\}$   
 ⟨proof⟩

**lemma** *upper-times*:

$upper (times A B) = Max \{lower A * lower B, lower A * upper B, upper A * lower B, upper A * upper B\}$   
 ⟨proof⟩

**instance** ⟨proof⟩

**end**

**lemma** *interval-eq-set-of-iff*:  $X = Y \longleftrightarrow set-of X = set-of Y$  **for**  $X Y :: 'a :: order interval$   
 ⟨proof⟩

## 52.1 Membership

**abbreviation** (**in** *preorder*) *in-interval*  $((-/ \in_i -) [51, 51] 50)$   
**where** *in-interval*  $x X \equiv x \in set-of X$

**lemma** *in-interval-to-interval*[*intro!*]:  $a \in_i interval-of a$   
 ⟨proof⟩

**lemma** *plus-in-intervalI*:

**fixes**  $x y :: 'a :: ordered-ab-semigroup-add$   
**shows**  $x \in_i X \implies y \in_i Y \implies x + y \in_i X + Y$   
 ⟨proof⟩

**lemma** *connected-set-of*[*intro, simp*]:

*connected* (*set-of*  $X$ ) **for**  $X :: 'a :: linear-continuum-topology interval$   
 ⟨proof⟩

**lemma** *ex-sum-in-interval-lemma*:  $\exists xa \in \{la .. ua\}. \exists xb \in \{lb .. ub\}. x = xa + xb$

**if**  $la \leq ua \wedge lb \leq ub \wedge la + lb \leq x \wedge x \leq ua + ub$   
 $ua - la \leq ub - lb$

**for**  $la b c d :: 'a :: linordered-ab-group-add$   
 ⟨proof⟩

**lemma** *ex-sum-in-interval*:  $\exists xa \geq la. xa \leq ua \wedge (\exists xb \geq lb. xb \leq ub \wedge x = xa + xb)$

**if**  $a: la \leq ua$  **and**  $b: lb \leq ub$  **and**  $x: la + lb \leq x \leq ua + ub$

**for**  $la b c d :: 'a :: linordered-ab-group-add$   
 ⟨proof⟩

**lemma** *Icc-plus-Icc*:

$\{a .. b\} + \{c .. d\} = \{a + c .. b + d\}$



**if**  $a \leq b \ c \leq d$   
**for**  $a \ b \ c \ d :: 'a :: \text{linordered-ab-group-add}$   
 $\langle \text{proof} \rangle$

**lemma** *set-of-plus*:  
**fixes**  $A :: 'a :: \text{linordered-ab-group-add interval}$   
**shows**  $\text{set-of } (A + B) = \text{set-of } A + \text{set-of } B$   
 $\langle \text{proof} \rangle$

**lemma** *plus-in-intervalE*:  
**fixes**  $xy :: 'a :: \text{linordered-ab-group-add}$   
**assumes**  $xy \in_i X + Y$   
**obtains**  $x \ y$  **where**  $xy = x + y \ x \in_i X \ y \in_i Y$   
 $\langle \text{proof} \rangle$

**lemma** *set-of-uminus*:  $\text{set-of } (-X) = \{-x \mid x. x \in \text{set-of } X\}$   
**for**  $X :: 'a :: \text{ordered-ab-group-add interval}$   
 $\langle \text{proof} \rangle$

**lemma** *uminus-in-intervalI*:  
**fixes**  $x :: 'a :: \text{ordered-ab-group-add}$   
**shows**  $x \in_i X \implies -x \in_i -X$   
 $\langle \text{proof} \rangle$

**lemma** *uminus-in-intervalD*:  
**fixes**  $x :: 'a :: \text{ordered-ab-group-add}$   
**shows**  $x \in_i -X \implies -x \in_i X$   
 $\langle \text{proof} \rangle$

**lemma** *minus-in-intervalI*:  
**fixes**  $x \ y :: 'a :: \text{ordered-ab-group-add}$   
**shows**  $x \in_i X \implies y \in_i Y \implies x - y \in_i X - Y$   
 $\langle \text{proof} \rangle$

**lemma** *set-of-minus*:  $\text{set-of } (X - Y) = \{x - y \mid x \ y. x \in \text{set-of } X \wedge y \in \text{set-of } Y\}$   
**for**  $X \ Y :: 'a :: \text{linordered-ab-group-add interval}$   
 $\langle \text{proof} \rangle$

**lemma** *times-in-intervalI*:  
**fixes**  $x \ y :: 'a :: \text{linordered-ring}$   
**assumes**  $x \in_i X \ y \in_i Y$   
**shows**  $x * y \in_i X * Y$   
 $\langle \text{proof} \rangle$

**lemma** *times-in-intervalE*:  
**fixes**  $xy :: 'a :: \{\text{linorder, real-normed-algebra, linear-continuum-topology}\}$   
— TODO: linear continuum topology is pretty strong  
**assumes**  $xy \in_i X * Y$

**obtains**  $x\ y$  **where**  $xy = x * y$   $x \in_i X$   $y \in_i Y$

*<proof>*

**thm** *times-in-intervalE*[of  $1::\text{real}$ ]

**lemma** *set-of-times*: *set-of*  $(X * Y) = \{x * y \mid x\ y.\ x \in \text{set-of } X \wedge y \in \text{set-of } Y\}$

**for**  $X\ Y::'a :: \{\text{linordered-ring, real-normed-algebra, linear-continuum-topology}\}$

*interval*

*<proof>*

**instance** *interval* ::  $(\text{linordered-idom})$  *cancel-semigroup-add*

*<proof>*

**lemma** *interval-mul-commute*:  $A * B = B * A$  **for**  $A\ B::'a::\text{linordered-idom interval}$

*<proof>*

**lemma** *interval-times-zero-right*[*simp*]:  $A * 0 = 0$  **for**  $A::'a::\text{linordered-ring interval}$

*<proof>*

**lemma** *interval-times-zero-left*[*simp*]:

$0 * A = 0$  **for**  $A::'a::\text{linordered-ring interval}$

*<proof>*

**instantiation** *interval* ::  $(\{\text{preorder, one}\})$  *one*

**begin**

**lift-definition** *one-interval*:: $'a$  *interval* **is**  $(1, 1)$  *<proof>*

**lemma** *lower-one*[*simp*]: *lower*  $1 = 1$

*<proof>*

**lemma** *upper-one*[*simp*]: *upper*  $1 = 1$

*<proof>*

**instance** *<proof>*

**end**

**instance** *interval* ::  $(\{\text{one, preorder, linorder, times}\})$  *power*

*<proof>*

**lemma** *set-of-one*[*simp*]: *set-of*  $(1::'a::\{\text{one, order}\} \text{interval}) = \{1\}$

*<proof>*

**instance** *interval* ::

$(\{\text{linordered-idom, real-normed-algebra, linear-continuum-topology}\})$  *monoid-mult*

*<proof>*

**lemma** *one-times-ivl-left*[*simp*]:  $1 * A = A$  **for**  $A::'a::\text{linordered-idom interval}$

*<proof>*

**lemma** *one-times-ivl-right*[*simp*]:  $A * 1 = A$  **for**  $A::'a::\text{linordered-idom interval}$

*<proof>*

**lemma** *set-of-power-mono*:  $a \hat{n} \in \text{set-of } (A \hat{n})$  **if**  $a \in \text{set-of } A$   
**for**  $a :: 'a::\text{linordered-idom}$   
*<proof>*

**lemma** *set-of-add-cong*:  
 $\text{set-of } (A + B) = \text{set-of } (A' + B')$   
**if**  $\text{set-of } A = \text{set-of } A'$   $\text{set-of } B = \text{set-of } B'$   
**for**  $A :: 'a::\text{linordered-ab-group-add interval}$   
*<proof>*

**lemma** *set-of-add-inc-left*:  
 $\text{set-of } (A + B) \subseteq \text{set-of } (A' + B)$   
**if**  $\text{set-of } A \subseteq \text{set-of } A'$   
**for**  $A :: 'a::\text{linordered-ab-group-add interval}$   
*<proof>*

**lemma** *set-of-add-inc-right*:  
 $\text{set-of } (A + B) \subseteq \text{set-of } (A + B')$   
**if**  $\text{set-of } B \subseteq \text{set-of } B'$   
**for**  $A :: 'a::\text{linordered-ab-group-add interval}$   
*<proof>*

**lemma** *set-of-add-inc*:  
 $\text{set-of } (A + B) \subseteq \text{set-of } (A' + B')$   
**if**  $\text{set-of } A \subseteq \text{set-of } A'$   $\text{set-of } B \subseteq \text{set-of } B'$   
**for**  $A :: 'a::\text{linordered-ab-group-add interval}$   
*<proof>*

**lemma** *set-of-neg-inc*:  
 $\text{set-of } (-A) \subseteq \text{set-of } (-A')$   
**if**  $\text{set-of } A \subseteq \text{set-of } A'$   
**for**  $A :: 'a::\text{ordered-ab-group-add interval}$   
*<proof>*

**lemma** *set-of-sub-inc-left*:  
 $\text{set-of } (A - B) \subseteq \text{set-of } (A' - B)$   
**if**  $\text{set-of } A \subseteq \text{set-of } A'$   
**for**  $A :: 'a::\text{linordered-ab-group-add interval}$   
*<proof>*

**lemma** *set-of-sub-inc-right*:  
 $\text{set-of } (A - B) \subseteq \text{set-of } (A - B')$   
**if**  $\text{set-of } B \subseteq \text{set-of } B'$   
**for**  $A :: 'a::\text{linordered-ab-group-add interval}$   
*<proof>*

**lemma** *set-of-sub-inc*:  
 $\text{set-of } (A - B) \subseteq \text{set-of } (A' - B')$

**if**  $\text{set-of } A \subseteq \text{set-of } A' \text{ set-of } B \subseteq \text{set-of } B'$   
**for**  $A :: 'a::\text{linordered-idom interval}$   
 ⟨proof⟩

**lemma** *set-of-mul-inc-right*:  
 $\text{set-of } (A * B) \subseteq \text{set-of } (A * B')$   
**if**  $\text{set-of } B \subseteq \text{set-of } B'$   
**for**  $A :: 'a::\text{linordered-ring interval}$   
 ⟨proof⟩

**lemma** *set-of-distrib-left*:  
 $\text{set-of } (B * (A1 + A2)) \subseteq \text{set-of } (B * A1 + B * A2)$   
**for**  $A1 :: 'a::\text{linordered-ring interval}$   
 ⟨proof⟩

**lemma** *set-of-distrib-right*:  
 $\text{set-of } ((A1 + A2) * B) \subseteq \text{set-of } (A1 * B + A2 * B)$   
**for**  $A1 A2 B :: 'a::\{\text{linordered-ring, real-normed-algebra, linear-continuum-topology}\}$   
*interval*  
 ⟨proof⟩

**lemma** *set-of-mul-inc-left*:  
 $\text{set-of } (A * B) \subseteq \text{set-of } (A' * B)$   
**if**  $\text{set-of } A \subseteq \text{set-of } A'$   
**for**  $A :: 'a::\{\text{linordered-ring, real-normed-algebra, linear-continuum-topology}\}$   
*interval*  
 ⟨proof⟩

**lemma** *set-of-mul-inc*:  
 $\text{set-of } (A * B) \subseteq \text{set-of } (A' * B')$   
**if**  $\text{set-of } A \subseteq \text{set-of } A' \text{ set-of } B \subseteq \text{set-of } B'$   
**for**  $A :: 'a::\{\text{linordered-ring, real-normed-algebra, linear-continuum-topology}\}$   
*interval*  
 ⟨proof⟩

**lemma** *set-of-pow-inc*:  
 $\text{set-of } (A^{\wedge}n) \subseteq \text{set-of } (A'^{\wedge}n)$   
**if**  $\text{set-of } A \subseteq \text{set-of } A'$   
**for**  $A :: 'a::\{\text{linordered-idom, real-normed-algebra, linear-continuum-topology}\}$   
*interval*  
 ⟨proof⟩

**lemma** *set-of-distrib-right-left*:  
 $\text{set-of } ((A1 + A2) * (B1 + B2)) \subseteq \text{set-of } (A1 * B1 + A1 * B2 + A2 * B1 + A2 * B2)$   
**for**  $A1 :: 'a::\{\text{linordered-idom, real-normed-algebra, linear-continuum-topology}\}$   
*interval*  
 ⟨proof⟩

**lemma** *mult-bounds-enclose-zero1*:

$\min (la * lb) (\min (la * ub) (\min (lb * ua) (ua * ub))) \leq 0$   
 $0 \leq \max (la * lb) (\max (la * ub) (\max (lb * ua) (ua * ub)))$   
**if**  $la \leq 0 \ 0 \leq ua$   
**for**  $la \ lb \ ua \ ub :: 'a::linordered-idom$   
 $\langle proof \rangle$

**lemma** *mult-bounds-enclose-zero2*:

$\min (la * lb) (\min (la * ub) (\min (lb * ua) (ua * ub))) \leq 0$   
 $0 \leq \max (la * lb) (\max (la * ub) (\max (lb * ua) (ua * ub)))$   
**if**  $lb \leq 0 \ 0 \leq ub$   
**for**  $la \ lb \ ua \ ub :: 'a::linordered-idom$   
 $\langle proof \rangle$

**lemma** *set-of-mul-contains-zero*:

$0 \in \text{set-of } (A * B)$   
**if**  $0 \in \text{set-of } A \vee 0 \in \text{set-of } B$   
**for**  $A :: 'a::linordered-idom \text{ interval}$   
 $\langle proof \rangle$

**instance** *interval* ::  $(\{\text{linordered-semiring, zero, times}\}) \text{ mult-zero}$

$\langle proof \rangle$

**lift-definition** *min-interval*:: $'a::linorder \text{ interval} \Rightarrow 'a \text{ interval} \Rightarrow 'a \text{ interval}$  **is**

$\lambda(l1, u1). \lambda(l2, u2). (\min l1 \ l2, \min u1 \ u2)$   
 $\langle proof \rangle$

**lemma** *lower-min-interval[simp]*:  $\text{lower } (\text{min-interval } x \ y) = \min (\text{lower } x) (\text{lower } y)$

$\langle proof \rangle$

**lemma** *upper-min-interval[simp]*:  $\text{upper } (\text{min-interval } x \ y) = \min (\text{upper } x) (\text{upper } y)$

$\langle proof \rangle$

**lemma** *min-intervalI*:

$a \in_i A \Longrightarrow b \in_i B \Longrightarrow \min a \ b \in_i \text{min-interval } A \ B$   
 $\langle proof \rangle$

**lift-definition** *max-interval*:: $'a::linorder \text{ interval} \Rightarrow 'a \text{ interval} \Rightarrow 'a \text{ interval}$  **is**

$\lambda(l1, u1). \lambda(l2, u2). (\max l1 \ l2, \max u1 \ u2)$   
 $\langle proof \rangle$

**lemma** *lower-max-interval[simp]*:  $\text{lower } (\text{max-interval } x \ y) = \max (\text{lower } x) (\text{lower } y)$

$\langle proof \rangle$

**lemma** *upper-max-interval[simp]*:  $\text{upper } (\text{max-interval } x \ y) = \max (\text{upper } x) (\text{upper } y)$

$\langle proof \rangle$

**lemma** *max-intervalI*:

$a \in_i A \Longrightarrow b \in_i B \Longrightarrow \max a \ b \in_i \text{max-interval } A \ B$

⟨proof⟩

**lift-definition** *abs-interval*::'a::linordered-idom interval ⇒ 'a interval **is**

(λ(l,u). (if l < 0 ∧ 0 < u then 0 else min |l| |u|, max |l| |u|))

⟨proof⟩

**lemma** *lower-abs-interval[simp]*:

lower (abs-interval x) = (if lower x < 0 ∧ 0 < upper x then 0 else min |lower x| |upper x|)

⟨proof⟩

**lemma** *upper-abs-interval[simp]*: upper (abs-interval x) = max |lower x| |upper x|

⟨proof⟩

**lemma** *in-abs-intervalI1*:

lx < 0 ⇒ 0 < ux ⇒ 0 ≤ xa ⇒ xa ≤ max (- lx) (ux) ⇒ xa ∈ abs ' {lx..ux}

**for** xa::'a::linordered-idom

⟨proof⟩

**lemma** *in-abs-intervalI2*:

min (|lx|) |ux| ≤ xa ⇒ xa ≤ max |lx| |ux| ⇒ lx ≤ ux ⇒ 0 ≤ lx ∨ ux ≤ 0

⇒

xa ∈ abs ' {lx..ux}

**for** xa::'a::linordered-idom

⟨proof⟩

**lemma** *set-of-abs-interval*: set-of (abs-interval x) = abs ' set-of x

⟨proof⟩

**fun** *split-domain* :: ('a::preorder interval ⇒ 'a interval list) ⇒ 'a interval list ⇒ 'a interval list list

**where** *split-domain split* [] = [[]]

| *split-domain split* (I#Is) = (

let S = *split* I;

D = *split-domain split* Is

in concat (map (λd. map (λs. s # d) S) D)

)

**context notes** [[*typedef-overloaded*]] **begin**

**lift-definition**(code-dt) *split-interval*::'a::linorder interval ⇒ 'a ⇒ ('a interval × 'a interval)

**is** λ(l, u) x. ((min l x, max l x), (min u x, max u x))

⟨proof⟩

**end**

**lemma** *split-domain-nonempty*:

**assumes** ∧I. *split* I ≠ []

**shows** *split-domain split* I ≠ []

⟨proof⟩

**lemma** *lower-split-interval1*:  $\text{lower } (\text{fst } (\text{split-interval } X \ m)) = \text{min } (\text{lower } X) \ m$   
**and** *lower-split-interval2*:  $\text{lower } (\text{snd } (\text{split-interval } X \ m)) = \text{min } (\text{upper } X) \ m$   
**and** *upper-split-interval1*:  $\text{upper } (\text{fst } (\text{split-interval } X \ m)) = \text{max } (\text{lower } X) \ m$   
**and** *upper-split-interval2*:  $\text{upper } (\text{snd } (\text{split-interval } X \ m)) = \text{max } (\text{upper } X) \ m$   
 ⟨*proof*⟩

**lemma** *split-intervalD*:  $\text{split-interval } X \ x = (A, B) \implies \text{set-of } X \subseteq \text{set-of } A \cup \text{set-of } B$   
 ⟨*proof*⟩

**instantiation** *interval* :: ( $\{\text{topological-space, preorder}\}$ ) *topological-space*  
**begin**

**definition** *open-interval-def*[*code del*]:  $\text{open } (X :: 'a \ \text{interval set}) =$   
 ( $\forall x \in X.$   
 $\exists A \ B.$   
 $\text{open } A \wedge$   
 $\text{open } B \wedge$   
 $\text{lower } x \in A \wedge \text{upper } x \in B \wedge \text{Interval } '(A \times B) \subseteq X$ )

**instance**  
 ⟨*proof*⟩

**end**

## 52.2 Quickcheck

**lift-definition** *Ivl*:: $'a \Rightarrow 'a :: \text{preorder} \Rightarrow 'a \ \text{interval}$  **is**  $\lambda a \ b. (\text{min } a \ b, b)$   
 ⟨*proof*⟩

**instantiation** *interval* :: ( $\{\text{exhaustive, preorder}\}$ ) *exhaustive*  
**begin**

**definition** *exhaustive-interval*::( $'a \ \text{interval} \Rightarrow (\text{bool} \times \text{term list}) \ \text{option}$ )  
 $\Rightarrow \text{natural} \Rightarrow (\text{bool} \times \text{term list}) \ \text{option}$   
**where**  
 $\text{exhaustive-interval } f \ d =$   
 $\text{Quickcheck-Exhaustive.exhaustive } (\lambda x. \text{Quickcheck-Exhaustive.exhaustive } (\lambda y. f$   
 $(\text{Ivl } x \ y)) \ d) \ d$

**instance** ⟨*proof*⟩

**end**

**context**  
**includes** *term-syntax*  
**begin**

**definition** [*code-unfold*]:

*valtermify-interval*  $x\ y = \text{Code-Evaluation.valtermify } (\text{Ivl}::'a::\{\text{preorder}, \text{typerep}\} \Rightarrow -)$   
 $\{\cdot\} x \{\cdot\} y$

**end**

**instantiation** *interval* :: ( $\{\text{full-exhaustive}, \text{preorder}, \text{typerep}\}$ ) *full-exhaustive*  
**begin**

**definition** *full-exhaustive-interval*::

$('a\ \text{interval} \times (\text{unit} \Rightarrow \text{term}) \Rightarrow (\text{bool} \times \text{term list})\ \text{option})$   
 $\Rightarrow \text{natural} \Rightarrow (\text{bool} \times \text{term list})\ \text{option}$  **where**

*full-exhaustive-interval*  $f\ d =$

*Quickcheck-Exhaustive.full-exhaustive*

$(\lambda x. \text{Quickcheck-Exhaustive.full-exhaustive } (\lambda y. f\ (\text{valtermify-interval } x\ y))\ d)$

$d$

**instance**  $\langle \text{proof} \rangle$

**end**

**instantiation** *interval* :: ( $\{\text{random}, \text{preorder}, \text{typerep}\}$ ) *random*  
**begin**

**definition** *random-interval* ::

*natural*

$\Rightarrow \text{natural} \times \text{natural}$

$\Rightarrow ('a\ \text{interval} \times (\text{unit} \Rightarrow \text{term})) \times \text{natural} \times \text{natural}$  **where**

*random-interval*  $i =$

*scomp* (*Quickcheck-Random.random*  $i$ )

$(\lambda \text{man}. \text{scomp } (\text{Quickcheck-Random.random } i)\ (\lambda \text{exp}. \text{Pair } (\text{valtermify-interval } \text{man } \text{exp})))$

**instance**  $\langle \text{proof} \rangle$

**end**

**lifting-update** *interval.lifting*

**lifting-forget** *interval.lifting*

**end**

## 53 Approximate Operations on Intervals of Floating Point Numbers

**theory** *Interval-Float*

**imports**

*Interval*

*Float*



**begin**

**definition** *mid* :: float interval  $\Rightarrow$  float  
**where** *mid* *i* = (lower *i* + upper *i*) \* Float 1 (-1)

**lemma** *mid-in-interval*: *mid* *i*  $\in_i$  *i*  
 ⟨proof⟩

**lemma** *mid-le*: lower *i*  $\leq$  *mid* *i* *mid* *i*  $\leq$  upper *i*  
 ⟨proof⟩

**definition** *centered* :: float interval  $\Rightarrow$  float interval  
**where** *centered* *i* = *i* - interval-of (*mid* *i*)

**definition** *split-float-interval* *x* = split-interval *x* ((lower *x* + upper *x*) \* Float 1 (-1))

**lemma** *split-float-intervalD*: split-float-interval *X* = (*A*, *B*)  $\implies$  set-of *X*  $\subseteq$  set-of *A*  $\cup$  set-of *B*  
 ⟨proof⟩

**lemma** *split-float-interval-bounds*:  
**shows**

*lower-split-float-interval1*: lower (fst (split-float-interval *X*)) = lower *X*  
**and** *lower-split-float-interval2*: lower (snd (split-float-interval *X*)) = *mid* *X*  
**and** *upper-split-float-interval1*: upper (fst (split-float-interval *X*)) = *mid* *X*  
**and** *upper-split-float-interval2*: upper (snd (split-float-interval *X*)) = upper *X*  
 ⟨proof⟩

**lemmas** *float-round-down-le*[intro] = order-trans[OF *float-round-down*]  
**and** *float-round-up-ge*[intro] = order-trans[OF - *float-round-up*]

TODO: many of the lemmas should move to theories Float or Approximation (the latter should be based on type *interval*).

## 53.1 Intervals with Floating Point Bounds

**context includes** *interval.lifting* **begin**

**lift-definition** *round-interval* :: nat  $\Rightarrow$  float interval  $\Rightarrow$  float interval  
**is**  $\lambda p. \lambda(l, u). (\text{float-round-down } p \ l, \text{float-round-up } p \ u)$   
 ⟨proof⟩

**lemma** *lower-round-ivl*[simp]: lower (round-interval *p* *x*) = float-round-down *p* (lower *x*)  
 ⟨proof⟩

**lemma** *upper-round-ivl*[simp]: upper (round-interval *p* *x*) = float-round-up *p* (upper *x*)  
 ⟨proof⟩

**lemma** *round-ivl-correct*: *set-of*  $A \subseteq \text{set-of (round-interval prec } A)$   
 ⟨*proof*⟩

**lift-definition** *truncate-ivl* :: *nat*  $\Rightarrow$  *real interval*  $\Rightarrow$  *real interval*  
**is**  $\lambda p. \lambda(l, u). (\text{truncate-down } p \ l, \text{truncate-up } p \ u)$   
 ⟨*proof*⟩

**lemma** *lower-truncate-ivl[simp]*: *lower (truncate-ivl p x) = truncate-down p (lower x)*  
 ⟨*proof*⟩

**lemma** *upper-truncate-ivl[simp]*: *upper (truncate-ivl p x) = truncate-up p (upper x)*  
 ⟨*proof*⟩

**lemma** *truncate-ivl-correct*: *set-of*  $A \subseteq \text{set-of (truncate-ivl prec } A)$   
 ⟨*proof*⟩

**lift-definition** *real-interval::float interval*  $\Rightarrow$  *real interval*  
**is**  $\lambda(l, u). (\text{real-of-float } l, \text{real-of-float } u)$   
 ⟨*proof*⟩

**lemma** *lower-real-interval[simp]*: *lower (real-interval x) = lower x*  
 ⟨*proof*⟩

**lemma** *upper-real-interval[simp]*: *upper (real-interval x) = upper x*  
 ⟨*proof*⟩

**definition** *set-of'*  $x = (\text{case } x \text{ of } \text{None} \Rightarrow \text{UNIV} \mid \text{Some } i \Rightarrow \text{set-of (real-interval } i))$

**lemma** *real-interval-min-interval[simp]*:  
*real-interval (min-interval a b) = min-interval (real-interval a) (real-interval b)*  
 ⟨*proof*⟩

**lemma** *real-interval-max-interval[simp]*:  
*real-interval (max-interval a b) = max-interval (real-interval a) (real-interval b)*  
 ⟨*proof*⟩

**lemma** *in-intervalI*:  
 $x \in_i X$  **if**  $\text{lower } X \leq x \leq \text{upper } X$   
 ⟨*proof*⟩

**abbreviation** *in-real-interval*  $((-/ \in_r -) [51, 51] 50)$  **where**  
 $x \in_r X \equiv x \in_i \text{real-interval } X$

**lemma** *in-real-intervalI*:  
 $x \in_r X$  **if**  $\text{lower } X \leq x \leq \text{upper } X$  **for**  $x::\text{real}$  **and**  $X::\text{float interval}$   
 ⟨*proof*⟩

**53.2 intros for *real-interval***

**lemma** *in-round-interval* $I$ :  $x \in_r A \implies x \in_r (\text{round-interval } \text{prec } A)$   
 ⟨*proof*⟩

**lemma** *zero-in-float-interval* $I$ :  $0 \in_r 0$   
 ⟨*proof*⟩

**lemma** *plus-in-float-interval* $I$ :  $a + b \in_r A + B$  **if**  $a \in_r A$   $b \in_r B$   
 ⟨*proof*⟩

**lemma** *minus-in-float-interval* $I$ :  $a - b \in_r A - B$  **if**  $a \in_r A$   $b \in_r B$   
 ⟨*proof*⟩

**lemma** *uminus-in-float-interval* $I$ :  $-a \in_r -A$  **if**  $a \in_r A$   
 ⟨*proof*⟩

**lemma** *real-interval-times*: *real-interval*  $(A * B) = \text{real-interval } A * \text{real-interval } B$   
 ⟨*proof*⟩

**lemma** *times-in-float-interval* $I$ :  $a * b \in_r A * B$  **if**  $a \in_r A$   $b \in_r B$   
 ⟨*proof*⟩

**lemma** *real-interval-abs*: *real-interval*  $(\text{abs-interval } A) = \text{abs-interval } (\text{real-interval } A)$   
 ⟨*proof*⟩

**lemma** *abs-in-float-interval* $I$ :  $\text{abs } a \in_r \text{abs-interval } A$  **if**  $a \in_r A$   
 ⟨*proof*⟩

**lemma** *interval-of*[*intro,simp*]:  $x \in_r \text{interval-of } x$   
 ⟨*proof*⟩

**lemma** *split-float-interval-real* $D$ :  $\text{split-float-interval } X = (A, B) \implies x \in_r X \implies x \in_r A \vee x \in_r B$   
 ⟨*proof*⟩

**53.3 bounds for lists**

**lemma** *lower-Interval*:  $\text{lower } (\text{Interval } x) = \text{fst } x$   
**and** *upper-Interval*:  $\text{upper } (\text{Interval } x) = \text{snd } x$   
**if**  $\text{fst } x \leq \text{snd } x$   
 ⟨*proof*⟩

**definition** *all-in-i* :: 'a::preorder list  $\Rightarrow$  'a interval list  $\Rightarrow$  bool  
 (**infix** (*all'-in<sub>i</sub>*) 50)  
**where**  $x \text{ all-in}_i I = (\text{length } x = \text{length } I \wedge (\forall i < \text{length } I. x ! i \in_i I ! i))$

**definition** *all-in* :: real list  $\Rightarrow$  float interval list  $\Rightarrow$  bool

(**infix** (*all'-in*) 50)  
**where**  $x \text{ all-in } I = (\text{length } x = \text{length } I \wedge (\forall i < \text{length } I. x ! i \in_r I ! i))$

**definition** *all-subset* :: 'a::order interval list  $\Rightarrow$  'a interval list  $\Rightarrow$  bool  
(**infix** (*all'-subset*) 50)  
**where**  $I \text{ all-subset } J = (\text{length } I = \text{length } J \wedge (\forall i < \text{length } I. \text{set-of } (I!i) \subseteq \text{set-of } (J!i)))$

**lemmas** [*simp*] = *all-in-def all-subset-def*

**lemma** *all-subsetD*:  
**assumes**  $I \text{ all-subset } J$   
**assumes**  $x \text{ all-in } I$   
**shows**  $x \text{ all-in } J$   
⟨*proof*⟩

**lemma** *round-interval-mono*:  $\text{set-of } (\text{round-interval } \text{prec } X) \subseteq \text{set-of } (\text{round-interval } \text{prec } Y)$   
**if**  $\text{set-of } X \subseteq \text{set-of } Y$   
⟨*proof*⟩

**lemma** *Ivl-simps*[*simp*]:  $\text{lower } (Ivl \ a \ b) = \min \ a \ b$   $\text{upper } (Ivl \ a \ b) = b$   
⟨*proof*⟩

**lemma** *set-of-subset-iff*:  $\text{set-of } X \subseteq \text{set-of } Y \iff \text{lower } Y \leq \text{lower } X \wedge \text{upper } X \leq \text{upper } Y$   
**for**  $X \ Y :: 'a :: \text{linorder interval}$   
⟨*proof*⟩

**lemma** *set-of-subset-iff'*:  
 $\text{set-of } a \subseteq \text{set-of } (b :: 'a :: \text{linorder interval}) \iff a \leq b$   
⟨*proof*⟩

**lemma** *bounds-of-interval-eq-lower-upper*:  
 $\text{bounds-of-interval } ivl = (\text{lower } ivl, \text{upper } ivl)$  **if**  $\text{lower } ivl \leq \text{upper } ivl$   
⟨*proof*⟩

**lemma** *real-interval-Ivl*:  $\text{real-interval } (Ivl \ a \ b) = Ivl \ a \ b$   
⟨*proof*⟩

**lemma** *set-of-mul-contains-real-zero*:  
 $0 \in_r (A * B)$  **if**  $0 \in_r A \vee 0 \in_r B$   
⟨*proof*⟩

**fun** *subdivide-interval* :: nat  $\Rightarrow$  float interval  $\Rightarrow$  float interval list  
**where** *subdivide-interval* 0  $I = [I]$   
| *subdivide-interval* (Suc  $n$ )  $I =$  (  
  let  $m = \text{mid } I$   
  in (*subdivide-interval*  $n$  ( $Ivl \ (\text{lower } I) \ m$ )) @ (*subdivide-interval*  $n$  ( $Ivl \ m$

(upper I)))  
 )

**lemma** *subdivide-interval-length*:

**shows**  $\text{length } (\text{subdivide-interval } n \ I) = 2^n$   
 ⟨proof⟩

**lemma** *lower-le-mid*:  $\text{lower } x \leq \text{mid } x$  *real-of-float* ( $\text{lower } x \leq \text{mid } x$ )  
**and** *mid-le-upper*:  $\text{mid } x \leq \text{upper } x$  *real-of-float* ( $\text{mid } x \leq \text{upper } x$ )  
 ⟨proof⟩

**lemma** *subdivide-interval-correct*:

*list-ex* ( $\lambda i. x \in_r i$ ) (*subdivide-interval*  $n \ I$ ) **if**  $x \in_r I$  **for**  $x::\text{real}$   
 ⟨proof⟩

**fun** *interval-list-union* :: 'a::lattice *interval list*  $\Rightarrow$  'a *interval*

**where** *interval-list-union* [] = *undefined*  
 | *interval-list-union* [I] = I  
 | *interval-list-union* (I#Is) = *sup* I (*interval-list-union* Is)

**lemma** *interval-list-union-correct*:

**assumes**  $S \neq []$   
**assumes**  $i < \text{length } S$   
**shows**  $\text{set-of } (S!i) \subseteq \text{set-of } (\text{interval-list-union } S)$   
 ⟨proof⟩

**lemma** *split-domain-correct*:

**fixes**  $x :: \text{real list}$   
**assumes**  $x \text{ all-in } I$   
**assumes** *split-correct*:  $\bigwedge x a I. x \in_r I \implies \text{list-ex } (\lambda i::\text{float interval}. x \in_r i)$  (*split* I)  
**shows**  $\text{list-ex } (\lambda s. x \text{ all-in } s)$  (*split-domain split* I)  
 ⟨proof⟩

**lift-definition**(*code-dt*) *inverse-float-interval*::nat  $\Rightarrow$  float *interval*  $\Rightarrow$  float *interval* *option* **is**

$\lambda \text{prec } (l, u). \text{if } (0 < l \vee u < 0) \text{ then } \text{Some } (\text{float-divl } \text{prec } 1 \ u, \text{float-divr } \text{prec } 1 \ l) \text{ else } \text{None}$   
 ⟨proof⟩

**lemma** *inverse-float-interval-eq-Some-conv*:

**defines**  $\text{one} \equiv (1::\text{float})$   
**shows**  
 $\text{inverse-float-interval } p \ X = \text{Some } R \iff$   
 $(\text{lower } X > 0 \vee \text{upper } X < 0) \wedge$   
 $\text{lower } R = \text{float-divl } p \ \text{one } (\text{upper } X) \wedge$   
 $\text{upper } R = \text{float-divr } p \ \text{one } (\text{lower } X)$   
 ⟨proof⟩

**lemma** *inverse-float-interval*:

*inverse* ‘ *set-of* (*real-interval* *X*)  $\subseteq$  *set-of* (*real-interval* *Y*)

**if** *inverse-float-interval* *p* *X* = *Some* *Y*

*<proof>*

**lemma** *inverse-float-intervalI*:

$x \in_r X \implies \text{inverse } x \in \text{set-of}' (\text{inverse-float-interval } p X)$

*<proof>*

**lemma** *inverse-float-interval-eqI*: *inverse-float-interval* *p* *X* = *Some* *IVL*  $\implies x \in_r$

*X*  $\implies \text{inverse } x \in_r \text{IVL}$

*<proof>*

**lemma** *real-interval-abs-interval[simp]*:

*real-interval* (*abs-interval* *x*) = *abs-interval* (*real-interval* *x*)

*<proof>*

**lift-definition** *floor-float-interval::float interval*  $\Rightarrow$  *float interval* **is**

$\lambda(l, u). (\text{floor-fl } l, \text{floor-fl } u)$

*<proof>*

**lemma** *lower-floor-float-interval[simp]*: *lower* (*floor-float-interval* *x*) = *floor-fl* (*lower* *x*)

*<proof>*

**lemma** *upper-floor-float-interval[simp]*: *upper* (*floor-float-interval* *x*) = *floor-fl* (*upper* *x*)

*<proof>*

**lemma** *floor-float-intervalI*:  $\lfloor x \rfloor \in_r \text{floor-float-interval } X$  **if**  $x \in_r X$

*<proof>*

**end**

### 53.4 constants for code generation

**definition** *lowerF::float interval*  $\Rightarrow$  *float* **where** *lowerF* = *lower*

**definition** *upperF::float interval*  $\Rightarrow$  *float* **where** *upperF* = *upper*

**end**

## 54 Immutable Arrays with Code Generation

**theory** *IArray*

**imports** *Main*

**begin**

### 54.1 Fundamental operations

Immutable arrays are lists wrapped up in an additional constructor. There are no update operations. Hence code generation can safely implement this type by efficient target language arrays. Currently only SML is provided. Could be extended to other target languages and more operations.

**context**  
**begin**

**datatype** *'a iarray* = *IArray 'a list*

**qualified primrec** *list-of* :: *'a iarray*  $\Rightarrow$  *'a list* **where**  
*list-of* (*IArray xs*) = *xs*

**qualified definition** *of-fun* :: (*nat*  $\Rightarrow$  *'a*)  $\Rightarrow$  *nat*  $\Rightarrow$  *'a iarray* **where**  
[*simp*]: *of-fun f n* = *IArray (map f [0..*n*])*

**qualified definition** *sub* :: *'a iarray*  $\Rightarrow$  *nat*  $\Rightarrow$  *'a* (**infixl** !! 100) **where**  
[*simp*]: *as* !! *n* = *IArray.list-of as* ! *n*

**qualified definition** *length* :: *'a iarray*  $\Rightarrow$  *nat* **where**  
[*simp*]: *length as* = *List.length (IArray.list-of as)*

**qualified definition** *all* :: (*'a*  $\Rightarrow$  *bool*)  $\Rightarrow$  *'a iarray*  $\Rightarrow$  *bool* **where**  
[*simp*]: *all p as*  $\longleftrightarrow$  ( $\forall a \in \text{set } (\text{list-of } as). p a$ )

**qualified definition** *exists* :: (*'a*  $\Rightarrow$  *bool*)  $\Rightarrow$  *'a iarray*  $\Rightarrow$  *bool* **where**  
[*simp*]: *exists p as*  $\longleftrightarrow$  ( $\exists a \in \text{set } (\text{list-of } as). p a$ )

**lemma** *of-fun-nth*:  
*IArray.of-fun f n* !! *i* = *f i* **if** *i* < *n*  
<*proof*>

**end**

### 54.2 Generic code equations

**lemma** [*code*]:  
*size (as :: 'a iarray)* = *Suc (IArray.length as)*  
<*proof*>

**lemma** [*code*]:  
*size-iarray f as* = *Suc (size-list f (IArray.list-of as))*  
<*proof*>

**lemma** [*code*]:  
*rec-iarray f as* = *f (IArray.list-of as)*  
<*proof*>

```

lemma [code]:
  case-iarray f as = f (IArray.list-of as)
  ⟨proof⟩

lemma [code]:
  set-iarray as = set (IArray.list-of as)
  ⟨proof⟩

lemma [code]:
  map-iarray f as = IArray (map f (IArray.list-of as))
  ⟨proof⟩

lemma [code]:
  rel-iarray r as bs = list-all2 r (IArray.list-of as) (IArray.list-of bs)
  ⟨proof⟩

lemma list-of-code [code]:
  IArray.list-of as = map (λn. as !! n) [0 ..< IArray.length as]
  ⟨proof⟩

lemma [code]:
  HOL.equal as bs ↔ HOL.equal (IArray.list-of as) (IArray.list-of bs)
  ⟨proof⟩

lemma [code]:
  IArray.all p = Not ∘ IArray.exists (Not ∘ p)
  ⟨proof⟩

context
  includes term-syntax
begin

lemma [code]:
  Code-Evaluation.term-of (as :: 'a::typerep iarray) =
    Code-Evaluation.Const (STR "IArray.iarray.IArray") (TYPEREP('a list ⇒ 'a
iarray)) <.> (Code-Evaluation.term-of (IArray.list-of as))
  ⟨proof⟩

end

```

### 54.3 Auxiliary operations for code generation

```

context
begin

```

```

qualified primrec tabulate :: integer × (integer ⇒ 'a) ⇒ 'a iarray where
  tabulate (n, f) = IArray (map (f ∘ integer-of-nat) [0..<nat-of-integer n])

```

```

lemma [code]:

```



*IArray.of-fun*  $f\ n = IArray.tabulate\ (integer-of-nat\ n,\ f\ \circ\ nat-of-integer)$   
 ⟨proof⟩ **primrec**  $sub' :: 'a\ iarray \times integer \Rightarrow 'a$  **where**  
 $sub'\ (as,\ n) = as\ !!\ nat-of-integer\ n$

**lemma** [code]:  
 $IArray.sub'\ (IArray\ as,\ n) = as\ !\ nat-of-integer\ n$   
 ⟨proof⟩

**lemma** [code]:  
 $as\ !!\ n = IArray.sub'\ (as,\ integer-of-nat\ n)$   
 ⟨proof⟩ **definition**  $length' :: 'a\ iarray \Rightarrow integer$  **where**  
 [simp]:  $length'\ as = integer-of-nat\ (List.length\ (IArray.list-of\ as))$

**lemma** [code]:  
 $IArray.length'\ (IArray\ as) = integer-of-nat\ (List.length\ as)$   
 ⟨proof⟩

**lemma** [code]:  
 $IArray.length\ as = nat-of-integer\ (IArray.length'\ as)$   
 ⟨proof⟩ **definition**  $exists-upto :: ('a \Rightarrow bool) \Rightarrow integer \Rightarrow 'a\ iarray \Rightarrow bool$  **where**  
 [simp]:  $exists-upto\ p\ k\ as \longleftrightarrow (\exists\ l.\ 0 \leq l \wedge l < k \wedge p\ (sub'\ (as,\ l)))$

**lemma** *exists-upto-of-nat*:  
 $exists-upto\ p\ (of-nat\ n)\ as \longleftrightarrow (\exists\ m < n.\ p\ (as\ !!\ m))$   
**including** *integer.lifting* ⟨proof⟩

**lemma** [code]:  
 $exists-upto\ p\ k\ as \longleftrightarrow (if\ k \leq 0\ then\ False\ else$   
 $\ let\ l = k - 1\ in\ p\ (sub'\ (as,\ l)) \vee exists-upto\ p\ l\ as)$   
 ⟨proof⟩  
**including** *integer.lifting* ⟨proof⟩

**lemma** [code]:  
 $IArray.exists\ p\ as \longleftrightarrow exists-upto\ p\ (length'\ as)\ as$   
**including** *integer.lifting* ⟨proof⟩

end

## 54.4 Code Generation for SML

Note that arrays cannot be printed directly but only by turning them into lists first. Arrays could be converted back into lists for printing if they were wrapped up in an additional constructor.

**code-reserved** *SML Vector*

**code-printing**  
**type-constructor**  $iarray \rightarrow (SML) - Vector.vector$   
 | **constant**  $IArray \rightarrow (SML) Vector.fromList$   
 | **constant**  $IArray.all \rightarrow (SML) Vector.all$

```

| constant IArray.exists  $\rightarrow$  (SML) Vector.exists
| constant IArray.tabulate  $\rightarrow$  (SML) Vector.tabulate
| constant IArray.sub'  $\rightarrow$  (SML) Vector.sub
| constant IArray.length'  $\rightarrow$  (SML) Vector.length

```

## 54.5 Code Generation for Haskell

We map *'a iarrays* in Isabelle/HOL to *Data.Array.IArray.array* in Haskell. Performance mapping to *Data.Array.Unboxed.Array* and *Data.Array.Array* is similar.

### code-printing

```

code-module IArray  $\rightarrow$  (Haskell)  $\langle$ 
module IArray(IArray, tabulate, of-list, sub, length) where {

  import Prelude (Bool(True, False), not, Maybe(Nothing, Just),
    Integer, (+), (-), (<), fromInteger, toInteger, map, seq, ());
  import qualified Prelude;
  import qualified Data.Array.IArray;
  import qualified Data.Array.Base;
  import qualified Data.Ix;

  newtype IArray e = IArray (Data.Array.IArray.Array Integer e);

  tabulate :: (Integer, (Integer  $\rightarrow$  e))  $\rightarrow$  IArray e;
  tabulate (k, f) = IArray (Data.Array.IArray.array (0, k - 1) (map (\i  $\rightarrow$  let
    fi = f i in fi 'seq' (i, fi)) [0..k - 1]));

  of-list :: [e]  $\rightarrow$  IArray e;
  of-list l = IArray (Data.Array.IArray.listArray (0, (toInteger . Prelude.length) l
    - 1) l);

  sub :: (IArray e, Integer)  $\rightarrow$  e;
  sub (IArray v, i) = v 'Data.Array.Base.unsafeAt' fromInteger i;

  length :: IArray e  $\rightarrow$  Integer;
  length (IArray v) = toInteger (Data.Ix.rangeSize (Data.Array.IArray.bounds v));

} for type-constructor iarray constant IArray IArray.tabulate IArray.sub' IArray.length'

```

**code-reserved** *Haskell IArray-Impl*

### code-printing

```

type-constructor iarray  $\rightarrow$  (Haskell) IArray.IArray -
| constant IArray  $\rightarrow$  (Haskell) IArray.of'-list
| constant IArray.tabulate  $\rightarrow$  (Haskell) IArray.tabulate
| constant IArray.sub'  $\rightarrow$  (Haskell) IArray.sub
| constant IArray.length'  $\rightarrow$  (Haskell) IArray.length

```

end

## 55 Definition of Landau symbols

**theory** *Landau-Symbols*

**imports**

*Complex-Main*

**begin**

**lemma** *eventually-subst'*:

*eventually*  $(\lambda x. f x = g x) F \implies \text{eventually } (\lambda x. P x (f x)) F = \text{eventually } (\lambda x. P x (g x)) F$

*<proof>*

### 55.1 Definition of Landau symbols

Our Landau symbols are sign-oblivious, i.e. any function always has the same growth as its absolute. This has the advantage of making some cancelling rules for sums nicer, but introduces some problems in other places. Nevertheless, we found this definition more convenient to work with.

**definition** *bigO* :: 'a filter  $\Rightarrow$  ('a  $\Rightarrow$  ('b :: real-normed-field))  $\Rightarrow$  ('a  $\Rightarrow$  'b) set  
 $(\langle (1O[-]'(-)) \rangle)$

**where** *bigO*  $F g = \{f. (\exists c > 0. \text{eventually } (\lambda x. \text{norm } (f x) \leq c * \text{norm } (g x)) F)\}$

**definition** *smallO* :: 'a filter  $\Rightarrow$  ('a  $\Rightarrow$  ('b :: real-normed-field))  $\Rightarrow$  ('a  $\Rightarrow$  'b) set  
 $(\langle (1o[-]'(-)) \rangle)$

**where** *smallO*  $F g = \{f. (\forall c > 0. \text{eventually } (\lambda x. \text{norm } (f x) \leq c * \text{norm } (g x)) F)\}$

**definition** *bigOmega* :: 'a filter  $\Rightarrow$  ('a  $\Rightarrow$  ('b :: real-normed-field))  $\Rightarrow$  ('a  $\Rightarrow$  'b) set  
 $(\langle (1\Omega[-]'(-)) \rangle)$

**where** *bigOmega*  $F g = \{f. (\exists c > 0. \text{eventually } (\lambda x. \text{norm } (f x) \geq c * \text{norm } (g x)) F)\}$

**definition** *smallOmega* :: 'a filter  $\Rightarrow$  ('a  $\Rightarrow$  ('b :: real-normed-field))  $\Rightarrow$  ('a  $\Rightarrow$  'b) set  
 $(\langle (1\omega[-]'(-)) \rangle)$

**where** *smallOmega*  $F g = \{f. (\forall c > 0. \text{eventually } (\lambda x. \text{norm } (f x) \geq c * \text{norm } (g x)) F)\}$

**definition** *bigTheta* :: 'a filter  $\Rightarrow$  ('a  $\Rightarrow$  ('b :: real-normed-field))  $\Rightarrow$  ('a  $\Rightarrow$  'b) set  
 $(\langle (1\Theta[-]'(-)) \rangle)$

**where** *bigTheta*  $F g = \text{bigO } F g \cap \text{bigOmega } F g$

**abbreviation** *bigO-at-top*  $(\langle (2O[-]'(-)) \rangle)$  **where**

$O(g) \equiv \text{bigO at-top } g$

**abbreviation** *smallO-at-top*  $(\langle (2o[-]'(-)) \rangle)$  **where**

$o(g) \equiv \text{smallo at-top } g$

**abbreviation bigomega-at-top**  $(\langle (2\Omega'(-)) \rangle)$  **where**  
 $\Omega(g) \equiv \text{bigomega at-top } g$

**abbreviation smallomega-at-top**  $(\langle (2\omega'(-)) \rangle)$  **where**  
 $\omega(g) \equiv \text{smallomega at-top } g$

**abbreviation bigtheta-at-top**  $(\langle (2\Theta'(-)) \rangle)$  **where**  
 $\Theta(g) \equiv \text{bigtheta at-top } g$

The following is a set of properties that all Landau symbols satisfy.

**named-theorems landau-divide-simps**

**locale landau-symbol =**

**fixes**  $L :: 'a \text{ filter} \Rightarrow ('a \Rightarrow ('b :: \text{real-normed-field})) \Rightarrow ('a \Rightarrow 'b) \text{ set}$

**and**  $L' :: 'c \text{ filter} \Rightarrow ('c \Rightarrow ('b :: \text{real-normed-field})) \Rightarrow ('c \Rightarrow 'b) \text{ set}$

**and**  $Lr :: 'a \text{ filter} \Rightarrow ('a \Rightarrow \text{real}) \Rightarrow ('a \Rightarrow \text{real}) \text{ set}$

**assumes**  $\text{bot}' : L \text{ bot } f = \text{UNIV}$

**assumes**  $\text{filter-mono}' : F1 \leq F2 \Longrightarrow L F2 f \subseteq L F1 f$

**assumes**  $\text{in-filtermap-iff}$ :

$f' \in L (\text{filtermap } h' F') g' \longleftrightarrow (\lambda x. f' (h' x)) \in L' F' (\lambda x. g' (h' x))$

**assumes**  $\text{filtercomap}$ :

$f' \in L F'' g' \Longrightarrow (\lambda x. f' (h' x)) \in L' (\text{filtercomap } h' F'') (\lambda x. g' (h' x))$

**assumes**  $\text{sup}$ :  $f \in L F1 g \Longrightarrow f \in L F2 g \Longrightarrow f \in L (\text{sup } F1 F2) g$

**assumes**  $\text{in-cong}$ :  $\text{eventually } (\lambda x. f x = g x) F \Longrightarrow f \in L F (h) \longleftrightarrow g \in L F$

$(h)$

**assumes**  $\text{cong}$ :  $\text{eventually } (\lambda x. f x = g x) F \Longrightarrow L F (f) = L F (g)$

**assumes**  $\text{cong-bigtheta}$ :  $f \in \Theta[F](g) \Longrightarrow L F (f) = L F (g)$

**assumes**  $\text{in-cong-bigtheta}$ :  $f \in \Theta[F](g) \Longrightarrow f \in L F (h) \longleftrightarrow g \in L F (h)$

**assumes**  $\text{cmult [simp]}$ :  $c \neq 0 \Longrightarrow L F (\lambda x. c * f x) = L F (f)$

**assumes**  $\text{cmult-in-iff [simp]}$ :  $c \neq 0 \Longrightarrow (\lambda x. c * f x) \in L F (g) \longleftrightarrow f \in L F (g)$

**assumes**  $\text{mult-left [simp]}$ :  $f \in L F (g) \Longrightarrow (\lambda x. h x * f x) \in L F (\lambda x. h x * g x)$

**assumes**  $\text{inverse}$ :  $\text{eventually } (\lambda x. f x \neq 0) F \Longrightarrow \text{eventually } (\lambda x. g x \neq 0) F$

$\Longrightarrow$

$f \in L F (g) \Longrightarrow (\lambda x. \text{inverse } (g x)) \in L F (\lambda x. \text{inverse } (f x))$

**assumes**  $\text{subsetI}$ :  $f \in L F (g) \Longrightarrow L F (f) \subseteq L F (g)$

**assumes**  $\text{plus-subset1}$ :  $f \in o[F](g) \Longrightarrow L F (g) \subseteq L F (\lambda x. f x + g x)$

**assumes**  $\text{trans}$ :  $f \in L F (g) \Longrightarrow g \in L F (h) \Longrightarrow f \in L F (h)$

**assumes**  $\text{compose}$ :  $f \in L F (g) \Longrightarrow \text{filterlim } h' F G \Longrightarrow (\lambda x. f (h' x)) \in L' G$   
 $(\lambda x. g (h' x))$

**assumes**  $\text{norm-iff [simp]}$ :  $(\lambda x. \text{norm } (f x)) \in Lr F (\lambda x. \text{norm } (g x)) \longleftrightarrow f \in L F (g)$

**assumes**  $\text{abs [simp]}$ :  $Lr Fr (\lambda x. |fr x|) = Lr Fr fr$

**assumes**  $\text{abs-in-iff [simp]}$ :  $(\lambda x. |fr x|) \in Lr Fr gr \longleftrightarrow fr \in Lr Fr gr$

**begin**

**lemma**  $\text{bot [simp]}$ :  $f \in L \text{ bot } g \langle \text{proof} \rangle$

**lemma** *filter-mono*:  $F1 \leq F2 \implies f \in L F2 g \implies f \in L F1 g$   
 ⟨proof⟩

**lemma** *cong-ex*:  
*eventually*  $(\lambda x. f1 x = f2 x) F \implies \text{eventually } (\lambda x. g1 x = g2 x) F \implies$   
 $f1 \in L F (g1) \longleftrightarrow f2 \in L F (g2)$   
 ⟨proof⟩

**lemma** *cong-ex-bigtheta*:  
 $f1 \in \Theta[F](f2) \implies g1 \in \Theta[F](g2) \implies f1 \in L F (g1) \longleftrightarrow f2 \in L F (g2)$   
 ⟨proof⟩

**lemma** *bigtheta-trans1*:  
 $f \in L F (g) \implies g \in \Theta[F](h) \implies f \in L F (h)$   
 ⟨proof⟩

**lemma** *bigtheta-trans2*:  
 $f \in \Theta[F](g) \implies g \in L F (h) \implies f \in L F (h)$   
 ⟨proof⟩

**lemma** *cmult' [simp]*:  $c \neq 0 \implies L F (\lambda x. f x * c) = L F (f)$   
 ⟨proof⟩

**lemma** *cmult-in-iff' [simp]*:  $c \neq 0 \implies (\lambda x. f x * c) \in L F (g) \longleftrightarrow f \in L F (g)$   
 ⟨proof⟩

**lemma** *cdiv [simp]*:  $c \neq 0 \implies L F (\lambda x. f x / c) = L F (f)$   
 ⟨proof⟩

**lemma** *cdiv-in-iff' [simp]*:  $c \neq 0 \implies (\lambda x. f x / c) \in L F (g) \longleftrightarrow f \in L F (g)$   
 ⟨proof⟩

**lemma** *uminus [simp]*:  $L F (\lambda x. -g x) = L F (g)$  ⟨proof⟩

**lemma** *uminus-in-iff' [simp]*:  $(\lambda x. -f x) \in L F (g) \longleftrightarrow f \in L F (g)$   
 ⟨proof⟩

**lemma** *const*:  $c \neq 0 \implies L F (\lambda-. c) = L F (\lambda-. 1)$   
 ⟨proof⟩

**lemma** *const' [simp]*: *NO-MATCH*  $1 c \implies c \neq 0 \implies L F (\lambda-. c) = L F (\lambda-. 1)$   
 ⟨proof⟩

**lemma** *const-in-iff*:  $c \neq 0 \implies (\lambda-. c) \in L F (f) \longleftrightarrow (\lambda-. 1) \in L F (f)$   
 ⟨proof⟩

**lemma** *const-in-iff' [simp]*: *NO-MATCH*  $1 c \implies c \neq 0 \implies (\lambda-. c) \in L F (f) \longleftrightarrow$   
 $(\lambda-. 1) \in L F (f)$

*<proof>*

**lemma plus-subset2:**  $g \in o[F](f) \implies L F (f) \subseteq L F (\lambda x. f x + g x)$   
*<proof>*

**lemma mult-right [simp]:**  $f \in L F (g) \implies (\lambda x. f x * h x) \in L F (\lambda x. g x * h x)$   
*<proof>*

**lemma mult:**  $f1 \in L F (g1) \implies f2 \in L F (g2) \implies (\lambda x. f1 x * f2 x) \in L F (\lambda x. g1 x * g2 x)$   
*<proof>*

**lemma inverse-cancel:**

**assumes eventually**  $(\lambda x. f x \neq 0) F$

**assumes eventually**  $(\lambda x. g x \neq 0) F$

**shows**  $(\lambda x. inverse (f x)) \in L F (\lambda x. inverse (g x)) \longleftrightarrow g \in L F (f)$

*<proof>*

**lemma divide-right:**

**assumes eventually**  $(\lambda x. h x \neq 0) F$

**assumes**  $f \in L F (g)$

**shows**  $(\lambda x. f x / h x) \in L F (\lambda x. g x / h x)$

*<proof>*

**lemma divide-right-iff:**

**assumes eventually**  $(\lambda x. h x \neq 0) F$

**shows**  $(\lambda x. f x / h x) \in L F (\lambda x. g x / h x) \longleftrightarrow f \in L F (g)$

*<proof>*

**lemma divide-left:**

**assumes eventually**  $(\lambda x. f x \neq 0) F$

**assumes eventually**  $(\lambda x. g x \neq 0) F$

**assumes**  $g \in L F (f)$

**shows**  $(\lambda x. h x / f x) \in L F (\lambda x. h x / g x)$

*<proof>*

**lemma divide-left-iff:**

**assumes eventually**  $(\lambda x. f x \neq 0) F$

**assumes eventually**  $(\lambda x. g x \neq 0) F$

**assumes eventually**  $(\lambda x. h x \neq 0) F$

**shows**  $(\lambda x. h x / f x) \in L F (\lambda x. h x / g x) \longleftrightarrow g \in L F (f)$

*<proof>*

**lemma divide:**

**assumes eventually**  $(\lambda x. g1 x \neq 0) F$

**assumes eventually**  $(\lambda x. g2 x \neq 0) F$

**assumes**  $f1 \in L F (f2) g2 \in L F (g1)$

**shows**  $(\lambda x. f1 x / g1 x) \in L F (\lambda x. f2 x / g2 x)$

*<proof>*

**lemma** *divide-eq1*:

**assumes** *eventually*  $(\lambda x. h\ x \neq 0)\ F$

**shows**  $f \in L\ F\ (\lambda x. g\ x / h\ x) \longleftrightarrow (\lambda x. f\ x * h\ x) \in L\ F\ (g)$

*<proof>*

**lemma** *divide-eq2*:

**assumes** *eventually*  $(\lambda x. h\ x \neq 0)\ F$

**shows**  $(\lambda x. f\ x / h\ x) \in L\ F\ (\lambda x. g\ x) \longleftrightarrow f \in L\ F\ (\lambda x. g\ x * h\ x)$

*<proof>*

**lemma** *inverse-eq1*:

**assumes** *eventually*  $(\lambda x. g\ x \neq 0)\ F$

**shows**  $f \in L\ F\ (\lambda x. \text{inverse}\ (g\ x)) \longleftrightarrow (\lambda x. f\ x * g\ x) \in L\ F\ (\lambda-. 1)$

*<proof>*

**lemma** *inverse-eq2*:

**assumes** *eventually*  $(\lambda x. f\ x \neq 0)\ F$

**shows**  $(\lambda x. \text{inverse}\ (f\ x)) \in L\ F\ (g) \longleftrightarrow (\lambda x. 1) \in L\ F\ (\lambda x. f\ x * g\ x)$

*<proof>*

**lemma** *inverse-flip*:

**assumes** *eventually*  $(\lambda x. g\ x \neq 0)\ F$

**assumes** *eventually*  $(\lambda x. h\ x \neq 0)\ F$

**assumes**  $(\lambda x. \text{inverse}\ (g\ x)) \in L\ F\ (h)$

**shows**  $(\lambda x. \text{inverse}\ (h\ x)) \in L\ F\ (g)$

*<proof>*

**lemma** *lift-trans*:

**assumes**  $f \in L\ F\ (g)$

**assumes**  $(\lambda x. t\ x\ (g\ x)) \in L\ F\ (h)$

**assumes**  $\bigwedge f\ g. f \in L\ F\ (g) \implies (\lambda x. t\ x\ (f\ x)) \in L\ F\ (\lambda x. t\ x\ (g\ x))$

**shows**  $(\lambda x. t\ x\ (f\ x)) \in L\ F\ (h)$

*<proof>*

**lemma** *lift-trans'*:

**assumes**  $f \in L\ F\ (\lambda x. t\ x\ (g\ x))$

**assumes**  $g \in L\ F\ (h)$

**assumes**  $\bigwedge g\ h. g \in L\ F\ (h) \implies (\lambda x. t\ x\ (g\ x)) \in L\ F\ (\lambda x. t\ x\ (h\ x))$

**shows**  $f \in L\ F\ (\lambda x. t\ x\ (h\ x))$

*<proof>*

**lemma** *lift-trans-bigtheta*:

**assumes**  $f \in L\ F\ (g)$

**assumes**  $(\lambda x. t\ x\ (g\ x)) \in \Theta[F](h)$

**assumes**  $\bigwedge f\ g. f \in L\ F\ (g) \implies (\lambda x. t\ x\ (f\ x)) \in L\ F\ (\lambda x. t\ x\ (g\ x))$

**shows**  $(\lambda x. t\ x\ (f\ x)) \in L\ F\ (h)$

*<proof>*

**lemma** *lift-trans-bigtheta'*:

**assumes**  $f \in L F (\lambda x. t x (g x))$

**assumes**  $g \in \Theta[F](h)$

**assumes**  $\bigwedge g h. g \in \Theta[F](h) \implies (\lambda x. t x (g x)) \in \Theta[F](\lambda x. t x (h x))$

**shows**  $f \in L F (\lambda x. t x (h x))$

*<proof>*

**lemma** (*in landau-symbol*) *mult-in-1*:

**assumes**  $f \in L F (\lambda x. 1) g \in L F (\lambda x. 1)$

**shows**  $(\lambda x. f x * g x) \in L F (\lambda x. 1)$

*<proof>*

**lemma** (*in landau-symbol*) *of-real-cancel*:

$(\lambda x. \text{of-real } (f x)) \in L F (\lambda x. \text{of-real } (g x)) \implies f \in Lr F g$

*<proof>*

**lemma** (*in landau-symbol*) *of-real-iff*:

$(\lambda x. \text{of-real } (f x)) \in L F (\lambda x. \text{of-real } (g x)) \iff f \in Lr F g$

*<proof>*

**lemmas** [*landau-divide-simps*] =

*inverse-cancel divide-left-iff divide-eq1 divide-eq2 inverse-eq1 inverse-eq2*

**end**

The symbols  $O$  and  $o$  and  $\Omega$  and  $\omega$  are dual, so for many rules, replacing  $O$  with  $\Omega$ ,  $o$  with  $\omega$ , and  $\leq$  with  $\geq$  in a theorem yields another valid theorem. The following locale captures this fact.

**locale** *landau-pair* =

**fixes**  $L l :: 'a \text{ filter} \Rightarrow ('a \Rightarrow ('b :: \text{real-normed-field})) \Rightarrow ('a \Rightarrow 'b) \text{ set}$

**fixes**  $L' l' :: 'c \text{ filter} \Rightarrow ('c \Rightarrow ('b :: \text{real-normed-field})) \Rightarrow ('c \Rightarrow 'b) \text{ set}$

**fixes**  $Lr lr :: 'a \text{ filter} \Rightarrow ('a \Rightarrow \text{real}) \Rightarrow ('a \Rightarrow \text{real}) \text{ set}$

**and**  $R :: \text{real} \Rightarrow \text{real} \Rightarrow \text{bool}$

**assumes**  $L\text{-def}: L F g = \{f. \exists c > 0. \text{eventually } (\lambda x. R (\text{norm } (f x)) (c * \text{norm } (g x))) F\}$

**and**  $l\text{-def}: l F g = \{f. \forall c > 0. \text{eventually } (\lambda x. R (\text{norm } (f x)) (c * \text{norm } (g x))) F\}$

**and**  $L'\text{-def}: L' F' g' = \{f. \exists c > 0. \text{eventually } (\lambda x. R (\text{norm } (f x)) (c * \text{norm } (g' x))) F'\}$

**and**  $l'\text{-def}: l' F' g' = \{f. \forall c > 0. \text{eventually } (\lambda x. R (\text{norm } (f x)) (c * \text{norm } (g' x))) F'\}$

**and**  $Lr\text{-def}: Lr F'' g'' = \{f. \exists c > 0. \text{eventually } (\lambda x. R (\text{norm } (f x)) (c * \text{norm } (g'' x))) F''\}$

**and**  $lr\text{-def}: lr F'' g'' = \{f. \forall c > 0. \text{eventually } (\lambda x. R (\text{norm } (f x)) (c * \text{norm } (g'' x))) F''\}$

**and**  $R: R = (\leq) \vee R = (\geq)$

**interpretation** *landau-o*:

*landau-pair bigo smallo bigo smallo bigo smallo* ( $\leq$ )



$\langle proof \rangle$

**interpretation** *landau-omega*:

*landau-pair bigomega smallomega bigomega smallomega bigomega smallomega*  
 $(\geq)$   
 $\langle proof \rangle$

**context** *landau-pair*

**begin**

**lemmas** *R-E = disjE [OF R, case-names le ge]*

**lemma** *bigI*:

$c > 0 \implies \text{eventually } (\lambda x. R (\text{norm } (f x)) (c * \text{norm } (g x))) F \implies f \in L F (g)$   
 $\langle proof \rangle$

**lemma** *bigE*:

**assumes**  $f \in L F (g)$

**obtains**  $c$  **where**  $c > 0$  *eventually*  $(\lambda x. R (\text{norm } (f x)) (c * (\text{norm } (g x)))) F$   
 $\langle proof \rangle$

**lemma** *smallI*:

$(\bigwedge c. c > 0 \implies \text{eventually } (\lambda x. R (\text{norm } (f x)) (c * (\text{norm } (g x)))) F \implies f \in l F (g)$   
 $\langle proof \rangle$

**lemma** *smallD*:

$f \in l F (g) \implies c > 0 \implies \text{eventually } (\lambda x. R (\text{norm } (f x)) (c * (\text{norm } (g x)))) F$   
 $\langle proof \rangle$

**lemma** *bigE-nonneg-real*:

**assumes**  $f \in Lr F (g)$  *eventually*  $(\lambda x. f x \geq 0) F$

**obtains**  $c$  **where**  $c > 0$  *eventually*  $(\lambda x. R (f x) (c * |g x|)) F$   
 $\langle proof \rangle$

**lemma** *smallD-nonneg-real*:

**assumes**  $f \in lr F (g)$  *eventually*  $(\lambda x. f x \geq 0) F$   $c > 0$

**shows** *eventually*  $(\lambda x. R (f x) (c * |g x|)) F$   
 $\langle proof \rangle$

**lemma** *small-imp-big*:  $f \in l F (g) \implies f \in L F (g)$

$\langle proof \rangle$

**lemma** *small-subset-big*:  $l F (g) \subseteq L F (g)$

$\langle proof \rangle$

**lemma** *R-refl [simp]*:  $R x x$   $\langle proof \rangle$

**lemma** *R-linear*:  $\neg R x y \implies R y x$   
 ⟨proof⟩

**lemma** *R-trans* [*trans*]:  $R a b \implies R b c \implies R a c$   
 ⟨proof⟩

**lemma** *R-mult-left-mono*:  $R a b \implies c \geq 0 \implies R (c*a) (c*b)$   
 ⟨proof⟩

**lemma** *R-mult-right-mono*:  $R a b \implies c \geq 0 \implies R (a*c) (b*c)$   
 ⟨proof⟩

**lemma** *big-trans*:  
 assumes  $f \in L F (g) \ g \in L F (h)$   
 shows  $f \in L F (h)$   
 ⟨proof⟩

**lemma** *big-small-trans*:  
 assumes  $f \in L F (g) \ g \in l F (h)$   
 shows  $f \in l F (h)$   
 ⟨proof⟩

**lemma** *small-big-trans*:  
 assumes  $f \in l F (g) \ g \in L F (h)$   
 shows  $f \in l F (h)$   
 ⟨proof⟩

**lemma** *small-trans*:  
 $f \in l F (g) \implies g \in l F (h) \implies f \in l F (h)$   
 ⟨proof⟩

**lemma** *small-big-trans'*:  
 $f \in l F (g) \implies g \in L F (h) \implies f \in L F (h)$   
 ⟨proof⟩

**lemma** *big-small-trans'*:  
 $f \in L F (g) \implies g \in l F (h) \implies f \in L F (h)$   
 ⟨proof⟩

**lemma** *big-subsetI* [*intro*]:  $f \in L F (g) \implies L F (f) \subseteq L F (g)$   
 ⟨proof⟩

**lemma** *small-subsetI* [*intro*]:  $f \in L F (g) \implies l F (f) \subseteq l F (g)$   
 ⟨proof⟩

**lemma** *big-refl* [*simp*]:  $f \in L F (f)$   
 ⟨proof⟩

**lemma** *small-refl-iff*:  $f \in l F (f) \iff \text{eventually } (\lambda x. f x = 0) F$

*<proof>*

**lemma** *big-small-asymmetric*:  $f \in L F (g) \implies g \in l F (f) \implies \text{eventually } (\lambda x. f x = 0) F$   
*<proof>*

**lemma** *small-big-asymmetric*:  $f \in l F (g) \implies g \in L F (f) \implies \text{eventually } (\lambda x. f x = 0) F$   
*<proof>*

**lemma** *small-asymmetric*:  $f \in l F (g) \implies g \in l F (f) \implies \text{eventually } (\lambda x. f x = 0) F$   
*<proof>*

**lemma** *plus-aux*:

**assumes**  $f \in o[F](g)$

**shows**  $g \in L F (\lambda x. f x + g x)$

*<proof>*

**end**

**lemma** *summable-comparison-test-bigo*:

**fixes**  $f :: \text{nat} \Rightarrow \text{real}$

**assumes** *summable*  $(\lambda n. \text{norm } (g n)) f \in O(g)$

**shows** *summable*  $f$

*<proof>*

**lemma** *bigomega-iff-bigo*:  $g \in \Omega[F](f) \longleftrightarrow f \in O[F](g)$

*<proof>*

**lemma** *smallomega-iff-smallo*:  $g \in \omega[F](f) \longleftrightarrow f \in o[F](g)$

*<proof>*

**context** *landau-pair*

**begin**

**lemma** *big-mono*:

*eventually*  $(\lambda x. R (\text{norm } (f x)) (\text{norm } (g x))) F \implies f \in L F (g)$

*<proof>*

**lemma** *big-mult*:

**assumes**  $f1 \in L F (g1) f2 \in L F (g2)$

**shows**  $(\lambda x. f1 x * f2 x) \in L F (\lambda x. g1 x * g2 x)$

*<proof>*

**lemma** *small-big-mult*:

**assumes**  $f1 \in l F (g1) f2 \in L F (g2)$

**shows**  $(\lambda x. f1\ x * f2\ x) \in l\ F\ (\lambda x. g1\ x * g2\ x)$   
 ⟨proof⟩

**lemma** *big-small-mult*:

$f1 \in L\ F\ (g1) \implies f2 \in l\ F\ (g2) \implies (\lambda x. f1\ x * f2\ x) \in l\ F\ (\lambda x. g1\ x * g2\ x)$   
 ⟨proof⟩

**lemma** *small-mult*:  $f1 \in l\ F\ (g1) \implies f2 \in l\ F\ (g2) \implies (\lambda x. f1\ x * f2\ x) \in l\ F\ (\lambda x. g1\ x * g2\ x)$   
 ⟨proof⟩

**lemmas** *mult = big-mult small-big-mult big-small-mult small-mult*

**lemma** *big-power*:

**assumes**  $f \in L\ F\ (g)$   
**shows**  $(\lambda x. f\ x \wedge^m) \in L\ F\ (\lambda x. g\ x \wedge^m)$   
 ⟨proof⟩

**lemma** (in *landau-pair*) *small-power*:

**assumes**  $f \in l\ F\ (g)\ m > 0$   
**shows**  $(\lambda x. f\ x \wedge^m) \in l\ F\ (\lambda x. g\ x \wedge^m)$   
 ⟨proof⟩

**lemma** *big-power-increasing*:

**assumes**  $(\lambda-. 1) \in L\ F\ f\ m \leq n$   
**shows**  $(\lambda x. f\ x \wedge^m) \in L\ F\ (\lambda x. f\ x \wedge^n)$   
 ⟨proof⟩

**lemma** *small-power-increasing*:

**assumes**  $(\lambda-. 1) \in l\ F\ f\ m < n$   
**shows**  $(\lambda x. f\ x \wedge^m) \in l\ F\ (\lambda x. f\ x \wedge^n)$   
 ⟨proof⟩

**sublocale** *big: landau-symbol*  $L\ L'\ Lr$

⟨proof⟩

**sublocale** *small: landau-symbol*  $l\ l'\ lr$

⟨proof⟩

These rules allow chaining of Landau symbol propositions in Isar with "also".

**lemma** *big-mult-1*:  $f \in L\ F\ (g) \implies (\lambda-. 1) \in L\ F\ (h) \implies f \in L\ F\ (\lambda x. g\ x * h\ x)$

**and** *big-mult-1'*:  $(\lambda-. 1) \in L\ F\ (g) \implies f \in L\ F\ (h) \implies f \in L\ F\ (\lambda x. g\ x * h\ x)$

**and** *small-mult-1*:  $f \in l\ F\ (g) \implies (\lambda-. 1) \in L\ F\ (h) \implies f \in l\ F\ (\lambda x. g\ x * h\ x)$

**and** *small-mult-1'*:  $(\lambda-. 1) \in L\ F\ (g) \implies f \in l\ F\ (h) \implies f \in l\ F\ (\lambda x. g\ x * h\ x)$

**and** *small-mult-1''*:  $f \in L\ F\ (g) \implies (\lambda-. 1) \in l\ F\ (h) \implies f \in l\ F\ (\lambda x. g\ x * h\ x)$

$x$ )  
**and** *small-mult-1'''*:  $(\lambda-. 1) \in l F (g) \implies f \in L F (h) \implies f \in l F (\lambda x. g x * h x)$   
 $x$ )  
 ⟨*proof*⟩

**lemma** *big-1-mult*:  $f \in L F (g) \implies h \in L F (\lambda-. 1) \implies (\lambda x. f x * h x) \in L F (g)$

**and** *big-1-mult'*:  $h \in L F (\lambda-. 1) \implies f \in L F (g) \implies (\lambda x. f x * h x) \in L F (g)$

**and** *small-1-mult*:  $f \in l F (g) \implies h \in L F (\lambda-. 1) \implies (\lambda x. f x * h x) \in l F (g)$

**and** *small-1-mult'*:  $h \in L F (\lambda-. 1) \implies f \in l F (g) \implies (\lambda x. f x * h x) \in l F (g)$

**and** *small-1-mult''*:  $f \in L F (g) \implies h \in l F (\lambda-. 1) \implies (\lambda x. f x * h x) \in l F (g)$

**and** *small-1-mult'''*:  $h \in l F (\lambda-. 1) \implies f \in L F (g) \implies (\lambda x. f x * h x) \in l F (g)$   
 ⟨*proof*⟩

**lemmas** *mult-1-trans* =

*big-mult-1 big-mult-1' small-mult-1 small-mult-1' small-mult-1'' small-mult-1'''*  
*big-1-mult big-1-mult' small-1-mult small-1-mult' small-1-mult'' small-1-mult'''*

**lemma** *big-equal-iff-bigtheta*:  $L F (f) = L F (g) \iff f \in \Theta[F](g)$   
 ⟨*proof*⟩

**lemma** *big-prod*:

**assumes**  $\bigwedge x. x \in A \implies f x \in L F (g x)$

**shows**  $(\lambda y. \prod x \in A. f x y) \in L F (\lambda y. \prod x \in A. g x y)$

⟨*proof*⟩

**lemma** *big-prod-in-1*:

**assumes**  $\bigwedge x. x \in A \implies f x \in L F (\lambda-. 1)$

**shows**  $(\lambda y. \prod x \in A. f x y) \in L F (\lambda-. 1)$

⟨*proof*⟩

**end**

**context** *landau-symbol*

**begin**

**lemma** *plus-absorb1*:

**assumes**  $f \in o[F](g)$

**shows**  $L F (\lambda x. f x + g x) = L F (g)$

⟨*proof*⟩

**lemma** *plus-absorb2*:  $g \in o[F](f) \implies L F (\lambda x. f x + g x) = L F (f)$

⟨*proof*⟩

**lemma** *diff-absorb1*:  $f \in o[F](g) \implies L F (\lambda x. f x - g x) = L F (g)$

*<proof>*

**lemma** *diff-absorb2*:  $g \in o[F](f) \implies L F (\lambda x. f x - g x) = L F (f)$   
*<proof>*

**lemmas** *absorb = plus-absorb1 plus-absorb2 diff-absorb1 diff-absorb2*

**end**

**lemma** *bighetaI* [*intro*]:  $f \in O[F](g) \implies f \in \Omega[F](g) \implies f \in \Theta[F](g)$   
*<proof>*

**lemma** *bighetaD1* [*dest*]:  $f \in \Theta[F](g) \implies f \in O[F](g)$   
**and** *bighetaD2* [*dest*]:  $f \in \Theta[F](g) \implies f \in \Omega[F](g)$   
*<proof>*

**lemma** *bigheta-refl* [*simp*]:  $f \in \Theta[F](f)$   
*<proof>*

**lemma** *bigheta-sym*:  $f \in \Theta[F](g) \longleftrightarrow g \in \Theta[F](f)$   
*<proof>*

**lemmas** *landau-flip =*  
*bigomega-iff-bigo[symmetric] smallomega-iff-smallo[symmetric]*  
*bigomega-iff-bigo smallomega-iff-smallo bigheta-sym*

**interpretation** *landau-theta*: *landau-symbol bigheta bigheta bigheta*  
*<proof>*

**lemmas** *landau-symbols =*  
*landau-o.big.landau-symbol-axioms landau-o.small.landau-symbol-axioms*  
*landau-omega.big.landau-symbol-axioms landau-omega.small.landau-symbol-axioms*  
*landau-theta.landau-symbol-axioms*

**lemma** *bigOI* [*intro*]:  
**assumes** *eventually*  $(\lambda x. (\text{norm } (f x)) \leq c * (\text{norm } (g x))) F$   
**shows**  $f \in O[F](g)$   
*<proof>*

**lemma** *smallomegaD* [*dest*]:  
**assumes**  $f \in \omega[F](g)$   
**shows** *eventually*  $(\lambda x. (\text{norm } (f x)) \geq c * (\text{norm } (g x))) F$   
*<proof>*

**lemma** *bighetaI'*:  
**assumes**  $c1 > 0 c2 > 0$

**assumes** *eventually*  $(\lambda x. c1 * (\text{norm } (g x)) \leq (\text{norm } (f x)) \wedge (\text{norm } (f x)) \leq c2 * (\text{norm } (g x))) F$   
**shows**  $f \in \Theta[F](g)$   
 ⟨*proof*⟩

**lemma** *bighetaI-cong: eventually*  $(\lambda x. f x = g x) F \implies f \in \Theta[F](g)$   
 ⟨*proof*⟩

**lemma** (*in landau-symbol*) *ev-eq-trans1*:  
 $f \in L F (\lambda x. g x (h x)) \implies \text{eventually } (\lambda x. h x = h' x) F \implies f \in L F (\lambda x. g x (h' x))$   
 ⟨*proof*⟩

**lemma** (*in landau-symbol*) *ev-eq-trans2*:  
*eventually*  $(\lambda x. f x = f' x) F \implies (\lambda x. g x (f' x)) \in L F (h) \implies (\lambda x. g x (f x)) \in L F (h)$   
 ⟨*proof*⟩

**declare** *landau-o.smallI landau-omega.bigI landau-omega.smallI* [*intro*]  
**declare** *landau-o.bigE landau-omega.bigE* [*elim*]  
**declare** *landau-o.smallD*

**lemma** (*in landau-symbol*) *bigheta-trans1'*:  
 $f \in L F (g) \implies h \in \Theta[F](g) \implies f \in L F (h)$   
 ⟨*proof*⟩

**lemma** (*in landau-symbol*) *bigheta-trans2'*:  
 $g \in \Theta[F](f) \implies g \in L F (h) \implies f \in L F (h)$   
 ⟨*proof*⟩

**lemma** *bigo-bigomega-trans*:  $f \in O[F](g) \implies h \in \Omega[F](g) \implies f \in O[F](h)$   
**and** *bigo-smallomega-trans*:  $f \in O[F](g) \implies h \in \omega[F](g) \implies f \in o[F](h)$   
**and** *smallo-bigomega-trans*:  $f \in o[F](g) \implies h \in \Omega[F](g) \implies f \in o[F](h)$   
**and** *smallo-smallomega-trans*:  $f \in o[F](g) \implies h \in \omega[F](g) \implies f \in o[F](h)$   
**and** *bigomega-bigo-trans*:  $f \in \Omega[F](g) \implies h \in O[F](g) \implies f \in \Omega[F](h)$   
**and** *bigomega-smallo-trans*:  $f \in \Omega[F](g) \implies h \in o[F](g) \implies f \in \omega[F](h)$   
**and** *smallomega-bigo-trans*:  $f \in \omega[F](g) \implies h \in O[F](g) \implies f \in \omega[F](h)$   
**and** *smallomega-smallo-trans*:  $f \in \omega[F](g) \implies h \in o[F](g) \implies f \in \omega[F](h)$   
 ⟨*proof*⟩

**lemmas** *landau-trans-lift* [*trans*] =  
*landau-symbols*[*THEN landau-symbol.lift-trans*]  
*landau-symbols*[*THEN landau-symbol.lift-trans*']  
*landau-symbols*[*THEN landau-symbol.lift-trans-bigheta*]  
*landau-symbols*[*THEN landau-symbol.lift-trans-bigheta*']

**lemmas** *landau-mult-1-trans* [*trans*] =  
*landau-o.mult-1-trans landau-omega.mult-1-trans*

**lemmas** *landau-trans* [*trans*] =

*landau-symbols*[*THEN landau-symbol.bigheta-trans1*]  
*landau-symbols*[*THEN landau-symbol.bigheta-trans2*]  
*landau-symbols*[*THEN landau-symbol.bigheta-trans1* ^]  
*landau-symbols*[*THEN landau-symbol.bigheta-trans2* ^]  
*landau-symbols*[*THEN landau-symbol.ev-eq-trans1*]  
*landau-symbols*[*THEN landau-symbol.ev-eq-trans2*]

*landau-o.big-trans landau-o.small-trans landau-o.small-big-trans landau-o.big-small-trans*  
*landau-omega.big-trans landau-omega.small-trans*  
*landau-omega.small-big-trans landau-omega.big-small-trans*

*bigo-bigomega-trans bigo-smallomega-trans smallo-bigomega-trans smallo-smallomega-trans*  
*bigomega-bigo-trans bigomega-smallo-trans smallomega-bigo-trans smallomega-smallo-trans*

**lemma** *bigheta-inverse* [*simp*]:

**shows**  $(\lambda x. \text{inverse } (f x)) \in \Theta[F](\lambda x. \text{inverse } (g x)) \longleftrightarrow f \in \Theta[F](g)$   
 <proof>

**lemma** *bigheta-divide*:

**assumes**  $f1 \in \Theta(f2)$   $g1 \in \Theta(g2)$   
**shows**  $(\lambda x. f1 x / g1 x) \in \Theta(\lambda x. f2 x / g2 x)$   
 <proof>

**lemma** *eventually-nonzero-bigheta*:

**assumes**  $f \in \Theta[F](g)$   
**shows** *eventually*  $(\lambda x. f x \neq 0)$   $F \longleftrightarrow$  *eventually*  $(\lambda x. g x \neq 0)$   $F$   
 <proof>

## 55.2 Landau symbols and limits

**lemma** *bigoI-tendsto-norm*:

**fixes**  $f g$   
**assumes**  $((\lambda x. \text{norm } (f x / g x)) \longrightarrow c)$   $F$   
**assumes** *eventually*  $(\lambda x. g x \neq 0)$   $F$   
**shows**  $f \in O[F](g)$   
 <proof>

**lemma** *bigoI-tendsto*:

**assumes**  $((\lambda x. f x / g x) \longrightarrow c)$   $F$   
**assumes** *eventually*  $(\lambda x. g x \neq 0)$   $F$   
**shows**  $f \in O[F](g)$   
 <proof>

**lemma** *bigomegaI-tendsto-norm*:

**assumes** *c-not-0*:  $(c::\text{real}) \neq 0$   
**assumes** *lim*:  $((\lambda x. \text{norm } (f x / g x)) \longrightarrow c)$   $F$   
**shows**  $f \in \Omega[F](g)$   
 <proof>



**lemma** *bigomegaI-tendsto*:

**assumes** *c-not-0*:  $(c::real) \neq 0$   
**assumes** *lim*:  $((\lambda x. f x / g x) \longrightarrow c) F$   
**shows**  $f \in \Omega[F](g)$   
 $\langle proof \rangle$

**lemma** *smallomegaI-filterlim-at-top-norm*:

**assumes** *lim*: *filterlim*  $(\lambda x. norm (f x / g x))$  *at-top*  $F$   
**shows**  $f \in \omega[F](g)$   
 $\langle proof \rangle$

**lemma** *smallomegaI-filterlim-at-infinity*:

**assumes** *lim*: *filterlim*  $(\lambda x. f x / g x)$  *at-infinity*  $F$   
**shows**  $f \in \omega[F](g)$   
 $\langle proof \rangle$

**lemma** *smallomegaD-filterlim-at-top-norm*:

**assumes**  $f \in \omega[F](g)$   
**assumes** *eventually*  $(\lambda x. g x \neq 0) F$   
**shows**  $LIM x F. norm (f x / g x) :> at-top$   
 $\langle proof \rangle$

**lemma** *smallomegaD-filterlim-at-infinity*:

**assumes**  $f \in \omega[F](g)$   
**assumes** *eventually*  $(\lambda x. g x \neq 0) F$   
**shows**  $LIM x F. f x / g x :> at-infinity$   
 $\langle proof \rangle$

**lemma** *smallomega-1-conv-filterlim*:  $f \in \omega[F](\lambda-. 1) \longleftrightarrow filterlim f at-infinity F$

$\langle proof \rangle$

**lemma** *smalloI-tendsto*:

**assumes** *lim*:  $((\lambda x. f x / g x) \longrightarrow 0) F$   
**assumes** *eventually*  $(\lambda x. g x \neq 0) F$   
**shows**  $f \in o[F](g)$   
 $\langle proof \rangle$

**lemma** *smalloD-tendsto*:

**assumes**  $f \in o[F](g)$   
**shows**  $((\lambda x. f x / g x) \longrightarrow 0) F$   
 $\langle proof \rangle$

**lemma** *bigthetaI-tendsto-norm*:

**assumes** *c-not-0*:  $(c::real) \neq 0$   
**assumes** *lim*:  $((\lambda x. norm (f x / g x)) \longrightarrow c) F$   
**shows**  $f \in \Theta[F](g)$   
 $\langle proof \rangle$

**lemma** *bighetaI-tendsto*:

**assumes** *c-not-0*:  $(c::\text{real}) \neq 0$   
**assumes** *lim*:  $((\lambda x. f\ x / g\ x) \longrightarrow c)\ F$   
**shows**  $f \in \Theta[F](g)$   
 $\langle \text{proof} \rangle$

**lemma** *tendsto-add-smallo*:

**assumes**  $(f1 \longrightarrow a)\ F$   
**assumes**  $f2 \in o[F](f1)$   
**shows**  $((\lambda x. f1\ x + f2\ x) \longrightarrow a)\ F$   
 $\langle \text{proof} \rangle$

**lemma** *tendsto-diff-smallo*:

**shows**  $(f1 \longrightarrow a)\ F \implies f2 \in o[F](f1) \implies ((\lambda x. f1\ x - f2\ x) \longrightarrow a)\ F$   
 $\langle \text{proof} \rangle$

**lemma** *tendsto-add-smallo-iff*:

**assumes**  $f2 \in o[F](f1)$   
**shows**  $(f1 \longrightarrow a)\ F \longleftrightarrow ((\lambda x. f1\ x + f2\ x) \longrightarrow a)\ F$   
 $\langle \text{proof} \rangle$

**lemma** *tendsto-diff-smallo-iff*:

**shows**  $f2 \in o[F](f1) \implies (f1 \longrightarrow a)\ F \longleftrightarrow ((\lambda x. f1\ x - f2\ x) \longrightarrow a)\ F$   
 $\langle \text{proof} \rangle$

**lemma** *tendsto-divide-smallo*:

**assumes**  $((\lambda x. f1\ x / g1\ x) \longrightarrow a)\ F$   
**assumes**  $f2 \in o[F](f1)\ g2 \in o[F](g1)$   
**assumes** *eventually*  $(\lambda x. g1\ x \neq 0)\ F$   
**shows**  $((\lambda x. (f1\ x + f2\ x) / (g1\ x + g2\ x)) \longrightarrow a)\ F$  (**is**  $(?f \longrightarrow -)\ -$ )  
 $\langle \text{proof} \rangle$

**lemma** *bigo-powr*:

**fixes**  $f :: 'a \Rightarrow \text{real}$   
**assumes**  $f \in O[F](g)\ p \geq 0$   
**shows**  $(\lambda x. |f\ x| \text{ powr } p) \in O[F](\lambda x. |g\ x| \text{ powr } p)$   
 $\langle \text{proof} \rangle$

**lemma** *smallo-powr*:

**fixes**  $f :: 'a \Rightarrow \text{real}$   
**assumes**  $f \in o[F](g)\ p > 0$   
**shows**  $(\lambda x. |f\ x| \text{ powr } p) \in o[F](\lambda x. |g\ x| \text{ powr } p)$   
 $\langle \text{proof} \rangle$

**lemma** *smallo-powr-nonneg*:

**fixes**  $f :: 'a \Rightarrow \text{real}$   
**assumes**  $f \in o[F](g)\ p > 0$  *eventually*  $(\lambda x. f\ x \geq 0)\ F$  *eventually*  $(\lambda x. g\ x \geq 0)\ F$   
 $F$

**shows**  $(\lambda x. f x \text{ powr } p) \in o[F](\lambda x. g x \text{ powr } p)$   
 ⟨proof⟩

**lemma** *bigtheta-powr*:

**fixes**  $f :: 'a \Rightarrow \text{real}$

**shows**  $f \in \Theta[F](g) \implies (\lambda x. |f x| \text{ powr } p) \in \Theta[F](\lambda x. |g x| \text{ powr } p)$   
 ⟨proof⟩

**lemma** *bigo-powr-nonneg*:

**fixes**  $f :: 'a \Rightarrow \text{real}$

**assumes**  $f \in O[F](g)$   $p \geq 0$  *eventually*  $(\lambda x. f x \geq 0)$   $F$  *eventually*  $(\lambda x. g x \geq 0)$   
 $F$

**shows**  $(\lambda x. f x \text{ powr } p) \in O[F](\lambda x. g x \text{ powr } p)$   
 ⟨proof⟩

**lemma** *zero-in-smallo* [simp]:  $(\lambda-. 0) \in o[F](f)$   
 ⟨proof⟩

**lemma** *zero-in-bigo* [simp]:  $(\lambda-. 0) \in O[F](f)$   
 ⟨proof⟩

**lemma** *in-bigomega-zero* [simp]:  $f \in \Omega[F](\lambda x. 0)$   
 ⟨proof⟩

**lemma** *in-smallomega-zero* [simp]:  $f \in \omega[F](\lambda x. 0)$   
 ⟨proof⟩

**lemma** *in-smallo-zero-iff* [simp]:  $f \in o[F](\lambda-. 0) \longleftrightarrow$  *eventually*  $(\lambda x. f x = 0)$   $F$   
 ⟨proof⟩

**lemma** *in-bigo-zero-iff* [simp]:  $f \in O[F](\lambda-. 0) \longleftrightarrow$  *eventually*  $(\lambda x. f x = 0)$   $F$   
 ⟨proof⟩

**lemma** *zero-in-smallomega-iff* [simp]:  $(\lambda-. 0) \in \omega[F](f) \longleftrightarrow$  *eventually*  $(\lambda x. f x = 0)$   $F$   
 ⟨proof⟩

**lemma** *zero-in-bigomega-iff* [simp]:  $(\lambda-. 0) \in \Omega[F](f) \longleftrightarrow$  *eventually*  $(\lambda x. f x = 0)$   $F$   
 ⟨proof⟩

**lemma** *zero-in-bigtheta-iff* [simp]:  $(\lambda-. 0) \in \Theta[F](f) \longleftrightarrow$  *eventually*  $(\lambda x. f x = 0)$   $F$   
 ⟨proof⟩

**lemma** *in-bigtheta-zero-iff* [simp]:  $f \in \Theta[F](\lambda x. 0) \longleftrightarrow$  *eventually*  $(\lambda x. f x = 0)$   $F$   
 ⟨proof⟩

**lemma** *cmult-in-bigo-iff* [simp]:  $(\lambda x. c * f x) \in O[F](g) \longleftrightarrow c = 0 \vee f \in O[F](g)$

**and** *cmult-in-bigo-iff'* [simp]:  $(\lambda x. f x * c) \in O[F](g) \longleftrightarrow c = 0 \vee f \in O[F](g)$

**and** *cmult-in-smallo-iff* [simp]:  $(\lambda x. c * f x) \in o[F](g) \longleftrightarrow c = 0 \vee f \in o[F](g)$

**and** *cmult-in-smallo-iff'* [simp]:  $(\lambda x. f x * c) \in o[F](g) \longleftrightarrow c = 0 \vee f \in o[F](g)$

*<proof>*

**lemma** *bigo-const* [simp]:  $(\lambda-. c) \in O[F](\lambda-. 1) \langle proof \rangle$

**lemma** *bigo-const-iff* [simp]:  $(\lambda-. c1) \in O[F](\lambda-. c2) \longleftrightarrow F = bot \vee c1 = 0 \vee c2 \neq 0$

*<proof>*

**lemma** *bigomega-const-iff* [simp]:  $(\lambda-. c1) \in \Omega[F](\lambda-. c2) \longleftrightarrow F = bot \vee c1 \neq 0 \vee c2 = 0$

*<proof>*

**lemma** *smallo-real-nat-transfer*:

$(f :: real \Rightarrow real) \in o(g) \Longrightarrow (\lambda x::nat. f (real x)) \in o(\lambda x. g (real x))$

*<proof>*

**lemma** *bigo-real-nat-transfer*:

$(f :: real \Rightarrow real) \in O(g) \Longrightarrow (\lambda x::nat. f (real x)) \in O(\lambda x. g (real x))$

*<proof>*

**lemma** *smallomega-real-nat-transfer*:

$(f :: real \Rightarrow real) \in \omega(g) \Longrightarrow (\lambda x::nat. f (real x)) \in \omega(\lambda x. g (real x))$

*<proof>*

**lemma** *bigomega-real-nat-transfer*:

$(f :: real \Rightarrow real) \in \Omega(g) \Longrightarrow (\lambda x::nat. f (real x)) \in \Omega(\lambda x. g (real x))$

*<proof>*

**lemma** *bitheta-real-nat-transfer*:

$(f :: real \Rightarrow real) \in \Theta(g) \Longrightarrow (\lambda x::nat. f (real x)) \in \Theta(\lambda x. g (real x))$

*<proof>*

**lemmas** *landau-real-nat-transfer* [intro] =

*bigo-real-nat-transfer* *smallo-real-nat-transfer* *bigomega-real-nat-transfer*

*smallomega-real-nat-transfer* *bitheta-real-nat-transfer*

**lemma** *landau-symbol-if-at-top-eq* [simp]:

**assumes** *landau-symbol*  $L L' Lr$

**shows**  $L$  *at-top*  $(\lambda x::'a::linordered-semidom. \text{if } x = a \text{ then } f x \text{ else } g x) = L$

*at-top*  $(g)$

*<proof>*

**lemmas** *landau-symbols-if-at-top-eq* [simp] = *landau-symbols*[THEN *landau-symbol-if-at-top-eq*]

**lemma** *sum-in-smallo*:

**assumes**  $f \in o[F](h)$   $g \in o[F](h)$

**shows**  $(\lambda x. f x + g x) \in o[F](h)$   $(\lambda x. f x - g x) \in o[F](h)$

*<proof>*

**lemma** *big-sum-in-smallo*:

**assumes**  $\bigwedge x. x \in A \implies f x \in o[F](g)$

**shows**  $(\lambda x. \text{sum } (\lambda y. f y x) A) \in o[F](g)$

*<proof>*

**lemma** *sum-in-bigo*:

**assumes**  $f \in O[F](h)$   $g \in O[F](h)$

**shows**  $(\lambda x. f x + g x) \in O[F](h)$   $(\lambda x. f x - g x) \in O[F](h)$

*<proof>*

**lemma** *big-sum-in-bigo*:

**assumes**  $\bigwedge x. x \in A \implies f x \in O[F](g)$

**shows**  $(\lambda x. \text{sum } (\lambda y. f y x) A) \in O[F](g)$

*<proof>*

**lemma** *le-imp-bigo-real*:

**assumes**  $c \geq 0$  *eventually*  $(\lambda x. f x \leq c * (g x :: \text{real})) F$  *eventually*  $(\lambda x. 0 \leq f x) F$

**shows**  $f \in O[F](g)$

*<proof>*

**context** *landau-symbol*

**begin**

**lemma** *mult-cancel-left*:

**assumes**  $f1 \in \Theta[F](g1)$  **and** *eventually*  $(\lambda x. g1 x \neq 0) F$

**notes** [trans] = *bitheta-trans1 bitheta-trans2*

**shows**  $(\lambda x. f1 x * f2 x) \in L F$   $(\lambda x. g1 x * g2 x) \longleftrightarrow f2 \in L F (g2)$

*<proof>*

**lemma** *mult-cancel-right*:

**assumes**  $f2 \in \Theta[F](g2)$  **and** *eventually*  $(\lambda x. g2 x \neq 0) F$

**shows**  $(\lambda x. f1 x * f2 x) \in L F$   $(\lambda x. g1 x * g2 x) \longleftrightarrow f1 \in L F (g1)$

*<proof>*

**lemma** *divide-cancel-right*:

**assumes**  $f2 \in \Theta[F](g2)$  **and** *eventually*  $(\lambda x. g2 x \neq 0) F$

**shows**  $(\lambda x. f1 x / f2 x) \in L F$   $(\lambda x. g1 x / g2 x) \longleftrightarrow f1 \in L F (g1)$

*<proof>*

**lemma** *divide-cancel-left*:

**assumes**  $f1 \in \Theta[F](g1)$  **and** *eventually*  $(\lambda x. g1\ x \neq 0)$   $F$   
**shows**  $(\lambda x. f1\ x / f2\ x) \in L\ F\ (\lambda x. g1\ x / g2\ x) \longleftrightarrow$   
 $(\lambda x. \text{inverse}\ (f2\ x)) \in L\ F\ (\lambda x. \text{inverse}\ (g2\ x))$   
 $\langle \text{proof} \rangle$

**end**

**lemma** *powr-smallo-iff*:

**assumes** *filterlim g at-top F F*  $\neq \text{bot}$   
**shows**  $(\lambda x. g\ x\ \text{powr}\ p :: \text{real}) \in o[F](\lambda x. g\ x\ \text{powr}\ q) \longleftrightarrow p < q$   
 $\langle \text{proof} \rangle$

**lemma** *powr-bigo-iff*:

**assumes** *filterlim g at-top F F*  $\neq \text{bot}$   
**shows**  $(\lambda x. g\ x\ \text{powr}\ p :: \text{real}) \in O[F](\lambda x. g\ x\ \text{powr}\ q) \longleftrightarrow p \leq q$   
 $\langle \text{proof} \rangle$

**lemma** *powr-bigtheta-iff*:

**assumes** *filterlim g at-top F F*  $\neq \text{bot}$   
**shows**  $(\lambda x. g\ x\ \text{powr}\ p :: \text{real}) \in \Theta[F](\lambda x. g\ x\ \text{powr}\ q) \longleftrightarrow p = q$   
 $\langle \text{proof} \rangle$

### 55.3 Flatness of real functions

Given two real-valued functions  $f$  and  $g$ , we say that  $f$  is flatter than  $g$  if any power of  $f(x)$  is asymptotically dominated by any positive power of  $g(x)$ . This is a useful notion since, given two products of powers of functions sorted by flatness, we can compare them asymptotically by simply comparing the exponent lists lexicographically.

A simple sufficient criterion for flatness is that  $\ln f(x) \in o(\ln g(x))$ , which we show now.

**lemma** *ln-smallo-imp-flat*:

**fixes**  $f\ g :: \text{real} \Rightarrow \text{real}$   
**assumes** *lim-f*: *filterlim f at-top at-top*  
**assumes** *lim-g*: *filterlim g at-top at-top*  
**assumes** *ln-o-ln*:  $(\lambda x. \ln\ (f\ x)) \in o(\lambda x. \ln\ (g\ x))$   
**assumes**  $q: q > 0$   
**shows**  $(\lambda x. f\ x\ \text{powr}\ p) \in o(\lambda x. g\ x\ \text{powr}\ q)$   
 $\langle \text{proof} \rangle$

**lemma** *ln-smallo-imp-flat'*:

**fixes**  $f\ g :: \text{real} \Rightarrow \text{real}$   
**assumes** *lim-f*: *filterlim f at-top at-top*  
**assumes** *lim-g*: *filterlim g at-top at-top*  
**assumes** *ln-o-ln*:  $(\lambda x. \ln\ (f\ x)) \in o(\lambda x. \ln\ (g\ x))$

**assumes**  $q: q < 0$   
**shows**  $(\lambda x. g \ x \ \text{powr } q) \in o(\lambda x. f \ x \ \text{powr } p)$   
 $\langle \text{proof} \rangle$

## 55.4 Asymptotic Equivalence

**named-theorems** *asympt-equiv-intros*

**named-theorems** *asympt-equiv-simps*

**definition** *asympt-equiv* ::  $('a \Rightarrow ('b :: \text{real-normed-field})) \Rightarrow 'a \ \text{filter} \Rightarrow ('a \Rightarrow 'b) \Rightarrow \text{bool}$   
 $(\langle - \sim [-] \rightarrow [51, 10, 51] \ 50 \rangle)$   
**where**  $f \sim [F] g \iff ((\lambda x. \text{if } f \ x = 0 \wedge g \ x = 0 \text{ then } 1 \text{ else } f \ x / g \ x) \longrightarrow 1) \ F$

**abbreviation** (*input*) *asympt-equiv-at-top* **where**  
*asympt-equiv-at-top*  $f \ g \equiv f \sim [\text{at-top}] \ g$

**bundle** *asympt-equiv-notation*

**begin**

**notation** *asympt-equiv-at-top* (**infix**  $\langle \sim \rangle$  50)

**end**

**lemma** *asympt-equivI*:  $((\lambda x. \text{if } f \ x = 0 \wedge g \ x = 0 \text{ then } 1 \text{ else } f \ x / g \ x) \longrightarrow 1)$   
 $F \implies f \sim [F] g$   
 $\langle \text{proof} \rangle$

**lemma** *asympt-equivD*:  $f \sim [F] g \implies ((\lambda x. \text{if } f \ x = 0 \wedge g \ x = 0 \text{ then } 1 \text{ else } f \ x / g \ x) \longrightarrow 1) \ F$   
 $\langle \text{proof} \rangle$

**lemma** *asympt-equiv-filtermap-iff*:  
 $f \sim [\text{filtermap } h \ F] g \iff (\lambda x. f \ (h \ x)) \sim [F] (\lambda x. g \ (h \ x))$   
 $\langle \text{proof} \rangle$

**lemma** *asympt-equiv-refl* [*simp*, *asympt-equiv-intros*]:  $f \sim [F] f$   
 $\langle \text{proof} \rangle$

**lemma** *asympt-equiv-symI*:

**assumes**  $f \sim [F] g$

**shows**  $g \sim [F] f$

$\langle \text{proof} \rangle$

**lemma** *asympt-equiv-sym*:  $f \sim [F] g \iff g \sim [F] f$   
 $\langle \text{proof} \rangle$

**lemma** *asympt-equivI'*:

**assumes**  $((\lambda x. f \ x / g \ x) \longrightarrow 1) \ F$

**shows**  $f \sim [F] g$

$\langle \text{proof} \rangle$

**lemma** *tendsto-imp-asymp-equiv-const*:

**assumes**  $(f \longrightarrow c) F c \neq 0$   
**shows**  $f \sim[F] (\lambda \cdot. c)$   
 $\langle proof \rangle$

**lemma** *asymp-equiv-cong*:

**assumes** *eventually*  $(\lambda x. f1\ x = f2\ x) F$  *eventually*  $(\lambda x. g1\ x = g2\ x) F$   
**shows**  $f1 \sim[F] g1 \longleftrightarrow f2 \sim[F] g2$   
 $\langle proof \rangle$

**lemma** *asymp-equiv-eventually-zeros*:

**fixes**  $f\ g :: 'a \Rightarrow 'b :: \text{real-normed-field}$   
**assumes**  $f \sim[F] g$   
**shows** *eventually*  $(\lambda x. f\ x = 0 \longleftrightarrow g\ x = 0) F$   
 $\langle proof \rangle$

**lemma** *asymp-equiv-transfer*:

**assumes**  $f1 \sim[F] g1$  *eventually*  $(\lambda x. f1\ x = f2\ x) F$  *eventually*  $(\lambda x. g1\ x = g2\ x) F$   
**shows**  $f2 \sim[F] g2$   
 $\langle proof \rangle$

**lemma** *asymp-equiv-transfer-trans* [trans]:

**assumes**  $(\lambda x. f\ x (h1\ x)) \sim[F] (\lambda x. g\ x (h1\ x))$   
**assumes** *eventually*  $(\lambda x. h1\ x = h2\ x) F$   
**shows**  $(\lambda x. f\ x (h2\ x)) \sim[F] (\lambda x. g\ x (h2\ x))$   
 $\langle proof \rangle$

**lemma** *asymp-equiv-trans* [trans]:

**fixes**  $f\ g\ h$   
**assumes**  $f \sim[F] g$   $g \sim[F] h$   
**shows**  $f \sim[F] h$   
 $\langle proof \rangle$

**lemma** *asymp-equiv-trans-lift1* [trans]:

**assumes**  $a \sim[F] f$   $b \sim[F] c$   $\bigwedge c\ d. c \sim[F] d \implies f\ c \sim[F] f\ d$   
**shows**  $a \sim[F] f\ c$   
 $\langle proof \rangle$

**lemma** *asymp-equiv-trans-lift2* [trans]:

**assumes**  $f\ a \sim[F] b$   $a \sim[F] c$   $\bigwedge c\ d. c \sim[F] d \implies f\ c \sim[F] f\ d$   
**shows**  $f\ c \sim[F] b$   
 $\langle proof \rangle$

**lemma** *asymp-equivD-const*:

**assumes**  $f \sim[F] (\lambda \cdot. c)$   
**shows**  $(f \longrightarrow c) F$   
 $\langle proof \rangle$



**lemma** *asympt-equiv-refl-ev*:

**assumes** *eventually*  $(\lambda x. f x = g x) F$   
**shows**  $f \sim[F] g$   
 $\langle \text{proof} \rangle$

**lemma** *asympt-equiv-nhds-iff*:  $f \sim[\text{nhds } (z :: 'a :: t1\text{-space})] g \longleftrightarrow f \sim[\text{at } z] g \wedge f z = g z$   
 $\langle \text{proof} \rangle$

**lemma** *asympt-equiv-sandwich*:

**fixes**  $f g h :: 'a \Rightarrow 'b :: \{\text{real-normed-field, order-topology, linordered-field}\}$   
**assumes** *eventually*  $(\lambda x. f x \geq 0) F$   
**assumes** *eventually*  $(\lambda x. f x \leq g x) F$   
**assumes** *eventually*  $(\lambda x. g x \leq h x) F$   
**assumes**  $f \sim[F] h$   
**shows**  $g \sim[F] f g \sim[F] h$   
 $\langle \text{proof} \rangle$

**lemma** *asympt-equiv-imp-eventually-same-sign*:

**fixes**  $f g :: \text{real} \Rightarrow \text{real}$   
**assumes**  $f \sim[F] g$   
**shows** *eventually*  $(\lambda x. \text{sgn } (f x) = \text{sgn } (g x)) F$   
 $\langle \text{proof} \rangle$

**lemma**

**fixes**  $f g :: - \Rightarrow \text{real}$   
**assumes**  $f \sim[F] g$   
**shows** *asympt-equiv-eventually-same-sign*: *eventually*  $(\lambda x. \text{sgn } (f x) = \text{sgn } (g x)) F$  (**is** ?th1)  
**and** *asympt-equiv-eventually-neg-iff*: *eventually*  $(\lambda x. f x < 0 \longleftrightarrow g x < 0)$   
 $F$  (**is** ?th2)  
**and** *asympt-equiv-eventually-pos-iff*: *eventually*  $(\lambda x. f x > 0 \longleftrightarrow g x > 0)$   
 $F$  (**is** ?th3)  
 $\langle \text{proof} \rangle$

**lemma** *asympt-equiv-tendsto-transfer*:

**assumes**  $f \sim[F] g$  **and**  $(f \longrightarrow c) F$   
**shows**  $(g \longrightarrow c) F$   
 $\langle \text{proof} \rangle$

**lemma** *tendsto-asympt-equiv-cong*:

**assumes**  $f \sim[F] g$   
**shows**  $(f \longrightarrow c) F \longleftrightarrow (g \longrightarrow c) F$   
 $\langle \text{proof} \rangle$

**lemma** *smallo-imp-eventually-sgn*:

**fixes**  $f g :: \text{real} \Rightarrow \text{real}$

**assumes**  $g \in o(f)$   
**shows** *eventually*  $(\lambda x. \text{sgn}(f x + g x) = \text{sgn}(f x))$  *at-top*  
 ⟨*proof*⟩

**context**  
**begin**

**private lemma** *asympt-equiv-add-rightI*:

**assumes**  $f \sim[F] g$   $h \in o[F](g)$   
**shows**  $(\lambda x. f x + h x) \sim[F] g$   
 ⟨*proof*⟩

**lemma** *asympt-equiv-add-right* [*asympt-equiv-simps*]:

**assumes**  $h \in o[F](g)$   
**shows**  $(\lambda x. f x + h x) \sim[F] g \longleftrightarrow f \sim[F] g$   
 ⟨*proof*⟩

**end**

**lemma** *asympt-equiv-add-left* [*asympt-equiv-simps*]:

**assumes**  $h \in o[F](g)$   
**shows**  $(\lambda x. h x + f x) \sim[F] g \longleftrightarrow f \sim[F] g$   
 ⟨*proof*⟩

**lemma** *asympt-equiv-add-right'* [*asympt-equiv-simps*]:

**assumes**  $h \in o[F](g)$   
**shows**  $g \sim[F] (\lambda x. f x + h x) \longleftrightarrow g \sim[F] f$   
 ⟨*proof*⟩

**lemma** *asympt-equiv-add-left'* [*asympt-equiv-simps*]:

**assumes**  $h \in o[F](g)$   
**shows**  $g \sim[F] (\lambda x. h x + f x) \longleftrightarrow g \sim[F] f$   
 ⟨*proof*⟩

**lemma** *smallo-imp-asympt-equiv*:

**assumes**  $(\lambda x. f x - g x) \in o[F](g)$   
**shows**  $f \sim[F] g$   
 ⟨*proof*⟩

**lemma** *asympt-equiv-uminus* [*asympt-equiv-intros*]:

$f \sim[F] g \implies (\lambda x. -f x) \sim[F] (\lambda x. -g x)$   
 ⟨*proof*⟩

**lemma** *asympt-equiv-uminus-iff* [*asympt-equiv-simps*]:

$(\lambda x. -f x) \sim[F] g \longleftrightarrow f \sim[F] (\lambda x. -g x)$   
 ⟨*proof*⟩

**lemma** *asympt-equiv-mult* [*asympt-equiv-intros*]:

**fixes**  $f1 f2 g1 g2 :: 'a \Rightarrow 'b :: \text{real-normed-field}$

**assumes**  $f1 \sim[F] g1 \ f2 \sim[F] g2$   
**shows**  $(\lambda x. f1\ x * f2\ x) \sim[F] (\lambda x. g1\ x * g2\ x)$   
 ⟨proof⟩

**lemma** *asympt-equiv-power* [*asympt-equiv-intros*]:  
 $f \sim[F] g \implies (\lambda x. f\ x \hat{\ } n) \sim[F] (\lambda x. g\ x \hat{\ } n)$   
 ⟨proof⟩

**lemma** *asympt-equiv-inverse* [*asympt-equiv-intros*]:  
**assumes**  $f \sim[F] g$   
**shows**  $(\lambda x. \text{inverse}\ (f\ x)) \sim[F] (\lambda x. \text{inverse}\ (g\ x))$   
 ⟨proof⟩

**lemma** *asympt-equiv-inverse-iff* [*asympt-equiv-simps*]:  
 $(\lambda x. \text{inverse}\ (f\ x)) \sim[F] (\lambda x. \text{inverse}\ (g\ x)) \iff f \sim[F] g$   
 ⟨proof⟩

**lemma** *asympt-equiv-divide* [*asympt-equiv-intros*]:  
**assumes**  $f1 \sim[F] g1 \ f2 \sim[F] g2$   
**shows**  $(\lambda x. f1\ x / f2\ x) \sim[F] (\lambda x. g1\ x / g2\ x)$   
 ⟨proof⟩

**lemma** *asympt-equivD-strong*:  
**assumes**  $f \sim[F] g$  *eventually*  $(\lambda x. f\ x \neq 0 \vee g\ x \neq 0)$   $F$   
**shows**  $((\lambda x. f\ x / g\ x) \longrightarrow 1)$   $F$   
 ⟨proof⟩

**lemma** *asympt-equiv-compose* [*asympt-equiv-intros*]:  
**assumes**  $f \sim[G] g$  *filterlim*  $h\ G\ F$   
**shows**  $f \circ h \sim[F] g \circ h$   
 ⟨proof⟩

**lemma** *asympt-equiv-compose'*:  
**assumes**  $f \sim[G] g$  *filterlim*  $h\ G\ F$   
**shows**  $(\lambda x. f\ (h\ x)) \sim[F] (\lambda x. g\ (h\ x))$   
 ⟨proof⟩

**lemma** *asympt-equiv-powr-real* [*asympt-equiv-intros*]:  
**fixes**  $f\ g :: 'a \Rightarrow \text{real}$   
**assumes**  $f \sim[F] g$  *eventually*  $(\lambda x. f\ x \geq 0)$   $F$  *eventually*  $(\lambda x. g\ x \geq 0)$   $F$   
**shows**  $(\lambda x. f\ x \text{ powr } y) \sim[F] (\lambda x. g\ x \text{ powr } y)$   
 ⟨proof⟩

**lemma** *asympt-equiv-norm* [*asympt-equiv-intros*]:  
**fixes**  $f\ g :: 'a \Rightarrow 'b :: \text{real-normed-field}$   
**assumes**  $f \sim[F] g$   
**shows**  $(\lambda x. \text{norm}\ (f\ x)) \sim[F] (\lambda x. \text{norm}\ (g\ x))$   
 ⟨proof⟩

**lemma** *asympt-equiv-abs-real* [*asympt-equiv-intros*]:

**fixes**  $f\ g :: 'a \Rightarrow \text{real}$   
**assumes**  $f \sim[F] g$   
**shows**  $(\lambda x. |f\ x|) \sim[F] (\lambda x. |g\ x|)$   
 $\langle \text{proof} \rangle$

**lemma** *asympt-equiv-imp-eventually-le*:

**assumes**  $f \sim[F] g$   $c > 1$   
**shows** *eventually*  $(\lambda x. \text{norm}\ (f\ x) \leq c * \text{norm}\ (g\ x))\ F$   
 $\langle \text{proof} \rangle$

**lemma** *asympt-equiv-imp-eventually-ge*:

**assumes**  $f \sim[F] g$   $c < 1$   
**shows** *eventually*  $(\lambda x. \text{norm}\ (f\ x) \geq c * \text{norm}\ (g\ x))\ F$   
 $\langle \text{proof} \rangle$

**lemma** *asympt-equiv-imp-bigo*:

**assumes**  $f \sim[F] g$   
**shows**  $f \in O[F](g)$   
 $\langle \text{proof} \rangle$

**lemma** *asympt-equiv-imp-bigomega*:

$f \sim[F] g \implies f \in \Omega[F](g)$   
 $\langle \text{proof} \rangle$

**lemma** *asympt-equiv-imp-bigtheta*:

$f \sim[F] g \implies f \in \Theta[F](g)$   
 $\langle \text{proof} \rangle$

**lemma** *asympt-equiv-at-infinity-transfer*:

**assumes**  $f \sim[F] g$  *filterlim*  $f$  *at-infinity*  $F$   
**shows** *filterlim*  $g$  *at-infinity*  $F$   
 $\langle \text{proof} \rangle$

**lemma** *asympt-equiv-at-top-transfer*:

**fixes**  $f\ g :: - \Rightarrow \text{real}$   
**assumes**  $f \sim[F] g$  *filterlim*  $f$  *at-top*  $F$   
**shows** *filterlim*  $g$  *at-top*  $F$   
 $\langle \text{proof} \rangle$

**lemma** *asympt-equiv-at-bot-transfer*:

**fixes**  $f\ g :: - \Rightarrow \text{real}$   
**assumes**  $f \sim[F] g$  *filterlim*  $f$  *at-bot*  $F$   
**shows** *filterlim*  $g$  *at-bot*  $F$   
 $\langle \text{proof} \rangle$

**lemma** *asympt-equivI'-const*:

**assumes**  $(\lambda x. f\ x / g\ x) \longrightarrow c$   $F$   $c \neq 0$   
**shows**  $f \sim[F] (\lambda x. c * g\ x)$

*<proof>*

**lemma** *asympt-equivI'-inverse-const:*

**assumes**  $((\lambda x. f x / g x) \longrightarrow \text{inverse } c) \ F \ c \neq 0$

**shows**  $(\lambda x. c * f x) \sim_{[F]} g$

*<proof>*

**lemma** *filterlim-at-bot-imp-at-infinity:*  $\text{filterlim } f \text{ at-bot } F \implies \text{filterlim } f \text{ at-infinity } F$

**for**  $f :: - \Rightarrow \text{real}$  *<proof>*

**lemma** *asympt-equiv-imp-diff-smallo:*

**assumes**  $f \sim_{[F]} g$

**shows**  $(\lambda x. f x - g x) \in o_{[F]}(g)$

*<proof>*

**lemma** *asympt-equiv-altdef:*

$f \sim_{[F]} g \iff (\lambda x. f x - g x) \in o_{[F]}(g)$

*<proof>*

**lemma** *asympt-equiv-0-left-iff [simp]:*  $(\lambda x. 0) \sim_{[F]} f \iff \text{eventually } (\lambda x. f x = 0) \ F$

**and** *asympt-equiv-0-right-iff [simp]:*  $f \sim_{[F]} (\lambda x. 0) \iff \text{eventually } (\lambda x. f x = 0) \ F$

*<proof>*

**lemma** *asympt-equiv-sandwich-real:*

**fixes**  $f \ g \ l \ u :: 'a \Rightarrow \text{real}$

**assumes**  $l \sim_{[F]} g \ u \sim_{[F]} g \ \text{eventually } (\lambda x. f x \in \{l \ x..u \ x\}) \ F$

**shows**  $f \sim_{[F]} g$

*<proof>*

**lemma** *asympt-equiv-sandwich-real':*

**fixes**  $f \ g \ l \ u :: 'a \Rightarrow \text{real}$

**assumes**  $f \sim_{[F]} l \ f \sim_{[F]} u \ \text{eventually } (\lambda x. g x \in \{l \ x..u \ x\}) \ F$

**shows**  $f \sim_{[F]} g$

*<proof>*

**lemma** *asympt-equiv-sandwich-real'':*

**fixes**  $f \ g \ l \ u :: 'a \Rightarrow \text{real}$

**assumes**  $l1 \sim_{[F]} u1 \ u1 \sim_{[F]} l2 \ l2 \sim_{[F]} u2$

$\text{eventually } (\lambda x. f x \in \{l1 \ x..u1 \ x\}) \ F \ \text{eventually } (\lambda x. g x \in \{l2 \ x..u2 \ x\}) \ F$

**shows**  $f \sim_{[F]} g$

*<proof>*

**end**

## 56 Values extended by a bottom element

**theory** *Lattice-Constructions*

**imports** *Main*

**begin**

**datatype** *'a bot* = *Value 'a* | *Bot*

**instantiation** *bot* :: (*preorder*) *preorder*

**begin**

**definition** *less-eq-bot* **where**

$x \leq y \longleftrightarrow (\text{case } x \text{ of Bot} \Rightarrow \text{True} \mid \text{Value } x \Rightarrow (\text{case } y \text{ of Bot} \Rightarrow \text{False} \mid \text{Value } y \Rightarrow x \leq y))$

**definition** *less-bot* **where**

$x < y \longleftrightarrow (\text{case } y \text{ of Bot} \Rightarrow \text{False} \mid \text{Value } y \Rightarrow (\text{case } x \text{ of Bot} \Rightarrow \text{True} \mid \text{Value } x \Rightarrow x < y))$

**lemma** *less-eq-bot-Bot* [*simp*]:  $\text{Bot} \leq x$

*<proof>*

**lemma** *less-eq-bot-Bot-code* [*code*]:  $\text{Bot} \leq x \longleftrightarrow \text{True}$

*<proof>*

**lemma** *less-eq-bot-Bot-is-Bot*:  $x \leq \text{Bot} \Longrightarrow x = \text{Bot}$

*<proof>*

**lemma** *less-eq-bot-Value-Bot* [*simp, code*]:  $\text{Value } x \leq \text{Bot} \longleftrightarrow \text{False}$

*<proof>*

**lemma** *less-eq-bot-Value* [*simp, code*]:  $\text{Value } x \leq \text{Value } y \longleftrightarrow x \leq y$

*<proof>*

**lemma** *less-bot-Bot* [*simp, code*]:  $x < \text{Bot} \longleftrightarrow \text{False}$

*<proof>*

**lemma** *less-bot-Bot-is-Value*:  $\text{Bot} < x \Longrightarrow \exists z. x = \text{Value } z$

*<proof>*

**lemma** *less-bot-Bot-Value* [*simp*]:  $\text{Bot} < \text{Value } x$

*<proof>*

**lemma** *less-bot-Bot-Value-code* [*code*]:  $\text{Bot} < \text{Value } x \longleftrightarrow \text{True}$

*<proof>*

**lemma** *less-bot-Value* [*simp, code*]:  $\text{Value } x < \text{Value } y \longleftrightarrow x < y$

*<proof>*

**instance**  
 ⟨*proof*⟩

**end**

**instance** *bot* :: (*order*) *order*  
 ⟨*proof*⟩

**instance** *bot* :: (*linorder*) *linorder*  
 ⟨*proof*⟩

**instantiation** *bot* :: (*order*) *bot*  
**begin**  
**definition** *bot* = *Bot*  
**instance** ⟨*proof*⟩  
**end**

**instantiation** *bot* :: (*top*) *top*  
**begin**  
**definition** *top* = *Value top*  
**instance** ⟨*proof*⟩  
**end**

**instantiation** *bot* :: (*semilattice-inf*) *semilattice-inf*  
**begin**

**definition** *inf-bot*  
**where**  
*inf* *x y* =  
 (case *x* of  
   *Bot* ⇒ *Bot*  
   | *Value v* ⇒  
     (case *y* of  
       *Bot* ⇒ *Bot*  
       | *Value v'* ⇒ *Value (inf v v')*))

**instance**  
 ⟨*proof*⟩

**end**

**instantiation** *bot* :: (*semilattice-sup*) *semilattice-sup*  
**begin**

**definition** *sup-bot*  
**where**  
*sup* *x y* =  
 (case *x* of  
   *Bot* ⇒ *y*

```

| Value v ⇒
  (case y of
    Bot ⇒ x
  | Value v' ⇒ Value (sup v v'))

```

**instance**  
 ⟨proof⟩

**end**

**instance** bot :: (lattice) bounded-lattice-bot  
 ⟨proof⟩

### 56.1 Values extended by a top element

**datatype** 'a top = Value 'a | Top

**instantiation** top :: (preorder) preorder  
**begin**

**definition** less-eq-top **where**

$$x \leq y \longleftrightarrow (\text{case } y \text{ of } Top \Rightarrow True \mid \text{Value } y \Rightarrow (\text{case } x \text{ of } Top \Rightarrow False \mid \text{Value } x \Rightarrow x \leq y))$$

**definition** less-top **where**

$$x < y \longleftrightarrow (\text{case } x \text{ of } Top \Rightarrow False \mid \text{Value } x \Rightarrow (\text{case } y \text{ of } Top \Rightarrow True \mid \text{Value } y \Rightarrow x < y))$$

**lemma** less-eq-top-Top [simp]:  $x \leq Top$   
 ⟨proof⟩

**lemma** less-eq-top-Top-code [code]:  $x \leq Top \longleftrightarrow True$   
 ⟨proof⟩

**lemma** less-eq-top-is-Top:  $Top \leq x \Longrightarrow x = Top$   
 ⟨proof⟩

**lemma** less-eq-top-Top-Value [simp, code]:  $Top \leq \text{Value } x \longleftrightarrow False$   
 ⟨proof⟩

**lemma** less-eq-top-Value-Value [simp, code]:  $\text{Value } x \leq \text{Value } y \longleftrightarrow x \leq y$   
 ⟨proof⟩

**lemma** less-top-Top [simp, code]:  $Top < x \longleftrightarrow False$   
 ⟨proof⟩

**lemma** less-top-Top-is-Value:  $x < Top \Longrightarrow \exists z. x = \text{Value } z$   
 ⟨proof⟩



```

lemma less-top-Value-Top [simp]: Value x < Top
  ⟨proof⟩

lemma less-top-Value-Top-code [code]: Value x < Top  $\longleftrightarrow$  True
  ⟨proof⟩

lemma less-top-Value [simp, code]: Value x < Value y  $\longleftrightarrow$  x < y
  ⟨proof⟩

instance
  ⟨proof⟩

end

instance top :: (order) order
  ⟨proof⟩

instance top :: (linorder) linorder
  ⟨proof⟩

instantiation top :: (order) top
begin
  definition top = Top
  instance ⟨proof⟩
end

instantiation top :: (bot) bot
begin
  definition bot = Value bot
  instance ⟨proof⟩
end

instantiation top :: (semilattice-inf) semilattice-inf
begin

definition inf-top
where
  inf x y =
    (case x of
      Top  $\Rightarrow$  y
    | Value v  $\Rightarrow$ 
      (case y of
        Top  $\Rightarrow$  x
      | Value v'  $\Rightarrow$  Value (inf v v')))

instance
  ⟨proof⟩

end

```

**instantiation** *top* :: (*semilattice-sup*) *semilattice-sup*  
**begin**

**definition** *sup-top*

**where**

$$\begin{aligned} \text{sup } x \ y = & \\ & (\text{case } x \text{ of} \\ & \quad \text{Top} \Rightarrow \text{Top} \\ & | \text{Value } v \Rightarrow \\ & \quad (\text{case } y \text{ of} \\ & \quad \quad \text{Top} \Rightarrow \text{Top} \\ & \quad | \text{Value } v' \Rightarrow \text{Value } (\text{sup } v \ v')) \end{aligned}$$

**instance**

*<proof>*

**end**

**instance** *top* :: (*lattice*) *bounded-lattice-top*

*<proof>*

## 56.2 Values extended by a top and a bottom element

**datatype** *'a flat-complete-lattice* = *Value 'a | Bot | Top*

**instantiation** *flat-complete-lattice* :: (*type*) *order*

**begin**

**definition** *less-eq-flat-complete-lattice*

**where**

$$\begin{aligned} x \leq y \equiv & \\ & (\text{case } x \text{ of} \\ & \quad \text{Bot} \Rightarrow \text{True} \\ & | \text{Value } v1 \Rightarrow \\ & \quad (\text{case } y \text{ of} \\ & \quad \quad \text{Bot} \Rightarrow \text{False} \\ & \quad | \text{Value } v2 \Rightarrow v1 = v2 \\ & \quad | \text{Top} \Rightarrow \text{True}) \\ & | \text{Top} \Rightarrow y = \text{Top}) \end{aligned}$$

**definition** *less-flat-complete-lattice*

**where**

$$\begin{aligned} x < y = & \\ & (\text{case } x \text{ of} \\ & \quad \text{Bot} \Rightarrow y \neq \text{Bot} \\ & | \text{Value } v1 \Rightarrow y = \text{Top} \\ & | \text{Top} \Rightarrow \text{False}) \end{aligned}$$

**lemma** [*simp*]:  $Bot \leq y$   
 ⟨*proof*⟩

**lemma** [*simp*]:  $y \leq Top$   
 ⟨*proof*⟩

**lemma** *greater-than-two-values*:  
**assumes**  $a \neq b$   $Value\ a \leq z$   $Value\ b \leq z$   
**shows**  $z = Top$   
 ⟨*proof*⟩

**lemma** *lesser-than-two-values*:  
**assumes**  $a \neq b$   $z \leq Value\ a$   $z \leq Value\ b$   
**shows**  $z = Bot$   
 ⟨*proof*⟩

**instance**  
 ⟨*proof*⟩

**end**

**instantiation** *flat-complete-lattice* :: (type) *bot*  
**begin**  
**definition**  $bot = Bot$   
**instance** ⟨*proof*⟩  
**end**

**instantiation** *flat-complete-lattice* :: (type) *top*  
**begin**  
**definition**  $top = Top$   
**instance** ⟨*proof*⟩  
**end**

**instantiation** *flat-complete-lattice* :: (type) *lattice*  
**begin**

**definition** *inf-flat-complete-lattice*

**where**

$inf\ x\ y =$   
 (case  $x$  of  
    $Bot \Rightarrow Bot$   
 |  $Value\ v1 \Rightarrow$   
   (case  $y$  of  
      $Bot \Rightarrow Bot$   
     |  $Value\ v2 \Rightarrow if\ v1 = v2\ then\ x\ else\ Bot$   
     |  $Top \Rightarrow x$ )  
 |  $Top \Rightarrow y$ )

**definition** *sup-flat-complete-lattice*

**where**

$$\begin{aligned} \text{sup } x \ y = & \\ & (\text{case } x \ \text{of} \\ & \quad \text{Bot} \Rightarrow y \\ & | \ \text{Value } v1 \Rightarrow \\ & \quad (\text{case } y \ \text{of} \\ & \quad \quad \text{Bot} \Rightarrow x \\ & \quad | \ \text{Value } v2 \Rightarrow \text{if } v1 = v2 \ \text{then } x \ \text{else } \text{Top} \\ & \quad | \ \text{Top} \Rightarrow \text{Top}) \\ & | \ \text{Top} \Rightarrow \text{Top}) \end{aligned}$$

**instance**

*<proof>*

**end**

**instantiation** *flat-complete-lattice* :: (type) complete-lattice

**begin**

**definition** *Sup-flat-complete-lattice*

**where**

$$\begin{aligned} \text{Sup } A = & \\ & (\text{if } A = \{\} \vee A = \{\text{Bot}\} \ \text{then } \text{Bot} \\ & \quad \text{else if } \exists v. A - \{\text{Bot}\} = \{\text{Value } v\} \ \text{then } \text{Value } (\text{THE } v. A - \{\text{Bot}\} = \{\text{Value} \\ & \quad v\}) \\ & \quad \text{else } \text{Top}) \end{aligned}$$

**definition** *Inf-flat-complete-lattice*

**where**

$$\begin{aligned} \text{Inf } A = & \\ & (\text{if } A = \{\} \vee A = \{\text{Top}\} \ \text{then } \text{Top} \\ & \quad \text{else if } \exists v. A - \{\text{Top}\} = \{\text{Value } v\} \ \text{then } \text{Value } (\text{THE } v. A - \{\text{Top}\} = \{\text{Value} \\ & \quad v\}) \\ & \quad \text{else } \text{Bot}) \end{aligned}$$

**instance**

*<proof>*

**end**

**end**

## 57 Infinite Streams

**theory** *Stream*

**imports** *Nat-Bijection*

**begin**

**codatatype** (sset: 'a) *stream* =

*SCons* (*shd*: 'a) (*stl*: 'a stream) (**infixr** <##> 65)

**for**

*map*: *smap*

*rel*: *stream-all2*

**context**

**begin**

— for code generation only

**qualified definition** *smember* :: 'a ⇒ 'a stream ⇒ bool **where**

[*code-abbrev*]: *smember* *x s* ↔ *x* ∈ *sset s*

**lemma** *smember-code*[*code*, *simp*]: *smember* *x* (*y* ## *s*) = (if *x* = *y* then True else *smember* *x s*)

<*proof*>

**end**

**lemmas** *smap-simps*[*simp*] = *stream.map-sel*

**lemmas** *shd-sset* = *stream.set-sel*(1)

**lemmas** *stl-sset* = *stream.set-sel*(2)

**theorem** *sset-induct*[*consumes* 1, *case-names* *shd stl*, *induct set*: *sset*]:

**assumes** *y* ∈ *sset s* **and**  $\bigwedge s. P$  (*shd* *s*) *s* **and**  $\bigwedge s y. \llbracket y \in sset$  (*stl* *s*); *P y* (*stl* *s*) $\rrbracket$

⇒ *P y s*

**shows** *P y s*

<*proof*>

**lemma** *smap-ctr*: *smap* *f s* = *x* ## *s'* ↔ *f* (*shd* *s*) = *x* ∧ *smap* *f* (*stl* *s*) = *s'*

<*proof*>

## 57.1 prepend list to stream

**primrec** *shift* :: 'a list ⇒ 'a stream ⇒ 'a stream (**infixr** <@-> 65) **where**

*shift* [] *s* = *s*

| *shift* (*x* # *xs*) *s* = *x* ## *shift* *xs s*

**lemma** *smap-shift*[*simp*]: *smap* *f* (*xs* @- *s*) = *map* *f* *xs* @- *smap* *f s*

<*proof*>

**lemma** *shift-append*[*simp*]: (*xs* @ *ys*) @- *s* = *xs* @- *ys* @- *s*

<*proof*>

**lemma** *shift-simps*[*simp*]:

*shd* (*xs* @- *s*) = (if *xs* = [] then *shd* *s* else *hd* *xs*)

*stl* (*xs* @- *s*) = (if *xs* = [] then *stl* *s* else *tl* *xs* @- *s*)

<*proof*>

**lemma** *sset-shift*[*simp*]: *sset* (*xs* @- *s*) = *set* *xs* ∪ *sset* *s*

*<proof>*

**lemma** *shift-left-inj*[simp]:  $xs @- s1 = xs @- s2 \longleftrightarrow s1 = s2$

*<proof>*

## 57.2 set of streams with elements in some fixed set

**context**

**notes** [[*inductive-internals*]]

**begin**

**coinductive-set**

*streams* :: 'a set  $\Rightarrow$  'a stream set

**for** *A* :: 'a set

**where**

*Stream*[*intro!*, *simp*, *no-atp*]:  $\llbracket a \in A; s \in \text{streams } A \rrbracket \Longrightarrow a \#\# s \in \text{streams } A$

**end**

**lemma** *in-streams*:  $stl\ s \in \text{streams } S \Longrightarrow shd\ s \in S \Longrightarrow s \in \text{streams } S$

*<proof>*

**lemma** *streamsE*:  $s \in \text{streams } A \Longrightarrow (shd\ s \in A \Longrightarrow stl\ s \in \text{streams } A \Longrightarrow P) \Longrightarrow P$

*<proof>*

**lemma** *Stream-image*:  $x \#\# y \in ((\#\#) x') ' Y \longleftrightarrow x = x' \wedge y \in Y$

*<proof>*

**lemma** *shift-streams*:  $\llbracket w \in \text{lists } A; s \in \text{streams } A \rrbracket \Longrightarrow w @- s \in \text{streams } A$

*<proof>*

**lemma** *streams-Stream*:  $x \#\# s \in \text{streams } A \longleftrightarrow x \in A \wedge s \in \text{streams } A$

*<proof>*

**lemma** *streams-stl*:  $s \in \text{streams } A \Longrightarrow stl\ s \in \text{streams } A$

*<proof>*

**lemma** *streams-shd*:  $s \in \text{streams } A \Longrightarrow shd\ s \in A$

*<proof>*

**lemma** *sset-streams*:

**assumes** *sset*  $s \subseteq A$

**shows**  $s \in \text{streams } A$

*<proof>*

**lemma** *streams-sset*:

**assumes**  $s \in \text{streams } A$

**shows** *sset*  $s \subseteq A$

*<proof>*

**lemma** *streams-iff-sset*:  $s \in \text{streams } A \longleftrightarrow \text{sset } s \subseteq A$   
*<proof>*

**lemma** *streams-mono*:  $s \in \text{streams } A \implies A \subseteq B \implies s \in \text{streams } B$   
*<proof>*

**lemma** *streams-mono2*:  $S \subseteq T \implies \text{streams } S \subseteq \text{streams } T$   
*<proof>*

**lemma** *smap-streams*:  $s \in \text{streams } A \implies (\bigwedge x. x \in A \implies f x \in B) \implies \text{smap } f s \in \text{streams } B$   
*<proof>*

**lemma** *streams-empty*:  $\text{streams } \{\} = \{\}$   
*<proof>*

**lemma** *streams-UNIV[simp]*:  $\text{streams } UNIV = UNIV$   
*<proof>*

### 57.3 nth, take, drop for streams

**primrec** *snth* :: 'a stream  $\Rightarrow$  nat  $\Rightarrow$  'a (**infixl** <!!> 100) **where**  
 $s !! 0 = \text{shd } s$   
 $| s !! \text{Suc } n = \text{stl } s !! n$

**lemma** *snth-Stream*:  $(x \#\# s) !! \text{Suc } i = s !! i$   
*<proof>*

**lemma** *snth-smap[simp]*:  $\text{smap } f s !! n = f (s !! n)$   
*<proof>*

**lemma** *shift-snth-less[simp]*:  $p < \text{length } xs \implies (xs @- s) !! p = xs ! p$   
*<proof>*

**lemma** *shift-snth-ge[simp]*:  $p \geq \text{length } xs \implies (xs @- s) !! p = s !! (p - \text{length } xs)$   
*<proof>*

**lemma** *shift-snth*:  $(xs @- s) !! n = (\text{if } n < \text{length } xs \text{ then } xs ! n \text{ else } s !! (n - \text{length } xs))$   
*<proof>*

**lemma** *snth-sset[simp]*:  $s !! n \in \text{sset } s$   
*<proof>*

**lemma** *sset-range*:  $\text{sset } s = \text{range } (\text{snth } s)$   
*<proof>*

**lemma** *streams-iff-snth*:  $s \in \text{streams } X \iff (\forall n. s !! n \in X)$   
 ⟨proof⟩

**lemma** *snth-in*:  $s \in \text{streams } X \implies s !! n \in X$   
 ⟨proof⟩

**primrec** *stake* ::  $\text{nat} \Rightarrow 'a \text{ stream} \Rightarrow 'a \text{ list}$  **where**  
 $\text{stake } 0 \ s = []$   
 |  $\text{stake } (\text{Suc } n) \ s = \text{shd } s \ \# \ \text{stake } n \ (\text{stl } s)$

**lemma** *length-stake[simp]*:  $\text{length } (\text{stake } n \ s) = n$   
 ⟨proof⟩

**lemma** *stake-smap[simp]*:  $\text{stake } n \ (\text{smap } f \ s) = \text{map } f \ (\text{stake } n \ s)$   
 ⟨proof⟩

**lemma** *take-stake*:  $\text{take } n \ (\text{stake } m \ s) = \text{stake } (\text{min } n \ m) \ s$   
 ⟨proof⟩

**primrec** *sdrop* ::  $\text{nat} \Rightarrow 'a \text{ stream} \Rightarrow 'a \text{ stream}$  **where**  
 $\text{sdrop } 0 \ s = s$   
 |  $\text{sdrop } (\text{Suc } n) \ s = \text{sdrop } n \ (\text{stl } s)$

**lemma** *sdrop-simps[simp]*:  
 $\text{shd } (\text{sdrop } n \ s) = s !! n \ \text{stl } (\text{sdrop } n \ s) = \text{sdrop } (\text{Suc } n) \ s$   
 ⟨proof⟩

**lemma** *sdrop-smap[simp]*:  $\text{sdrop } n \ (\text{smap } f \ s) = \text{smap } f \ (\text{sdrop } n \ s)$   
 ⟨proof⟩

**lemma** *sdrop-stl*:  $\text{sdrop } n \ (\text{stl } s) = \text{stl } (\text{sdrop } n \ s)$   
 ⟨proof⟩

**lemma** *drop-stake*:  $\text{drop } n \ (\text{stake } m \ s) = \text{stake } (m - n) \ (\text{sdrop } n \ s)$   
 ⟨proof⟩

**lemma** *stake-sdrop*:  $\text{stake } n \ s \ @- \ \text{sdrop } n \ s = s$   
 ⟨proof⟩

**lemma** *id-stake-snth-sdrop*:  
 $s = \text{stake } i \ s \ @- \ s !! i \ \#\# \ \text{sdrop } (\text{Suc } i) \ s$   
 ⟨proof⟩

**lemma** *smap-alt*:  $\text{smap } f \ s = s' \iff (\forall n. f \ (s !! n) = s' !! n)$  (**is** ?L = ?R)  
 ⟨proof⟩

**lemma** *stake-invert-Nil[iff]*:  $\text{stake } n \ s = [] \iff n = 0$   
 ⟨proof⟩



**lemma** *sdrop-shift*:  $sdrop\ i\ (w\ @-\ s) = drop\ i\ w\ @-\ sdrop\ (i - length\ w)\ s$   
 ⟨proof⟩

**lemma** *stake-shift*:  $stake\ i\ (w\ @-\ s) = take\ i\ w\ @\ stake\ (i - length\ w)\ s$   
 ⟨proof⟩

**lemma** *stake-add[simp]*:  $stake\ m\ s\ @\ stake\ n\ (sdrop\ m\ s) = stake\ (m + n)\ s$   
 ⟨proof⟩

**lemma** *sdrop-add[simp]*:  $sdrop\ n\ (sdrop\ m\ s) = sdrop\ (m + n)\ s$   
 ⟨proof⟩

**lemma** *sdrop-snth*:  $sdrop\ n\ s\ !!\ m = s\ !!\ (n + m)$   
 ⟨proof⟩

**partial-function** (*tailrec*) *sdrop-while* :: ('a ⇒ bool) ⇒ 'a stream ⇒ 'a stream  
**where**

*sdrop-while* P s = (if P (shd s) then *sdrop-while* P (stl s) else s)

**lemma** *sdrop-while-SCons[code]*:  
*sdrop-while* P (a ## s) = (if P a then *sdrop-while* P s else a ## s)  
 ⟨proof⟩

**lemma** *sdrop-while-sdrop-LEAST*:  
**assumes**  $\exists n. P\ (s\ !!\ n)$   
**shows**  $sdrop\ while\ (Not\ \circ\ P)\ s = sdrop\ (LEAST\ n.\ P\ (s\ !!\ n))\ s$   
 ⟨proof⟩

**primcorec** *sfilter* **where**  
 $shd\ (sfilter\ P\ s) = shd\ (sdrop\ while\ (Not\ \circ\ P)\ s)$   
 $|\ stl\ (sfilter\ P\ s) = sfilter\ P\ (stl\ (sdrop\ while\ (Not\ \circ\ P)\ s))$

**lemma** *sfilter-Stream*:  $sfilter\ P\ (x\ ##\ s) = (if\ P\ x\ then\ x\ ##\ sfilter\ P\ s\ else\ sfilter\ P\ s)$   
 ⟨proof⟩

## 57.4 unary predicates lifted to streams

**definition** *stream-all* P s = ( $\forall p. P\ (s\ !!\ p)$ )

**lemma** *stream-all-iff[iff]*:  $stream\ all\ P\ s \longleftrightarrow Ball\ (sset\ s)\ P$   
 ⟨proof⟩

**lemma** *stream-all-shift[simp]*:  $stream\ all\ P\ (xs\ @-\ s) = (list\ all\ P\ xs \wedge stream\ all\ P\ s)$   
 ⟨proof⟩

**lemma** *stream-all-Stream*:  $stream\ all\ P\ (x\ ##\ X) \longleftrightarrow P\ x \wedge stream\ all\ P\ X$   
 ⟨proof⟩

### 57.5 recurring stream out of a list

**primcorec**  $cycle :: 'a list \Rightarrow 'a stream$  **where**

$$shd (cycle xs) = hd xs$$

$$| stl (cycle xs) = cycle (tl xs @ [hd xs])$$

**lemma** *cycle-decomp*:  $u \neq [] \Longrightarrow cycle u = u @- cycle u$   
 ⟨proof⟩

**lemma** *cycle-Cons[code]*:  $cycle (x \# xs) = x \#\# cycle (xs @ [x])$   
 ⟨proof⟩

**lemma** *cycle-rotated*:  $[[v \neq []; cycle u = v @- s]] \Longrightarrow cycle (tl u @ [hd u]) = tl v @- s$   
 ⟨proof⟩

**lemma** *stake-append*:  $stake n (u @- s) = take (min (length u) n) u @ stake (n - length u) s$   
 ⟨proof⟩

**lemma** *stake-cycle-le[simp]*:  
**assumes**  $u \neq []$   $n < length u$   
**shows**  $stake n (cycle u) = take n u$   
 ⟨proof⟩

**lemma** *stake-cycle-eq[simp]*:  $u \neq [] \Longrightarrow stake (length u) (cycle u) = u$   
 ⟨proof⟩

**lemma** *sdrop-cycle-eq[simp]*:  $u \neq [] \Longrightarrow sdrop (length u) (cycle u) = cycle u$   
 ⟨proof⟩

**lemma** *stake-cycle-eq-mod-0[simp]*:  $[[u \neq []; n \bmod length u = 0]] \Longrightarrow stake n (cycle u) = concat (replicate (n div length u) u)$   
 ⟨proof⟩

**lemma** *sdrop-cycle-eq-mod-0[simp]*:  $[[u \neq []; n \bmod length u = 0]] \Longrightarrow sdrop n (cycle u) = cycle u$   
 ⟨proof⟩

**lemma** *stake-cycle*:  $u \neq [] \Longrightarrow stake n (cycle u) = concat (replicate (n div length u) u) @ take (n \bmod length u) u$   
 ⟨proof⟩

**lemma** *sdrop-cycle*:  $u \neq [] \Longrightarrow sdrop n (cycle u) = cycle (rotate (n \bmod length u) u)$   
 ⟨proof⟩

**lemma** *sset-cycle[simp]*:  
**assumes**  $xs \neq []$

**shows**  $sset (cycle\ xs) = set\ xs$   
 ⟨proof⟩

## 57.6 iterated application of a function

**primcorec** *siterate* **where**

$shd (siterate\ f\ x) = x$   
 |  $stl (siterate\ f\ x) = siterate\ f\ (f\ x)$

**lemma** *stake-Suc*:  $stake (Suc\ n)\ s = stake\ n\ s @ [s !! n]$   
 ⟨proof⟩

**lemma** *snth-siterate[simp]*:  $siterate\ f\ x !! n = (f \smallfrown n)\ x$   
 ⟨proof⟩

**lemma** *sdrop-siterate[simp]*:  $sdrop\ n (siterate\ f\ x) = siterate\ f\ ((f \smallfrown n)\ x)$   
 ⟨proof⟩

**lemma** *stake-siterate[simp]*:  $stake\ n (siterate\ f\ x) = map (\lambda n. (f \smallfrown n)\ x) [0 ..< n]$   
 ⟨proof⟩

**lemma** *sset-siterate*:  $sset (siterate\ f\ x) = \{(f \smallfrown n)\ x \mid n. True\}$   
 ⟨proof⟩

**lemma** *smap-siterate*:  $smap\ f (siterate\ f\ x) = siterate\ f (f\ x)$   
 ⟨proof⟩

## 57.7 stream repeating a single element

**abbreviation** *sconst*  $\equiv siterate\ id$

**lemma** *shift-replicate-sconst[simp]*:  $replicate\ n\ x @- sconst\ x = sconst\ x$   
 ⟨proof⟩

**lemma** *sset-sconst[simp]*:  $sset (sconst\ x) = \{x\}$   
 ⟨proof⟩

**lemma** *sconst-alt*:  $s = sconst\ x \longleftrightarrow sset\ s = \{x\}$   
 ⟨proof⟩

**lemma** *sconst-cycle*:  $sconst\ x = cycle [x]$   
 ⟨proof⟩

**lemma** *smap-sconst*:  $smap\ f (sconst\ x) = sconst (f\ x)$   
 ⟨proof⟩

**lemma** *sconst-streams*:  $x \in A \implies sconst\ x \in streams\ A$   
 ⟨proof⟩

**lemma** *streams-empty-iff*:  $streams\ S = \{\} \longleftrightarrow S = \{\}$

*<proof>*

### 57.8 stream of natural numbers

**abbreviation**  $fromN \equiv siterate\ Suc$

**abbreviation**  $nats \equiv fromN\ 0$

**lemma**  $sset-fromN[simp]$ :  $sset\ (fromN\ n) = \{n\ ..\}$   
*<proof>*

**lemma**  $stream-smap-fromN$ :  $s = smap\ (\lambda j. let\ i = j - n\ in\ s\ !!\ i)\ (fromN\ n)$   
*<proof>*

**lemma**  $stream-smap-nats$ :  $s = smap\ (snth\ s)\ nats$   
*<proof>*

### 57.9 flatten a stream of lists

**primcorec**  $flat$  **where**

$shd\ (flat\ ws) = hd\ (shd\ ws)$   
 $| stl\ (flat\ ws) = flat\ (if\ tl\ (shd\ ws) = []\ then\ stl\ ws\ else\ tl\ (shd\ ws)\ ##\ stl\ ws)$

**lemma**  $flat-Cons[simp, code]$ :  $flat\ ((x\ ##\ xs)\ ##\ ws) = x\ ##\ flat\ (if\ xs = []\ then\ ws\ else\ xs\ ##\ ws)$   
*<proof>*

**lemma**  $flat-Stream[simp]$ :  $xs \neq [] \implies flat\ (xs\ ##\ ws) = xs\ @-\ flat\ ws$   
*<proof>*

**lemma**  $flat-unfold$ :  $shd\ ws \neq [] \implies flat\ ws = shd\ ws\ @-\ flat\ (stl\ ws)$   
*<proof>*

**lemma**  $flat-snth$ :  $\forall xs \in sset\ s. xs \neq [] \implies flat\ s\ !!\ n = (if\ n < length\ (shd\ s)\ then\ shd\ s\ !\ n\ else\ flat\ (stl\ s)\ !!\ (n - length\ (shd\ s)))$   
*<proof>*

**lemma**  $sset-flat[simp]$ :  $\forall xs \in sset\ s. xs \neq [] \implies$   
 $sset\ (flat\ s) = (\bigcup xs \in sset\ s. set\ xs)\ (is\ ?P \implies ?L = ?R)$   
*<proof>*

### 57.10 merge a stream of streams

**definition**  $smerge$  :: 'a stream stream  $\Rightarrow$  'a stream **where**

$smerge\ ss = flat\ (smap\ (\lambda n. map\ (\lambda s. s\ !!\ n)\ (stake\ (Suc\ n)\ ss)\ @\ stake\ n\ (ss\ !!\ n))\ nats)$

**lemma**  $stake-nth[simp]$ :  $m < n \implies stake\ n\ s\ !\ m = s\ !!\ m$   
*<proof>*

**lemma** *snth-sset-smerge*:  $ss !! n !! m \in sset (smerge ss)$   
 ⟨proof⟩

**lemma** *sset-smerge*:  $sset (smerge ss) = \bigcup (sset \text{ ` } (sset ss))$   
 ⟨proof⟩

### 57.11 product of two streams

**definition** *sproduct* ::  $'a \text{ stream} \Rightarrow 'b \text{ stream} \Rightarrow ('a \times 'b) \text{ stream}$  **where**  
 $sproduct\ s1\ s2 = smerge (smap (\lambda x. smap (Pair\ x)\ s2))\ s1$

**lemma** *sset-sproduct*:  $sset (sproduct\ s1\ s2) = sset\ s1 \times sset\ s2$   
 ⟨proof⟩

### 57.12 interleave two streams

**primcorec** *sinterleave* **where**  
 $shd (sinterleave\ s1\ s2) = shd\ s1$   
 $| stl (sinterleave\ s1\ s2) = sinterleave\ s2 (stl\ s1)$

**lemma** *sinterleave-code*[code]:  
 $sinterleave (x \## s1) s2 = x \## sinterleave\ s2\ s1$   
 ⟨proof⟩

**lemma** *sinterleave-snth*[simp]:  
 $even\ n \implies sinterleave\ s1\ s2 !! n = s1 !! (n\ div\ 2)$   
 $odd\ n \implies sinterleave\ s1\ s2 !! n = s2 !! (n\ div\ 2)$   
 ⟨proof⟩

**lemma** *sset-sinterleave*:  $sset (sinterleave\ s1\ s2) = sset\ s1 \cup sset\ s2$   
 ⟨proof⟩

### 57.13 zip

**primcorec** *szip* **where**  
 $shd (szip\ s1\ s2) = (shd\ s1, shd\ s2)$   
 $| stl (szip\ s1\ s2) = szip (stl\ s1) (stl\ s2)$

**lemma** *szip-unfold*[code]:  $szip (a \## s1) (b \## s2) = (a, b) \## (szip\ s1\ s2)$   
 ⟨proof⟩

**lemma** *snth-szip*[simp]:  $szip\ s1\ s2 !! n = (s1 !! n, s2 !! n)$   
 ⟨proof⟩

**lemma** *stake-szip*[simp]:  
 $stake\ n (szip\ s1\ s2) = zip (stake\ n\ s1) (stake\ n\ s2)$   
 ⟨proof⟩

**lemma** *sdrop-szip*[simp]:  $sdrop\ n (szip\ s1\ s2) = szip (sdrop\ n\ s1) (sdrop\ n\ s2)$   
 ⟨proof⟩

**lemma** *smap-szip-fst*:

$$\text{smap } (\lambda x. f (fst x)) (szip s1 s2) = \text{smap } f s1$$

*<proof>*

**lemma** *smap-szip-snd*:

$$\text{smap } (\lambda x. g (snd x)) (szip s1 s2) = \text{smap } g s2$$

*<proof>*

## 57.14 zip via function

**primcorec** *smap2* **where**

$$\begin{aligned} shd (\text{smap2 } f s1 s2) &= f (shd s1) (shd s2) \\ | stl (\text{smap2 } f s1 s2) &= \text{smap2 } f (stl s1) (stl s2) \end{aligned}$$

**lemma** *smap2-unfold*[code]:

$$\text{smap2 } f (a \#\# s1) (b \#\# s2) = f a b \#\# (\text{smap2 } f s1 s2)$$

*<proof>*

**lemma** *smap2-szip*:

$$\text{smap2 } f s1 s2 = \text{smap } (\text{case-prod } f) (szip s1 s2)$$

*<proof>*

**lemma** *smap-smap2*[simp]:

$$\text{smap } f (\text{smap2 } g s1 s2) = \text{smap2 } (\lambda x y. f (g x y)) s1 s2$$

*<proof>*

**lemma** *smap2-alt*:

$$(\text{smap2 } f s1 s2 = s) = (\forall n. f (s1 !! n) (s2 !! n) = s !! n)$$

*<proof>*

**lemma** *snth-smap2*[simp]:

$$\text{smap2 } f s1 s2 !! n = f (s1 !! n) (s2 !! n)$$

*<proof>*

**lemma** *stake-smap2*[simp]:

$$\text{stake } n (\text{smap2 } f s1 s2) = \text{map } (\text{case-prod } f) (\text{zip } (\text{stake } n s1) (\text{stake } n s2))$$

*<proof>*

**lemma** *sdrop-smap2*[simp]:

$$\text{sdrop } n (\text{smap2 } f s1 s2) = \text{smap2 } f (\text{sdrop } n s1) (\text{sdrop } n s2)$$

*<proof>*

**end**

## 58 List prefixes, suffixes, and homeomorphic embedding

**theory** *Sublist*  
**imports** *Main*  
**begin**

### 58.1 Prefix order on lists

**definition** *prefix* :: 'a list  $\Rightarrow$  'a list  $\Rightarrow$  bool  
**where** *prefix* *xs ys*  $\longleftrightarrow (\exists zs. ys = xs @ zs)$

**definition** *strict-prefix* :: 'a list  $\Rightarrow$  'a list  $\Rightarrow$  bool  
**where** *strict-prefix* *xs ys*  $\longleftrightarrow prefix\ xs\ ys \wedge xs \neq ys$

**global-interpretation** *prefix-order*: *ordering prefix strict-prefix*  
 $\langle proof \rangle$

**interpretation** *prefix-order*: *order prefix strict-prefix*  
 $\langle proof \rangle$

**global-interpretation** *prefix-bot*: *ordering-top*  $\langle \lambda xs\ ys. prefix\ ys\ xs \rangle \langle \lambda xs\ ys. strict-prefix\ ys\ xs \rangle \langle [] \rangle$   
 $\langle proof \rangle$

**interpretation** *prefix-bot*: *order-bot Nil prefix strict-prefix*  
 $\langle proof \rangle$

**lemma** *prefixI* [*intro?*]:  $ys = xs @ zs \Longrightarrow prefix\ xs\ ys$   
 $\langle proof \rangle$

**lemma** *prefixE* [*elim?*]:  
**assumes** *prefix xs ys*  
**obtains** *zs* **where**  $ys = xs @ zs$   
 $\langle proof \rangle$

**lemma** *strict-prefixI'* [*intro?*]:  $ys = xs @ z \# zs \Longrightarrow strict-prefix\ xs\ ys$   
 $\langle proof \rangle$

**lemma** *strict-prefixE'* [*elim?*]:  
**assumes** *strict-prefix xs ys*  
**obtains** *z zs* **where**  $ys = xs @ z \# zs$   
 $\langle proof \rangle$

**lemma** *strict-prefixI* [*intro?*]:  $prefix\ xs\ ys \Longrightarrow xs \neq ys \Longrightarrow strict-prefix\ xs\ ys$   
 $\langle proof \rangle$

**lemma** *strict-prefixE* [*elim?*]:

**fixes**  $xs\ ys :: 'a\ list$   
**assumes**  $strict\ prefix\ xs\ ys$   
**obtains**  $prefix\ xs\ ys$  **and**  $xs \neq ys$   
 $\langle proof \rangle$

## 58.2 Basic properties of prefixes

**theorem**  $Nil\ prefix\ [simp]: prefix\ []\ xs$   
 $\langle proof \rangle$

**theorem**  $prefix\ Nil\ [simp]: (prefix\ xs\ []) = (xs = [])$   
 $\langle proof \rangle$

**lemma**  $prefix\ snoc\ [simp]: prefix\ xs\ (ys\ @\ [y]) \longleftrightarrow xs = ys\ @\ [y] \vee prefix\ xs\ ys$   
 $\langle proof \rangle$

**lemma**  $Cons\ prefix\ Cons\ [simp]: prefix\ (x\ \# \ xs)\ (y\ \# \ ys) = (x = y \wedge prefix\ xs\ ys)$   
 $\langle proof \rangle$

**lemma**  $prefix\ code\ [code]:$   
 $prefix\ []\ xs \longleftrightarrow True$   
 $prefix\ (x\ \# \ xs)\ [] \longleftrightarrow False$   
 $prefix\ (x\ \# \ xs)\ (y\ \# \ ys) \longleftrightarrow x = y \wedge prefix\ xs\ ys$   
 $\langle proof \rangle$

**lemma**  $same\ prefix\ prefix\ [simp]: prefix\ (xs\ @\ ys)\ (xs\ @\ zs) = prefix\ ys\ zs$   
 $\langle proof \rangle$

**lemma**  $same\ prefix\ nil\ [simp]: prefix\ (xs\ @\ ys)\ xs = (ys = [])$   
 $\langle proof \rangle$

**lemma**  $prefix\ prefix\ [simp]: prefix\ xs\ ys \implies prefix\ xs\ (ys\ @\ zs)$   
 $\langle proof \rangle$

**lemma**  $append\ prefixD: prefix\ (xs\ @\ ys)\ zs \implies prefix\ xs\ zs$   
 $\langle proof \rangle$

**theorem**  $prefix\ Cons: prefix\ xs\ (y\ \# \ ys) = (xs = [] \vee (\exists\ zs.\ xs = y\ \# \ zs \wedge prefix\ zs\ ys))$   
 $\langle proof \rangle$

**theorem**  $prefix\ append:$   
 $prefix\ xs\ (ys\ @\ zs) = (prefix\ xs\ ys \vee (\exists\ us.\ xs = ys\ @\ us \wedge prefix\ us\ zs))$   
 $\langle proof \rangle$

**lemma**  $append\ one\ prefix:$   
 $prefix\ xs\ ys \implies length\ xs < length\ ys \implies prefix\ (xs\ @\ [ys\ !\ length\ xs])\ ys$   
 $\langle proof \rangle$



**theorem** *prefix-length-le*:  $\text{prefix } xs \ ys \implies \text{length } xs \leq \text{length } ys$   
 ⟨proof⟩

**lemma** *prefix-same-cases*:  
 $\text{prefix } (xs_1 :: 'a \ \text{list}) \ ys \implies \text{prefix } xs_2 \ ys \implies \text{prefix } xs_1 \ xs_2 \vee \text{prefix } xs_2 \ xs_1$   
 ⟨proof⟩

**lemma** *prefix-length-prefix*:  
 $\text{prefix } ps \ xs \implies \text{prefix } qs \ xs \implies \text{length } ps \leq \text{length } qs \implies \text{prefix } ps \ qs$   
 ⟨proof⟩

**lemma** *set-mono-prefix*:  $\text{prefix } xs \ ys \implies \text{set } xs \subseteq \text{set } ys$   
 ⟨proof⟩

**lemma** *take-is-prefix*:  $\text{prefix } (\text{take } n \ xs) \ xs$   
 ⟨proof⟩

**lemma** *takeWhile-is-prefix*:  $\text{prefix } (\text{takeWhile } P \ xs) \ xs$   
 ⟨proof⟩

**lemma** *prefixeq-butlast*:  $\text{prefix } (\text{butlast } xs) \ xs$   
 ⟨proof⟩

**lemma** *prefix-map-rightE*:  
**assumes**  $\text{prefix } xs \ (\text{map } f \ ys)$   
**shows**  $\exists xs'. \text{prefix } xs' \ ys \wedge xs = \text{map } f \ xs'$   
 ⟨proof⟩

**lemma** *map-mono-prefix*:  $\text{prefix } xs \ ys \implies \text{prefix } (\text{map } f \ xs) \ (\text{map } f \ ys)$   
 ⟨proof⟩

**lemma** *filter-mono-prefix*:  $\text{prefix } xs \ ys \implies \text{prefix } (\text{filter } P \ xs) \ (\text{filter } P \ ys)$   
 ⟨proof⟩

**lemma** *sorted-antimono-prefix*:  $\text{prefix } xs \ ys \implies \text{sorted } ys \implies \text{sorted } xs$   
 ⟨proof⟩

**lemma** *prefix-length-less*:  $\text{strict-prefix } xs \ ys \implies \text{length } xs < \text{length } ys$   
 ⟨proof⟩

**lemma** *prefix-snocD*:  $\text{prefix } (xs@[x]) \ ys \implies \text{strict-prefix } xs \ ys$   
 ⟨proof⟩

**lemma** *strict-prefix-simps* [*simp*, *code*]:  
 $\text{strict-prefix } xs \ [] \longleftrightarrow \text{False}$   
 $\text{strict-prefix } [] \ (x \# \ xs) \longleftrightarrow \text{True}$   
 $\text{strict-prefix } (x \# \ xs) \ (y \# \ ys) \longleftrightarrow x = y \wedge \text{strict-prefix } xs \ ys$   
 ⟨proof⟩

**lemma** *take-strict-prefix*: *strict-prefix xs ys*  $\implies$  *strict-prefix (take n xs) ys*  
 ⟨*proof*⟩

**lemma** *prefix-takeWhile*:  
**assumes** *prefix xs ys*  
**shows** *prefix (takeWhile P xs) (takeWhile P ys)*  
 ⟨*proof*⟩

**lemma** *prefix-dropWhile*:  
**assumes** *prefix xs ys*  
**shows** *prefix (dropWhile P xs) (dropWhile P ys)*  
 ⟨*proof*⟩

**lemma** *prefix-remdups-adj*:  
**assumes** *prefix xs ys*  
**shows** *prefix (remdups-adj xs) (remdups-adj ys)*  
 ⟨*proof*⟩

**lemma** *not-prefix-cases*:  
**assumes** *pfx:  $\neg$  prefix ps ls*  
**obtains**  
 (c1) *ps  $\neq$  [] and ls = []*  
 | (c2) *a as x xs where ps = a#as and ls = x#xs and x = a and  $\neg$  prefix as xs*  
 | (c3) *a as x xs where ps = a#as and ls = x#xs and x  $\neq$  a*  
 ⟨*proof*⟩

**lemma** *not-prefix-induct* [*consumes 1, case-names Nil Neg Eq*]:  
**assumes** *np:  $\neg$  prefix ps ls*  
**and base:**  $\bigwedge x xs. P (x\#xs)$  []  
**and r1:**  $\bigwedge x xs y ys. x \neq y \implies P (x\#xs) (y\#ys)$   
**and r2:**  $\bigwedge x xs y ys. \llbracket x = y; \neg \text{prefix } xs \text{ } ys; P \text{ } xs \text{ } ys \rrbracket \implies P (x\#xs) (y\#ys)$   
**shows** *P ps ls* ⟨*proof*⟩

### 58.3 Prefixes

**primrec** *prefixes* **where**  
*prefixes [] = [[]] |*  
*prefixes (x#xs) = [] # map ((#) x) (prefixes xs)*

**lemma** *in-set-prefixes[simp]*: *xs  $\in$  set (prefixes ys)  $\longleftrightarrow$  prefix xs ys*  
 ⟨*proof*⟩

**lemma** *length-prefixes[simp]*: *length (prefixes xs) = length xs + 1*  
 ⟨*proof*⟩

**lemma** *distinct-prefixes [intro]*: *distinct (prefixes xs)*  
 ⟨*proof*⟩

**lemma** *prefixes-snoc* [simp]:  $\text{prefixes } (xs@[x]) = \text{prefixes } xs @ [xs@[x]]$   
 ⟨proof⟩

**lemma** *prefixes-not-Nil* [simp]:  $\text{prefixes } xs \neq []$   
 ⟨proof⟩

**lemma** *hd-prefixes* [simp]:  $\text{hd } (\text{prefixes } xs) = []$   
 ⟨proof⟩

**lemma** *last-prefixes* [simp]:  $\text{last } (\text{prefixes } xs) = xs$   
 ⟨proof⟩

**lemma** *prefixes-append*:  
 $\text{prefixes } (xs @ ys) = \text{prefixes } xs @ \text{map } (\lambda ys'. xs @ ys') (\text{tl } (\text{prefixes } ys))$   
 ⟨proof⟩

**lemma** *prefixes-eq-snoc*:  
 $\text{prefixes } ys = xs @ [x] \longleftrightarrow$   
 $(ys = [] \wedge xs = [] \vee (\exists z zs. ys = zs@[z] \wedge xs = \text{prefixes } zs)) \wedge x = ys$   
 ⟨proof⟩

**lemma** *prefixes-tailrec* [code]:  
 $\text{prefixes } xs = \text{rev } (\text{snd } (\text{foldl } (\lambda(\text{acc1}, \text{acc2}) x. (x\#\text{acc1}, \text{rev } (x\#\text{acc1})\#\text{acc2}))$   
 $([], []) xs))$   
 ⟨proof⟩

**lemma** *set-prefixes-eq*:  $\text{set } (\text{prefixes } xs) = \{ys. \text{prefix } ys \ xs\}$   
 ⟨proof⟩

**lemma** *card-set-prefixes* [simp]:  $\text{card } (\text{set } (\text{prefixes } xs)) = \text{Suc } (\text{length } xs)$   
 ⟨proof⟩

**lemma** *set-prefixes-append*:  
 $\text{set } (\text{prefixes } (xs @ ys)) = \text{set } (\text{prefixes } xs) \cup \{xs @ ys' \mid ys'. ys' \in \text{set } (\text{prefixes } ys)\}$   
 ⟨proof⟩

## 58.4 Longest Common Prefix

**definition** *Longest-common-prefix* :: 'a list set  $\Rightarrow$  'a list **where**  
*Longest-common-prefix* L = (ARG-MAX length ps.  $\forall xs \in L. \text{prefix } ps \ xs$ )

**lemma** *Longest-common-prefix-ex*:  $L \neq \{\} \implies$   
 $\exists ps. (\forall xs \in L. \text{prefix } ps \ xs) \wedge (\forall qs. (\forall xs \in L. \text{prefix } qs \ xs) \longrightarrow \text{size } qs \leq \text{size } ps)$   
 (is -  $\implies \exists ps. ?P \ L \ ps$ )  
 ⟨proof⟩

**lemma** *Longest-common-prefix-unique*:

$\langle \exists! ps. (\forall xs \in L. \text{prefix } ps \ xs) \wedge (\forall qs. (\forall xs \in L. \text{prefix } qs \ xs) \longrightarrow \text{length } qs \leq \text{length } ps) \rangle$   
**if**  $\langle L \neq \{\} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *Longest-common-prefix-eq:*

$\llbracket L \neq \{\}; \forall xs \in L. \text{prefix } ps \ xs;$   
 $\forall qs. (\forall xs \in L. \text{prefix } qs \ xs) \longrightarrow \text{size } qs \leq \text{size } ps \rrbracket$   
 $\implies \text{Longest-common-prefix } L = ps$   
 $\langle \text{proof} \rangle$

**lemma** *Longest-common-prefix-prefix:*

$xs \in L \implies \text{prefix } (\text{Longest-common-prefix } L) \ xs$   
 $\langle \text{proof} \rangle$

**lemma** *Longest-common-prefix-longest:*

$L \neq \{\} \implies \forall xs \in L. \text{prefix } ps \ xs \implies \text{length } ps \leq \text{length}(\text{Longest-common-prefix } L)$   
 $\langle \text{proof} \rangle$

**lemma** *Longest-common-prefix-max-prefix:*

$L \neq \{\} \implies \forall xs \in L. \text{prefix } ps \ xs \implies \text{prefix } ps \ (\text{Longest-common-prefix } L)$   
 $\langle \text{proof} \rangle$

**lemma** *Longest-common-prefix-Nil:*  $\llbracket \in L \implies \text{Longest-common-prefix } L = \llbracket \rrbracket$   
 $\langle \text{proof} \rangle$

**lemma** *Longest-common-prefix-image-Cons:*  $L \neq \{\} \implies$

$\text{Longest-common-prefix } ((\#) \ x \ 'L) = x \ \# \ \text{Longest-common-prefix } L$   
 $\langle \text{proof} \rangle$

**lemma** *Longest-common-prefix-eq-Cons:* **assumes**  $L \neq \{\} \llbracket \notin L \forall xs \in L. \text{hd } xs = x$

**shows**  $\text{Longest-common-prefix } L = x \ \# \ \text{Longest-common-prefix } \{ys. x \ \# \ ys \in L\}$   
 $\langle \text{proof} \rangle$

**lemma** *Longest-common-prefix-eq-Nil:*

$\llbracket x \ \# \ ys \in L; y \ \# \ zs \in L; x \neq y \rrbracket \implies \text{Longest-common-prefix } L = \llbracket \rrbracket$   
 $\langle \text{proof} \rangle$

**fun** *longest-common-prefix* :: 'a list  $\Rightarrow$  'a list  $\Rightarrow$  'a list **where**

$\text{longest-common-prefix } (x \ \# \ xs) \ (y \ \# \ ys) =$   
 $(\text{if } x=y \text{ then } x \ \# \ \text{longest-common-prefix } xs \ ys \text{ else } \llbracket \rrbracket) \ |$   
 $\text{longest-common-prefix } - - = \llbracket \rrbracket$

**lemma** *longest-common-prefix-prefix1:*

$\text{prefix } (\text{longest-common-prefix } xs \ ys) \ xs$   
 $\langle \text{proof} \rangle$

**lemma** *longest-common-prefix-prefix2*:  
 $\text{prefix } (\text{longest-common-prefix } xs \ ys) \ ys$   
 $\langle \text{proof} \rangle$

**lemma** *longest-common-prefix-max-prefix*:  
 $\llbracket \text{prefix } ps \ xs; \text{prefix } ps \ ys \rrbracket$   
 $\implies \text{prefix } ps \ (\text{longest-common-prefix } xs \ ys)$   
 $\langle \text{proof} \rangle$

## 58.5 Parallel lists

**definition** *parallel* :: 'a list  $\Rightarrow$  'a list  $\Rightarrow$  bool (**infixl**  $\parallel$  50)  
**where**  $(xs \parallel ys) = (\neg \text{prefix } xs \ ys \wedge \neg \text{prefix } ys \ xs)$

**lemma** *parallelI* [*intro*]:  $\neg \text{prefix } xs \ ys \implies \neg \text{prefix } ys \ xs \implies xs \parallel ys$   
 $\langle \text{proof} \rangle$

**lemma** *parallelE* [*elim*]:  
**assumes**  $xs \parallel ys$   
**obtains**  $\neg \text{prefix } xs \ ys \wedge \neg \text{prefix } ys \ xs$   
 $\langle \text{proof} \rangle$

**theorem** *prefix-cases*:  
**obtains**  $\text{prefix } xs \ ys \mid \text{strict-prefix } ys \ xs \mid xs \parallel ys$   
 $\langle \text{proof} \rangle$

**lemma** *parallel-cancel*:  $a \# xs \parallel a \# ys \implies xs \parallel ys$   
 $\langle \text{proof} \rangle$

**theorem** *parallel-decomp*:  
 $xs \parallel ys \implies \exists as \ b \ bs \ c \ cs. \ b \neq c \wedge xs = as \ @ \ b \ \# \ bs \wedge ys = as \ @ \ c \ \# \ cs$   
 $\langle \text{proof} \rangle$

**lemma** *parallel-append*:  $a \parallel b \implies a \ @ \ c \parallel b \ @ \ d$   
 $\langle \text{proof} \rangle$

**lemma** *parallel-appendI*:  $xs \parallel ys \implies x = xs \ @ \ xs' \implies y = ys \ @ \ ys' \implies x \parallel y$   
 $\langle \text{proof} \rangle$

**lemma** *parallel-commute*:  $a \parallel b \longleftrightarrow b \parallel a$   
 $\langle \text{proof} \rangle$

## 58.6 Suffix order on lists

**definition** *suffix* :: 'a list  $\Rightarrow$  'a list  $\Rightarrow$  bool  
**where**  $\text{suffix } xs \ ys = (\exists zs. \ ys = zs \ @ \ xs)$

**definition** *strict-suffix* :: 'a list  $\Rightarrow$  'a list  $\Rightarrow$  bool  
**where**  $\text{strict-suffix } xs \ ys \longleftrightarrow \text{suffix } xs \ ys \wedge xs \neq ys$

**global-interpretation** *suffix-order*: *ordering suffix strict-suffix*  
 ⟨*proof*⟩

**interpretation** *suffix-order*: *order suffix strict-suffix*  
 ⟨*proof*⟩

**global-interpretation** *suffix-bot*: *ordering-top*  $\langle \lambda xs\ ys.\ suffix\ ys\ xs \rangle$   $\langle \lambda xs\ ys.\ strict-suffix\ ys\ xs \rangle$   $\langle [] \rangle$   
 ⟨*proof*⟩

**interpretation** *suffix-bot*: *order-bot Nil suffix strict-suffix*  
 ⟨*proof*⟩

**lemma** *suffixI* [*intro?*]:  $ys = zs @ xs \implies suffix\ xs\ ys$   
 ⟨*proof*⟩

**lemma** *suffixE* [*elim?*]:  
**assumes** *suffix xs ys*  
**obtains** *zs where ys = zs @ xs*  
 ⟨*proof*⟩

**lemma** *suffix-tl* [*simp*]:  $suffix\ (tl\ xs)\ xs$   
 ⟨*proof*⟩

**lemma** *strict-suffix-tl* [*simp*]:  $xs \neq [] \implies strict-suffix\ (tl\ xs)\ xs$   
 ⟨*proof*⟩

**lemma** *Nil-suffix* [*simp*]:  $suffix\ []\ xs$   
 ⟨*proof*⟩

**lemma** *suffix-Nil* [*simp*]:  $(suffix\ xs\ []) = (xs = [])$   
 ⟨*proof*⟩

**lemma** *suffix-ConsI*:  $suffix\ xs\ ys \implies suffix\ xs\ (y \# ys)$   
 ⟨*proof*⟩

**lemma** *suffix-ConsD*:  $suffix\ (x \# xs)\ ys \implies suffix\ xs\ ys$   
 ⟨*proof*⟩

**lemma** *suffix-appendI*:  $suffix\ xs\ ys \implies suffix\ xs\ (zs @ ys)$   
 ⟨*proof*⟩

**lemma** *suffix-appendD*:  $suffix\ (zs @ xs)\ ys \implies suffix\ xs\ ys$   
 ⟨*proof*⟩

**lemma** *strict-suffix-set-subset*:  $strict-suffix\ xs\ ys \implies set\ xs \subseteq set\ ys$   
 ⟨*proof*⟩

**lemma** *set-mono-suffix*:  $suffix\ xs\ ys \implies set\ xs \subseteq set\ ys$

*<proof>*

**lemma** *sorted-antimono-suffix*:  $\text{suffix } xs \ ys \implies \text{sorted } ys \implies \text{sorted } xs$   
*<proof>*

**lemma** *suffix-ConsD2*:  $\text{suffix } (x \# \ xs) \ (y \# \ ys) \implies \text{suffix } xs \ ys$   
*<proof>*

**lemma** *suffix-to-prefix* [code]:  $\text{suffix } xs \ ys \longleftrightarrow \text{prefix } (\text{rev } xs) \ (\text{rev } ys)$   
*<proof>*

**lemma** *strict-suffix-to-prefix* [code]:  $\text{strict-suffix } xs \ ys \longleftrightarrow \text{strict-prefix } (\text{rev } xs) \ (\text{rev } ys)$   
*<proof>*

**lemma** *distinct-suffix*:  $\text{distinct } ys \implies \text{suffix } xs \ ys \implies \text{distinct } xs$   
*<proof>*

**lemma** *map-mono-suffix*:  $\text{suffix } xs \ ys \implies \text{suffix } (\text{map } f \ xs) \ (\text{map } f \ ys)$   
*<proof>*

**lemma** *map-mono-strict-suffix*:  $\text{strict-suffix } xs \ ys \implies \text{strict-suffix } (\text{map } f \ xs) \ (\text{map } f \ ys)$   
*<proof>*

**lemma** *filter-mono-suffix*:  $\text{suffix } xs \ ys \implies \text{suffix } (\text{filter } P \ xs) \ (\text{filter } P \ ys)$   
*<proof>*

**lemma** *suffix-drop*:  $\text{suffix } (\text{drop } n \ as) \ as$   
*<proof>*

**lemma** *suffix-dropWhile*:  $\text{suffix } (\text{dropWhile } P \ xs) \ xs$   
*<proof>*

**lemma** *suffix-take*:  $\text{suffix } xs \ ys \implies ys = \text{take } (\text{length } ys - \text{length } xs) \ ys \ @ \ xs$   
*<proof>*

**lemma** *strict-suffix-reflclp-conv*:  $\text{strict-suffix}^{==} = \text{suffix}$   
*<proof>*

**lemma** *suffix-lists*:  $\text{suffix } xs \ ys \implies ys \in \text{lists } A \implies xs \in \text{lists } A$   
*<proof>*

**lemma** *suffix-snoc* [simp]:  $\text{suffix } xs \ (ys \ @ \ [y]) \longleftrightarrow xs = [] \vee (\exists zs. xs = zs \ @ \ [y] \wedge \text{suffix } zs \ ys)$   
*<proof>*

**lemma** *snoc-suffix-snoc* [simp]:  $\text{suffix } (xs \ @ \ [x]) \ (ys \ @ \ [y]) = (x = y \wedge \text{suffix } xs \ ys)$

*<proof>*

**lemma** *same-suffix-suffix* [simp]:  $\text{suffix } (ys @ xs) (zs @ xs) = \text{suffix } ys \ zs$   
*<proof>*

**lemma** *same-suffix-nil* [simp]:  $\text{suffix } (ys @ xs) \ xs = (ys = [])$   
*<proof>*

**theorem** *suffix-Cons*:  $\text{suffix } xs \ (y \# \ ys) \longleftrightarrow xs = y \# \ ys \vee \text{suffix } xs \ ys$   
*<proof>*

**theorem** *suffix-append*:  
 $\text{suffix } xs \ (ys @ zs) \longleftrightarrow \text{suffix } xs \ zs \vee (\exists xs'. xs = xs' @ zs \wedge \text{suffix } xs' \ ys)$   
*<proof>*

**theorem** *suffix-length-le*:  $\text{suffix } xs \ ys \implies \text{length } xs \leq \text{length } ys$   
*<proof>*

**lemma** *suffix-same-cases*:  
 $\text{suffix } (xs_1 :: 'a \ \text{list}) \ ys \implies \text{suffix } xs_2 \ ys \implies \text{suffix } xs_1 \ xs_2 \vee \text{suffix } xs_2 \ xs_1$   
*<proof>*

**lemma** *suffix-length-suffix*:  
 $\text{suffix } ps \ xs \implies \text{suffix } qs \ xs \implies \text{length } ps \leq \text{length } qs \implies \text{suffix } ps \ qs$   
*<proof>*

**lemma** *suffix-length-less*:  $\text{strict-suffix } xs \ ys \implies \text{length } xs < \text{length } ys$   
*<proof>*

**lemma** *suffix-ConsD'*:  $\text{suffix } (x \# \ xs) \ ys \implies \text{strict-suffix } xs \ ys$   
*<proof>*

**lemma** *drop-strict-suffix*:  $\text{strict-suffix } xs \ ys \implies \text{strict-suffix } (\text{drop } n \ xs) \ ys$   
*<proof>*

**lemma** *suffix-map-rightE*:  
**assumes**  $\text{suffix } xs \ (\text{map } f \ ys)$   
**shows**  $\exists xs'. \text{suffix } xs' \ ys \wedge xs = \text{map } f \ xs'$   
*<proof>*

**lemma** *suffix-remdups-adj*:  $\text{suffix } xs \ ys \implies \text{suffix } (\text{remdups-adj } xs) \ (\text{remdups-adj } ys)$   
*<proof>*

**lemma** *not-suffix-cases*:  
**assumes**  $\text{pfx}: \neg \text{suffix } ps \ ls$   
**obtains**  
 (c1)  $ps \neq []$  **and**  $ls = []$   
 | (c2)  $a \ as \ x \ xs$  **where**  $ps = as@[a]$  **and**  $ls = xs@[x]$  **and**  $x = a$  **and**  $\neg \text{suffix } as$



$xs$   
 | (c3)  $a$  as  $x$   $xs$  **where**  $ps = as@[a]$  **and**  $ls = xs@[x]$  **and**  $x \neq a$   
 ⟨proof⟩

**lemma** *not-suffix-induct* [consumes 1, case-names Nil Neq Eq]:

**assumes**  $np: \neg \text{suffix } ps \ ls$

**and**  $base: \bigwedge x \ xs. P \ (xs@[x]) \ []$

**and**  $r1: \bigwedge x \ xs \ y \ ys. x \neq y \implies P \ (xs@[x]) \ (ys@[y])$

**and**  $r2: \bigwedge x \ xs \ y \ ys. [x = y; \neg \text{suffix } xs \ ys; P \ xs \ ys] \implies P \ (xs@[x]) \ (ys@[y])$

**shows**  $P \ ps \ ls$  ⟨proof⟩

**lemma** *parallelD1*:  $x \parallel y \implies \neg \text{prefix } x \ y$   
 ⟨proof⟩

**lemma** *parallelD2*:  $x \parallel y \implies \neg \text{prefix } y \ x$   
 ⟨proof⟩

**lemma** *parallel-Nil1* [simp]:  $\neg x \parallel []$   
 ⟨proof⟩

**lemma** *parallel-Nil2* [simp]:  $\neg [] \parallel x$   
 ⟨proof⟩

**lemma** *Cons-parallelI1*:  $a \neq b \implies a \# as \parallel b \# bs$   
 ⟨proof⟩

**lemma** *Cons-parallelI2*:  $[a = b; as \parallel bs] \implies a \# as \parallel b \# bs$   
 ⟨proof⟩

**lemma** *not-equal-is-parallel*:

**assumes**  $neq: xs \neq ys$

**and**  $len: \text{length } xs = \text{length } ys$

**shows**  $xs \parallel ys$

⟨proof⟩

## 58.7 Suffixes

**primrec** *suffixes* **where**

$\text{suffixes } [] = [[]]$

|  $\text{suffixes } (x\#xs) = \text{suffixes } xs \ @ \ [x \# xs]$

**lemma** *in-set-suffixes* [simp]:  $xs \in \text{set } (\text{suffixes } ys) \longleftrightarrow \text{suffix } xs \ ys$   
 ⟨proof⟩

**lemma** *distinct-suffixes* [intro]:  $\text{distinct } (\text{suffixes } xs)$   
 ⟨proof⟩

**lemma** *length-suffixes* [simp]:  $\text{length } (\text{suffixes } xs) = \text{Suc } (\text{length } xs)$

*<proof>*

**lemma** *suffixes-snoc* [simp]:  $\text{suffixes } (xs @ [x]) = [] \# \text{map } (\lambda ys. ys @ [x]) (\text{suffixes } xs)$

*<proof>*

**lemma** *suffixes-not-Nil* [simp]:  $\text{suffixes } xs \neq []$

*<proof>*

**lemma** *hd-suffixes* [simp]:  $\text{hd } (\text{suffixes } xs) = []$

*<proof>*

**lemma** *last-suffixes* [simp]:  $\text{last } (\text{suffixes } xs) = xs$

*<proof>*

**lemma** *suffixes-append*:

$\text{suffixes } (xs @ ys) = \text{suffixes } ys @ \text{map } (\lambda xs'. xs' @ ys) (\text{tl } (\text{suffixes } xs))$

*<proof>*

**lemma** *suffixes-eq-snoc*:

$\text{suffixes } ys = xs @ [x] \longleftrightarrow$

$(ys = [] \wedge xs = [] \vee (\exists z zs. ys = z \# zs \wedge xs = \text{suffixes } zs)) \wedge x = ys$

*<proof>*

**lemma** *suffixes-tailrec* [code]:

$\text{suffixes } xs = \text{rev } (\text{snd } (\text{foldl } (\lambda(\text{acc1}, \text{acc2}) x. (x \# \text{acc1}, (x \# \text{acc1}) \# \text{acc2})) ([], [])) (\text{rev } xs))$

*<proof>*

**lemma** *set-suffixes-eq*:  $\text{set } (\text{suffixes } xs) = \{ys. \text{suffix } ys \ xs\}$

*<proof>*

**lemma** *card-set-suffixes* [simp]:  $\text{card } (\text{set } (\text{suffixes } xs)) = \text{Suc } (\text{length } xs)$

*<proof>*

**lemma** *set-suffixes-append*:

$\text{set } (\text{suffixes } (xs @ ys)) = \text{set } (\text{suffixes } ys) \cup \{xs' @ ys \mid xs'. xs' \in \text{set } (\text{suffixes } xs)\}$

*<proof>*

**lemma** *suffixes-conv-prefixes*:  $\text{suffixes } xs = \text{map } \text{rev } (\text{prefixes } (\text{rev } xs))$

*<proof>*

**lemma** *prefixes-conv-suffixes*:  $\text{prefixes } xs = \text{map } \text{rev } (\text{suffixes } (\text{rev } xs))$

*<proof>*

**lemma** *prefixes-rev*:  $\text{prefixes } (\text{rev } xs) = \text{map } \text{rev } (\text{suffixes } xs)$

*<proof>*

**lemma** *suffixes-rev*:  $\text{suffixes } (\text{rev } xs) = \text{map rev } (\text{prefixes } xs)$   
 ⟨proof⟩

## 58.8 Homeomorphic embedding on lists

**inductive** *list-emb* :: ( $'a \Rightarrow 'a \Rightarrow \text{bool}$ )  $\Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list} \Rightarrow \text{bool}$   
**for** *P* :: ( $'a \Rightarrow 'a \Rightarrow \text{bool}$ )

**where**

*list-emb-Nil* [*intro*, *simp*]:  $\text{list-emb } P \ [] \ ys$   
 | *list-emb-Cons* [*intro*]:  $\text{list-emb } P \ xs \ ys \Longrightarrow \text{list-emb } P \ xs \ (y\#\ys)$   
 | *list-emb-Cons2* [*intro*]:  $P \ x \ y \Longrightarrow \text{list-emb } P \ xs \ ys \Longrightarrow \text{list-emb } P \ (x\#\xs) \ (y\#\ys)$

**lemma** *list-emb-mono*:

**assumes**  $\bigwedge x \ y. P \ x \ y \longrightarrow Q \ x \ y$   
**shows**  $\text{list-emb } P \ xs \ ys \longrightarrow \text{list-emb } Q \ xs \ ys$   
 ⟨proof⟩

**lemma** *list-emb-Nil2* [*simp*]:

**assumes**  $\text{list-emb } P \ xs \ []$  **shows**  $xs = []$   
 ⟨proof⟩

**lemma** *list-emb-refl*:

**assumes**  $\bigwedge x. x \in \text{set } xs \Longrightarrow P \ x \ x$   
**shows**  $\text{list-emb } P \ xs \ xs$   
 ⟨proof⟩

**lemma** *list-emb-Cons-Nil* [*simp*]:  $\text{list-emb } P \ (x\#\xs) \ [] = \text{False}$   
 ⟨proof⟩

**lemma** *list-emb-append2* [*intro*]:  $\text{list-emb } P \ xs \ ys \Longrightarrow \text{list-emb } P \ xs \ (zs \ @ \ ys)$   
 ⟨proof⟩

**lemma** *list-emb-prefix* [*intro*]:

**assumes**  $\text{list-emb } P \ xs \ ys$  **shows**  $\text{list-emb } P \ xs \ (ys \ @ \ zs)$   
 ⟨proof⟩

**lemma** *list-emb-ConsD*:

**assumes**  $\text{list-emb } P \ (x\#\xs) \ ys$   
**shows**  $\exists us \ v \ vs. ys = us \ @ \ v \ \# \ vs \wedge P \ x \ v \wedge \text{list-emb } P \ xs \ vs$   
 ⟨proof⟩

**lemma** *list-emb-appendD*:

**assumes**  $\text{list-emb } P \ (xs \ @ \ ys) \ zs$   
**shows**  $\exists us \ vs. zs = us \ @ \ vs \wedge \text{list-emb } P \ xs \ us \wedge \text{list-emb } P \ ys \ vs$   
 ⟨proof⟩

**lemma** *list-emb-strict-suffix*:

**assumes**  $\text{list-emb } P \ xs \ ys$  **and** *strict-suffix*  $ys \ zs$

**shows** *list-emb*  $P$   $xs$   $zs$   
 ⟨*proof*⟩

**lemma** *list-emb-suffix*:  
**assumes** *list-emb*  $P$   $xs$   $ys$  **and** *suffix*  $ys$   $zs$   
**shows** *list-emb*  $P$   $xs$   $zs$   
 ⟨*proof*⟩

**lemma** *list-emb-length*: *list-emb*  $P$   $xs$   $ys$   $\implies$   $\text{length } xs \leq \text{length } ys$   
 ⟨*proof*⟩

**lemma** *list-emb-trans*:  
**assumes**  $\bigwedge x y z. \llbracket x \in \text{set } xs; y \in \text{set } ys; z \in \text{set } zs; P x y; P y z \rrbracket \implies P x z$   
**shows**  $\llbracket \text{list-emb } P xs ys; \text{list-emb } P ys zs \rrbracket \implies \text{list-emb } P xs zs$   
 ⟨*proof*⟩

**lemma** *list-emb-set*:  
**assumes** *list-emb*  $P$   $xs$   $ys$  **and**  $x \in \text{set } xs$   
**obtains**  $y$  **where**  $y \in \text{set } ys$  **and**  $P x y$   
 ⟨*proof*⟩

**lemma** *list-emb-Cons-iff1* [*simp*]:  
**assumes**  $P x y$   
**shows**  $\text{list-emb } P (x\#xs) (y\#ys) \longleftrightarrow \text{list-emb } P xs ys$   
 ⟨*proof*⟩

**lemma** *list-emb-Cons-iff2* [*simp*]:  
**assumes**  $\neg P x y$   
**shows**  $\text{list-emb } P (x\#xs) (y\#ys) \longleftrightarrow \text{list-emb } P (x\#xs) ys$   
 ⟨*proof*⟩

**lemma** *list-emb-code* [*code*]:  
 $\text{list-emb } P [] ys \longleftrightarrow \text{True}$   
 $\text{list-emb } P (x\#xs) [] \longleftrightarrow \text{False}$   
 $\text{list-emb } P (x\#xs) (y\#ys) \longleftrightarrow (\text{if } P x y \text{ then } \text{list-emb } P xs ys \text{ else } \text{list-emb } P (x\#xs) ys)$   
 ⟨*proof*⟩

## 58.9 Subsequences (special case of homeomorphic embedding)

**abbreviation** *subseq* ::  $'a \text{ list} \Rightarrow 'a \text{ list} \Rightarrow \text{bool}$   
**where**  $\text{subseq } xs ys \equiv \text{list-emb } (=) xs ys$

**definition** *strict-subseq* **where**  $\text{strict-subseq } xs ys \longleftrightarrow xs \neq ys \wedge \text{subseq } xs ys$

**lemma** *subseq-Cons2*:  $\text{subseq } xs ys \implies \text{subseq } (x\#xs) (x\#ys)$  ⟨*proof*⟩

**lemma** *subseq-same-length*:

**assumes** *subseq xs ys* **and** *length xs = length ys* **shows** *xs = ys*  
 ⟨*proof*⟩

**lemma** *not-subseq-length* [*simp*]: *length ys < length xs  $\implies$   $\neg$  subseq xs ys*  
 ⟨*proof*⟩

**lemma** *subseq-Cons'*: *subseq (x#xs) ys  $\implies$  subseq xs ys*  
 ⟨*proof*⟩

**lemma** *subseq-Cons2'*:  
**assumes** *subseq (x#xs) (y#ys)* **shows** *subseq xs ys*  
 ⟨*proof*⟩

**lemma** *subseq-Cons2-neg*:  
**assumes** *subseq (x#xs) (y#ys)*  
**shows** *x  $\neq$  y  $\implies$  subseq (x#xs) ys*  
 ⟨*proof*⟩

**lemma** *subseq-Cons2-iff* [*simp*]:  
*subseq (x#xs) (y#ys) = (if x = y then subseq xs ys else subseq (x#xs) ys)*  
 ⟨*proof*⟩

**lemma** *subseq-append'*: *subseq (zs @ xs) (zs @ ys)  $\longleftrightarrow$  subseq xs ys*  
 ⟨*proof*⟩

**global-interpretation** *subseq-order*: *ordering subseq strict-subseq*  
 ⟨*proof*⟩

**interpretation** *subseq-order*: *order subseq strict-subseq*  
 ⟨*proof*⟩

**lemma** *in-set-subseqs* [*simp*]: *xs  $\in$  set (subseqs ys)  $\longleftrightarrow$  subseq xs ys*  
 ⟨*proof*⟩

**lemma** *set-subseqs-eq*: *set (subseqs ys) = {xs. subseq xs ys}*  
 ⟨*proof*⟩

**lemma** *subseq-append-le-same-iff*: *subseq (xs @ ys) ys  $\longleftrightarrow$  xs = []*  
 ⟨*proof*⟩

**lemma** *subseq-singleton-left*: *subseq [x] ys  $\longleftrightarrow$  x  $\in$  set ys*  
 ⟨*proof*⟩

**lemma** *list-emb-append-mono*:  
 [ *list-emb P xs xs'*; *list-emb P ys ys'* ]  $\implies$  *list-emb P (xs@ys) (xs'@ys')*  
 ⟨*proof*⟩

**lemma** *prefix-imp-subseq* [*intro*]: *prefix xs ys  $\implies$  subseq xs ys*  
 ⟨*proof*⟩

**lemma** *suffix-imp-subseq* [*intro*]:  $\text{suffix } xs \ ys \implies \text{subseq } xs \ ys$   
 ⟨*proof*⟩

## 58.10 Appending elements

**lemma** *subseq-append* [*simp*]:  
 $\text{subseq } (xs \ @ \ zs) \ (ys \ @ \ zs) \longleftrightarrow \text{subseq } xs \ ys \ (\text{is } ?l = ?r)$   
 ⟨*proof*⟩

**lemma** *subseq-append-iff*:  
 $\text{subseq } xs \ (ys \ @ \ zs) \longleftrightarrow (\exists \ xs1 \ xs2. \ xs = xs1 \ @ \ xs2 \wedge \text{subseq } xs1 \ ys \wedge \text{subseq } xs2 \ zs)$   
 (is ?lhs = ?rhs)  
 ⟨*proof*⟩

**lemma** *subseq-appendE* [*case-names append*]:  
**assumes**  $\text{subseq } xs \ (ys \ @ \ zs)$   
**obtains**  $xs1 \ xs2$  **where**  $xs = xs1 \ @ \ xs2 \ \text{subseq } xs1 \ ys \ \text{subseq } xs2 \ zs$   
 ⟨*proof*⟩

**lemma** *subseq-drop-many*:  $\text{subseq } xs \ ys \implies \text{subseq } xs \ (zs \ @ \ ys)$   
 ⟨*proof*⟩

**lemma** *subseq-rev-drop-many*:  $\text{subseq } xs \ ys \implies \text{subseq } xs \ (ys \ @ \ zs)$   
 ⟨*proof*⟩

## 58.11 Relation to standard list operations

**lemma** *subseq-map*:  
**assumes**  $\text{subseq } xs \ ys$  **shows**  $\text{subseq } (\text{map } f \ xs) \ (\text{map } f \ ys)$   
 ⟨*proof*⟩

**lemma** *subseq-filter-left* [*simp*]:  $\text{subseq } (\text{filter } P \ xs) \ xs$   
 ⟨*proof*⟩

**lemma** *subseq-filter* [*simp*]:  
**assumes**  $\text{subseq } xs \ ys$  **shows**  $\text{subseq } (\text{filter } P \ xs) \ (\text{filter } P \ ys)$   
 ⟨*proof*⟩

**lemma** *subseq-conv-nths*:  
 $\text{subseq } xs \ ys \longleftrightarrow (\exists \ N. \ xs = \text{nths } ys \ N) \ (\text{is } ?L = ?R)$   
 ⟨*proof*⟩

## 58.12 Contiguous sublists

### 58.12.1 sublist

**definition** *sublist* ::  $'a \ \text{list} \Rightarrow 'a \ \text{list} \Rightarrow \text{bool}$  **where**  
 $\text{sublist } xs \ ys = (\exists \ ps \ ss. \ ys = ps \ @ \ xs \ @ \ ss)$

**definition** *strict-sublist* :: 'a list  $\Rightarrow$  'a list  $\Rightarrow$  bool **where**

*strict-sublist*  $xs\ ys \longleftrightarrow sublist\ xs\ ys \wedge xs \neq ys$

**interpretation** *sublist-order*: order *sublist* *strict-sublist*

$\langle proof \rangle$

**lemma** *sublist-Nil-left* [*simp*, *intro*]: *sublist* [] *ys*

$\langle proof \rangle$

**lemma** *sublist-Cons-Nil* [*simp*]:  $\neg sublist\ (x\#\ xs)$  []

$\langle proof \rangle$

**lemma** *sublist-Nil-right* [*simp*]: *sublist* *xs* []  $\longleftrightarrow xs = []$

$\langle proof \rangle$

**lemma** *sublist-appendI* [*simp*, *intro*]: *sublist* *xs* (*ps* @ *xs* @ *ss*)

$\langle proof \rangle$

**lemma** *sublist-append-leftI* [*simp*, *intro*]: *sublist* *xs* (*ps* @ *xs*)

$\langle proof \rangle$

**lemma** *sublist-append-rightI* [*simp*, *intro*]: *sublist* *xs* (*xs* @ *ss*)

$\langle proof \rangle$

**lemma** *sublist-altdef*: *sublist* *xs* *ys*  $\longleftrightarrow (\exists ys'. prefix\ ys'\ ys \wedge suffix\ xs\ ys')$

$\langle proof \rangle$

**lemma** *sublist-altdef'*: *sublist* *xs* *ys*  $\longleftrightarrow (\exists ys'. suffix\ ys'\ ys \wedge prefix\ xs\ ys')$

$\langle proof \rangle$

**lemma** *sublist-Cons-right*: *sublist* *xs* (*y* # *ys*)  $\longleftrightarrow prefix\ xs\ (y\ \#\ ys) \vee sublist\ xs\ ys$

$\langle proof \rangle$

**lemma** *sublist-code* [*code*]:

*sublist* [] *ys*  $\longleftrightarrow True$

*sublist* (*x* # *xs*) []  $\longleftrightarrow False$

*sublist* (*x* # *xs*) (*y* # *ys*)  $\longleftrightarrow prefix\ (x\ \#\ xs)\ (y\ \#\ ys) \vee sublist\ (x\ \#\ xs)\ ys$

$\langle proof \rangle$

**lemma** *sublist-append*:

*sublist* *xs* (*ys* @ *zs*)  $\longleftrightarrow$

*sublist* *xs* *ys*  $\vee sublist\ xs\ zs \vee (\exists xs1\ xs2. xs = xs1\ @\ xs2 \wedge suffix\ xs1\ ys \wedge prefix\ xs2\ zs)$

$\langle proof \rangle$

**lemma** *map-mono-sublist*:

**assumes** *sublist* *xs* *ys*

**shows**  $\text{sublist } (\text{map } f \text{ } xs) (\text{map } f \text{ } ys)$   
 ⟨proof⟩

**lemma** *sublist-length-le*:  $\text{sublist } xs \text{ } ys \implies \text{length } xs \leq \text{length } ys$   
 ⟨proof⟩

**lemma** *set-mono-sublist*:  $\text{sublist } xs \text{ } ys \implies \text{set } xs \subseteq \text{set } ys$   
 ⟨proof⟩

**lemma** *prefix-imp-sublist* [*simp*, *intro*]:  $\text{prefix } xs \text{ } ys \implies \text{sublist } xs \text{ } ys$   
 ⟨proof⟩

**lemma** *suffix-imp-sublist* [*simp*, *intro*]:  $\text{suffix } xs \text{ } ys \implies \text{sublist } xs \text{ } ys$   
 ⟨proof⟩

**lemma** *sublist-take* [*simp*, *intro*]:  $\text{sublist } (\text{take } n \text{ } xs) \text{ } xs$   
 ⟨proof⟩

**lemma** *sublist-takeWhile* [*simp*, *intro*]:  $\text{sublist } (\text{takeWhile } P \text{ } xs) \text{ } xs$   
 ⟨proof⟩

**lemma** *sublist-drop* [*simp*, *intro*]:  $\text{sublist } (\text{drop } n \text{ } xs) \text{ } xs$   
 ⟨proof⟩

**lemma** *sublist-dropWhile* [*simp*, *intro*]:  $\text{sublist } (\text{dropWhile } P \text{ } xs) \text{ } xs$   
 ⟨proof⟩

**lemma** *sublist-tl* [*simp*, *intro*]:  $\text{sublist } (\text{tl } xs) \text{ } xs$   
 ⟨proof⟩

**lemma** *sublist-butlast* [*simp*, *intro*]:  $\text{sublist } (\text{butlast } xs) \text{ } xs$   
 ⟨proof⟩

**lemma** *sublist-rev* [*simp*]:  $\text{sublist } (\text{rev } xs) (\text{rev } ys) = \text{sublist } xs \text{ } ys$   
 ⟨proof⟩

**lemma** *sublist-rev-left*:  $\text{sublist } (\text{rev } xs) \text{ } ys = \text{sublist } xs (\text{rev } ys)$   
 ⟨proof⟩

**lemma** *sublist-rev-right*:  $\text{sublist } xs (\text{rev } ys) = \text{sublist } (\text{rev } xs) \text{ } ys$   
 ⟨proof⟩

**lemma** *snoc-sublist-snoc*:  
 $\text{sublist } (xs @ [x]) (ys @ [y]) \longleftrightarrow$   
 $(x = y \wedge \text{suffix } xs \text{ } ys \vee \text{sublist } (xs @ [x]) \text{ } ys)$   
 ⟨proof⟩

**lemma** *sublist-snoc*:  
 $\text{sublist } xs (ys @ [y]) \longleftrightarrow \text{suffix } xs (ys @ [y]) \vee \text{sublist } xs \text{ } ys$



*<proof>*

**lemma** *sublist-imp-subseq* [*intro*]: *sublist xs ys*  $\implies$  *subseq xs ys*  
*<proof>*

**lemma** *sublist-map-rightE*:  
**assumes** *sublist xs (map f ys)*  
**shows**  $\exists xs'. \text{sublist } xs' \text{ } ys \wedge xs = \text{map } f \text{ } xs'$   
*<proof>*

**lemma** *sublist-remdups-adj*:  
**assumes** *sublist xs ys*  
**shows** *sublist (remdups-adj xs) (remdups-adj ys)*  
*<proof>*

### 58.12.2 *sublists*

**primrec** *sublists* :: 'a list  $\Rightarrow$  'a list list **where**  
*sublists [] = [[]]*  
| *sublists (x # xs) = sublists xs @ map ((#) x) (prefixes xs)*

**lemma** *in-set-sublists* [*simp*]: *xs*  $\in$  *set (sublists ys)*  $\longleftrightarrow$  *sublist xs ys*  
*<proof>*

**lemma** *set-sublists-eq*: *set (sublists xs) = {ys. sublist ys xs}*  
*<proof>*

**lemma** *length-sublists* [*simp*]: *length (sublists xs) = Suc (length xs \* Suc (length xs) div 2)*  
*<proof>*

## 58.13 Parametricity

**context includes** *lifting-syntax*  
**begin**

**private lemma** *prefix-primrec*:  
*prefix = rec-list (λxs. True) (λx xs xsa ys.*  
*case ys of []  $\Rightarrow$  False | y # ys  $\Rightarrow$  x = y  $\wedge$  xsa ys)*  
*<proof>* **lemma** *sublist-primrec*:  
*sublist = (λxs ys. rec-list (λxs. xs = []) (λy ys ysa xs. prefix xs (y # ys)  $\vee$  ysa*  
*xs) ys xs)*  
*<proof>* **lemma** *list-emb-primrec*:  
*list-emb = (λuu uua uuaa. rec-list (λP xs. List.null xs) (λy ys ysa P xs. case xs*  
*of []  $\Rightarrow$  True*  
| *x # xs  $\Rightarrow$  if P x y then ysa P xs else ysa P (x # xs)) uuaa uu uua)*  
*<proof>*

**lemma** *prefix-transfer* [*transfer-rule*]:  
**assumes** [*transfer-rule*]: *bi-unique A*

**shows**  $(list\text{-}all2\ A \implies list\text{-}all2\ A \implies (=))\ prefix\ prefix$   
 $\langle proof \rangle$

**lemma** *suffix-transfer* [*transfer-rule*]:  
**assumes** [*transfer-rule*]: *bi-unique A*  
**shows**  $(list\text{-}all2\ A \implies list\text{-}all2\ A \implies (=))\ suffix\ suffix$   
 $\langle proof \rangle$

**lemma** *sublist-transfer* [*transfer-rule*]:  
**assumes** [*transfer-rule*]: *bi-unique A*  
**shows**  $(list\text{-}all2\ A \implies list\text{-}all2\ A \implies (=))\ sublist\ sublist$   
 $\langle proof \rangle$

**lemma** *parallel-transfer* [*transfer-rule*]:  
**assumes** [*transfer-rule*]: *bi-unique A*  
**shows**  $(list\text{-}all2\ A \implies list\text{-}all2\ A \implies (=))\ parallel\ parallel$   
 $\langle proof \rangle$

**lemma** *list-emb-transfer* [*transfer-rule*]:  
 $((A \implies A \implies (=)) \implies list\text{-}all2\ A \implies list\text{-}all2\ A \implies (=))$   
*list-emb list-emb*  
 $\langle proof \rangle$

**lemma** *strict-prefix-transfer* [*transfer-rule*]:  
**assumes** [*transfer-rule*]: *bi-unique A*  
**shows**  $(list\text{-}all2\ A \implies list\text{-}all2\ A \implies (=))\ strict\text{-}prefix\ strict\text{-}prefix$   
 $\langle proof \rangle$

**lemma** *strict-suffix-transfer* [*transfer-rule*]:  
**assumes** [*transfer-rule*]: *bi-unique A*  
**shows**  $(list\text{-}all2\ A \implies list\text{-}all2\ A \implies (=))\ strict\text{-}suffix\ strict\text{-}suffix$   
 $\langle proof \rangle$

**lemma** *strict-subseq-transfer* [*transfer-rule*]:  
**assumes** [*transfer-rule*]: *bi-unique A*  
**shows**  $(list\text{-}all2\ A \implies list\text{-}all2\ A \implies (=))\ strict\text{-}subseq\ strict\text{-}subseq$   
 $\langle proof \rangle$

**lemma** *strict-sublist-transfer* [*transfer-rule*]:  
**assumes** [*transfer-rule*]: *bi-unique A*  
**shows**  $(list\text{-}all2\ A \implies list\text{-}all2\ A \implies (=))\ strict\text{-}sublist\ strict\text{-}sublist$   
 $\langle proof \rangle$

**lemma** *prefixes-transfer* [*transfer-rule*]:  
**assumes** [*transfer-rule*]: *bi-unique A*  
**shows**  $(list\text{-}all2\ A \implies list\text{-}all2\ (list\text{-}all2\ A))\ prefixes\ prefixes$   
 $\langle proof \rangle$

**lemma** *suffixes-transfer* [*transfer-rule*]:  
**assumes** [*transfer-rule*]: *bi-unique A*  
**shows** (*list-all2 A == => list-all2 (list-all2 A)*) *suffixes suffixes*  
 ⟨*proof*⟩

**lemma** *sublists-transfer* [*transfer-rule*]:  
**assumes** [*transfer-rule*]: *bi-unique A*  
**shows** (*list-all2 A == => list-all2 (list-all2 A)*) *sublists sublists*  
 ⟨*proof*⟩

**end**

**end**

## 59 Linear Temporal Logic on Streams

**theory** *Linear-Temporal-Logic-on-Streams*  
**imports** *Stream Sublist Extended-Nat Infinite-Set*  
**begin**

## 60 Preliminaries

**lemma** *shift-prefix*:  
**assumes**  $xl @- xs = yl @- ys$  **and**  $length\ xl \leq length\ yl$   
**shows** *prefix xl yl*  
 ⟨*proof*⟩

**lemma** *shift-prefix-cases*:  
**assumes**  $xl @- xs = yl @- ys$   
**shows**  $prefix\ xl\ yl \vee prefix\ yl\ xl$   
 ⟨*proof*⟩

## 61 Linear temporal logic

Propositional connectives:

**abbreviation** (*input*) *IMPL* (**infix** *impl* 60)  
**where**  $\varphi\ impl\ \psi \equiv \lambda\ xs.\ \varphi\ xs \longrightarrow \psi\ xs$

**abbreviation** (*input*) *OR* (**infix** *or* 60)  
**where**  $\varphi\ or\ \psi \equiv \lambda\ xs.\ \varphi\ xs \vee \psi\ xs$

**abbreviation** (*input*) *AND* (**infix** *aand* 60)  
**where**  $\varphi\ aand\ \psi \equiv \lambda\ xs.\ \varphi\ xs \wedge \psi\ xs$

**abbreviation** (*input*) *not* **where**  $not\ \varphi \equiv \lambda\ xs.\ \neg\ \varphi\ xs$

**abbreviation** (*input*)  $true \equiv \lambda xs. True$

**abbreviation** (*input*)  $false \equiv \lambda xs. False$

**lemma** *impl-not-or*:  $\varphi \text{ impl } \psi = (\text{not } \varphi) \text{ or } \psi$   
 $\langle \text{proof} \rangle$

**lemma** *not-or*:  $\text{not } (\varphi \text{ or } \psi) = (\text{not } \varphi) \text{ aand } (\text{not } \psi)$   
 $\langle \text{proof} \rangle$

**lemma** *not-aand*:  $\text{not } (\varphi \text{ aand } \psi) = (\text{not } \varphi) \text{ or } (\text{not } \psi)$   
 $\langle \text{proof} \rangle$

**lemma** *non-not[simp]*:  $\text{not } (\text{not } \varphi) = \varphi$   $\langle \text{proof} \rangle$

Temporal (LTL) connectives:

**fun** *holds where*  $\text{holds } P \text{ } xs \longleftrightarrow P \text{ (shd } xs)$

**fun** *next where*  $\text{next } \varphi \text{ } xs = \varphi \text{ (stl } xs)$

**definition** *HLD*  $s = \text{holds } (\lambda x. x \in s)$

**abbreviation** *HLD-next* (**infix**  $\cdot 65$ ) **where**  
 $s \cdot P \equiv HLD \text{ } s \text{ aand next } P$

**context**

**notes**  $[[\text{inductive-internals}]]$

**begin**

**inductive** *ev* **for**  $\varphi$  **where**

*base*:  $\varphi \text{ } xs \Longrightarrow \text{ev } \varphi \text{ } xs$

|

*step*:  $\text{ev } \varphi \text{ (stl } xs) \Longrightarrow \text{ev } \varphi \text{ } xs$

**coinductive** *alw* **for**  $\varphi$  **where**

*alw*:  $[[\varphi \text{ } xs; \text{alw } \varphi \text{ (stl } xs)]] \Longrightarrow \text{alw } \varphi \text{ } xs$

— weak until:

**coinductive** *UNTIL* (**infix** *until* 60) **for**  $\varphi \text{ } \psi$  **where**

*base*:  $\psi \text{ } xs \Longrightarrow (\varphi \text{ until } \psi) \text{ } xs$

|

*step*:  $[[\varphi \text{ } xs; (\varphi \text{ until } \psi) \text{ (stl } xs)]] \Longrightarrow (\varphi \text{ until } \psi) \text{ } xs$

**end**

**lemma** *holds-mono*:

**assumes** *holds*:  $\text{holds } P \text{ } xs$  **and**  $0: \bigwedge x. P \text{ } x \Longrightarrow Q \text{ } x$

**shows** *holds*  $Q \text{ } xs$

$\langle \text{proof} \rangle$

**lemma** *holds-aand*:

*(holds P aand holds Q) steps*  $\longleftrightarrow$  *holds*  $(\lambda \text{ step. } P \text{ step} \wedge Q \text{ step}) \text{ steps}$   $\langle \text{proof} \rangle$

**lemma** *HLD-iff*: *HLD s*  $\omega \longleftrightarrow$  *shd*  $\omega \in s$

$\langle \text{proof} \rangle$

**lemma** *HLD-Stream[simp]*: *HLD X*  $(x \#\#\omega) \longleftrightarrow x \in X$

$\langle \text{proof} \rangle$

**lemma** *next-mono*:

**assumes** *next*: *next*  $\varphi \text{ xs}$  **and** *0*:  $\bigwedge \text{ xs. } \varphi \text{ xs} \Longrightarrow \psi \text{ xs}$

**shows** *next*  $\psi \text{ xs}$

$\langle \text{proof} \rangle$

**declare** *ev.intros*[*intro*]

**declare** *alw.cases*[*elim*]

**lemma** *ev-induct-strong*[*consumes 1, case-names base step*]:

*ev*  $\varphi \text{ x} \Longrightarrow (\bigwedge \text{ xs. } \varphi \text{ xs} \Longrightarrow P \text{ xs}) \Longrightarrow (\bigwedge \text{ xs. } \text{ev } \varphi (\text{stl } \text{xs}) \Longrightarrow \neg \varphi \text{ xs} \Longrightarrow P (\text{stl } \text{xs}) \Longrightarrow P \text{ xs}) \Longrightarrow P \text{ x}$

$\langle \text{proof} \rangle$

**lemma** *alw-coinduct*[*consumes 1, case-names alw stl*]:

$X \text{ x} \Longrightarrow (\bigwedge \text{ xs. } X \text{ xs} \Longrightarrow \varphi \text{ x}) \Longrightarrow (\bigwedge \text{ xs. } X \text{ xs} \Longrightarrow \neg \text{alw } \varphi (\text{stl } \text{x}) \Longrightarrow X (\text{stl } \text{x})) \Longrightarrow \text{alw } \varphi \text{ x}$

$\langle \text{proof} \rangle$

**lemma** *ev-mono*:

**assumes** *ev*: *ev*  $\varphi \text{ xs}$  **and** *0*:  $\bigwedge \text{ xs. } \varphi \text{ xs} \Longrightarrow \psi \text{ xs}$

**shows** *ev*  $\psi \text{ xs}$

$\langle \text{proof} \rangle$

**lemma** *alw-mono*:

**assumes** *alw*: *alw*  $\varphi \text{ xs}$  **and** *0*:  $\bigwedge \text{ xs. } \varphi \text{ xs} \Longrightarrow \psi \text{ xs}$

**shows** *alw*  $\psi \text{ xs}$

$\langle \text{proof} \rangle$

**lemma** *until-monoL*:

**assumes** *until*: *(* $\varphi 1$  *until*  $\psi)$  *xs* **and** *0*:  $\bigwedge \text{ xs. } \varphi 1 \text{ xs} \Longrightarrow \varphi 2 \text{ xs}$

**shows** *(* $\varphi 2$  *until*  $\psi)$  *xs*

$\langle \text{proof} \rangle$

**lemma** *until-monoR*:

**assumes** *until*: *(* $\varphi$  *until*  $\psi 1)$  *xs* **and** *0*:  $\bigwedge \text{ xs. } \psi 1 \text{ xs} \Longrightarrow \psi 2 \text{ xs}$

**shows** *(* $\varphi$  *until*  $\psi 2)$  *xs*

$\langle \text{proof} \rangle$

**lemma** *until-mono*:

**assumes** *until*: *(* $\varphi 1$  *until*  $\psi 1)$  *xs* **and**

0:  $\bigwedge xs. \varphi1\ xs \implies \varphi2\ xs \wedge xs. \psi1\ xs \implies \psi2\ xs$   
**shows**  $(\varphi2\ \text{until}\ \psi2)\ xs$   
 $\langle\text{proof}\rangle$

**lemma** *until-false*:  $\varphi\ \text{until}\ \text{false} = \text{alw}\ \varphi$   
 $\langle\text{proof}\rangle$

**lemma** *ev-next*:  $\text{ev}\ \varphi = (\varphi\ \text{or}\ \text{next}\ (\text{ev}\ \varphi))$   
 $\langle\text{proof}\rangle$

**lemma** *alw-next*:  $\text{alw}\ \varphi = (\varphi\ \text{aand}\ \text{next}\ (\text{alw}\ \varphi))$   
 $\langle\text{proof}\rangle$

**lemma** *ev-ev[simp]*:  $\text{ev}\ (\text{ev}\ \varphi) = \text{ev}\ \varphi$   
 $\langle\text{proof}\rangle$

**lemma** *alw-alw[simp]*:  $\text{alw}\ (\text{alw}\ \varphi) = \text{alw}\ \varphi$   
 $\langle\text{proof}\rangle$

**lemma** *ev-shift*:  
**assumes**  $\text{ev}\ \varphi\ xs$   
**shows**  $\text{ev}\ \varphi\ (xl\ @-\ xs)$   
 $\langle\text{proof}\rangle$

**lemma** *ev-imp-shift*:  
**assumes**  $\text{ev}\ \varphi\ xs$  **shows**  $\exists\ xl\ xs2. xs = xl\ @-\ xs2 \wedge \varphi\ xs2$   
 $\langle\text{proof}\rangle$

**lemma** *alw-ev-shift*:  $\text{alw}\ \varphi\ xs1 \implies \text{ev}\ (\text{alw}\ \varphi)\ (xl\ @-\ xs1)$   
 $\langle\text{proof}\rangle$

**lemma** *alw-shift*:  
**assumes**  $\text{alw}\ \varphi\ (xl\ @-\ xs)$   
**shows**  $\text{alw}\ \varphi\ xs$   
 $\langle\text{proof}\rangle$

**lemma** *ev-ex-next*:  
**assumes**  $\text{ev}\ \varphi\ xs$   
**shows**  $\exists\ n. (\text{next}\ \overset{\sim}{\sim} n)\ \varphi\ xs$   
 $\langle\text{proof}\rangle$

**lemma** *alw-sdrop*:  
**assumes**  $\text{alw}\ \varphi\ xs$  **shows**  $\text{alw}\ \varphi\ (\text{sdrop}\ n\ xs)$   
 $\langle\text{proof}\rangle$

**lemma** *next-sdrop*:  $(\text{next}\ \overset{\sim}{\sim} n)\ \varphi\ xs \longleftrightarrow \varphi\ (\text{sdrop}\ n\ xs)$   
 $\langle\text{proof}\rangle$

**definition** *wait*  $\varphi\ xs \equiv \text{LEAST}\ n. (\text{next}\ \overset{\sim}{\sim} n)\ \varphi\ xs$

**lemma** *next-wait*:

**assumes**  $ev \ \varphi \ xs$  **shows**  $(next \ \sim\sim (wait \ \varphi \ xs)) \ \varphi \ xs$   
 $\langle proof \rangle$

**lemma** *next-wait-least*:

**assumes**  $ev: ev \ \varphi \ xs$  **and**  $next: (next \ \sim\sim n) \ \varphi \ xs$  **shows**  $wait \ \varphi \ xs \leq n$   
 $\langle proof \rangle$

**lemma** *sdrop-wait*:

**assumes**  $ev \ \varphi \ xs$  **shows**  $\varphi (sdrop (wait \ \varphi \ xs) \ xs)$   
 $\langle proof \rangle$

**lemma** *sdrop-wait-least*:

**assumes**  $ev: ev \ \varphi \ xs$  **and**  $next: \varphi (sdrop \ n \ xs)$  **shows**  $wait \ \varphi \ xs \leq n$   
 $\langle proof \rangle$

**lemma** *next-ev*:  $(next \ \sim\sim n) \ \varphi \ xs \implies ev \ \varphi \ xs$

$\langle proof \rangle$

**lemma** *not-ev*:  $not (ev \ \varphi) = alw (not \ \varphi)$

$\langle proof \rangle$

**lemma** *not-alw*:  $not (alw \ \varphi) = ev (not \ \varphi)$

$\langle proof \rangle$

**lemma** *not-ev-not[simp]*:  $not (ev (not \ \varphi)) = alw \ \varphi$

$\langle proof \rangle$

**lemma** *not-alw-not[simp]*:  $not (alw (not \ \varphi)) = ev \ \varphi$

$\langle proof \rangle$

**lemma** *alw-ev-sdrop*:

**assumes**  $alw (ev \ \varphi) (sdrop \ m \ xs)$

**shows**  $alw (ev \ \varphi) \ xs$

$\langle proof \rangle$

**lemma** *ev-alw-imp-alw-ev*:

**assumes**  $ev (alw \ \varphi) \ xs$  **shows**  $alw (ev \ \varphi) \ xs$

$\langle proof \rangle$

**lemma** *alw-aand*:  $alw (\varphi \ aand \ \psi) = alw \ \varphi \ aand \ alw \ \psi$

$\langle proof \rangle$

**lemma** *ev-or*:  $ev (\varphi \ or \ \psi) = ev \ \varphi \ or \ ev \ \psi$

$\langle proof \rangle$

**lemma** *ev-alw-aand*:

**assumes**  $\varphi: ev (alw \ \varphi) \ xs$  **and**  $\psi: ev (alw \ \psi) \ xs$

**shows**  $ev (alw (\varphi \text{ aand } \psi)) xs$   
 $\langle proof \rangle$

**lemma** *ev-alw-alw-impl*:  
**assumes**  $ev (alw \varphi) xs$  **and**  $alw (alw \varphi \text{ impl } ev \psi) xs$   
**shows**  $ev \psi xs$   
 $\langle proof \rangle$

**lemma** *ev-alw-stl[simp]*:  $ev (alw \varphi) (stl x) \longleftrightarrow ev (alw \varphi) x$   
 $\langle proof \rangle$

**lemma** *alw-alw-impl-ev*:  
 $alw (alw \varphi \text{ impl } ev \psi) = (ev (alw \varphi) \text{ impl } alw (ev \psi))$  (**is**  $?A = ?B$ )  
 $\langle proof \rangle$

**lemma** *ev-alw-impl*:  
**assumes**  $ev \varphi xs$  **and**  $alw (\varphi \text{ impl } \psi) xs$  **shows**  $ev \psi xs$   
 $\langle proof \rangle$

**lemma** *ev-alw-impl-ev*:  
**assumes**  $ev \varphi xs$  **and**  $alw (\varphi \text{ impl } ev \psi) xs$  **shows**  $ev \psi xs$   
 $\langle proof \rangle$

**lemma** *alw-mp*:  
**assumes**  $alw \varphi xs$  **and**  $alw (\varphi \text{ impl } \psi) xs$   
**shows**  $alw \psi xs$   
 $\langle proof \rangle$

**lemma** *all-imp-alw*:  
**assumes**  $\bigwedge xs. \varphi xs$  **shows**  $alw \varphi xs$   
 $\langle proof \rangle$

**lemma** *alw-impl-ev-alw*:  
**assumes**  $alw (\varphi \text{ impl } ev \psi) xs$   
**shows**  $alw (ev \varphi \text{ impl } ev \psi) xs$   
 $\langle proof \rangle$

**lemma** *ev-holds-sset*:  
 $ev (\text{holds } P) xs \longleftrightarrow (\exists x \in sset xs. P x)$  (**is**  $?L \longleftrightarrow ?R$ )  
 $\langle proof \rangle$

LTL as a program logic:

**lemma** *alw-invar*:  
**assumes**  $\varphi xs$  **and**  $alw (\varphi \text{ impl } \text{next } \varphi) xs$   
**shows**  $alw \varphi xs$   
 $\langle proof \rangle$

**lemma** *variance*:  
**assumes** 1:  $\varphi xs$  **and** 2:  $alw (\varphi \text{ impl } (\psi \text{ or } \text{next } \varphi)) xs$



**shows**  $(alw \varphi \text{ or } ev \psi) xs$   
 $\langle proof \rangle$

**lemma** *ev-alw-imp-nxt*:  
**assumes**  $e: ev \varphi xs$  **and**  $a: alw (\varphi \text{ impl } (nxt \varphi)) xs$   
**shows**  $ev (alw \varphi) xs$   
 $\langle proof \rangle$

**inductive** *ev-at* ::  $('a \text{ stream} \Rightarrow bool) \Rightarrow nat \Rightarrow 'a \text{ stream} \Rightarrow bool$  **for**  $P :: 'a \text{ stream} \Rightarrow bool$  **where**  
*base*:  $P \omega \Longrightarrow ev\text{-at } P \ 0 \ \omega$   
*step*:  $\neg P \omega \Longrightarrow ev\text{-at } P \ n \ (stl \ \omega) \Longrightarrow ev\text{-at } P \ (Suc \ n) \ \omega$

**inductive-simps** *ev-at-0[simp]*:  $ev\text{-at } P \ 0 \ \omega$   
**inductive-simps** *ev-at-Suc[simp]*:  $ev\text{-at } P \ (Suc \ n) \ \omega$

**lemma** *ev-at-imp-snth*:  $ev\text{-at } P \ n \ \omega \Longrightarrow P \ (sdrop \ n \ \omega)$   
 $\langle proof \rangle$

**lemma** *ev-at-HLD-imp-snth*:  $ev\text{-at } (HLD \ X) \ n \ \omega \Longrightarrow \omega !! n \in X$   
 $\langle proof \rangle$

**lemma** *ev-at-HLD-single-imp-snth*:  $ev\text{-at } (HLD \ \{x\}) \ n \ \omega \Longrightarrow \omega !! n = x$   
 $\langle proof \rangle$

**lemma** *ev-at-unique*:  $ev\text{-at } P \ n \ \omega \Longrightarrow ev\text{-at } P \ m \ \omega \Longrightarrow n = m$   
 $\langle proof \rangle$

**lemma** *ev-iff-ev-at*:  $ev \ P \ \omega \longleftrightarrow (\exists n. ev\text{-at } P \ n \ \omega)$   
 $\langle proof \rangle$

**lemma** *ev-at-shift*:  $ev\text{-at } (HLD \ X) \ i \ (stake \ (Suc \ i) \ \omega @- \ \omega' :: 's \ \text{stream}) \longleftrightarrow ev\text{-at } (HLD \ X) \ i \ \omega$   
 $\langle proof \rangle$

**lemma** *ev-iff-ev-at-unique*:  $ev \ P \ \omega \longleftrightarrow (\exists ! n. ev\text{-at } P \ n \ \omega)$   
 $\langle proof \rangle$

**lemma** *alw-HLD-iff-streams*:  $alw \ (HLD \ X) \ \omega \longleftrightarrow \omega \in \text{streams } X$   
 $\langle proof \rangle$

**lemma** *not-HLD*:  $not \ (HLD \ X) = HLD \ (- \ X)$   
 $\langle proof \rangle$

**lemma** *not-alw-iff*:  $\neg (alw \ P \ \omega) \longleftrightarrow ev \ (not \ P) \ \omega$   
 $\langle proof \rangle$

**lemma** *not-ev-iff*:  $\neg (ev \ P \ \omega) \longleftrightarrow alw \ (not \ P) \ \omega$

*<proof>*

**lemma** *ev-Stream*:  $ev\ P\ (x\ \#\#\ s) \longleftrightarrow P\ (x\ \#\#\ s) \vee ev\ P\ s$   
*<proof>*

**lemma** *alw-ev-imp-ev-alw*:  
**assumes**  $alw\ (ev\ P)\ \omega$  **shows**  $ev\ (P\ \text{aand}\ alw\ (ev\ P))\ \omega$   
*<proof>*

**lemma** *ev-False*:  $ev\ (\lambda x. False)\ \omega \longleftrightarrow False$   
*<proof>*

**lemma** *alw-False*:  $alw\ (\lambda x. False)\ \omega \longleftrightarrow False$   
*<proof>*

**lemma** *ev-iff-sdrop*:  $ev\ P\ \omega \longleftrightarrow (\exists m. P\ (sdrop\ m\ \omega))$   
*<proof>*

**lemma** *alw-iff-sdrop*:  $alw\ P\ \omega \longleftrightarrow (\forall m. P\ (sdrop\ m\ \omega))$   
*<proof>*

**lemma** *infinite-iff-alw-ev*:  $infinite\ \{m. P\ (sdrop\ m\ \omega)\} \longleftrightarrow alw\ (ev\ P)\ \omega$   
*<proof>*

**lemma** *alw-inv*:  
**assumes**  $stl: \bigwedge s. f\ (stl\ s) = stl\ (f\ s)$   
**shows**  $alw\ P\ (f\ s) \longleftrightarrow alw\ (\lambda x. P\ (f\ x))\ s$   
*<proof>*

**lemma** *ev-inv*:  
**assumes**  $stl: \bigwedge s. f\ (stl\ s) = stl\ (f\ s)$   
**shows**  $ev\ P\ (f\ s) \longleftrightarrow ev\ (\lambda x. P\ (f\ x))\ s$   
*<proof>*

**lemma** *alw-smap*:  $alw\ P\ (smap\ f\ s) \longleftrightarrow alw\ (\lambda x. P\ (smap\ f\ x))\ s$   
*<proof>*

**lemma** *ev-smap*:  $ev\ P\ (smap\ f\ s) \longleftrightarrow ev\ (\lambda x. P\ (smap\ f\ x))\ s$   
*<proof>*

**lemma** *alw-cong*:  
**assumes**  $P: alw\ P\ \omega$  **and**  $eq: \bigwedge \omega. P\ \omega \implies Q1\ \omega \longleftrightarrow Q2\ \omega$   
**shows**  $alw\ Q1\ \omega \longleftrightarrow alw\ Q2\ \omega$   
*<proof>*

**lemma** *ev-cong*:  
**assumes**  $P: alw\ P\ \omega$  **and**  $eq: \bigwedge \omega. P\ \omega \implies Q1\ \omega \longleftrightarrow Q2\ \omega$   
**shows**  $ev\ Q1\ \omega \longleftrightarrow ev\ Q2\ \omega$   
*<proof>*

**lemma** *alwD*:  $alw\ P\ x \implies P\ x$   
 ⟨*proof*⟩

**lemma** *alw-alwD*:  $alw\ P\ \omega \implies alw\ (alw\ P)\ \omega$   
 ⟨*proof*⟩

**lemma** *alw-ev-stl*:  $alw\ (ev\ P)\ (stl\ \omega) \longleftrightarrow alw\ (ev\ P)\ \omega$   
 ⟨*proof*⟩

**lemma** *holds-Stream*:  $holds\ P\ (x\ \#\#\ s) \longleftrightarrow P\ x$   
 ⟨*proof*⟩

**lemma** *holds-eq1[simp]*:  $holds\ ((=)\ x) = HLD\ \{x\}$   
 ⟨*proof*⟩

**lemma** *holds-eq2[simp]*:  $holds\ (\lambda y. y = x) = HLD\ \{x\}$   
 ⟨*proof*⟩

**lemma** *not-holds-eq[simp]*:  $holds\ (-\ (=)\ x) = not\ (HLD\ \{x\})$   
 ⟨*proof*⟩

Strong until

**context**

**notes** [[*inductive-internals*]]

**begin**

**inductive** *suntil* (**infix** *suntil* 60) **for**  $\varphi\ \psi$  **where**

*base*:  $\psi\ \omega \implies (\varphi\ suntil\ \psi)\ \omega$

| *step*:  $\varphi\ \omega \implies (\varphi\ suntil\ \psi)\ (stl\ \omega) \implies (\varphi\ suntil\ \psi)\ \omega$

**inductive-simps** *suntil-Stream*:  $(\varphi\ suntil\ \psi)\ (x\ \#\#\ s)$

**end**

**lemma** *suntil-induct-strong[consumes 1, case-names base step]*:

$(\varphi\ suntil\ \psi)\ x \implies$

$(\bigwedge \omega. \psi\ \omega \implies P\ \omega) \implies$

$(\bigwedge \omega. \varphi\ \omega \implies \neg\ \psi\ \omega \implies (\varphi\ suntil\ \psi)\ (stl\ \omega) \implies P\ (stl\ \omega) \implies P\ \omega) \implies P\ x$

⟨*proof*⟩

**lemma** *ev-suntil*:  $(\varphi\ suntil\ \psi)\ \omega \implies ev\ \psi\ \omega$

⟨*proof*⟩

**lemma** *suntil-inv*:

**assumes** *stl*:  $\bigwedge s. f\ (stl\ s) = stl\ (f\ s)$

**shows**  $(P\ suntil\ Q)\ (f\ s) \longleftrightarrow ((\lambda x. P\ (f\ x))\ suntil\ (\lambda x. Q\ (f\ x)))\ s$

⟨*proof*⟩

**lemma** *suntil-smap*:  $(P \text{ suntil } Q) (\text{smap } f s) \longleftrightarrow ((\lambda x. P (\text{smap } f x)) \text{ suntil } (\lambda x. Q (\text{smap } f x))) s$   
 ⟨proof⟩

**lemma** *hld-smap*:  $HLD x (\text{smap } f s) = \text{holds } (\lambda y. f y \in x) s$   
 ⟨proof⟩

**lemma** *suntil-mono*:

**assumes** *eq*:  $\bigwedge \omega. P \omega \implies Q1 \omega \implies Q2 \omega \bigwedge \omega. P \omega \implies R1 \omega \implies R2 \omega$

**assumes** *\**:  $(Q1 \text{ suntil } R1) \omega \text{ alw } P \omega$  **shows**  $(Q2 \text{ suntil } R2) \omega$

⟨proof⟩

**lemma** *suntil-cong*:

$\text{alw } P \omega \implies (\bigwedge \omega. P \omega \implies Q1 \omega \longleftrightarrow Q2 \omega) \implies (\bigwedge \omega. P \omega \implies R1 \omega \longleftrightarrow R2 \omega) \implies$

$(Q1 \text{ suntil } R1) \omega \longleftrightarrow (Q2 \text{ suntil } R2) \omega$

⟨proof⟩

**lemma** *ev-suntil-iff*:  $\text{ev } (P \text{ suntil } Q) \omega \longleftrightarrow \text{ev } Q \omega$   
 ⟨proof⟩

**lemma** *true-suntil*:  $((\lambda -. \text{True}) \text{ suntil } P) = \text{ev } P$   
 ⟨proof⟩

**lemma** *suntil-lfp*:  $(\varphi \text{ suntil } \psi) = \text{lfp } (\lambda P s. \psi s \vee (\varphi s \wedge P (\text{stl } s)))$   
 ⟨proof⟩

**lemma** *sfilter-P[simp]*:  $P (\text{shd } s) \implies \text{sfilter } P s = \text{shd } s \#\#\ \text{sfilter } P (\text{stl } s)$   
 ⟨proof⟩

**lemma** *sfilter-not-P[simp]*:  $\neg P (\text{shd } s) \implies \text{sfilter } P s = \text{sfilter } P (\text{stl } s)$   
 ⟨proof⟩

**lemma** *sfilter-eq*:

**assumes** *ev*  $(\text{holds } P) s$

**shows**  $\text{sfilter } P s = x \#\#\ s' \longleftrightarrow$

$P x \wedge (\text{not } (\text{holds } P) \text{ suntil } (HLD \{x\} \text{ aand } \text{next } (\lambda s. \text{sfilter } P s = s')))$   $s$

⟨proof⟩

**lemma** *sfilter-streams*:

$\text{alw } (\text{ev } (\text{holds } P)) \omega \implies \omega \in \text{streams } A \implies \text{sfilter } P \omega \in \text{streams } \{x \in A. P x\}$   
 ⟨proof⟩

**lemma** *alw-sfilter*:

**assumes** *\**:  $\text{alw } (\text{ev } (\text{holds } P)) s$

**shows**  $\text{alw } Q (\text{sfilter } P s) \longleftrightarrow \text{alw } (\lambda x. Q (\text{sfilter } P x)) s$

⟨proof⟩

**lemma** *ev-sfilter*:

**assumes** \*:  $alw (ev (holds P)) s$   
**shows**  $ev Q (sfilter P s) \longleftrightarrow ev (\lambda x. Q (sfilter P x)) s$   
 ⟨proof⟩

**lemma** *holds-sfilter*:

**assumes**  $ev (holds Q) s$  **shows**  $holds P (sfilter Q s) \longleftrightarrow (not (holds Q) \text{ until } (holds (Q \text{ aand } P))) s$   
 ⟨proof⟩

**lemma** *suntil-aand-nxt*:

$(\varphi \text{ until } (\varphi \text{ aand } \text{nxt } \psi)) \omega \longleftrightarrow (\varphi \text{ aand } \text{nxt } (\varphi \text{ until } \psi)) \omega$   
 ⟨proof⟩

**lemma** *alw-sconst*:  $alw P (sconst x) \longleftrightarrow P (sconst x)$

⟨proof⟩

**lemma** *ev-sconst*:  $ev P (sconst x) \longleftrightarrow P (sconst x)$

⟨proof⟩

**lemma** *suntil-sconst*:  $(\varphi \text{ until } \psi) (sconst x) \longleftrightarrow \psi (sconst x)$

⟨proof⟩

**lemma** *hld-smap'*:  $HLD x (smap f s) = HLD (f -' x) s$

⟨proof⟩

**lemma** *pigeonhole-stream*:

**assumes**  $alw (HLD s) \omega$

**assumes** *finite*  $s$

**shows**  $\exists x \in s. alw (ev (HLD \{x\})) \omega$

⟨proof⟩

**lemma** *ev-eq-suntil*:  $ev P \omega \longleftrightarrow (not P \text{ until } P) \omega$

⟨proof⟩

## 62 Weak vs. strong until (contributed by Michael Foster, University of Sheffield)

**lemma** *suntil-implies-until*:  $(\varphi \text{ until } \psi) \omega \implies (\varphi \text{ until } \psi) \omega$

⟨proof⟩

**lemma** *alw-implies-until*:  $alw \varphi \omega \implies (\varphi \text{ until } \psi) \omega$

⟨proof⟩

**lemma** *until-ev-suntil*:  $(\varphi \text{ until } \psi) \omega \implies ev \psi \omega \implies (\varphi \text{ until } \psi) \omega$

⟨proof⟩

**lemma** *suntil-as-until*:  $(\varphi \text{ until } \psi) \omega = ((\varphi \text{ until } \psi) \omega \wedge ev \psi \omega)$

⟨proof⟩

**lemma** *until-not-released-now*:  $(\varphi \text{ until } \psi) \omega \implies \neg \psi \omega \implies \varphi \omega$   
 ⟨proof⟩

**lemma** *until-must-release-ev*:  $(\varphi \text{ until } \psi) \omega \implies \text{ev } (\text{not } \varphi) \omega \implies \text{ev } \psi \omega$   
 ⟨proof⟩

**lemma** *until-as-suntil*:  $(\varphi \text{ until } \psi) \omega = ((\varphi \text{ suntil } \psi) \text{ or } (\text{alw } \varphi)) \omega$   
 ⟨proof⟩

**lemma** *alw-holds*:  $\text{alw } (\text{holds } P) (h\#\#t) = (P \ h \wedge \text{alw } (\text{holds } P) \ t)$   
 ⟨proof⟩

**lemma** *alw-holds2*:  $\text{alw } (\text{holds } P) \ ss = (P \ (\text{shd } \ ss) \wedge \text{alw } (\text{holds } P) \ (\text{stl } \ ss))$   
 ⟨proof⟩

**lemma** *alw-eq-sconst*:  $(\text{alw } (\text{HLD } \{h\}) \ t) = (t = \text{sconst } h)$   
 ⟨proof⟩

**lemma** *sdrop-if-suntil*:  $(p \ \text{suntil } q) \omega \implies \exists j. q \ (\text{sdrop } j \ \omega) \wedge (\forall k < j. p \ (\text{sdrop } k \ \omega))$   
 ⟨proof⟩

**lemma** *not-suntil*:  $(\neg (p \ \text{suntil } q) \ \omega) = (\neg (p \ \text{until } q) \ \omega \vee \text{alw } (\text{not } q) \ \omega)$   
 ⟨proof⟩

**lemma** *sdrop-until*:  $q \ (\text{sdrop } j \ \omega) \implies \forall k < j. p \ (\text{sdrop } k \ \omega) \implies (p \ \text{until } q) \ \omega$   
 ⟨proof⟩

**lemma** *sdrop-suntil*:  $q \ (\text{sdrop } j \ \omega) \implies (\forall k < j. p \ (\text{sdrop } k \ \omega)) \implies (p \ \text{suntil } q) \ \omega$   
 ⟨proof⟩

**lemma** *suntil-iff-sdrop*:  $(p \ \text{suntil } q) \ \omega = (\exists j. q \ (\text{sdrop } j \ \omega) \wedge (\forall k < j. p \ (\text{sdrop } k \ \omega)))$   
 ⟨proof⟩

end

## 63 Lists as vectors

**theory** *ListVector*  
**imports** *Main*  
**begin**

A vector-space like structure of lists and arithmetic operations on them. Is only a vector space if restricted to lists of the same length.

Multiplication with a scalar:

**abbreviation** *scale* ::  $('a::\text{times}) \Rightarrow 'a \ \text{list} \Rightarrow 'a \ \text{list}$  (**infix**  $*_s$  70)

where  $x *_s xs \equiv \text{map } ((* ) x) xs$

**lemma** *scale1[simp]*:  $(1 :: 'a :: \text{monoid-mult}) *_s xs = xs$   
 ⟨proof⟩

### 63.1 + and -

**fun** *zipwith0* ::  $('a :: \text{zero} \Rightarrow 'b :: \text{zero} \Rightarrow 'c) \Rightarrow 'a \text{ list} \Rightarrow 'b \text{ list} \Rightarrow 'c \text{ list}$

**where**

*zipwith0*  $f [] [] = []$  |

*zipwith0*  $f (x\#xs) (y\#ys) = f x y \# \text{zipwith0 } f xs ys$  |

*zipwith0*  $f (x\#xs) [] = f x 0 \# \text{zipwith0 } f xs []$  |

*zipwith0*  $f [] (y\#ys) = f 0 y \# \text{zipwith0 } f [] ys$

**instantiation** *list* ::  $(\{\text{zero}, \text{plus}\}) \text{ plus}$

**begin**

**definition**

*list-add-def*:  $(+) = \text{zipwith0 } (+)$

**instance** ⟨proof⟩

**end**

**instantiation** *list* ::  $(\{\text{zero}, \text{uminus}\}) \text{ uminus}$

**begin**

**definition**

*list-uminus-def*:  $\text{uminus} = \text{map } \text{uminus}$

**instance** ⟨proof⟩

**end**

**instantiation** *list* ::  $(\{\text{zero}, \text{minus}\}) \text{ minus}$

**begin**

**definition**

*list-diff-def*:  $(-) = \text{zipwith0 } (-)$

**instance** ⟨proof⟩

**end**

**lemma** *zipwith0-Nil[simp]*:  $\text{zipwith0 } f [] ys = \text{map } (f 0) ys$

⟨proof⟩

**lemma** *list-add-Nil[simp]*:  $[] + xs = (xs :: 'a :: \text{monoid-add list})$

⟨proof⟩

**lemma** *list-add-Nil2*[simp]:  $xs + [] = (xs::'a::\text{monoid-add list})$   
 ⟨proof⟩

**lemma** *list-add-Cons*[simp]:  $(x\#xs) + (y\#ys) = (x+y)\#(xs+ys)$   
 ⟨proof⟩

**lemma** *list-diff-Nil*[simp]:  $[] - xs = -(xs::'a::\text{group-add list})$   
 ⟨proof⟩

**lemma** *list-diff-Nil2*[simp]:  $xs - [] = (xs::'a::\text{group-add list})$   
 ⟨proof⟩

**lemma** *list-diff-Cons-Cons*[simp]:  $(x\#xs) - (y\#ys) = (x-y)\#(xs-ys)$   
 ⟨proof⟩

**lemma** *list-uminus-Cons*[simp]:  $-(x\#xs) = (-x)\#(-xs)$   
 ⟨proof⟩

**lemma** *self-list-diff*:  
 $xs - xs = \text{replicate } (\text{length}(xs::'a::\text{group-add list})) \ 0$   
 ⟨proof⟩

**lemma** *list-add-assoc*: **fixes**  $xs :: 'a::\text{monoid-add list}$   
**shows**  $(xs+ys)+zs = xs+(ys+zs)$   
 ⟨proof⟩

## 63.2 Inner product

**definition** *iprod* ::  $'a::\text{ring list} \Rightarrow 'a \text{ list} \Rightarrow 'a \langle \langle -, - \rangle \rangle$  **where**  
 $\langle xs, ys \rangle = (\sum (x,y) \leftarrow \text{zip } xs \ ys. \ x*y)$

**lemma** *iprod-Nil*[simp]:  $\langle [], ys \rangle = 0$   
 ⟨proof⟩

**lemma** *iprod-Nil2*[simp]:  $\langle xs, [] \rangle = 0$   
 ⟨proof⟩

**lemma** *iprod-Cons*[simp]:  $\langle x\#xs, y\#ys \rangle = x*y + \langle xs, ys \rangle$   
 ⟨proof⟩

**lemma** *iprod0-if-coeffs0*:  $\forall c \in \text{set } cs. \ c = 0 \implies \langle cs, xs \rangle = 0$   
 ⟨proof⟩

**lemma** *iprod-uminus*[simp]:  $\langle -xs, ys \rangle = -\langle xs, ys \rangle$   
 ⟨proof⟩

**lemma** *iprod-left-add-distrib*:  $\langle xs + ys, zs \rangle = \langle xs, zs \rangle + \langle ys, zs \rangle$   
 ⟨proof⟩



**lemma** *iproduct-left-diff-distrib*:  $\langle xs - ys, zs \rangle = \langle xs, zs \rangle - \langle ys, zs \rangle$   
 <proof>

**lemma** *iproduct-assoc*:  $\langle x *_s xs, ys \rangle = x * \langle xs, ys \rangle$   
 <proof>

end

## 64 Definitions of Least Upper Bounds and Greatest Lower Bounds

**theory** *Lub-Glb*  
**imports** *Complex-Main*  
**begin**

Thanks to suggestions by James Margetson

**definition** *setle* :: 'a set  $\Rightarrow$  'a::ord  $\Rightarrow$  bool (infixl <\*<=> 70)  
 where  $S *<= x = (\forall y \in S. y \leq x)$

**definition** *setge* :: 'a::ord  $\Rightarrow$  'a set  $\Rightarrow$  bool (infixl <=<=\* 70)  
 where  $x <=* S = (\forall y \in S. x \leq y)$

### 64.1 Rules for the Relations \*<= and <=\*

**lemma** *setleI*:  $\forall y \in S. y \leq x \Longrightarrow S *<= x$   
 <proof>

**lemma** *setleD*:  $S *<= x \Longrightarrow y \in S \Longrightarrow y \leq x$   
 <proof>

**lemma** *setgeI*:  $\forall y \in S. x \leq y \Longrightarrow x <=* S$   
 <proof>

**lemma** *setgeD*:  $x <=* S \Longrightarrow y \in S \Longrightarrow x \leq y$   
 <proof>

**definition** *leastP* :: ('a  $\Rightarrow$  bool)  $\Rightarrow$  'a::ord  $\Rightarrow$  bool  
 where  $leastP P x = (P x \wedge x <=* Collect P)$

**definition** *isUb* :: 'a set  $\Rightarrow$  'a set  $\Rightarrow$  'a::ord  $\Rightarrow$  bool  
 where  $isUb R S x = (S *<= x \wedge x \in R)$

**definition** *isLub* :: 'a set  $\Rightarrow$  'a set  $\Rightarrow$  'a::ord  $\Rightarrow$  bool  
 where  $isLub R S x = leastP (isUb R S) x$

**definition** *ubs* :: 'a set  $\Rightarrow$  'a::ord set  $\Rightarrow$  'a set  
 where  $ubs R S = Collect (isUb R S)$

## 64.2 Rules about the Operators *leastP*, *ub* and *lub*

**lemma** *leastPD1*:  $\text{leastP } P \ x \Longrightarrow P \ x$   
*<proof>*

**lemma** *leastPD2*:  $\text{leastP } P \ x \Longrightarrow x \leq_* \text{Collect } P$   
*<proof>*

**lemma** *leastPD3*:  $\text{leastP } P \ x \Longrightarrow y \in \text{Collect } P \Longrightarrow x \leq y$   
*<proof>*

**lemma** *isLubD1*:  $\text{isLub } R \ S \ x \Longrightarrow S \leq_* x$   
*<proof>*

**lemma** *isLubD1a*:  $\text{isLub } R \ S \ x \Longrightarrow x \in R$   
*<proof>*

**lemma** *isLub-isUb*:  $\text{isLub } R \ S \ x \Longrightarrow \text{isUb } R \ S \ x$   
*<proof>*

**lemma** *isLubD2*:  $\text{isLub } R \ S \ x \Longrightarrow y \in S \Longrightarrow y \leq x$   
*<proof>*

**lemma** *isLubD3*:  $\text{isLub } R \ S \ x \Longrightarrow \text{leastP } (\text{isUb } R \ S) \ x$   
*<proof>*

**lemma** *isLubI1*:  $\text{leastP}(\text{isUb } R \ S) \ x \Longrightarrow \text{isLub } R \ S \ x$   
*<proof>*

**lemma** *isLubI2*:  $\text{isUb } R \ S \ x \Longrightarrow x \leq_* \text{Collect } (\text{isUb } R \ S) \Longrightarrow \text{isLub } R \ S \ x$   
*<proof>*

**lemma** *isUbD*:  $\text{isUb } R \ S \ x \Longrightarrow y \in S \Longrightarrow y \leq x$   
*<proof>*

**lemma** *isUbD2*:  $\text{isUb } R \ S \ x \Longrightarrow S \leq_* x$   
*<proof>*

**lemma** *isUbD2a*:  $\text{isUb } R \ S \ x \Longrightarrow x \in R$   
*<proof>*

**lemma** *isUbI*:  $S \leq_* x \Longrightarrow x \in R \Longrightarrow \text{isUb } R \ S \ x$   
*<proof>*

**lemma** *isLub-le-isUb*:  $\text{isLub } R \ S \ x \Longrightarrow \text{isUb } R \ S \ y \Longrightarrow x \leq y$   
*<proof>*

**lemma** *isLub-ubs*:  $\text{isLub } R \ S \ x \Longrightarrow x \leq_* \text{ubs } R \ S$   
*<proof>*

**lemma** *isLub-unique*:  $[[ \text{isLub } R \ S \ x; \text{isLub } R \ S \ y ] ] \implies x = (y::'a::\text{linorder})$   
 ⟨proof⟩

**lemma** *isUb-UNIV-I*:  $(\bigwedge y. y \in S \implies y \leq u) \implies \text{isUb UNIV } S \ u$   
 ⟨proof⟩

**definition** *greatestP* ::  $('a \Rightarrow \text{bool}) \Rightarrow 'a::\text{ord} \Rightarrow \text{bool}$   
 where  $\text{greatestP } P \ x = (P \ x \wedge \text{Collect } P \ * \leq \ x)$

**definition** *isLb* ::  $'a \ \text{set} \Rightarrow 'a \ \text{set} \Rightarrow 'a::\text{ord} \Rightarrow \text{bool}$   
 where  $\text{isLb } R \ S \ x = (x \leq * \ S \wedge x \in R)$

**definition** *isGlb* ::  $'a \ \text{set} \Rightarrow 'a \ \text{set} \Rightarrow 'a::\text{ord} \Rightarrow \text{bool}$   
 where  $\text{isGlb } R \ S \ x = \text{greatestP } (\text{isLb } R \ S) \ x$

**definition** *lbs* ::  $'a \ \text{set} \Rightarrow 'a::\text{ord} \ \text{set} \Rightarrow 'a \ \text{set}$   
 where  $\text{lbs } R \ S = \text{Collect } (\text{isLb } R \ S)$

### 64.3 Rules about the Operators *greatestP*, *isLb* and *isGlb*

**lemma** *greatestPD1*:  $\text{greatestP } P \ x \implies P \ x$   
 ⟨proof⟩

**lemma** *greatestPD2*:  $\text{greatestP } P \ x \implies \text{Collect } P \ * \leq \ x$   
 ⟨proof⟩

**lemma** *greatestPD3*:  $\text{greatestP } P \ x \implies y \in \text{Collect } P \implies x \geq y$   
 ⟨proof⟩

**lemma** *isGlbD1*:  $\text{isGlb } R \ S \ x \implies x \leq * \ S$   
 ⟨proof⟩

**lemma** *isGlbD1a*:  $\text{isGlb } R \ S \ x \implies x \in R$   
 ⟨proof⟩

**lemma** *isGlb-isLb*:  $\text{isGlb } R \ S \ x \implies \text{isLb } R \ S \ x$   
 ⟨proof⟩

**lemma** *isGlbD2*:  $\text{isGlb } R \ S \ x \implies y \in S \implies y \geq x$   
 ⟨proof⟩

**lemma** *isGlbD3*:  $\text{isGlb } R \ S \ x \implies \text{greatestP } (\text{isLb } R \ S) \ x$   
 ⟨proof⟩

**lemma** *isGlbI1*:  $\text{greatestP } (\text{isLb } R \ S) \ x \implies \text{isGlb } R \ S \ x$   
 ⟨proof⟩

**lemma** *isGlbI2*:  $\text{isLb } R \ S \ x \implies \text{Collect } (\text{isLb } R \ S) \ * \leq \ x \implies \text{isGlb } R \ S \ x$

*<proof>*

**lemma** *isLbD*:  $isLb\ R\ S\ x \implies y \in S \implies y \geq x$   
*<proof>*

**lemma** *isLbD2*:  $isLb\ R\ S\ x \implies x \leq_* S$   
*<proof>*

**lemma** *isLbD2a*:  $isLb\ R\ S\ x \implies x \in R$   
*<proof>*

**lemma** *isLbI*:  $x \leq_* S \implies x \in R \implies isLb\ R\ S\ x$   
*<proof>*

**lemma** *isGlb-le-isLb*:  $isGlb\ R\ S\ x \implies isLb\ R\ S\ y \implies x \geq y$   
*<proof>*

**lemma** *isGlb-ubs*:  $isGlb\ R\ S\ x \implies lbs\ R\ S\ * \leq x$   
*<proof>*

**lemma** *isGlb-unique*:  $[ [ isGlb\ R\ S\ x; isGlb\ R\ S\ y ] ] \implies x = (y::'a::linorder)$   
*<proof>*

**lemma** *bdd-above-settle*:  $bdd-above\ A \longleftrightarrow (\exists a. A \leq_* a)$   
*<proof>*

**lemma** *bdd-below-setge*:  $bdd-below\ A \longleftrightarrow (\exists a. a \leq_* A)$   
*<proof>*

**lemma** *isLub-cSup*:

$(S::'a :: conditionally-complete-lattice\ set) \neq \{\} \implies (\exists b. S \leq_* b) \implies isLub\ UNIV\ S\ (Sup\ S)$   
*<proof>*

**lemma** *isGlb-cInf*:

$(S::'a :: conditionally-complete-lattice\ set) \neq \{\} \implies (\exists b. b \leq_* S) \implies isGlb\ UNIV\ S\ (Inf\ S)$   
*<proof>*

**lemma** *cSup-le*:  $(S::'a::conditionally-complete-lattice\ set) \neq \{\} \implies S \leq_* b \implies Sup\ S \leq b$   
*<proof>*

**lemma** *cInf-ge*:  $(S::'a :: conditionally-complete-lattice\ set) \neq \{\} \implies b \leq_* S \implies Inf\ S \geq b$   
*<proof>*

**lemma** *cSup-bounds*:

**fixes**  $S :: 'a :: conditionally-complete-lattice\ set$

**shows**  $S \neq \{\}$   $\implies a \leq^* S \implies S \leq^* b \implies a \leq \text{Sup } S \wedge \text{Sup } S \leq b$   
 ⟨proof⟩

**lemma** *cSup-unique*:  $(S::'a :: \{\text{conditionally-complete-linorder, no-bot}\} \text{ set}) \leq^* b \implies (\forall b' < b. \exists x \in S. b' < x) \implies \text{Sup } S = b$   
 ⟨proof⟩

**lemma** *cInf-unique*:  $b \leq^* (S::'a :: \{\text{conditionally-complete-linorder, no-top}\} \text{ set}) \implies (\forall b' > b. \exists x \in S. b' > x) \implies \text{Inf } S = b$   
 ⟨proof⟩

Use completeness of reals (supremum property) to show that any bounded sequence has a least upper bound

**lemma** *reals-complete*:  $\exists X. X \in S \implies \exists Y. \text{isUb } (\text{UNIV}::\text{real set}) S Y \implies \exists t. \text{isLub } (\text{UNIV}::\text{real set}) S t$   
 ⟨proof⟩

**lemma** *Bseq-isUb*:  $\bigwedge X :: \text{nat} \Rightarrow \text{real}. \text{Bseq } X \implies \exists U. \text{isUb } (\text{UNIV}::\text{real set}) \{x. \exists n. X n = x\} U$   
 ⟨proof⟩

**lemma** *Bseq-isLub*:  $\bigwedge X :: \text{nat} \Rightarrow \text{real}. \text{Bseq } X \implies \exists U. \text{isLub } (\text{UNIV}::\text{real set}) \{x. \exists n. X n = x\} U$   
 ⟨proof⟩

**lemma** *isLub-mono-imp-LIMSEQ*:  
**fixes**  $X :: \text{nat} \Rightarrow \text{real}$   
**assumes**  $u: \text{isLub } \text{UNIV } \{x. \exists n. X n = x\} u$   
**assumes**  $X: \forall m n. m \leq n \longrightarrow X m \leq X n$   
**shows**  $X \longrightarrow u$   
 ⟨proof⟩

**lemmas** *real-isGlb-unique* = *isGlb-unique*[**where** 'a=real]

**lemma** *real-le-inf-subset*:  $t \neq \{\} \implies t \subseteq s \implies \exists b. b \leq^* s \implies \text{Inf } s \leq \text{Inf } t$   
 (t::real set)  
 ⟨proof⟩

**lemma** *real-ge-sup-subset*:  $t \neq \{\} \implies t \subseteq s \implies \exists b. s \leq^* b \implies \text{Sup } s \geq \text{Sup } t$   
 (t::real set)  
 ⟨proof⟩

**end**

## 65 An abstract view on maps for code generation.

**theory** *Mapping*  
**imports** *Main AList*  
**begin**

### 65.1 Parametricity transfer rules

**lemma** *map-of-foldr*:  $\text{map-of } xs = \text{foldr } (\lambda(k, v) m. m(k \mapsto v)) \text{ } xs \text{ } \text{Map.empty}$   
 ⟨*proof*⟩

**context includes** *lifting-syntax*  
**begin**

**lemma** *empty-parametric*:  $(A \text{ ===> } \text{rel-option } B) \text{ } \text{Map.empty} \text{ } \text{Map.empty}$   
 ⟨*proof*⟩

**lemma** *lookup-parametric*:  $((A \text{ ===> } B) \text{ ===> } A \text{ ===> } B) (\lambda m k. m k) (\lambda m k. m k)$   
 ⟨*proof*⟩

**lemma** *update-parametric*:  
**assumes** [*transfer-rule*]: *bi-unique*  $A$   
**shows**  $(A \text{ ===> } B \text{ ===> } (A \text{ ===> } \text{rel-option } B) \text{ ===> } A \text{ ===> } \text{rel-option } B)$   
 $(\lambda k v m. m(k \mapsto v)) (\lambda k v m. m(k \mapsto v))$   
 ⟨*proof*⟩

**lemma** *delete-parametric*:  
**assumes** [*transfer-rule*]: *bi-unique*  $A$   
**shows**  $(A \text{ ===> } (A \text{ ===> } \text{rel-option } B) \text{ ===> } A \text{ ===> } \text{rel-option } B)$   
 $(\lambda k m. m(k := \text{None})) (\lambda k m. m(k := \text{None}))$   
 ⟨*proof*⟩

**lemma** *is-none-parametric* [*transfer-rule*]:  
 $(\text{rel-option } A \text{ ===> } \text{HOL.eq}) \text{ } \text{Option.is-none} \text{ } \text{Option.is-none}$   
 ⟨*proof*⟩

**lemma** *dom-parametric*:  
**assumes** [*transfer-rule*]: *bi-total*  $A$   
**shows**  $((A \text{ ===> } \text{rel-option } B) \text{ ===> } \text{rel-set } A) \text{ } \text{dom} \text{ } \text{dom}$   
 ⟨*proof*⟩

**lemma** *graph-parametric*:  
**assumes** *bi-total*  $A$   
**shows**  $((A \text{ ===> } \text{rel-option } B) \text{ ===> } \text{rel-set } (\text{rel-prod } A \text{ } B)) \text{ } \text{Map.graph} \text{ } \text{Map.graph}$   
 ⟨*proof*⟩

**lemma** *map-of-parametric* [*transfer-rule*]:  
**assumes** [*transfer-rule*]: *bi-unique*  $R1$   
**shows**  $(\text{list-all2 } (\text{rel-prod } R1 \text{ } R2) \text{ ===> } R1 \text{ ===> } \text{rel-option } R2) \text{ } \text{map-of}$   
 $\text{map-of}$   
 ⟨*proof*⟩

**lemma** *map-entry-parametric* [*transfer-rule*]:  
**assumes** [*transfer-rule*]: *bi-unique*  $A$

**shows**  $(A \text{ =====> } (B \text{ =====> } B) \text{ =====> } (A \text{ =====> } \text{rel-option } B) \text{ =====> } A \text{ =====> } \text{rel-option } B)$   
 $(\lambda k f m. (\text{case } m \text{ k of None } \Rightarrow m$   
 $\quad | \text{Some } v \Rightarrow m (k \mapsto (f v)))) (\lambda k f m. (\text{case } m \text{ k of None } \Rightarrow m$   
 $\quad | \text{Some } v \Rightarrow m (k \mapsto (f v))))$   
 $\langle \text{proof} \rangle$

**lemma** *tabulate-parametric*:

**assumes** [*transfer-rule*]: *bi-unique*  $A$   
**shows**  $(\text{list-all2 } A \text{ =====> } (A \text{ =====> } B) \text{ =====> } A \text{ =====> } \text{rel-option } B)$   
 $(\lambda ks f. (\text{map-of } (\text{map } (\lambda k. (k, f k)) ks)) (\lambda ks f. (\text{map-of } (\text{map } (\lambda k. (k, f k))$   
 $ks))))$   
 $\langle \text{proof} \rangle$

**lemma** *bulkload-parametric*:

$(\text{list-all2 } A \text{ =====> } \text{HOL.eq} \text{ =====> } \text{rel-option } A)$   
 $(\lambda xs k. \text{if } k < \text{length } xs \text{ then Some } (xs ! k) \text{ else None})$   
 $(\lambda xs k. \text{if } k < \text{length } xs \text{ then Some } (xs ! k) \text{ else None})$   
 $\langle \text{proof} \rangle$

**lemma** *map-parametric*:

$((A \text{ =====> } B) \text{ =====> } (C \text{ =====> } D) \text{ =====> } (B \text{ =====> } \text{rel-option } C) \text{ =====> } A \text{ =====> } \text{rel-option } D)$   
 $(\lambda f g m. (\text{map-option } g \circ m \circ f)) (\lambda f g m. (\text{map-option } g \circ m \circ f))$   
 $\langle \text{proof} \rangle$

**lemma** *combine-with-key-parametric*:

$((A \text{ =====> } B \text{ =====> } B \text{ =====> } B) \text{ =====> } (A \text{ =====> } \text{rel-option } B) \text{ =====> } (A \text{ =====> } \text{rel-option } B) \text{ =====> } (A \text{ =====> } \text{rel-option } B))$   
 $(\lambda f m1 m2 x. \text{combine-options } (f x) (m1 x) (m2 x))$   
 $(\lambda f m1 m2 x. \text{combine-options } (f x) (m1 x) (m2 x))$   
 $\langle \text{proof} \rangle$

**lemma** *combine-parametric*:

$((B \text{ =====> } B \text{ =====> } B) \text{ =====> } (A \text{ =====> } \text{rel-option } B) \text{ =====> } (A \text{ =====> } \text{rel-option } B) \text{ =====> } (A \text{ =====> } \text{rel-option } B))$   
 $(\lambda f m1 m2 x. \text{combine-options } f (m1 x) (m2 x))$   
 $(\lambda f m1 m2 x. \text{combine-options } f (m1 x) (m2 x))$   
 $\langle \text{proof} \rangle$

**end**

## 65.2 Type definition and primitive operations

**typedef**  $(\text{'a}, \text{'b}) \text{ mapping} = \text{UNIV} :: (\text{'a} \rightarrow \text{'b}) \text{ set}$   
**morphisms** *rep Mapping*  $\langle \text{proof} \rangle$

**setup-lifting** *type-definition-mapping*

**lift-definition** *empty* :: ('a, 'b) mapping  
**is** *Map.empty* **parametric** *empty-parametric* ⟨proof⟩

**lift-definition** *lookup* :: ('a, 'b) mapping ⇒ 'a ⇒ 'b option  
**is**  $\lambda m k. m k$  **parametric** *lookup-parametric* ⟨proof⟩

**definition** *lookup-default*  $d m k = (\text{case } \text{Mapping.lookup } m k \text{ of } \text{None} \Rightarrow d \mid \text{Some } v \Rightarrow v)$

**lift-definition** *update* :: 'a ⇒ 'b ⇒ ('a, 'b) mapping ⇒ ('a, 'b) mapping  
**is**  $\lambda k v m. m(k \mapsto v)$  **parametric** *update-parametric* ⟨proof⟩

**lift-definition** *delete* :: 'a ⇒ ('a, 'b) mapping ⇒ ('a, 'b) mapping  
**is**  $\lambda k m. m(k := \text{None})$  **parametric** *delete-parametric* ⟨proof⟩

**lift-definition** *filter* :: ('a ⇒ 'b ⇒ bool) ⇒ ('a, 'b) mapping ⇒ ('a, 'b) mapping  
**is**  $\lambda P m k. \text{case } m k \text{ of } \text{None} \Rightarrow \text{None} \mid \text{Some } v \Rightarrow \text{if } P k v \text{ then } \text{Some } v \text{ else } \text{None}$   
⟨proof⟩

**lift-definition** *keys* :: ('a, 'b) mapping ⇒ 'a set  
**is** *dom* **parametric** *dom-parametric* ⟨proof⟩

**lift-definition** *entries* :: ('a, 'b) mapping ⇒ ('a × 'b) set  
**is** *Map.graph* **parametric** *graph-parametric* ⟨proof⟩

**lift-definition** *tabulate* :: 'a list ⇒ ('a ⇒ 'b) ⇒ ('a, 'b) mapping  
**is**  $\lambda ks f. (\text{map-of } (\text{List.map } (\lambda k. (k, f k)) ks))$  **parametric** *tabulate-parametric*  
⟨proof⟩

**lift-definition** *bulkload* :: 'a list ⇒ (nat, 'a) mapping  
**is**  $\lambda xs k. \text{if } k < \text{length } xs \text{ then } \text{Some } (xs ! k) \text{ else } \text{None}$  **parametric** *bulkload-parametric* ⟨proof⟩

**lift-definition** *map* :: ('c ⇒ 'a) ⇒ ('b ⇒ 'd) ⇒ ('a, 'b) mapping ⇒ ('c, 'd) mapping  
**is**  $\lambda f g m. (\text{map-option } g \circ m \circ f)$  **parametric** *map-parametric* ⟨proof⟩

**lift-definition** *map-values* :: ('c ⇒ 'a ⇒ 'b) ⇒ ('c, 'a) mapping ⇒ ('c, 'b) mapping  
**is**  $\lambda f m x. \text{map-option } (f x) (m x)$  ⟨proof⟩

**lift-definition** *combine-with-key* ::  
('a ⇒ 'b ⇒ 'b ⇒ 'b) ⇒ ('a, 'b) mapping ⇒ ('a, 'b) mapping ⇒ ('a, 'b) mapping  
**is**  $\lambda f m1 m2 x. \text{combine-options } (f x) (m1 x) (m2 x)$  **parametric** *combine-with-key-parametric*  
⟨proof⟩

**lift-definition** *combine* ::  
('b ⇒ 'b ⇒ 'b) ⇒ ('a, 'b) mapping ⇒ ('a, 'b) mapping ⇒ ('a, 'b) mapping  
**is**  $\lambda f m1 m2 x. \text{combine-options } f (m1 x) (m2 x)$  **parametric** *combine-parametric*  
⟨proof⟩



**definition** *All-mapping*  $m P \longleftrightarrow$   
 $(\forall x. \text{case Mapping.lookup } m \ x \text{ of } \text{None} \Rightarrow \text{True} \mid \text{Some } y \Rightarrow P \ x \ y)$

**declare**  $[[\text{code drop: map}]]$

### 65.3 Functorial structure

**functor** *map*: *map*  
 $\langle \text{proof} \rangle$

### 65.4 Derived operations

**definition** *ordered-keys*  $:: ('a::\text{linorder}, 'b) \text{ mapping} \Rightarrow 'a \text{ list}$   
**where** *ordered-keys*  $m = (\text{if finite } (\text{keys } m) \text{ then sorted-list-of-set } (\text{keys } m) \text{ else } [])$

**definition** *ordered-entries*  $:: ('a::\text{linorder}, 'b) \text{ mapping} \Rightarrow ('a \times 'b) \text{ list}$   
**where** *ordered-entries*  $m = (\text{if finite } (\text{entries } m) \text{ then sorted-key-list-of-set fst } (\text{entries } m) \text{ else } [])$

**definition** *fold*  $:: ('a::\text{linorder} \Rightarrow 'b \Rightarrow 'c \Rightarrow 'c) \Rightarrow ('a, 'b) \text{ mapping} \Rightarrow 'c \Rightarrow 'c$   
**where** *fold*  $f \ m \ a = \text{List.fold } (\text{case-prod } f) \ (\text{ordered-entries } m) \ a$

**definition** *is-empty*  $:: ('a, 'b) \text{ mapping} \Rightarrow \text{bool}$   
**where** *is-empty*  $m \longleftrightarrow \text{keys } m = \{\}$

**definition** *size*  $:: ('a, 'b) \text{ mapping} \Rightarrow \text{nat}$   
**where** *size*  $m = (\text{if finite } (\text{keys } m) \text{ then card } (\text{keys } m) \text{ else } 0)$

**definition** *replace*  $:: 'a \Rightarrow 'b \Rightarrow ('a, 'b) \text{ mapping} \Rightarrow ('a, 'b) \text{ mapping}$   
**where** *replace*  $k \ v \ m = (\text{if } k \in \text{keys } m \text{ then update } k \ v \ m \text{ else } m)$

**definition** *default*  $:: 'a \Rightarrow 'b \Rightarrow ('a, 'b) \text{ mapping} \Rightarrow ('a, 'b) \text{ mapping}$   
**where** *default*  $k \ v \ m = (\text{if } k \in \text{keys } m \text{ then } m \text{ else update } k \ v \ m)$

Manual derivation of transfer rule is non-trivial

**lift-definition** *map-entry*  $:: 'a \Rightarrow ('b \Rightarrow 'b) \Rightarrow ('a, 'b) \text{ mapping} \Rightarrow ('a, 'b) \text{ mapping}$   
**is**

$\lambda k \ f \ m.$   
 $(\text{case } m \ k \text{ of}$   
 $\text{None} \Rightarrow m$   
 $\mid \text{Some } v \Rightarrow m \ (k \mapsto (f \ v)))$  **parametric** *map-entry-parametric*  $\langle \text{proof} \rangle$

**lemma** *map-entry-code*  $[\text{code}]$ :  
*map-entry*  $k \ f \ m =$   
 $(\text{case lookup } m \ k \text{ of}$   
 $\text{None} \Rightarrow m$   
 $\mid \text{Some } v \Rightarrow \text{update } k \ (f \ v) \ m)$   
 $\langle \text{proof} \rangle$

**definition** *map-default* :: 'a ⇒ 'b ⇒ ('b ⇒ 'b) ⇒ ('a, 'b) mapping ⇒ ('a, 'b) mapping

where *map-default* k v f m = *map-entry* k f (default k v m)

**definition** *of-alist* :: ('k × 'v) list ⇒ ('k, 'v) mapping

where *of-alist* xs = *foldr* (λ(k, v) m. *update* k v m) xs empty

**instantiation** *mapping* :: (type, type) equal

**begin**

**definition** *HOL.equal* m1 m2 ↔ (∀k. *lookup* m1 k = *lookup* m2 k)

**instance**

⟨*proof*⟩

**end**

**context includes** *lifting-syntax*

**begin**

**lemma** [*transfer-rule*]:

assumes [*transfer-rule*]: *bi-total* A

and [*transfer-rule*]: *bi-unique* B

shows (*pcr-mapping* A B ==> *pcr-mapping* A B ==> (=)) *HOL.eq* *HOL.equal*

⟨*proof*⟩

**lemma** *of-alist-transfer* [*transfer-rule*]:

assumes [*transfer-rule*]: *bi-unique* R1

shows (*list-all2* (*rel-prod* R1 R2) ==> *pcr-mapping* R1 R2) *map-of* *of-alist*

⟨*proof*⟩

**end**

## 65.5 Properties

**lemma** *mapping-eqI*: (Λx. *lookup* m x = *lookup* m' x) ⇒ m = m'

⟨*proof*⟩

**lemma** *mapping-eqI'*:

assumes Λx. x ∈ *Mapping.keys* m ⇒ *Mapping.lookup-default* d m x = *Mapping.lookup-default* d m' x

and *Mapping.keys* m = *Mapping.keys* m'

shows m = m'

⟨*proof*⟩

**lemma** *lookup-update[simp]*: *lookup* (*update* k v m) k = *Some* v

⟨*proof*⟩

**lemma** *lookup-update-neq[simp]*:  $k \neq k' \implies \text{lookup } (\text{update } k \ v \ m) \ k' = \text{lookup } m \ k'$

*<proof>*

**lemma** *lookup-update'*:  $\text{lookup } (\text{update } k \ v \ m) \ k' = (\text{if } k = k' \ \text{then } \text{Some } v \ \text{else } \text{lookup } m \ k')$

*<proof>*

**lemma** *lookup-empty[simp]*:  $\text{lookup } \text{empty} \ k = \text{None}$

*<proof>*

**lemma** *lookup-delete[simp]*:  $\text{lookup } (\text{delete } k \ m) \ k = \text{None}$

*<proof>*

**lemma** *lookup-delete-neq[simp]*:  $k \neq k' \implies \text{lookup } (\text{delete } k \ m) \ k' = \text{lookup } m \ k'$

*<proof>*

**lemma** *lookup-filter*:

$\text{lookup } (\text{filter } P \ m) \ k =$   
*(case lookup m k of*  
*None  $\Rightarrow$  None*  
*| Some v  $\Rightarrow$  if P k v then Some v else None)*

*<proof>*

**lemma** *lookup-map-values*:  $\text{lookup } (\text{map-values } f \ m) \ k = \text{map-option } (f \ k) \ (\text{lookup } m \ k)$

*<proof>*

**lemma** *lookup-default-empty*:  $\text{lookup-default } d \ \text{empty} \ k = d$

*<proof>*

**lemma** *lookup-default-update*:  $\text{lookup-default } d \ (\text{update } k \ v \ m) \ k = v$

*<proof>*

**lemma** *lookup-default-update-neq*:

$k \neq k' \implies \text{lookup-default } d \ (\text{update } k \ v \ m) \ k' = \text{lookup-default } d \ m \ k'$

*<proof>*

**lemma** *lookup-default-update'*:

$\text{lookup-default } d \ (\text{update } k \ v \ m) \ k' = (\text{if } k = k' \ \text{then } v \ \text{else } \text{lookup-default } d \ m \ k')$

*<proof>*

**lemma** *lookup-default-filter*:

$\text{lookup-default } d \ (\text{filter } P \ m) \ k =$   
*(if P k (lookup-default d m k) then lookup-default d m k else d)*

*<proof>*

**lemma** *lookup-default-map-values*:

$\text{lookup-default } (f \ k \ d) \ (\text{map-values } f \ m) \ k = f \ k \ (\text{lookup-default } d \ m \ k)$

*<proof>*

**lemma** *lookup-combine-with-key:*

*Mapping.lookup (combine-with-key f m1 m2) x =  
 combine-options (f x) (Mapping.lookup m1 x) (Mapping.lookup m2 x)*  
*<proof>*

**lemma** *combine-altdef: combine f m1 m2 = combine-with-key (λ-. f) m1 m2*

*<proof>*

**lemma** *lookup-combine:*

*Mapping.lookup (combine f m1 m2) x =  
 combine-options f (Mapping.lookup m1 x) (Mapping.lookup m2 x)*  
*<proof>*

**lemma** *lookup-default-neutral-combine-with-key:*

**assumes**  $\bigwedge x. f k d x = x \bigwedge x. f k x d = x$   
**shows** *Mapping.lookup-default d (combine-with-key f m1 m2) k =  
 f k (Mapping.lookup-default d m1 k) (Mapping.lookup-default d m2 k)*  
*<proof>*

**lemma** *lookup-default-neutral-combine:*

**assumes**  $\bigwedge x. f d x = x \bigwedge x. f x d = x$   
**shows** *Mapping.lookup-default d (combine f m1 m2) x =  
 f (Mapping.lookup-default d m1 x) (Mapping.lookup-default d m2 x)*  
*<proof>*

**lemma** *lookup-map-entry: lookup (map-entry x f m) x = map-option f (lookup m x)*

*<proof>*

**lemma** *lookup-map-entry-neq: x ≠ y ⇒ lookup (map-entry x f m) y = lookup m y*

*<proof>*

**lemma** *lookup-map-entry':*

*lookup (map-entry x f m) y =  
 (if x = y then map-option f (lookup m y) else lookup m y)*  
*<proof>*

**lemma** *lookup-default: lookup (default x d m) x = Some (lookup-default d m x)*

*<proof>*

**lemma** *lookup-default-neq: x ≠ y ⇒ lookup (default x d m) y = lookup m y*

*<proof>*

**lemma** *lookup-default':*

*lookup (default x d m) y =  
 (if x = y then Some (lookup-default d m x) else lookup m y)*

*<proof>*

**lemma** *lookup-map-default*:  $lookup (map-default x d f m) x = Some (f (lookup-default d m x))$   
*<proof>*

**lemma** *lookup-map-default-neg*:  $x \neq y \implies lookup (map-default x d f m) y = lookup m y$   
*<proof>*

**lemma** *lookup-map-default'*:  
 $lookup (map-default x d f m) y =$   
*(if  $x = y$  then  $Some (f (lookup-default d m x))$  else  $lookup m y$ )*  
*<proof>*

**lemma** *lookup-tabulate*:  
**assumes** *distinct xs*  
**shows**  $Mapping.lookup (Mapping.tabulate xs f) x = (if x \in set xs \text{ then } Some (f x) \text{ else } None)$   
*<proof>*

**lemma** *lookup-of-alist*:  $lookup (of-alist xs) k = map-of xs k$   
*<proof>*

**lemma** *keys-is-none-rep [code-unfold]*:  $k \in keys m \iff \neg (Option.is-none (lookup m k))$   
*<proof>*

**lemma** *update-update*:  
 $update k v (update k w m) = update k v m$   
 $k \neq l \implies update k v (update l w m) = update l w (update k v m)$   
*<proof>*

**lemma** *update-delete [simp]*:  $update k v (delete k m) = update k v m$   
*<proof>*

**lemma** *delete-update*:  
 $delete k (update k v m) = delete k m$   
 $k \neq l \implies delete k (update l v m) = update l v (delete k m)$   
*<proof>*

**lemma** *delete-empty [simp]*:  $delete k empty = empty$   
*<proof>*

**lemma** *Mapping-delete-if-notin-keys[simp]*:  
 $k \notin keys m \implies delete k m = m$   
*<proof>*

**lemma** *replace-update*:

$k \notin \text{keys } m \implies \text{replace } k \ v \ m = m$   
 $k \in \text{keys } m \implies \text{replace } k \ v \ m = \text{update } k \ v \ m$   
 ⟨proof⟩

**lemma** *map-values-update*:  $\text{map-values } f \ (\text{update } k \ v \ m) = \text{update } k \ (f \ k \ v) \ (\text{map-values } f \ m)$   
 ⟨proof⟩

**lemma** *size-mono*:  $\text{finite } (\text{keys } m') \implies \text{keys } m \subseteq \text{keys } m' \implies \text{size } m \leq \text{size } m'$   
 ⟨proof⟩

**lemma** *size-empty* [simp]:  $\text{size } \text{empty} = 0$   
 ⟨proof⟩

**lemma** *size-update*:  
 $\text{finite } (\text{keys } m) \implies \text{size } (\text{update } k \ v \ m) =$   
 (if  $k \in \text{keys } m$  then  $\text{size } m$  else  $\text{Suc } (\text{size } m)$ )  
 ⟨proof⟩

**lemma** *size-delete*:  $\text{size } (\text{delete } k \ m) = (\text{if } k \in \text{keys } m \text{ then } \text{size } m - 1 \text{ else } \text{size } m)$   
 ⟨proof⟩

**lemma** *size-tabulate* [simp]:  $\text{size } (\text{tabulate } ks \ f) = \text{length } (\text{remdups } ks)$   
 ⟨proof⟩

**lemma** *keys-filter*:  $\text{keys } (\text{filter } P \ m) \subseteq \text{keys } m$   
 ⟨proof⟩

**lemma** *size-filter*:  $\text{finite } (\text{keys } m) \implies \text{size } (\text{filter } P \ m) \leq \text{size } m$   
 ⟨proof⟩

**lemma** *bulkload-tabulate*:  $\text{bulkload } xs = \text{tabulate } [0..<\text{length } xs] \ (\text{nth } xs)$   
 ⟨proof⟩

**lemma** *is-empty-empty* [simp]:  $\text{is-empty } \text{empty}$   
 ⟨proof⟩

**lemma** *is-empty-update* [simp]:  $\neg \text{is-empty } (\text{update } k \ v \ m)$   
 ⟨proof⟩

**lemma** *is-empty-delete*:  $\text{is-empty } (\text{delete } k \ m) \iff \text{is-empty } m \vee \text{keys } m = \{k\}$   
 ⟨proof⟩

**lemma** *is-empty-replace* [simp]:  $\text{is-empty } (\text{replace } k \ v \ m) \iff \text{is-empty } m$   
 ⟨proof⟩

**lemma** *is-empty-default* [simp]:  $\neg \text{is-empty } (\text{default } k \ v \ m)$   
 ⟨proof⟩

**lemma** *is-empty-map-entry* [simp]:  $is\_empty (map\_entry\ k\ f\ m) \longleftrightarrow is\_empty\ m$   
 ⟨proof⟩

**lemma** *is-empty-map-values* [simp]:  $is\_empty (map\_values\ f\ m) \longleftrightarrow is\_empty\ m$   
 ⟨proof⟩

**lemma** *is-empty-map-default* [simp]:  $\neg is\_empty (map\_default\ k\ v\ f\ m)$   
 ⟨proof⟩

**lemma** *keys-dom-lookup*:  $keys\ m = dom (Mapping.lookup\ m)$   
 ⟨proof⟩

**lemma** *keys-empty* [simp]:  $keys\ empty = \{\}$   
 ⟨proof⟩

**lemma** *in-keysD*:  $k \in keys\ m \implies \exists v. lookup\ m\ k = Some\ v$   
 ⟨proof⟩

**lemma** *keys-update* [simp]:  $keys (update\ k\ v\ m) = insert\ k (keys\ m)$   
 ⟨proof⟩

**lemma** *keys-delete* [simp]:  $keys (delete\ k\ m) = keys\ m - \{k\}$   
 ⟨proof⟩

**lemma** *keys-replace* [simp]:  $keys (replace\ k\ v\ m) = keys\ m$   
 ⟨proof⟩

**lemma** *keys-default* [simp]:  $keys (default\ k\ v\ m) = insert\ k (keys\ m)$   
 ⟨proof⟩

**lemma** *keys-map-entry* [simp]:  $keys (map\_entry\ k\ f\ m) = keys\ m$   
 ⟨proof⟩

**lemma** *keys-map-default* [simp]:  $keys (map\_default\ k\ v\ f\ m) = insert\ k (keys\ m)$   
 ⟨proof⟩

**lemma** *keys-map-values* [simp]:  $keys (map\_values\ f\ m) = keys\ m$   
 ⟨proof⟩

**lemma** *keys-combine-with-key* [simp]:  
 $Mapping.keys (combine\_with\_key\ f\ m1\ m2) = Mapping.keys\ m1 \cup Mapping.keys\ m2$   
 ⟨proof⟩

**lemma** *keys-combine* [simp]:  $Mapping.keys (combine\ f\ m1\ m2) = Mapping.keys\ m1 \cup Mapping.keys\ m2$   
 ⟨proof⟩

**lemma** *keys-tabulate* [simp]:  $keys (tabulate\ ks\ f) = set\ ks$

*<proof>*

**lemma** *keys-of-alist* [*simp*]:  $keys (of\text{-}alist\ xs) = set (List.map\ fst\ xs)$   
*<proof>*

**lemma** *keys-bulkload* [*simp*]:  $keys (bulkload\ xs) = \{0..<length\ xs\}$   
*<proof>*

**lemma** *finite-keys-update* [*simp*]:  
 $finite (keys (update\ k\ v\ m)) = finite (keys\ m)$   
*<proof>*

**lemma** *set-ordered-keys* [*simp*]:  
 $finite (Mapping.keys\ m) \implies set (Mapping.ordered-keys\ m) = Mapping.keys\ m$   
*<proof>*

**lemma** *distinct-ordered-keys* [*simp*]:  $distinct (ordered-keys\ m)$   
*<proof>*

**lemma** *ordered-keys-infinite* [*simp*]:  $\neg finite (keys\ m) \implies ordered-keys\ m = []$   
*<proof>*

**lemma** *ordered-keys-empty* [*simp*]:  $ordered-keys\ empty = []$   
*<proof>*

**lemma** *sorted-ordered-keys* [*simp*]:  $sorted (ordered-keys\ m)$   
*<proof>*

**lemma** *ordered-keys-update* [*simp*]:  
 $k \in keys\ m \implies ordered-keys (update\ k\ v\ m) = ordered-keys\ m$   
 $finite (keys\ m) \implies k \notin keys\ m \implies$   
 $ordered-keys (update\ k\ v\ m) = insert\ k (ordered-keys\ m)$   
*<proof>*

**lemma** *ordered-keys-delete* [*simp*]:  $ordered-keys (delete\ k\ m) = remove1\ k (ordered-keys\ m)$   
*<proof>*

**lemma** *ordered-keys-replace* [*simp*]:  $ordered-keys (replace\ k\ v\ m) = ordered-keys\ m$   
*<proof>*

**lemma** *ordered-keys-default* [*simp*]:  
 $k \in keys\ m \implies ordered-keys (default\ k\ v\ m) = ordered-keys\ m$   
 $finite (keys\ m) \implies k \notin keys\ m \implies ordered-keys (default\ k\ v\ m) = insert\ k$   
 $(ordered-keys\ m)$   
*<proof>*

**lemma** *ordered-keys-map-entry* [*simp*]:  $ordered-keys (map-entry\ k\ f\ m) = ordered-keys\ m$



*<proof>*

**lemma** *ordered-keys-map-default* [simp]:

$k \in \text{keys } m \implies \text{ordered-keys } (\text{map-default } k \ v \ f \ m) = \text{ordered-keys } m$   
 $\text{finite } (\text{keys } m) \implies k \notin \text{keys } m \implies \text{ordered-keys } (\text{map-default } k \ v \ f \ m) = \text{insert } k \ (\text{ordered-keys } m)$   
*<proof>*

**lemma** *ordered-keys-tabulate* [simp]:  $\text{ordered-keys } (\text{tabulate } ks \ f) = \text{sort } (\text{remdups } ks)$

*<proof>*

**lemma** *ordered-keys-bulkload* [simp]:  $\text{ordered-keys } (\text{bulkload } ks) = [0..<\text{length } ks]$

*<proof>*

**lemma** *tabulate-fold*:  $\text{tabulate } xs \ f = \text{List.fold } (\lambda k \ m. \text{update } k \ (f \ k) \ m) \ xs \ \text{empty}$

*<proof>*

**lemma** *All-mapping-mono*:

$(\bigwedge k \ v. k \in \text{keys } m \implies P \ k \ v \implies Q \ k \ v) \implies \text{All-mapping } m \ P \implies \text{All-mapping } m \ Q$   
*<proof>*

**lemma** *All-mapping-empty* [simp]:  $\text{All-mapping } \text{Mapping.empty } P$

*<proof>*

**lemma** *All-mapping-update-iff*:

$\text{All-mapping } (\text{Mapping.update } k \ v \ m) \ P \longleftrightarrow P \ k \ v \wedge \text{All-mapping } m \ (\lambda k' \ v'. k = k' \vee P \ k' \ v')$   
*<proof>*

**lemma** *All-mapping-update*:

$P \ k \ v \implies \text{All-mapping } m \ (\lambda k' \ v'. k = k' \vee P \ k' \ v') \implies \text{All-mapping } (\text{Mapping.update } k \ v \ m) \ P$   
*<proof>*

**lemma** *All-mapping-filter-iff*:  $\text{All-mapping } (\text{filter } P \ m) \ Q \longleftrightarrow \text{All-mapping } m \ (\lambda k \ v. P \ k \ v \longrightarrow Q \ k \ v)$

*<proof>*

**lemma** *All-mapping-filter*:  $\text{All-mapping } m \ Q \implies \text{All-mapping } (\text{filter } P \ m) \ Q$

*<proof>*

**lemma** *All-mapping-map-values*:  $\text{All-mapping } (\text{map-values } f \ m) \ P \longleftrightarrow \text{All-mapping } m \ (\lambda k \ v. P \ k \ (f \ k \ v))$

*<proof>*

**lemma** *All-mapping-tabulate*:  $(\forall x \in \text{set } xs. P \ x \ (f \ x)) \implies \text{All-mapping } (\text{Mapping.tabulate } xs \ f) \ P$

*<proof>*

**lemma** *All-mapping-alist:*

$(\bigwedge k v. (k, v) \in \text{set } xs \implies P k v) \implies \text{All-mapping } (\text{Mapping.of-alist } xs) P$   
*<proof>*

**lemma** *combine-empty [simp]: combine f Mapping.empty y = y combine f y Mapping.empty = y*

*<proof>*

**lemma** *(in abel-semigroup) comm-monoid-set-combine: comm-monoid-set (combine f) Mapping.empty*

*<proof>*

**locale** *combine-mapping-abel-semigroup = abel-semigroup*

**begin**

**sublocale** *combine: comm-monoid-set combine f Mapping.empty*

*<proof>*

**lemma** *fold-combine-code:*

$\text{combine.F } g (\text{set } xs) = \text{foldr } (\lambda x. \text{combine } f (g x)) (\text{remdups } xs) \text{Mapping.empty}$   
*<proof>*

**lemma** *keys-fold-combine: finite A  $\implies$  Mapping.keys (combine.F g A) =  $(\bigcup_{x \in A} \text{Mapping.keys } (g x))$*

*<proof>*

**end**

### 65.5.1 entries, ordered-entries, and fold

**context** *linorder*

**begin**

**sublocale** *folding-Map-graph: folding-insort-key ( $\leq$ ) ( $<$ ) Map.graph m fst for m*

*<proof>*

**end**

**lemma** *sorted-fst-list-of-set-insort-Map-graph[simp]:*

**assumes** *finite (dom m) fst x  $\notin$  dom m*

**shows** *sorted-key-list-of-set fst (insert x (Map.graph m))*

*= insort-key fst x (sorted-key-list-of-set fst (Map.graph m))*

*<proof>*

**lemma** *sorted-fst-list-of-set-insort-insert-Map-graph[simp]:*

**assumes** *finite (dom m) fst x  $\notin$  dom m*

**shows** *sorted-key-list-of-set fst (insert x (Map.graph m))*

$= \text{insort-insert-key fst } x \text{ (sorted-key-list-of-set fst (Map.graph } m))$   
 ⟨proof⟩

**lemma** *linorder-finite-Map-induct*[consumes 1, case-names empty update]:

**fixes**  $m :: 'a::\text{linorder} \rightarrow 'b$   
**assumes** *finite* (dom  $m$ )  
**assumes**  $P \text{ Map.empty}$   
**assumes**  $\bigwedge k v m. \llbracket \text{finite (dom } m); k \notin \text{dom } m; (\bigwedge k'. k' \in \text{dom } m \implies k' \leq k); P m \rrbracket$

$\implies P (m(k \mapsto v))$

**shows**  $P m$   
 ⟨proof⟩

**lemma** *delete-insort-fst*[simp]:  $AList.delete k (\text{insort-key fst } (k, v) xs) = AList.delete k xs$   
 ⟨proof⟩

**lemma** *insort-fst-delete*:  $\llbracket \text{fst } x \neq k2; \text{sorted (List.map fst } xs) \rrbracket$   
 $\implies \text{insort-key fst } x (AList.delete k2 xs) = AList.delete k2 (\text{insort-key fst } x xs)$   
 ⟨proof⟩

**lemma** *sorted-fst-list-of-set-Map-graph-fun-upd-None*[simp]:  
 $\text{sorted-key-list-of-set fst (Map.graph (m(k := None)))}$   
 $= AList.delete k (\text{sorted-key-list-of-set fst (Map.graph } m))$   
 ⟨proof⟩

**lemma** *entries-empty*[simp]:  $\text{entries empty} = \{\}$   
 ⟨proof⟩

**lemma** *entries-lookup*:  $\text{entries } m = \text{Map.graph (lookup } m)$   
 ⟨proof⟩

**lemma** *in-entriesI*:  $\text{lookup } m k = \text{Some } v \implies (k, v) \in \text{entries } m$   
 ⟨proof⟩

**lemma** *in-entriesD*:  $(k, v) \in \text{entries } m \implies \text{lookup } m k = \text{Some } v$   
 ⟨proof⟩

**lemma** *fst-image-entries-eq-keys*[simp]:  $\text{fst } \text{' Mapping.entries } m = \text{Mapping.keys } m$   
 ⟨proof⟩

**lemma** *finite-entries-iff-finite-keys*[simp]:  
 $\text{finite (entries } m) = \text{finite (keys } m)$   
 ⟨proof⟩

**lemma** *entries-update*:  
 $\text{entries (update } k v m) = \text{insert } (k, v) (\text{entries (delete } k m))$   
 ⟨proof⟩

**lemma** *entries-delete*:

$entries (delete k m) = \{e \in entries m. fst e \neq k\}$   
 ⟨proof⟩

**lemma** *entries-of-alist[simp]*:

$distinct (List.map fst xs) \implies entries (of-alist xs) = set xs$   
 ⟨proof⟩

**lemma** *entries-keysD*:

$x \in entries m \implies fst x \in keys m$   
 ⟨proof⟩

**lemma** *set-ordered-entries[simp]*:

$finite (keys m) \implies set (ordered-entries m) = entries m$   
 ⟨proof⟩

**lemma** *distinct-ordered-entries[simp]*:  $distinct (List.map fst (ordered-entries m))$

⟨proof⟩

**lemma** *sorted-ordered-entries[simp]*:  $sorted (List.map fst (ordered-entries m))$

⟨proof⟩

**lemma** *ordered-entries-infinite[simp]*:

$\neg finite (Mapping.keys m) \implies ordered-entries m = []$   
 ⟨proof⟩

**lemma** *ordered-entries-empty[simp]*:  $ordered-entries empty = []$

⟨proof⟩

**lemma** *ordered-entries-update[simp]*:

**assumes**  $finite (keys m)$

**shows**  $ordered-entries (update k v m)$

$= insert-insert-key fst (k, v) (AList.delete k (ordered-entries m))$

⟨proof⟩

**lemma** *ordered-entries-delete[simp]*:

$ordered-entries (delete k m) = AList.delete k (ordered-entries m)$

⟨proof⟩

**lemma** *map-fst-ordered-entries[simp]*:

$List.map fst (ordered-entries m) = ordered-keys m$

⟨proof⟩

**lemma** *fold-empty[simp]*:  $fold f empty a = a$

⟨proof⟩

**lemma** *insert-key-is-snoc-if-sorted-and-distinct*:

**assumes**  $sorted (List.map f xs) f y \notin f ' set xs \forall x \in set xs. f x \leq f y$

**shows** *insort-key*  $f\ y\ xs = xs\ @\ [y]$   
 ⟨*proof*⟩

**lemma** *fold-update*:

**assumes** *finite* (*keys m*)  
**assumes**  $k \notin \text{keys } m \wedge k'. k' \in \text{keys } m \implies k' \leq k$   
**shows**  $\text{fold } f\ (\text{update } k\ v\ m)\ a = f\ k\ v\ (\text{fold } f\ m\ a)$   
 ⟨*proof*⟩

**lemma** *linorder-finite-Mapping-induct*[*consumes 1, case-names empty update*]:

**fixes**  $m :: ('a::\text{linorder}, 'b)\ \text{mapping}$   
**assumes** *finite* (*keys m*)  
**assumes**  $P\ \text{empty}$   
**assumes**  $\bigwedge k\ v\ m.$   
    $\llbracket \text{finite } (\text{keys } m); k \notin \text{keys } m; (\bigwedge k'. k' \in \text{keys } m \implies k' \leq k); P\ m \rrbracket$   
    $\implies P\ (\text{update } k\ v\ m)$   
**shows**  $P\ m$   
 ⟨*proof*⟩

## 65.6 Code generator setup

**hide-const** (**open**) *empty is-empty rep lookup lookup-default filter update delete*  
*ordered-keys*  
*keys size replace default map-entry map-default tabulate bulkload map map-values*  
*combine of-alist*  
*entries ordered-entries fold*

**end**

## 66 Monad notation for arbitrary types

**theory** *Monad-Syntax*

**imports** *Adhoc-Overloading*

**begin**

We provide a convenient *do*-notation for monadic expressions well-known from Haskell. *Let* is printed specially in *do*-expressions.

**consts**

*bind* ::  $'a \Rightarrow ('b \Rightarrow 'c) \Rightarrow 'd$  (**infixl**  $\gg=$  54)

**notation** (*ASCII*)

*bind* (**infixl**  $\gg=$  54)

**abbreviation** (*do-notation*)

*bind-do* ::  $'a \Rightarrow ('b \Rightarrow 'c) \Rightarrow 'd$

**where**  $\text{bind-do} \equiv \text{bind}$

**notation** (**output**)

*bind-do* (**infixl**  $\gg=$  54)

**notation** (*ASCII output*)

*bind-do* (**infixl**  $>>=$  54)

**nonterminal** *do-binds* and *do-bind*

**syntax**

*-do-block* :: *do-binds*  $\Rightarrow$  'a (do {/(2 -)/} [12] 62)  
*-do-bind* :: [pttrn, 'a]  $\Rightarrow$  *do-bind* ((2- </ -) 13)  
*-do-let* :: [pttrn, 'a]  $\Rightarrow$  *do-bind* ((2let - =/ -) [1000, 13] 13)  
*-do-then* :: 'a  $\Rightarrow$  *do-bind* (- [14] 13)  
*-do-final* :: 'a  $\Rightarrow$  *do-binds* (-)  
*-do-cons* :: [*do-bind*, *do-binds*]  $\Rightarrow$  *do-binds* (-;/- [13, 12] 12)  
*-thenM* :: ['a, 'b]  $\Rightarrow$  'c (**infixl**  $\gg$  54)

**syntax** (*ASCII*)

*-do-bind* :: [pttrn, 'a]  $\Rightarrow$  *do-bind* ((2- <-/-) 13)  
*-thenM* :: ['a, 'b]  $\Rightarrow$  'c (**infixl**  $>>$  54)

**translations**

*-do-block* (*-do-cons* (*-do-then* t) (*-do-final* e))  
 $\equiv$  *CONST bind-do* t ( $\lambda$ -. e)  
*-do-block* (*-do-cons* (*-do-bind* p t) (*-do-final* e))  
 $\equiv$  *CONST bind-do* t ( $\lambda$ p. e)  
*-do-block* (*-do-cons* (*-do-let* p t) bs)  
 $\equiv$  let p = t in *-do-block* bs  
*-do-block* (*-do-cons* b (*-do-cons* c cs))  
 $\equiv$  *-do-block* (*-do-cons* b (*-do-final* (*-do-block* (*-do-cons* c cs))))  
*-do-cons* (*-do-let* p t) (*-do-final* s)  
 $\equiv$  *-do-final* (let p = t in s)  
*-do-block* (*-do-final* e)  $\rightarrow$  e  
( $m \gg n$ )  $\rightarrow$  ( $m \gg= (\lambda$ -. n))

**adhoc-overloading**

*bind Set.bind Predicate.bind Option.bind List.bind*

end

## 67 Less common functions on lists

**theory** *More-List*

**imports** *Main*

**begin**

**definition** *strip-while* :: ('a  $\Rightarrow$  bool)  $\Rightarrow$  'a list  $\Rightarrow$  'a list

**where**

*strip-while* P = rev  $\circ$  dropWhile P  $\circ$  rev

**lemma** *strip-while-rev* [simp]:

$$\text{strip-while } P \text{ (rev } xs) = \text{rev (dropWhile } P \text{ } xs)$$

*<proof>*

**lemma** *strip-while-Nil* [simp]:

$$\text{strip-while } P \ [] = []$$

*<proof>*

**lemma** *strip-while-append* [simp]:

$$\neg P \ x \implies \text{strip-while } P \ (xs \ @ \ [x]) = xs \ @ \ [x]$$

*<proof>*

**lemma** *strip-while-append-rec* [simp]:

$$P \ x \implies \text{strip-while } P \ (xs \ @ \ [x]) = \text{strip-while } P \ xs$$

*<proof>*

**lemma** *strip-while-Cons* [simp]:

$$\neg P \ x \implies \text{strip-while } P \ (x \ # \ xs) = x \ # \ \text{strip-while } P \ xs$$

*<proof>*

**lemma** *strip-while-eq-Nil* [simp]:

$$\text{strip-while } P \ xs = [] \longleftrightarrow (\forall x \in \text{set } xs. P \ x)$$

*<proof>*

**lemma** *strip-while-eq-Cons-rec*:

$$\text{strip-while } P \ (x \ # \ xs) = x \ # \ \text{strip-while } P \ xs \longleftrightarrow \neg (P \ x \wedge (\forall x \in \text{set } xs. P \ x))$$

*<proof>*

**lemma** *split-strip-while-append*:

**fixes**  $xs :: 'a \ \text{list}$

**obtains**  $ys \ zs :: 'a \ \text{list}$

**where**  $\text{strip-while } P \ xs = ys$  **and**  $\forall x \in \text{set } zs. P \ x$  **and**  $xs = ys \ @ \ zs$

*<proof>*

**lemma** *strip-while-snoc* [simp]:

$$\text{strip-while } P \ (xs \ @ \ [x]) = (\text{if } P \ x \ \text{then } \text{strip-while } P \ xs \ \text{else } xs \ @ \ [x])$$

*<proof>*

**lemma** *strip-while-map*:

$$\text{strip-while } P \ (\text{map } f \ xs) = \text{map } f \ (\text{strip-while } (P \circ f) \ xs)$$

*<proof>*

**lemma** *strip-while-dropWhile-commute*:

$$\text{strip-while } P \ (\text{dropWhile } Q \ xs) = \text{dropWhile } Q \ (\text{strip-while } P \ xs)$$

*<proof>*

**lemma** *dropWhile-strip-while-commute*:

$$\text{dropWhile } P \ (\text{strip-while } Q \ xs) = \text{strip-while } Q \ (\text{dropWhile } P \ xs)$$

*<proof>*

**definition** *no-leading* :: ('a ⇒ bool) ⇒ 'a list ⇒ bool

**where**

*no-leading* P xs ↔ (xs ≠ [] → ¬ P (hd xs))

**lemma** *no-leading-Nil* [iff]:

*no-leading* P []

⟨proof⟩

**lemma** *no-leading-Cons* [iff]:

*no-leading* P (x # xs) ↔ ¬ P x

⟨proof⟩

**lemma** *no-leading-append* [simp]:

*no-leading* P (xs @ ys) ↔ *no-leading* P xs ∧ (xs = [] → *no-leading* P ys)

⟨proof⟩

**lemma** *no-leading-dropWhile* [simp]:

*no-leading* P (dropWhile P xs)

⟨proof⟩

**lemma** *dropWhile-eq-obtain-leading*:

**assumes** *dropWhile* P xs = ys

**obtains** zs **where** xs = zs @ ys **and**  $\bigwedge z. z \in \text{set } zs \implies P z$  **and** *no-leading* P ys

⟨proof⟩

**lemma** *dropWhile-idem-iff*:

*dropWhile* P xs = xs ↔ *no-leading* P xs

⟨proof⟩

**abbreviation** *no-trailing* :: ('a ⇒ bool) ⇒ 'a list ⇒ bool

**where**

*no-trailing* P xs ≡ *no-leading* P (rev xs)

**lemma** *no-trailing-unfold*:

*no-trailing* P xs ↔ (xs ≠ [] → ¬ P (last xs))

⟨proof⟩

**lemma** *no-trailing-Nil* [iff]:

*no-trailing* P []

⟨proof⟩

**lemma** *no-trailing-Cons* [simp]:

*no-trailing* P (x # xs) ↔ *no-trailing* P xs ∧ (xs = [] → ¬ P x)

⟨proof⟩



**lemma** *no-trailing-append*:

*no-trailing*  $P$  ( $xs$  @  $ys$ )  $\longleftrightarrow$  *no-trailing*  $P$   $ys$   $\wedge$  ( $ys = [] \longrightarrow$  *no-trailing*  $P$   $xs$ )  
 ⟨proof⟩

**lemma** *no-trailing-append-Cons* [simp]:

*no-trailing*  $P$  ( $xs$  @  $y$  #  $ys$ )  $\longleftrightarrow$  *no-trailing*  $P$  ( $y$  #  $ys$ )  
 ⟨proof⟩

**lemma** *no-trailing-strip-while* [simp]:

*no-trailing*  $P$  (*strip-while*  $P$   $xs$ )  
 ⟨proof⟩

**lemma** *strip-while-idem* [simp]:

*no-trailing*  $P$   $xs \implies$  *strip-while*  $P$   $xs = xs$   
 ⟨proof⟩

**lemma** *strip-while-eq-obtain-trailing*:

**assumes** *strip-while*  $P$   $xs = ys$   
**obtains**  $zs$  **where**  $xs = ys$  @  $zs$  **and**  $\bigwedge z. z \in \text{set } zs \implies P z$  **and** *no-trailing*  $P$   $ys$   
 ⟨proof⟩

**lemma** *strip-while-idem-iff*:

*strip-while*  $P$   $xs = xs \longleftrightarrow$  *no-trailing*  $P$   $xs$   
 ⟨proof⟩

**lemma** *no-trailing-map*:

*no-trailing*  $P$  (*map*  $f$   $xs$ )  $\longleftrightarrow$  *no-trailing* ( $P \circ f$ )  $xs$   
 ⟨proof⟩

**lemma** *no-trailing-drop* [simp]:

*no-trailing*  $P$  (*drop*  $n$   $xs$ ) **if** *no-trailing*  $P$   $xs$   
 ⟨proof⟩

**lemma** *no-trailing-upt* [simp]:

*no-trailing*  $P$  [ $n..<m$ ]  $\longleftrightarrow$  ( $n < m \longrightarrow \neg P (m - 1)$ )  
 ⟨proof⟩

**definition** *nth-default* ::  $'a \Rightarrow 'a \text{ list} \Rightarrow \text{nat} \Rightarrow 'a$

**where**

*nth-default* *dflt*  $xs$   $n =$  (if  $n < \text{length } xs$  then  $xs ! n$  else *dflt*)

**lemma** *nth-default-nth*:

$n < \text{length } xs \implies$  *nth-default* *dflt*  $xs$   $n = xs ! n$   
 ⟨proof⟩

**lemma** *nth-default-beyond*:

$\text{length } xs \leq n \implies$  *nth-default* *dflt*  $xs$   $n = \text{dflt}$

$\langle \text{proof} \rangle$

**lemma** *nth-default-Nil* [*simp*]:

$\text{nth-default dflt [] } n = \text{dflt}$

$\langle \text{proof} \rangle$

**lemma** *nth-default-Cons*:

$\text{nth-default dflt } (x \# xs) \ n = (\text{case } n \text{ of } 0 \Rightarrow x \mid \text{Suc } n' \Rightarrow \text{nth-default dflt } xs \ n')$

$\langle \text{proof} \rangle$

**lemma** *nth-default-Cons-0* [*simp*]:

$\text{nth-default dflt } (x \# xs) \ 0 = x$

$\langle \text{proof} \rangle$

**lemma** *nth-default-Cons-Suc* [*simp*]:

$\text{nth-default dflt } (x \# xs) \ (\text{Suc } n) = \text{nth-default dflt } xs \ n$

$\langle \text{proof} \rangle$

**lemma** *nth-default-replicate-dflt* [*simp*]:

$\text{nth-default dflt } (\text{replicate } n \ \text{dflt}) \ m = \text{dflt}$

$\langle \text{proof} \rangle$

**lemma** *nth-default-append*:

$\text{nth-default dflt } (xs \ @ \ ys) \ n =$

$(\text{if } n < \text{length } xs \ \text{then } \text{nth } xs \ n \ \text{else } \text{nth-default dflt } ys \ (n - \text{length } xs))$

$\langle \text{proof} \rangle$

**lemma** *nth-default-append-trailing* [*simp*]:

$\text{nth-default dflt } (xs \ @ \ \text{replicate } n \ \text{dflt}) = \text{nth-default dflt } xs$

$\langle \text{proof} \rangle$

**lemma** *nth-default-snoc-default* [*simp*]:

$\text{nth-default dflt } (xs \ @ \ [\text{dflt}]) = \text{nth-default dflt } xs$

$\langle \text{proof} \rangle$

**lemma** *nth-default-eq-dflt-iff*:

$\text{nth-default dflt } xs \ k = \text{dflt} \iff (k < \text{length } xs \implies xs \ ! \ k = \text{dflt})$

$\langle \text{proof} \rangle$

**lemma** *nth-default-take-eq*:

$\text{nth-default dflt } (\text{take } m \ xs) \ n =$

$(\text{if } n < m \ \text{then } \text{nth-default dflt } xs \ n \ \text{else } \text{dflt})$

$\langle \text{proof} \rangle$

**lemma** *in-enumerate-iff-nth-default-eq*:

$x \neq \text{dflt} \implies (n, x) \in \text{set } (\text{enumerate } 0 \ xs) \iff \text{nth-default dflt } xs \ n = x$

$\langle \text{proof} \rangle$

**lemma** *last-conv-nth-default*:

**assumes**  $xs \neq []$   
**shows**  $last\ xs = nth\text{-default}\ dflt\ xs\ (length\ xs - 1)$   
 ⟨proof⟩

**lemma** *nth-default-map-eq*:  
 $f\ dflt' = dflt \implies nth\text{-default}\ dflt\ (map\ f\ xs)\ n = f\ (nth\text{-default}\ dflt'\ xs\ n)$   
 ⟨proof⟩

**lemma** *finite-nth-default-neq-default* [simp]:  
 $finite\ \{k.\ nth\text{-default}\ dflt\ xs\ k \neq dflt\}$   
 ⟨proof⟩

**lemma** *sorted-list-of-set-nth-default*:  
 $sorted\text{-list-of-set}\ \{k.\ nth\text{-default}\ dflt\ xs\ k \neq dflt\} = map\ fst\ (filter\ (\lambda(-, x).\ x \neq dflt)\ (enumerate\ 0\ xs))$   
 ⟨proof⟩

**lemma** *map-nth-default*:  
 $map\ (nth\text{-default}\ x\ xs)\ [0..<length\ xs] = xs$   
 ⟨proof⟩

**lemma** *range-nth-default* [simp]:  
 $range\ (nth\text{-default}\ dflt\ xs) = insert\ dflt\ (set\ xs)$   
 ⟨proof⟩

**lemma** *nth-strip-while*:  
**assumes**  $n < length\ (strip\text{-while}\ P\ xs)$   
**shows**  $strip\text{-while}\ P\ xs\ !\ n = xs\ !\ n$   
 ⟨proof⟩

**lemma** *length-strip-while-le*:  
 $length\ (strip\text{-while}\ P\ xs) \leq length\ xs$   
 ⟨proof⟩

**lemma** *nth-default-strip-while-dflt* [simp]:  
 $nth\text{-default}\ dflt\ (strip\text{-while}\ (=)\ dflt\ xs) = nth\text{-default}\ dflt\ xs$   
 ⟨proof⟩

**lemma** *nth-default-eq-iff*:  
 $nth\text{-default}\ dflt\ xs = nth\text{-default}\ dflt\ ys$   
 $\iff strip\text{-while}\ (HOL.eq\ dflt)\ xs = strip\text{-while}\ (HOL.eq\ dflt)\ ys$  (is ?P  $\iff$  ?Q)  
 ⟨proof⟩

**lemma** *nth-default-map2*:  
 $\langle nth\text{-default}\ d\ (map2\ f\ xs\ ys)\ n = f\ (nth\text{-default}\ d1\ xs\ n)\ (nth\text{-default}\ d2\ ys\ n) \rangle$   
**if**  $\langle length\ xs = length\ ys \rangle$  **and**  $\langle f\ d1\ d2 = d \rangle$  **for**  $bs\ cs$   
 ⟨proof⟩

**end**

**theory** *Cancellation*  
**imports** *Main*  
**begin**

**named-theorems** *cancelation-simproc-pre* *<These theorems are here to normalise the term. Special handling of constructors should be here. Remark that only the simproc @{term NO-MATCH} is also included.>*

**named-theorems** *cancelation-simproc-post* *<These theorems are here to normalise the term, after the cancelation simproc. Normalisation of <iterate-add> back to the normale representation should be put here.>*

**named-theorems** *cancelation-simproc-eq-elim* *<These theorems are here to help deriving contradiction (e.g., <Suc - = 0>.>*

**definition** *iterate-add* :: *<nat  $\Rightarrow$  'a::cancel-comm-monoid-add  $\Rightarrow$  'a>* **where**  
*<iterate-add n a = (((+ ) a)  $\hat{\sim}$  n) 0>*

**lemma** *iterate-add-simps[simp]*:  
*<iterate-add 0 a = 0>*  
*<iterate-add (Suc n) a = a + iterate-add n a>*  
*<proof>*

**lemma** *iterate-add-empty[simp]*: *<iterate-add n 0 = 0>*  
*<proof>*

**lemma** *iterate-add-distrib[simp]*: *<iterate-add (m+n) a = iterate-add m a + iterate-add n a>*  
*<proof>*

**lemma** *iterate-add-Numeral1*: *<iterate-add n Numeral1 = of-nat n>*  
*<proof>*

**lemma** *iterate-add-1*: *<iterate-add n 1 = of-nat n>*  
*<proof>*

**lemma** *iterate-add-eq-add-iff1*:  
*<i  $\leq$  j  $\implies$  (iterate-add j u + m = iterate-add i u + n) = (iterate-add (j - i) u + m = n)>*  
*<proof>*

**lemma** *iterate-add-eq-add-iff2*:

$\langle i \leq j \implies (\text{iterate-add } i \ u + m = \text{iterate-add } j \ u + n) = (m = \text{iterate-add } (j - i) \ u + n) \rangle$   
 ⟨proof⟩

**lemma** *iterate-add-less-iff1*:

$j \leq (i::\text{nat}) \implies (\text{iterate-add } i \ (u::'a :: \{\text{cancel-comm-monoid-add, ordered-ab-semigroup-add-imp-le}\}) + m < \text{iterate-add } j \ u + n) = (\text{iterate-add } (i-j) \ u + m < n)$   
 ⟨proof⟩

**lemma** *iterate-add-less-iff2*:

$i \leq (j::\text{nat}) \implies (\text{iterate-add } i \ (u::'a :: \{\text{cancel-comm-monoid-add, ordered-ab-semigroup-add-imp-le}\}) + m < \text{iterate-add } j \ u + n) = (m < \text{iterate-add } (j - i) \ u + n)$   
 ⟨proof⟩

**lemma** *iterate-add-less-eq-iff1*:

$j \leq (i::\text{nat}) \implies (\text{iterate-add } i \ (u::'a :: \{\text{cancel-comm-monoid-add, ordered-ab-semigroup-add-imp-le}\}) + m \leq \text{iterate-add } j \ u + n) = (\text{iterate-add } (i-j) \ u + m \leq n)$   
 ⟨proof⟩

**lemma** *iterate-add-less-eq-iff2*:

$i \leq (j::\text{nat}) \implies (\text{iterate-add } i \ (u::'a :: \{\text{cancel-comm-monoid-add, ordered-ab-semigroup-add-imp-le}\}) + m \leq \text{iterate-add } j \ u + n) = (m \leq \text{iterate-add } (j - i) \ u + n)$   
 ⟨proof⟩

**lemma** *iterate-add-add-eq1*:

$j \leq (i::\text{nat}) \implies ((\text{iterate-add } i \ u + m) - (\text{iterate-add } j \ u + n)) = ((\text{iterate-add } (i-j) \ u + m) - n)$   
 ⟨proof⟩

**lemma** *iterate-add-diff-add-eq2*:

$i \leq (j::\text{nat}) \implies ((\text{iterate-add } i \ u + m) - (\text{iterate-add } j \ u + n)) = (m - (\text{iterate-add } (j-i) \ u + n))$   
 ⟨proof⟩

Simproc Set-Up

⟨ML⟩

end

## 68 (Finite) Multisets

**theory** *Multiset*

**imports** *Cancellation*

**begin**

### 68.1 The type of multisets

**typedef** *'a multiset* =  $\langle \{f :: 'a \Rightarrow \text{nat. finite } \{x. f \ x > 0\}\} \rangle$

**morphisms** *count Abs-multiset*  
 ⟨*proof*⟩

**setup-lifting** *type-definition-multiset*

**lemma** *count-Abs-multiset*:  
 ⟨*count (Abs-multiset f) = f*⟩ **if** ⟨*finite {x. f x > 0}*⟩  
 ⟨*proof*⟩

**lemma** *multiset-eq-iff*:  $M = N \longleftrightarrow (\forall a. \text{count } M \ a = \text{count } N \ a)$   
 ⟨*proof*⟩

**lemma** *multiset-eqI*:  $(\bigwedge x. \text{count } A \ x = \text{count } B \ x) \implies A = B$   
 ⟨*proof*⟩

Preservation of the representing set *multiset*.

**lemma** *diff-preserves-multiset*:  
 ⟨*finite {x. 0 < M x - N x}*⟩ **if** ⟨*finite {x. 0 < M x}*⟩ **for**  $M \ N :: 'a \Rightarrow \text{nat}$   
 ⟨*proof*⟩

**lemma** *filter-preserves-multiset*:  
 ⟨*finite {x. 0 < (if P x then M x else 0)}*⟩ **if** ⟨*finite {x. 0 < M x}*⟩ **for**  $M \ N :: 'a \Rightarrow \text{nat}$   
 ⟨*proof*⟩

**lemmas** *in-multiset = diff-preserves-multiset filter-preserves-multiset*

## 68.2 Representing multisets

Multiset enumeration

**instantiation** *multiset* :: (*type*) *cancel-comm-monoid-add*  
**begin**

**lift-definition** *zero-multiset* ::  $'a \text{ multiset}$   
**is**  $\langle \lambda a. 0 \rangle$   
 ⟨*proof*⟩

**abbreviation** *empty-mset* ::  $'a \text{ multiset}$  ( $\langle \{\#\} \rangle$ )  
**where**  $\langle \text{empty-mset} \equiv 0 \rangle$

**lift-definition** *plus-multiset* ::  $'a \text{ multiset} \Rightarrow 'a \text{ multiset} \Rightarrow 'a \text{ multiset}$   
**is**  $\langle \lambda M \ N \ a. M \ a + N \ a \rangle$   
 ⟨*proof*⟩

**lift-definition** *minus-multiset* ::  $'a \text{ multiset} \Rightarrow 'a \text{ multiset} \Rightarrow 'a \text{ multiset}$   
**is**  $\langle \lambda M \ N \ a. M \ a - N \ a \rangle$   
 ⟨*proof*⟩

**instance**

⟨proof⟩

**end**

**context**

**begin**

**qualified definition** *is-empty* :: 'a multiset ⇒ bool **where**  
 [code-abbrev]: *is-empty* A ⇔ A = {#}

**end**

**lemma** *add-mset-in-multiset*:

⟨finite {x. 0 < (if x = a then Suc (M x) else M x)}⟩

**if** ⟨finite {x. 0 < M x}⟩

⟨proof⟩

**lift-definition** *add-mset* :: 'a ⇒ 'a multiset ⇒ 'a multiset **is**

λa M b. if b = a then Suc (M b) else M b

⟨proof⟩

**syntax**

*-multiset* :: args ⇒ 'a multiset ( {#(-)#} )

**translations**

{#x, xs#} == CONST *add-mset* x {#xs#}

{#x#} == CONST *add-mset* x {#}

**lemma** *count-empty* [simp]: count {#} a = 0

⟨proof⟩

**lemma** *count-add-mset* [simp]:

count (add-mset b A) a = (if b = a then Suc (count A a) else count A a)

⟨proof⟩

**lemma** *count-single*: count {#b#} a = (if b = a then 1 else 0)

⟨proof⟩

**lemma**

*add-mset-not-empty* [simp]: ⟨add-mset a A ≠ {#}⟩ **and**

*empty-not-add-mset* [simp]: {#} ≠ add-mset a A

⟨proof⟩

**lemma** *add-mset-add-mset-same-iff* [simp]:

add-mset a A = add-mset a B ⇔ A = B

⟨proof⟩

**lemma** *add-mset-commute*:

add-mset x (add-mset y M) = add-mset y (add-mset x M)

⟨proof⟩

## 68.3 Basic operations

### 68.3.1 Conversion to set and membership

**definition** *set-mset* ::  $\langle 'a \text{ multiset} \Rightarrow 'a \text{ set} \rangle$   
 where  $\langle \text{set-mset } M = \{x. \text{count } M \ x > 0\} \rangle$

**abbreviation** *member-mset* ::  $\langle 'a \Rightarrow 'a \text{ multiset} \Rightarrow \text{bool} \rangle$   
 where  $\langle \text{member-mset } a \ M \equiv a \in \text{set-mset } M \rangle$

**notation**

*member-mset* ( $\langle '( \in \# ) \rangle$ ) **and**  
*member-mset* ( $\langle (- / \in \# -) \rangle$ ) [50, 51] 50)

**notation** (ASCII)

*member-mset* ( $\langle '( : \# ) \rangle$ ) **and**  
*member-mset* ( $\langle (- / : \# -) \rangle$ ) [50, 51] 50)

**abbreviation** *not-member-mset* ::  $\langle 'a \Rightarrow 'a \text{ multiset} \Rightarrow \text{bool} \rangle$   
 where  $\langle \text{not-member-mset } a \ M \equiv a \notin \text{set-mset } M \rangle$

**notation**

*not-member-mset* ( $\langle '( \notin \# ) \rangle$ ) **and**  
*not-member-mset* ( $\langle (- / \notin \# -) \rangle$ ) [50, 51] 50)

**notation** (ASCII)

*not-member-mset* ( $\langle '( \sim \# ) \rangle$ ) **and**  
*not-member-mset* ( $\langle (- / \sim \# -) \rangle$ ) [50, 51] 50)

**context**

**begin**

**qualified abbreviation** *Ball* ::  $'a \text{ multiset} \Rightarrow ('a \Rightarrow \text{bool}) \Rightarrow \text{bool}$   
 where  $\text{Ball } M \equiv \text{Set.Ball } (\text{set-mset } M)$

**qualified abbreviation** *Bex* ::  $'a \text{ multiset} \Rightarrow ('a \Rightarrow \text{bool}) \Rightarrow \text{bool}$   
 where  $\text{Bex } M \equiv \text{Set.Bex } (\text{set-mset } M)$

**end**

**syntax**

*-MBall* ::  $\text{pttrn} \Rightarrow 'a \text{ set} \Rightarrow \text{bool} \Rightarrow \text{bool}$  ( $((\exists \forall - \in \# - / -) [0, 0, 10] 10)$ )  
*-MBex* ::  $\text{pttrn} \Rightarrow 'a \text{ set} \Rightarrow \text{bool} \Rightarrow \text{bool}$  ( $((\exists \exists - \in \# - / -) [0, 0, 10] 10)$ )

**syntax** (ASCII)

*-MBall* ::  $\text{pttrn} \Rightarrow 'a \text{ set} \Rightarrow \text{bool} \Rightarrow \text{bool}$  ( $((\exists \forall - : \# - / -) [0, 0, 10] 10)$ )  
*-MBex* ::  $\text{pttrn} \Rightarrow 'a \text{ set} \Rightarrow \text{bool} \Rightarrow \text{bool}$  ( $((\exists \exists - : \# - / -) [0, 0, 10] 10)$ )

**translations**

$\forall x \in \# A. P \equiv \text{CONST Multiset.Ball } A (\lambda x. P)$



$\exists x \in \#A. P \iff \text{CONST Multiset.Bex } A (\lambda x. P)$

$\langle ML \rangle$

**lemma** *count-eq-zero-iff*:

$\text{count } M x = 0 \iff x \notin \# M$

$\langle \text{proof} \rangle$

**lemma** *not-in-iff*:

$x \notin \# M \iff \text{count } M x = 0$

$\langle \text{proof} \rangle$

**lemma** *count-greater-zero-iff* [simp]:

$\text{count } M x > 0 \iff x \in \# M$

$\langle \text{proof} \rangle$

**lemma** *count-inI*:

**assumes**  $\text{count } M x = 0 \implies \text{False}$

**shows**  $x \in \# M$

$\langle \text{proof} \rangle$

**lemma** *in-countE*:

**assumes**  $x \in \# M$

**obtains**  $n$  **where**  $\text{count } M x = \text{Suc } n$

$\langle \text{proof} \rangle$

**lemma** *count-greater-eq-Suc-zero-iff* [simp]:

$\text{count } M x \geq \text{Suc } 0 \iff x \in \# M$

$\langle \text{proof} \rangle$

**lemma** *count-greater-eq-one-iff* [simp]:

$\text{count } M x \geq 1 \iff x \in \# M$

$\langle \text{proof} \rangle$

**lemma** *set-mset-empty* [simp]:

$\text{set-mset } \{\#\} = \{\}$

$\langle \text{proof} \rangle$

**lemma** *set-mset-single*:

$\text{set-mset } \{\#b\# \} = \{b\}$

$\langle \text{proof} \rangle$

**lemma** *set-mset-eq-empty-iff* [simp]:

$\text{set-mset } M = \{\} \iff M = \{\#\}$

$\langle \text{proof} \rangle$

**lemma** *finite-set-mset* [iff]:

$\text{finite } (\text{set-mset } M)$

$\langle \text{proof} \rangle$

**lemma** *set-mset-add-mset-insert* [*simp*]:  $\langle \text{set-mset } (\text{add-mset } a \ A) = \text{insert } a \ (\text{set-mset } A) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *multiset-nonemptyE* [*elim*]:  
**assumes**  $A \neq \{\#\}$   
**obtains**  $x$  **where**  $x \in\# \ A$   
 $\langle \text{proof} \rangle$

**lemma** *count-gt-imp-in-mset*:  $\text{count } M \ x > n \implies x \in\# \ M$   
 $\langle \text{proof} \rangle$

### 68.3.2 Union

**lemma** *count-union* [*simp*]:  
 $\text{count } (M + N) \ a = \text{count } M \ a + \text{count } N \ a$   
 $\langle \text{proof} \rangle$

**lemma** *set-mset-union* [*simp*]:  
 $\text{set-mset } (M + N) = \text{set-mset } M \cup \text{set-mset } N$   
 $\langle \text{proof} \rangle$

**lemma** *union-mset-add-mset-left* [*simp*]:  
 $\text{add-mset } a \ A + B = \text{add-mset } a \ (A + B)$   
 $\langle \text{proof} \rangle$

**lemma** *union-mset-add-mset-right* [*simp*]:  
 $A + \text{add-mset } a \ B = \text{add-mset } a \ (A + B)$   
 $\langle \text{proof} \rangle$

**lemma** *add-mset-add-single*:  $\langle \text{add-mset } a \ A = A + \{\#a\#\} \rangle$   
 $\langle \text{proof} \rangle$

### 68.3.3 Difference

**instance** *multiset* :: (type) *comm-monoid-diff*  
 $\langle \text{proof} \rangle$

**lemma** *count-diff* [*simp*]:  
 $\text{count } (M - N) \ a = \text{count } M \ a - \text{count } N \ a$   
 $\langle \text{proof} \rangle$

**lemma** *add-mset-diff-bothsides*:  
 $\langle \text{add-mset } a \ M - \text{add-mset } a \ A = M - A \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *in-diff-count*:  
 $a \in\# \ M - N \longleftrightarrow \text{count } N \ a < \text{count } M \ a$   
 $\langle \text{proof} \rangle$

**lemma** *count-in-diffI*:

**assumes**  $\bigwedge n. \text{count } N \ x = n + \text{count } M \ x \implies \text{False}$

**shows**  $x \in\# M - N$

*<proof>*

**lemma** *in-diff-countE*:

**assumes**  $x \in\# M - N$

**obtains**  $n$  **where**  $\text{count } M \ x = \text{Suc } n + \text{count } N \ x$

*<proof>*

**lemma** *in-diffD*:

**assumes**  $a \in\# M - N$

**shows**  $a \in\# M$

*<proof>*

**lemma** *set-mset-diff*:

*set-mset*  $(M - N) = \{a. \text{count } N \ a < \text{count } M \ a\}$

*<proof>*

**lemma** *diff-empty [simp]*:  $M - \{\#\} = M \wedge \{\#\} - M = \{\#\}$

*<proof>*

**lemma** *diff-cancel*:  $A - A = \{\#\}$

*<proof>*

**lemma** *diff-union-cancelR*:  $M + N - N = (M :: 'a \text{ multiset})$

*<proof>*

**lemma** *diff-union-cancelL*:  $N + M - N = (M :: 'a \text{ multiset})$

*<proof>*

**lemma** *diff-right-commute*:

**fixes**  $M \ N \ Q :: 'a \text{ multiset}$

**shows**  $M - N - Q = M - Q - N$

*<proof>*

**lemma** *diff-add*:

**fixes**  $M \ N \ Q :: 'a \text{ multiset}$

**shows**  $M - (N + Q) = M - N - Q$

*<proof>*

**lemma** *insert-DiffM [simp]*:  $x \in\# M \implies \text{add-mset } x \ (M - \{\#x\}) = M$

*<proof>*

**lemma** *insert-DiffM2*:  $x \in\# M \implies (M - \{\#x\}) + \{\#x\} = M$

*<proof>*

**lemma** *diff-union-swap*:  $a \neq b \implies \text{add-mset } b \ (M - \{\#a\}) = \text{add-mset } b \ M -$

$\{\#a\#\}$   
 $\langle \text{proof} \rangle$

**lemma** *diff-add-mset-swap* [*simp*]:  $b \notin\# A \implies \text{add-mset } b \ M - A = \text{add-mset } b \ (M - A)$   
 $\langle \text{proof} \rangle$

**lemma** *diff-union-swap2* [*simp*]:  $y \in\# M \implies \text{add-mset } x \ M - \{\#y\#\} = \text{add-mset } x \ (M - \{\#y\#\})$   
 $\langle \text{proof} \rangle$

**lemma** *diff-diff-add-mset* [*simp*]:  $(M::'a \text{ multiset}) - N - P = M - (N + P)$   
 $\langle \text{proof} \rangle$

**lemma** *diff-union-single-conv*:  
 $a \in\# J \implies I + J - \{\#a\#\} = I + (J - \{\#a\#\})$   
 $\langle \text{proof} \rangle$

**lemma** *mset-add* [*elim?*]:  
**assumes**  $a \in\# A$   
**obtains**  $B$  **where**  $A = \text{add-mset } a \ B$   
 $\langle \text{proof} \rangle$

**lemma** *union-iff*:  
 $a \in\# A + B \iff a \in\# A \vee a \in\# B$   
 $\langle \text{proof} \rangle$

**lemma** *count-minus-inter-lt-count-minus-inter-iff*:  
 $\text{count } (M2 - M1) \ y < \text{count } (M1 - M2) \ y \iff y \in\# M1 - M2$   
 $\langle \text{proof} \rangle$

**lemma** *minus-inter-eq-minus-inter-iff*:  
 $(M1 - M2) = (M2 - M1) \iff \text{set-mset } (M1 - M2) = \text{set-mset } (M2 - M1)$   
 $\langle \text{proof} \rangle$

### 68.3.4 Min and Max

**abbreviation** *Min-mset* ::  $'a::\text{linorder multiset} \Rightarrow 'a$  **where**  
 $\text{Min-mset } m \equiv \text{Min } (\text{set-mset } m)$

**abbreviation** *Max-mset* ::  $'a::\text{linorder multiset} \Rightarrow 'a$  **where**  
 $\text{Max-mset } m \equiv \text{Max } (\text{set-mset } m)$

**lemma**  
 $\text{Min-in-mset}: M \neq \{\#\} \implies \text{Min-mset } M \in\# M$  **and**  
 $\text{Max-in-mset}: M \neq \{\#\} \implies \text{Max-mset } M \in\# M$   
 $\langle \text{proof} \rangle$

**68.3.5 Equality of multisets**

**lemma** *single-eq-single* [*simp*]:  $\{\#a\# \} = \{\#b\# \} \longleftrightarrow a = b$   
 ⟨*proof*⟩

**lemma** *union-eq-empty* [*iff*]:  $M + N = \{\#\} \longleftrightarrow M = \{\#\} \wedge N = \{\#\}$   
 ⟨*proof*⟩

**lemma** *empty-eq-union* [*iff*]:  $\{\#\} = M + N \longleftrightarrow M = \{\#\} \wedge N = \{\#\}$   
 ⟨*proof*⟩

**lemma** *multi-self-add-other-not-self* [*simp*]:  $M = \text{add-mset } x \ M \longleftrightarrow \text{False}$   
 ⟨*proof*⟩

**lemma** *add-mset-remove-trivial* [*simp*]:  $\langle \text{add-mset } x \ M - \{\#x\# \} = M \rangle$   
 ⟨*proof*⟩

**lemma** *diff-single-trivial*:  $\neg x \in\# \ M \Longrightarrow M - \{\#x\# \} = M$   
 ⟨*proof*⟩

**lemma** *diff-single-eq-union*:  $x \in\# \ M \Longrightarrow M - \{\#x\# \} = N \longleftrightarrow M = \text{add-mset } x \ N$   
 ⟨*proof*⟩

**lemma** *union-single-eq-diff*:  $\text{add-mset } x \ M = N \Longrightarrow M = N - \{\#x\# \}$   
 ⟨*proof*⟩

**lemma** *union-single-eq-member*:  $\text{add-mset } x \ M = N \Longrightarrow x \in\# \ N$   
 ⟨*proof*⟩

**lemma** *add-mset-remove-trivial-If*:  
 $\text{add-mset } a \ (N - \{\#a\# \}) = (\text{if } a \in\# \ N \text{ then } N \text{ else } \text{add-mset } a \ N)$   
 ⟨*proof*⟩

**lemma** *add-mset-remove-trivial-eq*:  $\langle N = \text{add-mset } a \ (N - \{\#a\# \}) \longleftrightarrow a \in\# \ N \rangle$   
 ⟨*proof*⟩

**lemma** *union-is-single*:  
 $M + N = \{\#a\# \} \longleftrightarrow M = \{\#a\# \} \wedge N = \{\#\} \vee M = \{\#\} \wedge N = \{\#a\# \}$   
 (**is** ?lhs = ?rhs)  
 ⟨*proof*⟩

**lemma** *single-is-union*:  $\{\#a\# \} = M + N \longleftrightarrow \{\#a\# \} = M \wedge N = \{\#\} \vee M = \{\#\} \wedge \{\#a\# \} = N$   
 ⟨*proof*⟩

**lemma** *add-eq-conv-diff*:  
 $\text{add-mset } a \ M = \text{add-mset } b \ N \longleftrightarrow M = N \wedge a = b \vee M = \text{add-mset } b \ (N - \{\#a\# \}) \wedge N = \text{add-mset } a \ (M - \{\#b\# \})$

(is ?lhs  $\longleftrightarrow$  ?rhs)

$\langle$ proof $\rangle$

**lemma** *add-mset-eq-single* [iff]:  $add\text{-}mset\ b\ M = \{\#a\# \} \longleftrightarrow b = a \wedge M = \{\#\}$   
 $\langle$ proof $\rangle$

**lemma** *single-eq-add-mset* [iff]:  $\{\#a\# \} = add\text{-}mset\ b\ M \longleftrightarrow b = a \wedge M = \{\#\}$   
 $\langle$ proof $\rangle$

**lemma** *insert-noteq-member*:

**assumes** *BC*:  $add\text{-}mset\ b\ B = add\text{-}mset\ c\ C$

**and** *bnotc*:  $b \neq c$

**shows**  $c \in\# B$

$\langle$ proof $\rangle$

**lemma** *add-eq-conv-ex*:

$(add\text{-}mset\ a\ M = add\text{-}mset\ b\ N) =$

$(M = N \wedge a = b \vee (\exists K. M = add\text{-}mset\ b\ K \wedge N = add\text{-}mset\ a\ K))$

$\langle$ proof $\rangle$

**lemma** *multi-member-split*:  $x \in\# M \implies \exists A. M = add\text{-}mset\ x\ A$

$\langle$ proof $\rangle$

**lemma** *multiset-add-sub-el-shuffle*:

**assumes**  $c \in\# B$

**and**  $b \neq c$

**shows**  $add\text{-}mset\ b\ (B - \{\#c\# \}) = add\text{-}mset\ b\ B - \{\#c\# \}$

$\langle$ proof $\rangle$

**lemma** *add-mset-eq-singleton-iff*[iff]:

$add\text{-}mset\ x\ M = \{\#y\# \} \longleftrightarrow M = \{\#\} \wedge x = y$

$\langle$ proof $\rangle$

### 68.3.6 Pointwise ordering induced by count

**definition** *subseteq-mset* :: 'a multiset  $\Rightarrow$  'a multiset  $\Rightarrow$  bool (**infix**  $\subseteq\#$  50)

**where**  $A \subseteq\# B \longleftrightarrow (\forall a. count\ A\ a \leq count\ B\ a)$

**definition** *subset-mset* :: 'a multiset  $\Rightarrow$  'a multiset  $\Rightarrow$  bool (**infix**  $\subset\#$  50)

**where**  $A \subset\# B \longleftrightarrow A \subseteq\# B \wedge A \neq B$

**abbreviation** (*input*) *supseteq-mset* :: 'a multiset  $\Rightarrow$  'a multiset  $\Rightarrow$  bool (**infix**  $\supseteq\#$  50)

**where**  $supseteq\text{-}mset\ A\ B \equiv B \subseteq\# A$

**abbreviation** (*input*) *supset-mset* :: 'a multiset  $\Rightarrow$  'a multiset  $\Rightarrow$  bool (**infix**  $\supset\#$  50)

**where**  $supset\text{-}mset\ A\ B \equiv B \subset\# A$

**notation** (*input*)

*subseteq-mset* (**infix**  $\leq\#$  50) **and**  
*supseteq-mset* (**infix**  $\geq\#$  50)

**notation** (*ASCII*)

*subseteq-mset* (**infix**  $\leq\#$  50) **and**  
*subset-mset* (**infix**  $<\#$  50) **and**  
*supseteq-mset* (**infix**  $\geq\#$  50) **and**  
*supset-mset* (**infix**  $>\#$  50)

**global-interpretation** *subset-mset*: ordering  $\langle(\subseteq\#)\rangle$   $\langle(\subset\#)\rangle$   
 $\langle\text{proof}\rangle$

**interpretation** *subset-mset*: ordered-ab-semigroup-add-imp-le  $\langle(+)\rangle$   $\langle(-)\rangle$   $\langle(\subseteq\#)\rangle$   
 $\langle(\subset\#)\rangle$   
 $\langle\text{proof}\rangle$

**interpretation** *subset-mset*: ordered-ab-semigroup-monoid-add-imp-le  $(+)$   $0$   $(-)$   
 $(\subseteq\#)$   $(\subset\#)$   
 $\langle\text{proof}\rangle$

**lemma** *mset-subset-eqI*:

$(\bigwedge a. \text{count } A \ a \leq \text{count } B \ a) \implies A \subseteq\# B$   
 $\langle\text{proof}\rangle$

**lemma** *mset-subset-eq-count*:

$A \subseteq\# B \implies \text{count } A \ a \leq \text{count } B \ a$   
 $\langle\text{proof}\rangle$

**lemma** *mset-subset-eq-exists-conv*:  $(A::'a \text{ multiset}) \subseteq\# B \longleftrightarrow (\exists C. B = A + C)$   
 $\langle\text{proof}\rangle$

**interpretation** *subset-mset*: ordered-cancel-comm-monoid-diff  $(+)$   $0$   $(\subseteq\#)$   $(\subset\#)$   
 $(-)$   
 $\langle\text{proof}\rangle$

**declare** *subset-mset.add-diff-assoc[simp]* *subset-mset.add-diff-assoc2[simp]*

**lemma** *mset-subset-eq-mono-add-right-cancel*:  $(A::'a \text{ multiset}) + C \subseteq\# B + C$   
 $\longleftrightarrow A \subseteq\# B$   
 $\langle\text{proof}\rangle$

**lemma** *mset-subset-eq-mono-add-left-cancel*:  $C + (A::'a \text{ multiset}) \subseteq\# C + B \longleftrightarrow$   
 $A \subseteq\# B$   
 $\langle\text{proof}\rangle$

**lemma** *mset-subset-eq-mono-add*:  $(A::'a \text{ multiset}) \subseteq\# B \implies C \subseteq\# D \implies A +$   
 $C \subseteq\# B + D$

$\langle proof \rangle$

**lemma** *mset-subset-eq-add-left*:  $(A::'a\ multiset) \subseteq\# A + B$   
 $\langle proof \rangle$

**lemma** *mset-subset-eq-add-right*:  $B \subseteq\# (A::'a\ multiset) + B$   
 $\langle proof \rangle$

**lemma** *single-subset-iff [simp]*:  
 $\{\#a\# \} \subseteq\# M \longleftrightarrow a \in\# M$   
 $\langle proof \rangle$

**lemma** *mset-subset-eq-single*:  $a \in\# B \implies \{\#a\# \} \subseteq\# B$   
 $\langle proof \rangle$

**lemma** *mset-subset-eq-add-mset-cancel*:  $\langle add-mset\ a\ A \subseteq\# add-mset\ a\ B \longleftrightarrow A \subseteq\# B \rangle$   
 $\langle proof \rangle$

**lemma** *multiset-diff-union-assoc*:  
**fixes**  $A\ B\ C\ D :: 'a\ multiset$   
**shows**  $C \subseteq\# B \implies A + B - C = A + (B - C)$   
 $\langle proof \rangle$

**lemma** *mset-subset-eq-multiset-union-diff-commute*:  
**fixes**  $A\ B\ C\ D :: 'a\ multiset$   
**shows**  $B \subseteq\# A \implies A - B + C = A + C - B$   
 $\langle proof \rangle$

**lemma** *diff-subset-eq-self [simp]*:  
 $(M::'a\ multiset) - N \subseteq\# M$   
 $\langle proof \rangle$

**lemma** *mset-subset-eqD*:  
**assumes**  $A \subseteq\# B$  **and**  $x \in\# A$   
**shows**  $x \in\# B$   
 $\langle proof \rangle$

**lemma** *mset-subsetD*:  
 $A \subset\# B \implies x \in\# A \implies x \in\# B$   
 $\langle proof \rangle$

**lemma** *set-mset-mono*:  
 $A \subseteq\# B \implies set-mset\ A \subseteq set-mset\ B$   
 $\langle proof \rangle$

**lemma** *mset-subset-eq-insertD*:  
**assumes**  $add-mset\ x\ A \subseteq\# B$   
**shows**  $x \in\# B \wedge A \subset\# B$



*<proof>*

**lemma** *mset-subset-insertD*:

$$\text{add-mset } x \ A \ C\# \ B \Longrightarrow x \in\# \ B \wedge A \ C\# \ B$$

*<proof>*

**lemma** *mset-subset-of-empty[simp]*:  $A \ C\# \ \{\#\} \longleftrightarrow \text{False}$

*<proof>*

**lemma** *empty-subset-add-mset[simp]*:  $\{\#\} \ C\# \ \text{add-mset } x \ M$

*<proof>*

**lemma** *empty-le*:  $\{\#\} \subseteq\# \ A$

*<proof>*

**lemma** *insert-subset-eq-iff*:

$$\text{add-mset } a \ A \subseteq\# \ B \longleftrightarrow a \in\# \ B \wedge A \subseteq\# \ B - \{\#a\# \}$$

*<proof>*

**lemma** *insert-union-subset-iff*:

$$\text{add-mset } a \ A \ C\# \ B \longleftrightarrow a \in\# \ B \wedge A \ C\# \ B - \{\#a\# \}$$

*<proof>*

**lemma** *subset-eq-diff-conv*:

$$A - C \subseteq\# \ B \longleftrightarrow A \subseteq\# \ B + C$$

*<proof>*

**lemma** *multi-psub-of-add-self [simp]*:  $A \ C\# \ \text{add-mset } x \ A$

*<proof>*

**lemma** *multi-psub-self*:  $A \ C\# \ A = \text{False}$

*<proof>*

**lemma** *mset-subset-add-mset [simp]*:  $\text{add-mset } x \ N \ C\# \ \text{add-mset } x \ M \longleftrightarrow N \ C\# \ M$

*<proof>*

**lemma** *mset-subset-diff-self*:  $c \in\# \ B \Longrightarrow B - \{\#c\# \} \ C\# \ B$

*<proof>*

**lemma** *Diff-eq-empty-iff-mset*:  $A - B = \{\#\} \longleftrightarrow A \subseteq\# \ B$

*<proof>*

**lemma** *add-mset-subseteq-single-iff[iff]*:  $\text{add-mset } a \ M \subseteq\# \ \{\#b\# \} \longleftrightarrow M = \{\#\}$

$\wedge a = b$

*<proof>*

**lemma** *nonempty-subseteq-mset-eq-single*:  $M \neq \{\#\} \Longrightarrow M \subseteq\# \ \{\#x\# \} \Longrightarrow M = \{\#x\# \}$

⟨proof⟩

**lemma** *nonempty-subseteq-mset-iff-single*:  $(M \neq \{\#\} \wedge M \subseteq\# \{\#x\# \} \wedge P) \longleftrightarrow$   
 $M = \{\#x\# \} \wedge P$   
 ⟨proof⟩

### 68.3.7 Intersection and bounded union

**definition** *inter-mset* ::  $\langle 'a \text{ multiset} \Rightarrow 'a \text{ multiset} \Rightarrow 'a \text{ multiset} \rangle$  (**infixl**  $\langle \cap\# \rangle$   
 70)  
 where  $\langle A \cap\# B = A - (A - B) \rangle$

**lemma** *count-inter-mset* [*simp*]:  
 $\langle \text{count } (A \cap\# B) x = \min (\text{count } A x) (\text{count } B x) \rangle$   
 ⟨proof⟩

**interpretation** *subset-mset*: *semilattice-inf*  $\langle (\cap\#) \rangle \langle (\subseteq\#) \rangle \langle (\subset\#) \rangle$   
 ⟨proof⟩

**definition** *union-mset* ::  $\langle 'a \text{ multiset} \Rightarrow 'a \text{ multiset} \Rightarrow 'a \text{ multiset} \rangle$  (**infixl**  $\langle \cup\# \rangle$   
 70)  
 where  $\langle A \cup\# B = A + (B - A) \rangle$

**lemma** *count-union-mset* [*simp*]:  
 $\langle \text{count } (A \cup\# B) x = \max (\text{count } A x) (\text{count } B x) \rangle$   
 ⟨proof⟩

**global-interpretation** *subset-mset*: *semilattice-neutr-order*  $\langle (\cup\#) \rangle \langle \{\#\} \rangle \langle (\supseteq\#) \rangle$   
 $\langle (\supset\#) \rangle$   
 ⟨proof⟩

**interpretation** *subset-mset*: *semilattice-sup*  $\langle (\cup\#) \rangle \langle (\subseteq\#) \rangle \langle (\subset\#) \rangle$   
 ⟨proof⟩

**interpretation** *subset-mset*: *bounded-lattice-bot*  $(\cap\#) (\subseteq\#) (\subset\#)$   
 $(\cup\#) \{\#\}$   
 ⟨proof⟩

### 68.3.8 Additional intersection facts

**lemma** *set-mset-inter* [*simp*]:  
 $\text{set-mset } (A \cap\# B) = \text{set-mset } A \cap \text{set-mset } B$   
 ⟨proof⟩

**lemma** *diff-intersect-left-idem* [*simp*]:  
 $M - M \cap\# N = M - N$   
 ⟨proof⟩

**lemma** *diff-intersect-right-idem* [simp]:

$$M - N \cap\# M = M - N$$

*<proof>*

**lemma** *multiset-inter-single*[simp]:  $a \neq b \implies \{\#a\# \} \cap\# \{\#b\# \} = \{\#\}$

*<proof>*

**lemma** *multiset-union-diff-commute*:

$$\text{assumes } B \cap\# C = \{\#\}$$

$$\text{shows } A + B - C = A - C + B$$

*<proof>*

**lemma** *disjunct-not-in*:

$$A \cap\# B = \{\#\} \longleftrightarrow (\forall a. a \notin\# A \vee a \notin\# B)$$

*<proof>*

**lemma** *inter-mset-empty-distrib-right*:  $A \cap\# (B + C) = \{\#\} \longleftrightarrow A \cap\# B = \{\#\} \wedge A \cap\# C = \{\#\}$

*<proof>*

**lemma** *inter-mset-empty-distrib-left*:  $(A + B) \cap\# C = \{\#\} \longleftrightarrow A \cap\# C = \{\#\} \wedge B \cap\# C = \{\#\}$

*<proof>*

**lemma** *add-mset-inter-add-mset* [simp]:

$$\text{add-mset } a \ A \cap\# \text{ add-mset } a \ B = \text{add-mset } a \ (A \cap\# B)$$

*<proof>*

**lemma** *add-mset-disjoint* [simp]:

$$\text{add-mset } a \ A \cap\# B = \{\#\} \longleftrightarrow a \notin\# B \wedge A \cap\# B = \{\#\}$$

$$\{\#\} = \text{add-mset } a \ A \cap\# B \longleftrightarrow a \notin\# B \wedge \{\#\} = A \cap\# B$$

*<proof>*

**lemma** *disjoint-add-mset* [simp]:

$$B \cap\# \text{ add-mset } a \ A = \{\#\} \longleftrightarrow a \notin\# B \wedge B \cap\# A = \{\#\}$$

$$\{\#\} = A \cap\# \text{ add-mset } b \ B \longleftrightarrow b \notin\# A \wedge \{\#\} = A \cap\# B$$

*<proof>*

**lemma** *inter-add-left1*:  $\neg x \in\# N \implies (\text{add-mset } x \ M) \cap\# N = M \cap\# N$

*<proof>*

**lemma** *inter-add-left2*:  $x \in\# N \implies (\text{add-mset } x \ M) \cap\# N = \text{add-mset } x \ (M \cap\# (N - \{\#x\#}))$

*<proof>*

**lemma** *inter-add-right1*:  $\neg x \in\# N \implies N \cap\# (\text{add-mset } x \ M) = N \cap\# M$

*<proof>*

**lemma** *inter-add-right2*:  $x \in\# N \implies N \cap\# (\text{add-mset } x \ M) = \text{add-mset } x \ ((N$

–  $\{\#x\#\} \cap\# M$   
 $\langle proof \rangle$

**lemma** *disjunct-set-mset-diff*:  
**assumes**  $M \cap\# N = \{\#\}$   
**shows**  $set\text{-}mset (M - N) = set\text{-}mset M$   
 $\langle proof \rangle$

**lemma** *at-most-one-mset-mset-diff*:  
**assumes**  $a \notin\# M - \{\#a\#\}$   
**shows**  $set\text{-}mset (M - \{\#a\#\}) = set\text{-}mset M - \{a\}$   
 $\langle proof \rangle$

**lemma** *more-than-one-mset-mset-diff*:  
**assumes**  $a \in\# M - \{\#a\#\}$   
**shows**  $set\text{-}mset (M - \{\#a\#\}) = set\text{-}mset M$   
 $\langle proof \rangle$

**lemma** *inter-iff*:  
 $a \in\# A \cap\# B \longleftrightarrow a \in\# A \wedge a \in\# B$   
 $\langle proof \rangle$

**lemma** *inter-union-distrib-left*:  
 $A \cap\# B + C = (A + C) \cap\# (B + C)$   
 $\langle proof \rangle$

**lemma** *inter-union-distrib-right*:  
 $C + A \cap\# B = (C + A) \cap\# (C + B)$   
 $\langle proof \rangle$

**lemma** *inter-subset-eq-union*:  
 $A \cap\# B \subseteq\# A + B$   
 $\langle proof \rangle$

### 68.3.9 Additional bounded union facts

**lemma** *set-mset-sup [simp]*:  
 $\langle set\text{-}mset (A \cup\# B) = set\text{-}mset A \cup set\text{-}mset B \rangle$   
 $\langle proof \rangle$

**lemma** *sup-union-left1 [simp]*:  $\neg x \in\# N \implies (add\text{-}mset x M) \cup\# N = add\text{-}mset x (M \cup\# N)$   
 $\langle proof \rangle$

**lemma** *sup-union-left2*:  $x \in\# N \implies (add\text{-}mset x M) \cup\# N = add\text{-}mset x (M \cup\# (N - \{\#x\#\}))$   
 $\langle proof \rangle$

**lemma** *sup-union-right1 [simp]*:  $\neg x \in\# N \implies N \cup\# (add\text{-}mset x M) = add\text{-}mset$

$x (N \cup\# M)$   
 ⟨proof⟩

**lemma** *sup-union-right2*:  $x \in\# N \implies N \cup\# (\text{add-mset } x M) = \text{add-mset } x ((N - \{\#x\}) \cup\# M)$   
 ⟨proof⟩

**lemma** *sup-union-distrib-left*:  
 $A \cup\# B + C = (A + C) \cup\# (B + C)$   
 ⟨proof⟩

**lemma** *union-sup-distrib-right*:  
 $C + A \cup\# B = (C + A) \cup\# (C + B)$   
 ⟨proof⟩

**lemma** *union-diff-inter-eq-sup*:  
 $A + B - A \cap\# B = A \cup\# B$   
 ⟨proof⟩

**lemma** *union-diff-sup-eq-inter*:  
 $A + B - A \cup\# B = A \cap\# B$   
 ⟨proof⟩

**lemma** *add-mset-union*:  
 $\langle \text{add-mset } a A \cup\# \text{add-mset } a B = \text{add-mset } a (A \cup\# B) \rangle$   
 ⟨proof⟩

## 68.4 Replicate and repeat operations

**definition** *replicate-mset* ::  $\text{nat} \Rightarrow 'a \Rightarrow 'a \text{ multiset}$  **where**  
 $\text{replicate-mset } n x = (\text{add-mset } x \overset{\sim}{\sim} n) \{\#\}$

**lemma** *replicate-mset-0*[simp]:  $\text{replicate-mset } 0 x = \{\#\}$   
 ⟨proof⟩

**lemma** *replicate-mset-Suc* [simp]:  $\text{replicate-mset } (\text{Suc } n) x = \text{add-mset } x (\text{replicate-mset } n x)$   
 ⟨proof⟩

**lemma** *count-replicate-mset*[simp]:  $\text{count } (\text{replicate-mset } n x) y = (\text{if } y = x \text{ then } n \text{ else } 0)$   
 ⟨proof⟩

**lift-definition** *repeat-mset* ::  $\langle \text{nat} \Rightarrow 'a \text{ multiset} \Rightarrow 'a \text{ multiset} \rangle$   
 is  $\langle \lambda n M a. n * M a \rangle$  ⟨proof⟩

**lemma** *count-repeat-mset* [simp]:  $\text{count } (\text{repeat-mset } i A) a = i * \text{count } A a$   
 ⟨proof⟩

**lemma** *repeat-mset-0* [simp]:

$\langle \text{repeat-mset } 0 \ M = \{\#\} \rangle$

$\langle \text{proof} \rangle$

**lemma** *repeat-mset-Suc* [simp]:

$\langle \text{repeat-mset } (\text{Suc } n) \ M = M + \text{repeat-mset } n \ M \rangle$

$\langle \text{proof} \rangle$

**lemma** *repeat-mset-right* [simp]:  $\text{repeat-mset } a \ (\text{repeat-mset } b \ A) = \text{repeat-mset } (a * b) \ A$

$\langle \text{proof} \rangle$

**lemma** *left-diff-repeat-mset-distrib'*:  $\langle \text{repeat-mset } (i - j) \ u = \text{repeat-mset } i \ u - \text{repeat-mset } j \ u \rangle$

$\langle \text{proof} \rangle$

**lemma** *left-add-mult-distrib-mset*:

$\text{repeat-mset } i \ u + (\text{repeat-mset } j \ u + k) = \text{repeat-mset } (i+j) \ u + k$

$\langle \text{proof} \rangle$

**lemma** *repeat-mset-distrib*:

$\text{repeat-mset } (m + n) \ A = \text{repeat-mset } m \ A + \text{repeat-mset } n \ A$

$\langle \text{proof} \rangle$

**lemma** *repeat-mset-distrib2*[simp]:

$\text{repeat-mset } n \ (A + B) = \text{repeat-mset } n \ A + \text{repeat-mset } n \ B$

$\langle \text{proof} \rangle$

**lemma** *repeat-mset-replicate-mset*[simp]:

$\text{repeat-mset } n \ \{\#a\#\} = \text{replicate-mset } n \ a$

$\langle \text{proof} \rangle$

**lemma** *repeat-mset-distrib-add-mset*[simp]:

$\text{repeat-mset } n \ (\text{add-mset } a \ A) = \text{replicate-mset } n \ a + \text{repeat-mset } n \ A$

$\langle \text{proof} \rangle$

**lemma** *repeat-mset-empty*[simp]:  $\text{repeat-mset } n \ \{\#\} = \{\#\}$

$\langle \text{proof} \rangle$

### 68.4.1 Simprocs

**lemma** *repeat-mset-iterate-add*:  $\langle \text{repeat-mset } n \ M = \text{iterate-add } n \ M \rangle$

$\langle \text{proof} \rangle$

**lemma** *mset-subseteq-add-iff1*:

$j \leq (i::\text{nat}) \implies (\text{repeat-mset } i \ u + m \subseteq\# \text{repeat-mset } j \ u + n) = (\text{repeat-mset } (i-j) \ u + m \subseteq\# n)$

$\langle \text{proof} \rangle$

**lemma** *mset-subseteq-add-iff2*:

$i \leq (j::\text{nat}) \implies (\text{repeat-mset } i \ u + m \subseteq\# \text{ repeat-mset } j \ u + n) = (m \subseteq\# \text{ repeat-mset } (j-i) \ u + n)$   
 ⟨proof⟩

**lemma** *mset-subset-add-iff1*:

$j \leq (i::\text{nat}) \implies (\text{repeat-mset } i \ u + m \subset\# \text{ repeat-mset } j \ u + n) = (\text{repeat-mset } (i-j) \ u + m \subset\# n)$   
 ⟨proof⟩

**lemma** *mset-subset-add-iff2*:

$i \leq (j::\text{nat}) \implies (\text{repeat-mset } i \ u + m \subset\# \text{ repeat-mset } j \ u + n) = (m \subset\# \text{ repeat-mset } (j-i) \ u + n)$   
 ⟨proof⟩

⟨ML⟩

**lemma** *add-mset-replicate-mset-safe*[*cancelation-simproc-pre*]: ⟨*NO-MATCH* {#}  
 $M \implies \text{add-mset } a \ M = \{\#a\# \} + M$ ⟩

⟨proof⟩

**declare** *repeat-mset-iterate-add*[*cancelation-simproc-pre*]

**declare** *iterate-add-distrib*[*cancelation-simproc-pre*]

**declare** *repeat-mset-iterate-add*[*symmetric, cancelation-simproc-post*]

**declare** *add-mset-not-empty*[*cancelation-simproc-eq-elim*]

*empty-not-add-mset*[*cancelation-simproc-eq-elim*]

*subset-mset.le-zero-eq*[*cancelation-simproc-eq-elim*]

*empty-not-add-mset*[*cancelation-simproc-eq-elim*]

*add-mset-not-empty*[*cancelation-simproc-eq-elim*]

*subset-mset.le-zero-eq*[*cancelation-simproc-eq-elim*]

*le-zero-eq*[*cancelation-simproc-eq-elim*]

⟨ML⟩

### 68.4.2 Conditionally complete lattice

**instantiation** *multiset* :: (*type*) *Inf*

**begin**

**lift-definition** *Inf-multiset* :: '*a multiset set*  $\implies$  '*a multiset is*

$\lambda A \ i. \text{if } A = \{\} \text{ then } 0 \text{ else } \text{Inf } ((\lambda f. f \ i) \ 'A)$

⟨proof⟩

**instance** ⟨proof⟩

**end**

**lemma** *Inf-multiset-empty*:  $\text{Inf } \{\} = \{\#\}$   
 ⟨proof⟩

**lemma** *count-Inf-multiset-nonempty*:  $A \neq \{\} \implies \text{count } (\text{Inf } A) x = \text{Inf } ((\lambda X. \text{count } X x) \text{ ` } A)$   
 ⟨proof⟩

**instantiation** *multiset* :: (type) Sup  
**begin**

**definition** *Sup-multiset* :: 'a multiset set  $\Rightarrow$  'a multiset **where**  
*Sup-multiset*  $A = (\text{if } A \neq \{\} \wedge \text{subset-mset.bdd-above } A \text{ then}$   
*Abs-multiset*  $(\lambda i. \text{Sup } ((\lambda X. \text{count } X i) \text{ ` } A)) \text{ else } \{\#\})$

**lemma** *Sup-multiset-empty*:  $\text{Sup } \{\} = \{\#\}$   
 ⟨proof⟩

**lemma** *Sup-multiset-unbounded*:  $\neg \text{subset-mset.bdd-above } A \implies \text{Sup } A = \{\#\}$   
 ⟨proof⟩

**instance** ⟨proof⟩

**end**

**lemma** *bdd-above-multiset-imp-bdd-above-count*:  
**assumes** *subset-mset.bdd-above* ( $A :: \text{'a multiset set}$ )  
**shows** *bdd-above*  $((\lambda X. \text{count } X x) \text{ ` } A)$   
 ⟨proof⟩

**lemma** *bdd-above-multiset-imp-finite-support*:  
**assumes**  $A \neq \{\}$  *subset-mset.bdd-above* ( $A :: \text{'a multiset set}$ )  
**shows** *finite*  $(\bigcup X \in A. \{x. \text{count } X x > 0\})$   
 ⟨proof⟩

**lemma** *Sup-multiset-in-multiset*:  
 ⟨*finite*  $\{i. 0 < (\text{SUP } M \in A. \text{count } M i)\}$ ⟩  
**if**  $A \neq \{\}$  ⟨*subset-mset.bdd-above*  $A$ ⟩  
 ⟨proof⟩

**lemma** *count-Sup-multiset-nonempty*:  
 ⟨*count*  $(\text{Sup } A) x = (\text{SUP } X \in A. \text{count } X x)$ ⟩  
**if**  $A \neq \{\}$  ⟨*subset-mset.bdd-above*  $A$ ⟩  
 ⟨proof⟩

**interpretation** *subset-mset*: *conditionally-complete-lattice*  $\text{Inf Sup } (\cap\#) (\subseteq\#) (\subset\#)$   
 $(\cup\#)$   
 ⟨proof⟩



**lemma** *set-mset-Inf*:

**assumes**  $A \neq \{\}$

**shows**  $\text{set-mset } (\text{Inf } A) = (\bigcap X \in A. \text{set-mset } X)$

*<proof>*

**lemma** *in-Inf-multiset-iff*:

**assumes**  $A \neq \{\}$

**shows**  $x \in\# \text{Inf } A \longleftrightarrow (\forall X \in A. x \in\# X)$

*<proof>*

**lemma** *in-Inf-multisetD*:  $x \in\# \text{Inf } A \implies X \in A \implies x \in\# X$

*<proof>*

**lemma** *set-mset-Sup*:

**assumes** *subset-mset.bdd-above*  $A$

**shows**  $\text{set-mset } (\text{Sup } A) = (\bigcup X \in A. \text{set-mset } X)$

*<proof>*

**lemma** *in-Sup-multiset-iff*:

**assumes** *subset-mset.bdd-above*  $A$

**shows**  $x \in\# \text{Sup } A \longleftrightarrow (\exists X \in A. x \in\# X)$

*<proof>*

**lemma** *in-Sup-multisetD*:

**assumes**  $x \in\# \text{Sup } A$

**shows**  $\exists X \in A. x \in\# X$

*<proof>*

**interpretation** *subset-mset: distrib-lattice*  $(\cap\#) (\subseteq\#) (\subset\#) (\cup\#)$

*<proof>*

### 68.4.3 Filter (with comprehension)

Multiset comprehension

**lift-definition** *filter-mset* ::  $('a \Rightarrow \text{bool}) \Rightarrow 'a \text{ multiset} \Rightarrow 'a \text{ multiset}$

**is**  $\lambda P M. \lambda x. \text{if } P x \text{ then } M x \text{ else } 0$

*<proof>*

**syntax** (*ASCII*)

*-MCollect* ::  $\text{pttrn} \Rightarrow 'a \text{ multiset} \Rightarrow \text{bool} \Rightarrow 'a \text{ multiset} \quad ((1\{\#\ -:\# \ -/\ -\#\}))$

**syntax**

*-MCollect* ::  $\text{pttrn} \Rightarrow 'a \text{ multiset} \Rightarrow \text{bool} \Rightarrow 'a \text{ multiset} \quad ((1\{\#\ -\in\# \ -/\ -\#\}))$

**translations**

$\{\#\ x \in\# M. P\#\} == \text{CONST } \text{filter-mset } (\lambda x. P) M$

**lemma** *count-filter-mset* [*simp*]:

$\text{count } (\text{filter-mset } P M) a = (\text{if } P a \text{ then } \text{count } M a \text{ else } 0)$

*<proof>*

**lemma** *set-mset-filter* [simp]:

$$\text{set-mset } (\text{filter-mset } P \ M) = \{a \in \text{set-mset } M. P \ a\}$$

*<proof>*

**lemma** *filter-empty-mset* [simp]:  $\text{filter-mset } P \ \{\#\} = \{\#\}$

*<proof>*

**lemma** *filter-single-mset*:  $\text{filter-mset } P \ \{\#x\# \} = (\text{if } P \ x \ \text{then } \{\#x\# \} \ \text{else } \{\#\})$

*<proof>*

**lemma** *filter-union-mset* [simp]:  $\text{filter-mset } P \ (M + N) = \text{filter-mset } P \ M + \text{filter-mset } P \ N$

*<proof>*

**lemma** *filter-diff-mset* [simp]:  $\text{filter-mset } P \ (M - N) = \text{filter-mset } P \ M - \text{filter-mset } P \ N$

*<proof>*

**lemma** *filter-inter-mset* [simp]:  $\text{filter-mset } P \ (M \cap\# \ N) = \text{filter-mset } P \ M \cap\# \ \text{filter-mset } P \ N$

*<proof>*

**lemma** *filter-sup-mset*[simp]:  $\text{filter-mset } P \ (A \cup\# \ B) = \text{filter-mset } P \ A \cup\# \ \text{filter-mset } P \ B$

*<proof>*

**lemma** *filter-mset-add-mset* [simp]:

$$\text{filter-mset } P \ (\text{add-mset } x \ A) =$$

$$(\text{if } P \ x \ \text{then } \text{add-mset } x \ (\text{filter-mset } P \ A) \ \text{else } \text{filter-mset } P \ A)$$

*<proof>*

**lemma** *multiset-filter-subset*[simp]:  $\text{filter-mset } f \ M \subseteq\# \ M$

*<proof>*

**lemma** *multiset-filter-mono*:

**assumes**  $A \subseteq\# \ B$

**shows**  $\text{filter-mset } f \ A \subseteq\# \ \text{filter-mset } f \ B$

*<proof>*

**lemma** *filter-mset-eq-conv*:

$$\text{filter-mset } P \ M = N \iff N \subseteq\# \ M \wedge (\forall b \in\# \ N. P \ b) \wedge (\forall a \in\# \ M - N. \neg P \ a)$$

(**is**  $?P \ \longleftrightarrow \ ?Q$ )

*<proof>*

**lemma** *filter-filter-mset*:  $\text{filter-mset } P \ (\text{filter-mset } Q \ M) = \{\#x \in\# \ M. Q \ x \wedge P \ x\# \}$

*<proof>*

**lemma**

*filter-mset-True*[simp]:  $\{\#y \in\# M. \text{True}\#\} = M$  **and**  
*filter-mset-False*[simp]:  $\{\#y \in\# M. \text{False}\#\} = \{\#\}$   
 ⟨proof⟩

**lemma** *filter-mset-cong0*:  
**assumes**  $\bigwedge x. x \in\# M \implies f x \longleftrightarrow g x$   
**shows** *filter-mset*  $f M = \text{filter-mset } g M$   
 ⟨proof⟩

**lemma** *filter-mset-cong*:  
**assumes**  $M = M'$  **and**  $\bigwedge x. x \in\# M' \implies f x \longleftrightarrow g x$   
**shows** *filter-mset*  $f M = \text{filter-mset } g M'$   
 ⟨proof⟩

**lemma** *filter-eq-replicate-mset*:  $\{\#y \in\# D. y = x\#\} = \text{replicate-mset } (\text{count } D x)$   
 $x$   
 ⟨proof⟩

#### 68.4.4 Size

**definition** *wcount* **where**  $wcount f M = (\lambda x. \text{count } M x * \text{Suc } (f x))$

**lemma** *wcount-union*:  $wcount f (M + N) a = wcount f M a + wcount f N a$   
 ⟨proof⟩

**lemma** *wcount-add-mset*:  
 $wcount f (\text{add-mset } x M) a = (\text{if } x = a \text{ then } \text{Suc } (f a) \text{ else } 0) + wcount f M a$   
 ⟨proof⟩

**definition** *size-multiset* ::  $('a \Rightarrow \text{nat}) \Rightarrow 'a \text{ multiset} \Rightarrow \text{nat}$  **where**  
 $\text{size-multiset } f M = \text{sum } (wcount f M) (\text{set-mset } M)$

**lemmas** *size-multiset-eq* = *size-multiset-def*[*unfolded wcount-def*]

**instantiation** *multiset* ::  $(\text{type}) \text{ size}$   
**begin**

**definition** *size-multiset* **where**  
 $\text{size-multiset-overloaded-def}: \text{size-multiset} = \text{Multiset.size-multiset } (\lambda-. 0)$   
**instance** ⟨proof⟩

**end**

**lemmas** *size-multiset-overloaded-eq* =  
 $\text{size-multiset-overloaded-def}$ [*THEN fun-cong, unfolded size-multiset-eq, simplified*]

**lemma** *size-multiset-empty* [simp]:  $\text{size-multiset } f \{\#\} = 0$   
 ⟨proof⟩

**lemma** *size-empty* [simp]:  $\text{size } \{\#\} = 0$   
 ⟨proof⟩

**lemma** *size-multiset-single* :  $\text{size-multiset } f \ \{\#b\# \} = \text{Suc } (f \ b)$   
 ⟨proof⟩

**lemma** *size-single*:  $\text{size } \{\#b\# \} = 1$   
 ⟨proof⟩

**lemma** *sum-wcount-Int*:  
 $\text{finite } A \implies \text{sum } (\text{wcount } f \ N) \ (A \cap \text{set-mset } N) = \text{sum } (\text{wcount } f \ N) \ A$   
 ⟨proof⟩

**lemma** *size-multiset-union* [simp]:  
 $\text{size-multiset } f \ (M + N :: 'a \ \text{multiset}) = \text{size-multiset } f \ M + \text{size-multiset } f \ N$   
 ⟨proof⟩

**lemma** *size-multiset-add-mset* [simp]:  
 $\text{size-multiset } f \ (\text{add-mset } a \ M) = \text{Suc } (f \ a) + \text{size-multiset } f \ M$   
 ⟨proof⟩

**lemma** *size-add-mset* [simp]:  $\text{size } (\text{add-mset } a \ A) = \text{Suc } (\text{size } A)$   
 ⟨proof⟩

**lemma** *size-union* [simp]:  $\text{size } (M + N :: 'a \ \text{multiset}) = \text{size } M + \text{size } N$   
 ⟨proof⟩

**lemma** *size-multiset-eq-0-iff-empty* [iff]:  
 $\text{size-multiset } f \ M = 0 \longleftrightarrow M = \{\#\}$   
 ⟨proof⟩

**lemma** *size-eq-0-iff-empty* [iff]:  $(\text{size } M = 0) = (M = \{\#\})$   
 ⟨proof⟩

**lemma** *nonempty-has-size*:  $(S \neq \{\#\}) = (0 < \text{size } S)$   
 ⟨proof⟩

**lemma** *size-eq-Suc-imp-elem*:  $\text{size } M = \text{Suc } n \implies \exists a. a \in\# \ M$   
 ⟨proof⟩

**lemma** *size-eq-Suc-imp-eq-union*:  
**assumes**  $\text{size } M = \text{Suc } n$   
**shows**  $\exists a \ N. M = \text{add-mset } a \ N$   
 ⟨proof⟩

**lemma** *size-mset-mono*:  
**fixes**  $A \ B :: 'a \ \text{multiset}$   
**assumes**  $A \subseteq\# \ B$   
**shows**  $\text{size } A \leq \text{size } B$

*<proof>*

**lemma** *size-filter-mset-lesseq[simp]*:  $size (filter-mset f M) \leq size M$   
*<proof>*

**lemma** *size-Diff-submset*:  
 $M \subseteq\# M' \implies size (M' - M) = size M' - size(M::'a multiset)$   
*<proof>*

**lemma** *size-lt-imp-ex-count-lt*:  $size M < size N \implies \exists x \in\# N. count M x < count N x$   
*<proof>*

## 68.5 Induction and case splits

**theorem** *multiset-induct [case-names empty add, induct type: multiset]*:  
**assumes** *empty*:  $P \{\#\}$   
**assumes** *add*:  $\bigwedge x M. P M \implies P (add-mset x M)$   
**shows**  $P M$   
*<proof>*

**lemma** *multiset-induct-min [case-names empty add]*:  
**fixes**  $M :: 'a::linorder multiset$   
**assumes**  
*empty*:  $P \{\#\}$  **and**  
*add*:  $\bigwedge x M. P M \implies (\forall y \in\# M. y \geq x) \implies P (add-mset x M)$   
**shows**  $P M$   
*<proof>*

**lemma** *multiset-induct-max [case-names empty add]*:  
**fixes**  $M :: 'a::linorder multiset$   
**assumes**  
*empty*:  $P \{\#\}$  **and**  
*add*:  $\bigwedge x M. P M \implies (\forall y \in\# M. y \leq x) \implies P (add-mset x M)$   
**shows**  $P M$   
*<proof>*

**lemma** *multi-nonempty-split*:  $M \neq \{\#\} \implies \exists A a. M = add-mset a A$   
*<proof>*

**lemma** *multiset-cases [cases type]*:  
**obtains**  $(empty) M = \{\#\} \mid (add) x N$  **where**  $M = add-mset x N$   
*<proof>*

**lemma** *multi-drop-mem-not-eq*:  $c \in\# B \implies B - \{\#c\} \neq B$   
*<proof>*

**lemma** *union-filter-mset-complement[simp]*:  
 $\forall x. P x = (\neg Q x) \implies filter-mset P M + filter-mset Q M = M$

*<proof>*

**lemma** *multiset-partition*:  $M = \{\#x \in\# M. P x\# \} + \{\#x \in\# M. \neg P x\#\}$   
*<proof>*

**lemma** *mset-subset-size*:  $A \subset\# B \implies \text{size } A < \text{size } B$   
*<proof>*

**lemma** *size-1-singleton-mset*:  $\text{size } M = 1 \implies \exists a. M = \{\#a\#\}$   
*<proof>*

**lemma** *set-mset-subset-singletonD*:  
**assumes** *set-mset*  $A \subseteq \{x\}$   
**shows**  $A = \text{replicate-mset } (\text{size } A) x$   
*<proof>*

### 68.5.1 Strong induction and subset induction for multisets

Well-foundedness of strict subset relation

**lemma** *wf-subset-mset-rel*: *wf*  $\{(M, N :: 'a \text{ multiset}). M \subset\# N\}$   
*<proof>*

**lemma** *wfP-subset-mset[simp]*: *wfP*  $(\subset\#)$   
*<proof>*

**lemma** *full-multiset-induct* [*case-names less*]:  
**assumes** *ih*:  $\bigwedge B. \forall (A :: 'a \text{ multiset}). A \subset\# B \longrightarrow P A \implies P B$   
**shows**  $P B$   
*<proof>*

**lemma** *multi-subset-induct* [*consumes 2, case-names empty add*]:  
**assumes**  $F \subseteq\# A$   
**and** *empty*:  $P \{\#\}$   
**and** *insert*:  $\bigwedge a F. a \in\# A \implies P F \implies P (\text{add-mset } a F)$   
**shows**  $P F$   
*<proof>*

## 68.6 Least and greatest elements

**context begin**

**qualified lemma**

**assumes**

$M \neq \{\#\}$  **and**

*transp-on*  $(\text{set-mset } M) R$  **and**

*totalp-on*  $(\text{set-mset } M) R$

**shows**

*be<sub>x</sub>-least-element*:  $(\exists l \in\# M. \forall x \in\# M. x \neq l \longrightarrow R l x)$  **and**

*be<sub>x</sub>-greatest-element*:  $(\exists g \in\# M. \forall x \in\# M. x \neq g \longrightarrow R x g)$

*<proof>*

**end**

## 68.7 The fold combinator

**definition** *fold-mset* :: ('a ⇒ 'b ⇒ 'b) ⇒ 'b ⇒ 'a multiset ⇒ 'b

**where**

*fold-mset* f s M = *Finite-Set.fold* (λx. f x  $\widehat{\widehat{\text{count } M x}}$ ) s (*set-mset* M)

**lemma** *fold-mset-empty* [*simp*]: *fold-mset* f s {#} = s

*<proof>*

**lemma** *fold-mset-single* [*simp*]: *fold-mset* f s {#x#} = f x s

*<proof>*

**context** *comp-fun-commute*

**begin**

**lemma** *fold-mset-add-mset* [*simp*]: *fold-mset* f s (*add-mset* x M) = f x (*fold-mset* f s M)

*<proof>*

**lemma** *fold-mset-fun-left-comm*: f x (*fold-mset* f s M) = *fold-mset* f (f x s) M

*<proof>*

**lemma** *fold-mset-union* [*simp*]: *fold-mset* f s (M + N) = *fold-mset* f (*fold-mset* f s M) N

*<proof>*

**lemma** *fold-mset-fusion*:

**assumes** *comp-fun-commute* g

**and** \*:  $\bigwedge x y. h (g x y) = f x (h y)$

**shows** h (*fold-mset* g w A) = *fold-mset* f (h w) A

*<proof>*

**end**

**lemma** *union-fold-mset-add-mset*: A + B = *fold-mset* *add-mset* A B

*<proof>*

A note on code generation: When defining some function containing a subterm *fold-mset* F, code generation is not automatic. When interpreting locale *left-commutative* with F, the would be code thms for *fold-mset* become thms like *fold-mset* F z {#} = z where F is not a pattern but contains defined symbols, i.e. is not a code thm. Hence a separate constant with its own code thms needs to be introduced for F. See the image operator below.

## 68.8 Image

**definition** *image-mset* :: ('a ⇒ 'b) ⇒ 'a multiset ⇒ 'b multiset **where**  
*image-mset* f = *fold-mset* (add-mset ∘ f) {#}

**lemma** *comp-fun-commute-mset-image*: *comp-fun-commute* (add-mset ∘ f)  
 ⟨proof⟩

**lemma** *image-mset-empty* [*simp*]: *image-mset* f {#} = {#}  
 ⟨proof⟩

**lemma** *image-mset-single*: *image-mset* f {#x#} = {#f x#}  
 ⟨proof⟩

**lemma** *image-mset-union* [*simp*]: *image-mset* f (M + N) = *image-mset* f M +  
*image-mset* f N  
 ⟨proof⟩

**corollary** *image-mset-add-mset* [*simp*]:  
*image-mset* f (add-mset a M) = add-mset (f a) (*image-mset* f M)  
 ⟨proof⟩

**lemma** *set-image-mset* [*simp*]: *set-mset* (*image-mset* f M) = *image* f (*set-mset* M)  
 ⟨proof⟩

**lemma** *size-image-mset* [*simp*]: *size* (*image-mset* f M) = *size* M  
 ⟨proof⟩

**lemma** *image-mset-is-empty-iff* [*simp*]: *image-mset* f M = {#} ↔ M = {#}  
 ⟨proof⟩

**lemma** *image-mset-If*:  
*image-mset* (λx. if P x then f x else g x) A =  
*image-mset* f (*filter-mset* P A) + *image-mset* g (*filter-mset* (λx. ¬P x) A)  
 ⟨proof⟩

**lemma** *image-mset-Diff*:  
**assumes** B ⊆# A  
**shows** *image-mset* f (A - B) = *image-mset* f A - *image-mset* f B  
 ⟨proof⟩

**lemma** *count-image-mset*:  
 ⟨count (*image-mset* f A) x = (∑ y∈f - ' {x} ∩ *set-mset* A. count A y)⟩  
 ⟨proof⟩

**lemma** *count-image-mset'*:  
 ⟨count (*image-mset* f X) y = (∑ x | x ∈# X ∧ y = f x. count X x)⟩  
 ⟨proof⟩

**lemma** *image-mset-subseteq-mono*: A ⊆# B ⇒ *image-mset* f A ⊆# *image-mset*



*f B*  
 ⟨proof⟩

**lemma** *image-mset-subset-mono*:  $M \subset\# N \implies \text{image-mset } f M \subset\# \text{image-mset } f N$   
 ⟨proof⟩

**syntax** (ASCII)

-comprehension-mset :: 'a ⇒ 'b ⇒ 'b multiset ⇒ 'a multiset (({#-/. - :# -#}))

**syntax**

-comprehension-mset :: 'a ⇒ 'b ⇒ 'b multiset ⇒ 'a multiset (({#-/. - ∈# -#}))

**translations**

{#e. x ∈# M#} ⇒ CONST image-mset (λx. e) M

**syntax** (ASCII)

-comprehension-mset' :: 'a ⇒ 'b ⇒ 'b multiset ⇒ bool ⇒ 'a multiset (({#- / | - :# - / -#}))

**syntax**

-comprehension-mset' :: 'a ⇒ 'b ⇒ 'b multiset ⇒ bool ⇒ 'a multiset (({#- / | - ∈# - / -#}))

**translations**

{#e | x ∈# M. P#} → {#e. x ∈# {# x ∈# M. P#}#}

This allows to write not just filters like {#x ∈# M. x < c#} but also images like {#x + x. x ∈# M#} and {#x+x|x ∈# M. x < c#}, where the latter is currently displayed as {#x + x. x ∈# {#x ∈# M. x < c#}#}.

**lemma** *in-image-mset*:  $y \in\# \{ \#f x. x \in\# M \# \} \iff y \in f \text{ 'set-mset } M$   
 ⟨proof⟩

**functor** *image-mset*: *image-mset*  
 ⟨proof⟩

**declare**

*image-mset.id* [simp]

*image-mset.identity* [simp]

**lemma** *image-mset-id*[simp]: *image-mset id*  $x = x$   
 ⟨proof⟩

**lemma** *image-mset-cong*:  $(\bigwedge x. x \in\# M \implies f x = g x) \implies \{ \#f x. x \in\# M \# \} = \{ \#g x. x \in\# M \# \}$   
 ⟨proof⟩

**lemma** *image-mset-cong-pair*:

$(\forall x y. (x, y) \in\# M \implies f x y = g x y) \implies \{ \#f x y. (x, y) \in\# M \# \} = \{ \#g x y. (x, y) \in\# M \# \}$   
 ⟨proof⟩

**lemma** *image-mset-const-eq*:

$\{\#c. a \in\# M\# \} = \text{replicate-mset } (\text{size } M) c$   
 ⟨proof⟩

**lemma** *image-mset-filter-mset-swap*:

$\text{image-mset } f (\text{filter-mset } (\lambda x. P (f x)) M) = \text{filter-mset } P (\text{image-mset } f M)$   
 ⟨proof⟩

**lemma** *image-mset-eq-plusD*:

$\text{image-mset } f A = B + C \implies \exists B' C'. A = B' + C' \wedge B = \text{image-mset } f B' \wedge C = \text{image-mset } f C'$   
 ⟨proof⟩

**lemma** *image-mset-eq-image-mset-plusD*:

**assumes**  $\text{image-mset } f A = \text{image-mset } f B + C$  **and**  $\text{inj-f: inj-on } f (\text{set-mset } A \cup \text{set-mset } B)$   
**shows**  $\exists C'. A = B + C' \wedge C = \text{image-mset } f C'$   
 ⟨proof⟩

**lemma** *image-mset-eq-plus-image-msetD*:

$\text{image-mset } f A = B + \text{image-mset } f C \implies \text{inj-on } f (\text{set-mset } A \cup \text{set-mset } C) \implies \exists B'. A = B' + C \wedge B = \text{image-mset } f B'$   
 ⟨proof⟩

## 68.9 Further conversions

**primrec** *mset* :: 'a list  $\Rightarrow$  'a multiset **where**

$\text{mset } [] = \{\#\}$  |  
 $\text{mset } (a \# x) = \text{add-mset } a (\text{mset } x)$

**lemma** *in-multiset-in-set*:

$x \in\# \text{mset } xs \longleftrightarrow x \in \text{set } xs$   
 ⟨proof⟩

**lemma** *count-mset*:

$\text{count } (\text{mset } xs) x = \text{length } (\text{filter } (\lambda y. x = y) xs)$   
 ⟨proof⟩

**lemma** *mset-zero-iff[simp]*:  $(\text{mset } x = \{\#\}) = (x = [])$   
 ⟨proof⟩

**lemma** *mset-zero-iff-right[simp]*:  $(\{\#\} = \text{mset } x) = (x = [])$   
 ⟨proof⟩

**lemma** *count-mset-gt-0*:  $x \in \text{set } xs \implies \text{count } (\text{mset } xs) x > 0$   
 ⟨proof⟩

**lemma** *count-mset-0-iff [simp]*:  $\text{count } (\text{mset } xs) x = 0 \longleftrightarrow x \notin \text{set } xs$   
 ⟨proof⟩

**lemma** *mset-single-iff*[*iff*]:  $mset\ xs = \{\#x\# \} \longleftrightarrow xs = [x]$   
 ⟨*proof*⟩

**lemma** *mset-single-iff-right*[*iff*]:  $\{\#x\# \} = mset\ xs \longleftrightarrow xs = [x]$   
 ⟨*proof*⟩

**lemma** *set-mset-mset*[*simp*]:  $set\ mset\ (mset\ xs) = set\ xs$   
 ⟨*proof*⟩

**lemma** *set-mset-comp-mset* [*simp*]:  $set\ mset \circ mset = set$   
 ⟨*proof*⟩

**lemma** *size-mset* [*simp*]:  $size\ (mset\ xs) = length\ xs$   
 ⟨*proof*⟩

**lemma** *mset-append* [*simp*]:  $mset\ (xs\ @\ ys) = mset\ xs + mset\ ys$   
 ⟨*proof*⟩

**lemma** *mset-filter*[*simp*]:  $mset\ (filter\ P\ xs) = \{\#x \in\# mset\ xs.\ P\ x\ \#\}$   
 ⟨*proof*⟩

**lemma** *mset-rev* [*simp*]:  
 $mset\ (rev\ xs) = mset\ xs$   
 ⟨*proof*⟩

**lemma** *surj-mset*: *surj* *mset*  
 ⟨*proof*⟩

**lemma** *distinct-count-atmost-1*:  
 $distinct\ x = (\forall a.\ count\ (mset\ x)\ a = (if\ a \in\ set\ x\ then\ 1\ else\ 0))$   
 ⟨*proof*⟩

**lemma** *mset-eq-setD*:  
**assumes**  $mset\ xs = mset\ ys$   
**shows**  $set\ xs = set\ ys$   
 ⟨*proof*⟩

**lemma** *set-eq-iff-mset-eq-distinct*:  
 $\langle distinct\ x \implies distinct\ y \implies set\ x = set\ y \longleftrightarrow mset\ x = mset\ y \rangle$   
 ⟨*proof*⟩

**lemma** *set-eq-iff-mset-remdups-eq*:  
 $\langle set\ x = set\ y \longleftrightarrow mset\ (remdups\ x) = mset\ (remdups\ y) \rangle$   
 ⟨*proof*⟩

**lemma** *mset-eq-imp-distinct-iff*:  
 $\langle distinct\ xs \longleftrightarrow distinct\ ys \rangle$  **if**  $\langle mset\ xs = mset\ ys \rangle$   
 ⟨*proof*⟩

**lemma** *nth-mem-mset*:  $i < \text{length } ls \implies (ls ! i) \in\# \text{ mset } ls$   
 ⟨proof⟩

**lemma** *mset-remove1[simp]*:  $\text{mset } (\text{remove1 } a \ xs) = \text{mset } xs - \{\#a\#$   
 ⟨proof⟩

**lemma** *mset-eq-length*:  
**assumes**  $\text{mset } xs = \text{mset } ys$   
**shows**  $\text{length } xs = \text{length } ys$   
 ⟨proof⟩

**lemma** *mset-eq-length-filter*:  
**assumes**  $\text{mset } xs = \text{mset } ys$   
**shows**  $\text{length } (\text{filter } (\lambda x. z = x) \ xs) = \text{length } (\text{filter } (\lambda y. z = y) \ ys)$   
 ⟨proof⟩

**lemma** *fold-multiset-equiv*:  
 ⟨ $\text{List.fold } f \ xs = \text{List.fold } f \ ys$ ⟩  
**if**  $f: \langle \bigwedge x \ y. x \in \text{set } xs \implies y \in \text{set } xs \implies f \ x \circ f \ y = f \ y \circ f \ x \rangle$   
**and** ⟨ $\text{mset } xs = \text{mset } ys$ ⟩  
 ⟨proof⟩

**lemma** *fold-permuted-eq*:  
 ⟨ $\text{List.fold } (\odot) \ xs \ z = \text{List.fold } (\odot) \ ys \ z$ ⟩  
**if** ⟨ $\text{mset } xs = \text{mset } ys$ ⟩  
**and** ⟨ $P \ z$ ⟩ **and**  $P: \langle \bigwedge x \ z. x \in \text{set } xs \implies P \ z \implies P \ (x \odot z) \rangle$   
**and**  $f: \langle \bigwedge x \ y \ z. x \in \text{set } xs \implies y \in \text{set } xs \implies P \ z \implies x \odot (y \odot z) = y \odot (x \odot z) \rangle$   
**for**  $f$  (**infixl**  $\langle \odot \rangle$  70)  
 ⟨proof⟩

**lemma** *mset-shuffles*:  $zs \in \text{shuffles } xs \ ys \implies \text{mset } zs = \text{mset } xs + \text{mset } ys$   
 ⟨proof⟩

**lemma** *mset-insort [simp]*:  $\text{mset } (\text{insort } x \ xs) = \text{add-mset } x \ (\text{mset } xs)$   
 ⟨proof⟩

**lemma** *mset-map[simp]*:  $\text{mset } (\text{map } f \ xs) = \text{image-mset } f \ (\text{mset } xs)$   
 ⟨proof⟩

**global-interpretation** *mset-set*: *folding*  $\text{add-mset } \{\#\}$   
**defines**  $\text{mset-set} = \text{folding-on.F } \text{add-mset } \{\#\}$   
 ⟨proof⟩

**lemma** *sum-multiset-singleton [simp]*:  $\text{sum } (\lambda n. \{\#n\#}) \ A = \text{mset-set } A$   
 ⟨proof⟩

**lemma** *count-mset-set [simp]*:

$finite\ A \implies x \in A \implies count\ (mset\text{-}set\ A)\ x = 1$  (is PROP ?P)  
 $\neg\ finite\ A \implies count\ (mset\text{-}set\ A)\ x = 0$  (is PROP ?Q)  
 $x \notin A \implies count\ (mset\text{-}set\ A)\ x = 0$  (is PROP ?R)  
 <proof>

**lemma** *elem-mset-set*[simp, intro]:  $finite\ A \implies x \in\# mset\text{-}set\ A \longleftrightarrow x \in A$   
 <proof>

**lemma** *mset-set-Union*:

$finite\ A \implies finite\ B \implies A \cap B = \{\} \implies mset\text{-}set\ (A \cup B) = mset\text{-}set\ A +$   
 $mset\text{-}set\ B$   
 <proof>

**lemma** *filter-mset-mset-set* [simp]:

$finite\ A \implies filter\text{-}mset\ P\ (mset\text{-}set\ A) = mset\text{-}set\ \{x \in A. P\ x\}$   
 <proof>

**lemma** *mset-set-Diff*:

**assumes**  $finite\ A\ B \subseteq A$   
**shows**  $mset\text{-}set\ (A - B) = mset\text{-}set\ A - mset\text{-}set\ B$   
 <proof>

**lemma** *mset-set-set*:  $distinct\ xs \implies mset\text{-}set\ (set\ xs) = mset\ xs$   
 <proof>

**lemma** *count-mset-set'*:  $count\ (mset\text{-}set\ A)\ x = (if\ finite\ A \wedge x \in A\ then\ 1\ else\ 0)$   
 <proof>

**lemma** *subset-imp-msubset-mset-set*:

**assumes**  $A \subseteq B\ finite\ B$   
**shows**  $mset\text{-}set\ A \subseteq\# mset\text{-}set\ B$   
 <proof>

**lemma** *mset-set-set-mset-msubset*:  $mset\text{-}set\ (set\text{-}mset\ A) \subseteq\# A$   
 <proof>

**lemma** *mset-set-upto-eq-mset-upto*:

$\langle mset\text{-}set\ \{..<n\} = mset\ [0..<n]\rangle$   
 <proof>

**context** *linorder*

**begin**

**definition** *sorted-list-of-multiset* :: 'a multiset  $\Rightarrow$  'a list

**where**

$sorted\text{-}list\text{-}of\text{-}multiset\ M = fold\text{-}mset\ insert\ []\ M$

**lemma** *sorted-list-of-multiset-empty* [simp]:

*sorted-list-of-multiset*  $\{\#\} = []$   
 ⟨proof⟩

**lemma** *sorted-list-of-multiset-singleton* [simp]:

*sorted-list-of-multiset*  $\{\#x\# \} = [x]$   
 ⟨proof⟩

**lemma** *sorted-list-of-multiset-insert* [simp]:

*sorted-list-of-multiset* (add-mset  $x$   $M$ ) = *List.insert*  $x$  (*sorted-list-of-multiset*  $M$ )  
 ⟨proof⟩

**end**

**lemma** *mset-sorted-list-of-multiset*[simp]: *mset* (*sorted-list-of-multiset*  $M$ ) =  $M$   
 ⟨proof⟩

**lemma** *sorted-list-of-multiset-mset*[simp]: *sorted-list-of-multiset* (*mset*  $xs$ ) = *sort*  $xs$   
 ⟨proof⟩

**lemma** *finite-set-mset-mset-set*[simp]: *finite*  $A \implies$  *set-mset* (*mset-set*  $A$ ) =  $A$   
 ⟨proof⟩

**lemma** *mset-set-empty-iff*: *mset-set*  $A = \{\#\} \iff A = \{\} \vee$  *infinite*  $A$   
 ⟨proof⟩

**lemma** *infinite-set-mset-mset-set*:  $\neg$  *finite*  $A \implies$  *set-mset* (*mset-set*  $A$ ) =  $\{\}$   
 ⟨proof⟩

**lemma** *set-sorted-list-of-multiset* [simp]:  
*set* (*sorted-list-of-multiset*  $M$ ) = *set-mset*  $M$   
 ⟨proof⟩

**lemma** *sorted-list-of-mset-set* [simp]:  
*sorted-list-of-multiset* (*mset-set*  $A$ ) = *sorted-list-of-set*  $A$   
 ⟨proof⟩

**lemma** *mset-upt* [simp]: *mset* [ $m..<n$ ] = *mset-set*  $\{m..<n\}$   
 ⟨proof⟩

**lemma** *image-mset-map-of*:

*distinct* (*map fst*  $xs$ )  $\implies$   $\{\#the$  (*map-of*  $xs$   $i$ ).  $i \in \#$  *mset* (*map fst*  $xs$ ) $\#\} =$  *mset* (*map snd*  $xs$ )  
 ⟨proof⟩

**lemma** *msubset-mset-set-iff*[simp]:

**assumes** *finite*  $A$  *finite*  $B$

**shows** *mset-set*  $A \subseteq \#$  *mset-set*  $B \iff A \subseteq B$

⟨proof⟩

**lemma** *mset-set-eq-iff* [simp]:  
 assumes *finite A finite B*  
 shows  $mset\text{-}set\ A = mset\text{-}set\ B \longleftrightarrow A = B$   
 ⟨proof⟩

**lemma** *image-mset-mset-set*:  
 assumes *inj-on f A*  
 shows  $image\text{-}mset\ f\ (mset\text{-}set\ A) = mset\text{-}set\ (f\ 'A)$   
 ⟨proof⟩

## 68.10 More properties of the replicate, repeat, and image operations

**lemma** *in-replicate-mset* [simp]:  $x \in \#\ replicate\text{-}mset\ n\ y \longleftrightarrow n > 0 \wedge x = y$   
 ⟨proof⟩

**lemma** *set-mset-replicate-mset-subset* [simp]:  $set\text{-}mset\ (replicate\text{-}mset\ n\ x) = (if\ n = 0\ then\ \{\}\ else\ \{x\})$   
 ⟨proof⟩

**lemma** *size-replicate-mset* [simp]:  $size\ (replicate\text{-}mset\ n\ M) = n$   
 ⟨proof⟩

**lemma** *size-repeat-mset* [simp]:  $size\ (repeat\text{-}mset\ n\ A) = n * size\ A$   
 ⟨proof⟩

**lemma** *size-multiset-sum* [simp]:  $size\ (\sum\ x \in A.\ f\ x :: 'a\ multiset) = (\sum\ x \in A.\ size\ (f\ x))$   
 ⟨proof⟩

**lemma** *size-multiset-sum-list* [simp]:  $size\ (\sum\ X \leftarrow Xs.\ X :: 'a\ multiset) = (\sum\ X \leftarrow Xs.\ size\ X)$   
 ⟨proof⟩

**lemma** *count-le-replicate-mset-subset-eq*:  $n \leq count\ M\ x \longleftrightarrow replicate\text{-}mset\ n\ x \subseteq \# M$   
 ⟨proof⟩

**lemma** *replicate-count-mset-eq-filter-eq*:  $replicate\ (count\ (mset\ xs)\ k)\ k = filter\ (HOL.eq\ k)\ xs$   
 ⟨proof⟩

**lemma** *replicate-mset-eq-empty-iff* [simp]:  $replicate\text{-}mset\ n\ a = \{\#\} \longleftrightarrow n = 0$   
 ⟨proof⟩

**lemma** *replicate-mset-eq-iff*:  
 $replicate\text{-}mset\ m\ a = replicate\text{-}mset\ n\ b \longleftrightarrow m = 0 \wedge n = 0 \vee m = n \wedge a = b$   
 ⟨proof⟩

**lemma** *repeat-mset-cancel1*:  $\text{repeat-mset } a \ A = \text{repeat-mset } a \ B \longleftrightarrow A = B \vee a = 0$   
 ⟨proof⟩

**lemma** *repeat-mset-cancel2*:  $\text{repeat-mset } a \ A = \text{repeat-mset } b \ A \longleftrightarrow a = b \vee A = \{\#\}$   
 ⟨proof⟩

**lemma** *repeat-mset-eq-empty-iff*:  $\text{repeat-mset } n \ A = \{\#\} \longleftrightarrow n = 0 \vee A = \{\#\}$   
 ⟨proof⟩

**lemma** *image-replicate-mset* [*simp*]:  
 $\text{image-mset } f \ (\text{replicate-mset } n \ a) = \text{replicate-mset } n \ (f \ a)$   
 ⟨proof⟩

**lemma** *replicate-mset-msubseteq-iff*:  
 $\text{replicate-mset } m \ a \subseteq\# \ \text{replicate-mset } n \ b \longleftrightarrow m = 0 \vee a = b \wedge m \leq n$   
 ⟨proof⟩

**lemma** *msubseteq-replicate-msetE*:  
**assumes**  $A \subseteq\# \ \text{replicate-mset } n \ a$   
**obtains**  $m$  **where**  $m \leq n$  **and**  $A = \text{replicate-mset } m \ a$   
 ⟨proof⟩

**lemma** *count-image-mset-lt-imp-lt-raw*:  
**assumes**  
    $\text{finite } A$  **and**  
    $A = \text{set-mset } M \cup \text{set-mset } N$  **and**  
    $\text{count } (\text{image-mset } f \ M) \ b < \text{count } (\text{image-mset } f \ N) \ b$   
**shows**  $\exists x. f \ x = b \wedge \text{count } M \ x < \text{count } N \ x$   
 ⟨proof⟩

**lemma** *count-image-mset-lt-imp-lt*:  
**assumes** *cnt-b*:  $\text{count } (\text{image-mset } f \ M) \ b < \text{count } (\text{image-mset } f \ N) \ b$   
**shows**  $\exists x. f \ x = b \wedge \text{count } M \ x < \text{count } N \ x$   
 ⟨proof⟩

**lemma** *count-image-mset-le-imp-lt-raw*:  
**assumes**  
    $\text{finite } A$  **and**  
    $A = \text{set-mset } M \cup \text{set-mset } N$  **and**  
    $\text{count } (\text{image-mset } f \ M) \ (f \ a) + \text{count } N \ a < \text{count } (\text{image-mset } f \ N) \ (f \ a) + \text{count } M \ a$   
**shows**  $\exists b. f \ b = f \ a \wedge \text{count } M \ b < \text{count } N \ b$   
 ⟨proof⟩

**lemma** *count-image-mset-le-imp-lt*:  
**assumes**



$\text{count } (\text{image-mset } f M) (f a) \leq \text{count } (\text{image-mset } f N) (f a)$  **and**  
 $\text{count } M a > \text{count } N a$   
**shows**  $\exists b. f b = f a \wedge \text{count } M b < \text{count } N b$   
 ⟨proof⟩

**lemma** *size-filter-unsat-elim*:  
**assumes**  $x \in\# M$  **and**  $\neg P x$   
**shows**  $\text{size } \{x \in\# M. P x\} < \text{size } M$   
 ⟨proof⟩

**lemma** *size-filter-ne-elim*:  $x \in\# M \implies \text{size } \{y \in\# M. y \neq x\} < \text{size } M$   
 ⟨proof⟩

**lemma** *size-eq-ex-count-lt*:  
**assumes**  
*sz-m-eq-n*:  $\text{size } M = \text{size } N$  **and**  
*m-eq-n*:  $M \neq N$   
**shows**  $\exists x. \text{count } M x < \text{count } N x$   
 ⟨proof⟩

## 68.11 Big operators

**locale** *comm-monoid-mset* = *comm-monoid*  
**begin**

**interpretation** *comp-fun-commute* *f*  
 ⟨proof⟩

**interpretation** *comp?*: *comp-fun-commute*  $f \circ g$   
 ⟨proof⟩

**context**  
**begin**

**definition**  $F :: 'a \text{ multiset} \Rightarrow 'a$   
**where** *eq-fold*:  $F M = \text{fold-mset } f \mathbf{1} M$

**lemma** *empty* [*simp*]:  $F \{\#\} = \mathbf{1}$   
 ⟨proof⟩

**lemma** *singleton* [*simp*]:  $F \{\#x\} = x$   
 ⟨proof⟩

**lemma** *union* [*simp*]:  $F (M + N) = F M * F N$   
 ⟨proof⟩

**lemma** *add-mset* [*simp*]:  $F (\text{add-mset } x N) = x * F N$   
 ⟨proof⟩

**lemma** *insert* [*simp*]:

**shows**  $F (\text{image-mset } g (\text{add-mset } x A)) = g x * F (\text{image-mset } g A)$

$\langle \text{proof} \rangle$

**lemma** *remove*:

**assumes**  $x \in\# A$

**shows**  $F A = x * F (A - \{\#x\#})$

$\langle \text{proof} \rangle$

**lemma** *neutral*:

$\forall x \in\# A. x = \mathbf{1} \implies F A = \mathbf{1}$

$\langle \text{proof} \rangle$

**lemma** *neutral-const* [*simp*]:

$F (\text{image-mset } (\lambda\cdot. \mathbf{1}) A) = \mathbf{1}$

$\langle \text{proof} \rangle$  **lemma** *F-image-mset-product*:

$F \{\#g x j * F \{\#g i j. i \in\# A\#\}, j \in\# B\#\} =$

$F (\text{image-mset } (g x) B) * F \{\#F \{\#g i j. i \in\# A\#\}, j \in\# B\#\}$

$\langle \text{proof} \rangle$

**lemma** *swap*:

$F (\text{image-mset } (\lambda i. F (\text{image-mset } (g i) B)) A) =$

$F (\text{image-mset } (\lambda j. F (\text{image-mset } (\lambda i. g i j) A)) B)$

$\langle \text{proof} \rangle$

**lemma** *distrib*:  $F (\text{image-mset } (\lambda x. g x * h x) A) = F (\text{image-mset } g A) * F (\text{image-mset } h A)$

$\langle \text{proof} \rangle$

**lemma** *union-disjoint*:

$A \cap\# B = \{\#\} \implies F (\text{image-mset } g (A \cup\# B)) = F (\text{image-mset } g A) * F (\text{image-mset } g B)$

$\langle \text{proof} \rangle$

**end**

**end**

**lemma** *comp-fun-commute-plus-mset*[*simp*]: *comp-fun-commute*  $((+) :: 'a \text{ multiset} \Rightarrow - \Rightarrow -)$

$\langle \text{proof} \rangle$

**declare** *comp-fun-commute.fold-mset-add-mset*[*OF comp-fun-commute-plus-mset, simp*]

**lemma** *in-mset-fold-plus-iff*[*iff*]:  $x \in\# \text{fold-mset } (+) M NN \longleftrightarrow x \in\# M \vee (\exists N. N \in\# NN \wedge x \in\# N)$

$\langle \text{proof} \rangle$

**context** *comm-monoid-add*

**begin**

**sublocale** *sum-mset: comm-monoid-mset plus 0*

**defines** *sum-mset = sum-mset.F*  $\langle$ *proof* $\rangle$

**lemma** *sum-unfold-sum-mset:*

*sum f A = sum-mset (image-mset f (mset-set A))*

$\langle$ *proof* $\rangle$

**end**

**notation** *sum-mset* ( $\sum \#$ )

**syntax** (*ASCII*)

*-sum-mset-image* :: *pttrn*  $\Rightarrow$  *'b set*  $\Rightarrow$  *'a*  $\Rightarrow$  *'a::comm-monoid-add* (( $\exists$ *SUM* *-:#-*. -) [0, 51, 10] 10)

**syntax**

*-sum-mset-image* :: *pttrn*  $\Rightarrow$  *'b set*  $\Rightarrow$  *'a*  $\Rightarrow$  *'a::comm-monoid-add* (( $\exists \sum$  *-:#-*. -) [0, 51, 10] 10)

**translations**

$\sum i \in \# A. b \equiv \text{CONST } \text{sum-mset} (\text{CONST } \text{image-mset} (\lambda i. b) A)$

**context** *comm-monoid-add*

**begin**

**lemma** *sum-mset-sum-list:*

*sum-mset (mset xs) = sum-list xs*

$\langle$ *proof* $\rangle$

**end**

**context** *canonically-ordered-monoid-add*

**begin**

**lemma** *sum-mset-0-iff* [*simp*]:

*sum-mset M = 0*  $\longleftrightarrow$  ( $\forall x \in \text{set-mset } M. x = 0$ )

$\langle$ *proof* $\rangle$

**end**

**context** *ordered-comm-monoid-add*

**begin**

**lemma** *sum-mset-mono:*

*sum-mset (image-mset f K)  $\leq$  sum-mset (image-mset g K)*

**if**  $\bigwedge i. i \in \# K \implies f i \leq g i$

$\langle$ *proof* $\rangle$

**end**

**context** *cancel-comm-monoid-add*  
**begin**

**lemma** *sum-mset-diff*:

*sum-mset* ( $M - N$ ) = *sum-mset*  $M -$  *sum-mset*  $N$  **if**  $N \subseteq\# M$  **for**  $M N :: 'a$   
*multiset*  
 ⟨*proof*⟩

**end**

**context** *semiring-0*  
**begin**

**lemma** *sum-mset-distrib-left*:

$c * (\sum x \in\# M. f x) = (\sum x \in\# M. c * f(x))$   
 ⟨*proof*⟩

**lemma** *sum-mset-distrib-right*:

$(\sum x \in\# M. f x) * c = (\sum x \in\# M. f x * c)$   
 ⟨*proof*⟩

**end**

**lemma** *sum-mset-product*:

**fixes**  $f :: 'a::\{comm-monoid-add,times\} \Rightarrow 'b::semiring-0$   
**shows**  $(\sum i \in\# A. f i) * (\sum i \in\# B. g i) = (\sum i \in\# A. \sum j \in\# B. f i * g j)$   
 ⟨*proof*⟩

**context** *semiring-1*  
**begin**

**lemma** *sum-mset-replicate-mset* [*simp*]:

*sum-mset* (*replicate-mset*  $n a$ ) = *of-nat*  $n * a$   
 ⟨*proof*⟩

**lemma** *sum-mset-delta*:

*sum-mset* (*image-mset* ( $\lambda x. \text{if } x = y \text{ then } c \text{ else } 0$ )  $A$ ) =  $c * \text{of-nat}$  (*count*  $A y$ )  
 ⟨*proof*⟩

**lemma** *sum-mset-delta'*:

*sum-mset* (*image-mset* ( $\lambda x. \text{if } y = x \text{ then } c \text{ else } 0$ )  $A$ ) =  $c * \text{of-nat}$  (*count*  $A y$ )  
 ⟨*proof*⟩

**end**

**lemma** *of-nat-sum-mset* [*simp*]:

*of-nat* (*sum-mset*  $A$ ) = *sum-mset* (*image-mset* *of-nat*  $A$ )  
 ⟨*proof*⟩

**lemma** *size-eq-sum-mset*:

$size\ M = (\sum_{a \in \#M}. 1)$   
 ⟨proof⟩

**lemma** *size-mset-set* [simp]:

$size\ (mset\text{-}set\ A) = card\ A$   
 ⟨proof⟩

**lemma** *sum-mset-constant* [simp]:

**fixes**  $y :: 'b::semiring-1$   
**shows**  $\langle (\sum_{x \in \#A}. y) = of\text{-}nat\ (size\ A) * y \rangle$   
 ⟨proof⟩

**lemma** *set-mset-Union-mset*[simp]:  $set\text{-}mset\ (\sum_{\#} MM) = (\bigcup_{M \in set\text{-}mset\ MM}. set\text{-}mset\ M)$

⟨proof⟩

**lemma** *in-Union-mset-iff*[iff]:  $x \in \# \sum_{\#} MM \longleftrightarrow (\exists M. M \in \# MM \wedge x \in \# M)$

⟨proof⟩

**lemma** *count-sum*:

$count\ (sum\ f\ A)\ x = sum\ (\lambda a. count\ (f\ a)\ x)\ A$   
 ⟨proof⟩

**lemma** *sum-eq-empty-iff*:

**assumes** *finite A*  
**shows**  $sum\ f\ A = \{\#\} \longleftrightarrow (\forall a \in A. f\ a = \{\#\})$   
 ⟨proof⟩

**lemma** *Union-mset-empty-conv*[simp]:  $\sum_{\#} M = \{\#\} \longleftrightarrow (\forall i \in \#M. i = \{\#\})$

⟨proof⟩

**lemma** *Union-image-single-mset*[simp]:  $\sum_{\#} (image\text{-}mset\ (\lambda x. \{\#x\})\ m) = m$

⟨proof⟩

**lemma** *size-multiset-sum-mset* [simp]:  $size\ (\sum_{X \in \#A}. X :: 'a\ multiset) = (\sum_{X \in \#A}. size\ X)$

⟨proof⟩

**context** *comm-monoid-mult*

**begin**

**sublocale** *prod-mset: comm-monoid-mset times 1*

**defines**  $prod\text{-}mset = prod\text{-}mset.F$  ⟨proof⟩

**lemma** *prod-mset-empty*:

$prod\text{-}mset\ \{\#\} = 1$   
 ⟨proof⟩

**lemma** *prod-mset-singleton*:

$prod\text{-}mset \ \{\#x\# \} = x$   
 ⟨proof⟩

**lemma** *prod-mset-Un*:

$prod\text{-}mset \ (A + B) = prod\text{-}mset \ A * prod\text{-}mset \ B$   
 ⟨proof⟩

**lemma** *prod-mset-prod-list*:

$prod\text{-}mset \ (mset \ xs) = prod\text{-}list \ xs$   
 ⟨proof⟩

**lemma** *prod-mset-replicate-mset* [*simp*]:

$prod\text{-}mset \ (replicate\text{-}mset \ n \ a) = a \ ^{\wedge} \ n$   
 ⟨proof⟩

**lemma** *prod-unfold-prod-mset*:

$prod \ f \ A = prod\text{-}mset \ (image\text{-}mset \ f \ (mset\text{-}set \ A))$   
 ⟨proof⟩

**lemma** *prod-mset-multiplicity*:

$prod\text{-}mset \ M = prod \ (\lambda x. \ x \ ^{\wedge} \ count \ M \ x) \ (set\text{-}mset \ M)$   
 ⟨proof⟩

**lemma** *prod-mset-delta*:  $prod\text{-}mset \ (image\text{-}mset \ (\lambda x. \ if \ x = y \ then \ c \ else \ 1) \ A) =$   
 $c \ ^{\wedge} \ count \ A \ y$

⟨proof⟩

**lemma** *prod-mset-delta'*:  $prod\text{-}mset \ (image\text{-}mset \ (\lambda x. \ if \ y = x \ then \ c \ else \ 1) \ A) =$   
 $c \ ^{\wedge} \ count \ A \ y$

⟨proof⟩

**lemma** *prod-mset-subset-imp-dvd*:

**assumes**  $A \subseteq\# \ B$

**shows**  $prod\text{-}mset \ A \ dvd \ prod\text{-}mset \ B$

⟨proof⟩

**lemma** *dvd-prod-mset*:

**assumes**  $x \in\# \ A$

**shows**  $x \ dvd \ prod\text{-}mset \ A$

⟨proof⟩

**end**

**notation**  $prod\text{-}mset \ (\prod \ \#)$

**syntax** (*ASCII*)

$-prod\text{-}mset\text{-}image \ :: \ pptrn \ \Rightarrow \ 'b \ set \ \Rightarrow \ 'a \ \Rightarrow \ 'a::comm\text{-}monoid\text{-}mult \ ((\exists PROD$

$-\#-$   $-$ ) [0, 51, 10] 10)

**syntax**

$-prod-mset-image :: ptnr \Rightarrow 'b \text{ set} \Rightarrow 'a \Rightarrow 'a::comm-monoid-mult \ ((\exists \prod -\#-$   
 $-) [0, 51, 10] 10)$

**translations**

$\prod i \in\# A. b \equiv CONST \text{ prod-mset } (CONST \text{ image-mset } (\lambda i. b) A)$

**lemma**  $prod-mset-constant$  [simp]:  $(\prod -\# A. c) = c \wedge size A$   
 $\langle proof \rangle$

**lemma** (in  $semidom$ )  $prod-mset-zero-iff$  [iff]:  
 $prod-mset A = 0 \longleftrightarrow 0 \in\# A$   
 $\langle proof \rangle$

**lemma** (in  $semidom-divide$ )  $prod-mset-diff$ :  
**assumes**  $B \subseteq\# A$  **and**  $0 \notin\# B$   
**shows**  $prod-mset (A - B) = prod-mset A \text{ div } prod-mset B$   
 $\langle proof \rangle$

**lemma** (in  $semidom-divide$ )  $prod-mset-minus$ :  
**assumes**  $a \in\# A$  **and**  $a \neq 0$   
**shows**  $prod-mset (A - \{a\}) = prod-mset A \text{ div } a$   
 $\langle proof \rangle$

**lemma** (in  $normalization-semidom$ )  $normalize-prod-mset-normalize$ :  
 $normalize (prod-mset (image-mset normalize A)) = normalize (prod-mset A)$   
 $\langle proof \rangle$

**lemma** (in  $algebraic-semidom$ )  $is-unit-prod-mset-iff$ :  
 $is-unit (prod-mset A) \longleftrightarrow (\forall x \in\# A. is-unit x)$   
 $\langle proof \rangle$

**lemma** (in  $normalization-semidom-multiplicative$ )  $normalize-prod-mset$ :  
 $normalize (prod-mset A) = prod-mset (image-mset normalize A)$   
 $\langle proof \rangle$

**lemma** (in  $normalization-semidom-multiplicative$ )  $normalized-prod-msetI$ :  
**assumes**  $\bigwedge a. a \in\# A \implies normalize a = a$   
**shows**  $normalize (prod-mset A) = prod-mset A$   
 $\langle proof \rangle$

**lemma**  $image-prod-mset-multiplicity$ :  
 $prod-mset (image-mset f M) = prod (\lambda x. f x \wedge count M x) (set-mset M)$   
 $\langle proof \rangle$

## 68.12 Multiset as order-ignorant lists

**context**  $linorder$   
**begin**

**lemma** *mset-insort* [*simp*]:  
 $mset (insort\text{-}key\ k\ x\ xs) = add\text{-}mset\ x\ (mset\ xs)$   
 ⟨*proof*⟩

**lemma** *mset-sort* [*simp*]:  
 $mset (sort\text{-}key\ k\ xs) = mset\ xs$   
 ⟨*proof*⟩

This lemma shows which properties suffice to show that a function  $f$  with  $f\ xs = ys$  behaves like `sort`.

**lemma** *properties-for-sort-key*:  
**assumes**  $mset\ ys = mset\ xs$   
**and**  $\bigwedge k. k \in set\ ys \implies filter\ (\lambda x. f\ k = f\ x)\ ys = filter\ (\lambda x. f\ k = f\ x)\ xs$   
**and**  $sorted\ (map\ f\ ys)$   
**shows**  $sort\text{-}key\ f\ xs = ys$   
 ⟨*proof*⟩

**lemma** *properties-for-sort*:  
**assumes** *multiset*:  $mset\ ys = mset\ xs$   
**and**  $sorted\ ys$   
**shows**  $sort\ xs = ys$   
 ⟨*proof*⟩

**lemma** *sort-key-inj-key-eq*:  
**assumes** *mset-equal*:  $mset\ xs = mset\ ys$   
**and**  $inj\text{-}on\ f\ (set\ xs)$   
**and**  $sorted\ (map\ f\ ys)$   
**shows**  $sort\text{-}key\ f\ xs = ys$   
 ⟨*proof*⟩

**lemma** *sort-key-eq-sort-key*:  
**assumes**  $mset\ xs = mset\ ys$   
**and**  $inj\text{-}on\ f\ (set\ xs)$   
**shows**  $sort\text{-}key\ f\ xs = sort\text{-}key\ f\ ys$   
 ⟨*proof*⟩

**lemma** *sort-key-by-quicksort*:  
 $sort\text{-}key\ f\ xs = sort\text{-}key\ f\ [x \leftarrow xs. f\ x < f\ (xs\ !\ (length\ xs\ div\ 2))]$   
 @  $[x \leftarrow xs. f\ x = f\ (xs\ !\ (length\ xs\ div\ 2))]$   
 @  $sort\text{-}key\ f\ [x \leftarrow xs. f\ x > f\ (xs\ !\ (length\ xs\ div\ 2))]$  (**is**  $sort\text{-}key\ f\ ?lhs = ?rhs$ )  
 ⟨*proof*⟩

**lemma** *sort-by-quicksort*:  
 $sort\ xs = sort\ [x \leftarrow xs. x < xs\ !\ (length\ xs\ div\ 2)]$   
 @  $[x \leftarrow xs. x = xs\ !\ (length\ xs\ div\ 2)]$   
 @  $sort\ [x \leftarrow xs. x > xs\ !\ (length\ xs\ div\ 2)]$  (**is**  $sort\ ?lhs = ?rhs$ )  
 ⟨*proof*⟩

A stable parameterized quicksort



**definition** *part* :: ('b ⇒ 'a) ⇒ 'a ⇒ 'b list ⇒ 'b list × 'b list × 'b list **where**  
*part f pivot xs* = ([x ← xs. f x < pivot], [x ← xs. f x = pivot], [x ← xs. pivot < f x])

**lemma** *part-code* [code]:  
*part f pivot []* = ([], [], [])  
*part f pivot (x # xs)* = (let (lts, eqs, gts) = *part f pivot xs*; x' = f x in  
 if x' < pivot then (x # lts, eqs, gts)  
 else if x' > pivot then (lts, eqs, x # gts)  
 else (lts, x # eqs, gts))  
 ⟨proof⟩

**lemma** *sort-key-by-quicksort-code* [code]:  
*sort-key f xs* =  
 (case xs of  
 [] ⇒ []  
 | [x] ⇒ xs  
 | [x, y] ⇒ (if f x ≤ f y then xs else [y, x])  
 | - ⇒  
 let (lts, eqs, gts) = *part f* (f (xs ! (length xs div 2))) xs  
 in *sort-key f lts @ eqs @ sort-key f gts*)  
 ⟨proof⟩

**end**

**hide-const** (open) *part*

**lemma** *mset-remdups-subset-eq*: *mset (remdups xs) ⊆# mset xs*  
 ⟨proof⟩

**lemma** *mset-update*:  
*i < length ls ⇒ mset (ls[i := v]) = add-mset v (mset ls - {#ls ! i#})*  
 ⟨proof⟩

**lemma** *mset-swap*:  
*i < length ls ⇒ j < length ls ⇒*  
*mset (ls[j := ls ! i, i := ls ! j]) = mset ls*  
 ⟨proof⟩

**lemma** *mset-eq-finite*:  
 ⟨finite {ys. mset ys = mset xs}⟩  
 ⟨proof⟩

### 68.13 The multiset order

**definition** *mult1* :: ('a × 'a) set ⇒ ('a multiset × 'a multiset) set **where**  
*mult1 r* = {(N, M). ∃ a MO K. M = add-mset a MO ∧ N = MO + K ∧  
 (∀ b. b ∈# K ⇒ (b, a) ∈ r)}

**definition**  $mult :: ('a \times 'a) set \Rightarrow ('a multiset \times 'a multiset) set$  **where**  
 $mult\ r = (mult1\ r)^+$

**definition**  $multp :: ('a \Rightarrow 'a \Rightarrow bool) \Rightarrow 'a multiset \Rightarrow 'a multiset \Rightarrow bool$  **where**  
 $multp\ r\ M\ N \longleftrightarrow (M, N) \in mult\ \{(x, y). r\ x\ y\}$

**declare**  $multp-def[pred-set-conv]$

**lemma**  $mult1I$ :

**assumes**  $M = add-mset\ a\ M0$  **and**  $N = M0 + K$  **and**  $\bigwedge b. b \in \# K \Longrightarrow (b, a) \in r$   
**shows**  $(N, M) \in mult1\ r$   
 $\langle proof \rangle$

**lemma**  $mult1E$ :

**assumes**  $(N, M) \in mult1\ r$   
**obtains**  $a\ M0\ K$  **where**  $M = add-mset\ a\ M0$   $N = M0 + K$   $\bigwedge b. b \in \# K \Longrightarrow (b, a) \in r$   
 $\langle proof \rangle$

**lemma**  $mono-mult1$ :

**assumes**  $r \subseteq r'$  **shows**  $mult1\ r \subseteq mult1\ r'$   
 $\langle proof \rangle$

**lemma**  $mono-mult$ :

**assumes**  $r \subseteq r'$  **shows**  $mult\ r \subseteq mult\ r'$   
 $\langle proof \rangle$

**lemma**  $mono-multp[mono]$ :  $r \leq r' \Longrightarrow multp\ r \leq multp\ r'$   
 $\langle proof \rangle$

**lemma**  $not-less-empty$  [iff]:  $(M, \{\#\}) \notin mult1\ r$   
 $\langle proof \rangle$

### 68.13.1 Well-foundedness

**lemma**  $less-add$ :

**assumes**  $mult1: (N, add-mset\ a\ M0) \in mult1\ r$   
**shows**  
 $(\exists M. (M, M0) \in mult1\ r \wedge N = add-mset\ a\ M) \vee$   
 $(\exists K. (\forall b. b \in \# K \longrightarrow (b, a) \in r) \wedge N = M0 + K)$   
 $\langle proof \rangle$

**lemma**  $all-accessible$ :

**assumes**  $wf\ r$   
**shows**  $\forall M. M \in Wellfounded.acc\ (mult1\ r)$   
 $\langle proof \rangle$

**lemma**  $wf-mult1$ :  $wf\ r \Longrightarrow wf\ (mult1\ r)$

*<proof>*

**lemma** *wf-mult*:  $wf\ r \implies wf\ (mult\ r)$   
*<proof>*

**lemma** *wfP-multp*:  $wfP\ r \implies wfP\ (multp\ r)$   
*<proof>*

### 68.13.2 Closure-free presentation

One direction.

**lemma** *mult-implies-one-step*:

**assumes**

*trans*:  $trans\ r$  **and**

*MN*:  $(M, N) \in mult\ r$

**shows**  $\exists I\ J\ K. N = I + J \wedge M = I + K \wedge J \neq \{\#\} \wedge (\forall k \in set\text{-}mset\ K. \exists j$   
 $\in set\text{-}mset\ J. (k, j) \in r)$

*<proof>*

**lemma** *multp-implies-one-step*:

$transp\ R \implies multp\ R\ M\ N \implies \exists I\ J\ K. N = I + J \wedge M = I + K \wedge J \neq \{\#\}$   
 $\wedge (\forall k \in \#K. \exists x \in \#J. R\ k\ x)$

*<proof>*

**lemma** *one-step-implies-mult*:

**assumes**

$J \neq \{\#\}$  **and**

$\forall k \in set\text{-}mset\ K. \exists j \in set\text{-}mset\ J. (k, j) \in r$

**shows**  $(I + K, I + J) \in mult\ r$

*<proof>*

**lemma** *one-step-implies-multp*:

$J \neq \{\#\} \implies \forall k \in \#K. \exists j \in \#J. R\ k\ j \implies multp\ R\ (I + K)\ (I + J)$

*<proof>*

**lemma** *subset-implies-mult*:

**assumes** *sub*:  $A \subset \# B$

**shows**  $(A, B) \in mult\ r$

*<proof>*

**lemma** *subset-implies-multp*:  $A \subset \# B \implies multp\ r\ A\ B$

*<proof>*

**lemma** *multp-repeat-mset-repeat-msetI*:

**assumes** *transp* *R* **and** *multp* *R* *A* *B* **and**  $n \neq 0$

**shows**  $multp\ R\ (repeat\text{-}mset\ n\ A)\ (repeat\text{-}mset\ n\ B)$

*<proof>*

**68.13.3 Monotonicity****lemma** *multp-mono-strong*:**assumes** *multp R M1 M2 and transp R and**S-if-R:  $\bigwedge x y. x \in \text{set-mset } M1 \implies y \in \text{set-mset } M2 \implies R x y \implies S x y$* **shows** *multp S M1 M2**<proof>***lemma** *mult-mono-strong*:**assumes** *(M1, M2)  $\in$  mult r and trans r and**S-if-R:  $\bigwedge x y. x \in \text{set-mset } M1 \implies y \in \text{set-mset } M2 \implies (x, y) \in r \implies (x, y) \in s$* **shows** *(M1, M2)  $\in$  mult s**<proof>***lemma** *monotone-on-multp-multp-image-mset*:**assumes** *monotone-on A orda ordb f and transp orda***shows** *monotone-on  $\{M. \text{set-mset } M \subseteq A\}$  (multp orda) (multp ordb) (image-mset f)**<proof>***lemma** *monotone-multp-multp-image-mset*:**assumes** *monotone orda ordb f and transp orda***shows** *monotone (multp orda) (multp ordb) (image-mset f)**<proof>***lemma** *multp-image-mset-image-msetI*:**assumes** *multp ( $\lambda x y. R (f x) (f y)$ ) M1 M2 and transp R***shows** *multp R (image-mset f M1) (image-mset f M2)**<proof>***lemma** *multp-image-mset-image-msetD*:**assumes***multp R (image-mset f A) (image-mset f B) and**transp R and**inj-on-f: inj-on f (set-mset A  $\cup$  set-mset B)***shows** *multp ( $\lambda x y. R (f x) (f y)$ ) A B**<proof>***68.13.4 The multiset extension is cancellative for multiset union****lemma** *mult-cancel*:**assumes** *trans s and irrefl-on (set-mset Z) s***shows** *(X + Z, Y + Z)  $\in$  mult s  $\longleftrightarrow$  (X, Y)  $\in$  mult s (is ?L  $\longleftrightarrow$  ?R)**<proof>***lemma** *multp-cancel*:*transp R  $\implies$  irreflp-on (set-mset Z) R  $\implies$  multp R (X + Z) (Y + Z)  $\longleftrightarrow$  multp R X Y**<proof>*

**lemma** *mult-cancel-add-mset*:

$\text{trans } r \implies \text{irrefl-on } \{z\} r \implies$   
 $((\text{add-mset } z X, \text{add-mset } z Y) \in \text{mult } r) = ((X, Y) \in \text{mult } r)$   
 ⟨proof⟩

**lemma** *multp-cancel-add-mset*:

$\text{transp } R \implies \text{irreflp-on } \{z\} R \implies \text{multp } R (\text{add-mset } z X) (\text{add-mset } z Y) =$   
 $\text{multp } R X Y$   
 ⟨proof⟩

**lemma** *mult-cancel-max0*:

**assumes**  $\text{trans } s$  **and**  $\text{irrefl-on } (\text{set-mset } X \cap \text{set-mset } Y) s$   
**shows**  $(X, Y) \in \text{mult } s \iff (X - X \cap\# Y, Y - X \cap\# Y) \in \text{mult } s$  (**is** ?L  
 $\iff ?R$ )  
 ⟨proof⟩

**lemma** *mult-cancel-max*:

$\text{trans } r \implies \text{irrefl-on } (\text{set-mset } X \cap \text{set-mset } Y) r \implies$   
 $(X, Y) \in \text{mult } r \iff (X - Y, Y - X) \in \text{mult } r$   
 ⟨proof⟩

**lemma** *multp-cancel-max*:

$\text{transp } R \implies \text{irreflp-on } (\text{set-mset } X \cap \text{set-mset } Y) R \implies \text{multp } R X Y \iff$   
 $\text{multp } R (X - Y) (Y - X)$   
 ⟨proof⟩

### 68.13.5 Strict partial-order properties

**lemma** *mult1-lessE*:

**assumes**  $(N, M) \in \text{mult1 } \{(a, b). r a b\}$  **and**  $\text{asympt } r$   
**obtains**  $a M0 K$  **where**  $M = \text{add-mset } a M0 N = M0 + K$   
 $a \notin\# K \wedge b \in\# K \implies r b a$   
 ⟨proof⟩

**lemma** *trans-on-mult*:

**assumes**  $\text{trans-on } A r$  **and**  $\bigwedge M. M \in B \implies \text{set-mset } M \subseteq A$   
**shows**  $\text{trans-on } B (\text{mult } r)$   
 ⟨proof⟩

**lemma** *trans-mult*:  $\text{trans } r \implies \text{trans } (\text{mult } r)$

⟨proof⟩

**lemma** *transp-on-multip*:

**assumes**  $\text{transp-on } A r$  **and**  $\bigwedge M. M \in B \implies \text{set-mset } M \subseteq A$   
**shows**  $\text{transp-on } B (\text{multp } r)$   
 ⟨proof⟩

**lemma** *transp-multip*:  $\text{transp } r \implies \text{transp } (\text{multp } r)$

*<proof>*

**lemma** *irrefl-mult*:  
**assumes** *trans r irrefl r*  
**shows** *irrefl (mult r)*  
*<proof>*

**lemma** *irreflp-mult*: *transp R  $\implies$  irreflp R  $\implies$  irreflp (mult R)*  
*<proof>*

**instantiation** *multiset* :: (*preorder*) *order begin*

**definition** *less-multiset* :: '*a multiset*  $\Rightarrow$  '*a multiset*  $\Rightarrow$  *bool*  
**where** *M < N  $\longleftrightarrow$  multp (<) M N*

**definition** *less-eq-multiset* :: '*a multiset*  $\Rightarrow$  '*a multiset*  $\Rightarrow$  *bool*  
**where** *less-eq-multiset M N  $\longleftrightarrow$  M < N  $\vee$  M = N*

**instance**  
*<proof>*

**end**

**lemma** *mset-le-irrefl* [*elim!*]:  
**fixes** *M :: 'a::preorder multiset*  
**shows** *M < M  $\implies$  R*  
*<proof>*

**lemma** *wfP-less-multiset*[*simp*]:  
**assumes** *wfP-less: wfP ((<) :: ('a :: preorder)  $\Rightarrow$  'a  $\Rightarrow$  bool)*  
**shows** *wfP ((<) :: 'a multiset  $\Rightarrow$  'a multiset  $\Rightarrow$  bool)*  
*<proof>*

### 68.13.6 Strict total-order properties

**lemma** *total-on-mult*:  
**assumes** *total-on A r and trans r and  $\bigwedge M. M \in B \implies \text{set-mset } M \subseteq A$*   
**shows** *total-on B (mult r)*  
*<proof>*

**lemma** *total-mult*: *total r  $\implies$  trans r  $\implies$  total (mult r)*  
*<proof>*

**lemma** *totalp-on-multp*:  
*totalp-on A R  $\implies$  transp R  $\implies$  ( $\bigwedge M. M \in B \implies \text{set-mset } M \subseteq A$ )  $\implies$  totalp-on B (multp R)*  
*<proof>*

**lemma** *totalp-multp*: *totalp R  $\implies$  transp R  $\implies$  totalp (multp R)*

*<proof>*

### 68.14 Quasi-executable version of the multiset extension

Predicate variants of *mult* and the reflexive closure of *mult*, which are executable whenever the given predicate *P* is. Together with the standard code equations for  $(\cap\#)$  and  $(-)$  this should yield quadratic (with respect to calls to *P*) implementations of *multp-code* and *multeqp-code*.

**definition** *multp-code* :: ('a  $\Rightarrow$  'a  $\Rightarrow$  bool)  $\Rightarrow$  'a multiset  $\Rightarrow$  'a multiset  $\Rightarrow$  bool  
**where**

$$\begin{aligned} \text{multp-code } P \ N \ M = & \\ & (\text{let } Z = M \cap\# \ N; \ X = M - Z \ \text{in} \\ & \ X \neq \{\#\} \wedge (\text{let } Y = N - Z \ \text{in } (\forall y \in \text{set-mset } Y. \exists x \in \text{set-mset } X. P \ y \ x))) \end{aligned}$$

**definition** *multeqp-code* :: ('a  $\Rightarrow$  'a  $\Rightarrow$  bool)  $\Rightarrow$  'a multiset  $\Rightarrow$  'a multiset  $\Rightarrow$  bool  
**where**

$$\begin{aligned} \text{multeqp-code } P \ N \ M = & \\ & (\text{let } Z = M \cap\# \ N; \ X = M - Z; \ Y = N - Z \ \text{in} \\ & \ (\forall y \in \text{set-mset } Y. \exists x \in \text{set-mset } X. P \ y \ x)) \end{aligned}$$

**lemma** *multp-code-iff-mult*:

**assumes** *irrefl-on* (set-mset *N*  $\cap$  set-mset *M*) *R* **and** *trans* *R* **and**

[*simp*]:  $\bigwedge x \ y. P \ x \ y \longleftrightarrow (x, y) \in R$

**shows** *multp-code* *P* *N* *M*  $\longleftrightarrow (N, M) \in \text{mult } R$  (**is** ?*L*  $\longleftrightarrow$  ?*R*)

*<proof>*

**lemma** *multp-code-iff-multp*:

*irrefl-on* (set-mset *M*  $\cap$  set-mset *N*) *R*  $\Longrightarrow$  *transp* *R*  $\Longrightarrow$  *multp-code* *R* *M* *N*  
 $\longleftrightarrow$  *multp* *R* *M* *N*

*<proof>*

**lemma** *multp-code-eq-multp*:

**assumes** *irrefl* *R* **and** *transp* *R*

**shows** *multp-code* *R* = *multp* *R*

*<proof>*

**lemma** *multeqp-code-iff-reflcl-mult*:

**assumes** *irrefl-on* (set-mset *N*  $\cap$  set-mset *M*) *R* **and** *trans* *R* **and**  $\bigwedge x \ y. P \ x \ y$   
 $\longleftrightarrow (x, y) \in R$

**shows** *multeqp-code* *P* *N* *M*  $\longleftrightarrow (N, M) \in (\text{mult } R)^=$

*<proof>*

**lemma** *multeqp-code-iff-reflclp-multp*:

*irrefl-on* (set-mset *M*  $\cap$  set-mset *N*) *R*  $\Longrightarrow$  *transp* *R*  $\Longrightarrow$  *multeqp-code* *R* *M* *N*  
 $\longleftrightarrow (\text{multp } R)^{==} \ M \ N$

*<proof>*

**lemma** *multeqp-code-eq-reflclp-multp*:

**assumes** *irrefl* *R* **and** *transp* *R*

**shows** *multeqp-code*  $R = (\text{multp } R)^{==}$   
 ⟨*proof*⟩

### 68.14.1 Monotonicity of multiset union

**lemma** *mult1-union*:  $(B, D) \in \text{mult1 } r \implies (C + B, C + D) \in \text{mult1 } r$   
 ⟨*proof*⟩

**lemma** *union-le-mono2*:  $B < D \implies C + B < C + (D :: 'a :: \text{preorder multiset})$   
 ⟨*proof*⟩

**lemma** *union-le-mono1*:  $B < D \implies B + C < D + (C :: 'a :: \text{preorder multiset})$   
 ⟨*proof*⟩

**lemma** *union-less-mono*:

**fixes**  $A B C D :: 'a :: \text{preorder multiset}$

**shows**  $A < C \implies B < D \implies A + B < C + D$

⟨*proof*⟩

**instantiation** *multiset* :: (*preorder*) *ordered-ab-semigroup-add*

**begin**

**instance**

⟨*proof*⟩

**end**

### 68.14.2 Termination proofs with multiset orders

**lemma** *multi-member-skip*:  $x \in\# XS \implies x \in\# \{\# y \# \} + XS$

**and** *multi-member-this*:  $x \in\# \{\# x \# \} + XS$

**and** *multi-member-last*:  $x \in\# \{\# x \# \}$

⟨*proof*⟩

**definition** *ms-strict* = *mult pair-less*

**definition** *ms-weak* = *ms-strict*  $\cup$  *Id*

**lemma** *ms-reduction-pair*: *reduction-pair* (*ms-strict*, *ms-weak*)

⟨*proof*⟩

**lemma** *smsI*:

$(\text{set-mset } A, \text{set-mset } B) \in \text{max-strict} \implies (Z + A, Z + B) \in \text{ms-strict}$

⟨*proof*⟩

**lemma** *wmsI*:

$(\text{set-mset } A, \text{set-mset } B) \in \text{max-strict} \vee A = \{\#\} \wedge B = \{\#\}$

$\implies (Z + A, Z + B) \in \text{ms-weak}$

⟨*proof*⟩

**inductive** *pw-leq*

**where**

*pw-leq-empty*: *pw-leq*  $\{\#\} \{\#\}$



| *pw-leq-step*:  $\llbracket (x,y) \in \text{pair-leq}; \text{pw-leq } X Y \rrbracket \implies \text{pw-leq } (\{\#x\# \} + X) (\{\#y\# \} + Y)$

**lemma** *pw-leq-lstep*:

$(x, y) \in \text{pair-leq} \implies \text{pw-leq } \{\#x\# \} \{\#y\# \}$   
 ⟨*proof*⟩

**lemma** *pw-leq-split*:

**assumes** *pw-leq*  $X Y$   
**shows**  $\exists A B Z. X = A + Z \wedge Y = B + Z \wedge ((\text{set-mset } A, \text{set-mset } B) \in \text{max-strict} \vee (B = \{\#\} \wedge A = \{\#\}))$   
 ⟨*proof*⟩

**lemma**

**assumes** *pwleq*: *pw-leq*  $Z Z'$   
**shows** *ms-strictI*:  $(\text{set-mset } A, \text{set-mset } B) \in \text{max-strict} \implies (Z + A, Z' + B) \in \text{ms-strict}$   
**and** *ms-weakI1*:  $(\text{set-mset } A, \text{set-mset } B) \in \text{max-strict} \implies (Z + A, Z' + B) \in \text{ms-weak}$   
**and** *ms-weakI2*:  $(Z + \{\#\}, Z' + \{\#\}) \in \text{ms-weak}$   
 ⟨*proof*⟩

**lemma** *empty-neutral*:  $\{\#\} + x = x \quad x + \{\#\} = x$

**and** *nonempty-plus*:  $\{\# x \#\} + rs \neq \{\#\}$

**and** *nonempty-single*:  $\{\# x \#\} \neq \{\#\}$

⟨*proof*⟩

⟨*ML*⟩

## 68.15 Legacy theorem bindings

**lemmas** *multi-count-eq = multiset-eq-iff* [*symmetric*]

**lemma** *union-commute*:  $M + N = N + (M::'a \text{ multiset})$

⟨*proof*⟩

**lemma** *union-assoc*:  $(M + N) + K = M + (N + (K::'a \text{ multiset}))$

⟨*proof*⟩

**lemma** *union-lcomm*:  $M + (N + K) = N + (M + (K::'a \text{ multiset}))$

⟨*proof*⟩

**lemmas** *union-ac = union-assoc union-commute union-lcomm add-mset-commute*

**lemma** *union-right-cancel*:  $M + K = N + K \longleftrightarrow M = (N::'a \text{ multiset})$

⟨*proof*⟩

**lemma** *union-left-cancel*:  $K + M = K + N \longleftrightarrow M = (N::'a \text{ multiset})$

⟨*proof*⟩

**lemma** *multi-union-self-other-eq*:  $(A::'a \text{ multiset}) + X = A + Y \implies X = Y$   
 ⟨proof⟩

**lemma** *mset-subset-trans*:  $(M::'a \text{ multiset}) \subset\# K \implies K \subset\# N \implies M \subset\# N$   
 ⟨proof⟩

**lemma** *multiset-inter-commute*:  $A \cap\# B = B \cap\# A$   
 ⟨proof⟩

**lemma** *multiset-inter-assoc*:  $A \cap\# (B \cap\# C) = A \cap\# B \cap\# C$   
 ⟨proof⟩

**lemma** *multiset-inter-left-commute*:  $A \cap\# (B \cap\# C) = B \cap\# (A \cap\# C)$   
 ⟨proof⟩

**lemmas** *multiset-inter-ac* =  
*multiset-inter-commute*  
*multiset-inter-assoc*  
*multiset-inter-left-commute*

**lemma** *mset-le-not-refl*:  $\neg M < (M::'a::\text{preorder multiset})$   
 ⟨proof⟩

**lemma** *mset-le-trans*:  $K < M \implies M < N \implies K < (N::'a::\text{preorder multiset})$   
 ⟨proof⟩

**lemma** *mset-le-not-sym*:  $M < N \implies \neg N < (M::'a::\text{preorder multiset})$   
 ⟨proof⟩

**lemma** *mset-le-asym*:  $M < N \implies (\neg P \implies N < (M::'a::\text{preorder multiset})) \implies P$   
 ⟨proof⟩

⟨ML⟩

## 68.16 Naive implementation using lists

**code-datatype** *mset*

**lemma** [code]:  $\{\#\} = \text{mset } []$   
 ⟨proof⟩

**lemma** [code]:  $\text{add-mset } x (\text{mset } xs) = \text{mset } (x \# xs)$   
 ⟨proof⟩

**lemma** [code]:  $\text{Multiset.is-empty } (\text{mset } xs) \longleftrightarrow \text{List.null } xs$   
 ⟨proof⟩

**lemma** *union-code* [code]:  $mset\ xs + mset\ ys = mset\ (xs\ @\ ys)$   
 ⟨proof⟩

**lemma** [code]:  $image\ mset\ f\ (mset\ xs) = mset\ (map\ f\ xs)$   
 ⟨proof⟩

**lemma** [code]:  $filter\ mset\ f\ (mset\ xs) = mset\ (filter\ f\ xs)$   
 ⟨proof⟩

**lemma** [code]:  $mset\ xs - mset\ ys = mset\ (fold\ remove1\ ys\ xs)$   
 ⟨proof⟩

**lemma** [code]:  
 $mset\ xs \cap\# mset\ ys =$   
 $mset\ (snd\ (fold\ (\lambda x\ (ys,\ zs)).$   
 $\text{if } x \in set\ ys \text{ then } (remove1\ x\ ys,\ x\ \# zs) \text{ else } (ys,\ zs))\ xs\ (ys,\ []))$   
 ⟨proof⟩

**lemma** [code]:  
 $mset\ xs \cup\# mset\ ys =$   
 $mset\ (case\ prod\ append\ (fold\ (\lambda x\ (ys,\ zs)).\ (remove1\ x\ ys,\ x\ \# zs))\ xs\ (ys,\ []))$   
 ⟨proof⟩

**declare** *in-multiset-in-set* [code-unfold]

**lemma** [code]:  $count\ (mset\ xs)\ x = fold\ (\lambda y.\ \text{if } x = y \text{ then } Suc \text{ else } id)\ xs\ 0$   
 ⟨proof⟩

**declare** *set-mset-mset* [code]

**declare** *sorted-list-of-multiset-mset* [code]

**lemma** [code]: — not very efficient, but representation-ignorant!  
 $mset\ set\ A = mset\ (sorted\ list\ of\ set\ A)$   
 ⟨proof⟩

**declare** *size-mset* [code]

**fun** *subset-eq-mset-impl* :: 'a list  $\Rightarrow$  'a list  $\Rightarrow$  bool option **where**  
 $subset\ eq\ mset\ impl\ []\ ys = Some\ (ys\ \neq\ [])$   
 |  $subset\ eq\ mset\ impl\ (Cons\ x\ xs)\ ys = (case\ List.extract\ ((=)\ x)\ ys\ of$   
 $None \Rightarrow None$   
 $| Some\ (ys1,\ -,ys2) \Rightarrow subset\ eq\ mset\ impl\ xs\ (ys1\ @\ ys2))$

**lemma** *subset-eq-mset-impl*:  $(subset\ eq\ mset\ impl\ xs\ ys = None \iff \neg mset\ xs$   
 $\subseteq\# mset\ ys) \wedge$   
 $(subset\ eq\ mset\ impl\ xs\ ys = Some\ True \iff mset\ xs \subset\# mset\ ys) \wedge$   
 $(subset\ eq\ mset\ impl\ xs\ ys = Some\ False \implies mset\ xs = mset\ ys)$   
 ⟨proof⟩

**lemma** [code]:  $mset\ xs \subseteq\# \ mset\ ys \longleftrightarrow subset\text{-}eq\text{-}mset\text{-}impl\ xs\ ys \neq None$   
 ⟨proof⟩

**lemma** [code]:  $mset\ xs \subset\# \ mset\ ys \longleftrightarrow subset\text{-}eq\text{-}mset\text{-}impl\ xs\ ys = Some\ True$   
 ⟨proof⟩

**instantiation** *multiset* :: (equal) equal  
**begin**

**definition**

[code del]:  $HOL.equal\ A\ (B :: 'a\ multiset) \longleftrightarrow A = B$

**lemma** [code]:  $HOL.equal\ (mset\ xs)\ (mset\ ys) \longleftrightarrow subset\text{-}eq\text{-}mset\text{-}impl\ xs\ ys = Some\ False$   
 ⟨proof⟩

**instance**

⟨proof⟩

**end**

**declare** *sum-mset-sum-list* [code]

**lemma** [code]:  $prod\text{-}mset\ (mset\ xs) = fold\ times\ xs\ 1$   
 ⟨proof⟩

Exercise for the casual reader: add implementations for  $(\leq)$  and  $(<)$  (multiset order).

Quickcheck generators

**context**

**includes** *term-syntax*

**begin**

**definition**

$msetify :: 'a::typerep\ list \times (unit \Rightarrow Code\text{-}Evaluation.term)$   
 $\Rightarrow 'a\ multiset \times (unit \Rightarrow Code\text{-}Evaluation.term)$  **where**

[code-unfold]:  $msetify\ xs = Code\text{-}Evaluation.valtermify\ mset\ \{\cdot\}\ xs$

**end**

**instantiation** *multiset* :: (random) random

**begin**

**context**

**includes** *state-combinator-syntax*

**begin**

**definition**

$Quickcheck\text{-}Random.random\ i = Quickcheck\text{-}Random.random\ i \circ\rightarrow (\lambda xs. Pair$

(*msetify xs*)

**instance** *<proof>*

**end**

**end**

**instantiation** *multiset* :: (*full-exhaustive*) *full-exhaustive*  
**begin**

**definition** *full-exhaustive-multiset* :: (*'a multiset* × (*unit* ⇒ *term*) ⇒ (*bool* × *term list*) *option*) ⇒ *natural* ⇒ (*bool* × *term list*) *option*

**where**

*full-exhaustive-multiset f i* = *Quickcheck-Exhaustive.full-exhaustive* ( $\lambda xs. f (msetify xs)$ ) *i*

**instance** *<proof>*

**end**

**hide-const** (**open**) *msetify*

## 68.17 BNF setup

**definition** *rel-mset* **where**

*rel-mset R X Y*  $\longleftrightarrow (\exists xs\ ys. mset\ xs = X \wedge mset\ ys = Y \wedge list-all2\ R\ xs\ ys)$

**lemma** *mset-zip-take-Cons-drop-twice*:

**assumes** *length xs = length ys j* ≤ *length xs*

**shows** *mset (zip (take j xs @ x # drop j xs) (take j ys @ y # drop j ys)) = add-mset (x,y) (mset (zip xs ys))*

*<proof>*

**lemma** *ex-mset-zip-left*:

**assumes** *length xs = length ys mset xs' = mset xs*

**shows**  $\exists ys'. length\ ys' = length\ xs' \wedge mset\ (zip\ xs'\ ys') = mset\ (zip\ xs\ ys)$

*<proof>*

**lemma** *list-all2-reorder-left-invariance*:

**assumes** *rel: list-all2 R xs ys* **and** *ms-x: mset xs' = mset xs*

**shows**  $\exists ys'. list-all2\ R\ xs'\ ys' \wedge mset\ ys' = mset\ ys$

*<proof>*

**lemma** *ex-mset*:  $\exists xs. mset\ xs = X$

*<proof>*

**inductive** *pred-mset* :: (*'a* ⇒ *bool*) ⇒ *'a multiset* ⇒ *bool*

**where**

*pred-mset*  $P \{ \# \}$   
 $| \llbracket P \ a; \text{pred-mset } P \ M \rrbracket \implies \text{pred-mset } P \ (\text{add-mset } a \ M)$

**lemma** *pred-mset-iff*: — TODO: alias for *Multiset.Ball*  
 $\langle \text{pred-mset } P \ M \longleftrightarrow \text{Multiset.Ball } M \ P \rangle$  (**is**  $\langle ?P \longleftrightarrow ?Q \rangle$ )  
 $\langle \text{proof} \rangle$

**bnf** *'a multiset*  
*map*: *image-mset*  
*sets*: *set-mset*  
*bd*: *natLeq*  
*wits*:  $\{ \# \}$   
*rel*: *rel-mset*  
*pred*: *pred-mset*  
 $\langle \text{proof} \rangle$

**inductive** *rel-mset'* ::  $\langle ('a \Rightarrow 'b \Rightarrow \text{bool}) \Rightarrow 'a \ \text{multiset} \Rightarrow 'b \ \text{multiset} \Rightarrow \text{bool} \rangle$   
**where**

*Zero*[*intro*]: *rel-mset'*  $R \{ \# \} \{ \# \}$   
 $| \text{Plus}$ [*intro*]:  $\llbracket R \ a \ b; \text{rel-mset}' \ R \ M \ N \rrbracket \implies \text{rel-mset}' \ R \ (\text{add-mset } a \ M) \ (\text{add-mset } b \ N)$

**lemma** *rel-mset-Zero*: *rel-mset*  $R \{ \# \} \{ \# \}$   
 $\langle \text{proof} \rangle$

**declare** *multiset.count*[*simp*]  
**declare** *count-Abs-multiset*[*simp*]  
**declare** *multiset.count-inverse*[*simp*]

**lemma** *rel-mset-Plus*:  
**assumes** *ab*:  $R \ a \ b$   
**and** *MN*: *rel-mset*  $R \ M \ N$   
**shows** *rel-mset*  $R \ (\text{add-mset } a \ M) \ (\text{add-mset } b \ N)$   
 $\langle \text{proof} \rangle$

**lemma** *rel-mset'-imp-rel-mset*: *rel-mset'*  $R \ M \ N \implies \text{rel-mset } R \ M \ N$   
 $\langle \text{proof} \rangle$

**lemma** *rel-mset-size*: *rel-mset*  $R \ M \ N \implies \text{size } M = \text{size } N$   
 $\langle \text{proof} \rangle$

**lemma** *rel-mset-Zero-iff* [*simp*]:  
**shows** *rel-mset rel*  $\{ \# \} \ Y \longleftrightarrow Y = \{ \# \}$  **and** *rel-mset rel*  $X \{ \# \} \longleftrightarrow X = \{ \# \}$   
 $\langle \text{proof} \rangle$

**lemma** *multiset-induct2*[*case-names empty addL addR*]:  
**assumes** *empty*:  $P \{ \# \} \{ \# \}$   
**and** *addL*:  $\bigwedge a \ M \ N. P \ M \ N \implies P \ (\text{add-mset } a \ M) \ N$   
**and** *addR*:  $\bigwedge a \ M \ N. P \ M \ N \implies P \ M \ (\text{add-mset } a \ N)$

**shows**  $P M N$   
 ⟨proof⟩

**lemma** *multiset-induct2-size*[*consumes 1, case-names empty add*]:  
**assumes**  $c: \text{size } M = \text{size } N$   
**and empty:**  $P \{\#\} \{\#\}$   
**and add:**  $\bigwedge a b M N a b. P M N \implies P (\text{add-mset } a M) (\text{add-mset } b N)$   
**shows**  $P M N$   
 ⟨proof⟩

**lemma** *msed-map-invL*:  
**assumes**  $\text{image-mset } f (\text{add-mset } a M) = N$   
**shows**  $\exists N1. N = \text{add-mset } (f a) N1 \wedge \text{image-mset } f M = N1$   
 ⟨proof⟩

**lemma** *msed-map-invR*:  
**assumes**  $\text{image-mset } f M = \text{add-mset } b N$   
**shows**  $\exists M1 a. M = \text{add-mset } a M1 \wedge f a = b \wedge \text{image-mset } f M1 = N$   
 ⟨proof⟩

**lemma** *msed-rel-invL*:  
**assumes**  $\text{rel-mset } R (\text{add-mset } a M) N$   
**shows**  $\exists N1 b. N = \text{add-mset } b N1 \wedge R a b \wedge \text{rel-mset } R M N1$   
 ⟨proof⟩

**lemma** *msed-rel-invR*:  
**assumes**  $\text{rel-mset } R M (\text{add-mset } b N)$   
**shows**  $\exists M1 a. M = \text{add-mset } a M1 \wedge R a b \wedge \text{rel-mset } R M1 N$   
 ⟨proof⟩

**lemma** *rel-mset-imp-rel-mset'*:  
**assumes**  $\text{rel-mset } R M N$   
**shows**  $\text{rel-mset}' R M N$   
 ⟨proof⟩

**lemma** *rel-mset-rel-mset'*:  $\text{rel-mset } R M N = \text{rel-mset}' R M N$   
 ⟨proof⟩

The main end product for *rel-mset*: inductive characterization:

**lemmas** *rel-mset-induct*[*case-names empty add, induct pred: rel-mset*] =  
*rel-mset'.induct*[*unfolded rel-mset-rel-mset'*[*symmetric*]]

## 68.18 Size setup

**lemma** *size-multiset-o-map*:  $\text{size-multiset } g \circ \text{image-mset } f = \text{size-multiset } (g \circ f)$   
 ⟨proof⟩

⟨ML⟩

**68.19 Lemmas about Size**

**lemma** *size-mset-SucE*:  $size\ A = Suc\ n \implies (\bigwedge a\ B.\ A = \{\#a\# \} + B \implies size\ B = n \implies P) \implies P$   
 ⟨proof⟩

**lemma** *size-Suc-Diff1*:  $x \in\# M \implies Suc\ (size\ (M - \{\#x\# \})) = size\ M$   
 ⟨proof⟩

**lemma** *size-Diff-singleton*:  $x \in\# M \implies size\ (M - \{\#x\# \}) = size\ M - 1$   
 ⟨proof⟩

**lemma** *size-Diff-singleton-if*:  $size\ (A - \{\#x\# \}) = (if\ x \in\# A\ then\ size\ A - 1\ else\ size\ A)$   
 ⟨proof⟩

**lemma** *size-Un-Int*:  $size\ A + size\ B = size\ (A \cup\# B) + size\ (A \cap\# B)$   
 ⟨proof⟩

**lemma** *size-Un-disjoint*:  $A \cap\# B = \{\#\} \implies size\ (A \cup\# B) = size\ A + size\ B$   
 ⟨proof⟩

**lemma** *size-Diff-subset-Int*:  $size\ (M - M') = size\ M - size\ (M \cap\# M')$   
 ⟨proof⟩

**lemma** *diff-size-le-size-Diff*:  $size\ (M :: -\ multiset) - size\ M' \leq size\ (M - M')$   
 ⟨proof⟩

**lemma** *size-Diff1-less*:  $x \in\# M \implies size\ (M - \{\#x\# \}) < size\ M$   
 ⟨proof⟩

**lemma** *size-Diff2-less*:  $x \in\# M \implies y \in\# M \implies size\ (M - \{\#x\# \} - \{\#y\# \}) < size\ M$   
 ⟨proof⟩

**lemma** *size-Diff1-le*:  $size\ (M - \{\#x\# \}) \leq size\ M$   
 ⟨proof⟩

**lemma** *size-psubset*:  $M \subseteq\# M' \implies size\ M < size\ M' \implies M \subset\# M'$   
 ⟨proof⟩

**lifting-update** *multiset.lifting*

**lifting-forget** *multiset.lifting*

**hide-const (open)** *wcount*

**end**



## 69 More Theorems about the Multiset Order

```
theory Multiset-Order
imports Multiset
begin
```

### 69.1 Alternative Characterizations

#### 69.1.1 The Dershowitz–Manna Ordering

**definition** *multp<sub>DM</sub>* where

$$\text{multp}_{DM} r M N \longleftrightarrow (\exists X Y. X \neq \{\#\} \wedge X \subseteq\# N \wedge M = (N - X) + Y \wedge (\forall k. k \in\# Y \longrightarrow (\exists a. a \in\# X \wedge r k a)))$$

**lemma** *multp<sub>DM</sub>-imp-multp*:

$$\text{multp}_{DM} r M N \Longrightarrow \text{multp} r M N$$

*<proof>*

#### 69.1.2 The Huet–Oppen Ordering

**definition** *multp<sub>HO</sub>* where

$$\text{multp}_{HO} r M N \longleftrightarrow M \neq N \wedge (\forall y. \text{count } N y < \text{count } M y \longrightarrow (\exists x. r y x \wedge \text{count } M x < \text{count } N x))$$

**lemma** *multp-imp-multp<sub>HO</sub>*:

**assumes** *asympt r and transp r*  
**shows**  $\text{multp} r M N \Longrightarrow \text{multp}_{HO} r M N$   
*<proof>*

**lemma** *multp<sub>HO</sub>-imp-multp<sub>DM</sub>*:  $\text{multp}_{HO} r M N \Longrightarrow \text{multp}_{DM} r M N$

*<proof>*

**lemma** *multp-eq-multp<sub>DM</sub>*:  $\text{asympt } r \Longrightarrow \text{transp } r \Longrightarrow \text{multp } r = \text{multp}_{DM} r$

*<proof>*

**lemma** *multp-eq-multp<sub>HO</sub>*:  $\text{asympt } r \Longrightarrow \text{transp } r \Longrightarrow \text{multp } r = \text{multp}_{HO} r$

*<proof>*

**lemma** *multp<sub>DM</sub>-plus-plusI[simp]*:

**assumes**  $\text{multp}_{DM} R M1 M2$   
**shows**  $\text{multp}_{DM} R (M + M1) (M + M2)$   
*<proof>*

**lemma** *multp<sub>HO</sub>-plus-plus[simp]*:  $\text{multp}_{HO} R (M + M1) (M + M2) \longleftrightarrow \text{multp}_{HO} R M1 M2$

*<proof>*

**lemma** *strict-subset-implies-multp<sub>DM</sub>*:  $A \subset\# B \Longrightarrow \text{multp}_{DM} r A B$

*<proof>*

**lemma** *strict-subset-implies-multp<sub>HO</sub>*:  $A \subset\# B \implies \text{multp}_{HO} r A B$   
 ⟨proof⟩

**lemma** *multp<sub>HO</sub>-implies-one-step-strong*:  
**assumes**  $\text{multp}_{HO} R A B$   
**defines**  $J \equiv B - A$  **and**  $K \equiv A - B$   
**shows**  $J \neq \{\#\}$  **and**  $\forall k \in\# K. \exists x \in\# J. R k x$   
 ⟨proof⟩

**lemma** *multp<sub>HO</sub>-minus-inter-minus-inter-iff*:  
**fixes**  $M1 M2 :: \text{- multiset}$   
**shows**  $\text{multp}_{HO} R (M1 - M2) (M2 - M1) \longleftrightarrow \text{multp}_{HO} R M1 M2$   
 ⟨proof⟩

**lemma** *multp<sub>HO</sub>-iff-set-mset-less<sub>HO</sub>-set-mset*:  
 $\text{multp}_{HO} R M1 M2 \longleftrightarrow (\text{set-mset } (M1 - M2) \neq \text{set-mset } (M2 - M1) \wedge$   
 $(\forall y \in\# M1 - M2. (\exists x \in\# M2 - M1. R y x)))$   
 ⟨proof⟩

### 69.1.3 Monotonicity

**lemma** *multp<sub>DM</sub>-mono-strong*:  
 $\text{multp}_{DM} R M1 M2 \implies (\bigwedge x y. x \in\# M1 \implies y \in\# M2 \implies R x y \implies S x y)$   
 $\implies \text{multp}_{DM} S M1 M2$   
 ⟨proof⟩

**lemma** *multp<sub>HO</sub>-mono-strong*:  
 $\text{multp}_{HO} R M1 M2 \implies (\bigwedge x y. x \in\# M1 \implies y \in\# M2 \implies R x y \implies S x y)$   
 $\implies \text{multp}_{HO} S M1 M2$   
 ⟨proof⟩

### 69.1.4 Properties of Orders

**Asymmetry** The following lemma is a negative result stating that asymmetry of an arbitrary binary relation cannot be simply lifted to  $\text{multp}_{HO}$ . It suffices to have four distinct values to build a counterexample.

**lemma** *asympt-not-liftable-to-multp<sub>HO</sub>*:  
**fixes**  $a b c d :: 'a$   
**assumes**  $\text{distinct } [a, b, c, d]$   
**shows**  $\neg (\forall (R :: 'a \Rightarrow 'a \Rightarrow \text{bool}). \text{asympt } R \longrightarrow \text{asympt } (\text{multp}_{HO} R))$   
 ⟨proof⟩

However, if the binary relation is both asymmetric and transitive, then  $\text{multp}_{HO}$  is also asymmetric.

**lemma** *asympt-on-multp<sub>HO</sub>*:  
**assumes**  $\text{asympt-on } A R$  **and**  $\text{transp-on } A R$  **and**  
 $B\text{-sub-}A: \bigwedge M. M \in B \implies \text{set-mset } M \subseteq A$   
**shows**  $\text{asympt-on } B (\text{multp}_{HO} R)$

*<proof>*

**lemma** *asympt-multp<sub>HO</sub>*:  
**assumes** *asympt R and transp R*  
**shows** *asympt (multp<sub>HO</sub> R)*  
*<proof>*

**Irreflexivity lemma** *irreflp-on-multp<sub>HO</sub>[simp]*: *irreflp-on B (multp<sub>HO</sub> R)*  
*<proof>*

**Transitivity lemma** *transp-on-multp<sub>HO</sub>*:  
**assumes** *asympt-on A R and transp-on A R and*  
*B-sub-A:  $\bigwedge M. M \in B \implies \text{set-mset } M \subseteq A$*   
**shows** *transp-on B (multp<sub>HO</sub> R)*  
*<proof>*

**lemma** *transp-multp<sub>HO</sub>*:  
**assumes** *asympt R and transp R*  
**shows** *transp (multp<sub>HO</sub> R)*  
*<proof>*

**Totality lemma** *totalp-on-multp<sub>DM</sub>*:  
*totalp-on A R  $\implies$  ( $\bigwedge M. M \in B \implies \text{set-mset } M \subseteq A$ )  $\implies$  totalp-on B (multp<sub>DM</sub> R)*  
*<proof>*

**lemma** *totalp-multp<sub>DM</sub>*: *totalp R  $\implies$  totalp (multp<sub>DM</sub> R)*  
*<proof>*

**lemma** *totalp-on-multp<sub>HO</sub>*:  
*totalp-on A R  $\implies$  ( $\bigwedge M. M \in B \implies \text{set-mset } M \subseteq A$ )  $\implies$  totalp-on B (multp<sub>HO</sub> R)*  
*<proof>*

**lemma** *totalp-multp<sub>HO</sub>*: *totalp R  $\implies$  totalp (multp<sub>HO</sub> R)*  
*<proof>*

**Type Classes** context *preorder*  
**begin**

**lemma** *order-mult: class.order*  
*( $\lambda M N. (M, N) \in \text{mult } \{(x, y). x < y\} \vee M = N$ )*  
*( $\lambda M N. (M, N) \in \text{mult } \{(x, y). x < y\}$ )*  
*(is class.order ?le ?less)*  
*<proof>*

The Dershowitz–Manna ordering:

**definition** *less-multiset<sub>DM</sub>* **where**  
*less-multiset<sub>DM</sub> M N  $\longleftrightarrow$*

$(\exists X Y. X \neq \{\#\} \wedge X \subseteq\# N \wedge M = (N - X) + Y \wedge (\forall k. k \in\# Y \longrightarrow (\exists a. a \in\# X \wedge k < a)))$

The Huet–Oppen ordering:

**definition** *less-multiset<sub>HO</sub>* **where**

$less\_multiset_{HO} M N \longleftrightarrow M \neq N \wedge (\forall y. count N y < count M y \longrightarrow (\exists x. y < x \wedge count M x < count N x))$

**lemma** *mult-imp-less-multiset<sub>HO</sub>*:

$(M, N) \in mult \{(x, y). x < y\} \Longrightarrow less\_multiset_{HO} M N$   
 ⟨proof⟩

**lemma** *less-multiset<sub>DM</sub>-imp-mult*:

$less\_multiset_{DM} M N \Longrightarrow (M, N) \in mult \{(x, y). x < y\}$   
 ⟨proof⟩

**lemma** *less-multiset<sub>HO</sub>-imp-less-multiset<sub>DM</sub>*:  $less\_multiset_{HO} M N \Longrightarrow less\_multiset_{DM} M N$

⟨proof⟩

**lemma** *mult-less-multiset<sub>DM</sub>*:  $(M, N) \in mult \{(x, y). x < y\} \longleftrightarrow less\_multiset_{DM} M N$

⟨proof⟩

**lemma** *mult-less-multiset<sub>HO</sub>*:  $(M, N) \in mult \{(x, y). x < y\} \longleftrightarrow less\_multiset_{HO} M N$

⟨proof⟩

**lemmas**  $mult_{DM} = mult\_less\_multiset_{DM}$  [unfolded *less-multiset<sub>DM</sub>-def*]

**lemmas**  $mult_{HO} = mult\_less\_multiset_{HO}$  [unfolded *less-multiset<sub>HO</sub>-def*]

**end**

**lemma** *less-multiset-less-multiset<sub>HO</sub>*:  $M < N \longleftrightarrow less\_multiset_{HO} M N$

⟨proof⟩

**lemma** *less-multiset<sub>DM</sub>*:

$M < N \longleftrightarrow (\exists X Y. X \neq \{\#\} \wedge X \subseteq\# N \wedge M = N - X + Y \wedge (\forall k. k \in\# Y \longrightarrow (\exists a. a \in\# X \wedge k < a)))$

⟨proof⟩

**lemma** *less-multiset<sub>HO</sub>*:

$M < N \longleftrightarrow M \neq N \wedge (\forall y. count N y < count M y \longrightarrow (\exists x>y. count M x < count N x))$

⟨proof⟩

**lemma** *subset-eq-imp-le-multiset*:

**shows**  $M \subseteq\# N \Longrightarrow M \leq N$

⟨proof⟩

**lemma** *le-multiset-right-total*:  $M < \text{add-mset } x \ M$   
 ⟨proof⟩

**lemma** *less-eq-multiset-empty-left[simp]*:  
**shows**  $\{\#\} \leq M$   
 ⟨proof⟩

**lemma** *ex-gt-imp-less-multiset*:  $(\exists y. y \in\# \ N \wedge (\forall x. x \in\# \ M \longrightarrow x < y)) \Longrightarrow M < N$   
 ⟨proof⟩

**lemma** *less-eq-multiset-empty-right[simp]*:  $M \neq \{\#\} \Longrightarrow \neg M \leq \{\#\}$   
 ⟨proof⟩

**lemma** *le-multiset-empty-left[simp]*:  $M \neq \{\#\} \Longrightarrow \{\#\} < M$   
 ⟨proof⟩

**lemma** *le-multiset-empty-right[simp]*:  $\neg M < \{\#\}$   
 ⟨proof⟩

**lemma** *union-le-diff-plus*:  $P \subseteq\# \ M \Longrightarrow N < P \Longrightarrow M - P + N < M$   
 ⟨proof⟩

**instantiation** *multiset* :: (preorder) ordered-ab-semigroup-monoid-add-imp-le  
**begin**

**lemma** *less-eq-multiset<sub>HO</sub>*:  
 $M \leq N \iff (\forall y. \text{count } N \ y < \text{count } M \ y \longrightarrow (\exists x. y < x \wedge \text{count } M \ x < \text{count } N \ x))$   
 ⟨proof⟩

**instance** ⟨proof⟩

**lemma**  
**fixes**  $M \ N :: 'a \ \text{multiset}$   
**shows**  
*less-eq-multiset-plus-left*:  $N \leq (M + N)$  **and**  
*less-eq-multiset-plus-right*:  $M \leq (M + N)$   
 ⟨proof⟩

**lemma**  
**fixes**  $M \ N :: 'a \ \text{multiset}$   
**shows**  
*le-multiset-plus-left-nonempty*:  $M \neq \{\#\} \Longrightarrow N < M + N$  **and**

*le-multiset-plus-right-nonempty*:  $N \neq \{\#\} \implies M < M + N$   
 ⟨proof⟩

**end**

**lemma** *all-lt-Max-imp-lt-mset*:  $N \neq \{\#\} \implies (\forall a \in\# M. a < \text{Max}(\text{set-mset } N)) \implies M < N$   
 ⟨proof⟩

**lemma** *lt-imp-ex-count-lt*:  $M < N \implies \exists y. \text{count } M y < \text{count } N y$   
 ⟨proof⟩

**lemma** *subset-imp-less-mset*:  $A \subset\# B \implies A < B$   
 ⟨proof⟩

**lemma** *image-mset-strict-mono*:

**assumes**

*mono-f*:  $\forall x \in \text{set-mset } M. \forall y \in \text{set-mset } N. x < y \longrightarrow f x < f y$  **and**  
*less*:  $M < N$

**shows**  $\text{image-mset } f M < \text{image-mset } f N$   
 ⟨proof⟩

**lemma** *image-mset-mono*:

**assumes**

*mono-f*:  $\forall x \in \text{set-mset } M. \forall y \in \text{set-mset } N. x < y \longrightarrow f x < f y$  **and**  
*less*:  $M \leq N$

**shows**  $\text{image-mset } f M \leq \text{image-mset } f N$   
 ⟨proof⟩

**lemma** *mset-lt-single-right-iff[simp]*:  $M < \{\#y\# \} \longleftrightarrow (\forall x \in\# M. x < y)$  **for**  $y$   
 :: 'a::linorder  
 ⟨proof⟩

**lemma** *mset-le-single-right-iff[simp]*:

$M \leq \{\#y\# \} \longleftrightarrow M = \{\#y\# \} \vee (\forall x \in\# M. x < y)$  **for**  $y$  :: 'a::linorder  
 ⟨proof⟩

### 69.1.5 Simplifications

**lemma** *multp<sub>HO</sub>-repeat-mset-repeat-mset[simp]*:

**assumes**  $n \neq 0$

**shows**  $\text{multp}_{HO} R (\text{repeat-mset } n A) (\text{repeat-mset } n B) \longleftrightarrow \text{multp}_{HO} R A B$   
 ⟨proof⟩

**lemma** *multp<sub>HO</sub>-double-double[simp]*:  $\text{multp}_{HO} R (A + A) (B + B) \longleftrightarrow \text{multp}_{HO} R A B$   
 ⟨proof⟩

## 69.2 Simprocs

**lemma** *mset-le-add-iff1*:

$j \leq (i::nat) \implies (\text{repeat-mset } i \ u + m \leq \text{repeat-mset } j \ u + n) = (\text{repeat-mset } (i-j) \ u + m \leq n)$   
 ⟨proof⟩

**lemma** *mset-le-add-iff2*:

$i \leq (j::nat) \implies (\text{repeat-mset } i \ u + m \leq \text{repeat-mset } j \ u + n) = (m \leq \text{repeat-mset } (j-i) \ u + n)$   
 ⟨proof⟩

⟨ML⟩

## 69.3 Additional facts and instantiations

**lemma** *ex-gt-count-imp-le-multiset*:

$(\forall y :: 'a :: \text{order}. y \in\# M + N \longrightarrow y \leq x) \implies \text{count } M \ x < \text{count } N \ x \implies M < N$   
 ⟨proof⟩

**lemma** *mset-lt-single-iff[iff]*:  $\{\#x\# \} < \{\#y\# \} \longleftrightarrow x < y$   
 ⟨proof⟩

**lemma** *mset-le-single-iff[iff]*:  $\{\#x\# \} \leq \{\#y\# \} \longleftrightarrow x \leq y$  **for**  $x \ y :: 'a::\text{order}$   
 ⟨proof⟩

**instance** *multiset* ::  $(\text{linorder}) \ \text{linordered-cancel-ab-semigroup-add}$   
 ⟨proof⟩

**lemma** *less-eq-multiset-total*:

**fixes**  $M \ N :: 'a :: \text{linorder multiset}$   
**shows**  $\neg M \leq N \implies N \leq M$   
 ⟨proof⟩

**instantiation** *multiset* ::  $(\text{wellorder}) \ \text{wellorder}$   
**begin**

**lemma** *wf-less-multiset*:  $\text{wf } \{(M :: 'a \ \text{multiset}, N). M < N\}$   
 ⟨proof⟩

**instance**  
 ⟨proof⟩

**end**

**instantiation** *multiset* ::  $(\text{preorder}) \ \text{order-bot}$   
**begin**

**definition** *bot-multiset* ::  $'a \ \text{multiset}$  **where**  $\text{bot-multiset} = \{\#\}$

**instance**  $\langle proof \rangle$

**end**

**instance** *multiset* :: (preorder) no-top  
 $\langle proof \rangle$

**instance** *multiset* :: (preorder) ordered-cancel-comm-monoid-add  
 $\langle proof \rangle$

**instantiation** *multiset* :: (linorder) distrib-lattice  
**begin**

**definition** *inf-multiset* :: 'a multiset  $\Rightarrow$  'a multiset  $\Rightarrow$  'a multiset **where**  
*inf-multiset* A B = (if A < B then A else B)

**definition** *sup-multiset* :: 'a multiset  $\Rightarrow$  'a multiset  $\Rightarrow$  'a multiset **where**  
*sup-multiset* A B = (if B > A then B else A)

**instance**  
 $\langle proof \rangle$

**end**

**lemma** *add-mset-lt-left-lt*:  $a < b \Longrightarrow add-mset\ a\ A < add-mset\ b\ A$   
 $\langle proof \rangle$

**lemma** *add-mset-le-left-le*:  $a \leq b \Longrightarrow add-mset\ a\ A \leq add-mset\ b\ A$  **for**  $a :: 'a :: linorder$   
 $\langle proof \rangle$

**lemma** *add-mset-lt-right-lt*:  $A < B \Longrightarrow add-mset\ a\ A < add-mset\ a\ B$   
 $\langle proof \rangle$

**lemma** *add-mset-le-right-le*:  $A \leq B \Longrightarrow add-mset\ a\ A \leq add-mset\ a\ B$   
 $\langle proof \rangle$

**lemma** *add-mset-lt-lt-lt*:  
**assumes** *a-lt-b*:  $a < b$  **and** *A-le-B*:  $A < B$   
**shows**  $add-mset\ a\ A < add-mset\ b\ B$   
 $\langle proof \rangle$

**lemma** *add-mset-lt-lt-le*:  $a < b \Longrightarrow A \leq B \Longrightarrow add-mset\ a\ A < add-mset\ b\ B$   
 $\langle proof \rangle$

**lemma** *add-mset-lt-le-lt*:  $a \leq b \Longrightarrow A < B \Longrightarrow add-mset\ a\ A < add-mset\ b\ B$  **for**  
 $a :: 'a :: linorder$   
 $\langle proof \rangle$



**lemma** *add-mset-le-le-le*:

**fixes**  $a :: 'a :: \text{linorder}$

**assumes**  $a\text{-le-}b: a \leq b$  **and**  $A\text{-le-}B: A \leq B$

**shows**  $\text{add-mset } a\ A \leq \text{add-mset } b\ B$

*<proof>*

**lemma** *Max-lt-imp-lt-mset*:

**assumes**  $n\text{-nemp}: N \neq \{\#\}$  **and**  $\text{max}: \text{Max-mset } M < \text{Max-mset } N$  (**is**  $?max\text{-}M < ?max\text{-}N$ )

**shows**  $M < N$

*<proof>*

**end**

## 70 Fixed Length Lists

**theory** *NList*

**imports** *Main*

**begin**

**definition**  $nlists :: \text{nat} \Rightarrow 'a\ \text{set} \Rightarrow 'a\ \text{list}\ \text{set}$

**where**  $nlists\ n\ A = \{xs. \text{size } xs = n \wedge \text{set } xs \subseteq A\}$

**lemma**  $nlistsI: \llbracket \text{size } xs = n; \text{set } xs \subseteq A \rrbracket \Longrightarrow xs \in nlists\ n\ A$

*<proof>*

These [simp] attributes are double-edged. Many proofs in Jinja rely on it but they can degrade performance.

**lemma**  $nlistsE\text{-length}\ [simp]: xs \in nlists\ n\ A \Longrightarrow \text{size } xs = n$

*<proof>*

**lemma**  $in\text{-}nlists\text{-}UNIV: xs \in nlists\ k\ UNIV \longleftrightarrow \text{length } xs = k$

*<proof>*

**lemma**  $less\text{-}lengthI: \llbracket xs \in nlists\ n\ A; p < n \rrbracket \Longrightarrow p < \text{size } xs$

*<proof>*

**lemma**  $nlistsE\text{-set}[simp]: xs \in nlists\ n\ A \Longrightarrow \text{set } xs \subseteq A$

*<proof>*

**lemma**  $nlists\text{-}mono$ :

**assumes**  $A \subseteq B$  **shows**  $nlists\ n\ A \subseteq nlists\ n\ B$

*<proof>*

**lemma**  $nlists\text{-}singleton: nlists\ n\ \{a\} = \{\text{replicate } n\ a\}$

*<proof>*

**lemma**  $nlists\text{-}n\text{-}0\ [simp]: nlists\ 0\ A = \{\}\}$

⟨proof⟩

**lemma** *in-nlists-Suc-iff*:  $(xs \in nlists (Suc\ n)\ A) = (\exists y \in A. \exists ys \in nlists\ n\ A. xs = y\#\ ys)$

⟨proof⟩

**lemma** *Cons-in-nlists-Suc [iff]*:  $(x\#\ xs \in nlists (Suc\ n)\ A) \longleftrightarrow (x \in A \wedge xs \in nlists\ n\ A)$

⟨proof⟩

**lemma** *nlists-Suc*:  $nlists (Suc\ n)\ A = (\bigcup a \in A. (\#\ a\ '\ nlists\ n\ A)$

⟨proof⟩

**lemma** *nlists-not-empty*:  $A \neq \{\} \implies \exists xs. xs \in nlists\ n\ A$

⟨proof⟩

**lemma** *nlistsE-nth-in*:  $\llbracket xs \in nlists\ n\ A; i < n \rrbracket \implies xs!\ i \in A$

⟨proof⟩

**lemma** *nlists-Cons-Suc [elim!]*:

$l\#\ xs \in nlists\ n\ A \implies (\bigwedge n'. n = Suc\ n' \implies l \in A \implies xs \in nlists\ n'\ A \implies P) \implies P$

⟨proof⟩

**lemma** *nlists-appendE [elim!]*:

$a\@b \in nlists\ n\ A \implies (\bigwedge n1\ n2. n = n1 + n2 \implies a \in nlists\ n1\ A \implies b \in nlists\ n2\ A \implies P) \implies P$

⟨proof⟩

**lemma** *nlists-update-in-list [simp, intro!]*:

$\llbracket xs \in nlists\ n\ A; x \in A \rrbracket \implies xs[i := x] \in nlists\ n\ A$

⟨proof⟩

**lemma** *nlists-appendI [intro?]*:

$\llbracket a \in nlists\ n\ A; b \in nlists\ m\ A \rrbracket \implies a\@b \in nlists\ (n+m)\ A$

⟨proof⟩

**lemma** *nlists-append*:

$xs\@ys \in nlists\ k\ A \longleftrightarrow$

$k = length(xs\@ys) \wedge xs \in nlists\ (length\ xs)\ A \wedge ys \in nlists\ (length\ ys)\ A$

⟨proof⟩

**lemma** *nlists-map [simp]*:  $(map\ f\ xs \in nlists\ (size\ xs)\ A) = (f\ '\ set\ xs \subseteq A)$

⟨proof⟩

**lemma** *nlists-replicateI [intro]*:  $x \in A \implies replicate\ n\ x \in nlists\ n\ A$

⟨proof⟩

Link to an executable version on lists in List.

**lemma** *nlists-set*[code]: *nlists* *n* (*set* *xs*) = *set*(*List.n-lists* *n* *xs*)  
 ⟨*proof*⟩

**end**

## 71 Non-negative, non-positive integers and reals

**theory** *Nonpos-Ints*  
**imports** *Complex-Main*  
**begin**

### 71.1 Non-positive integers

The set of non-positive integers on a ring. (in analogy to the set of non-negative integers  $\mathbf{N}$ ) This is useful e.g. for the Gamma function.

**definition** *nonpos-Ints* ( $\mathbf{Z}_{\leq 0}$ ) **where**  $\mathbf{Z}_{\leq 0} = \{\text{of-int } n \mid n. n \leq 0\}$

**lemma** *zero-in-nonpos-Ints* [*simp,intro*]:  $0 \in \mathbf{Z}_{\leq 0}$   
 ⟨*proof*⟩

**lemma** *neg-one-in-nonpos-Ints* [*simp,intro*]:  $-1 \in \mathbf{Z}_{\leq 0}$   
 ⟨*proof*⟩

**lemma** *neg-numeral-in-nonpos-Ints* [*simp,intro*]:  $-\text{numeral } n \in \mathbf{Z}_{\leq 0}$   
 ⟨*proof*⟩

**lemma** *one-notin-nonpos-Ints* [*simp*]:  $(1 :: 'a :: \text{ring-char-0}) \notin \mathbf{Z}_{\leq 0}$   
 ⟨*proof*⟩

**lemma** *numeral-notin-nonpos-Ints* [*simp*]:  $(\text{numeral } n :: 'a :: \text{ring-char-0}) \notin \mathbf{Z}_{\leq 0}$   
 ⟨*proof*⟩

**lemma** *minus-of-nat-in-nonpos-Ints* [*simp, intro*]:  $-\text{of-nat } n \in \mathbf{Z}_{\leq 0}$   
 ⟨*proof*⟩

**lemma** *of-nat-in-nonpos-Ints-iff*:  $(\text{of-nat } n :: 'a :: \{\text{ring-1,ring-char-0}\}) \in \mathbf{Z}_{\leq 0}$   
 $\iff n = 0$   
 ⟨*proof*⟩

**lemma** *nonpos-Ints-of-int*:  $n \leq 0 \implies \text{of-int } n \in \mathbf{Z}_{\leq 0}$   
 ⟨*proof*⟩

**lemma** *nonpos-IntsI*:  
 $x \in \mathbf{Z} \implies x \leq 0 \implies (x :: 'a :: \text{linordered-idom}) \in \mathbf{Z}_{\leq 0}$   
 ⟨*proof*⟩

**lemma** *nonpos-Ints-subset-Ints*:  $\mathbf{Z}_{\leq 0} \subseteq \mathbf{Z}$   
 ⟨*proof*⟩

**lemma** *nonpos-Ints-nonpos* [dest]:  $x \in \mathbf{Z}_{\leq 0} \implies x \leq (0 :: 'a :: \text{linordered-idom})$   
 ⟨proof⟩

**lemma** *nonpos-Ints-Int* [dest]:  $x \in \mathbf{Z}_{\leq 0} \implies x \in \mathbf{Z}$   
 ⟨proof⟩

**lemma** *nonpos-Ints-cases*:  
 assumes  $x \in \mathbf{Z}_{\leq 0}$   
 obtains  $n$  where  $x = \text{of-int } n$   $n \leq 0$   
 ⟨proof⟩

**lemma** *nonpos-Ints-cases'*:  
 assumes  $x \in \mathbf{Z}_{\leq 0}$   
 obtains  $n$  where  $x = -\text{of-nat } n$   
 ⟨proof⟩

**lemma** *of-real-in-nonpos-Ints-iff*:  $(\text{of-real } x :: 'a :: \text{real-algebra-1}) \in \mathbf{Z}_{\leq 0} \longleftrightarrow x \in \mathbf{Z}_{\leq 0}$   
 ⟨proof⟩

**lemma** *nonpos-Ints-altdef*:  $\mathbf{Z}_{\leq 0} = \{n \in \mathbf{Z}. (n :: 'a :: \text{linordered-idom}) \leq 0\}$   
 ⟨proof⟩

**lemma** *uminus-in-Nats-iff*:  $-x \in \mathbf{N} \longleftrightarrow x \in \mathbf{Z}_{\leq 0}$   
 ⟨proof⟩

**lemma** *uminus-in-nonpos-Ints-iff*:  $-x \in \mathbf{Z}_{\leq 0} \longleftrightarrow x \in \mathbf{N}$   
 ⟨proof⟩

**lemma** *nonpos-Ints-mult*:  $x \in \mathbf{Z}_{\leq 0} \implies y \in \mathbf{Z}_{\leq 0} \implies x * y \in \mathbf{N}$   
 ⟨proof⟩

**lemma** *Nats-mult-nonpos-Ints*:  $x \in \mathbf{N} \implies y \in \mathbf{Z}_{\leq 0} \implies x * y \in \mathbf{Z}_{\leq 0}$   
 ⟨proof⟩

**lemma** *nonpos-Ints-mult-Nats*:  
 $x \in \mathbf{Z}_{\leq 0} \implies y \in \mathbf{N} \implies x * y \in \mathbf{Z}_{\leq 0}$   
 ⟨proof⟩

**lemma** *nonpos-Ints-add*:  
 $x \in \mathbf{Z}_{\leq 0} \implies y \in \mathbf{Z}_{\leq 0} \implies x + y \in \mathbf{Z}_{\leq 0}$   
 ⟨proof⟩

**lemma** *nonpos-Ints-diff-Nats*:  
 $x \in \mathbf{Z}_{\leq 0} \implies y \in \mathbf{N} \implies x - y \in \mathbf{Z}_{\leq 0}$   
 ⟨proof⟩

**lemma** *Nats-diff-nonpos-Ints*:

$x \in \mathbf{N} \implies y \in \mathbf{Z}_{\leq 0} \implies x - y \in \mathbf{N}$   
 ⟨proof⟩

**lemma** *plus-of-nat-eq-0-imp*:  $z + \text{of-nat } n = 0 \implies z \in \mathbf{Z}_{\leq 0}$   
 ⟨proof⟩

## 71.2 Non-negative reals

**definition** *nonneg-Reals* :: 'a::real-algebra-1 set ( $\mathbf{R}_{\geq 0}$ )  
 where  $\mathbf{R}_{\geq 0} = \{\text{of-real } r \mid r. r \geq 0\}$

**lemma** *nonneg-Reals-of-real-iff* [simp]:  $\text{of-real } r \in \mathbf{R}_{\geq 0} \iff r \geq 0$   
 ⟨proof⟩

**lemma** *nonneg-Reals-subset-Reals*:  $\mathbf{R}_{\geq 0} \subseteq \mathbf{R}$   
 ⟨proof⟩

**lemma** *nonneg-Reals-Real* [dest]:  $x \in \mathbf{R}_{\geq 0} \implies x \in \mathbf{R}$   
 ⟨proof⟩

**lemma** *nonneg-Reals-of-nat-I* [simp]:  $\text{of-nat } n \in \mathbf{R}_{\geq 0}$   
 ⟨proof⟩

**lemma** *nonneg-Reals-cases*:  
 assumes  $x \in \mathbf{R}_{\geq 0}$   
 obtains  $r$  where  $x = \text{of-real } r$   $r \geq 0$   
 ⟨proof⟩

**lemma** *nonneg-Reals-zero-I* [simp]:  $0 \in \mathbf{R}_{\geq 0}$   
 ⟨proof⟩

**lemma** *nonneg-Reals-one-I* [simp]:  $1 \in \mathbf{R}_{\geq 0}$   
 ⟨proof⟩

**lemma** *nonneg-Reals-minus-one-I* [simp]:  $-1 \notin \mathbf{R}_{\geq 0}$   
 ⟨proof⟩

**lemma** *nonneg-Reals-numeral-I* [simp]:  $\text{numeral } w \in \mathbf{R}_{\geq 0}$   
 ⟨proof⟩

**lemma** *nonneg-Reals-minus-numeral-I* [simp]:  $-\text{numeral } w \notin \mathbf{R}_{\geq 0}$   
 ⟨proof⟩

**lemma** *nonneg-Reals-add-I* [simp]:  $\llbracket a \in \mathbf{R}_{\geq 0}; b \in \mathbf{R}_{\geq 0} \rrbracket \implies a + b \in \mathbf{R}_{\geq 0}$   
 ⟨proof⟩

**lemma** *nonneg-Reals-mult-I* [simp]:  $\llbracket a \in \mathbf{R}_{\geq 0}; b \in \mathbf{R}_{\geq 0} \rrbracket \implies a * b \in \mathbf{R}_{\geq 0}$   
 ⟨proof⟩

**lemma** *nonneg-Reals-inverse-I* [simp]:  
**fixes**  $a :: 'a::real-div-algebra$   
**shows**  $a \in \mathbb{R}_{\geq 0} \implies \text{inverse } a \in \mathbb{R}_{\geq 0}$   
 ⟨proof⟩

**lemma** *nonneg-Reals-divide-I* [simp]:  
**fixes**  $a :: 'a::real-div-algebra$   
**shows**  $[a \in \mathbb{R}_{\geq 0}; b \in \mathbb{R}_{\geq 0}] \implies a / b \in \mathbb{R}_{\geq 0}$   
 ⟨proof⟩

**lemma** *nonneg-Reals-pow-I* [simp]:  $a \in \mathbb{R}_{\geq 0} \implies a^n \in \mathbb{R}_{\geq 0}$   
 ⟨proof⟩

**lemma** *complex-nonneg-Reals-iff*:  $z \in \mathbb{R}_{\geq 0} \longleftrightarrow \text{Re } z \geq 0 \wedge \text{Im } z = 0$   
 ⟨proof⟩

**lemma** *ii-not-nonneg-Reals* [iff]:  $i \notin \mathbb{R}_{\geq 0}$   
 ⟨proof⟩

### 71.3 Non-positive reals

**definition** *nonpos-Reals* ::  $'a::real-algebra-1$  set ( $\mathbb{R}_{\leq 0}$ )  
**where**  $\mathbb{R}_{\leq 0} = \{\text{of-real } r \mid r. r \leq 0\}$

**lemma** *nonpos-Reals-of-real-iff* [simp]:  $\text{of-real } r \in \mathbb{R}_{\leq 0} \longleftrightarrow r \leq 0$   
 ⟨proof⟩

**lemma** *nonpos-Reals-subset-Reals*:  $\mathbb{R}_{\leq 0} \subseteq \mathbb{R}$   
 ⟨proof⟩

**lemma** *nonpos-Ints-subset-nonpos-Reals*:  $\mathbb{Z}_{\leq 0} \subseteq \mathbb{R}_{\leq 0}$   
 ⟨proof⟩

**lemma** *nonpos-Reals-of-nat-iff* [simp]:  $\text{of-nat } n \in \mathbb{R}_{\leq 0} \longleftrightarrow n=0$   
 ⟨proof⟩

**lemma** *nonpos-Reals-Real* [dest]:  $x \in \mathbb{R}_{\leq 0} \implies x \in \mathbb{R}$   
 ⟨proof⟩

**lemma** *nonpos-Reals-cases*:  
**assumes**  $x \in \mathbb{R}_{\leq 0}$   
**obtains**  $r$  **where**  $x = \text{of-real } r$   $r \leq 0$   
 ⟨proof⟩

**lemma** *uminus-nonneg-Reals-iff* [simp]:  $-x \in \mathbb{R}_{\geq 0} \longleftrightarrow x \in \mathbb{R}_{\leq 0}$   
 ⟨proof⟩

**lemma** *uminus-nonpos-Reals-iff* [simp]:  $-x \in \mathbb{R}_{\leq 0} \longleftrightarrow x \in \mathbb{R}_{\geq 0}$   
 ⟨proof⟩

**lemma** *nonpos-Reals-zero-I* [*simp*]:  $0 \in \mathbb{R}_{\leq 0}$   
 ⟨*proof*⟩

**lemma** *nonpos-Reals-one-I* [*simp*]:  $1 \notin \mathbb{R}_{\leq 0}$   
 ⟨*proof*⟩

**lemma** *nonpos-Reals-numeral-I* [*simp*]: numeral  $w \notin \mathbb{R}_{\leq 0}$   
 ⟨*proof*⟩

**lemma** *nonpos-Reals-add-I* [*simp*]:  $\llbracket a \in \mathbb{R}_{\leq 0}; b \in \mathbb{R}_{\leq 0} \rrbracket \implies a + b \in \mathbb{R}_{\leq 0}$   
 ⟨*proof*⟩

**lemma** *nonpos-Reals-mult-I1*:  $\llbracket a \in \mathbb{R}_{\geq 0}; b \in \mathbb{R}_{\leq 0} \rrbracket \implies a * b \in \mathbb{R}_{\leq 0}$   
 ⟨*proof*⟩

**lemma** *nonpos-Reals-mult-I2*:  $\llbracket a \in \mathbb{R}_{\leq 0}; b \in \mathbb{R}_{\geq 0} \rrbracket \implies a * b \in \mathbb{R}_{\leq 0}$   
 ⟨*proof*⟩

**lemma** *nonpos-Reals-mult-of-nat-iff*:  
**fixes**  $a :: 'a :: \text{real-div-algebra}$  **shows**  $a * \text{of-nat } n \in \mathbb{R}_{\leq 0} \longleftrightarrow a \in \mathbb{R}_{\leq 0} \vee n=0$   
 ⟨*proof*⟩

**lemma** *nonpos-Reals-inverse-I*:  
**fixes**  $a :: 'a :: \text{real-div-algebra}$   
**shows**  $a \in \mathbb{R}_{\leq 0} \implies \text{inverse } a \in \mathbb{R}_{\leq 0}$   
 ⟨*proof*⟩

**lemma** *nonpos-Reals-divide-I1*:  
**fixes**  $a :: 'a :: \text{real-div-algebra}$   
**shows**  $\llbracket a \in \mathbb{R}_{\geq 0}; b \in \mathbb{R}_{\leq 0} \rrbracket \implies a / b \in \mathbb{R}_{\leq 0}$   
 ⟨*proof*⟩

**lemma** *nonpos-Reals-divide-I2*:  
**fixes**  $a :: 'a :: \text{real-div-algebra}$   
**shows**  $\llbracket a \in \mathbb{R}_{\leq 0}; b \in \mathbb{R}_{\geq 0} \rrbracket \implies a / b \in \mathbb{R}_{\leq 0}$   
 ⟨*proof*⟩

**lemma** *nonpos-Reals-divide-of-nat-iff*:  
**fixes**  $a :: 'a :: \text{real-div-algebra}$  **shows**  $a / \text{of-nat } n \in \mathbb{R}_{\leq 0} \longleftrightarrow a \in \mathbb{R}_{\leq 0} \vee n=0$   
 ⟨*proof*⟩

**lemma** *nonpos-Reals-inverse-iff* [*simp*]:  
**fixes**  $a :: 'a :: \text{real-div-algebra}$   
**shows**  $\text{inverse } a \in \mathbb{R}_{\leq 0} \longleftrightarrow a \in \mathbb{R}_{\leq 0}$   
 ⟨*proof*⟩

**lemma** *nonpos-Reals-pow-I*:  $\llbracket a \in \mathbb{R}_{\leq 0}; \text{odd } n \rrbracket \implies a^{\wedge} n \in \mathbb{R}_{\leq 0}$   
 ⟨*proof*⟩

**lemma** *complex-nonpos-Reals-iff*:  $z \in \mathbb{R}_{\leq 0} \longleftrightarrow \text{Re } z \leq 0 \wedge \text{Im } z = 0$   
 ⟨proof⟩

**lemma** *ii-not-nonpos-Reals [iff]*:  $i \notin \mathbb{R}_{\leq 0}$   
 ⟨proof⟩

**end**

## 72 Numeral Syntax for Types

**theory** *Numeral-Type*  
**imports** *Cardinality*  
**begin**

### 72.1 Numeral Types

**typedef** *num0* = *UNIV* :: *nat set* ⟨proof⟩  
**typedef** *num1* = *UNIV* :: *unit set* ⟨proof⟩

**typedef** *'a bit0* =  $\{0 \dots 2 * \text{int } \text{CARD}('a::\text{finite})\}$   
 ⟨proof⟩

**typedef** *'a bit1* =  $\{0 \dots 1 + 2 * \text{int } \text{CARD}('a::\text{finite})\}$   
 ⟨proof⟩

**lemma** *card-num0 [simp]*:  $\text{CARD}(\text{num0}) = 0$   
 ⟨proof⟩

**lemma** *infinite-num0*:  $\neg \text{finite}(\text{UNIV} :: \text{num0 set})$   
 ⟨proof⟩

**lemma** *card-num1 [simp]*:  $\text{CARD}(\text{num1}) = 1$   
 ⟨proof⟩

**lemma** *card-bit0 [simp]*:  $\text{CARD}('a \text{ bit0}) = 2 * \text{CARD}('a::\text{finite})$   
 ⟨proof⟩

**lemma** *card-bit1 [simp]*:  $\text{CARD}('a \text{ bit1}) = \text{Suc}(2 * \text{CARD}('a::\text{finite}))$   
 ⟨proof⟩

### 72.2 *num1*

**instance** *num1* :: *finite*  
 ⟨proof⟩

**instantiation** *num1* :: *CARD-1*  
**begin**



**instance**

*<proof>*

**end**

**lemma** *num1-eq-iff*:  $(x::\text{num1}) = (y::\text{num1}) \longleftrightarrow \text{True}$

*<proof>*

**instantiation** *num1* :: {*comm-ring, comm-monoid-mult, numeral*}

**begin**

**instance**

*<proof>*

**end**

**lemma** *num1-eqI*:

**fixes** *a::num1* **shows**  $a = b$

*<proof>*

**lemma** *num1-eq1* [*simp*]:

**fixes** *a::num1* **shows**  $a = 1$

*<proof>*

**lemma** *forall-1* [*simp*]:  $(\forall i::\text{num1}. P\ i) \longleftrightarrow P\ 1$

*<proof>*

**lemma** *ex-1* [*simp*]:  $(\exists x::\text{num1}. P\ x) \longleftrightarrow P\ 1$

*<proof>*

**instantiation** *num1* :: *linorder* **begin**

**definition**  $a < b \longleftrightarrow \text{Rep-num1}\ a < \text{Rep-num1}\ b$

**definition**  $a \leq b \longleftrightarrow \text{Rep-num1}\ a \leq \text{Rep-num1}\ b$

**instance**

*<proof>*

**end**

**instance** *num1* :: *wellorder*

*<proof>*

**instance** *bit0* :: (*finite*) *card2*

*<proof>*

**instance** *bit1* :: (*finite*) *card2*

*<proof>*

### 72.3 Locales for modular arithmetic subtypes

```

locale mod-type =
  fixes n :: int
  and Rep :: 'a::{zero,one,plus,times,uminus,minus}  $\Rightarrow$  int
  and Abs :: int  $\Rightarrow$  'a::{zero,one,plus,times,uminus,minus}
  assumes type: type-definition Rep Abs {0.. $n$ }
  and size1: 1 < n
  and zero-def: 0 = Abs 0
  and one-def: 1 = Abs 1
  and add-def: x + y = Abs ((Rep x + Rep y) mod n)
  and mult-def: x * y = Abs ((Rep x * Rep y) mod n)
  and diff-def: x - y = Abs ((Rep x - Rep y) mod n)
  and minus-def: - x = Abs ((- Rep x) mod n)
begin

```

```

lemma size0: 0 < n
<proof>

```

```

lemmas definitions =
  zero-def one-def add-def mult-def minus-def diff-def

```

```

lemma Rep-less-n: Rep x < n
<proof>

```

```

lemma Rep-le-n: Rep x  $\leq$  n
<proof>

```

```

lemma Rep-inject-sym: x = y  $\longleftrightarrow$  Rep x = Rep y
<proof>

```

```

lemma Rep-inverse: Abs (Rep x) = x
<proof>

```

```

lemma Abs-inverse: m  $\in$  {0.. $n$ }  $\implies$  Rep (Abs m) = m
<proof>

```

```

lemma Rep-Abs-mod: Rep (Abs (m mod n)) = m mod n
<proof>

```

```

lemma Rep-Abs-0: Rep (Abs 0) = 0
<proof>

```

```

lemma Rep-0: Rep 0 = 0
<proof>

```

```

lemma Rep-Abs-1: Rep (Abs 1) = 1
<proof>

```

```

lemma Rep-1: Rep 1 = 1

```

*<proof>*

**lemma** *Rep-mod*:  $Rep\ x\ mod\ n = Rep\ x$

*<proof>*

**lemmas** *Rep-simps* =

*Rep-inject-sym Rep-inverse Rep-Abs-mod Rep-mod Rep-Abs-0 Rep-Abs-1*

**lemma** *comm-ring-1*:  $OFCLASS('a, comm-ring-1-class)$

*<proof>*

**end**

**locale** *mod-ring* = *mod-type*  $n\ Rep\ Abs$

**for**  $n :: int$

**and**  $Rep :: 'a :: \{comm-ring-1\} \Rightarrow int$

**and**  $Abs :: int \Rightarrow 'a :: \{comm-ring-1\}$

**begin**

**lemma** *of-nat-eq*:  $of-nat\ k = Abs\ (int\ k\ mod\ n)$

*<proof>*

**lemma** *of-int-eq*:  $of-int\ z = Abs\ (z\ mod\ n)$

*<proof>*

**lemma** *Rep-numeral*:

$Rep\ (numeral\ w) = numeral\ w\ mod\ n$

*<proof>*

**lemma** *iszero-numeral*:

$iszero\ (numeral\ w :: 'a) \longleftrightarrow numeral\ w\ mod\ n = 0$

*<proof>*

**lemma** *cases*:

**assumes**  $1: \bigwedge z. \llbracket (x :: 'a) = of-int\ z; 0 \leq z; z < n \rrbracket \Longrightarrow P$

**shows**  $P$

*<proof>*

**lemma** *induct*:

$(\bigwedge z. \llbracket 0 \leq z; z < n \rrbracket \Longrightarrow P\ (of-int\ z)) \Longrightarrow P\ (x :: 'a)$

*<proof>*

**lemma** *UNIV-eq*:  $(UNIV :: 'a\ set) = Abs\ \{0..<n\}$

*<proof>*

**lemma** *CARD-eq*:  $CARD('a) = nat\ n$

*<proof>*

**lemma** *CHAR-eq* [*simp*]:  $CHAR('a) = CARD('a)$

*<proof>*

**end**

## 72.4 Ring class instances

Unfortunately *ring-1* instance is not possible for *num1*, since 0 and 1 are not distinct.

**instantiation**

*bit0* and *bit1* :: (*finite*) {*zero,one,plus,times,uminus,minus*}

**begin**

**definition** *Abs-bit0'* :: *int*  $\Rightarrow$  'a *bit0* **where**

*Abs-bit0'* *x* = *Abs-bit0* (*x mod int CARD('a bit0)*)

**definition** *Abs-bit1'* :: *int*  $\Rightarrow$  'a *bit1* **where**

*Abs-bit1'* *x* = *Abs-bit1* (*x mod int CARD('a bit1)*)

**definition** 0 = *Abs-bit0* 0

**definition** 1 = *Abs-bit0* 1

**definition** *x + y* = *Abs-bit0'* (*Rep-bit0* *x* + *Rep-bit0* *y*)

**definition** *x \* y* = *Abs-bit0'* (*Rep-bit0* *x* \* *Rep-bit0* *y*)

**definition** *x - y* = *Abs-bit0'* (*Rep-bit0* *x* - *Rep-bit0* *y*)

**definition** - *x* = *Abs-bit0'* (- *Rep-bit0* *x*)

**definition** 0 = *Abs-bit1* 0

**definition** 1 = *Abs-bit1* 1

**definition** *x + y* = *Abs-bit1'* (*Rep-bit1* *x* + *Rep-bit1* *y*)

**definition** *x \* y* = *Abs-bit1'* (*Rep-bit1* *x* \* *Rep-bit1* *y*)

**definition** *x - y* = *Abs-bit1'* (*Rep-bit1* *x* - *Rep-bit1* *y*)

**definition** - *x* = *Abs-bit1'* (- *Rep-bit1* *x*)

**instance** *<proof>*

**end**

**interpretation** *bit0*:

*mod-type int CARD('a::finite bit0)*

*Rep-bit0* :: 'a::finite *bit0*  $\Rightarrow$  *int*

*Abs-bit0* :: *int*  $\Rightarrow$  'a::finite *bit0*

*<proof>*

**interpretation** *bit1*:

*mod-type int CARD('a::finite bit1)*

*Rep-bit1* :: 'a::finite *bit1*  $\Rightarrow$  *int*

*Abs-bit1* :: *int*  $\Rightarrow$  'a::finite *bit1*

*<proof>*

**instance** *bit0* :: (*finite*) *comm-ring-1*

*<proof>*

**instance** *bit1* :: (*finite*) *comm-ring-1*  
*<proof>*

**interpretation** *bit0*:  
*mod-ring int CARD('a::finite bit0)*  
*Rep-bit0* :: '*a::finite bit0* ⇒ *int*  
*Abs-bit0* :: *int* ⇒ '*a::finite bit0*  
*<proof>*

**interpretation** *bit1*:  
*mod-ring int CARD('a::finite bit1)*  
*Rep-bit1* :: '*a::finite bit1* ⇒ *int*  
*Abs-bit1* :: *int* ⇒ '*a::finite bit1*  
*<proof>*

Set up cases, induction, and arithmetic

**lemmas** *bit0-cases* [*case-names of-int, cases type: bit0*] = *bit0.cases*  
**lemmas** *bit1-cases* [*case-names of-int, cases type: bit1*] = *bit1.cases*

**lemmas** *bit0-induct* [*case-names of-int, induct type: bit0*] = *bit0.induct*  
**lemmas** *bit1-induct* [*case-names of-int, induct type: bit1*] = *bit1.induct*

**lemmas** *bit0-iszero-numeral* [*simp*] = *bit0.iszero-numeral*  
**lemmas** *bit1-iszero-numeral* [*simp*] = *bit1.iszero-numeral*

**lemmas** [*simp*] = *eq-numeral-iff-iszero* [**where** '*a='a bit0*] **for** *dummy* :: '*a::finite*  
**lemmas** [*simp*] = *eq-numeral-iff-iszero* [**where** '*a='a bit1*] **for** *dummy* :: '*a::finite*

## 72.5 Order instances

**instantiation** *bit0* and *bit1* :: (*finite*) *linorder* **begin**

**definition**  $a < b \iff \text{Rep-bit0 } a < \text{Rep-bit0 } b$

**definition**  $a \leq b \iff \text{Rep-bit0 } a \leq \text{Rep-bit0 } b$

**definition**  $a < b \iff \text{Rep-bit1 } a < \text{Rep-bit1 } b$

**definition**  $a \leq b \iff \text{Rep-bit1 } a \leq \text{Rep-bit1 } b$

**instance**  
*<proof>*  
**end**

**instance** *bit0* and *bit1* :: (*finite*) *wellorder*  
*<proof>*

## 72.6 Code setup and type classes for code generation

Code setup for *num0* and *num1*

**definition** *Num0* :: *num0* **where** *Num0* = *Abs-num0 0*

**code-datatype** *Num0*

**instantiation** *num0* :: *equal* **begin**  
**definition** *equal-num0* :: *num0*  $\Rightarrow$  *num0*  $\Rightarrow$  *bool*  
  **where** *equal-num0* = (=)  
**instance**  $\langle$ *proof* $\rangle$   
**end**

**lemma** *equal-num0-code* [*code*]:  
  *equal-class.equal Num0 Num0* = *True*  
 $\langle$ *proof* $\rangle$

**code-datatype** *1* :: *num1*

**instantiation** *num1* :: *equal* **begin**  
**definition** *equal-num1* :: *num1*  $\Rightarrow$  *num1*  $\Rightarrow$  *bool*  
  **where** *equal-num1* = (=)  
**instance**  $\langle$ *proof* $\rangle$   
**end**

**lemma** *equal-num1-code* [*code*]:  
  *equal-class.equal (1 :: num1) 1* = *True*  
 $\langle$ *proof* $\rangle$

**instantiation** *num1* :: *enum* **begin**  
**definition** *enum-class.enum* = [*1* :: *num1*]  
**definition** *enum-class.enum-all* *P* = *P (1 :: num1)*  
**definition** *enum-class.enum-ex* *P* = *P (1 :: num1)*  
**instance**  
   $\langle$ *proof* $\rangle$   
**end**

**instantiation** *num0* **and** *num1* :: *card-UNIV* **begin**  
**definition** *finite-UNIV* = *Phantom(num0) False*  
**definition** *card-UNIV* = *Phantom(num0) 0*  
**definition** *finite-UNIV* = *Phantom(num1) True*  
**definition** *card-UNIV* = *Phantom(num1) 1*  
**instance**  
   $\langle$ *proof* $\rangle$   
**end**

Code setup for '*a bit0* and '*a bit1*

**declare**  
  *bit0.Rep-inverse*[*code abstype*]  
  *bit0.Rep-0*[*code abstract*]  
  *bit0.Rep-1*[*code abstract*]

**lemma** *Abs-bit0'-code* [*code abstract*]:  
  *Rep-bit0 (Abs-bit0' x :: 'a :: finite bit0)* = *x mod int (CARD('a bit0))*

*<proof>*

**lemma** *inj-on-Abs-bit0*:

*inj-on (Abs-bit0 :: int  $\Rightarrow$  'a bit0) {0.. $2 * int CARD('a :: finite)$ }*

*<proof>*

**declare**

*bit1.Rep-inverse[code abstype]*

*bit1.Rep-0[code abstract]*

*bit1.Rep-1[code abstract]*

**lemma** *Abs-bit1'-code [code abstract]*:

*Rep-bit1 (Abs-bit1' x :: 'a :: finite bit1) = x mod int (CARD('a bit1))*

*<proof>*

**lemma** *inj-on-Abs-bit1*:

*inj-on (Abs-bit1 :: int  $\Rightarrow$  'a bit1) {0.. $1 + 2 * int CARD('a :: finite)$ }*

*<proof>*

**instantiation** *bit0 and bit1 :: (finite) equal begin*

**definition** *equal-class.equal x y  $\longleftrightarrow$  Rep-bit0 x = Rep-bit0 y*

**definition** *equal-class.equal x y  $\longleftrightarrow$  Rep-bit1 x = Rep-bit1 y*

**instance**

*<proof>*

**end**

**instantiation** *bit0 :: (finite) enum begin*

**definition** *(enum-class.enum :: 'a bit0 list) = map (Abs-bit0'  $\circ$  int) (upt 0 (CARD('a bit0)))*

**definition** *enum-class.enum-all P = ( $\forall b :: 'a bit0 \in set enum-class.enum. P b$ )*

**definition** *enum-class.enum-ex P = ( $\exists b :: 'a bit0 \in set enum-class.enum. P b$ )*

**instance** *<proof>*

**end**

**instantiation** *bit1 :: (finite) enum begin*

**definition** *(enum-class.enum :: 'a bit1 list) = map (Abs-bit1'  $\circ$  int) (upt 0 (CARD('a bit1)))*

**definition** *enum-class.enum-all P = ( $\forall b :: 'a bit1 \in set enum-class.enum. P b$ )*

**definition** *enum-class.enum-ex P = ( $\exists b :: 'a bit1 \in set enum-class.enum. P b$ )*

**instance**

*<proof>*

**end**

```

instantiation bit0 and bit1 :: (finite) finite-UNIV begin
definition finite-UNIV = Phantom('a bit0) True
definition finite-UNIV = Phantom('a bit1) True
instance <proof>
end

```

```

instantiation bit0 and bit1 :: ({finite,card-UNIV}) card-UNIV begin
definition card-UNIV = Phantom('a bit0) (2 * of-phantom (card-UNIV :: 'a
card-UNIV))
definition card-UNIV = Phantom('a bit1) (1 + 2 * of-phantom (card-UNIV ::
'a card-UNIV))
instance <proof>
end

```

## 72.7 Syntax

```

syntax
-NumeralType :: num-token => type (-)
-NumeralType0 :: type (0)
-NumeralType1 :: type (1)

```

```

translations
(type) 1 == (type) num1
(type) 0 == (type) num0

```

*<ML>*

## 72.8 Examples

```

lemma CARD(0) = 0 <proof>
lemma CARD(17) = 17 <proof>
lemma CHAR(23) = 23 <proof>
lemma 8 * 11 ^ 3 - 6 = (2::5) <proof>
end

```

## 73 $\omega$ -words

```

theory Omega-Words-Fun

```

```

imports Infinite-Set
begin

```

Note: This theory is based on Stefan Merz’s work.

Automata recognize languages, which are sets of words. For the theory of  $\omega$ -automata, we are mostly interested in  $\omega$ -words, but it is sometimes useful to reason about finite words, too. We are modeling finite words as lists; this lets us benefit from the existing library. Other formalizations could



be investigated, such as representing words as functions whose domains are initial intervals of the natural numbers.

### 73.1 Type declaration and elementary operations

We represent  $\omega$ -words as functions from the natural numbers to the alphabet type. Other possible formalizations include a coinductive definition or a uniform encoding of finite and infinite words, as studied by Müller et al.

#### type-synonym

$'a \text{ word} = \text{nat} \Rightarrow 'a$

We can prefix a finite word to an  $\omega$ -word, and a way to obtain an  $\omega$ -word from a finite, non-empty word is by  $\omega$ -iteration.

#### definition

$\text{conc} :: ['a \text{ list}, 'a \text{ word}] \Rightarrow 'a \text{ word}$  (**infixr**  $\langle \frown \rangle$  65)  
**where**  $w \frown x == \lambda n. \text{if } n < \text{length } w \text{ then } w!n \text{ else } x (n - \text{length } w)$

#### definition

$\text{iter} :: 'a \text{ list} \Rightarrow 'a \text{ word}$  ( $\langle (-)^\omega \rangle$  [1000])  
**where**  $\text{iter } w == \text{if } w = [] \text{ then undefined else } (\lambda n. w!(n \bmod (\text{length } w)))$

**lemma**  $\text{conc-empty[simp]}$ :  $[] \frown w = w$

$\langle \text{proof} \rangle$

**lemma**  $\text{conc-fst[simp]}$ :  $n < \text{length } w \implies (w \frown x) n = w!n$

$\langle \text{proof} \rangle$

**lemma**  $\text{conc-snd[simp]}$ :  $\neg(n < \text{length } w) \implies (w \frown x) n = x (n - \text{length } w)$

$\langle \text{proof} \rangle$

**lemma**  $\text{iter-nth [simp]}$ :  $0 < \text{length } w \implies w^\omega n = w!(n \bmod (\text{length } w))$

$\langle \text{proof} \rangle$

**lemma**  $\text{conc-conc[simp]}$ :  $u \frown v \frown w = (u @ v) \frown w$  (**is** ?lhs = ?rhs)

$\langle \text{proof} \rangle$

**lemma**  $\text{range-conc[simp]}$ :  $\text{range } (w_1 \frown w_2) = \text{set } w_1 \cup \text{range } w_2$

$\langle \text{proof} \rangle$

**lemma**  $\text{iter-unroll}$ :  $0 < \text{length } w \implies w^\omega = w \frown w^\omega$

$\langle \text{proof} \rangle$

### 73.2 Subsequence, Prefix, and Suffix

**definition**  $\text{suffix} :: [\text{nat}, 'a \text{ word}] \Rightarrow 'a \text{ word}$

**where**  $\text{suffix } k \ x \equiv \lambda n. x (k+n)$

**definition** *subsequence* :: 'a word  $\Rightarrow$  nat  $\Rightarrow$  nat  $\Rightarrow$  'a list ( $\langle \cdot [- \rightarrow \cdot] \rangle$  900)  
**where** *subsequence* w i j  $\equiv$  map w [i..

**abbreviation** *prefix* :: nat  $\Rightarrow$  'a word  $\Rightarrow$  'a list  
**where** *prefix* n w  $\equiv$  *subsequence* w 0 n

**lemma** *suffix-nth [simp]*: (suffix k x) n = x (k+n)  
 ⟨proof⟩

**lemma** *suffix-0 [simp]*: suffix 0 x = x  
 ⟨proof⟩

**lemma** *suffix-suffix [simp]*: suffix m (suffix k x) = suffix (k+m) x  
 ⟨proof⟩

**lemma** *subsequence-append*: prefix (i + j) w = prefix i w @ (w [i  $\rightarrow$  i + j])  
 ⟨proof⟩

**lemma** *subsequence-drop [simp]*: drop i (w [j  $\rightarrow$  k]) = w [j + i  $\rightarrow$  k]  
 ⟨proof⟩

**lemma** *subsequence-empty [simp]*: w [i  $\rightarrow$  j] = []  $\longleftrightarrow$  j  $\leq$  i  
 ⟨proof⟩

**lemma** *subsequence-length [simp]*: length (subsequence w i j) = j - i  
 ⟨proof⟩

**lemma** *subsequence-nth [simp]*: k < j - i  $\implies$  (w [i  $\rightarrow$  j]) ! k = w (i + k)  
 ⟨proof⟩

**lemma** *subseq-to-zero [simp]*: w[i $\rightarrow$ 0] = []  
 ⟨proof⟩

**lemma** *subseq-to-smaller [simp]*: i  $\geq$  j  $\implies$  w[i $\rightarrow$ j] = []  
 ⟨proof⟩

**lemma** *subseq-to-Suc [simp]*: i  $\leq$  j  $\implies$  w [i  $\rightarrow$  Suc j] = w [i  $\rightarrow$  j] @ [w j]  
 ⟨proof⟩

**lemma** *subsequence-singleton [simp]*: w [i  $\rightarrow$  Suc i] = [w i]  
 ⟨proof⟩

**lemma** *subsequence-prefix-suffix*: prefix (j - i) (suffix i w) = w [i  $\rightarrow$  j]  
 ⟨proof⟩

**lemma** *prefix-suffix*: x = prefix n x  $\frown$  (suffix n x)  
 ⟨proof⟩

**declare** *prefix-suffix*[*symmetric, simp*]

**lemma** *word-split*: **obtains**  $v_1 v_2$  **where**  $v = v_1 \frown v_2$  *length*  $v_1 = k$   
 ⟨*proof*⟩

**lemma** *set-subsequence*[*simp*]: *set* ( $w[i \rightarrow j]$ ) =  $w\{i..<j\}$   
 ⟨*proof*⟩

**lemma** *subsequence-take*[*simp*]: *take*  $i$  ( $w[j \rightarrow k]$ ) =  $w[j \rightarrow \min(j + i) k]$   
 ⟨*proof*⟩

**lemma** *subsequence-shift*[*simp*]: (*suffix*  $i$   $w$ ) [ $j \rightarrow k$ ] =  $w[i + j \rightarrow i + k]$   
 ⟨*proof*⟩

**lemma** *suffix-subseq-join*[*simp*]:  $i \leq j \implies v[i \rightarrow j] \frown \text{suffix } j v = \text{suffix } i v$   
 ⟨*proof*⟩

**lemma** *prefix-conc-fst*[*simp*]:  
**assumes**  $j \leq \text{length } w$   
**shows** *prefix*  $j$  ( $w \frown w'$ ) = *take*  $j$   $w$   
 ⟨*proof*⟩

**lemma** *prefix-conc-snd*[*simp*]:  
**assumes**  $n \geq \text{length } u$   
**shows** *prefix*  $n$  ( $u \frown v$ ) =  $u @ \text{prefix } (n - \text{length } u) v$   
 ⟨*proof*⟩

**lemma** *prefix-conc-length*[*simp*]: *prefix* (*length*  $w$ ) ( $w \frown w'$ ) =  $w$   
 ⟨*proof*⟩

**lemma** *suffix-conc-fst*[*simp*]:  
**assumes**  $n \leq \text{length } u$   
**shows** *suffix*  $n$  ( $u \frown v$ ) = *drop*  $n$   $u \frown v$   
 ⟨*proof*⟩

**lemma** *suffix-conc-snd*[*simp*]:  
**assumes**  $n \geq \text{length } u$   
**shows** *suffix*  $n$  ( $u \frown v$ ) = *suffix* ( $n - \text{length } u$ )  $v$   
 ⟨*proof*⟩

**lemma** *suffix-conc-length*[*simp*]: *suffix* (*length*  $w$ ) ( $w \frown w'$ ) =  $w'$   
 ⟨*proof*⟩

**lemma** *concat-eq*[*iff*]:  
**assumes** *length*  $v_1 = \text{length } v_2$   
**shows**  $v_1 \frown u_1 = v_2 \frown u_2 \iff v_1 = v_2 \wedge u_1 = u_2$   
 (**is** ?*lhs*  $\iff$  ?*rhs*)

*<proof>*

**lemma** *same-concat-eq*[*iff*]:  $u \frown v = u \frown w \longleftrightarrow v = w$   
*<proof>*

**lemma** *comp-concat*[*simp*]:  $f \circ u \frown v = \text{map } f \ u \frown (f \circ v)$   
*<proof>*

### 73.3 Prepending

**primrec** *build* :: 'a  $\Rightarrow$  'a word  $\Rightarrow$  'a word (**infixr** <##> 65)  
**where** (a ## w) 0 = a | (a ## w) (Suc i) = w i

**lemma** *build-eq*[*iff*]:  $a_1 \ ## \ w_1 = a_2 \ ## \ w_2 \longleftrightarrow a_1 = a_2 \wedge w_1 = w_2$   
*<proof>*

**lemma** *build-cons*[*simp*]:  $(a \ # \ u) \frown v = a \ ## \ u \frown v$   
*<proof>*

**lemma** *build-append*[*simp*]:  $(w \ @ \ a \ # \ u) \frown v = w \frown a \ ## \ u \frown v$   
*<proof>*

**lemma** *build-first*[*simp*]:  $w \ 0 \ ## \ \text{suffix} \ (Suc \ 0) \ w = w$   
*<proof>*

**lemma** *build-split*[*intro*]:  $w = w \ 0 \ ## \ \text{suffix} \ 1 \ w$   
*<proof>*

**lemma** *build-range*[*simp*]:  $\text{range} \ (a \ ## \ w) = \text{insert} \ a \ (\text{range} \ w)$   
*<proof>*

**lemma** *suffix-singleton-suffix*[*simp*]:  $w \ i \ ## \ \text{suffix} \ (Suc \ i) \ w = \text{suffix} \ i \ w$   
*<proof>*

Find the first occurrence of a letter from a given set

**lemma** *word-first-split-set*:  
**assumes**  $A \cap \text{range} \ w \neq \{\}$   
**obtains**  $u \ a \ v$  **where**  $w = u \frown [a] \frown v \wedge A \cap \text{set} \ u = \{\}$   $a \in A$   
*<proof>*

### 73.4 The limit set of an $\omega$ -word

The limit set (also called infinity set) of an  $\omega$ -word is the set of letters that appear infinitely often in the word. This set plays an important role in defining acceptance conditions of  $\omega$ -automata.

**definition** *limit* :: 'a word  $\Rightarrow$  'a set  
**where**  $\text{limit} \ x \equiv \{a . \exists_{\infty} n . x \ n = a\}$

**lemma** *limit-iff-frequent*:  $a \in \text{limit} \ x \longleftrightarrow (\exists_{\infty} n . x \ n = a)$

⟨proof⟩

The following is a different way to define the limit, using the reverse image, making the laws about reverse image applicable to the limit set. (Might want to change the definition above?)

**lemma** *limit-vimage*:  $(a \in \text{limit } x) = \text{infinite } (x \text{ - ' } \{a\})$   
 ⟨proof⟩

**lemma** *two-in-limit-iff*:

$(\{a, b\} \subseteq \text{limit } x) =$   
 $((\exists n. x \ n = a) \wedge (\forall n. x \ n = a \longrightarrow (\exists m > n. x \ m = b)) \wedge (\forall m. x \ m = b \longrightarrow$   
 $(\exists n > m. x \ n = a)))$   
 (is ?lhs = (?r1  $\wedge$  ?r2  $\wedge$  ?r3))  
 ⟨proof⟩

For  $\omega$ -words over a finite alphabet, the limit set is non-empty. Moreover, from some position onward, any such word contains only letters from its limit set.

**lemma** *limit-nonempty*:

**assumes** *fin*: *finite* (range *x*)  
**shows**  $\exists a. a \in \text{limit } x$   
 ⟨proof⟩

**lemmas** *limit-nonemptyE* = *limit-nonempty*[THEN *exE*]

**lemma** *limit-inter-INF*:

**assumes** *hyp*:  $\text{limit } w \cap S \neq \{\}$   
**shows**  $\exists_{\infty} n. w \ n \in S$   
 ⟨proof⟩

The reverse implication is true only if *S* is finite.

**lemma** *INF-limit-inter*:

**assumes** *hyp*:  $\exists_{\infty} n. w \ n \in S$   
**and** *fin*: *finite* ( $S \cap \text{range } w$ )  
**shows**  $\exists a. a \in \text{limit } w \cap S$   
 ⟨proof⟩

**lemma** *fin-ex-inf-eq-limit*:  $\text{finite } A \implies (\exists_{\infty} i. w \ i \in A) \longleftrightarrow \text{limit } w \cap A \neq \{\}$   
 ⟨proof⟩

**lemma** *limit-in-range-suffix*:  $\text{limit } x \subseteq \text{range } (\text{suffix } k \ x)$   
 ⟨proof⟩

**lemma** *limit-in-range*:  $\text{limit } r \subseteq \text{range } r$   
 ⟨proof⟩

**lemmas** *limit-in-range-suffixD* = *limit-in-range-suffix*[THEN *subsetD*]

**lemma** *limit-subset*:  $\text{limit } f \subseteq f \text{ ' } \{n..\}$

*<proof>*

**theorem** *limit-is-suffix*:

**assumes** *fin*: *finite* (*range x*)

**shows**  $\exists k. \text{limit } x = \text{range } (\text{suffix } k \ x)$

*<proof>*

**lemmas** *limit-is-suffixE* = *limit-is-suffix*[*THEN exE*]

The limit set enjoys some simple algebraic laws with respect to concatenation, suffixes, iteration, and renaming.

**theorem** *limit-conc* [*simp*]: *limit* ( $w \frown x$ ) = *limit* *x*

*<proof>*

**theorem** *limit-suffix* [*simp*]: *limit* (*suffix* *n* *x*) = *limit* *x*

*<proof>*

**theorem** *limit-iter* [*simp*]:

**assumes** *nempty*:  $0 < \text{length } w$

**shows** *limit*  $w^\omega = \text{set } w$

*<proof>*

**lemma** *limit-o* [*simp*]:

**assumes** *a*:  $a \in \text{limit } w$

**shows**  $f \ a \in \text{limit } (f \circ w)$

*<proof>*

The converse relation is not true in general:  $f(a)$  can be in the limit of  $f \circ w$  even though  $a$  is not in the limit of  $w$ . However, *limit* commutes with renaming if the function is injective. More generally, if  $f(a)$  is the image of only finitely many elements, some of these must be in the limit of  $w$ .

**lemma** *limit-o-inv*:

**assumes** *fin*: *finite* ( $f \text{ -' } \{x\}$ )

**and** *x*:  $x \in \text{limit } (f \circ w)$

**shows**  $\exists a \in (f \text{ -' } \{x\}). a \in \text{limit } w$

*<proof>*

**theorem** *limit-inj* [*simp*]:

**assumes** *inj*: *inj* *f*

**shows** *limit* ( $f \circ w$ ) =  $f \text{ ' } (\text{limit } w)$

*<proof>*

**lemma** *limit-inter-empty*:

**assumes** *fin*: *finite* (*range* *w*)

**assumes** *hyp*: *limit*  $w \cap S = \{\}$

**shows**  $\forall_\infty n. w \ n \notin S$

*<proof>*

If the limit is the suffix of the sequence’s range, we may increase the suffix index arbitrarily

**lemma** *limit-range-suffix-incr*:  
**assumes**  $\text{limit } r = \text{range } (\text{suffix } i \ r)$   
**assumes**  $j \geq i$   
**shows**  $\text{limit } r = \text{range } (\text{suffix } j \ r)$   
**(is ?lhs = ?rhs)**  
 ⟨*proof*⟩

For two finite sequences, we can find a common suffix index such that the limits can be represented as these suffixes’ ranges.

**lemma** *common-range-limit*:  
**assumes** *finite* ( $\text{range } x$ )  
**and** *finite* ( $\text{range } y$ )  
**obtains**  $i$  **where**  $\text{limit } x = \text{range } (\text{suffix } i \ x)$   
**and**  $\text{limit } y = \text{range } (\text{suffix } i \ y)$   
 ⟨*proof*⟩

### 73.5 Index sequences and piecewise definitions

A word can be defined piecewise: given a sequence of words  $w_0, w_1, \dots$  and a strictly increasing sequence of integers  $i_0, i_1, \dots$  where  $i_0 = 0$ , a single word is obtained by concatenating subwords of the  $w_n$  as given by the integers: the resulting word is

$$(w_0)_{i_0} \dots (w_0)_{i_1-1} (w_1)_{i_1} \dots (w_1)_{i_2-1} \dots$$

We prepare the field by proving some trivial facts about such sequences of indexes.

**definition** *idx-sequence* ::  $\text{nat word} \Rightarrow \text{bool}$   
**where** *idx-sequence*  $\text{idx} \equiv (\text{idx } 0 = 0) \wedge (\forall n. \text{idx } n < \text{idx } (\text{Suc } n))$

**lemma** *idx-sequence-less*:  
**assumes** *iseq*: *idx-sequence*  $\text{idx}$   
**shows**  $\text{idx } n < \text{idx } (\text{Suc}(n+k))$   
 ⟨*proof*⟩

**lemma** *idx-sequence-inj*:  
**assumes** *iseq*: *idx-sequence*  $\text{idx}$   
**and** *eq*:  $\text{idx } m = \text{idx } n$   
**shows**  $m = n$   
 ⟨*proof*⟩

**lemma** *idx-sequence-mono*:  
**assumes** *iseq*: *idx-sequence*  $\text{idx}$   
**and**  $m: m \leq n$   
**shows**  $\text{idx } m \leq \text{idx } n$   
 ⟨*proof*⟩

Given an index sequence, every natural number is contained in the interval defined by two adjacent indexes, and in fact this interval is determined uniquely.

**lemma** *idx-sequence-idx*:  
**assumes** *idx-sequence idx*  
**shows**  $idx\ k \in \{idx\ k \ ..< idx\ (Suc\ k)\}$   
 $\langle proof \rangle$

**lemma** *idx-sequence-interval*:  
**assumes** *iseq: idx-sequence idx*  
**shows**  $\exists k. n \in \{idx\ k \ ..< idx\ (Suc\ k)\}$   
**(is** *?P n is*  $\exists k. ?in\ n\ k$ **)**  
 $\langle proof \rangle$

**lemma** *idx-sequence-interval-unique*:  
**assumes** *iseq: idx-sequence idx*  
**and**  $k: n \in \{idx\ k \ ..< idx\ (Suc\ k)\}$   
**and**  $m: n \in \{idx\ m \ ..< idx\ (Suc\ m)\}$   
**shows**  $k = m$   
 $\langle proof \rangle$

**lemma** *idx-sequence-unique-interval*:  
**assumes** *iseq: idx-sequence idx*  
**shows**  $\exists! k. n \in \{idx\ k \ ..< idx\ (Suc\ k)\}$   
 $\langle proof \rangle$

Now we can define the piecewise construction of a word using an index sequence.

**definition** *merge* ::  $'a\ word\ word \Rightarrow nat\ word \Rightarrow 'a\ word$   
**where**  $merge\ ws\ idx \equiv \lambda n. let\ i = THE\ i. n \in \{idx\ i \ ..< idx\ (Suc\ i)\} in\ ws\ i\ n$

**lemma** *merge*:  
**assumes** *idx: idx-sequence idx*  
**and**  $n: n \in \{idx\ i \ ..< idx\ (Suc\ i)\}$   
**shows**  $merge\ ws\ idx\ n = ws\ i\ n$   
 $\langle proof \rangle$

**lemma** *merge0*:  
**assumes** *idx: idx-sequence idx*  
**shows**  $merge\ ws\ idx\ 0 = ws\ 0\ 0$   
 $\langle proof \rangle$

**lemma** *merge-Suc*:  
**assumes** *idx: idx-sequence idx*  
**and**  $n: n \in \{idx\ i \ ..< idx\ (Suc\ i)\}$   
**shows**  $merge\ ws\ idx\ (Suc\ n) = (if\ Suc\ n = idx\ (Suc\ i)\ then\ ws\ (Suc\ i)\ else\ ws\ i)\ (Suc\ n)$   
 $\langle proof \rangle$

**end**



## 74 Combinator syntax for generic, open state monads (single-threaded monads)

```
theory Open-State-Syntax
imports Main
begin

context
  includes state-combinator-syntax
begin
```

### 74.1 Motivation

The logic HOL has no notion of constructor classes, so it is not possible to model monads the Haskell way in full genericity in Isabelle/HOL.

However, this theory provides substantial support for a very common class of monads: *state monads* (or *single-threaded monads*, since a state is transformed single-threadedly).

To enter from the Haskell world, [https://www.engr.mun.ca/~theo/Misc/haskell\\_and\\_monads.htm](https://www.engr.mun.ca/~theo/Misc/haskell_and_monads.htm) makes a good motivating start. Here we just sketch briefly how those monads enter the game of Isabelle/HOL.

### 74.2 State transformations and combinators

We classify functions operating on states into two categories:

**transformations** with type signature  $\sigma \Rightarrow \sigma'$ , transforming a state.

**“yielding” transformations** with type signature  $\sigma \Rightarrow \alpha \times \sigma'$ , “yielding” a side result while transforming a state.

**queries** with type signature  $\sigma \Rightarrow \alpha$ , computing a result dependent on a state.

By convention we write  $\sigma$  for types representing states and  $\alpha, \beta, \gamma, \dots$  for types representing side results. Type changes due to transformations are not excluded in our scenario.

We aim to assert that values of any state type  $\sigma$  are used in a single-threaded way: after application of a transformation on a value of type  $\sigma$ , the former value should not be used again. To achieve this, we use a set of monad combinators:

Given two transformations  $f$  and  $g$ , they may be directly composed using the  $(\circ>)$  combinator, forming a forward composition:  $(f \circ> g) s = f (g s)$ .

After any yielding transformation, we bind the side result immediately using a lambda abstraction. This is the purpose of the  $(\circ\rightarrow)$  combinator:  $(f \circ\rightarrow (\lambda x. g)) s = (let (x, s') = f s in g s')$ .

For queries, the existing *Let* is appropriate.

Naturally, a computation may yield a side result by pairing it to the state from the left; we introduce the suggestive abbreviation *return* for this purpose.

The most crucial distinction to Haskell is that we do not need to introduce distinguished type constructors for different kinds of state. This has two consequences:

- The monad model does not state anything about the kind of state; the model for the state is completely orthogonal and may be specified completely independently.
- There is no distinguished type constructor encapsulating away the state transformation, i.e. transformations may be applied directly without using any lifting or providing and dropping units (“open monad”).
- The type of states may change due to a transformation.

### 74.3 Monad laws

The common monadic laws hold and may also be used as normalization rules for monadic expressions:

**lemmas** *monad-simp* = *Pair-scomp scomp-Pair id-fcomp fcomp-id scomp-scomp scomp-fcomp fcomp-scomp fcomp-assoc*

Evaluation of monadic expressions by force:

**lemmas** *monad-collapse* = *monad-simp fcomp-apply scomp-apply split-beta*

**end**

### 74.4 Do-syntax

**nonterminal** *sdo-binds* **and** *sdo-bind*

**syntax**

-*sdo-block* :: *sdo-binds* ⇒ 'a (*exec* {//(2 -)//} [12] 62)  
 -*sdo-bind* :: [pttrn, 'a] ⇒ *sdo-bind* ((- <- / -) 13)  
 -*sdo-let* :: [pttrn, 'a] ⇒ *sdo-bind* ((2let - = / -) [1000, 13] 13)  
 -*sdo-then* :: 'a ⇒ *sdo-bind* (- [14] 13)  
 -*sdo-final* :: 'a ⇒ *sdo-binds* (-)  
 -*sdo-cons* :: [*sdo-bind*, *sdo-binds*] ⇒ *sdo-binds* (-; / - [13, 12] 12)

**syntax** (*ASCII*)

-*sdo-bind* :: [pttrn, 'a] ⇒ *sdo-bind* ((- <- / -) 13)

**translations**

```

-sdo-block (-sdo-cons (-sdo-bind p t) (-sdo-final e))
  == CONST scomp t (λp. e)
-sdo-block (-sdo-cons (-sdo-then t) (-sdo-final e))
  => CONST fcomp t e
-sdo-final (-sdo-block (-sdo-cons (-sdo-then t) (-sdo-final e)))
  <= -sdo-final (CONST fcomp t e)
-sdo-block (-sdo-cons (-sdo-then t) e)
  <= CONST fcomp t (-sdo-block e)
-sdo-block (-sdo-cons (-sdo-let p t) bs)
  == let p = t in -sdo-block bs
-sdo-block (-sdo-cons b (-sdo-cons c cs))
  == -sdo-block (-sdo-cons b (-sdo-final (-sdo-block (-sdo-cons c cs))))
-sdo-cons (-sdo-let p t) (-sdo-final s)
  == -sdo-final (let p = t in s)
-sdo-block (-sdo-final e) => e

```

For an example, see `~/src/HOL/Proofs/Extraction/Higman_Extraction.thy`.

**end**

## 75 Canonical order on option type

**theory** *Option-ord*

**imports** *Main*

**begin**

**unbundle** *lattice-syntax*

**instantiation** *option* :: (*preorder*) *preorder*

**begin**

**definition** *less-eq-option* **where**

$$x \leq y \longleftrightarrow (\text{case } x \text{ of None} \Rightarrow \text{True} \mid \text{Some } x \Rightarrow (\text{case } y \text{ of None} \Rightarrow \text{False} \mid \text{Some } y \Rightarrow x \leq y))$$

**definition** *less-option* **where**

$$x < y \longleftrightarrow (\text{case } y \text{ of None} \Rightarrow \text{False} \mid \text{Some } y \Rightarrow (\text{case } x \text{ of None} \Rightarrow \text{True} \mid \text{Some } x \Rightarrow x < y))$$

**lemma** *less-eq-option-None* [*simp*]:  $\text{None} \leq x$

*<proof>*

**lemma** *less-eq-option-None-code* [*code*]:  $\text{None} \leq x \longleftrightarrow \text{True}$

*<proof>*

**lemma** *less-eq-option-None-is-None*:  $x \leq \text{None} \Longrightarrow x = \text{None}$

*<proof>*

**lemma** *less-eq-option-Some-None* [*simp*, *code*]:  $\text{Some } x \leq \text{None} \longleftrightarrow \text{False}$

*<proof>*

**lemma** *less-eq-option-Some* [*simp*, *code*]:  $\text{Some } x \leq \text{Some } y \longleftrightarrow x \leq y$   
 ⟨*proof*⟩

**lemma** *less-option-None* [*simp*, *code*]:  $x < \text{None} \longleftrightarrow \text{False}$   
 ⟨*proof*⟩

**lemma** *less-option-None-is-Some*:  $\text{None} < x \implies \exists z. x = \text{Some } z$   
 ⟨*proof*⟩

**lemma** *less-option-None-Some* [*simp*]:  $\text{None} < \text{Some } x$   
 ⟨*proof*⟩

**lemma** *less-option-None-Some-code* [*code*]:  $\text{None} < \text{Some } x \longleftrightarrow \text{True}$   
 ⟨*proof*⟩

**lemma** *less-option-Some* [*simp*, *code*]:  $\text{Some } x < \text{Some } y \longleftrightarrow x < y$   
 ⟨*proof*⟩

**instance**  
 ⟨*proof*⟩

**end**

**instance** *option* :: (*order*) *order*  
 ⟨*proof*⟩

**instance** *option* :: (*linorder*) *linorder*  
 ⟨*proof*⟩

**instantiation** *option* :: (*order*) *order-bot*  
**begin**

**definition** *bot-option* **where**  $\perp = \text{None}$

**instance**  
 ⟨*proof*⟩

**end**

**instantiation** *option* :: (*order-top*) *order-top*  
**begin**

**definition** *top-option* **where**  $\top = \text{Some } \top$

**instance**  
 ⟨*proof*⟩

**end**

```

instance option :: (wellorder) wellorder
  ⟨proof⟩

instantiation option :: (inf) inf
begin

definition inf-option where
   $x \sqcap y = (\text{case } x \text{ of } \text{None} \Rightarrow \text{None} \mid \text{Some } x \Rightarrow (\text{case } y \text{ of } \text{None} \Rightarrow \text{None} \mid \text{Some } y \Rightarrow \text{Some } (x \sqcap y)))$ 

lemma inf-None-1 [simp, code]:  $\text{None} \sqcap y = \text{None}$ 
  ⟨proof⟩

lemma inf-None-2 [simp, code]:  $x \sqcap \text{None} = \text{None}$ 
  ⟨proof⟩

lemma inf-Some [simp, code]:  $\text{Some } x \sqcap \text{Some } y = \text{Some } (x \sqcap y)$ 
  ⟨proof⟩

instance ⟨proof⟩

end

instantiation option :: (sup) sup
begin

definition sup-option where
   $x \sqcup y = (\text{case } x \text{ of } \text{None} \Rightarrow y \mid \text{Some } x' \Rightarrow (\text{case } y \text{ of } \text{None} \Rightarrow x \mid \text{Some } y \Rightarrow \text{Some } (x' \sqcup y)))$ 

lemma sup-None-1 [simp, code]:  $\text{None} \sqcup y = y$ 
  ⟨proof⟩

lemma sup-None-2 [simp, code]:  $x \sqcup \text{None} = x$ 
  ⟨proof⟩

lemma sup-Some [simp, code]:  $\text{Some } x \sqcup \text{Some } y = \text{Some } (x \sqcup y)$ 
  ⟨proof⟩

instance ⟨proof⟩

end

instance option :: (semilattice-inf) semilattice-inf
  ⟨proof⟩

instance option :: (semilattice-sup) semilattice-sup
  ⟨proof⟩

```

**instance** *option* :: (*lattice*) *lattice* ⟨*proof*⟩

**instance** *option* :: (*lattice*) *bounded-lattice-bot* ⟨*proof*⟩

**instance** *option* :: (*bounded-lattice-top*) *bounded-lattice-top* ⟨*proof*⟩

**instance** *option* :: (*bounded-lattice-top*) *bounded-lattice* ⟨*proof*⟩

**instance** *option* :: (*distrib-lattice*) *distrib-lattice*  
 ⟨*proof*⟩

**instantiation** *option* :: (*complete-lattice*) *complete-lattice*  
**begin**

**definition** *Inf-option* :: 'a *option set* ⇒ 'a *option* **where**  
 $\sqcap A = (\text{if } \text{None} \in A \text{ then } \text{None} \text{ else } \text{Some } (\sqcap \text{Option.these } A))$

**lemma** *None-in-Inf* [*simp*]:  $\text{None} \in A \implies \sqcap A = \text{None}$   
 ⟨*proof*⟩

**definition** *Sup-option* :: 'a *option set* ⇒ 'a *option* **where**  
 $\sqcup A = (\text{if } A = \{\} \vee A = \{\text{None}\} \text{ then } \text{None} \text{ else } \text{Some } (\sqcup \text{Option.these } A))$

**lemma** *empty-Sup* [*simp*]:  $\sqcup \{\} = \text{None}$   
 ⟨*proof*⟩

**lemma** *singleton-None-Sup* [*simp*]:  $\sqcup \{\text{None}\} = \text{None}$   
 ⟨*proof*⟩

**instance**  
 ⟨*proof*⟩

**end**

**lemma** *Some-Inf*:  
 $\text{Some } (\sqcap A) = \sqcap (\text{Some } ' A)$   
 ⟨*proof*⟩

**lemma** *Some-Sup*:  
 $A \neq \{\} \implies \text{Some } (\sqcup A) = \sqcup (\text{Some } ' A)$   
 ⟨*proof*⟩

**lemma** *Some-INF*:  
 $\text{Some } (\sqcap x \in A. f x) = (\sqcap x \in A. \text{Some } (f x))$   
 ⟨*proof*⟩

**lemma** *Some-SUP*:  
 $A \neq \{\} \implies \text{Some } (\sqcup x \in A. f x) = (\sqcup x \in A. \text{Some } (f x))$

*<proof>*

**lemma** *option-Inf-Sup*:  $\prod (Sup \text{ ' } A) \leq \sqcup (Inf \text{ ' } A \mid f. \forall Y \in A. f Y \in Y)$   
**for**  $A :: ('a :: complete-distrib-lattice \text{ option}) \text{ set set}$   
*<proof>*

**instance** *option* :: (*complete-distrib-lattice*) *complete-distrib-lattice*  
*<proof>*

**instance** *option* :: (*complete-linorder*) *complete-linorder* *<proof>*

**unbundle** *no-lattice-syntax*

**end**

## 76 Futures and parallel lists for code generated towards Isabelle/ML

**theory** *Parallel*  
**imports** *Main*  
**begin**

### 76.1 Futures

**datatype** *'a future* = *fork unit*  $\Rightarrow$  *'a*

**primrec** *join* :: *'a future*  $\Rightarrow$  *'a* **where**  
*join (fork f) = f ()*

**lemma** *future-eqI* [*intro!*]:  
**assumes** *join f = join g*  
**shows** *f = g*  
*<proof>*

**code-printing**

**type-constructor** *future*  $\rightarrow$  (*Eval*) - *future*  
| **constant** *fork*  $\rightarrow$  (*Eval*) *Future.fork*  
| **constant** *join*  $\rightarrow$  (*Eval*) *Future.join*

**code-reserved** *Eval Future future*

### 76.2 Parallel lists

**definition** *map* :: (*'a*  $\Rightarrow$  *'b*)  $\Rightarrow$  *'a list*  $\Rightarrow$  *'b list* **where**  
[*simp*]: *map = List.map*

**definition** *forall* :: (*'a*  $\Rightarrow$  *bool*)  $\Rightarrow$  *'a list*  $\Rightarrow$  *bool* **where**  
*forall = list-all*

```
lemma forall-all [simp]:
  forall P xs  $\longleftrightarrow$  ( $\forall x \in \text{set } xs. P x$ )
  <proof>
```

```
definition exists :: ('a  $\Rightarrow$  bool)  $\Rightarrow$  'a list  $\Rightarrow$  bool where
  exists = list-ex
```

```
lemma exists-ex [simp]:
  exists P xs  $\longleftrightarrow$  ( $\exists x \in \text{set } xs. P x$ )
  <proof>
```

**code-printing**

```
constant map  $\rightarrow$  (Eval) Par'-List.map
| constant forall  $\rightarrow$  (Eval) Par'-List.forall
| constant exists  $\rightarrow$  (Eval) Par'-List.exists
```

```
code-reserved Eval Par-List
```

```
hide-const (open) fork join map exists forall
```

```
end
```

## 77 Input syntax for pattern aliases (or “as-patterns” in Haskell)

```
theory Pattern-Aliases
```

```
imports Main
```

```
begin
```

Most functional languages (Haskell, ML, Scala) support aliases in patterns. This allows to refer to a subpattern with a variable name. This theory implements this using a check phase. It works well for function definitions (see usage below). All features are packed into a **bundle**.

The following caveats should be kept in mind:

- The translation expects a term of the form  $f x y = rhs$ , where  $x$  and  $y$  are patterns that may contain aliases. The result of the translation is a nested *Let*-expression on the right hand side. The code generator *does not* print Isabelle pattern aliases to target language pattern aliases.
- The translation does not process nested equalities; only the top-level equality is translated.
- Terms that do not adhere to the above shape may either stay untranslated or produce an error message. The **fun** command will complain if pattern aliases are left untranslated. In particular, there are no checks whether the patterns are wellformed or linear.



- The corresponding uncheck phase attempts to reverse the translation (no guarantee). The additionally introduced variables are bound using a “fake quantifier” that does not appear in the output.
- To obtain reasonable induction principles in function definitions, the bundle also declares a custom congruence rule for *Let* that only affects **fun**. This congruence rule might lead to an explosion in term size (although that is rare)! In some circumstances (using *let* to destructure tuples), the internal construction of functions stumbles over this rule and prints an error. To mitigate this, either
  - activate the bundle locally (**context includes ... begin**) or
  - rewrite the *let*-expression to use *case*: *let* (*a*, *b*) = *x* *in* (*b*, *a*) becomes *case x of* (*a*, *b*)  $\Rightarrow$  (*b*, *a*).
- The bundle also adds the *Let* *?s* *?f*  $\equiv$  *?f* *?s* rule to the simpset.

### 77.1 Definition

**consts**

*as* :: 'a  $\Rightarrow$  'a  $\Rightarrow$  'a  
*fake-quant* :: ('a  $\Rightarrow$  prop)  $\Rightarrow$  prop

**lemma** *let-cong-unfolding*:  $M = N \Longrightarrow f N = g N \Longrightarrow \text{Let } M f = \text{Let } N g$   
 ⟨*proof*⟩

**translations**  $P <= \text{CONST } \text{fake-quant } (\lambda x. P)$

⟨*ML*⟩

**bundle** *pattern-aliases* **begin**

**notation** *as* (**infixr** =: 1)

⟨*ML*⟩

**declare** *let-cong-unfolding* [*fundef-cong*]

**declare** *Let-def* [*simp*]

**end**

**hide-const** *as*

**hide-const** *fake-quant*

### 77.2 Usage

**context includes** *pattern-aliases* **begin**

Not very useful for plain definitions, but works anyway.

**private definition** *test-1*  $x (y =: z) = y + z$

**lemma** *test-1*  $x y = y + y$   
 ⟨*proof*⟩

Very useful for function definitions.

**private fun** *test-2* **where**  
*test-2*  $(y \# (y' \# ys =: x') =: x) = x @ x' @ x' |$   
*test-2* - = []

**lemma** *test-2*  $(y \# y' \# ys) = (y \# y' \# ys) @ (y' \# ys) @ (y' \# ys)$   
 ⟨*proof*⟩

⟨*ML*⟩

**end**

**end**

## 78 Periodic Functions

**theory** *Periodic-Fun*  
**imports** *Complex-Main*  
**begin**

A locale for periodic functions. The idea is that one proves  $f(x + p) = f(x)$  for some period  $p$  and gets derived results like  $f(x - p) = f(x)$  and  $f(x + 2p) = f(x)$  for free.

$g$  and  $gm$  are “plus/minus  $k$  periods” functions.  $g1$  and  $gn1$  are “plus/minus one period” functions. This is useful e.g. if the period is one; the lemmas one gets are then  $f(x + (1::'b)) = f x$  instead of  $f(x + (1::'b) * (1::'b)) = f x$  etc.

**locale** *periodic-fun* =  
**fixes**  $f :: ('a :: \{ring-1\}) \Rightarrow 'b$  **and**  $g gm :: 'a \Rightarrow 'a \Rightarrow 'a$  **and**  $g1 gn1 :: 'a \Rightarrow 'a$   
**assumes** *plus-1*:  $f (g1 x) = f x$   
**assumes** *periodic-arg-plus-0*:  $g x 0 = x$   
**assumes** *periodic-arg-plus-distrib*:  $g x (of-int (m + n)) = g (g x (of-int n)) (of-int m)$   
**assumes** *plus-1-eq*:  $g x 1 = g1 x$  **and** *minus-1-eq*:  $g x (-1) = gn1 x$   
**and** *minus-eq*:  $g x (-y) = gm x y$   
**begin**

**lemma** *plus-of-nat*:  $f (g x (of-nat n)) = f x$   
 ⟨*proof*⟩

**lemma** *minus-of-nat*:  $f (gm x (of-nat n)) = f x$   
 ⟨*proof*⟩

**lemma** *plus-of-int*:  $f (g x (of-int n)) = f x$   
 ⟨proof⟩

**lemma** *minus-of-int*:  $f (gm x (of-int n)) = f x$   
 ⟨proof⟩

**lemma** *plus-numeral*:  $f (g x (numeral n)) = f x$   
 ⟨proof⟩

**lemma** *minus-numeral*:  $f (gm x (numeral n)) = f x$   
 ⟨proof⟩

**lemma** *minus-1*:  $f (gn1 x) = f x$   
 ⟨proof⟩

**lemmas** *periodic-simps* = *plus-of-nat minus-of-nat plus-of-int minus-of-int*  
*plus-numeral minus-numeral plus-1 minus-1*

**end**

Specialised case of the *periodic-fun* locale for periods that are not 1.  
 Gives lemmas  $f (x - period) = f x$  etc.

**locale** *periodic-fun-simple* =  
**fixes**  $f :: ('a :: \{ring-1\}) \Rightarrow 'b$  **and**  $period :: 'a$   
**assumes** *plus-period*:  $f (x + period) = f x$   
**begin**  
**sublocale** *periodic-fun*  $f \lambda z x. z + x * period \lambda z x. z - x * period$   
 $\lambda z. z + period \lambda z. z - period$   
 ⟨proof⟩  
**end**

Specialised case of the *periodic-fun* locale for period 1. Gives lemmas  $f$   
 $(x - (1::'b)) = f x$  etc.

**locale** *periodic-fun-simple'* =  
**fixes**  $f :: ('a :: \{ring-1\}) \Rightarrow 'b$   
**assumes** *plus-period*:  $f (x + 1) = f x$   
**begin**  
**sublocale** *periodic-fun*  $f \lambda z x. z + x \lambda z x. z - x \lambda z. z + 1 \lambda z. z - 1$   
 ⟨proof⟩

**lemma** *of-nat*:  $f (of-nat n) = f 0$  ⟨proof⟩

**lemma** *uminus-of-nat*:  $f (-of-nat n) = f 0$  ⟨proof⟩

**lemma** *of-int*:  $f (of-int n) = f 0$  ⟨proof⟩

**lemma** *uminus-of-int*:  $f (-of-int n) = f 0$  ⟨proof⟩

**lemma** *of-numeral*:  $f (numeral n) = f 0$  ⟨proof⟩

**lemma** *of-neg-numeral*:  $f (-numeral n) = f 0$  ⟨proof⟩

**lemma** *of-1*:  $f 1 = f 0$  ⟨proof⟩

**lemma** *of-neg-1*:  $f (-1) = f 0$  ⟨proof⟩

**lemmas** *periodic-simps'* =  
*of-nat uminus-of-nat of-int uminus-of-int of-numeral of-neg-numeral of-1 of-neg-1*

**end**

**lemma** *sin-plus-pi*:  $\sin ((z :: 'a :: \{\text{real-normed-field}, \text{banach}\}) + \text{of-real pi}) = -\sin z$   
 ⟨*proof*⟩

**lemma** *cos-plus-pi*:  $\cos ((z :: 'a :: \{\text{real-normed-field}, \text{banach}\}) + \text{of-real pi}) = -\cos z$   
 ⟨*proof*⟩

**interpretation** *sin*: *periodic-fun-simple sin 2 \* of-real pi :: 'a :: {real-normed-field, banach}*  
 ⟨*proof*⟩

**interpretation** *cos*: *periodic-fun-simple cos 2 \* of-real pi :: 'a :: {real-normed-field, banach}*  
 ⟨*proof*⟩

**interpretation** *tan*: *periodic-fun-simple tan 2 \* of-real pi :: 'a :: {real-normed-field, banach}*  
 ⟨*proof*⟩

**interpretation** *cot*: *periodic-fun-simple cot 2 \* of-real pi :: 'a :: {real-normed-field, banach}*  
 ⟨*proof*⟩

**lemma** *cos-eq-neg-periodic-intro*:  
**assumes**  $x - y = 2*(\text{of-int } k)*\text{pi} + \text{pi} \vee x + y = 2*(\text{of-int } k)*\text{pi} + \text{pi}$   
**shows**  $\cos x = -\cos y$  ⟨*proof*⟩

**lemma** *cos-eq-periodic-intro*:  
**assumes**  $x - y = 2*(\text{of-int } k)*\text{pi} \vee x + y = 2*(\text{of-int } k)*\text{pi}$   
**shows**  $\cos x = \cos y$   
 ⟨*proof*⟩

**lemma** *cos-eq-arccos-Ex*:  
 $\cos x = y \iff -1 \leq y \wedge y \leq 1 \wedge (\exists k :: \text{int}. x = \arccos y + 2*k*\text{pi} \vee x = -\arccos y + 2*k*\text{pi})$  (is ?L=?R)  
 ⟨*proof*⟩

**end**

## 79 Polynomial mapping: combination of almost everywhere zero functions with an algebraic view

**theory** *Poly-Mapping*  
**imports** *Groups-Big-Fun Fun-Lexorder More-List*

begin

### 79.1 Preliminary: auxiliary operations for *almost everywhere zero*

A central notion for polynomials are functions being *almost everywhere zero*. For these we provide some auxiliary definitions and lemmas.

**lemma** *finite-mult-not-eq-zero-leftI*:

**fixes**  $f :: 'b \Rightarrow 'a :: \text{mult-zero}$   
**assumes**  $\text{finite } \{a. f\ a \neq 0\}$   
**shows**  $\text{finite } \{a. g\ a * f\ a \neq 0\}$   
 ⟨proof⟩

**lemma** *finite-mult-not-eq-zero-rightI*:

**fixes**  $f :: 'b \Rightarrow 'a :: \text{mult-zero}$   
**assumes**  $\text{finite } \{a. f\ a \neq 0\}$   
**shows**  $\text{finite } \{a. f\ a * g\ a \neq 0\}$   
 ⟨proof⟩

**lemma** *finite-mult-not-eq-zero-prodI*:

**fixes**  $f\ g :: 'a \Rightarrow 'b :: \text{semiring-0}$   
**assumes**  $\text{finite } \{a. f\ a \neq 0\}$  (**is**  $\text{finite } ?F$ )  
**assumes**  $\text{finite } \{b. g\ b \neq 0\}$  (**is**  $\text{finite } ?G$ )  
**shows**  $\text{finite } \{(a, b). f\ a * g\ b \neq 0\}$   
 ⟨proof⟩

**lemma** *finite-not-eq-zero-sumI*:

**fixes**  $f\ g :: 'a :: \text{monoid-add} \Rightarrow 'b :: \text{semiring-0}$   
**assumes**  $\text{finite } \{a. f\ a \neq 0\}$  (**is**  $\text{finite } ?F$ )  
**assumes**  $\text{finite } \{b. g\ b \neq 0\}$  (**is**  $\text{finite } ?G$ )  
**shows**  $\text{finite } \{a + b \mid a\ b. f\ a \neq 0 \wedge g\ b \neq 0\}$  (**is**  $\text{finite } ?FG$ )  
 ⟨proof⟩

**lemma** *finite-mult-not-eq-zero-sumI*:

**fixes**  $f\ g :: 'a :: \text{monoid-add} \Rightarrow 'b :: \text{semiring-0}$   
**assumes**  $\text{finite } \{a. f\ a \neq 0\}$   
**assumes**  $\text{finite } \{b. g\ b \neq 0\}$   
**shows**  $\text{finite } \{a + b \mid a\ b. f\ a * g\ b \neq 0\}$   
 ⟨proof⟩

**lemma** *finite-Sum-any-not-eq-zero-weakenI*:

**assumes**  $\text{finite } \{a. \exists b. f\ a\ b \neq 0\}$   
**shows**  $\text{finite } \{a. \text{Sum-any } (f\ a) \neq 0\}$   
 ⟨proof⟩

**context** *zero*

begin

**definition** *when* ::  $'a \Rightarrow \text{bool} \Rightarrow 'a$  (**infixl** *when* 20)

**where**

$$(a \text{ when } P) = (\text{if } P \text{ then } a \text{ else } 0)$$

Case distinctions always complicate matters, particularly when nested. The (*when*) operation allows to minimise these if  $0::'a$  is the false-case value and makes proof obligations much more readable.

**lemma** *when [simp]*:

$$P \implies (a \text{ when } P) = a$$

$$\neg P \implies (a \text{ when } P) = 0$$

*<proof>*

**lemma** *when-simps [simp]*:

$$(a \text{ when } \text{True}) = a$$

$$(a \text{ when } \text{False}) = 0$$

*<proof>*

**lemma** *when-cong*:

**assumes**  $P \longleftrightarrow Q$

**and**  $Q \implies a = b$

**shows**  $(a \text{ when } P) = (b \text{ when } Q)$

*<proof>*

**lemma** *zero-when [simp]*:

$$(0 \text{ when } P) = 0$$

*<proof>*

**lemma** *when-when*:

$$(a \text{ when } P \text{ when } Q) = (a \text{ when } P \wedge Q)$$

*<proof>*

**lemma** *when-commute*:

$$(a \text{ when } Q \text{ when } P) = (a \text{ when } P \text{ when } Q)$$

*<proof>*

**lemma** *when-neq-zero [simp]*:

$$(a \text{ when } P) \neq 0 \longleftrightarrow P \wedge a \neq 0$$

*<proof>*

**end**

**context** *monoid-add*

**begin**

**lemma** *when-add-distrib*:

$$(a + b \text{ when } P) = (a \text{ when } P) + (b \text{ when } P)$$

*<proof>*

**end**

**context** *semiring-1*  
**begin**

**lemma** *zero-power-eq*:  
 $0 \wedge n = (1 \text{ when } n = 0)$   
 ⟨*proof*⟩

**end**

**context** *comm-monoid-add*  
**begin**

**lemma** *Sum-any-when-equal* [*simp*]:  
 $(\sum a. (f a \text{ when } a = b)) = f b$   
 ⟨*proof*⟩

**lemma** *Sum-any-when-equal'* [*simp*]:  
 $(\sum a. (f a \text{ when } b = a)) = f b$   
 ⟨*proof*⟩

**lemma** *Sum-any-when-independent*:  
 $(\sum a. g a \text{ when } P) = ((\sum a. g a) \text{ when } P)$   
 ⟨*proof*⟩

**lemma** *Sum-any-when-dependent-prod-right*:  
 $(\sum (a, b). g a \text{ when } b = h a) = (\sum a. g a)$   
 ⟨*proof*⟩

**lemma** *Sum-any-when-dependent-prod-left*:  
 $(\sum (a, b). g b \text{ when } a = h b) = (\sum b. g b)$   
 ⟨*proof*⟩

**end**

**context** *cancel-comm-monoid-add*  
**begin**

**lemma** *when-diff-distrib*:  
 $(a - b \text{ when } P) = (a \text{ when } P) - (b \text{ when } P)$   
 ⟨*proof*⟩

**end**

**context** *group-add*  
**begin**

**lemma** *when-uminus-distrib*:  
 $(- a \text{ when } P) = - (a \text{ when } P)$   
 ⟨*proof*⟩

**end**

**context** *mult-zero*  
**begin**

**lemma** *mult-when*:  
 $a * (b \text{ when } P) = (a * b \text{ when } P)$   
*<proof>*

**lemma** *when-mult*:  
 $(a \text{ when } P) * b = (a * b \text{ when } P)$   
*<proof>*

**end**

## 79.2 Type definition

The following type is of central importance:

**typedef** (**overloaded**) (*'a, 'b poly-mapping*  $((- \Rightarrow_0 /-) [1, 0] 0) =$   
 $\{f :: 'a \Rightarrow 'b::\text{zero. finite } \{x. f x \neq 0\}\}$   
**morphisms** *lookup Abs-poly-mapping*  
*<proof>*

**declare** *lookup-inverse* [*simp*]  
**declare** *lookup-inject* [*simp*]

**lemma** *lookup-Abs-poly-mapping* [*simp*]:  
 $\text{finite } \{x. f x \neq 0\} \implies \text{lookup } (\text{Abs-poly-mapping } f) = f$   
*<proof>*

**lemma** *finite-lookup* [*simp*]:  
 $\text{finite } \{k. \text{lookup } f k \neq 0\}$   
*<proof>*

**lemma** *finite-lookup-nat* [*simp*]:  
**fixes**  $f :: 'a \Rightarrow_0 \text{nat}$   
**shows**  $\text{finite } \{k. 0 < \text{lookup } f k\}$   
*<proof>*

**lemma** *poly-mapping-eqI*:  
**assumes**  $\bigwedge k. \text{lookup } f k = \text{lookup } g k$   
**shows**  $f = g$   
*<proof>*

**lemma** *poly-mapping-eq-iff*:  $a = b \iff \text{lookup } a = \text{lookup } b$   
*<proof>*

We model the universe of functions being *almost everywhere zero* by



means of a separate type  $'a \Rightarrow_0 'b$ . For convenience we provide a suggestive infix syntax which is a variant of the usual function space syntax. Conversion between both types happens through the morphisms

$$\text{lookup}::('a \Rightarrow_0 'b) \Rightarrow 'a \Rightarrow 'b$$

$$\text{Abs-poly-mapping}::('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow_0 'b$$

satisfying

$$\text{Abs-poly-mapping} (\text{lookup } ?x) = ?x$$

$$\text{finite } \{x. ?f x \neq (0::?'b)\} \Longrightarrow \text{lookup} (\text{Abs-poly-mapping } ?f) = ?f$$

Luckily, we have rarely to deal with those low-level morphisms explicitly but rely on Isabelle’s *lifting* package with its method *transfer* and its specification tool *lift-definition*.

**setup-lifting** *type-definition-poly-mapping*

**code-datatype** *Abs-poly-mapping*—FIXME? workaround for preventing *code-abstype* setup

$'a \Rightarrow_0 'b$  serves distinctive purposes:

1. A clever nesting as  $(\text{nat} \Rightarrow_0 \text{nat}) \Rightarrow_0 'a$  later in theory *MPoly* gives a suitable representation type for polynomials *almost for free*: Interpreting  $\text{nat} \Rightarrow_0 \text{nat}$  as a mapping from variable identifiers to exponents yields monomials, and the whole type maps monomials to coefficients. Lets call this the *ultimate interpretation*.
2. A further more specialised type isomorphic to  $\text{nat} \Rightarrow_0 'a$  is apt to direct implementation using code generation [1].

Note that despite the names *mapping* and *lookup* suggest something implementation-near, it is best to keep  $'a \Rightarrow_0 'b$  as an abstract *algebraic* type providing operations like *addition*, *multiplication* without any notion of key-order, data structures etc. This implementations-specific notions are easily introduced later for particular implementations but do not provide any gain for specifying logical properties of polynomials.

### 79.3 Additive structure

The additive structure covers the usual operations  $0$ ,  $+$  and (unary and binary)  $-$ . Recalling the ultimate interpretation, it is obvious that these have just lift the corresponding operations on values to mappings.

Isabelle has a rich hierarchy of algebraic type classes, and in such situations of pointwise lifting a typical pattern is to have instantiations for a considerable number of type classes.

The operations themselves are specified using *lift-definition*, where the proofs of the *almost everywhere zero* property can be significantly involved.

The *lookup* operation is supposed to be usable explicitly (unless in other situations where the morphisms between types are somehow internal to the *lifting* package). Hence it is good style to provide explicit rewrite rules how *lookup* acts on operations immediately.

**instantiation** *poly-mapping* :: (type, zero) zero  
**begin**

**lift-definition** *zero-poly-mapping* :: 'a  $\Rightarrow_0$  'b  
**is**  $\lambda k. 0$   
*<proof>*

**instance** *<proof>*

**end**

**lemma** *Abs-poly-mapping [simp]: Abs-poly-mapping ( $\lambda k. 0$ ) = 0*  
*<proof>*

**lemma** *lookup-zero [simp]: lookup 0 k = 0*  
*<proof>*

**instantiation** *poly-mapping* :: (type, monoid-add) monoid-add  
**begin**

**lift-definition** *plus-poly-mapping* ::  
( $'a \Rightarrow_0 'b$ )  $\Rightarrow$  ( $'a \Rightarrow_0 'b$ )  $\Rightarrow$   $'a \Rightarrow_0 'b$   
**is**  $\lambda f1 f2 k. f1 k + f2 k$   
*<proof>*

**instance**  
*<proof>*

**end**

**lemma** *lookup-add:*  
*lookup (f + g) k = lookup f k + lookup g k*  
*<proof>*

**instance** *poly-mapping* :: (type, comm-monoid-add) comm-monoid-add  
*<proof>*

**lemma** *lookup-sum: lookup (sum pp X) i = sum ( $\lambda x. lookup (pp x) i$ ) X*  
*<proof>*

**instantiation** *poly-mapping* :: (*type*, *cancel-comm-monoid-add*) *cancel-comm-monoid-add*  
**begin**

**lift-definition** *minus-poly-mapping* :: ( $'a \Rightarrow_0 'b$ )  $\Rightarrow$  ( $'a \Rightarrow_0 'b$ )  $\Rightarrow$   $'a \Rightarrow_0 'b$   
**is**  $\lambda f1 f2 k. f1 k - f2 k$   
 $\langle proof \rangle$

**instance**  
 $\langle proof \rangle$

**end**

**instantiation** *poly-mapping* :: (*type*, *ab-group-add*) *ab-group-add*  
**begin**

**lift-definition** *uminus-poly-mapping* :: ( $'a \Rightarrow_0 'b$ )  $\Rightarrow$   $'a \Rightarrow_0 'b$   
**is** *uminus*  
 $\langle proof \rangle$

**instance**  
 $\langle proof \rangle$

**end**

**lemma** *lookup-uminus* [*simp*]:  
 $lookup (- f) k = - lookup f k$   
 $\langle proof \rangle$

**lemma** *lookup-minus*:  
 $lookup (f - g) k = lookup f k - lookup g k$   
 $\langle proof \rangle$

## 79.4 Multiplicative structure

**instantiation** *poly-mapping* :: (*zero*, *zero-neq-one*) *zero-neq-one*  
**begin**

**lift-definition** *one-poly-mapping* ::  $'a \Rightarrow_0 'b$   
**is**  $\lambda k. 1$  when  $k = 0$   
 $\langle proof \rangle$

**instance**  
 $\langle proof \rangle$

**end**

**lemma** *lookup-one*:  
 $lookup 1 k = (1$  when  $k = 0)$

*<proof>*

**lemma** *lookup-one-zero* [*simp*]:

*lookup 1 0 = 1*

*<proof>*

**definition** *prod-fun* :: (*'a*  $\Rightarrow$  *'b*)  $\Rightarrow$  (*'a*  $\Rightarrow$  *'b*)  $\Rightarrow$  *'a*::*monoid-add*  $\Rightarrow$  *'b*::*semiring-0*

**where**

*prod-fun f1 f2 k = ( $\sum l. f1 l * (\sum q. (f2 q \text{ when } k = l + q))$ )*

**lemma** *prod-fun-unfold-prod*:

**fixes** *f g* :: *'a* :: *monoid-add*  $\Rightarrow$  *'b*::*semiring-0*

**assumes** *fin-f*: *finite* {*a. f a  $\neq$  0*}

**assumes** *fin-g*: *finite* {*b. g b  $\neq$  0*}

**shows** *prod-fun f g k = ( $\sum (a, b). f a * g b \text{ when } k = a + b$ )*

*<proof>*

**lemma** *finite-prod-fun*:

**fixes** *f1 f2* :: *'a* :: *monoid-add*  $\Rightarrow$  *'b* :: *semiring-0*

**assumes** *fin1*: *finite* {*l. f1 l  $\neq$  0*}

**and** *fin2*: *finite* {*q. f2 q  $\neq$  0*}

**shows** *finite* {*k. prod-fun f1 f2 k  $\neq$  0*}

*<proof>*

**instantiation** *poly-mapping* :: (*monoid-add*, *semiring-0*) *semiring-0*

**begin**

**lift-definition** *times-poly-mapping* :: (*'a*  $\Rightarrow_0$  *'b*)  $\Rightarrow$  (*'a*  $\Rightarrow_0$  *'b*)  $\Rightarrow$  *'a*  $\Rightarrow_0$  *'b*

**is** *prod-fun*

*<proof>*

**instance**

*<proof>*

**end**

**lemma** *lookup-mult*:

*lookup (f \* g) k = ( $\sum l. lookup f l * (\sum q. lookup g q \text{ when } k = l + q)$ )*

*<proof>*

**instance** *poly-mapping* :: (*comm-monoid-add*, *comm-semiring-0*) *comm-semiring-0*

*<proof>*

**instance** *poly-mapping* :: (*monoid-add*, *semiring-0-cancel*) *semiring-0-cancel*

*<proof>*

**instance** *poly-mapping* :: (*comm-monoid-add*, *comm-semiring-0-cancel*) *comm-semiring-0-cancel*

*<proof>*

**instance** *poly-mapping* :: (monoid-add, semiring-1) semiring-1  
 ⟨proof⟩

**instance** *poly-mapping* :: (comm-monoid-add, comm-semiring-1) comm-semiring-1  
 ⟨proof⟩

**instance** *poly-mapping* :: (monoid-add, semiring-1-cancel) semiring-1-cancel  
 ⟨proof⟩

**instance** *poly-mapping* :: (monoid-add, ring) ring  
 ⟨proof⟩

**instance** *poly-mapping* :: (comm-monoid-add, comm-ring) comm-ring  
 ⟨proof⟩

**instance** *poly-mapping* :: (monoid-add, ring-1) ring-1  
 ⟨proof⟩

**instance** *poly-mapping* :: (comm-monoid-add, comm-ring-1) comm-ring-1  
 ⟨proof⟩

## 79.5 Single-point mappings

**lift-definition** *single* :: 'a ⇒ 'b ⇒ 'a ⇒<sub>0</sub> 'b::zero  
 is λk v k'. (v when k = k')  
 ⟨proof⟩

**lemma** *inj-single* [iff]:  
 inj (single k)  
 ⟨proof⟩

**lemma** *lookup-single*:  
 lookup (single k v) k' = (v when k = k')  
 ⟨proof⟩

**lemma** *lookup-single-eq* [simp]:  
 lookup (single k v) k = v  
 ⟨proof⟩

**lemma** *lookup-single-not-eq*:  
 k ≠ k' ⇒ lookup (single k v) k' = 0  
 ⟨proof⟩

**lemma** *single-zero* [simp]:  
 single k 0 = 0  
 ⟨proof⟩

**lemma** *single-one* [simp]:  
 single 0 1 = 1

*<proof>*

**lemma** *single-add*:

$single\ k\ (a + b) = single\ k\ a + single\ k\ b$   
*<proof>*

**lemma** *single-uminus*:

$single\ k\ (- a) = -\ single\ k\ a$   
*<proof>*

**lemma** *single-diff*:

$single\ k\ (a - b) = single\ k\ a - single\ k\ b$   
*<proof>*

**lemma** *single-numeral* [*simp*]:

$single\ 0\ (numeral\ n) = numeral\ n$   
*<proof>*

**lemma** *lookup-numeral*:

$lookup\ (numeral\ n)\ k = (numeral\ n\ when\ k = 0)$   
*<proof>*

**lemma** *single-of-nat* [*simp*]:

$single\ 0\ (of-nat\ n) = of-nat\ n$   
*<proof>*

**lemma** *lookup-of-nat*:

$lookup\ (of-nat\ n)\ k = (of-nat\ n\ when\ k = 0)$   
*<proof>*

**lemma** *of-nat-single*:

$of-nat = single\ 0 \circ of-nat$   
*<proof>*

**lemma** *mult-single*:

$single\ k\ a * single\ l\ b = single\ (k + l)\ (a * b)$   
*<proof>*

**instance** *poly-mapping* :: (*monoid-add*, *semiring-char-0*) *semiring-char-0*

*<proof>*

**instance** *poly-mapping* :: (*monoid-add*, *ring-char-0*) *ring-char-0*

*<proof>*

**lemma** *single-of-int* [*simp*]:

$single\ 0\ (of-int\ k) = of-int\ k$   
*<proof>*

**lemma** *lookup-of-int*:

*lookup* (of-int l) k = (of-int l when k = 0)  
 ⟨proof⟩

## 79.6 Integral domains

**instance** *poly-mapping* :: ({ordered-cancel-comm-monoid-add, linorder}, semiring-no-zero-divisors)  
 semiring-no-zero-divisors

The *linorder* constraint is a pragmatic device for the proof — maybe it can be dropped

⟨proof⟩

**instance** *poly-mapping* :: ({ordered-cancel-comm-monoid-add, linorder}, ring-no-zero-divisors)  
 ring-no-zero-divisors  
 ⟨proof⟩

**instance** *poly-mapping* :: ({ordered-cancel-comm-monoid-add, linorder}, ring-1-no-zero-divisors)  
 ring-1-no-zero-divisors  
 ⟨proof⟩

**instance** *poly-mapping* :: ({ordered-cancel-comm-monoid-add, linorder}, idom) idom  
 ⟨proof⟩

## 79.7 Mapping order

**instantiation** *poly-mapping* :: (linorder, {zero, linorder}) linorder  
 begin

**lift-definition** *less-poly-mapping* :: ('a ⇒<sub>0</sub> 'b) ⇒ ('a ⇒<sub>0</sub> 'b) ⇒ bool  
 is less-fun  
 ⟨proof⟩

**lift-definition** *less-eq-poly-mapping* :: ('a ⇒<sub>0</sub> 'b) ⇒ ('a ⇒<sub>0</sub> 'b) ⇒ bool  
 is λf g. less-fun f g ∨ f = g  
 ⟨proof⟩

**instance** ⟨proof⟩

**end**

**instance** *poly-mapping* :: (linorder, {ordered-comm-monoid-add, ordered-ab-semigroup-add-imp-le,  
 linorder}) ordered-ab-semigroup-add  
 ⟨proof⟩

**instance** *poly-mapping* :: (linorder, {ordered-comm-monoid-add, ordered-ab-semigroup-add-imp-le,  
 cancel-comm-monoid-add, linorder}) linordered-cancel-ab-semigroup-add  
 ⟨proof⟩

**instance** *poly-mapping* :: (linorder, {ordered-comm-monoid-add, ordered-ab-semigroup-add-imp-le,  
 cancel-comm-monoid-add, linorder}) ordered-comm-monoid-add

*<proof>*

**instance** *poly-mapping* :: (*linorder*, {*ordered-comm-monoid-add*, *ordered-ab-semigroup-add-imple*, *cancel-comm-monoid-add*, *linorder*}) *ordered-cancel-comm-monoid-add*  
*<proof>*

**instance** *poly-mapping* :: (*linorder*, *linordered-ab-group-add*) *linordered-ab-group-add*  
*<proof>*

For pragmatism we leave out the final elements in the hierarchy: *linordered-ring*, *linordered-ring-strict*, *linordered-idom*; remember that the order instance is a mere technical device, not a deeper algebraic property.

## 79.8 Fundamental mapping notions

**lift-definition** *keys* :: (*'a*  $\Rightarrow_0$  *'b::zero*)  $\Rightarrow$  *'a set*  
 is  $\lambda f. \{k. f k \neq 0\}$  *<proof>*

**lift-definition** *range* :: (*'a*  $\Rightarrow_0$  *'b::zero*)  $\Rightarrow$  *'b set*  
 is  $\lambda f :: 'a \Rightarrow 'b. \text{Set.range } f - \{0\}$  *<proof>*

**lemma** *finite-keys* [*simp*]:  
*finite (keys f)*  
*<proof>*

**lemma** *not-in-keys-iff-lookup-eq-zero*:  
 $k \notin \text{keys } f \iff \text{lookup } f k = 0$   
*<proof>*

**lemma** *lookup-not-eq-zero-eq-in-keys*:  
 $\text{lookup } f k \neq 0 \iff k \in \text{keys } f$   
*<proof>*

**lemma** *lookup-eq-zero-in-keys-contradict* [*dest*]:  
 $\text{lookup } f k = 0 \implies \neg k \in \text{keys } f$   
*<proof>*

**lemma** *finite-range* [*simp*]: *finite (Poly-Mapping.range p)*  
*<proof>*

**lemma** *in-keys-lookup-in-range* [*simp*]:  
 $k \in \text{keys } f \implies \text{lookup } f k \in \text{range } f$   
*<proof>*

**lemma** *in-keys-iff*:  $x \in (\text{keys } s) = (\text{lookup } s x \neq 0)$   
*<proof>*

**lemma** *keys-zero* [*simp*]:  
 $\text{keys } 0 = \{\}$



$\langle \text{proof} \rangle$

**lemma** *range-zero* [*simp*]:

$\text{range } 0 = \{\}$

$\langle \text{proof} \rangle$

**lemma** *keys-add*:

$\text{keys } (f + g) \subseteq \text{keys } f \cup \text{keys } g$

$\langle \text{proof} \rangle$

**lemma** *keys-one* [*simp*]:

$\text{keys } 1 = \{0\}$

$\langle \text{proof} \rangle$

**lemma** *range-one* [*simp*]:

$\text{range } 1 = \{1\}$

$\langle \text{proof} \rangle$

**lemma** *keys-single* [*simp*]:

$\text{keys } (\text{single } k \ v) = (\text{if } v = 0 \text{ then } \{\} \text{ else } \{k\})$

$\langle \text{proof} \rangle$

**lemma** *range-single* [*simp*]:

$\text{range } (\text{single } k \ v) = (\text{if } v = 0 \text{ then } \{\} \text{ else } \{v\})$

$\langle \text{proof} \rangle$

**lemma** *keys-mult*:

$\text{keys } (f * g) \subseteq \{a + b \mid a \ b. \ a \in \text{keys } f \wedge b \in \text{keys } g\}$

$\langle \text{proof} \rangle$

**lemma** *setsum-keys-plus-distrib*:

**assumes** *hom-0*:  $\bigwedge k. \ f \ k \ 0 = 0$

**and** *hom-plus*:  $\bigwedge k. \ k \in \text{Poly-Mapping.keys } p \cup \text{Poly-Mapping.keys } q \implies f \ k \ (\text{Poly-Mapping.lookup } p \ k + \text{Poly-Mapping.lookup } q \ k) = f \ k \ (\text{Poly-Mapping.lookup } p \ k) + f \ k \ (\text{Poly-Mapping.lookup } q \ k)$

**shows**

$(\sum_{k \in \text{Poly-Mapping.keys } (p + q)}. \ f \ k \ (\text{Poly-Mapping.lookup } (p + q) \ k)) =$

$(\sum_{k \in \text{Poly-Mapping.keys } p}. \ f \ k \ (\text{Poly-Mapping.lookup } p \ k)) +$

$(\sum_{k \in \text{Poly-Mapping.keys } q}. \ f \ k \ (\text{Poly-Mapping.lookup } q \ k))$

**(is ?lhs = ?p + ?q)**

$\langle \text{proof} \rangle$

## 79.9 Degree

**definition** *degree* ::  $(\text{nat} \Rightarrow_0 \ 'a::\text{zero}) \Rightarrow \text{nat}$

**where**

$\text{degree } f = \text{Max } (\text{insert } 0 \ (\text{Suc } \ ' \ \text{keys } f))$

**lemma** *degree-zero* [*simp*]:

*degree 0 = 0*  
 ⟨proof⟩

**lemma** *degree-one* [simp]:  
*degree 1 = 1*  
 ⟨proof⟩

**lemma** *degree-single-zero* [simp]:  
*degree (single k 0) = 0*  
 ⟨proof⟩

**lemma** *degree-single-not-zero* [simp]:  
 $v \neq 0 \implies \text{degree (single k v)} = \text{Suc k}$   
 ⟨proof⟩

**lemma** *degree-zero-iff* [simp]:  
 $\text{degree } f = 0 \iff f = 0$   
 ⟨proof⟩

**lemma** *degree-greater-zero-in-keys*:  
**assumes**  $0 < \text{degree } f$   
**shows**  $\text{degree } f - 1 \in \text{keys } f$   
 ⟨proof⟩

**lemma** *in-keys-less-degree*:  
 $n \in \text{keys } f \implies n < \text{degree } f$   
 ⟨proof⟩

**lemma** *beyond-degree-lookup-zero*:  
 $\text{degree } f \leq n \implies \text{lookup } f \ n = 0$   
 ⟨proof⟩

**lemma** *degree-add*:  
 $\text{degree } (f + g) \leq \max (\text{degree } f) (\text{Poly-Mapping.degree } g)$   
 ⟨proof⟩

**lemma** *sorted-list-of-set-keys*:  
 $\text{sorted-list-of-set (keys } f) = \text{filter } (\lambda k. k \in \text{keys } f) [0..<\text{degree } f]$  (is - = ?r)  
 ⟨proof⟩

## 79.10 Inductive structure

**lift-definition** *update* ::  $'a \Rightarrow 'b \Rightarrow ('a \Rightarrow_0 'b::\text{zero}) \Rightarrow 'a \Rightarrow_0 'b$   
**is**  $\lambda k \ v \ f. f(k := v)$   
 ⟨proof⟩

**lemma** *update-induct* [case-names const update]:  
**assumes**  $\text{const}' : P \ 0$   
**assumes**  $\text{update}' : \bigwedge f \ a \ b. a \notin \text{keys } f \implies b \neq 0 \implies P \ f \implies P (\text{update } a \ b \ f)$

**shows**  $P f$   
 $\langle proof \rangle$

**lemma** *lookup-update*:  
 $lookup (update k v f) k' = (if k = k' then v else lookup f k')$   
 $\langle proof \rangle$

**lemma** *keys-update*:  
 $keys (update k v f) = (if v = 0 then keys f - \{k\} else insert k (keys f))$   
 $\langle proof \rangle$

### 79.11 Quasi-functorial structure

**lift-definition** *map* ::  $('b::zero \Rightarrow 'c::zero)$   
 $\Rightarrow ('a \Rightarrow_0 'b) \Rightarrow ('a \Rightarrow_0 'c::zero)$   
**is**  $\lambda g f k. g (f k)$  when  $f k \neq 0$   
 $\langle proof \rangle$

**context**  
**fixes**  $f :: 'b \Rightarrow 'a$   
**assumes** *inj-f*:  $inj f$   
**begin**

**lift-definition** *map-key* ::  $('a \Rightarrow_0 'c::zero) \Rightarrow 'b \Rightarrow_0 'c$   
**is**  $\lambda p. p \circ f$   
 $\langle proof \rangle$

**end**

**lemma** *map-key-compose*:  
**assumes** [*transfer-rule*]:  $inj f inj g$   
**shows**  $map-key f (map-key g p) = map-key (g \circ f) p$   
 $\langle proof \rangle$

**lemma** *map-key-id*:  
 $map-key (\lambda x. x) p = p$   
 $\langle proof \rangle$

**context**  
**fixes**  $f :: 'a \Rightarrow 'b$   
**assumes** *inj-f* [*transfer-rule*]:  $inj f$   
**begin**

**lemma** *map-key-map*:  
 $map-key f (map g p) = map g (map-key f p)$   
 $\langle proof \rangle$

**lemma** *map-key-plus*:  
 $map-key f (p + q) = map-key f p + map-key f q$

$\langle proof \rangle$

**lemma** *keys-map-key*:

$keys (map\text{-}key\ f\ p) = f \text{ - ' } keys\ p$

$\langle proof \rangle$

**lemma** *map-key-zero* [*simp*]:

$map\text{-}key\ f\ 0 = 0$

$\langle proof \rangle$

**lemma** *map-key-single* [*simp*]:

$map\text{-}key\ f\ (single\ (f\ k)\ v) = single\ k\ v$

$\langle proof \rangle$

**end**

**lemma** *mult-map-scale-conv-mult*:  $map\ ((*)\ s)\ p = single\ 0\ s * p$

$\langle proof \rangle$

**lemma** *map-single* [*simp*]:

$(c = 0 \implies f\ 0 = 0) \implies map\ f\ (single\ x\ c) = single\ x\ (f\ c)$

$\langle proof \rangle$

**lemma** *map-eq-zero-iff*:  $map\ f\ p = 0 \iff (\forall k \in keys\ p. f\ (lookup\ p\ k) = 0)$

$\langle proof \rangle$

## 79.12 Canonical dense representation of $nat \Rightarrow_0 'a$

**abbreviation** *no-trailing-zeros* ::  $'a :: zero\ list \Rightarrow bool$

**where**

$no\text{-}trailing\text{-}zeros \equiv no\text{-}trailing\ ((=)\ 0)$

**lift-definition** *nth* ::  $'a\ list \Rightarrow (nat \Rightarrow_0 'a::zero)$

**is** *nth-default* 0

$\langle proof \rangle$

The opposite direction is directly specified on (later) type *nat-mapping*.

**lemma** *nth-Nil* [*simp*]:

$nth\ [] = 0$

$\langle proof \rangle$

**lemma** *nth-singleton* [*simp*]:

$nth\ [v] = single\ 0\ v$

$\langle proof \rangle$

**lemma** *nth-replicate* [*simp*]:

$nth\ (replicate\ n\ 0\ @\ [v]) = single\ n\ v$

$\langle proof \rangle$

**lemma** *nth-strip-while* [*simp*]:

$nth\ (strip\ while\ ((=)\ 0)\ xs) = nth\ xs$   
 ⟨proof⟩

**lemma** *nth-strip-while'* [simp]:  
 $nth\ (strip\ while\ (\lambda k. k = 0)\ xs) = nth\ xs$   
 ⟨proof⟩

**lemma** *nth-eq-iff*:  
 $nth\ xs = nth\ ys \longleftrightarrow strip\ while\ (HOL.eq\ 0)\ xs = strip\ while\ (HOL.eq\ 0)\ ys$   
 ⟨proof⟩

**lemma** *lookup-nth* [simp]:  
 $lookup\ (nth\ xs) = nth\ default\ 0\ xs$   
 ⟨proof⟩

**lemma** *keys-nth* [simp]:  
 $keys\ (nth\ xs) = fst\ '\{(n, v) \in set\ (enumerate\ 0\ xs). v \neq 0\}$   
 ⟨proof⟩

**lemma** *range-nth* [simp]:  
 $range\ (nth\ xs) = set\ xs - \{0\}$   
 ⟨proof⟩

**lemma** *degree-nth*:  
 $no\ trailing\ zeros\ xs \implies degree\ (nth\ xs) = length\ xs$   
 ⟨proof⟩

**lemma** *nth-trailing-zeros* [simp]:  
 $nth\ (xs\ @\ replicate\ n\ 0) = nth\ xs$   
 ⟨proof⟩

**lemma** *nth-idem*:  
 $nth\ (List.map\ (lookup\ f)\ [0..<degree\ f]) = f$   
 ⟨proof⟩

**lemma** *nth-idem-bound*:  
**assumes**  $degree\ f \leq n$   
**shows**  $nth\ (List.map\ (lookup\ f)\ [0..<n]) = f$   
 ⟨proof⟩

### 79.13 Canonical sparse representation of $'a \Rightarrow_0 'b$

**lift-definition** *the-value* ::  $('a \times 'b)\ list \Rightarrow 'a \Rightarrow_0 'b::zero$   
**is**  $\lambda xs\ k. case\ map\ of\ xs\ k\ of\ None \Rightarrow 0 \mid Some\ v \Rightarrow v$   
 ⟨proof⟩

**definition** *items* ::  $('a::linorder \Rightarrow_0 'b::zero) \Rightarrow ('a \times 'b)\ list$   
**where**

$items\ f = List.map\ (\lambda k. (k, lookup\ f\ k))\ (sorted\ list\ of\ set\ (keys\ f))$

For the canonical sparse representation we provide both directions of morphisms since the specification of ordered association lists in theory *OAL-ist* will support arbitrary linear orders *linorder* as keys, not just natural numbers *nat*.

**lemma** *the-value-items* [*simp*]:

*the-value* (*items* *f*) = *f*  
 ⟨*proof*⟩

**lemma** *lookup-the-value*:

*lookup* (*the-value* *xs*) *k* = (case *map-of* *xs* *k* of *None* ⇒ 0 | *Some* *v* ⇒ *v*)  
 ⟨*proof*⟩

**lemma** *items-the-value*:

**assumes** *sorted* (*List.map* *fst* *xs*) **and** *distinct* (*List.map* *fst* *xs*) **and** 0 ∉ *snd* ‘  
*set* *xs*

**shows** *items* (*the-value* *xs*) = *xs*  
 ⟨*proof*⟩

**lemma** *the-value-Nil* [*simp*]:

*the-value* [] = 0  
 ⟨*proof*⟩

**lemma** *the-value-Cons* [*simp*]:

*the-value* (*x* # *xs*) = *update* (*fst* *x*) (*snd* *x*) (*the-value* *xs*)  
 ⟨*proof*⟩

**lemma** *items-zero* [*simp*]:

*items* 0 = []  
 ⟨*proof*⟩

**lemma** *items-one* [*simp*]:

*items* 1 = [(0, 1)]  
 ⟨*proof*⟩

**lemma** *items-single* [*simp*]:

*items* (*single* *k* *v*) = (if *v* = 0 then [] else [(*k*, *v*)])  
 ⟨*proof*⟩

**lemma** *in-set-items-iff* [*simp*]:

(*k*, *v*) ∈ *set* (*items* *f*) ↔ *k* ∈ *keys* *f* ∧ *lookup* *f* *k* = *v*  
 ⟨*proof*⟩

## 79.14 Size estimation

**context**

**fixes** *f* :: 'a ⇒ nat

**and** *g* :: 'b :: zero ⇒ nat

**begin**

**definition** *poly-mapping-size* :: ('a  $\Rightarrow_0$  'b)  $\Rightarrow$  nat

**where**

*poly-mapping-size* m = g 0 + ( $\sum$  k  $\in$  keys m. Suc (f k + g (lookup m k)))

**lemma** *poly-mapping-size-0* [simp]:

*poly-mapping-size* 0 = g 0

$\langle$ proof $\rangle$

**lemma** *poly-mapping-size-single* [simp]:

*poly-mapping-size* (single k v) = (if v = 0 then g 0 else g 0 + f k + g v + 1)

$\langle$ proof $\rangle$

**lemma** *keys-less-poly-mapping-size*:

k  $\in$  keys m  $\implies$  f k + g (lookup m k) < *poly-mapping-size* m

$\langle$ proof $\rangle$

**lemma** *lookup-le-poly-mapping-size*:

g (lookup m k)  $\leq$  *poly-mapping-size* m

$\langle$ proof $\rangle$

**lemma** *poly-mapping-size-estimation*:

k  $\in$  keys m  $\implies$  y  $\leq$  f k + g (lookup m k)  $\implies$  y < *poly-mapping-size* m

$\langle$ proof $\rangle$

**lemma** *poly-mapping-size-estimation2*:

**assumes** v  $\in$  range m **and** y  $\leq$  g v

**shows** y < *poly-mapping-size* m

$\langle$ proof $\rangle$

**end**

**lemma** *poly-mapping-size-one* [simp]:

*poly-mapping-size* f g 1 = g 0 + f 0 + g 1 + 1

$\langle$ proof $\rangle$

**lemma** *poly-mapping-size-cong* [fundef-cng]:

m = m'  $\implies$  g 0 = g' 0  $\implies$  ( $\bigwedge$ k. k  $\in$  keys m'  $\implies$  f k = f' k)

$\implies$  ( $\bigwedge$ v. v  $\in$  range m'  $\implies$  g v = g' v)

$\implies$  *poly-mapping-size* f g m = *poly-mapping-size* f' g' m'

$\langle$ proof $\rangle$

**instantiation** *poly-mapping* :: (type, zero) size

**begin**

**definition** size = *poly-mapping-size* ( $\lambda$ -. 0) ( $\lambda$ -. 0)

**instance**  $\langle$ proof $\rangle$

**end**

**79.15 Further mapping operations and properties**

It is like in algebra: there are many definitions, some are also used

**lift-definition** *mapp* ::

$( 'a \Rightarrow 'b :: zero \Rightarrow 'c :: zero ) \Rightarrow ( 'a \Rightarrow_0 'b ) \Rightarrow ( 'a \Rightarrow_0 'c )$   
**is**  $\lambda f p k. (if\ k \in keys\ p\ then\ f\ k\ (lookup\ p\ k)\ else\ 0)$   
 ⟨*proof*⟩

**lemma** *mapp-cong* [*fundef-cong*]:

$\llbracket m = m'; \bigwedge k. k \in keys\ m' \implies f\ k\ (lookup\ m'\ k) = f'\ k\ (lookup\ m'\ k) \rrbracket$   
 $\implies mapp\ f\ m = mapp\ f'\ m'$   
 ⟨*proof*⟩

**lemma** *lookup-mapp*:

$lookup\ (mapp\ f\ p)\ k = (f\ k\ (lookup\ p\ k)\ when\ k \in keys\ p)$   
 ⟨*proof*⟩

**lemma** *keys-mapp-subset*:  $keys\ (mapp\ f\ p) \subseteq keys\ p$

⟨*proof*⟩

**79.16 Free Abelian Groups Over a Type**

**abbreviation** *frag-of* ::  $'a \Rightarrow 'a \Rightarrow_0 int$

**where**  $frag-of\ c \equiv Poly-Mapping.single\ c\ (1::int)$

**lemma** *lookup-frag-of* [*simp*]:

$Poly-Mapping.lookup(frag-of\ c) = (\lambda x. if\ x = c\ then\ 1\ else\ 0)$   
 ⟨*proof*⟩

**lemma** *frag-of-nonzero* [*simp*]:  $frag-of\ a \neq 0$

⟨*proof*⟩

**definition** *frag-cmul* ::  $int \Rightarrow ('a \Rightarrow_0 int) \Rightarrow ('a \Rightarrow_0 int)$

**where**  $frag-cmul\ c\ a = Abs-poly-mapping\ (\lambda x. c * Poly-Mapping.lookup\ a\ x)$

**lemma** *frag-cmul-zero* [*simp*]:  $frag-cmul\ 0\ x = 0$

⟨*proof*⟩

**lemma** *frag-cmul-zero2* [*simp*]:  $frag-cmul\ c\ 0 = 0$

⟨*proof*⟩

**lemma** *frag-cmul-one* [*simp*]:  $frag-cmul\ 1\ x = x$

⟨*proof*⟩

**lemma** *frag-cmul-minus-one* [*simp*]:  $frag-cmul\ (-1)\ x = -x$

⟨*proof*⟩

**lemma** *frag-cmul-cmul* [*simp*]:  $frag-cmul\ c\ (frag-cmul\ d\ x) = frag-cmul\ (c*d)\ x$

⟨*proof*⟩



**lemma** *lookup-frag-cmul* [simp]:  $\text{poly-mapping.lookup (frag-cmul } c \ x) \ i = c * \text{poly-mapping.lookup } x \ i$   
 ⟨proof⟩

**lemma** *minus-frag-cmul* [simp]:  $-\text{frag-cmul } k \ x = \text{frag-cmul } (-k) \ x$   
 ⟨proof⟩

**lemma** *keys-frag-of*:  $\text{Poly-Mapping.keys(frag-of } a) = \{a\}$   
 ⟨proof⟩

**lemma** *finite-cmul-nonzero*:  $\text{finite } \{x. c * \text{Poly-Mapping.lookup } a \ x \neq (0::\text{int})\}$   
 ⟨proof⟩

**lemma** *keys-cmul*:  $\text{Poly-Mapping.keys(frag-cmul } c \ a) \subseteq \text{Poly-Mapping.keys } a$   
 ⟨proof⟩

**lemma** *keys-cmul-iff* [iff]:  $i \in \text{Poly-Mapping.keys (frag-cmul } c \ x) \longleftrightarrow i \in \text{Poly-Mapping.keys } x \wedge c \neq 0$   
 ⟨proof⟩

**lemma** *keys-minus* [simp]:  $\text{Poly-Mapping.keys}(-a) = \text{Poly-Mapping.keys } a$   
 ⟨proof⟩

**lemma** *keys-diff*:  
 $\text{Poly-Mapping.keys}(a - b) \subseteq \text{Poly-Mapping.keys } a \cup \text{Poly-Mapping.keys } b$   
 ⟨proof⟩

**lemma** *keys-eq-empty* [simp]:  $\text{Poly-Mapping.keys } c = \{\} \longleftrightarrow c = 0$   
 ⟨proof⟩

**lemma** *frag-cmul-eq-0-iff* [simp]:  $\text{frag-cmul } k \ c = 0 \longleftrightarrow k=0 \vee c=0$   
 ⟨proof⟩

**lemma** *frag-of-eq*:  $\text{frag-of } x = \text{frag-of } y \longleftrightarrow x = y$   
 ⟨proof⟩

**lemma** *frag-cmul-distrib*:  $\text{frag-cmul } (c+d) \ a = \text{frag-cmul } c \ a + \text{frag-cmul } d \ a$   
 ⟨proof⟩

**lemma** *frag-cmul-distrib2*:  $\text{frag-cmul } c \ (a+b) = \text{frag-cmul } c \ a + \text{frag-cmul } c \ b$   
 ⟨proof⟩

**lemma** *frag-cmul-diff-distrib*:  $\text{frag-cmul } (a - b) \ c = \text{frag-cmul } a \ c - \text{frag-cmul } b \ c$   
 ⟨proof⟩

**lemma** *frag-cmul-sum*:  
 $\text{frag-cmul } a \ (\text{sum } b \ I) = (\sum i \in I. \text{frag-cmul } a \ (b \ i))$

*<proof>*

**lemma** *keys-sum*:  $Poly-Mapping.keys(sum\ b\ I) \subseteq (\bigcup i \in I. Poly-Mapping.keys(b\ i))$

*<proof>*

**definition** *frag-extend* ::  $('b \Rightarrow 'a \Rightarrow_0 int) \Rightarrow ('b \Rightarrow_0 int) \Rightarrow 'a \Rightarrow_0 int$   
**where** *frag-extend*  $b\ x \equiv (\sum i \in Poly-Mapping.keys\ x. frag-cmul\ (Poly-Mapping.lookup\ x\ i)\ (b\ i))$

**lemma** *frag-extend-0* [*simp*]:  $frag-extend\ b\ 0 = 0$

*<proof>*

**lemma** *frag-extend-of* [*simp*]:  $frag-extend\ f\ (frag-of\ a) = f\ a$

*<proof>*

**lemma** *frag-extend-cmul*:

$frag-extend\ f\ (frag-cmul\ c\ x) = frag-cmul\ c\ (frag-extend\ f\ x)$

*<proof>*

**lemma** *frag-extend-minus*:

$frag-extend\ f\ (-\ x) = -\ (frag-extend\ f\ x)$

*<proof>*

**lemma** *frag-extend-add*:

$frag-extend\ f\ (a+b) = (frag-extend\ f\ a) + (frag-extend\ f\ b)$

*<proof>*

**lemma** *frag-extend-diff*:

$frag-extend\ f\ (a-b) = (frag-extend\ f\ a) - (frag-extend\ f\ b)$

*<proof>*

**lemma** *frag-extend-sum*:

$finite\ I \implies frag-extend\ f\ (\sum i \in I. g\ i) = sum\ (frag-extend\ f\ o\ g)\ I$

*<proof>*

**lemma** *frag-extend-eq*:

$(\bigwedge f. f \in Poly-Mapping.keys\ c \implies g\ f = h\ f) \implies frag-extend\ g\ c = frag-extend\ h\ c$

*<proof>*

**lemma** *frag-extend-eq-0*:

$(\bigwedge x. x \in Poly-Mapping.keys\ c \implies f\ x = 0) \implies frag-extend\ f\ c = 0$

*<proof>*

**lemma** *keys-frag-extend*:  $Poly-Mapping.keys(frag-extend\ f\ c) \subseteq (\bigcup x \in Poly-Mapping.keys\ c. Poly-Mapping.keys(f\ x))$

*<proof>*

**lemma** *frag-expansion*:  $a = \text{frag-extend frag-of } a$   
 ⟨*proof*⟩

**lemma** *frag-closure-minus-cmul*:  
 assumes  $P\ 0$  and  $P: \bigwedge x\ y. \llbracket P\ x; P\ y \rrbracket \implies P(x - y)$   $P\ c$   
 shows  $P(\text{frag-cmul } k\ c)$   
 ⟨*proof*⟩

**lemma** *frag-induction* [*consumes 1, case-names zero one diff*]:  
 assumes *supp*:  $\text{Poly-Mapping.keys } c \subseteq S$   
 and  $0: P\ 0$  and *sing*:  $\bigwedge x. x \in S \implies P(\text{frag-of } x)$   
 and *diff*:  $\bigwedge a\ b. \llbracket P\ a; P\ b \rrbracket \implies P(a - b)$   
 shows  $P\ c$   
 ⟨*proof*⟩

**lemma** *frag-extend-compose*:  
 $\text{frag-extend } f\ (\text{frag-extend } (\text{frag-of } o\ g)\ c) = \text{frag-extend } (f\ o\ g)\ c$   
 ⟨*proof*⟩

**lemma** *frag-split*:  
 fixes  $c :: 'a \Rightarrow_0\ \text{int}$   
 assumes  $\text{Poly-Mapping.keys } c \subseteq S \cup T$   
 obtains  $d\ e$  where  $\text{Poly-Mapping.keys } d \subseteq S$   $\text{Poly-Mapping.keys } e \subseteq T$   $d + e = c$   
 ⟨*proof*⟩

**hide-const** (**open**) *lookup single update keys range map map-key degree nth the-value items foldr mapp*

**end**

## 80 Exponentiation by Squaring

**theory** *Power-By-Squaring*

**imports** *Main*

**begin**

**context**

**fixes**  $f :: 'a \Rightarrow 'a \Rightarrow 'a$

**begin**

**function** *efficient-funpow* ::  $'a \Rightarrow 'a \Rightarrow \text{nat} \Rightarrow 'a$  **where**

*efficient-funpow*  $y\ x\ 0 = y$

| *efficient-funpow*  $y\ x\ (\text{Suc } 0) = f\ x\ y$

|  $n \neq 0 \implies \text{even } n \implies \text{efficient-funpow } y\ x\ n = \text{efficient-funpow } y\ (f\ x\ x)\ (n\ \text{div } 2)$

|  $n \neq 1 \implies \text{odd } n \implies \text{efficient-funpow } y\ x\ n = \text{efficient-funpow } (f\ x\ y)\ (f\ x\ x)\ (n\ \text{div } 2)$

```

  <proof>
termination <proof>

lemma efficient-funpow-code [code]:
  efficient-funpow y x n =
    (if n = 0 then y
     else if n = 1 then f x y
     else if even n then efficient-funpow y (f x x) (n div 2)
     else efficient-funpow (f x y) (f x x) (n div 2))
  <proof>

end

lemma efficient-funpow-correct:
  assumes f-assoc:  $\bigwedge x z. f x (f x z) = f (f x x) z$ 
  shows efficient-funpow f y x n = (f x  $\widehat{\widehat{n}}$ ) y
  <proof>

context monoid-mult
begin

lemma power-by-squaring: efficient-funpow (*) (1 :: 'a) = ( $\widehat{\quad}$ )
  <proof>

end

end

```

## 81 Preorders with explicit equivalence relation

```

theory Preorder
imports Main
begin

class preorder-equiv = preorder
begin

definition equiv :: 'a  $\Rightarrow$  'a  $\Rightarrow$  bool
  where equiv x y  $\longleftrightarrow$  x  $\leq$  y  $\wedge$  y  $\leq$  x

notation
  equiv ('( $\approx$ ')) and
  equiv ((-/  $\approx$  -) [51, 51] 50)

lemma equivD1: x  $\leq$  y if x  $\approx$  y
  <proof>

lemma equivD2: y  $\leq$  x if x  $\approx$  y

```

*<proof>*

**lemma** *equiv-refl [iff]*:  $x \approx x$

*<proof>*

**lemma** *equiv-sym*:  $x \approx y \longleftrightarrow y \approx x$

*<proof>*

**lemma** *equiv-trans*:  $x \approx y \implies y \approx z \implies x \approx z$

*<proof>*

**lemma** *equiv-antisym*:  $x \leq y \implies y \leq x \implies x \approx y$

*<proof>*

**lemma** *less-le*:  $x < y \longleftrightarrow x \leq y \wedge \neg x \approx y$

*<proof>*

**lemma** *le-less*:  $x \leq y \longleftrightarrow x < y \vee x \approx y$

*<proof>*

**lemma** *le-imp-less-or-equiv*:  $x \leq y \implies x < y \vee x \approx y$

*<proof>*

**lemma** *less-imp-not-equiv*:  $x < y \implies \neg x \approx y$

*<proof>*

**lemma** *not-equiv-le-trans*:  $\neg a \approx b \implies a \leq b \implies a < b$

*<proof>*

**lemma** *le-not-equiv-trans*:  $a \leq b \implies \neg a \approx b \implies a < b$

*<proof>*

**lemma** *antisym-conv*:  $y \leq x \implies x \leq y \longleftrightarrow x \approx y$

*<proof>*

**end**

*<ML>*

**end**

## 82 Additive group operations on product types

**theory** *Product-Plus*

**imports** *Main*

**begin**

## 82.1 Operations

**instantiation** *prod* :: (*zero*, *zero*) *zero*  
**begin**

**definition** *zero-prod-def*:  $0 = (0, 0)$

**instance**  $\langle$ *proof* $\rangle$   
**end**

**instantiation** *prod* :: (*plus*, *plus*) *plus*  
**begin**

**definition** *plus-prod-def*:  
 $x + y = (fst\ x + fst\ y, snd\ x + snd\ y)$

**instance**  $\langle$ *proof* $\rangle$   
**end**

**instantiation** *prod* :: (*minus*, *minus*) *minus*  
**begin**

**definition** *minus-prod-def*:  
 $x - y = (fst\ x - fst\ y, snd\ x - snd\ y)$

**instance**  $\langle$ *proof* $\rangle$   
**end**

**instantiation** *prod* :: (*uminus*, *uminus*) *uminus*  
**begin**

**definition** *uminus-prod-def*:  
 $- x = (-\ fst\ x, -\ snd\ x)$

**instance**  $\langle$ *proof* $\rangle$   
**end**

**lemma** *fst-zero* [*simp*]:  $fst\ 0 = 0$   
 $\langle$ *proof* $\rangle$

**lemma** *snd-zero* [*simp*]:  $snd\ 0 = 0$   
 $\langle$ *proof* $\rangle$

**lemma** *fst-add* [*simp*]:  $fst\ (x + y) = fst\ x + fst\ y$   
 $\langle$ *proof* $\rangle$

**lemma** *snd-add* [*simp*]:  $snd\ (x + y) = snd\ x + snd\ y$   
 $\langle$ *proof* $\rangle$

**lemma** *fst-diff* [*simp*]:  $fst\ (x - y) = fst\ x - fst\ y$

*<proof>*

**lemma** *snd-diff* [*simp*]:  $snd (x - y) = snd x - snd y$   
*<proof>*

**lemma** *fst-uminus* [*simp*]:  $fst (- x) = - fst x$   
*<proof>*

**lemma** *snd-uminus* [*simp*]:  $snd (- x) = - snd x$   
*<proof>*

**lemma** *add-Pair* [*simp*]:  $(a, b) + (c, d) = (a + c, b + d)$   
*<proof>*

**lemma** *diff-Pair* [*simp*]:  $(a, b) - (c, d) = (a - c, b - d)$   
*<proof>*

**lemma** *uminus-Pair* [*simp, code*]:  $-(a, b) = (- a, - b)$   
*<proof>*

## 82.2 Class instances

**instance** *prod* :: (*semigroup-add, semigroup-add*) *semigroup-add*  
*<proof>*

**instance** *prod* :: (*ab-semigroup-add, ab-semigroup-add*) *ab-semigroup-add*  
*<proof>*

**instance** *prod* :: (*monoid-add, monoid-add*) *monoid-add*  
*<proof>*

**instance** *prod* :: (*comm-monoid-add, comm-monoid-add*) *comm-monoid-add*  
*<proof>*

**instance** *prod* :: (*cancel-semigroup-add, cancel-semigroup-add*) *cancel-semigroup-add*  
*<proof>*

**instance** *prod* :: (*cancel-ab-semigroup-add, cancel-ab-semigroup-add*) *cancel-ab-semigroup-add*  
*<proof>*

**instance** *prod* :: (*cancel-comm-monoid-add, cancel-comm-monoid-add*) *cancel-comm-monoid-add*  
*<proof>*

**instance** *prod* :: (*group-add, group-add*) *group-add*  
*<proof>*

**instance** *prod* :: (*ab-group-add, ab-group-add*) *ab-group-add*  
*<proof>*

**lemma** *fst-sum*:  $\text{fst } (\sum x \in A. f x) = (\sum x \in A. \text{fst } (f x))$   
 ⟨proof⟩

**lemma** *snd-sum*:  $\text{snd } (\sum x \in A. f x) = (\sum x \in A. \text{snd } (f x))$   
 ⟨proof⟩

**lemma** *sum-prod*:  $(\sum x \in A. (f x, g x)) = (\sum x \in A. f x, \sum x \in A. g x)$   
 ⟨proof⟩

**end**

### 83 Roots of real quadratics

**theory** *Quadratic-Discriminant*  
**imports** *Complex-Main*  
**begin**

**definition** *discrim* ::  $\text{real} \Rightarrow \text{real} \Rightarrow \text{real} \Rightarrow \text{real}$   
**where**  $\text{discrim } a b c \equiv b^2 - 4 * a * c$

**lemma** *complete-square*:  
 $a \neq 0 \implies a * x^2 + b * x + c = 0 \iff (2 * a * x + b)^2 = \text{discrim } a b c$   
 ⟨proof⟩

**lemma** *discriminant-negative*:  
**fixes**  $a b c x :: \text{real}$   
**assumes**  $a \neq 0$   
**and**  $\text{discrim } a b c < 0$   
**shows**  $a * x^2 + b * x + c \neq 0$   
 ⟨proof⟩

**lemma** *plus-or-minus-sqrt*:  
**fixes**  $x y :: \text{real}$   
**assumes**  $y \geq 0$   
**shows**  $x^2 = y \iff x = \text{sqrt } y \vee x = - \text{sqrt } y$   
 ⟨proof⟩

**lemma** *divide-non-zero*:  
**fixes**  $x y z :: \text{real}$   
**assumes**  $x \neq 0$   
**shows**  $x * y = z \iff y = z / x$   
 ⟨proof⟩

**lemma** *discriminant-nonneg*:  
**fixes**  $a b c x :: \text{real}$   
**assumes**  $a \neq 0$   
**and**  $\text{discrim } a b c \geq 0$   
**shows**  $a * x^2 + b * x + c = 0 \iff$   
 $x = (-b + \text{sqrt } (\text{discrim } a b c)) / (2 * a) \vee$



$x = (-b - \text{sqrt}(\text{discrim } a \ b \ c)) / (2 * a)$   
 ⟨proof⟩

**lemma** *discriminant-zero*:

**fixes**  $a \ b \ c \ x :: \text{real}$

**assumes**  $a \neq 0$

**and**  $\text{discrim } a \ b \ c = 0$

**shows**  $a * x^2 + b * x + c = 0 \longleftrightarrow x = -b / (2 * a)$

⟨proof⟩

**theorem** *discriminant-iff*:

**fixes**  $a \ b \ c \ x :: \text{real}$

**assumes**  $a \neq 0$

**shows**  $a * x^2 + b * x + c = 0 \longleftrightarrow$

$\text{discrim } a \ b \ c \geq 0 \wedge$

$(x = (-b + \text{sqrt}(\text{discrim } a \ b \ c)) / (2 * a) \vee$

$x = (-b - \text{sqrt}(\text{discrim } a \ b \ c)) / (2 * a))$

⟨proof⟩

**lemma** *discriminant-nonneg-ex*:

**fixes**  $a \ b \ c :: \text{real}$

**assumes**  $a \neq 0$

**and**  $\text{discrim } a \ b \ c \geq 0$

**shows**  $\exists x. a * x^2 + b * x + c = 0$

⟨proof⟩

**lemma** *discriminant-pos-ex*:

**fixes**  $a \ b \ c :: \text{real}$

**assumes**  $a \neq 0$

**and**  $\text{discrim } a \ b \ c > 0$

**shows**  $\exists x \ y. x \neq y \wedge a * x^2 + b * x + c = 0 \wedge a * y^2 + b * y + c = 0$

⟨proof⟩

**lemma** *discriminant-pos-distinct*:

**fixes**  $a \ b \ c \ x :: \text{real}$

**assumes**  $a \neq 0$

**and**  $\text{discrim } a \ b \ c > 0$

**shows**  $\exists y. x \neq y \wedge a * y^2 + b * y + c = 0$

⟨proof⟩

**lemma** *Rats-solution-QE*:

**assumes**  $a \in \mathbb{Q} \ b \in \mathbb{Q} \ a \neq 0$

**and**  $a * x^2 + b * x + c = 0$

**and**  $\text{sqrt}(\text{discrim } a \ b \ c) \in \mathbb{Q}$

**shows**  $x \in \mathbb{Q}$

⟨proof⟩

**lemma** *Rats-solution-QE-converse*:

**assumes**  $a \in \mathbb{Q} \ b \in \mathbb{Q}$

```

and  $a*x^2 + b*x + c = 0$ 
and  $x \in \mathbb{Q}$ 
shows  $\text{sqrt}(\text{discrim } a \ b \ c) \in \mathbb{Q}$ 
<proof>

end

```

## 84 Pretty syntax for Quotient operations

```

theory Quotient-Syntax
imports Main
begin

notation
  rel-conj (infixr OOO 75) and
  map-fun (infixr ---> 55) and
  rel-fun (infixr ====> 55)

end

```

## 85 Quotient infrastructure for the set type

```

theory Quotient-Set
imports Quotient-Syntax
begin

```

### 85.1 Contravariant set map (vimage) and set relator, rules for the Quotient package

**definition**  $\text{rel-vset } R \ xs \ ys \equiv \forall x \ y. \ R \ x \ y \longrightarrow x \in xs \longleftrightarrow y \in ys$

**lemma**  $\text{rel-vset-eq}$  [*id-simps*]:  
 $\text{rel-vset } (=) = (=)$   
 <proof>

**lemma**  $\text{rel-vset-equivp}$ :  
**assumes**  $e: \text{equivp } R$   
**shows**  $\text{rel-vset } R \ xs \ ys \longleftrightarrow xs = ys \wedge (\forall x \ y. \ x \in xs \longrightarrow R \ x \ y \longrightarrow y \in xs)$   
 <proof>

**lemma**  $\text{set-quotient}$  [*quot-thm*]:  
**assumes**  $\text{Quotient3 } R \ Abs \ Rep$   
**shows**  $\text{Quotient3 } (\text{rel-vset } R) \ (\text{vimage } Rep) \ (\text{vimage } Abs)$   
 <proof>

**declare**  $[[\text{mapQ3 } \text{set} = (\text{rel-vset}, \text{set-quotient})]]$

**lemma**  $\text{empty-set-rsp}$ [*quot-respect*]:

*rel-vset*  $R \{\} \{\}$   
 ⟨*proof*⟩

**lemma** *collect-rsp[quot-respect]*:  
 assumes *Quotient3*  $R$  *Abs* *Rep*  
 shows  $((R \implies (=)) \implies \text{rel-vset } R) \text{ Collect Collect}$   
 ⟨*proof*⟩

**lemma** *collect-prs[quot-preserve]*:  
 assumes *Quotient3*  $R$  *Abs* *Rep*  
 shows  $((\text{Abs} \dashrightarrow \text{id}) \dashrightarrow (-\cdot) \text{Rep}) \text{ Collect} = \text{Collect}$   
 ⟨*proof*⟩

**lemma** *union-rsp[quot-respect]*:  
 assumes *Quotient3*  $R$  *Abs* *Rep*  
 shows  $(\text{rel-vset } R \implies \text{rel-vset } R \implies \text{rel-vset } R) (\cup) (\cup)$   
 ⟨*proof*⟩

**lemma** *union-prs[quot-preserve]*:  
 assumes *Quotient3*  $R$  *Abs* *Rep*  
 shows  $((-\cdot) \text{Abs} \dashrightarrow (-\cdot) \text{Abs} \dashrightarrow (-\cdot) \text{Rep}) (\cup) = (\cup)$   
 ⟨*proof*⟩

**lemma** *diff-rsp[quot-respect]*:  
 assumes *Quotient3*  $R$  *Abs* *Rep*  
 shows  $(\text{rel-vset } R \implies \text{rel-vset } R \implies \text{rel-vset } R) (-) (-)$   
 ⟨*proof*⟩

**lemma** *diff-prs[quot-preserve]*:  
 assumes *Quotient3*  $R$  *Abs* *Rep*  
 shows  $((-\cdot) \text{Abs} \dashrightarrow (-\cdot) \text{Abs} \dashrightarrow (-\cdot) \text{Rep}) (-) = (-)$   
 ⟨*proof*⟩

**lemma** *inter-rsp[quot-respect]*:  
 assumes *Quotient3*  $R$  *Abs* *Rep*  
 shows  $(\text{rel-vset } R \implies \text{rel-vset } R \implies \text{rel-vset } R) (\cap) (\cap)$   
 ⟨*proof*⟩

**lemma** *inter-prs[quot-preserve]*:  
 assumes *Quotient3*  $R$  *Abs* *Rep*  
 shows  $((-\cdot) \text{Abs} \dashrightarrow (-\cdot) \text{Abs} \dashrightarrow (-\cdot) \text{Rep}) (\cap) = (\cap)$   
 ⟨*proof*⟩

**lemma** *mem-prs[quot-preserve]*:  
 assumes *Quotient3*  $R$  *Abs* *Rep*  
 shows  $(\text{Rep} \dashrightarrow (-\cdot) \text{Abs} \dashrightarrow \text{id}) (\in) = (\in)$   
 ⟨*proof*⟩

**lemma** *mem-rsp[quot-respect]*:

```

shows (R ===> rel-vset R ===> (=)) (∈) (∈)
  ⟨proof⟩

```

```

end

```

## 86 Quotient infrastructure for the product type

```

theory Quotient-Product
imports Quotient-Syntax
begin

```

### 86.1 Rules for the Quotient package

```

lemma map-prod-id [id-simps]:
  shows map-prod id id = id
  ⟨proof⟩

```

```

lemma rel-prod-eq [id-simps]:
  shows rel-prod (=) (=) = (=)
  ⟨proof⟩

```

```

lemma prod-equivp [quot-equiv]:
  assumes equivp R1
  assumes equivp R2
  shows equivp (rel-prod R1 R2)
  ⟨proof⟩

```

```

lemma prod-quotient [quot-thm]:
  assumes Quotient3 R1 Abs1 Rep1
  assumes Quotient3 R2 Abs2 Rep2
  shows Quotient3 (rel-prod R1 R2) (map-prod Abs1 Abs2) (map-prod Rep1 Rep2)
  ⟨proof⟩

```

```

declare [[mapQ3 prod = (rel-prod, prod-quotient)]]

```

```

lemma Pair-rsp [quot-respect]:
  assumes q1: Quotient3 R1 Abs1 Rep1
  assumes q2: Quotient3 R2 Abs2 Rep2
  shows (R1 ===> R2 ===> rel-prod R1 R2) Pair Pair
  ⟨proof⟩

```

```

lemma Pair-prs [quot-preserve]:
  assumes q1: Quotient3 R1 Abs1 Rep1
  assumes q2: Quotient3 R2 Abs2 Rep2
  shows (Rep1 ----> Rep2 ----> (map-prod Abs1 Abs2)) Pair = Pair
  ⟨proof⟩

```

```

lemma fst-rsp [quot-respect]:
  assumes Quotient3 R1 Abs1 Rep1

```

**assumes** *Quotient3 R2 Abs2 Rep2*  
**shows** (*rel-prod R1 R2 ===> R1*) *fst fst*  
 ⟨*proof*⟩

**lemma** *fst-prs [quot-preserve]*:  
**assumes** *q1: Quotient3 R1 Abs1 Rep1*  
**assumes** *q2: Quotient3 R2 Abs2 Rep2*  
**shows** (*map-prod Rep1 Rep2 ----> Abs1*) *fst = fst*  
 ⟨*proof*⟩

**lemma** *snd-rsp [quot-respect]*:  
**assumes** *Quotient3 R1 Abs1 Rep1*  
**assumes** *Quotient3 R2 Abs2 Rep2*  
**shows** (*rel-prod R1 R2 ===> R2*) *snd snd*  
 ⟨*proof*⟩

**lemma** *snd-prs [quot-preserve]*:  
**assumes** *q1: Quotient3 R1 Abs1 Rep1*  
**assumes** *q2: Quotient3 R2 Abs2 Rep2*  
**shows** (*map-prod Rep1 Rep2 ----> Abs2*) *snd = snd*  
 ⟨*proof*⟩

**lemma** *case-prod-rsp [quot-respect]*:  
**shows** ((*R1 ===> R2 ===> (=)*) ===> (*rel-prod R1 R2 ===> (=)*)  
*case-prod case-prod*  
 ⟨*proof*⟩

**lemma** *split-prs [quot-preserve]*:  
**assumes** *q1: Quotient3 R1 Abs1 Rep1*  
**and** *q2: Quotient3 R2 Abs2 Rep2*  
**shows** (((*Abs1 ----> Abs2 ----> id*) ----> *map-prod Rep1 Rep2 ----> id*)  
*case-prod*) = *case-prod*  
 ⟨*proof*⟩

**lemma** [*quot-respect*]:  
**shows** ((*R2 ===> R2 ===> (=)*) ===> (*R1 ===> R1 ===> (=)*) ===>  
*rel-prod R2 R1 ===> rel-prod R2 R1 ===> (=)*) *rel-prod rel-prod*  
 ⟨*proof*⟩

**lemma** [*quot-preserve*]:  
**assumes** *q1: Quotient3 R1 abs1 rep1*  
**and** *q2: Quotient3 R2 abs2 rep2*  
**shows** ((*abs1 ----> abs1 ----> id*) ----> (*abs2 ----> abs2 ----> id*)  
 ---->  
*map-prod rep1 rep2 ----> map-prod rep1 rep2 ----> id*) *rel-prod = rel-prod*  
 ⟨*proof*⟩

**lemma** [*quot-preserve*]:  
**shows**(*rel-prod ((rep1 ----> rep1 ----> id) R1) ((rep2 ----> rep2 ---->*

```

id) R2)
  (l1, l2) (r1, r2)) = (R1 (rep1 l1) (rep1 r1) ∧ R2 (rep2 l2) (rep2 r2))
  ⟨proof⟩

```

```

declare prod.inject[quot-preserve]

```

```

end

```

## 87 Quotient infrastructure for the option type

```

theory Quotient-Option
imports Quotient-Syntax
begin

```

### 87.1 Rules for the Quotient package

```

lemma rel-option-map1:
  rel-option R (map-option f x) y ↔ rel-option (λx. R (f x)) x y
  ⟨proof⟩

```

```

lemma rel-option-map2:
  rel-option R x (map-option f y) ↔ rel-option (λx y. R x (f y)) x y
  ⟨proof⟩

```

```

declare
  map-option.id [id-simps]
  option.rel-eq [id-simps]

```

```

lemma reflp-rel-option:
  reflp R ⇒ reflp (rel-option R)
  ⟨proof⟩

```

```

lemma option-symp:
  symp R ⇒ symp (rel-option R)
  ⟨proof⟩

```

```

lemma option-transp:
  transp R ⇒ transp (rel-option R)
  ⟨proof⟩

```

```

lemma option-equivp [quot-equiv]:
  equivp R ⇒ equivp (rel-option R)
  ⟨proof⟩

```

```

lemma option-quotient [quot-thm]:
  assumes Quotient3 R Abs Rep
  shows Quotient3 (rel-option R) (map-option Abs) (map-option Rep)
  ⟨proof⟩

```

**declare** [[*mapQ3 option* = (*rel-option*, *option-quotient*)]]

**lemma** *option-None-rsp* [*quot-respect*]:

**assumes** *q: Quotient3 R Abs Rep*

**shows** *rel-option R None None*

*<proof>*

**lemma** *option-Some-rsp* [*quot-respect*]:

**assumes** *q: Quotient3 R Abs Rep*

**shows** (*R == => rel-option R*) *Some Some*

*<proof>*

**lemma** *option-None-prs* [*quot-preserve*]:

**assumes** *q: Quotient3 R Abs Rep*

**shows** *map-option Abs None = None*

*<proof>*

**lemma** *option-Some-prs* [*quot-preserve*]:

**assumes** *q: Quotient3 R Abs Rep*

**shows** (*Rep ----> map-option Abs*) *Some = Some*

*<proof>*

**end**

## 88 Quotient infrastructure for the list type

**theory** *Quotient-List*

**imports** *Quotient-Set Quotient-Product Quotient-Option*

**begin**

### 88.1 Rules for the Quotient package

**lemma** *map-id* [*id-simps*]:

*map id = id*

*<proof>*

**lemma** *list-all2-eq* [*id-simps*]:

*list-all2 (=) = (=)*

*<proof>*

**lemma** *reflp-list-all2*:

**assumes** *reflp R*

**shows** *reflp (list-all2 R)*

*<proof>*

**lemma** *list-symp*:

**assumes** *symp R*

**shows** *symp (list-all2 R)*

*<proof>*

**lemma** *list-transp*:

**assumes** *transp R*

**shows** *transp (list-all2 R)*

*<proof>*

**lemma** *list-equivp [quot-equiv]*:

*equivp R  $\implies$  equivp (list-all2 R)*

*<proof>*

**lemma** *list-quotient3 [quot-thm]*:

**assumes** *Quotient3 R Abs Rep*

**shows** *Quotient3 (list-all2 R) (map Abs) (map Rep)*

*<proof>*

**declare** *[[mapQ3 list = (list-all2, list-quotient3)]]*

**lemma** *cons-prs [quot-preserve]*:

**assumes** *q: Quotient3 R Abs Rep*

**shows** *(Rep  $\dashrightarrow$  (map Rep)  $\dashrightarrow$  (map Abs)) (#) = (#)*

*<proof>*

**lemma** *cons-rsp [quot-respect]*:

**assumes** *q: Quotient3 R Abs Rep*

**shows** *(R  $\implies$  list-all2 R  $\implies$  list-all2 R) (#) (#)*

*<proof>*

**lemma** *nil-prs [quot-preserve]*:

**assumes** *q: Quotient3 R Abs Rep*

**shows** *map Abs [] = []*

*<proof>*

**lemma** *nil-rsp [quot-respect]*:

**assumes** *q: Quotient3 R Abs Rep*

**shows** *list-all2 R [] []*

*<proof>*

**lemma** *map-prs-aux*:

**assumes** *a: Quotient3 R1 abs1 rep1*

**and** *b: Quotient3 R2 abs2 rep2*

**shows** *(map abs2) (map ((abs1  $\dashrightarrow$  rep2) f) (map rep1 l)) = map f l*

*<proof>*

**lemma** *map-prs [quot-preserve]*:

**assumes** *a: Quotient3 R1 abs1 rep1*

**and** *b: Quotient3 R2 abs2 rep2*

**shows** *((abs1  $\dashrightarrow$  rep2)  $\dashrightarrow$  (map rep1)  $\dashrightarrow$  (map abs2)) map = map*

**and** *((abs1  $\dashrightarrow$  id)  $\dashrightarrow$  map rep1  $\dashrightarrow$  id) map = map*

*<proof>*



**lemma** *map-rsp* [*quot-respect*]:

**assumes** *q1*: *Quotient3 R1 Abs1 Rep1*  
**and** *q2*: *Quotient3 R2 Abs2 Rep2*  
**shows**  $((R1 \text{ ==== } R2) \text{ ==== } (list\text{-all2 } R1) \text{ ==== } list\text{-all2 } R2) \text{ map map}$   
**and**  $((R1 \text{ ==== } (=)) \text{ ==== } (list\text{-all2 } R1) \text{ ==== } (=)) \text{ map map}$   
*<proof>*

**lemma** *foldr-prs-aux*:

**assumes** *a*: *Quotient3 R1 abs1 rep1*  
**and** *b*: *Quotient3 R2 abs2 rep2*  
**shows**  $abs2 (foldr ((abs1 \text{ ---- } \> abs2 \text{ ---- } \> rep2) f) (map rep1 l) (rep2 e))$   
 $= foldr f l e$   
*<proof>*

**lemma** *foldr-prs* [*quot-preserve*]:

**assumes** *a*: *Quotient3 R1 abs1 rep1*  
**and** *b*: *Quotient3 R2 abs2 rep2*  
**shows**  $((abs1 \text{ ---- } \> abs2 \text{ ---- } \> rep2) \text{ ---- } \> (map rep1) \text{ ---- } \> rep2 \text{ ---- } \>$   
 $abs2) foldr = foldr$   
*<proof>*

**lemma** *foldl-prs-aux*:

**assumes** *a*: *Quotient3 R1 abs1 rep1*  
**and** *b*: *Quotient3 R2 abs2 rep2*  
**shows**  $abs1 (foldl ((abs1 \text{ ---- } \> abs2 \text{ ---- } \> rep1) f) (rep1 e) (map rep2 l)) =$   
 $foldl f e l$   
*<proof>*

**lemma** *foldl-prs* [*quot-preserve*]:

**assumes** *a*: *Quotient3 R1 abs1 rep1*  
**and** *b*: *Quotient3 R2 abs2 rep2*  
**shows**  $((abs1 \text{ ---- } \> abs2 \text{ ---- } \> rep1) \text{ ---- } \> rep1 \text{ ---- } \> (map rep2) \text{ ---- } \>$   
 $abs1) foldl = foldl$   
*<proof>*

**lemma** *foldl-rsp*[*quot-respect*]:

**assumes** *q1*: *Quotient3 R1 Abs1 Rep1*  
**and** *q2*: *Quotient3 R2 Abs2 Rep2*  
**shows**  $((R1 \text{ ==== } R2 \text{ ==== } R1) \text{ ==== } R1 \text{ ==== } list\text{-all2 } R2 \text{ ==== } R1)$   
 $foldl foldl$   
*<proof>*

**lemma** *foldr-rsp*[*quot-respect*]:

**assumes** *q1*: *Quotient3 R1 Abs1 Rep1*  
**and** *q2*: *Quotient3 R2 Abs2 Rep2*  
**shows**  $((R1 \text{ ==== } R2 \text{ ==== } R2) \text{ ==== } list\text{-all2 } R1 \text{ ==== } R2 \text{ ==== } R2)$   
 $foldr foldr$   
*<proof>*

**lemma** *list-all2-rsp*:

**assumes**  $r: \forall x y. R x y \longrightarrow (\forall a b. R a b \longrightarrow S x a = T y b)$   
**and**  $l1: \text{list-all2 } R x y$   
**and**  $l2: \text{list-all2 } R a b$   
**shows**  $\text{list-all2 } S x a = \text{list-all2 } T y b$   
 $\langle \text{proof} \rangle$

**lemma** [*quot-respect*]:

$((R \text{ ==== } R \text{ ==== } (=)) \text{ ==== } \text{list-all2 } R \text{ ==== } \text{list-all2 } R \text{ ==== } (=))$   
 $\text{list-all2 list-all2}$   
 $\langle \text{proof} \rangle$

**lemma** [*quot-preserve*]:

**assumes**  $a: \text{Quotient3 } R \text{ abs1 rep1}$   
**shows**  $((\text{abs1} \text{ ---- } \text{abs1} \text{ ---- } \text{id}) \text{ ---- } \text{map rep1} \text{ ---- } \text{map rep1} \text{ ---- } \text{id})$   
 $\text{list-all2} = \text{list-all2}$   
 $\langle \text{proof} \rangle$

**lemma** [*quot-preserve*]:

**assumes**  $a: \text{Quotient3 } R \text{ abs1 rep1}$   
**shows**  $(\text{list-all2 } ((\text{rep1} \text{ ---- } \text{rep1} \text{ ---- } \text{id}) R) l m) = (l = m)$   
 $\langle \text{proof} \rangle$

**lemma** *list-all2-find-element*:

**assumes**  $a: x \in \text{set } a$   
**and**  $b: \text{list-all2 } R a b$   
**shows**  $\exists y. (y \in \text{set } b \wedge R x y)$   
 $\langle \text{proof} \rangle$

**lemma** *list-all2-refl*:

**assumes**  $a: \bigwedge x y. R x y = (R x = R y)$   
**shows**  $\text{list-all2 } R x x$   
 $\langle \text{proof} \rangle$

end

## 89 Quotient infrastructure for the sum type

**theory** *Quotient-Sum*

**imports** *Quotient-Syntax*

**begin**

### 89.1 Rules for the Quotient package

**lemma** *rel-sum-map1*:

$\text{rel-sum } R1 R2 (\text{map-sum } f1 f2 x) y \longleftrightarrow \text{rel-sum } (\lambda x. R1 (f1 x)) (\lambda x. R2 (f2 x))$   
 $x y$   
 $\langle \text{proof} \rangle$

**lemma** *rel-sum-map2*:

$rel\text{-}sum\ R1\ R2\ x\ (map\text{-}sum\ f1\ f2\ y) \longleftrightarrow rel\text{-}sum\ (\lambda x\ y.\ R1\ x\ (f1\ y))\ (\lambda x\ y.\ R2\ x\ (f2\ y))\ x\ y$   
 ⟨proof⟩

**lemma** *map-sum-id* [*id-simps*]:

$map\text{-}sum\ id\ id = id$   
 ⟨proof⟩

**lemma** *rel-sum-eq* [*id-simps*]:

$rel\text{-}sum\ (=)\ (=)\ (=)$   
 ⟨proof⟩

**lemma** *reflp-rel-sum*:

$reflp\ R1 \implies reflp\ R2 \implies reflp\ (rel\text{-}sum\ R1\ R2)$   
 ⟨proof⟩

**lemma** *sum-symp*:

$symp\ R1 \implies symp\ R2 \implies symp\ (rel\text{-}sum\ R1\ R2)$   
 ⟨proof⟩

**lemma** *sum-transp*:

$transp\ R1 \implies transp\ R2 \implies transp\ (rel\text{-}sum\ R1\ R2)$   
 ⟨proof⟩

**lemma** *sum-equivp* [*quot-equiv*]:

$equivp\ R1 \implies equivp\ R2 \implies equivp\ (rel\text{-}sum\ R1\ R2)$   
 ⟨proof⟩

**lemma** *sum-quotient* [*quot-thm*]:

**assumes** *q1*: *Quotient3* *R1* *Abs1* *Rep1*  
**assumes** *q2*: *Quotient3* *R2* *Abs2* *Rep2*  
**shows** *Quotient3*  $(rel\text{-}sum\ R1\ R2)\ (map\text{-}sum\ Abs1\ Abs2)\ (map\text{-}sum\ Rep1\ Rep2)$   
 ⟨proof⟩

**declare** [[*mapQ3* *sum* =  $(rel\text{-}sum,\ sum\text{-}quotient)$ ]]

**lemma** *sum-Inl-rsp* [*quot-respect*]:

**assumes** *q1*: *Quotient3* *R1* *Abs1* *Rep1*  
**assumes** *q2*: *Quotient3* *R2* *Abs2* *Rep2*  
**shows**  $(R1\ ==>\ rel\text{-}sum\ R1\ R2)\ Inl\ Inl$   
 ⟨proof⟩

**lemma** *sum-Inr-rsp* [*quot-respect*]:

**assumes** *q1*: *Quotient3* *R1* *Abs1* *Rep1*  
**assumes** *q2*: *Quotient3* *R2* *Abs2* *Rep2*  
**shows**  $(R2\ ==>\ rel\text{-}sum\ R1\ R2)\ Inr\ Inr$   
 ⟨proof⟩

```

lemma sum-Inl-prs [quot-preserve]:
  assumes q1: Quotient3 R1 Abs1 Rep1
  assumes q2: Quotient3 R2 Abs2 Rep2
  shows (Rep1 ----> map-sum Abs1 Abs2) Inl = Inl
  <proof>

```

```

lemma sum-Inr-prs [quot-preserve]:
  assumes q1: Quotient3 R1 Abs1 Rep1
  assumes q2: Quotient3 R2 Abs2 Rep2
  shows (Rep2 ----> map-sum Abs1 Abs2) Inr = Inr
  <proof>

```

**end**

## 90 Quotient types

```

theory Quotient-Type
imports Main
begin

```

We introduce the notion of quotient types over equivalence relations via type classes.

### 90.1 Equivalence relations and quotient types

Type class *equiv* models equivalence relations  $\sim :: 'a \Rightarrow 'a \Rightarrow \text{bool}$ .

```

class equiv =
  fixes equiv :: 'a  $\Rightarrow$  'a  $\Rightarrow$  bool (infixl  $\sim$  50)

class equiv = equiv +
  assumes equiv-refl [intro]:  $x \sim x$ 
  and equiv-trans [trans]:  $x \sim y \Longrightarrow y \sim z \Longrightarrow x \sim z$ 
  and equiv-sym [sym]:  $x \sim y \Longrightarrow y \sim x$ 
begin

```

```

lemma equiv-not-sym [sym]:  $\neg x \sim y \Longrightarrow \neg y \sim x$ 
  <proof>

```

```

lemma not-equiv-trans1 [trans]:  $\neg x \sim y \Longrightarrow y \sim z \Longrightarrow \neg x \sim z$ 
  <proof>

```

```

lemma not-equiv-trans2 [trans]:  $x \sim y \Longrightarrow \neg y \sim z \Longrightarrow \neg x \sim z$ 
  <proof>

```

**end**

The quotient type *'a quot* consists of all *equivalence classes* over elements of the base type *'a*.

**definition** (in *eqv*)  $quot = \{\{x. a \sim x\} \mid a. True\}$

**typedef** (overloaded)  $'a\ quot = quot :: 'a::eqv\ set\ set$   
 $\langle proof \rangle$

**lemma** *quotI* [*intro*]:  $\{x. a \sim x\} \in quot$   
 $\langle proof \rangle$

**lemma** *quotE* [*elim*]:  
**assumes**  $R \in quot$   
**obtains**  $a$  **where**  $R = \{x. a \sim x\}$   
 $\langle proof \rangle$

Abstracted equivalence classes are the canonical representation of elements of a quotient type.

**definition**  $class :: 'a::equiv \Rightarrow 'a\ quot\ ([\_])$   
**where**  $[a] = Abs-quot\ \{x. a \sim x\}$

**theorem** *quot-exhaust*:  $\exists a. A = [a]$   
 $\langle proof \rangle$

**lemma** *quot-cases* [*cases type: quot*]:  
**obtains**  $a$  **where**  $A = [a]$   
 $\langle proof \rangle$

## 90.2 Equality on quotients

Equality of canonical quotient elements coincides with the original relation.

**theorem** *quot-equality* [*iff?*]:  $[a] = [b] \longleftrightarrow a \sim b$   
 $\langle proof \rangle$

## 90.3 Picking representing elements

**definition**  $pick :: 'a::equiv\ quot \Rightarrow 'a$   
**where**  $pick\ A = (SOME\ a. A = [a])$

**theorem** *pick-equiv* [*intro*]:  $pick\ [a] \sim a$   
 $\langle proof \rangle$

**theorem** *pick-inverse* [*intro*]:  $[pick\ A] = A$   
 $\langle proof \rangle$

The following rules support canonical function definitions on quotient types (with up to two arguments). Note that the stripped-down version without additional conditions is sufficient most of the time.

**theorem** *quot-cond-function*:  
**assumes**  $eq: \bigwedge X\ Y. P\ X\ Y \Longrightarrow f\ X\ Y \equiv g\ (pick\ X)\ (pick\ Y)$   
**and**  $cong: \bigwedge x\ x'\ y\ y'. [x] = [x'] \Longrightarrow [y] = [y']$   
 $\Longrightarrow P\ [x]\ [y] \Longrightarrow P\ [x']\ [y'] \Longrightarrow g\ x\ y = g\ x'\ y'$

and  $P: P [a] [b]$   
 shows  $f [a] [b] = g a b$   
 $\langle proof \rangle$

**theorem** *quot-function*:

assumes  $\bigwedge X Y. f X Y \equiv g (pick X) (pick Y)$   
 and  $\bigwedge x x' y y'. [x] = [x'] \implies [y] = [y'] \implies g x y = g x' y'$   
 shows  $f [a] [b] = g a b$   
 $\langle proof \rangle$

**theorem** *quot-function'*:

$(\bigwedge X Y. f X Y \equiv g (pick X) (pick Y)) \implies$   
 $(\bigwedge x x' y y'. x \sim x' \implies y \sim y' \implies g x y = g x' y') \implies$   
 $f [a] [b] = g a b$   
 $\langle proof \rangle$

end

## 91 Ramsey’s Theorem

**theory** *Ramsey*

imports *Infinite-Set Equipollence FuncSet*  
 begin

### 91.1 Preliminary definitions

**abbreviation** *strict-sorted* ::  $'a::linorder list \Rightarrow bool$  **where**  
 $strict-sorted \equiv sorted-wrt (<)$

#### 91.1.1 The $n$ -element subsets of a set $A$

**definition** *nsets* ::  $'a set, nat] \Rightarrow 'a set set (([-]) [0,999] 999)$   
**where**  $nsets A n \equiv \{N. N \subseteq A \wedge finite N \wedge card N = n\}$

**lemma** *finite-imp-finite-nsets*:  $finite A \implies finite ([A]^k)$   
 $\langle proof \rangle$

**lemma** *nsets-mono*:  $A \subseteq B \implies nsets A n \subseteq nsets B n$   
 $\langle proof \rangle$

**lemma** *nsets-Pi-contra*:  $A' \subseteq A \implies Pi ([A]^n) B \subseteq Pi ([A']^n) B$   
 $\langle proof \rangle$

**lemma** *nsets-2-eq*:  $nsets A 2 = (\bigcup x \in A. \bigcup y \in A - \{x\}. \{\{x, y\}\})$   
 $\langle proof \rangle$

**lemma** *nsets2-E*:

assumes  $e \in [A]^2$   
 obtains  $x y$  **where**  $e = \{x, y\} x \in A y \in A x \neq y$

*<proof>*

**lemma** *nsets-doubleton-2-eq* [simp]:  $[\{x, y\}]^2 = (\text{if } x=y \text{ then } \{\} \text{ else } \{\{x, y\}\})$   
*<proof>*

**lemma** *doubleton-in-nsets-2* [simp]:  $\{x, y\} \in [A]^2 \longleftrightarrow x \in A \wedge y \in A \wedge x \neq y$   
*<proof>*

**lemma** *nsets-3-eq*:  $nsets\ A\ \mathcal{P} = (\bigcup_{x \in A}. \bigcup_{y \in A - \{x\}}. \bigcup_{z \in A - \{x, y\}}. \{\{x, y, z\}\})$   
*<proof>*

**lemma** *nsets-4-eq*:  $[A]^4 = (\bigcup_{u \in A}. \bigcup_{x \in A - \{u\}}. \bigcup_{y \in A - \{u, x\}}. \bigcup_{z \in A - \{u, x, y\}}. \{\{u, x, y, z\}\})$   
 (is - = ?rhs)  
*<proof>*

**lemma** *nsets-disjoint-2*:  
 $X \cap Y = \{\} \implies [X \cup Y]^2 = [X]^2 \cup [Y]^2 \cup (\bigcup_{x \in X}. \bigcup_{y \in Y}. \{\{x, y\}\})$   
*<proof>*

**lemma** *ordered-nsets-2-eq*:  
**fixes**  $A :: 'a::linorder\ set$   
**shows**  $nsets\ A\ \mathcal{P} = \{\{x, y\} \mid x\ y.\ x \in A \wedge y \in A \wedge x < y\}$   
 (is - = ?rhs)  
*<proof>*

**lemma** *ordered-nsets-3-eq*:  
**fixes**  $A :: 'a::linorder\ set$   
**shows**  $nsets\ A\ \mathcal{P} = \{\{x, y, z\} \mid x\ y\ z.\ x \in A \wedge y \in A \wedge z \in A \wedge x < y \wedge y < z\}$   
 (is - = ?rhs)  
*<proof>*

**lemma** *ordered-nsets-4-eq*:  
**fixes**  $A :: 'a::linorder\ set$   
**shows**  $[A]^4 = \{U.\ \exists u\ x\ y\ z.\ U = \{u, x, y, z\} \wedge u \in A \wedge x \in A \wedge y \in A \wedge z \in A \wedge u < x \wedge x < y \wedge y < z\}$   
 (is - = Collect ?RHS)  
*<proof>*

**lemma** *ordered-nsets-5-eq*:  
**fixes**  $A :: 'a::linorder\ set$   
**shows**  $[A]^5 = \{U.\ \exists u\ v\ x\ y\ z.\ U = \{u, v, x, y, z\} \wedge u \in A \wedge v \in A \wedge x \in A \wedge y \in A \wedge z \in A \wedge u < v \wedge v < x \wedge x < y \wedge y < z\}$   
 (is - = Collect ?RHS)  
*<proof>*

**lemma** *binomial-eq-nsets*:  $n\ choose\ k = card\ (nsets\ \{0..<n\}\ k)$   
*<proof>*

**lemma** *nsets-eq-empty-iff*:  $nsets\ A\ r = \{\}\ \longleftrightarrow\ finite\ A \wedge card\ A < r$   
 ⟨proof⟩

**lemma** *nsets-eq-empty*:  $\llbracket finite\ A; card\ A < r \rrbracket \implies nsets\ A\ r = \{\}$   
 ⟨proof⟩

**lemma** *nsets-empty-iff*:  $nsets\ \{\}\ r = (if\ r=0\ then\ \{\{\}\}\ else\ \{\})$   
 ⟨proof⟩

**lemma** *nsets-singleton-iff*:  $nsets\ \{a\}\ r = (if\ r=0\ then\ \{\{\}\}\ else\ if\ r=1\ then\ \{\{a\}\}\ else\ \{\})$   
 ⟨proof⟩

**lemma** *nsets-self [simp]*:  $nsets\ \{..<m\}\ m = \{\{\{..<m\}\}\}$   
 ⟨proof⟩

**lemma** *nsets-zero [simp]*:  $nsets\ A\ 0 = \{\{\}\}$   
 ⟨proof⟩

**lemma** *nsets-one*:  $nsets\ A\ (Suc\ 0) = (\lambda x. \{x\})\ 'A$   
 ⟨proof⟩

**lemma** *inj-on-nsets*:  
 assumes *inj-on*  $f\ A$   
 shows *inj-on*  $(\lambda X. f\ 'X)\ ([A]^n)$   
 ⟨proof⟩

**lemma** *bij-betw-nsets*:  
 assumes *bij-betw*  $f\ A\ B$   
 shows *bij-betw*  $(\lambda X. f\ 'X)\ ([A]^n)\ ([B]^n)$   
 ⟨proof⟩

**lemma** *nset-image-obtains*:  
 assumes  $X \in [f\ 'A]^k$  *inj-on*  $f\ A$   
 obtains  $Y$  where  $Y \in [A]^k$   $X = f\ 'Y$   
 ⟨proof⟩

**lemma** *nsets-image-funcset*:  
 assumes  $g \in S \rightarrow T$  and *inj-on*  $g\ S$   
 shows  $(\lambda X. g\ 'X) \in [S]^k \rightarrow [T]^k$   
 ⟨proof⟩

**lemma** *nsets-compose-image-funcset*:  
 assumes  $f: f \in [T]^k \rightarrow D$  and  $g \in S \rightarrow T$  and *inj-on*  $g\ S$   
 shows  $f \circ (\lambda X. g\ 'X) \in [S]^k \rightarrow D$   
 ⟨proof⟩



### 91.1.2 Further properties, involving equipollence

**lemma** *nsets-lepoll-cong*:

**assumes**  $A \lesssim B$   
**shows**  $[A]^k \lesssim [B]^k$

*<proof>*

**lemma** *nsets-epoll-cong*:

**assumes**  $A \approx B$   
**shows**  $[A]^k \approx [B]^k$

*<proof>*

**lemma** *infinite-imp-infinite-nsets*:

**assumes** *inf*: *infinite*  $A$  **and**  $k > 0$   
**shows** *infinite*  $([A]^k)$

*<proof>*

**lemma** *finite-nsets-iff*:

**assumes**  $k > 0$   
**shows** *finite*  $([A]^k) \longleftrightarrow$  *finite*  $A$

*<proof>*

**lemma** *card-nsets [simp]*:  $\text{card } (nsets\ A\ k) = \text{card } A$  *choose*  $k$

*<proof>*

### 91.1.3 Partition predicates

**definition** *monochromatic*  $\equiv \lambda \beta \alpha \gamma f i. \exists H \in nsets\ \beta\ \alpha. f\ ' (nsets\ H\ \gamma) \subseteq \{i\}$

uniform partition sizes

**definition** *partn*  $:: 'a\ set \Rightarrow nat \Rightarrow nat \Rightarrow 'b\ set \Rightarrow bool$

**where** *partn*  $\beta\ \alpha\ \gamma\ \delta \equiv \forall f \in nsets\ \beta\ \gamma \rightarrow \delta. \exists \xi \in \delta. \text{monochromatic } \beta\ \alpha\ \gamma\ f\ \xi$

partition sizes enumerated in a list

**definition** *partn-lst*  $:: 'a\ set \Rightarrow nat\ list \Rightarrow nat \Rightarrow bool$

**where** *partn-lst*  $\beta\ \alpha\ \gamma \equiv \forall f \in nsets\ \beta\ \gamma \rightarrow \{..<length\ \alpha\}. \exists i < length\ \alpha. \text{monochromatic } \beta\ (\alpha!i)\ \gamma\ f\ i$

There’s always a 0-clique

**lemma** *partn-lst-0*:  $\gamma > 0 \implies \text{partn-lst } \beta\ (0\#\alpha)\ \gamma$

*<proof>*

**lemma** *partn-lst-0'*:  $\gamma > 0 \implies \text{partn-lst } \beta\ (a\#\ 0\#\alpha)\ \gamma$

*<proof>*

**lemma** *partn-lst-greater-resource*:

**fixes**  $M::nat$

**assumes**  $M$ : *partn-lst*  $\{..<M\}$   $\alpha\ \gamma$  **and**  $M \leq N$

**shows** *partn-lst*  $\{..<N\}$   $\alpha\ \gamma$

*<proof>*

**lemma** *partn-1st-fewer-colours*:

**assumes** *major*: *partn-1st*  $\beta$  ( $n \# \alpha$ )  $\gamma$  **and**  $n \geq \gamma$

**shows** *partn-1st*  $\beta$   $\alpha$   $\gamma$

*<proof>*

**lemma** *partn-1st-eq-partn*: *partn-1st*  $\{..<n\}$   $[m,m]$   $2 = \text{partn}$   $\{..<n\}$   $m$   $2$   $\{..<2::nat\}$

*<proof>*

**lemma** *partn-1stE*:

**assumes** *partn-1st*  $\beta$   $\alpha$   $\gamma$   $f \in \text{nsets}$   $\beta$   $\gamma \rightarrow \{..<l\}$  *length*  $\alpha = l$

**obtains**  $i$   $H$  **where**  $i < \text{length}$   $\alpha$   $H \in \text{nsets}$   $\beta$   $(\alpha!i)$   $f' ( \text{nsets}$   $H$   $\gamma ) \subseteq \{i\}$

*<proof>*

**lemma** *partn-1st-less*:

**assumes**  $M$ : *partn-1st*  $\beta$   $\alpha$   $n$  **and** *eq*: *length*  $\alpha' = \text{length}$   $\alpha$

**and** *le*:  $\bigwedge i. i < \text{length}$   $\alpha \implies \alpha!i \leq \alpha!i$

**shows** *partn-1st*  $\beta$   $\alpha' n$

*<proof>*

## 91.2 Finite versions of Ramsey’s theorem

To distinguish the finite and infinite ones, lower and upper case names are used (ramsey vs Ramsey).

### 91.2.1 The Erds–Szekeres theorem exhibits an upper bound for Ramsey numbers

The Erds–Szekeres bound, essentially extracted from the proof

**fun** *ES* ::  $[nat,nat,nat] \Rightarrow nat$

**where** *ES*  $0$   $k$   $l = \max$   $k$   $l$

| *ES* (*Suc*  $r$ )  $k$   $l =$

(*if*  $r=0$  *then*  $k+l-1$

*else if*  $k=0 \vee l=0$  *then*  $1$  *else* *Suc* (*ES*  $r$  (*ES* (*Suc*  $r$ ) ( $k-1$ )  $l$ ) (*ES* (*Suc*  $r$ )  $k$  ( $l-1$ ))))

**declare** *ES.simps* [*simp del*]

**lemma** *ES-0* [*simp*]: *ES*  $0$   $k$   $l = \max$   $k$   $l$

*<proof>*

**lemma** *ES-1* [*simp*]: *ES*  $1$   $k$   $l = k+l-1$

*<proof>*

**lemma** *ES-2*: *ES*  $2$   $k$   $l = (\text{if } k=0 \vee l=0 \text{ then } 1 \text{ else } \text{ES } 2 (k-1) l + \text{ES } 2 k (l-1))$

*<proof>*

The Erds–Szekeres upper bound

**lemma** *ES2-choose*:  $ES\ 2\ k\ l = (k+l)\ \text{choose}\ k$   
 ⟨proof⟩

### 91.2.2 Trivial cases

Vacuous, since we are dealing with 0-sets!

**lemma** *ramsey0*:  $\exists N::nat.\ \text{partn-lst}\ \{..<N\}\ [q1, q2]\ 0$   
 ⟨proof⟩

Just the pigeon hole principle, since we are dealing with 1-sets

**lemma** *ramsey1-explicit*:  $\text{partn-lst}\ \{..<q0 + q1 - Suc\ 0\}\ [q0, q1]\ 1$   
 ⟨proof⟩

**lemma** *ramsey1*:  $\exists N::nat.\ \text{partn-lst}\ \{..<N\}\ [q0, q1]\ 1$   
 ⟨proof⟩

### 91.2.3 Ramsey’s theorem with TWO colours and arbitrary exponents (hypergraph version)

**lemma** *ramsey-induction-step*:

**fixes**  $p::nat$

**assumes**  $p1: \text{partn-lst}\ \{..<p1\}\ [q1-1, q2]\ (Suc\ r)$  **and**  $p2: \text{partn-lst}\ \{..<p2\}\ [q1, q2-1]\ (Suc\ r)$

**and**  $p: \text{partn-lst}\ \{..<p\}\ [p1, p2]\ r$

**and**  $q1 > 0\ q2 > 0$

**shows**  $\text{partn-lst}\ \{..<Suc\ p\}\ [q1, q2]\ (Suc\ r)$

⟨proof⟩

**proposition** *ramsey2-full*:  $\text{partn-lst}\ \{..<ES\ r\ q1\ q2\}\ [q1, q2]\ r$   
 ⟨proof⟩

### 91.2.4 Full Ramsey’s theorem with multiple colours and arbitrary exponents

**theorem** *ramsey-full*:  $\exists N::nat.\ \text{partn-lst}\ \{..<N\}\ qs\ r$   
 ⟨proof⟩

### 91.2.5 Simple graph version

This is the most basic version in terms of cliques and independent sets, i.e. the version for graphs and 2 colours.

**definition** *clique*  $V\ E \longleftrightarrow (\forall v \in V.\ \forall w \in V.\ v \neq w \longrightarrow \{v, w\} \in E)$

**definition** *indep*  $V\ E \longleftrightarrow (\forall v \in V.\ \forall w \in V.\ v \neq w \longrightarrow \{v, w\} \notin E)$

**lemma** *clique-Un*:  $\llbracket \text{clique}\ K\ F;\ \text{clique}\ L\ F;\ \forall v \in K.\ \forall w \in L.\ v \neq w \longrightarrow \{v, w\} \in F \rrbracket$   
 $\implies \text{clique}\ (K \cup L)\ F$   
 ⟨proof⟩

**lemma** *null-clique[simp]*:  $\text{clique } \{ \} E$  **and** *null-indep[simp]*:  $\text{indep } \{ \} E$   
 ⟨proof⟩

**lemma** *smaller-clique*:  $\llbracket \text{clique } R E; R' \subseteq R \rrbracket \implies \text{clique } R' E$   
 ⟨proof⟩

**lemma** *smaller-indep*:  $\llbracket \text{indep } R E; R' \subseteq R \rrbracket \implies \text{indep } R' E$   
 ⟨proof⟩

**lemma** *ramsey2*:  
 $\exists r \geq 1. \forall (V :: 'a \text{ set}) (E :: 'a \text{ set set}). \text{finite } V \wedge \text{card } V \geq r \longrightarrow$   
 $(\exists R \subseteq V. \text{card } R = m \wedge \text{clique } R E \vee \text{card } R = n \wedge \text{indep } R E)$   
 ⟨proof⟩

### 91.3 Preliminaries for the infinitary version

#### 91.3.1 “Axiom” of Dependent Choice

**primrec** *choice* ::  $('a \Rightarrow \text{bool}) \Rightarrow ('a \times 'a) \text{ set} \Rightarrow \text{nat} \Rightarrow 'a$   
**where** — An integer-indexed chain of choices  
*choice-0*:  $\text{choice } P r 0 = (\text{SOME } x. P x)$   
 | *choice-Suc*:  $\text{choice } P r (\text{Suc } n) = (\text{SOME } y. P y \wedge (\text{choice } P r n, y) \in r)$

**lemma** *choice-n*:  
**assumes** *P0*:  $P x0$   
**and** *Pstep*:  $\bigwedge x. P x \implies \exists y. P y \wedge (x, y) \in r$   
**shows**  $P (\text{choice } P r n)$   
 ⟨proof⟩

**lemma** *dependent-choice*:  
**assumes** *trans*:  $\text{trans } r$   
**and** *P0*:  $P x0$   
**and** *Pstep*:  $\bigwedge x. P x \implies \exists y. P y \wedge (x, y) \in r$   
**obtains**  $f :: \text{nat} \Rightarrow 'a$  **where**  $\bigwedge n. P (f n)$  **and**  $\bigwedge n m. n < m \implies (f n, f m) \in r$   
 ⟨proof⟩

#### 91.3.2 Partition functions

**definition** *part-fn* ::  $\text{nat} \Rightarrow \text{nat} \Rightarrow 'a \text{ set} \Rightarrow ('a \text{ set} \Rightarrow \text{nat}) \Rightarrow \text{bool}$   
 — the function  $f$  partitions the  $r$ -subsets of the typically infinite set  $Y$  into  $s$  distinct categories.  
**where**  $\text{part-fn } r s Y f \longleftrightarrow (f \in \text{nsets } Y r \rightarrow \{..<s\})$

For induction, we decrease the value of  $r$  in partitions.

**lemma** *part-fn-Suc-imp-part-fn*:  
 $\llbracket \text{infinite } Y; \text{part-fn } (\text{Suc } r) s Y f; y \in Y \rrbracket \implies \text{part-fn } r s (Y - \{y\}) (\lambda u. f (\text{insert } y u))$   
 ⟨proof⟩

**lemma** *part-fn-subset*:  $\text{part-fn } r s Y Y f \implies Y \subseteq Y Y \implies \text{part-fn } r s Y f$

*<proof>*

### 91.4 Ramsey’s Theorem: Infinitary Version

**lemma** *Ramsey-induction:*

**fixes**  $s\ r :: \text{nat}$   
**and**  $YY :: 'a\ \text{set}$   
**and**  $f :: 'a\ \text{set} \Rightarrow \text{nat}$   
**assumes** *infinite*  $YY$  *part-fn*  $r\ s\ YY\ f$   
**shows**  $\exists Y' t'. Y' \subseteq YY \wedge \text{infinite } Y' \wedge t' < s \wedge (\forall X. X \subseteq Y' \wedge \text{finite } X \wedge \text{card } X = r \longrightarrow f\ X = t')$   
*<proof>*

**theorem** *Ramsey:*

**fixes**  $s\ r :: \text{nat}$   
**and**  $Z :: 'a\ \text{set}$   
**and**  $f :: 'a\ \text{set} \Rightarrow \text{nat}$   
**shows**  
 $\llbracket \text{infinite } Z;$   
 $\forall X. X \subseteq Z \wedge \text{finite } X \wedge \text{card } X = r \longrightarrow f\ X < s \rrbracket$   
 $\implies \exists Y t. Y \subseteq Z \wedge \text{infinite } Y \wedge t < s$   
 $\wedge (\forall X. X \subseteq Y \wedge \text{finite } X \wedge \text{card } X = r \longrightarrow f\ X = t)$   
*<proof>*

**corollary** *Ramsey2:*

**fixes**  $s :: \text{nat}$   
**and**  $Z :: 'a\ \text{set}$   
**and**  $f :: 'a\ \text{set} \Rightarrow \text{nat}$   
**assumes** *infZ:* *infinite*  $Z$   
**and** *part:*  $\forall x \in Z. \forall y \in Z. x \neq y \longrightarrow f\ \{x, y\} < s$   
**shows**  $\exists Y t. Y \subseteq Z \wedge \text{infinite } Y \wedge t < s \wedge (\forall x \in Y. \forall y \in Y. x \neq y \longrightarrow f\ \{x, y\} = t)$   
*<proof>*

**corollary** *Ramsey-nsets:*

**fixes**  $f :: 'a\ \text{set} \Rightarrow \text{nat}$   
**assumes** *infinite*  $Z$   $f\ 'nsets\ Z\ r \subseteq \{..<s\}$   
**obtains**  $Y\ t$  **where**  $Y \subseteq Z$  *infinite*  $Y$   $t < s$   $f\ 'nsets\ Y\ r \subseteq \{t\}$   
*<proof>*

### 91.5 Disjunctive Well-Foundedness

An application of Ramsey’s theorem to program termination. See [4].

**definition** *disj-wf*  $:: ('a \times 'a)\ \text{set} \Rightarrow \text{bool}$

**where** *disj-wf*  $r \iff (\exists T. \exists n :: \text{nat}. (\forall i < n. \text{wf } (T\ i)) \wedge r = (\bigcup i < n. T\ i))$

**definition** *transition-idx*  $:: (\text{nat} \Rightarrow 'a) \Rightarrow (\text{nat} \Rightarrow ('a \times 'a)\ \text{set}) \Rightarrow \text{nat}\ \text{set} \Rightarrow \text{nat}$

**where** *transition-idx*  $s \ T \ A = (LEAST \ k. \exists \ i \ j. \ A = \{i, j\} \wedge i < j \wedge (s \ j, \ s \ i) \in T \ k)$

**lemma** *transition-idx-less*:

**assumes**  $i < j \ (s \ j, \ s \ i) \in T \ k \ k < n$

**shows** *transition-idx*  $s \ T \ \{i, j\} < n$

*<proof>*

**lemma** *transition-idx-in*:

**assumes**  $i < j \ (s \ j, \ s \ i) \in T \ k$

**shows**  $(s \ j, \ s \ i) \in T \ (\text{transition-idx } s \ T \ \{i, j\})$

*<proof>*

To be equal to the union of some well-founded relations is equivalent to being the subset of such a union.

**lemma** *disj-wf*:  $\text{disj-wf } r \longleftrightarrow (\exists \ T. \exists \ n::\text{nat. } (\forall \ i < n. \text{wf}(T \ i)) \wedge r \subseteq (\bigcup \ i < n. T \ i))$

*<proof>*

**theorem** *trans-disj-wf-implies-wf*:

**assumes** *trans*  $r$

**and** *disj-wf*  $r$

**shows** *wf*  $r$

*<proof>*

**end**

## 92 Modulo and congruence on the reals

**theory** *Real-Mod*

**imports** *Complex-Main*

**begin**

**definition** *rmod* ::  $\text{real} \Rightarrow \text{real} \Rightarrow \text{real}$  (**infixl** *rmod* 70) **where**

$x \ \text{rmod} \ y = x - |y| * \text{of-int } \lfloor x / |y| \rfloor$

**lemma** *rmod-conv-frac*:  $y \neq 0 \implies x \ \text{rmod} \ y = \text{frac } (x / |y|) * |y|$

*<proof>*

**lemma** *rmod-conv-frac'*:  $x \ \text{rmod} \ y = (\text{if } y = 0 \ \text{then } x \ \text{else } \text{frac } (x / |y|) * |y|)$

*<proof>*

**lemma** *rmod-rmod* [*simp*]:  $(x \ \text{rmod} \ y) \ \text{rmod} \ y = x \ \text{rmod} \ y$

*<proof>*

**lemma** *rmod-0-right* [*simp*]:  $x \ \text{rmod} \ 0 = x$

*<proof>*

**lemma** *rmod-less*:  $m > 0 \implies x \text{ rmod } m < m$

*<proof>*

**lemma** *rmod-less-abs*:  $m \neq 0 \implies x \text{ rmod } m < |m|$

*<proof>*

**lemma** *rmod-le*:  $m > 0 \implies x \text{ rmod } m \leq m$

*<proof>*

**lemma** *rmod-nonneg*:  $m \neq 0 \implies x \text{ rmod } m \geq 0$

*<proof>*

**lemma** *rmod-unique*:

**assumes**  $z \in \{0..<|y|\}$   $x = z + \text{of-int } n * y$

**shows**  $x \text{ rmod } y = z$

*<proof>*

**lemma** *rmod-0* [*simp*]:  $0 \text{ rmod } z = 0$

*<proof>*

**lemma** *rmod-add*:  $(x \text{ rmod } z + y \text{ rmod } z) \text{ rmod } z = (x + y) \text{ rmod } z$

*<proof>*

**lemma** *rmod-diff*:  $(x \text{ rmod } z - y \text{ rmod } z) \text{ rmod } z = (x - y) \text{ rmod } z$

*<proof>*

**lemma** *rmod-self* [*simp*]:  $x \text{ rmod } x = 0$

*<proof>*

**lemma** *rmod-self-multiple-int* [*simp*]:  $(\text{of-int } n * x) \text{ rmod } x = 0$

*<proof>*

**lemma** *rmod-self-multiple-nat* [*simp*]:  $(\text{of-nat } n * x) \text{ rmod } x = 0$

*<proof>*

**lemma** *rmod-self-multiple-numeral* [*simp*]:  $(\text{numeral } n * x) \text{ rmod } x = 0$

*<proof>*

**lemma** *rmod-self-multiple-int'* [*simp*]:  $(x * \text{of-int } n) \text{ rmod } x = 0$

*<proof>*

**lemma** *rmod-self-multiple-nat'* [*simp*]:  $(x * \text{of-nat } n) \text{ rmod } x = 0$

*<proof>*

**lemma** *rmod-self-multiple-numeral'* [*simp*]:  $(x * \text{numeral } n) \text{ rmod } x = 0$

*<proof>*

**lemma** *rmod-idem* [*simp*]:  $x \in \{0..<|y|\} \implies x \text{ rmod } y = x$   
 ⟨*proof*⟩

**definition** *rcong* :: *real*  $\Rightarrow$  *real*  $\Rightarrow$  *real*  $\Rightarrow$  *bool* ( $\langle (1[- = -] (' \text{rmod } -')) \rangle$ ) **where**  
 $[x = y] (\text{rmod } m) \longleftrightarrow x \text{ rmod } m = y \text{ rmod } m$

**named-theorems** *rcong-intros*

**lemma** *rcong-0-right* [*simp*]:  $[x = y] (\text{rmod } 0) \longleftrightarrow x = y$   
 ⟨*proof*⟩

**lemma** *rcong-0-iff*:  $[x = 0] (\text{rmod } m) \longleftrightarrow x \text{ rmod } m = 0$   
**and** *rcong-0-iff'*:  $[0 = x] (\text{rmod } m) \longleftrightarrow x \text{ rmod } m = 0$   
 ⟨*proof*⟩

**lemma** *rcong-refl* [*simp*, *intro!*, *rcong-intros*]:  $[x = x] (\text{rmod } m)$   
 ⟨*proof*⟩

**lemma** *rcong-sym*:  $[y = x] (\text{rmod } m) \implies [x = y] (\text{rmod } m)$   
 ⟨*proof*⟩

**lemma** *rcong-sym-iff*:  $[y = x] (\text{rmod } m) \longleftrightarrow [x = y] (\text{rmod } m)$   
 ⟨*proof*⟩

**lemma** *rcong-trans* [*trans*]:  $[x = y] (\text{rmod } m) \implies [y = z] (\text{rmod } m) \implies [x = z]$   
 (*rmod m*)  
 ⟨*proof*⟩

**lemma** *rcong-add* [*rcong-intros*]:  
 $[a = b] (\text{rmod } m) \implies [c = d] (\text{rmod } m) \implies [a + c = b + d] (\text{rmod } m)$   
 ⟨*proof*⟩

**lemma** *rcong-diff* [*rcong-intros*]:  
 $[a = b] (\text{rmod } m) \implies [c = d] (\text{rmod } m) \implies [a - c = b - d] (\text{rmod } m)$   
 ⟨*proof*⟩

**lemma** *rcong-uminus* [*rcong-intros*]:  
 $[a = b] (\text{rmod } m) \implies [-a = -b] (\text{rmod } m)$   
 ⟨*proof*⟩

**lemma** *rcong-uminus-uminus-iff* [*simp*]:  $[-x = -y] (\text{rmod } m) \longleftrightarrow [x = y] (\text{rmod } m)$   
 ⟨*proof*⟩

**lemma** *rcong-uminus-left-iff*:  $[-x = y] (\text{rmod } m) \longleftrightarrow [x = -y] (\text{rmod } m)$   
 ⟨*proof*⟩



**lemma** *rcong-add-right-cancel* [*simp*]:  $[a + c = b + c] \text{ (rmod } m) \longleftrightarrow [a = b] \text{ (rmod } m)$

*<proof>*

**lemma** *rcong-add-left-cancel* [*simp*]:  $[c + a = c + b] \text{ (rmod } m) \longleftrightarrow [a = b] \text{ (rmod } m)$

*<proof>*

**lemma** *rcong-diff-right-cancel* [*simp*]:  $[a - c = b - c] \text{ (rmod } m) \longleftrightarrow [a = b] \text{ (rmod } m)$

*<proof>*

**lemma** *rcong-diff-left-cancel* [*simp*]:  $[c - a = c - b] \text{ (rmod } m) \longleftrightarrow [a = b] \text{ (rmod } m)$

*<proof>*

**lemma** *rcong-rmod-right-iff* [*simp*]:  $[a = (b \text{ rmod } m)] \text{ (rmod } m) \longleftrightarrow [a = b] \text{ (rmod } m)$

**and** *rcong-rmod-left-iff* [*simp*]:  $[(a \text{ rmod } m) = b] \text{ (rmod } m) \longleftrightarrow [a = b] \text{ (rmod } m)$

*<proof>*

**lemma** *rcong-rmod-left* [*rcong-intros*]:  $[a = b] \text{ (rmod } m) \implies [(a \text{ rmod } m) = b]$

**and** *rcong-rmod-right* [*rcong-intros*]:  $[a = b] \text{ (rmod } m) \implies [a = (b \text{ rmod } m)]$

*<proof>*

**lemma** *rcong-mult-of-int-0-left-left* [*rcong-intros*]:  $[0 = \text{of-int } n * m] \text{ (rmod } m)$

**and** *rcong-mult-of-int-0-right-left* [*rcong-intros*]:  $[0 = m * \text{of-int } n] \text{ (rmod } m)$

**and** *rcong-mult-of-int-0-left-right* [*rcong-intros*]:  $[\text{of-int } n * m = 0] \text{ (rmod } m)$

**and** *rcong-mult-of-int-0-right-right* [*rcong-intros*]:  $[m * \text{of-int } n = 0] \text{ (rmod } m)$

*<proof>*

**lemma** *rcong-altdef*:  $[a = b] \text{ (rmod } m) \longleftrightarrow (\exists n. b = a + \text{of-int } n * m)$

*<proof>*

**lemma** *rcong-conv-diff-rmod-eq-0*:  $[x = y] \text{ (rmod } m) \longleftrightarrow (x - y) \text{ rmod } m = 0$

*<proof>*

**lemma** *rcong-imp-eq*:

**assumes**  $[x = y] \text{ (rmod } m) \quad |x - y| < |m|$

**shows**  $x = y$

*<proof>*

**lemma** *rcong-mult-modulus*:

**assumes**  $[a = b] \text{ (rmod } (m / c)) \quad c \neq 0$

**shows**  $[a * c = b * c] \text{ (rmod } m)$

*<proof>*

```

lemma rcong-divide-modulus:
  assumes  $[a = b] \text{ (rmod } (m * c)) \ c \neq 0$ 
  shows  $[a / c = b / c] \text{ (rmod } m)$ 
   $\langle \text{proof} \rangle$ 

end

```

### 93 Generic reflection and reification

```

theory Reflection
imports Main
begin

```

$\langle ML \rangle$

**end**

```

theory Rewrite
imports Main
begin

```

```

consts rewrite-HOLE :: 'a::{} (≡)

```

```

lemma eta-expand:
  fixes  $f :: 'a::{} \Rightarrow 'b::{}$ 
  shows  $f \equiv \lambda x. f\ x \ \langle \text{proof} \rangle$ 

```

```

lemma imp-cong-eq:
   $(PROP\ A \Longrightarrow (PROP\ B \Longrightarrow PROP\ C)) \equiv (PROP\ B' \Longrightarrow PROP\ C') \equiv$ 
   $((PROP\ B \Longrightarrow PROP\ A \Longrightarrow PROP\ C) \equiv (PROP\ B' \Longrightarrow PROP\ A \Longrightarrow PROP$ 
   $C'))$ 
   $\langle \text{proof} \rangle$ 

```

$\langle ML \rangle$

**end**

### 94 Assigning lengths to types by type classes

```

theory Type-Length
imports Numeral-Type
begin

```

The aim of this is to allow any type as index type, but to provide a default instantiation for numeral types. This independence requires some duplication with the definitions in `Numeral_Type.thy`.

```

class len0 =
  fixes len-of :: 'a itself ⇒ nat

syntax -type-length :: type ⇒ nat (⟨(1LENGTH/(1'(-)))⟩)

translations LENGTH('a) ↦
  CONST len-of (CONST Pure.type :: 'a itself)

```

⟨ML⟩

Some theorems are only true on words with length greater 0.

```

class len = len0 +
  assumes len-gt-0 [iff]: 0 < LENGTH('a)
begin

```

```

lemma len-not-eq-0 [simp]:
  LENGTH('a) ≠ 0
  ⟨proof⟩

```

**end**

```

instantiation num0 and num1 :: len0
begin

```

```

definition len-num0: len-of (- :: num0 itself) = 0
definition len-num1: len-of (- :: num1 itself) = 1

```

```

instance ⟨proof⟩

```

**end**

```

instantiation bit0 and bit1 :: (len0) len0
begin

```

```

definition len-bit0: len-of (- :: 'a::len0 bit0 itself) = 2 * LENGTH('a)
definition len-bit1: len-of (- :: 'a::len0 bit1 itself) = 2 * LENGTH('a) + 1

```

```

instance ⟨proof⟩

```

**end**

```

lemmas len-of-numeral-defs [simp] = len-num0 len-num1 len-bit0 len-bit1

```

```

instance num1 :: len
  ⟨proof⟩

```

```

instance bit0 :: (len) len
  ⟨proof⟩

```

```

instance bit1 :: (len0) len
  ⟨proof⟩

```

**instantiation** *Enum.finite-1* :: *len*  
**begin**

**definition**

*len-of-finite-1* (*x* :: *Enum.finite-1* *itself*)  $\equiv$  (*1* :: *nat*)

**instance**

*<proof>*

**end**

**instantiation** *Enum.finite-2* :: *len*  
**begin**

**definition**

*len-of-finite-2* (*x* :: *Enum.finite-2* *itself*)  $\equiv$  (*2* :: *nat*)

**instance**

*<proof>*

**end**

**instantiation** *Enum.finite-3* :: *len*  
**begin**

**definition**

*len-of-finite-3* (*x* :: *Enum.finite-3* *itself*)  $\equiv$  (*4* :: *nat*)

**instance**

*<proof>*

**end**

**lemma** *length-not-greater-eq-2-iff* [*simp*]:

*< $\neg 2 \leq \text{LENGTH}('a::\text{len}) \longleftrightarrow \text{LENGTH}('a) = 1$ >*

*<proof>*

**context** *linordered-idom*

**begin**

**lemma** *two-less-eq-exp-length* [*simp*]:

*< $2 \leq 2 \wedge \text{LENGTH}('b::\text{len})$ >*

*<proof>*

**end**

**lemma** *less-eq-decr-length-iff* [*simp*]:

*< $n \leq \text{LENGTH}('a::\text{len}) - \text{Suc } 0 \longleftrightarrow n < \text{LENGTH}('a)$ >*

*<proof>*

**lemma** *decr-length-less-iff* [*simp*]:

$\langle \text{LENGTH}('a::\text{len}) - \text{Suc } 0 < n \longleftrightarrow \text{LENGTH}('a) \leq n \rangle$

*<proof>*

**end**

## 95 Saturated arithmetic

**theory** *Saturated*

**imports** *Natural-Type Type-Length*

**begin**

### 95.1 The type of saturated naturals

**typedef** (**overloaded**)  $('a::\text{len}) \text{ sat} = \{.. \text{LENGTH}('a)\}$

**morphisms** *nat-of Abs-sat*

*<proof>*

**lemma** *sat-eqI*:

$\text{nat-of } m = \text{nat-of } n \implies m = n$

*<proof>*

**lemma** *sat-eq-iff*:

$m = n \longleftrightarrow \text{nat-of } m = \text{nat-of } n$

*<proof>*

**lemma** *Abs-sat-nat-of* [*code abstype*]:

$\text{Abs-sat} (\text{nat-of } n) = n$

*<proof>*

**definition** *Abs-sat'*  $:: \text{nat} \Rightarrow 'a::\text{len} \text{ sat}$  **where**

$\text{Abs-sat}' n = \text{Abs-sat} (\text{min} (\text{LENGTH}('a)) n)$

**lemma** *nat-of-Abs-sat'* [*simp*]:

$\text{nat-of} (\text{Abs-sat}' n :: ('a::\text{len}) \text{ sat}) = \text{min} (\text{LENGTH}('a)) n$

*<proof>*

**lemma** *nat-of-le-len-of* [*simp*]:

$\text{nat-of} (n :: ('a::\text{len}) \text{ sat}) \leq \text{LENGTH}('a)$

*<proof>*

**lemma** *min-len-of-nat-of* [*simp*]:

$\text{min} (\text{LENGTH}('a)) (\text{nat-of} (n :: ('a::\text{len}) \text{ sat})) = \text{nat-of } n$

*<proof>*

**lemma** *min-nat-of-len-of* [*simp*]:

$\text{min} (\text{nat-of} (n :: ('a::\text{len}) \text{ sat})) (\text{LENGTH}('a)) = \text{nat-of } n$

*<proof>*

**lemma** *Abs-sat'-nat-of* [*simp*]:

$Abs-sat' (nat-of\ n) = n$

*<proof>*

**instantiation** *sat* :: (*len*) *linorder*

**begin**

**definition**

$less-eq-sat-def: x \leq y \longleftrightarrow nat-of\ x \leq nat-of\ y$

**definition**

$less-sat-def: x < y \longleftrightarrow nat-of\ x < nat-of\ y$

**instance**

*<proof>*

**end**

**instantiation** *sat* :: (*len*) {*minus, comm-semiring-1*}

**begin**

**definition**

$0 = Abs-sat'\ 0$

**definition**

$1 = Abs-sat'\ 1$

**lemma** *nat-of-zero-sat* [*simp, code abstract*]:

$nat-of\ 0 = 0$

*<proof>*

**lemma** *nat-of-one-sat* [*simp, code abstract*]:

$nat-of\ 1 = min\ 1\ (LENGTH('a))$

*<proof>*

**definition**

$x + y = Abs-sat'\ (nat-of\ x + nat-of\ y)$

**lemma** *nat-of-plus-sat* [*simp, code abstract*]:

$nat-of\ (x + y) = min\ (nat-of\ x + nat-of\ y)\ (LENGTH('a))$

*<proof>*

**definition**

$x - y = Abs-sat'\ (nat-of\ x - nat-of\ y)$

**lemma** *nat-of-minus-sat* [*simp, code abstract*]:

$nat-of\ (x - y) = nat-of\ x - nat-of\ y$

*<proof>*

**definition**

$x * y = \text{Abs-sat}' (\text{nat-of } x * \text{nat-of } y)$

**lemma** *nat-of-times-sat* [*simp, code abstract*]:

$\text{nat-of } (x * y) = \min (\text{nat-of } x * \text{nat-of } y) (\text{LENGTH}('a))$

*<proof>*

**instance**

*<proof>*

**end**

**instantiation** *sat* :: (*len*) *ordered-comm-semiring*

**begin**

**instance**

*<proof>*

**end**

**lemma** *Abs-sat'-eq-of-nat*:  $\text{Abs-sat}' n = \text{of-nat } n$

*<proof>*

**abbreviation** *Sat* ::  $\text{nat} \Rightarrow 'a::\text{len } \text{sat}$  **where**

$\text{Sat} \equiv \text{of-nat}$

**lemma** *nat-of-Sat* [*simp*]:

$\text{nat-of } (\text{Sat } n :: ('a::\text{len}) \text{sat}) = \min (\text{LENGTH}('a)) n$

*<proof>*

**lemma** [*code-abbrev*]:

$\text{of-nat } (\text{numeral } k) = (\text{numeral } k :: 'a::\text{len } \text{sat})$

*<proof>*

**context**

**begin**

**qualified definition** *sat-of-nat* ::  $\text{nat} \Rightarrow ('a::\text{len}) \text{sat}$

**where** [*code-abbrev*]:  $\text{sat-of-nat} = \text{of-nat}$

**lemma** [*code abstract*]:

$\text{nat-of } (\text{sat-of-nat } n :: ('a::\text{len}) \text{sat}) = \min (\text{LENGTH}('a)) n$

*<proof>*

**end**

**instance** *sat* :: (*len*) *finite*

⟨proof⟩

**instantiation** *sat* :: (*len*) *equal*  
**begin**

**definition** *HOL.equal A B*  $\longleftrightarrow$  *nat-of A = nat-of B*

**instance**  
 ⟨proof⟩

**end**

**instantiation** *sat* :: (*len*) {*bounded-lattice, distrib-lattice*}  
**begin**

**definition** (*inf* :: '*a sat*  $\Rightarrow$  '*a sat*  $\Rightarrow$  '*a sat*) = *min*

**definition** (*sup* :: '*a sat*  $\Rightarrow$  '*a sat*  $\Rightarrow$  '*a sat*) = *max*

**definition** *bot* = (*0* :: '*a sat*)

**definition** *top* = *Sat (LENGTH('a))*

**instance**  
 ⟨proof⟩

**end**

**instantiation** *sat* :: (*len*) {*Inf, Sup*}  
**begin**

**global-interpretation** *Inf-sat: semilattice-neutr-set min* ⟨*top* :: '*a sat*⟩

**defines** *Inf-sat = Inf-sat.F*

⟨proof⟩

**global-interpretation** *Sup-sat: semilattice-neutr-set max* ⟨*bot* :: '*a sat*⟩

**defines** *Sup-sat = Sup-sat.F*

⟨proof⟩

**instance** ⟨proof⟩

**end**

**instance** *sat* :: (*len*) *complete-lattice*  
 ⟨proof⟩

**end**

## 96 Set Idioms

**theory** *Set-Idioms*

**imports** *Countable-Set*



begin

### 96.1 Idioms for being a suitable union/intersection of something

**definition** *union-of* :: ('a set set  $\Rightarrow$  bool)  $\Rightarrow$  ('a set  $\Rightarrow$  bool)  $\Rightarrow$  'a set  $\Rightarrow$  bool  
 (infixr *union'-of* 60)  
 where  $P$  *union-of*  $Q \equiv \lambda S. \exists \mathcal{U}. P \mathcal{U} \wedge \mathcal{U} \subseteq \text{Collect } Q \wedge \bigcup \mathcal{U} = S$

**definition** *intersection-of* :: ('a set set  $\Rightarrow$  bool)  $\Rightarrow$  ('a set  $\Rightarrow$  bool)  $\Rightarrow$  'a set  $\Rightarrow$  bool  
 (infixr *intersection'-of* 60)  
 where  $P$  *intersection-of*  $Q \equiv \lambda S. \exists \mathcal{U}. P \mathcal{U} \wedge \mathcal{U} \subseteq \text{Collect } Q \wedge \bigcap \mathcal{U} = S$

**definition** *arbitrary*:: 'a set set  $\Rightarrow$  bool where *arbitrary*  $\mathcal{U} \equiv \text{True}$

**lemma** *union-of-inc*:  $\llbracket P \{S\}; Q S \rrbracket \Longrightarrow (P \text{ union-of } Q) S$   
 ⟨proof⟩

**lemma** *intersection-of-inc*:  
 $\llbracket P \{S\}; Q S \rrbracket \Longrightarrow (P \text{ intersection-of } Q) S$   
 ⟨proof⟩

**lemma** *union-of-mono*:  
 $\llbracket (P \text{ union-of } Q) S; \bigwedge x. Q x \Longrightarrow Q' x \rrbracket \Longrightarrow (P \text{ union-of } Q') S$   
 ⟨proof⟩

**lemma** *intersection-of-mono*:  
 $\llbracket (P \text{ intersection-of } Q) S; \bigwedge x. Q x \Longrightarrow Q' x \rrbracket \Longrightarrow (P \text{ intersection-of } Q') S$   
 ⟨proof⟩

**lemma** *all-union-of*:  
 $(\forall S. (P \text{ union-of } Q) S \longrightarrow R S) \longleftrightarrow (\forall T. P T \wedge T \subseteq \text{Collect } Q \longrightarrow R(\bigcup T))$   
 ⟨proof⟩

**lemma** *all-intersection-of*:  
 $(\forall S. (P \text{ intersection-of } Q) S \longrightarrow R S) \longleftrightarrow (\forall T. P T \wedge T \subseteq \text{Collect } Q \longrightarrow R(\bigcap T))$   
 ⟨proof⟩

**lemma** *intersection-ofE*:  
 $\llbracket (P \text{ intersection-of } Q) S; \bigwedge T. \llbracket P T; T \subseteq \text{Collect } Q \rrbracket \Longrightarrow R(\bigcap T) \rrbracket \Longrightarrow R S$   
 ⟨proof⟩

**lemma** *union-of-empty*:  
 $P \{\} \Longrightarrow (P \text{ union-of } Q) \{\}$   
 ⟨proof⟩

**lemma** *intersection-of-empty*:

$P \{\} \implies (P \text{ intersection-of } Q) \text{ UNIV}$   
 ⟨proof⟩

The arbitrary and finite cases

**lemma** *arbitrary-union-of-alt*:

$(\text{arbitrary union-of } Q) S \iff (\forall x \in S. \exists U. Q U \wedge x \in U \wedge U \subseteq S)$   
 (is ?lhs = ?rhs)  
 ⟨proof⟩

**lemma** *arbitrary-union-of-empty [simp]*:  $(\text{arbitrary union-of } P) \{\}$   
 ⟨proof⟩

**lemma** *arbitrary-intersection-of-empty [simp]*:

$(\text{arbitrary intersection-of } P) \text{ UNIV}$   
 ⟨proof⟩

**lemma** *arbitrary-union-of-inc*:

$P S \implies (\text{arbitrary union-of } P) S$   
 ⟨proof⟩

**lemma** *arbitrary-intersection-of-inc*:

$P S \implies (\text{arbitrary intersection-of } P) S$   
 ⟨proof⟩

**lemma** *arbitrary-union-of-complement*:

$(\text{arbitrary union-of } P) S \iff (\text{arbitrary intersection-of } (\lambda S. P(- S))) (- S)$   
 (is ?lhs = ?rhs)  
 ⟨proof⟩

**lemma** *arbitrary-intersection-of-complement*:

$(\text{arbitrary intersection-of } P) S \iff (\text{arbitrary union-of } (\lambda S. P(- S))) (- S)$   
 ⟨proof⟩

**lemma** *arbitrary-union-of-idempot [simp]*:

$\text{arbitrary union-of arbitrary union-of } P = \text{arbitrary union-of } P$   
 ⟨proof⟩

**lemma** *arbitrary-intersection-of-idempot*:

$\text{arbitrary intersection-of arbitrary intersection-of } P = \text{arbitrary intersection-of } P$   
 (is ?lhs = ?rhs)  
 ⟨proof⟩

**lemma** *arbitrary-union-of-Union*:

$(\bigwedge S. S \in \mathcal{U} \implies (\text{arbitrary union-of } P) S) \implies (\text{arbitrary union-of } P) (\bigcup \mathcal{U})$   
 ⟨proof⟩

**lemma** *arbitrary-union-of-Un*:

$\llbracket (\text{arbitrary union-of } P) S; (\text{arbitrary union-of } P) T \rrbracket$   
 $\implies (\text{arbitrary union-of } P) (S \cup T)$

*<proof>*

**lemma** *arbitrary-intersection-of-Inter:*

$(\bigwedge S. S \in \mathcal{U} \implies (\text{arbitrary intersection-of } P) S) \implies (\text{arbitrary intersection-of } P) (\bigcap \mathcal{U})$   
*<proof>*

**lemma** *arbitrary-intersection-of-Int:*

$\llbracket (\text{arbitrary intersection-of } P) S; (\text{arbitrary intersection-of } P) T \rrbracket$   
 $\implies (\text{arbitrary intersection-of } P) (S \cap T)$   
*<proof>*

**lemma** *arbitrary-union-of-Int-eg:*

$(\forall S T. (\text{arbitrary union-of } P) S \wedge (\text{arbitrary union-of } P) T$   
 $\implies (\text{arbitrary union-of } P) (S \cap T))$   
 $\iff (\forall S T. P S \wedge P T \implies (\text{arbitrary union-of } P) (S \cap T))$  (**is** ?lhs = ?rhs)  
*<proof>*

**lemma** *arbitrary-intersection-of-Un-eg:*

$(\forall S T. (\text{arbitrary intersection-of } P) S \wedge (\text{arbitrary intersection-of } P) T$   
 $\implies (\text{arbitrary intersection-of } P) (S \cup T)) \iff$   
 $(\forall S T. P S \wedge P T \implies (\text{arbitrary intersection-of } P) (S \cup T))$   
*<proof>*

**lemma** *finite-union-of-empty [simp]: (finite union-of P) {}*  
*<proof>*

**lemma** *finite-intersection-of-empty [simp]: (finite intersection-of P) UNIV*  
*<proof>*

**lemma** *finite-union-of-inc:*

$P S \implies (\text{finite union-of } P) S$   
*<proof>*

**lemma** *finite-intersection-of-inc:*

$P S \implies (\text{finite intersection-of } P) S$   
*<proof>*

**lemma** *finite-union-of-complement:*

$(\text{finite union-of } P) S \iff (\text{finite intersection-of } (\lambda S. P(- S))) (- S)$   
*<proof>*

**lemma** *finite-intersection-of-complement:*

$(\text{finite intersection-of } P) S \iff (\text{finite union-of } (\lambda S. P(- S))) (- S)$   
*<proof>*

**lemma** *finite-union-of-idempot [simp]:*

*finite union-of finite union-of P = finite union-of P*  
*<proof>*

**lemma** *finite-intersection-of-idempot* [*simp*]:

*finite intersection-of finite intersection-of P = finite intersection-of P*  
 ⟨*proof*⟩

**lemma** *finite-union-of-Union*:

$\llbracket \text{finite } \mathcal{U}; \bigwedge S. S \in \mathcal{U} \implies (\text{finite union-of } P) S \rrbracket \implies (\text{finite union-of } P) (\bigcup \mathcal{U})$   
 ⟨*proof*⟩

**lemma** *finite-union-of-Un*:

$\llbracket (\text{finite union-of } P) S; (\text{finite union-of } P) T \rrbracket \implies (\text{finite union-of } P) (S \cup T)$   
 ⟨*proof*⟩

**lemma** *finite-intersection-of-Inter*:

$\llbracket \text{finite } \mathcal{U}; \bigwedge S. S \in \mathcal{U} \implies (\text{finite intersection-of } P) S \rrbracket \implies (\text{finite intersection-of } P) (\bigcap \mathcal{U})$   
 ⟨*proof*⟩

**lemma** *finite-intersection-of-Int*:

$\llbracket (\text{finite intersection-of } P) S; (\text{finite intersection-of } P) T \rrbracket$   
 $\implies (\text{finite intersection-of } P) (S \cap T)$   
 ⟨*proof*⟩

**lemma** *finite-union-of-Int-eg*:

$(\forall S T. (\text{finite union-of } P) S \wedge (\text{finite union-of } P) T \longrightarrow (\text{finite union-of } P) (S \cap T))$   
 $\longleftrightarrow (\forall S T. P S \wedge P T \longrightarrow (\text{finite union-of } P) (S \cap T))$   
 (is ?lhs = ?rhs)  
 ⟨*proof*⟩

**lemma** *finite-intersection-of-Un-eg*:

$(\forall S T. (\text{finite intersection-of } P) S \wedge (\text{finite intersection-of } P) T \longrightarrow (\text{finite intersection-of } P) (S \cup T)) \longleftrightarrow$   
 $(\forall S T. P S \wedge P T \longrightarrow (\text{finite intersection-of } P) (S \cup T))$   
 ⟨*proof*⟩

**abbreviation** *finite'* :: 'a set  $\Rightarrow$  bool

where *finite'* A  $\equiv$  *finite* A  $\wedge$  A  $\neq$  {}

**lemma** *finite'-intersection-of-Int*:

$\llbracket (\text{finite}' \text{ intersection-of } P) S; (\text{finite}' \text{ intersection-of } P) T \rrbracket$   
 $\implies (\text{finite}' \text{ intersection-of } P) (S \cap T)$   
 ⟨*proof*⟩

**lemma** *finite'-intersection-of-inc*:

$P S \implies (\text{finite}' \text{ intersection-of } P) S$   
 ⟨*proof*⟩

## 96.2 The “Relative to” operator

A somewhat cheap but handy way of getting localized forms of various topological concepts (open, closed, borel, fsigma, gdelta etc.)

**definition** *relative-to* :: [*'a set*  $\Rightarrow$  *bool*, *'a set*, *'a set*]  $\Rightarrow$  *bool* (**infixl** *relative'-to* 55)

**where**  $P \text{ relative-to } S \equiv \lambda T. \exists U. P U \wedge S \cap U = T$

**lemma** *relative-to-UNIV* [*simp*]:  $(P \text{ relative-to } UNIV) S \longleftrightarrow P S$   
*<proof>*

**lemma** *relative-to-imp-subset*:  
 $(P \text{ relative-to } S) T \Longrightarrow T \subseteq S$   
*<proof>*

**lemma** *all-relative-to*:  $(\forall S. (P \text{ relative-to } U) S \longrightarrow Q S) \longleftrightarrow (\forall S. P S \longrightarrow Q(U \cap S))$   
*<proof>*

**lemma** *relative-toE*:  $\llbracket (P \text{ relative-to } U) S; \bigwedge S. P S \Longrightarrow Q(U \cap S) \rrbracket \Longrightarrow Q S$   
*<proof>*

**lemma** *relative-to-inc*:  
 $P S \Longrightarrow (P \text{ relative-to } U) (U \cap S)$   
*<proof>*

**lemma** *relative-to-relative-to* [*simp*]:  
 $P \text{ relative-to } S \text{ relative-to } T = P \text{ relative-to } (S \cap T)$   
*<proof>*

**lemma** *relative-to-compl*:  
 $S \subseteq U \Longrightarrow ((P \text{ relative-to } U) (U - S) \longleftrightarrow ((\lambda c. P(- c)) \text{ relative-to } U) S)$   
*<proof>*

**lemma** *relative-to-subset-trans*:  
 $\llbracket (P \text{ relative-to } U) S; S \subseteq T; T \subseteq U \rrbracket \Longrightarrow (P \text{ relative-to } T) S$   
*<proof>*

**lemma** *relative-to-mono*:  
 $\llbracket (P \text{ relative-to } U) S; \bigwedge S. P S \Longrightarrow Q S \rrbracket \Longrightarrow (Q \text{ relative-to } U) S$   
*<proof>*

**lemma** *relative-to-subset-inc*:  $\llbracket S \subseteq U; P S \rrbracket \Longrightarrow (P \text{ relative-to } U) S$   
*<proof>*

**lemma** *relative-to-Int*:  
 $\llbracket (P \text{ relative-to } S) C; (P \text{ relative-to } S) D; \bigwedge X Y. \llbracket P X; P Y \rrbracket \Longrightarrow P(X \cap Y) \rrbracket$   
 $\Longrightarrow (P \text{ relative-to } S) (C \cap D)$   
*<proof>*

**lemma** *relative-to-Un*:

$$\begin{aligned} & \llbracket (P \text{ relative-to } S) C; (P \text{ relative-to } S) D; \bigwedge X Y. \llbracket P X; P Y \rrbracket \implies P(X \cup Y) \rrbracket \\ & \implies (P \text{ relative-to } S) (C \cup D) \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *arbitrary-union-of-relative-to*:

$$\begin{aligned} & ((\text{arbitrary union-of } P) \text{ relative-to } U) = (\text{arbitrary union-of } (P \text{ relative-to } U)) \\ & (\text{is } ?lhs = ?rhs) \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *finite-union-of-relative-to*:

$$\begin{aligned} & ((\text{finite union-of } P) \text{ relative-to } U) = (\text{finite union-of } (P \text{ relative-to } U)) (\text{is } ?lhs \\ & = ?rhs) \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *countable-union-of-relative-to*:

$$\begin{aligned} & ((\text{countable union-of } P) \text{ relative-to } U) = (\text{countable union-of } (P \text{ relative-to } U)) \\ & (\text{is } ?lhs = ?rhs) \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *arbitrary-intersection-of-relative-to*:

$$\begin{aligned} & ((\text{arbitrary intersection-of } P) \text{ relative-to } U) = ((\text{arbitrary intersection-of } (P \text{ rel-} \\ & \text{ative-to } U)) \text{ relative-to } U) (\text{is } ?lhs = ?rhs) \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *finite-intersection-of-relative-to*:

$$\begin{aligned} & ((\text{finite intersection-of } P) \text{ relative-to } U) = ((\text{finite intersection-of } (P \text{ relative-to} \\ & U)) \text{ relative-to } U) (\text{is } ?lhs = ?rhs) \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *countable-intersection-of-relative-to*:

$$\begin{aligned} & ((\text{countable intersection-of } P) \text{ relative-to } U) = ((\text{countable intersection-of } (P \\ & \text{relative-to } U)) \text{ relative-to } U) (\text{is } ?lhs = ?rhs) \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *countable-union-of-empty [simp]*:  $(\text{countable union-of } P) \{\}$

$\langle \text{proof} \rangle$

**lemma** *countable-intersection-of-empty [simp]*:  $(\text{countable intersection-of } P) UNIV$

$\langle \text{proof} \rangle$

**lemma** *countable-union-of-inc*:  $P S \implies (\text{countable union-of } P) S$

$\langle \text{proof} \rangle$

**lemma** *countable-intersection-of-inc*:  $P S \implies (\text{countable intersection-of } P) S$

$\langle \text{proof} \rangle$

**lemma** *countable-union-of-complement*:

(*countable union-of P*)  $S \longleftrightarrow$  (*countable intersection-of* ( $\lambda S. P(-S)$ )) ( $-S$ )  
 (is ?lhs=?rhs)  
 ⟨proof⟩

**lemma** *countable-intersection-of-complement*:

(*countable intersection-of P*)  $S \longleftrightarrow$  (*countable union-of* ( $\lambda S. P(-S)$ )) ( $-S$ )  
 ⟨proof⟩

**lemma** *countable-union-of-explicit*:

assumes  $P \{\}$   
 shows (*countable union-of P*)  $S \longleftrightarrow$   
 ( $\exists T. (\forall n::nat. P(T n)) \wedge \bigcup(\text{range } T) = S$ ) (is ?lhs=?rhs)  
 ⟨proof⟩

**lemma** *countable-union-of-ascending*:

assumes *empty*:  $P \{\}$  and  $Un: \bigwedge T U. \llbracket P T; P U \rrbracket \implies P(T \cup U)$   
 shows (*countable union-of P*)  $S \longleftrightarrow$   
 ( $\exists T. (\forall n. P(T n)) \wedge (\forall n. T n \subseteq T(\text{Suc } n)) \wedge \bigcup(\text{range } T) = S$ ) (is  
 ?lhs=?rhs)  
 ⟨proof⟩

**lemma** *countable-union-of-idem* [simp]:

*countable union-of countable union-of P* = *countable union-of P* (is ?lhs=?rhs)  
 ⟨proof⟩

**lemma** *countable-intersection-of-idem* [simp]:

*countable intersection-of countable intersection-of P* =  
*countable intersection-of P*  
 ⟨proof⟩

**lemma** *countable-union-of-Union*:

$\llbracket \text{countable } \mathcal{U}; \bigwedge S. S \in \mathcal{U} \implies (\text{countable union-of } P) S \rrbracket$   
 $\implies (\text{countable union-of } P) (\bigcup \mathcal{U})$   
 ⟨proof⟩

**lemma** *countable-union-of-UN*:

$\llbracket \text{countable } I; \bigwedge i. i \in I \implies (\text{countable union-of } P) (U i) \rrbracket$   
 $\implies (\text{countable union-of } P) (\bigcup_{i \in I} U i)$   
 ⟨proof⟩

**lemma** *countable-union-of-Un*:

$\llbracket (\text{countable union-of } P) S; (\text{countable union-of } P) T \rrbracket$   
 $\implies (\text{countable union-of } P) (S \cup T)$   
 ⟨proof⟩

**lemma** *countable-intersection-of-Inter*:

$\llbracket \text{countable } \mathcal{U}; \bigwedge S. S \in \mathcal{U} \implies (\text{countable intersection-of } P) S \rrbracket$   
 $\implies (\text{countable intersection-of } P) (\bigcap \mathcal{U})$

*<proof>*

**lemma** *countable-intersection-of-INT:*

[[*countable I*;  $\bigwedge i. i \in I \implies (\text{countable intersection-of } P) (U i)$ ]]  
 $\implies (\text{countable intersection-of } P) (\bigcap_{i \in I}. U i)$

*<proof>*

**lemma** *countable-intersection-of-inter:*

[[*countable intersection-of P S*; *countable intersection-of P T*]]  
 $\implies (\text{countable intersection-of } P) (S \cap T)$

*<proof>*

**lemma** *countable-union-of-Int:*

**assumes** *S*: (*countable union-of P S*) **and** *T*: (*countable union-of P T*)  
**and** *Int*:  $\bigwedge S T. P S \wedge P T \implies P(S \cap T)$

**shows** (*countable union-of P*) (*S*  $\cap$  *T*)

*<proof>*

**lemma** *countable-intersection-of-union:*

**assumes** *S*: (*countable intersection-of P S*) **and** *T*: (*countable intersection-of P*)  
*T*

**and** *Un*:  $\bigwedge S T. P S \wedge P T \implies P(S \cup T)$

**shows** (*countable intersection-of P*) (*S*  $\cup$  *T*)

*<proof>*

end

## 97 Signed division: negative results rounded towards zero rather than minus infinity.

**theory** *Signed-Division*

**imports** *Main*

**begin**

**class** *signed-divide* =

**fixes** *signed-divide* ::  $\langle 'a \Rightarrow 'a \Rightarrow 'a \rangle$  (**infixl**  $\langle \text{sdiv} \rangle$  70)

**class** *signed-modulo* =

**fixes** *signed-modulo* ::  $\langle 'a \Rightarrow 'a \Rightarrow 'a \rangle$  (**infixl**  $\langle \text{smod} \rangle$  70)

**class** *signed-division* = *comm-semiring-1-cancel* + *signed-divide* + *signed-modulo*  
 +

**assumes** *sdiv-mult-smod-eq*:  $\langle a \text{ sdiv } b * b + a \text{ smod } b = a \rangle$

**begin**

**lemma** *mult-sdiv-smod-eq*:

$\langle b * (a \text{ sdiv } b) + a \text{ smod } b = a \rangle$

*<proof>*



**lemma** *smod-sdiv-mult-eq*:

$\langle a \text{ smod } b + a \text{ sdiv } b * b = a \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *smod-mult-sdiv-eq*:

$\langle a \text{ smod } b + b * (a \text{ sdiv } b) = a \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *minus-sdiv-mult-eq-smod*:

$\langle a - a \text{ sdiv } b * b = a \text{ smod } b \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *minus-mult-sdiv-eq-smod*:

$\langle a - b * (a \text{ sdiv } b) = a \text{ smod } b \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *minus-smod-eq-sdiv-mult*:

$\langle a - a \text{ smod } b = a \text{ sdiv } b * b \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *minus-smod-eq-mult-sdiv*:

$\langle a - a \text{ smod } b = b * (a \text{ sdiv } b) \rangle$   
 $\langle \text{proof} \rangle$

**end**

The following specification of division is named “T-division” in [2]. It is motivated by ISO C99, which in turn adopted the typical behavior of hardware modern in the beginning of the 1990ies; but note ISO C99 describes the instance on machine words, not mathematical integers.

**instantiation** *int :: signed-division*

**begin**

**definition** *signed-divide-int* ::  $\langle \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \rangle$

**where**  $\langle k \text{ sdiv } l = \text{sgn } k * \text{sgn } l * (|k| \text{ div } |l|) \rangle$  **for**  $k \ l :: \text{int}$

**definition** *signed-modulo-int* ::  $\langle \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \rangle$

**where**  $\langle k \text{ smod } l = \text{sgn } k * (|k| \text{ mod } |l|) \rangle$  **for**  $k \ l :: \text{int}$

**instance**  $\langle \text{proof} \rangle$

**end**

**lemma** *divide-int-eq-signed-divide-int*:

$\langle k \text{ div } l = k \text{ sdiv } l - \text{of-bool } (l \neq 0 \wedge \text{sgn } k \neq \text{sgn } l \wedge \neg l \text{ dvd } k) \rangle$   
**for**  $k \ l :: \text{int}$   
 $\langle \text{proof} \rangle$

**lemma** *signed-divide-int-eq-divide-int*:

$\langle k \text{ sdiv } l = k \text{ div } l + \text{of-bool } (l \neq 0 \wedge \text{sgn } k \neq \text{sgn } l \wedge \neg l \text{ dvd } k) \rangle$   
**for**  $k \ l :: \text{int}$   
 $\langle \text{proof} \rangle$

**lemma** *modulo-int-eq-signed-modulo-int*:

$\langle k \text{ mod } l = k \text{ smod } l + l * \text{of-bool } (\text{sgn } k \neq \text{sgn } l \wedge \neg l \text{ dvd } k) \rangle$   
**for**  $k \ l :: \text{int}$   
 $\langle \text{proof} \rangle$

**lemma** *signed-modulo-int-eq-modulo-int*:

$\langle k \text{ smod } l = k \text{ mod } l - l * \text{of-bool } (\text{sgn } k \neq \text{sgn } l \wedge \neg l \text{ dvd } k) \rangle$   
**for**  $k \ l :: \text{int}$   
 $\langle \text{proof} \rangle$

**lemma** *sdiv-int-div-0*:

$(x :: \text{int}) \text{ sdiv } 0 = 0$   
 $\langle \text{proof} \rangle$

**lemma** *sdiv-int-0-div [simp]*:

$0 \text{ sdiv } (x :: \text{int}) = 0$   
 $\langle \text{proof} \rangle$

**lemma** *smod-int-alt-def*:

$(a :: \text{int}) \text{ smod } b = \text{sgn } (a) * (\text{abs } a \text{ mod } \text{abs } b)$   
 $\langle \text{proof} \rangle$

**lemma** *int-sdiv-simps [simp]*:

$(a :: \text{int}) \text{ sdiv } 1 = a$   
 $(a :: \text{int}) \text{ sdiv } 0 = 0$   
 $(a :: \text{int}) \text{ sdiv } -1 = -a$   
 $\langle \text{proof} \rangle$

**lemma** *smod-int-mod-0 [simp]*:

$x \text{ smod } (0 :: \text{int}) = x$   
 $\langle \text{proof} \rangle$

**lemma** *smod-int-0-mod [simp]*:

$0 \text{ smod } (x :: \text{int}) = 0$   
 $\langle \text{proof} \rangle$

**lemma** *sgn-sdiv-eq-sgn-mult*:

$a \text{ sdiv } b \neq 0 \implies \text{sgn } ((a :: \text{int}) \text{ sdiv } b) = \text{sgn } (a * b)$   
 $\langle \text{proof} \rangle$

**lemma** *int-sdiv-same-is-1 [simp]*:

$a \neq 0 \implies ((a :: \text{int}) \text{ sdiv } b = a) = (b = 1)$   
 $\langle \text{proof} \rangle$

**lemma** *int-sdiv-negated-is-minus1* [simp]:  
 $a \neq 0 \implies ((a :: \text{int}) \text{ sdiv } b = -a) = (b = -1)$   
 ⟨proof⟩

**lemma** *sdiv-int-range*:  
 $\langle a \text{ sdiv } b \in \{-|a|..|a|\} \rangle$  for  $a \ b :: \text{int}$   
 ⟨proof⟩

**lemma** *smod-int-range*:  
 $\langle a \text{ smod } b \in \{-|b| + 1..|b| - 1\}$   
**if**  $\langle b \neq 0 \rangle$  for  $a \ b :: \text{int}$   
 ⟨proof⟩

**lemma** *smod-int-compares*:  
 $\llbracket 0 \leq a; 0 < b \rrbracket \implies (a :: \text{int}) \text{ smod } b < b$   
 $\llbracket 0 \leq a; 0 < b \rrbracket \implies 0 \leq (a :: \text{int}) \text{ smod } b$   
 $\llbracket a \leq 0; 0 < b \rrbracket \implies -b < (a :: \text{int}) \text{ smod } b$   
 $\llbracket a \leq 0; 0 < b \rrbracket \implies (a :: \text{int}) \text{ smod } b \leq 0$   
 $\llbracket 0 \leq a; b < 0 \rrbracket \implies (a :: \text{int}) \text{ smod } b < -b$   
 $\llbracket 0 \leq a; b < 0 \rrbracket \implies 0 \leq (a :: \text{int}) \text{ smod } b$   
 $\llbracket a \leq 0; b < 0 \rrbracket \implies (a :: \text{int}) \text{ smod } b \leq 0$   
 $\llbracket a \leq 0; b < 0 \rrbracket \implies b \leq (a :: \text{int}) \text{ smod } b$   
 ⟨proof⟩

**lemma** *smod-mod-positive*:  
 $\llbracket 0 \leq (a :: \text{int}); 0 \leq b \rrbracket \implies a \text{ smod } b = a \text{ mod } b$   
 ⟨proof⟩

**lemma** *minus-sdiv-eq* [simp]:  
 $\langle -k \text{ sdiv } l = -(k \text{ sdiv } l) \rangle$  for  $k \ l :: \text{int}$   
 ⟨proof⟩

**lemma** *sdiv-minus-eq* [simp]:  
 $\langle k \text{ sdiv } -l = -(k \text{ sdiv } l) \rangle$  for  $k \ l :: \text{int}$   
 ⟨proof⟩

**lemma** *sdiv-int-numeral-numeral* [simp]:  
 $\langle \text{numeral } m \text{ sdiv numeral } n = \text{numeral } m \text{ div } (\text{numeral } n :: \text{int}) \rangle$   
 ⟨proof⟩

**lemma** *minus-smod-eq* [simp]:  
 $\langle -k \text{ smod } l = -(k \text{ smod } l) \rangle$  for  $k \ l :: \text{int}$   
 ⟨proof⟩

**lemma** *smod-minus-eq* [simp]:  
 $\langle k \text{ smod } -l = k \text{ smod } l \rangle$  for  $k \ l :: \text{int}$   
 ⟨proof⟩

**lemma** *smod-int-numeral-numeral* [simp]:

⟨numeral m smod numeral n = numeral m mod (numeral n :: int)⟩  
 ⟨proof⟩

end

## 98 State monad

**theory** *State-Monad*  
**imports** *Monad-Syntax*  
**begin**

**datatype** (*'s*, *'a*) *state* = *State* (*run-state*: *'s* ⇒ (*'a* × *'s*))

**lemma** *set-state-iff*:  $x \in \text{set-state } m \iff (\exists s s'. \text{run-state } m s = (x, s'))$   
 ⟨proof⟩

**lemma** *pred-stateI*[*intro*]:  
**assumes**  $\bigwedge a s s'. \text{run-state } m s = (a, s') \implies P a$   
**shows** *pred-state* *P* *m*  
 ⟨proof⟩

**lemma** *pred-stateD*[*dest*]:  
**assumes** *pred-state* *P* *m* *run-state* *m* *s* = (*a*, *s'*)  
**shows** *P* *a*  
 ⟨proof⟩

**lemma** *pred-state-run-state*: *pred-state* *P* *m*  $\implies P$  (*fst* (*run-state* *m* *s*))  
 ⟨proof⟩

**definition** *state-io-rel* :: (*'s* ⇒ *'s* ⇒ *bool*) ⇒ (*'s*, *'a*) *state* ⇒ *bool* **where**  
*state-io-rel* *P* *m* = ( $\forall s. P s$  (*snd* (*run-state* *m* *s*)))

**lemma** *state-io-relI*[*intro*]:  
**assumes**  $\bigwedge a s s'. \text{run-state } m s = (a, s') \implies P s s'$   
**shows** *state-io-rel* *P* *m*  
 ⟨proof⟩

**lemma** *state-io-relD*[*dest*]:  
**assumes** *state-io-rel* *P* *m* *run-state* *m* *s* = (*a*, *s'*)  
**shows** *P* *s* *s'*  
 ⟨proof⟩

**lemma** *state-io-rel-mono*[*mono*]:  $P \leq Q \implies \text{state-io-rel } P \leq \text{state-io-rel } Q$   
 ⟨proof⟩

**lemma** *state-ext*:  
**assumes**  $\bigwedge s. \text{run-state } m s = \text{run-state } n s$   
**shows** *m* = *n*  
 ⟨proof⟩

**context begin**

**qualified definition**  $\text{return} :: 'a \Rightarrow ('s, 'a) \text{ state}$  **where**  
 $\text{return } a = \text{State } (\text{Pair } a)$

**lemma**  $\text{run-state-return}[\text{simp}]$ :  $\text{run-state } (\text{return } x) s = (x, s)$   
 $\langle \text{proof} \rangle$  **definition**  $\text{ap} :: ('s, 'a \Rightarrow 'b) \text{ state} \Rightarrow ('s, 'a) \text{ state} \Rightarrow ('s, 'b) \text{ state}$  **where**  
 $\text{ap } f x = \text{State } (\lambda s. \text{case run-state } f s \text{ of } (g, s') \Rightarrow \text{case run-state } x s' \text{ of } (y, s'') \Rightarrow (g y, s''))$

**lemma**  $\text{run-state-ap}[\text{simp}]$ :  
 $\text{run-state } (\text{ap } f x) s = (\text{case run-state } f s \text{ of } (g, s') \Rightarrow \text{case run-state } x s' \text{ of } (y, s'') \Rightarrow (g y, s''))$   
 $\langle \text{proof} \rangle$  **definition**  $\text{bind} :: ('s, 'a) \text{ state} \Rightarrow ('a \Rightarrow ('s, 'b) \text{ state}) \Rightarrow ('s, 'b) \text{ state}$   
**where**  
 $\text{bind } x f = \text{State } (\lambda s. \text{case run-state } x s \text{ of } (a, s') \Rightarrow \text{run-state } (f a) s')$

**lemma**  $\text{run-state-bind}[\text{simp}]$ :  
 $\text{run-state } (\text{bind } x f) s = (\text{case run-state } x s \text{ of } (a, s') \Rightarrow \text{run-state } (f a) s')$   
 $\langle \text{proof} \rangle$

**adhoc-overloading**  $\text{Monad-Syntax.bind}$   $\text{bind}$

**lemma**  $\text{bind-left-identity}[\text{simp}]$ :  $\text{bind } (\text{return } a) f = f a$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{bind-right-identity}[\text{simp}]$ :  $\text{bind } m \text{return} = m$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{bind-assoc}[\text{simp}]$ :  $\text{bind } (\text{bind } m f) g = \text{bind } m (\lambda x. \text{bind } (f x) g)$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{bind-predI}[\text{intro}]$ :  
**assumes**  $\text{pred-state } (\lambda x. \text{pred-state } P (f x)) m$   
**shows**  $\text{pred-state } P (\text{bind } m f)$   
 $\langle \text{proof} \rangle$  **definition**  $\text{get} :: ('s, 's) \text{ state}$  **where**  
 $\text{get} = \text{State } (\lambda s. (s, s))$

**lemma**  $\text{run-state-get}[\text{simp}]$ :  $\text{run-state } \text{get } s = (s, s)$   
 $\langle \text{proof} \rangle$  **definition**  $\text{set} :: 's \Rightarrow ('s, \text{unit}) \text{ state}$  **where**  
 $\text{set } s' = \text{State } (\lambda-. ((), s'))$

**lemma**  $\text{run-state-set}[\text{simp}]$ :  $\text{run-state } (\text{set } s') s = ((), s')$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{get-set}[\text{simp}]$ :  $\text{bind } \text{get } \text{set} = \text{return } ()$   
 $\langle \text{proof} \rangle$

**lemma** *set-set[simp]*:  $\text{bind } (\text{set } s) (\lambda-. \text{set } s') = \text{set } s'$   
 ⟨proof⟩

**lemma** *get-bind-set[simp]*:  $\text{bind } \text{get } (\lambda s. \text{bind } (\text{set } s) (f s)) = \text{bind } \text{get } (\lambda s. f s ())$   
 ⟨proof⟩

**lemma** *get-const[simp]*:  $\text{bind } \text{get } (\lambda-. m) = m$   
 ⟨proof⟩

**fun** *traverse-list* ::  $('a \Rightarrow ('b, 'c) \text{ state}) \Rightarrow 'a \text{ list} \Rightarrow ('b, 'c) \text{ list} \text{ state}$  **where**  
*traverse-list* - [] = return [] |  
*traverse-list* f (x # xs) = do {  
   x ← f x;  
   xs ← *traverse-list* f xs;  
   return (x # xs)  
 }

**lemma** *traverse-list-app[simp]*:  $\text{traverse-list } f (xs @ ys) = \text{do } \{$   
   xs ← *traverse-list* f xs;  
   ys ← *traverse-list* f ys;  
   return (xs @ ys)  
 }  
 ⟨proof⟩

**lemma** *traverse-comp[simp]*:  $\text{traverse-list } (g \circ f) xs = \text{traverse-list } g (\text{map } f xs)$   
 ⟨proof⟩

**abbreviation** *mono-state* ::  $('s::\text{preorder}, 'a) \text{ state} \Rightarrow \text{bool}$  **where**  
*mono-state*  $\equiv \text{state-io-rel } (\leq)$

**abbreviation** *strict-mono-state* ::  $('s::\text{preorder}, 'a) \text{ state} \Rightarrow \text{bool}$  **where**  
*strict-mono-state*  $\equiv \text{state-io-rel } (<)$

**corollary** *strict-mono-implies-mono*:  $\text{strict-mono-state } m \Longrightarrow \text{mono-state } m$   
 ⟨proof⟩

**lemma** *return-mono[simp, intro]*:  $\text{mono-state } (\text{return } x)$   
 ⟨proof⟩

**lemma** *get-mono[simp, intro]*:  $\text{mono-state } \text{get}$   
 ⟨proof⟩

**lemma** *put-mono*:  
**assumes**  $\bigwedge x. s' \geq x$   
**shows**  $\text{mono-state } (\text{set } s')$   
 ⟨proof⟩

**lemma** *map-mono[intro]*:  $\text{mono-state } m \Longrightarrow \text{mono-state } (\text{map-state } f m)$   
 ⟨proof⟩

**lemma** *map-strict-mono*[intro]: *strict-mono-state*  $m \implies \text{strict-mono-state } (\text{map-state } f \ m)$   
 ⟨proof⟩

**lemma** *bind-mono-strong*:  
 assumes *mono-state*  $m$   
 assumes  $\bigwedge x \ s \ s'. \text{run-state } m \ s = (x, \ s') \implies \text{mono-state } (f \ x)$   
 shows *mono-state*  $(\text{bind } m \ f)$   
 ⟨proof⟩

**lemma** *bind-strict-mono-strong1*:  
 assumes *mono-state*  $m$   
 assumes  $\bigwedge x \ s \ s'. \text{run-state } m \ s = (x, \ s') \implies \text{strict-mono-state } (f \ x)$   
 shows *strict-mono-state*  $(\text{bind } m \ f)$   
 ⟨proof⟩

**lemma** *bind-strict-mono-strong2*:  
 assumes *strict-mono-state*  $m$   
 assumes  $\bigwedge x \ s \ s'. \text{run-state } m \ s = (x, \ s') \implies \text{mono-state } (f \ x)$   
 shows *strict-mono-state*  $(\text{bind } m \ f)$   
 ⟨proof⟩

**corollary** *bind-strict-mono-strong*:  
 assumes *strict-mono-state*  $m$   
 assumes  $\bigwedge x \ s \ s'. \text{run-state } m \ s = (x, \ s') \implies \text{strict-mono-state } (f \ x)$   
 shows *strict-mono-state*  $(\text{bind } m \ f)$   
 ⟨proof⟩ **definition** *update*  $:: ('s \Rightarrow 's) \Rightarrow ('s, \ \text{unit}) \ \text{state where}$   
*update*  $f = \text{bind } \text{get } (\text{set } \circ f)$

**lemma** *update-id*[simp]: *update*  $(\lambda x. \ x) = \text{return } ()$   
 ⟨proof⟩

**lemma** *update-comp*[simp]: *bind*  $(\text{update } f) (\lambda-. \ \text{update } g) = \text{update } (g \circ f)$   
 ⟨proof⟩

**lemma** *set-update*[simp]: *bind*  $(\text{set } s) (\lambda-. \ \text{update } f) = \text{set } (f \ s)$   
 ⟨proof⟩

**lemma** *set-bind-update*[simp]: *bind*  $(\text{set } s) (\lambda-. \ \text{bind } (\text{update } f) \ g) = \text{bind } (\text{set } (f \ s)) \ g$   
 ⟨proof⟩

**lemma** *update-mono*:  
 assumes  $\bigwedge x. \ x \leq f \ x$   
 shows *mono-state*  $(\text{update } f)$   
 ⟨proof⟩

**lemma** *update-strict-mono*:

```

  assumes  $\bigwedge x. x < f x$ 
  shows strict-mono-state (update f)
  <proof>

end

end

```

```

theory Comparator
  imports Main
begin

```

## 99 Comparators on linear quasi-orders

### 99.1 Basic properties

```

datatype cmp = Less | Equiv | Greater

```

```

locale comparator =
  fixes cmp :: 'a  $\Rightarrow$  'a  $\Rightarrow$  cmp
  assumes refl [simp]:  $\bigwedge a. \text{cmp } a a = \text{Equiv}$ 
    and trans-equiv:  $\bigwedge a b c. \text{cmp } a b = \text{Equiv} \implies \text{cmp } b c = \text{Equiv} \implies \text{cmp } a c = \text{Equiv}$ 
  assumes trans-less:  $\text{cmp } a b = \text{Less} \implies \text{cmp } b c = \text{Less} \implies \text{cmp } a c = \text{Less}$ 
    and greater-iff-sym-less:  $\bigwedge b a. \text{cmp } b a = \text{Greater} \longleftrightarrow \text{cmp } a b = \text{Less}$ 
begin

```

Dual properties

```

lemma trans-greater:
   $\text{cmp } a c = \text{Greater} \text{ if } \text{cmp } a b = \text{Greater} \text{ and } \text{cmp } b c = \text{Greater}$ 
  <proof>

```

```

lemma less-iff-sym-greater:
   $\text{cmp } b a = \text{Less} \longleftrightarrow \text{cmp } a b = \text{Greater}$ 
  <proof>

```

The equivalence part

```

lemma sym:
   $\text{cmp } b a = \text{Equiv} \longleftrightarrow \text{cmp } a b = \text{Equiv}$ 
  <proof>

```

```

lemma reflp:
   $\text{reflp } (\lambda a b. \text{cmp } a b = \text{Equiv})$ 
  <proof>

```

```

lemma symp:
   $\text{symp } (\lambda a b. \text{cmp } a b = \text{Equiv})$ 
  <proof>

```



**lemma** *transp*:

$\text{transp } (\lambda a b. \text{cmp } a b = \text{Equiv})$   
 $\langle \text{proof} \rangle$

**lemma** *equivp*:

$\text{equivp } (\lambda a b. \text{cmp } a b = \text{Equiv})$   
 $\langle \text{proof} \rangle$

The strict part

**lemma** *irreflp-less*:

$\text{irreflp } (\lambda a b. \text{cmp } a b = \text{Less})$   
 $\langle \text{proof} \rangle$

**lemma** *irreflp-greater*:

$\text{irreflp } (\lambda a b. \text{cmp } a b = \text{Greater})$   
 $\langle \text{proof} \rangle$

**lemma** *asym-less*:

$\text{cmp } b a \neq \text{Less}$  **if**  $\text{cmp } a b = \text{Less}$   
 $\langle \text{proof} \rangle$

**lemma** *asym-greater*:

$\text{cmp } b a \neq \text{Greater}$  **if**  $\text{cmp } a b = \text{Greater}$   
 $\langle \text{proof} \rangle$

**lemma** *asymp-less*:

$\text{asymp } (\lambda a b. \text{cmp } a b = \text{Less})$   
 $\langle \text{proof} \rangle$

**lemma** *asymp-greater*:

$\text{asymp } (\lambda a b. \text{cmp } a b = \text{Greater})$   
 $\langle \text{proof} \rangle$

**lemma** *trans-equiv-less*:

$\text{cmp } a c = \text{Less}$  **if**  $\text{cmp } a b = \text{Equiv}$  **and**  $\text{cmp } b c = \text{Less}$   
 $\langle \text{proof} \rangle$

**lemma** *trans-less-equiv*:

$\text{cmp } a c = \text{Less}$  **if**  $\text{cmp } a b = \text{Less}$  **and**  $\text{cmp } b c = \text{Equiv}$   
 $\langle \text{proof} \rangle$

**lemma** *trans-equiv-greater*:

$\text{cmp } a c = \text{Greater}$  **if**  $\text{cmp } a b = \text{Equiv}$  **and**  $\text{cmp } b c = \text{Greater}$   
 $\langle \text{proof} \rangle$

**lemma** *trans-greater-equiv*:

$\text{cmp } a c = \text{Greater}$  **if**  $\text{cmp } a b = \text{Greater}$  **and**  $\text{cmp } b c = \text{Equiv}$   
 $\langle \text{proof} \rangle$

**lemma** *transp-less*:

*transp* ( $\lambda a b. \text{cmp } a b = \text{Less}$ )  
 $\langle \text{proof} \rangle$

**lemma** *transp-greater*:

*transp* ( $\lambda a b. \text{cmp } a b = \text{Greater}$ )  
 $\langle \text{proof} \rangle$

The reflexive part

**lemma** *reflp-not-less*:

*reflp* ( $\lambda a b. \text{cmp } a b \neq \text{Less}$ )  
 $\langle \text{proof} \rangle$

**lemma** *reflp-not-greater*:

*reflp* ( $\lambda a b. \text{cmp } a b \neq \text{Greater}$ )  
 $\langle \text{proof} \rangle$

**lemma** *quasisym-not-less*:

*cmp*  $a b = \text{Equiv}$  **if** *cmp*  $a b \neq \text{Less}$  **and** *cmp*  $b a \neq \text{Less}$   
 $\langle \text{proof} \rangle$

**lemma** *quasisym-not-greater*:

*cmp*  $a b = \text{Equiv}$  **if** *cmp*  $a b \neq \text{Greater}$  **and** *cmp*  $b a \neq \text{Greater}$   
 $\langle \text{proof} \rangle$

**lemma** *trans-not-less*:

*cmp*  $a c \neq \text{Less}$  **if** *cmp*  $a b \neq \text{Less}$  *cmp*  $b c \neq \text{Less}$   
 $\langle \text{proof} \rangle$

**lemma** *trans-not-greater*:

*cmp*  $a c \neq \text{Greater}$  **if** *cmp*  $a b \neq \text{Greater}$  *cmp*  $b c \neq \text{Greater}$   
 $\langle \text{proof} \rangle$

**lemma** *transp-not-less*:

*transp* ( $\lambda a b. \text{cmp } a b \neq \text{Less}$ )  
 $\langle \text{proof} \rangle$

**lemma** *transp-not-greater*:

*transp* ( $\lambda a b. \text{cmp } a b \neq \text{Greater}$ )  
 $\langle \text{proof} \rangle$

Substitution under equivalences

**lemma** *equiv-subst-left*:

*cmp*  $z y = \text{comp}$   $\longleftrightarrow$  *cmp*  $x y = \text{comp}$  **if** *cmp*  $z x = \text{Equiv}$  **for** *comp*  
 $\langle \text{proof} \rangle$

**lemma** *equiv-subst-right*:

*cmp*  $x z = \text{comp}$   $\longleftrightarrow$  *cmp*  $x y = \text{comp}$  **if** *cmp*  $z y = \text{Equiv}$  **for** *comp*

*<proof>*

**end**

**typedef** *'a comparator* = { *cmp* :: *'a* ⇒ *'a* ⇒ *comp. comparator cmp* }  
**morphisms** *compare Abs-comparator*  
*<proof>*

**setup-lifting** *type-definition-comparator*

**global-interpretation** *compare: comparator compare cmp*  
*<proof>*

**lift-definition** *flat* :: *'a comparator*  
**is**  $\lambda$ - . *Equiv* *<proof>*

**instantiation** *comparator* :: (*linorder*) *default*  
**begin**

**lift-definition** *default-comparator* :: *'a comparator*  
**is**  $\lambda$  *x y. if* *x < y* *then* *Less* *else if* *x > y* *then* *Greater* *else* *Equiv*  
*<proof>*

**instance** *<proof>*

**end**

A rudimentary quickcheck setup

**instantiation** *comparator* :: (*enum*) *equal*  
**begin**

**lift-definition** *equal-comparator* :: *'a comparator* ⇒ *'a comparator* ⇒ *bool*  
**is**  $\lambda$  *f g. ∀ x ∈ set Enum.enum. f x = g x* *<proof>*

**instance**  
*<proof>*

**end**

**lemma** [*code*]:  
*HOL.equal cmp1 cmp2*  $\longleftrightarrow$  *Enum.enum-all* ( $\lambda$  *x. compare cmp1 x = compare*  
*cmp2 x*)  
*<proof>*

**lemma** [*code nbe*]:  
*HOL.equal* (*cmp* :: *'a::enum comparator*) *cmp*  $\longleftrightarrow$  *True*  
*<proof>*

**instantiation** *comparator* :: (*{linorder, typerep}*) *full-exhaustive*

**begin**

**definition** *full-exhaustive-comparator* ::

$(\text{'a comparator} \times (\text{unit} \Rightarrow \text{term}) \Rightarrow (\text{bool} \times \text{term list}) \text{ option})$   
 $\Rightarrow \text{natural} \Rightarrow (\text{bool} \times \text{term list}) \text{ option}$

**where** *full-exhaustive-comparator* *f s* =

*Quickcheck-Exhaustive.orelse*

$(f \text{ (flat, } (\lambda u. \text{ Code-Evaluation.Const (STR "Comparator.flat") TYPEREPL('a comparator))))$

$(f \text{ (default, } (\lambda u. \text{ Code-Evaluation.Const (STR "HOL.default-class.default") TYPEREPL('a comparator))))$

**instance**  $\langle \text{proof} \rangle$

**end**

## 99.2 Fundamental comparator combinators

**lift-definition** *reversed* ::  $\text{'a comparator} \Rightarrow \text{'a comparator}$

**is**  $\lambda \text{cmp } a \ b. \text{ cmp } b \ a$

$\langle \text{proof} \rangle$

**lift-definition** *key* ::  $(\text{'b} \Rightarrow \text{'a}) \Rightarrow \text{'a comparator} \Rightarrow \text{'b comparator}$

**is**  $\lambda f \text{ cmp } a \ b. \text{ cmp } (f \ a) \ (f \ b)$

$\langle \text{proof} \rangle$

## 99.3 Direct implementations for linear orders on selected types

**definition** *comparator-bool* :: *bool comparator*

**where** [*simp*, *code-abbrev*]: *comparator-bool* = *default*

**lemma** *compare-comparator-bool* [*code abstract*]:

*compare comparator-bool* =  $(\lambda p \ q.$

*if p then if q then Equiv else Greater*

*else if q then Less else Equiv)*

$\langle \text{proof} \rangle$

**definition** *raw-comparator-nat* ::  $\text{nat} \Rightarrow \text{nat} \Rightarrow \text{comp}$

**where** [*simp*]: *raw-comparator-nat* = *compare default*

**lemma** *default-comparator-nat* [*simp*, *code*]:

*raw-comparator-nat*  $(0::\text{nat}) \ 0 = \text{Equiv}$

*raw-comparator-nat*  $(\text{Suc } m) \ 0 = \text{Greater}$

*raw-comparator-nat*  $0 \ (\text{Suc } n) = \text{Less}$

*raw-comparator-nat*  $(\text{Suc } m) \ (\text{Suc } n) = \text{raw-comparator-nat } m \ n$

$\langle \text{proof} \rangle$

**definition** *comparator-nat* :: *nat comparator*

**where** [*simp*, *code-abbrev*]: *comparator-nat* = *default*

**lemma** *compare-comparator-nat* [*code abstract*]:  
*compare comparator-nat* = *raw-comparator-nat*  
 ⟨*proof*⟩

**definition** *comparator-linordered-group* :: '*a*::*linordered-ab-group-add comparator*  
**where** [*simp*, *code-abbrev*]: *comparator-linordered-group* = *default*

**lemma** *comparator-linordered-group* [*code abstract*]:  
*compare comparator-linordered-group* = ( $\lambda a b.$   
*let*  $c = a - b$  *in if*  $c < 0$  *then* *Less*  
*else if*  $c = 0$  *then* *Equiv* *else* *Greater*)  
 ⟨*proof*⟩

**end**

**theory** *Sorting-Algorithms*  
**imports** *Main Multiset Comparator*  
**begin**

## 100 Stably sorted lists

**abbreviation** (*input*) *stable-segment* :: '*a comparator*  $\Rightarrow$  '*a*  $\Rightarrow$  '*a list*  $\Rightarrow$  '*a list*  
**where** *stable-segment cmp*  $x \equiv$  *filter* ( $\lambda y. compare\ cmp\ x\ y = Equiv$ )

**fun** *sorted* :: '*a comparator*  $\Rightarrow$  '*a list*  $\Rightarrow$  *bool*  
**where** *sorted-Nil*: *sorted cmp* []  $\longleftrightarrow$  *True*  
 | *sorted-single*: *sorted cmp* [x]  $\longleftrightarrow$  *True*  
 | *sorted-rec*: *sorted cmp* ( $y \# x \# xs$ )  $\longleftrightarrow$  *compare cmp*  $y\ x \neq Greater \wedge sorted$   
*cmp* ( $x \# xs$ )

**lemma** *sorted-ConsI*:  
*sorted cmp* ( $x \# xs$ ) **if** *sorted cmp*  $xs$   
**and**  $\bigwedge y\ ys. xs = y \# ys \implies compare\ cmp\ x\ y \neq Greater$   
 ⟨*proof*⟩

**lemma** *sorted-Cons-imp-sorted*:  
*sorted cmp*  $xs$  **if** *sorted cmp* ( $x \# xs$ )  
 ⟨*proof*⟩

**lemma** *sorted-Cons-imp-not-less*:  
*compare cmp*  $y\ x \neq Greater$  **if** *sorted cmp* ( $y \# xs$ )  
**and**  $x \in set\ xs$   
 ⟨*proof*⟩

**lemma** *sorted-induct* [*consumes 1*, *case-names Nil Cons*, *induct pred: sorted*]:  
*P xs* **if** *sorted cmp*  $xs$  **and**  $P []$

**and** \*:  $\bigwedge x xs. \text{sorted cmp } xs \implies P xs$   
 $\implies (\bigwedge y. y \in \text{set } xs \implies \text{compare cmp } x y \neq \text{Greater}) \implies P (x \# xs)$   
 ⟨proof⟩

**lemma** *sorted-induct-remove1* [consumes 1, case-names Nil minimum]:  
 $P xs$  **if** *sorted cmp*  $xs$  **and**  $P []$   
**and** \*:  $\bigwedge x xs. \text{sorted cmp } xs \implies P (\text{remove1 } x xs)$   
 $\implies x \in \text{set } xs \implies \text{hd } (\text{stable-segment cmp } x xs) = x \implies (\bigwedge y. y \in \text{set } xs \implies$   
*compare cmp*  $x y \neq \text{Greater})$   
 $\implies P xs$   
 ⟨proof⟩

**lemma** *sorted-remove1*:  
*sorted cmp*  $(\text{remove1 } x xs)$  **if** *sorted cmp*  $xs$   
 ⟨proof⟩

**lemma** *sorted-stable-segment*:  
*sorted cmp*  $(\text{stable-segment cmp } x xs)$   
 ⟨proof⟩

**primrec** *insort* :: 'a comparator  $\Rightarrow$  'a  $\Rightarrow$  'a list  $\Rightarrow$  'a list  
**where** *insort cmp*  $y [] = [y]$   
 $| \text{insort cmp } y (x \# xs) = (\text{if compare cmp } y x \neq \text{Greater}$   
   *then*  $y \# x \# xs$   
   *else*  $x \# \text{insort cmp } y xs)$

**lemma** *mset-insort* [simp]:  
 $\text{mset } (\text{insort cmp } x xs) = \text{add-mset } x (\text{mset } xs)$   
 ⟨proof⟩

**lemma** *length-insort* [simp]:  
 $\text{length } (\text{insort cmp } x xs) = \text{Suc } (\text{length } xs)$   
 ⟨proof⟩

**lemma** *sorted-insort*:  
*sorted cmp*  $(\text{insort cmp } x xs)$  **if** *sorted cmp*  $xs$   
 ⟨proof⟩

**lemma** *stable-insort-equiv*:  
 $\text{stable-segment cmp } y (\text{insort cmp } x xs) = x \# \text{stable-segment cmp } y xs$   
**if** *compare cmp*  $y x = \text{Equiv}$   
 ⟨proof⟩

**lemma** *stable-insort-not-equiv*:  
 $\text{stable-segment cmp } y (\text{insort cmp } x xs) = \text{stable-segment cmp } y xs$   
**if** *compare cmp*  $y x \neq \text{Equiv}$   
 ⟨proof⟩

**lemma** *remove1-insort-same-eq* [simp]:

$remove1\ x\ (insort\ cmp\ x\ xs) = xs$   
 ⟨proof⟩

**lemma** *insort-eq-ConsI*:

$insort\ cmp\ x\ xs = x \# xs$   
**if**  $sorted\ cmp\ xs \wedge y. y \in set\ xs \implies compare\ cmp\ x\ y \neq Greater$   
 ⟨proof⟩

**lemma** *remove1-insort-not-same-eq* [simp]:

$remove1\ y\ (insort\ cmp\ x\ xs) = insort\ cmp\ x\ (remove1\ y\ xs)$   
**if**  $sorted\ cmp\ xs\ x \neq y$   
 ⟨proof⟩

**lemma** *insort-remove1-same-eq*:

$insort\ cmp\ x\ (remove1\ x\ xs) = xs$   
**if**  $sorted\ cmp\ xs$  **and**  $x \in set\ xs$  **and**  $hd\ (stable-segment\ cmp\ x\ xs) = x$   
 ⟨proof⟩

**lemma** *sorted-append-iff*:

$sorted\ cmp\ (xs\ @\ ys) \longleftrightarrow sorted\ cmp\ xs \wedge sorted\ cmp\ ys$   
 $\wedge (\forall x \in set\ xs. \forall y \in set\ ys. compare\ cmp\ x\ y \neq Greater)$  (**is**  $?P \longleftrightarrow ?R \wedge ?S \wedge ?Q$ )  
 ⟨proof⟩

**definition** *sort* :: 'a comparator  $\Rightarrow$  'a list  $\Rightarrow$  'a list

**where**  $sort\ cmp\ xs = foldr\ (insort\ cmp)\ xs\ []$

**lemma** *sort-simps* [simp]:

$sort\ cmp\ [] = []$   
 $sort\ cmp\ (x \# xs) = insort\ cmp\ x\ (sort\ cmp\ xs)$   
 ⟨proof⟩

**lemma** *mset-sort* [simp]:

$mset\ (sort\ cmp\ xs) = mset\ xs$   
 ⟨proof⟩

**lemma** *length-sort* [simp]:

$length\ (sort\ cmp\ xs) = length\ xs$   
 ⟨proof⟩

**lemma** *sorted-sort* [simp]:

$sorted\ cmp\ (sort\ cmp\ xs)$   
 ⟨proof⟩

**lemma** *stable-sort*:

$stable-segment\ cmp\ x\ (sort\ cmp\ xs) = stable-segment\ cmp\ x\ xs$   
 ⟨proof⟩

**lemma** *sort-remove1-eq* [simp]:

$sort\ cmp\ (remove1\ x\ xs) = remove1\ x\ (sort\ cmp\ xs)$   
 ⟨proof⟩

**lemma** *set-insort* [simp]:  
 $set\ (insort\ cmp\ x\ xs) = insert\ x\ (set\ xs)$   
 ⟨proof⟩

**lemma** *set-sort* [simp]:  
 $set\ (sort\ cmp\ xs) = set\ xs$   
 ⟨proof⟩

**lemma** *sort-eqI*:  
 $sort\ cmp\ ys = xs$   
**if** *permutation*:  $mset\ ys = mset\ xs$   
**and** *sorted*:  $sorted\ cmp\ xs$   
**and** *stable*:  $\bigwedge y. y \in set\ ys \implies$   
 $stable-segment\ cmp\ y\ ys = stable-segment\ cmp\ y\ xs$   
 ⟨proof⟩

**lemma** *filter-insort*:  
 $filter\ P\ (insort\ cmp\ x\ xs) = insort\ cmp\ x\ (filter\ P\ xs)$   
**if** *sorted*  $cmp\ xs$  **and**  $P\ x$   
 ⟨proof⟩

**lemma** *filter-insort-triv*:  
 $filter\ P\ (insort\ cmp\ x\ xs) = filter\ P\ xs$   
**if**  $\neg P\ x$   
 ⟨proof⟩

**lemma** *filter-sort*:  
 $filter\ P\ (sort\ cmp\ xs) = sort\ cmp\ (filter\ P\ xs)$   
 ⟨proof⟩

## 101 Alternative sorting algorithms

### 101.1 Quicksort

**definition** *quicksort* :: 'a comparator  $\Rightarrow$  'a list  $\Rightarrow$  'a list  
**where** *quicksort-is-sort* [simp]:  $quicksort = sort$

**lemma** *sort-by-quicksort*:  
 $sort = quicksort$   
 ⟨proof⟩

**lemma** *sort-by-quicksort-rec*:  
 $sort\ cmp\ xs = sort\ cmp\ [x \leftarrow xs.\ compare\ cmp\ x\ (xs\ !\ (length\ xs\ div\ 2))] = Less]$   
 @ *stable-segment*  $cmp\ (xs\ !\ (length\ xs\ div\ 2))\ xs$   
 @ *sort*  $cmp\ [x \leftarrow xs.\ compare\ cmp\ x\ (xs\ !\ (length\ xs\ div\ 2))] = Greater]$  (**is** - =  
 ?rhs)



*<proof>*

**context**

**begin**

**qualified definition** *partition* :: 'a comparator  $\Rightarrow$  'a  $\Rightarrow$  'a list  $\Rightarrow$  'a list  $\times$  'a list  $\times$  'a list

**where** *partition cmp pivot xs* =  
 ([*x*  $\leftarrow$  *xs*. compare *cmp x pivot* = *Less*], *stable-segment cmp pivot xs*, [*x*  $\leftarrow$  *xs*.  
 compare *cmp x pivot* = *Greater*])

**qualified lemma** *partition-code* [*code*]:

*partition cmp pivot []* = ([], [], [])  
*partition cmp pivot (x # xs)* =  
 (let (*lts*, *eqs*, *gts*) = *partition cmp pivot xs*  
 in case compare *cmp x pivot* of  
   *Less*  $\Rightarrow$  (*x* # *lts*, *eqs*, *gts*)  
   | *Equiv*  $\Rightarrow$  (*lts*, *x* # *eqs*, *gts*)  
   | *Greater*  $\Rightarrow$  (*lts*, *eqs*, *x* # *gts*))  
*<proof>*

**lemma** *quicksort-code* [*code*]:

*quicksort cmp xs* =  
 (case *xs* of  
   []  $\Rightarrow$  []  
   | [*x*]  $\Rightarrow$  *xs*  
   | [*x*, *y*]  $\Rightarrow$  (if compare *cmp x y*  $\neq$  *Greater* then *xs* else [*y*, *x*])  
   | -  $\Rightarrow$   
     let (*lts*, *eqs*, *gts*) = *partition cmp (xs ! (length xs div 2)) xs*  
     in *quicksort cmp lts* @ *eqs* @ *quicksort cmp gts*)  
*<proof>*

**end**

## 101.2 Mergesort

**definition** *mergesort* :: 'a comparator  $\Rightarrow$  'a list  $\Rightarrow$  'a list

**where** *mergesort-is-sort* [*simp*]: *mergesort* = *sort*

**lemma** *sort-by-mergesort*:

*sort* = *mergesort*  
*<proof>*

**context**

**fixes** *cmp* :: 'a comparator

**begin**

**qualified function** *merge* :: 'a list  $\Rightarrow$  'a list  $\Rightarrow$  'a list

**where** *merge [] ys* = *ys*

```

| merge xs [] = xs
| merge (x # xs) (y # ys) = (if compare cmp x y = Greater
  then y # merge (x # xs) ys else x # merge xs (y # ys))
⟨proof⟩ termination ⟨proof⟩

```

**lemma** *mset-merge*:

```

mset (merge xs ys) = mset xs + mset ys
⟨proof⟩

```

**lemma** *merge-eq-Cons-imp*:

```

xs ≠ [] ∧ z = hd xs ∨ ys ≠ [] ∧ z = hd ys
  if merge xs ys = z # zs
⟨proof⟩

```

**lemma** *filter-merge*:

```

filter P (merge xs ys) = merge (filter P xs) (filter P ys)
  if sorted cmp xs and sorted cmp ys
⟨proof⟩

```

**lemma** *sorted-merge*:

```

sorted cmp (merge xs ys) if sorted cmp xs and sorted cmp ys
⟨proof⟩

```

**lemma** *merge-eq-appendI*:

```

merge xs ys = xs @ ys
  if ∧x y. x ∈ set xs ⇒ y ∈ set ys ⇒ compare cmp x y ≠ Greater
⟨proof⟩

```

**lemma** *merge-stable-segments*:

```

merge (stable-segment cmp l xs) (stable-segment cmp l ys) =
  stable-segment cmp l xs @ stable-segment cmp l ys
⟨proof⟩

```

**lemma** *sort-by-mergesort-rec*:

```

sort cmp xs =
  merge (sort cmp (take (length xs div 2) xs))
    (sort cmp (drop (length xs div 2) xs)) (is - = ?rhs)
⟨proof⟩

```

**lemma** *mergesort-code* [code]:

```

mergesort cmp xs =
  (case xs of
  [] ⇒ []
  | [x] ⇒ xs
  | [x, y] ⇒ (if compare cmp x y ≠ Greater then xs else [y, x])
  | - ⇒
    let
      half = length xs div 2;
      ys = take half xs;

```

```

      zs = drop half xs
    in merge (mergesort cmp ys) (mergesort cmp zs))
⟨proof⟩

end

end

```

## 102 A decision procedure for universal multivariate real arithmetic with addition, multiplication and ordering using semidefinite programming

```

theory Sum-of-Squares
imports Complex-Main
begin

⟨ML⟩

end

```

## 103 A table-based implementation of the reflexive transitive closure

```

theory Transitive-Closure-Table
imports Main
begin

inductive rtrancl-path :: ('a ⇒ 'a ⇒ bool) ⇒ 'a ⇒ 'a list ⇒ 'a ⇒ bool
  for r :: 'a ⇒ 'a ⇒ bool
where
  base: rtrancl-path r x [] x
| step: r x y ⇒ rtrancl-path r y ys z ⇒ rtrancl-path r x (y # ys) z

lemma rtranclp-eq-rtrancl-path: r** x y ⟷ (∃ xs. rtrancl-path r x xs y)
⟨proof⟩

lemma rtrancl-path-trans:
  assumes xy: rtrancl-path r x xs y
  and yz: rtrancl-path r y ys z
  shows rtrancl-path r x (xs @ ys) z ⟨proof⟩

lemma rtrancl-path-appendE:
  assumes xz: rtrancl-path r x (xs @ y # ys) z
  obtains rtrancl-path r x (xs @ [y]) y and rtrancl-path r y ys z
  ⟨proof⟩

```

**lemma** *rtrancl-path-distinct*:

**assumes** *xy*: *rtrancl-path* *r* *x* *xs* *y*

**obtains** *xs'* **where** *rtrancl-path* *r* *x* *xs'* *y* **and** *distinct* (*x* # *xs'*) **and** *set* *xs'*  $\subseteq$  *set* *xs*

*<proof>*

**inductive** *rtrancl-tab* :: ('*a*  $\Rightarrow$  '*a*  $\Rightarrow$  bool)  $\Rightarrow$  '*a* list  $\Rightarrow$  '*a*  $\Rightarrow$  '*a*  $\Rightarrow$  bool

**for** *r* :: '*a*  $\Rightarrow$  '*a*  $\Rightarrow$  bool

**where**

*base*: *rtrancl-tab* *r* *xs* *x* *x*

| *step*: *x*  $\notin$  *set* *xs*  $\Longrightarrow$  *r* *x* *y*  $\Longrightarrow$  *rtrancl-tab* *r* (*x* # *xs*) *y* *z*  $\Longrightarrow$  *rtrancl-tab* *r* *xs* *x* *z*

**lemma** *rtrancl-path-imp-rtrancl-tab*:

**assumes** *path*: *rtrancl-path* *r* *x* *xs* *y*

**and** *x*: *distinct* (*x* # *xs*)

**and** *ys*: (*{x}*  $\cup$  *set* *xs*)  $\cap$  *set* *ys* = *{}*

**shows** *rtrancl-tab* *r* *ys* *x* *y*

*<proof>*

**lemma** *rtrancl-tab-imp-rtrancl-path*:

**assumes** *tab*: *rtrancl-tab* *r* *ys* *x* *y*

**obtains** *xs* **where** *rtrancl-path* *r* *x* *xs* *y*

*<proof>*

**lemma** *rtranclp-eq-rtrancl-tab-nil*:  $r^{**}$  *x* *y*  $\longleftrightarrow$  *rtrancl-tab* *r* [] *x* *y*

*<proof>*

**declare** *rtranclp-rtrancl-eq* [*code del*]

**declare** *rtranclp-eq-rtrancl-tab-nil* [*THEN iffD2*, *code-pred-intro*]

**code-pred** *rtranclp*

*<proof>*

**lemma** *rtrancl-path-Range*:  $\llbracket$  *rtrancl-path* *R* *x* *xs* *y*; *z*  $\in$  *set* *xs*  $\rrbracket \Longrightarrow$  *Range**p* *R* *z*

*<proof>*

**lemma** *rtrancl-path-Range-end*:  $\llbracket$  *rtrancl-path* *R* *x* *xs* *y*; *xs*  $\neq$  []  $\rrbracket \Longrightarrow$  *Range**p* *R* *y*

*<proof>*

**lemma** *rtrancl-path-nth*:

$\llbracket$  *rtrancl-path* *R* *x* *xs* *y*; *i* < *length* *xs*  $\rrbracket \Longrightarrow$  *R* ((*x* # *xs*) ! *i*) (*xs* ! *i*)

*<proof>*

**lemma** *rtrancl-path-last*:  $\llbracket$  *rtrancl-path* *R* *x* *xs* *y*; *xs*  $\neq$  []  $\rrbracket \Longrightarrow$  *last* *xs* = *y*

*<proof>*

**lemma** *rtrancl-path-mono*:

$\llbracket$  *rtrancl-path* *R* *x* *p* *y*;  $\bigwedge x y. R$  *x* *y*  $\Longrightarrow$  *S* *x* *y*  $\rrbracket \Longrightarrow$  *rtrancl-path* *S* *x* *p* *y*

*<proof>*

**end**

## 104 Binary Tree

**theory** *Tree*

**imports** *Main*

**begin**

**datatype** *'a tree* =

*Leaf*  $\langle \rangle$  |

*Node* *'a tree* (value: *'a*) *'a tree* ((1<-,/ -,/ -))

**datatype-compat** *tree*

**primrec** *left* :: *'a tree*  $\Rightarrow$  *'a tree* **where**

*left* (*Node l v r*) = *l* |

*left Leaf* = *Leaf*

**primrec** *right* :: *'a tree*  $\Rightarrow$  *'a tree* **where**

*right* (*Node l v r*) = *r* |

*right Leaf* = *Leaf*

Counting the number of leaves rather than nodes:

**fun** *size1* :: *'a tree*  $\Rightarrow$  *nat* **where**

*size1*  $\langle \rangle$  = 1 |

*size1*  $\langle l, x, r \rangle$  = *size1 l* + *size1 r*

**fun** *subtrees* :: *'a tree*  $\Rightarrow$  *'a tree set* **where**

*subtrees*  $\langle \rangle$  =  $\{ \langle \rangle \}$  |

*subtrees*  $\langle l, a, r \rangle$  =  $\{ \langle l, a, r \rangle \} \cup$  *subtrees l*  $\cup$  *subtrees r*

**fun** *mirror* :: *'a tree*  $\Rightarrow$  *'a tree* **where**

*mirror*  $\langle \rangle$  = *Leaf* |

*mirror*  $\langle l, x, r \rangle$  =  $\langle$ *mirror r, x, mirror l* $\rangle$

**class** *height* = **fixes** *height* :: *'a*  $\Rightarrow$  *nat*

**instantiation** *tree* :: (*type*)*height*

**begin**

**fun** *height-tree* :: *'a tree*  $\Rightarrow$  *nat* **where**

*height Leaf* = 0 |

*height* (*Node l a r*) = *max* (*height l*) (*height r*) + 1

**instance** *<proof>*

**end**

**fun** *min-height* :: 'a tree  $\Rightarrow$  nat **where**  
*min-height* Leaf = 0 |  
*min-height* (Node l - r) = min (min-height l) (min-height r) + 1

**fun** *complete* :: 'a tree  $\Rightarrow$  bool **where**  
*complete* Leaf = True |  
*complete* (Node l x r) = (height l = height r  $\wedge$  *complete* l  $\wedge$  *complete* r)

Almost complete:

**definition** *acomplete* :: 'a tree  $\Rightarrow$  bool **where**  
*acomplete* t = (height t - min-height t  $\leq$  1)

Weight balanced:

**fun** *wbalanced* :: 'a tree  $\Rightarrow$  bool **where**  
*wbalanced* Leaf = True |  
*wbalanced* (Node l x r) = (abs(int(size l) - int(size r))  $\leq$  1  $\wedge$  *wbalanced* l  $\wedge$  *wbalanced* r)

Internal path length:

**fun** *ipl* :: 'a tree  $\Rightarrow$  nat **where**  
*ipl* Leaf = 0 |  
*ipl* (Node l - r) = *ipl* l + size l + *ipl* r + size r

**fun** *preorder* :: 'a tree  $\Rightarrow$  'a list **where**  
*preorder*  $\langle \rangle$  = [] |  
*preorder*  $\langle l, x, r \rangle$  = x # *preorder* l @ *preorder* r

**fun** *inorder* :: 'a tree  $\Rightarrow$  'a list **where**  
*inorder*  $\langle \rangle$  = [] |  
*inorder*  $\langle l, x, r \rangle$  = *inorder* l @ [x] @ *inorder* r

A linear version avoiding append:

**fun** *inorder2* :: 'a tree  $\Rightarrow$  'a list  $\Rightarrow$  'a list **where**  
*inorder2*  $\langle \rangle$  xs = xs |  
*inorder2*  $\langle l, x, r \rangle$  xs = *inorder2* l (x # *inorder2* r xs)

**fun** *postorder* :: 'a tree  $\Rightarrow$  'a list **where**  
*postorder*  $\langle \rangle$  = [] |  
*postorder*  $\langle l, x, r \rangle$  = *postorder* l @ *postorder* r @ [x]

Binary Search Tree:

**fun** *bst-wrt* :: ('a  $\Rightarrow$  'a  $\Rightarrow$  bool)  $\Rightarrow$  'a tree  $\Rightarrow$  bool **where**  
*bst-wrt* P  $\langle \rangle$   $\longleftrightarrow$  True |  
*bst-wrt* P  $\langle l, a, r \rangle$   $\longleftrightarrow$   
 $(\forall x \in \text{set-tree } l. P x a) \wedge (\forall x \in \text{set-tree } r. P a x) \wedge \text{bst-wrt } P l \wedge \text{bst-wrt } P r$

**abbreviation** *bst* :: ('a::linorder) tree  $\Rightarrow$  bool **where**  
*bst*  $\equiv$  *bst-wrt* (<)

**fun** (in *linorder*) *heap* :: 'a tree  $\Rightarrow$  bool **where**  
*heap* Leaf = True |  
*heap* (Node l m r) =  
 (( $\forall x \in \text{set-tree } l \cup \text{set-tree } r. m \leq x$ )  $\wedge$  *heap* l  $\wedge$  *heap* r)

#### 104.1 *map-tree*

**lemma** *eq-map-tree-Leaf[simp]*: *map-tree* f t = Leaf  $\longleftrightarrow$  t = Leaf  
 <proof>

**lemma** *eq-Leaf-map-tree[simp]*: Leaf = *map-tree* f t  $\longleftrightarrow$  t = Leaf  
 <proof>

#### 104.2 *size*

**lemma** *size1-size*: *size1* t = size t + 1  
 <proof>

**lemma** *size1-ge0[simp]*: 0 < *size1* t  
 <proof>

**lemma** *eq-size-0[simp]*: size t = 0  $\longleftrightarrow$  t = Leaf  
 <proof>

**lemma** *eq-0-size[simp]*: 0 = size t  $\longleftrightarrow$  t = Leaf  
 <proof>

**lemma** *neg-Leaf-iff*: (t  $\neq$   $\langle \rangle$ ) = ( $\exists l a r. t = \langle l, a, r \rangle$ )  
 <proof>

**lemma** *size-map-tree[simp]*: size (*map-tree* f t) = size t  
 <proof>

**lemma** *size1-map-tree[simp]*: *size1* (*map-tree* f t) = *size1* t  
 <proof>

#### 104.3 *set-tree*

**lemma** *eq-set-tree-empty[simp]*: *set-tree* t = {}  $\longleftrightarrow$  t = Leaf  
 <proof>

**lemma** *eq-empty-set-tree[simp]*: {} = *set-tree* t  $\longleftrightarrow$  t = Leaf  
 <proof>

**lemma** *finite-set-tree[simp]*: finite(*set-tree* t)  
 <proof>

#### 104.4 *subtrees*

**lemma** *neg-subtrees-empty[simp]*: *subtrees* t  $\neq$  {}

*<proof>*

**lemma** *neq-empty-subtrees[simp]*:  $\{\} \neq \text{subtrees } t$   
*<proof>*

**lemma** *size-subtrees*:  $s \in \text{subtrees } t \implies \text{size } s \leq \text{size } t$   
*<proof>*

**lemma** *set-treeE*:  $a \in \text{set-tree } t \implies \exists l r. \langle l, a, r \rangle \in \text{subtrees } t$   
*<proof>*

**lemma** *Node-notin-subtrees-if[simp]*:  $a \notin \text{set-tree } t \implies \text{Node } l a r \notin \text{subtrees } t$   
*<proof>*

**lemma** *in-set-tree-if*:  $\langle l, a, r \rangle \in \text{subtrees } t \implies a \in \text{set-tree } t$   
*<proof>*

### 104.5 height and min-height

**lemma** *eq-height-0[simp]*:  $\text{height } t = 0 \iff t = \text{Leaf}$   
*<proof>*

**lemma** *eq-0-height[simp]*:  $0 = \text{height } t \iff t = \text{Leaf}$   
*<proof>*

**lemma** *height-map-tree[simp]*:  $\text{height } (\text{map-tree } f t) = \text{height } t$   
*<proof>*

**lemma** *height-le-size-tree*:  $\text{height } t \leq \text{size } (t::'a \text{ tree})$   
*<proof>*

**lemma** *size1-height*:  $\text{size1 } t \leq 2 \wedge \text{height } (t::'a \text{ tree})$   
*<proof>*

**corollary** *size-height*:  $\text{size } t \leq 2 \wedge \text{height } (t::'a \text{ tree}) - 1$   
*<proof>*

**lemma** *height-subtrees*:  $s \in \text{subtrees } t \implies \text{height } s \leq \text{height } t$   
*<proof>*

**lemma** *min-height-le-height*:  $\text{min-height } t \leq \text{height } t$   
*<proof>*

**lemma** *min-height-map-tree[simp]*:  $\text{min-height } (\text{map-tree } f t) = \text{min-height } t$   
*<proof>*

**lemma** *min-height-size1*:  $2 \wedge \text{min-height } t \leq \text{size1 } t$   
*<proof>*



**104.6** *complete*

**lemma** *complete-iff-height*:  $\text{complete } t \longleftrightarrow (\text{min-height } t = \text{height } t)$   
 ⟨proof⟩

**lemma** *size1-if-complete*:  $\text{complete } t \implies \text{size1 } t = 2^{\text{height } t}$   
 ⟨proof⟩

**lemma** *size-if-complete*:  $\text{complete } t \implies \text{size } t = 2^{\text{height } t} - 1$   
 ⟨proof⟩

**lemma** *size1-height-if-incomplete*:  
 $\neg \text{complete } t \implies \text{size1 } t < 2^{\text{height } t}$   
 ⟨proof⟩

**lemma** *complete-iff-min-height*:  $\text{complete } t \longleftrightarrow (\text{height } t = \text{min-height } t)$   
 ⟨proof⟩

**lemma** *min-height-size1-if-incomplete*:  
 $\neg \text{complete } t \implies 2^{\text{min-height } t} < \text{size1 } t$   
 ⟨proof⟩

**lemma** *complete-if-size1-height*:  $\text{size1 } t = 2^{\text{height } t} \implies \text{complete } t$   
 ⟨proof⟩

**lemma** *complete-if-size1-min-height*:  $\text{size1 } t = 2^{\text{min-height } t} \implies \text{complete } t$   
 ⟨proof⟩

**lemma** *complete-iff-size1*:  $\text{complete } t \longleftrightarrow \text{size1 } t = 2^{\text{height } t}$   
 ⟨proof⟩

**104.7** *acomplete*

**lemma** *acomplete-subtreeL*:  $\text{acomplete } (\text{Node } l \ x \ r) \implies \text{acomplete } l$   
 ⟨proof⟩

**lemma** *acomplete-subtreeR*:  $\text{acomplete } (\text{Node } l \ x \ r) \implies \text{acomplete } r$   
 ⟨proof⟩

**lemma** *acomplete-subtrees*:  $\llbracket \text{acomplete } t; s \in \text{subtrees } t \rrbracket \implies \text{acomplete } s$   
 ⟨proof⟩

Balanced trees have optimal height:

**lemma** *acomplete-optimal*:  
**fixes**  $t :: 'a \text{ tree}$  **and**  $t' :: 'b \text{ tree}$   
**assumes**  $\text{acomplete } t$   $\text{size } t \leq \text{size } t'$  **shows**  $\text{height } t \leq \text{height } t'$   
 ⟨proof⟩

**104.8** *wbalanced*

**lemma** *wbalanced-subtrees*:  $\llbracket \text{wbalanced } t; s \in \text{subtrees } t \rrbracket \implies \text{wbalanced } s$   
 ⟨proof⟩

**104.9** *ipl*

The internal path length of a tree:

**lemma** *ipl-if-complete-int*:  
 $\text{complete } t \implies \text{int}(\text{ipl } t) = (\text{int}(\text{height } t) - 2) * 2^{\text{height } t} + 2$   
 ⟨proof⟩

**104.10** List of entries

**lemma** *eq-inorder-Nil[simp]*:  $\text{inorder } t = [] \iff t = \text{Leaf}$   
 ⟨proof⟩

**lemma** *eq-Nil-inorder[simp]*:  $[] = \text{inorder } t \iff t = \text{Leaf}$   
 ⟨proof⟩

**lemma** *set-inorder[simp]*:  $\text{set}(\text{inorder } t) = \text{set-tree } t$   
 ⟨proof⟩

**lemma** *set-preorder[simp]*:  $\text{set}(\text{preorder } t) = \text{set-tree } t$   
 ⟨proof⟩

**lemma** *set-postorder[simp]*:  $\text{set}(\text{postorder } t) = \text{set-tree } t$   
 ⟨proof⟩

**lemma** *length-preorder[simp]*:  $\text{length}(\text{preorder } t) = \text{size } t$   
 ⟨proof⟩

**lemma** *length-inorder[simp]*:  $\text{length}(\text{inorder } t) = \text{size } t$   
 ⟨proof⟩

**lemma** *length-postorder[simp]*:  $\text{length}(\text{postorder } t) = \text{size } t$   
 ⟨proof⟩

**lemma** *preorder-map*:  $\text{preorder}(\text{map-tree } f t) = \text{map } f(\text{preorder } t)$   
 ⟨proof⟩

**lemma** *inorder-map*:  $\text{inorder}(\text{map-tree } f t) = \text{map } f(\text{inorder } t)$   
 ⟨proof⟩

**lemma** *postorder-map*:  $\text{postorder}(\text{map-tree } f t) = \text{map } f(\text{postorder } t)$   
 ⟨proof⟩

**lemma** *inorder2-inorder*:  $\text{inorder2 } t \text{ } xs = \text{inorder } t @ xs$   
 ⟨proof⟩

**104.11 Binary Search Tree**

**lemma** *bst-wrt-mono*:  $(\bigwedge x y. P x y \implies Q x y) \implies \text{bst-wrt } P t \implies \text{bst-wrt } Q t$   
 ⟨proof⟩

**lemma** *bst-wrt-le-if-bst*:  $\text{bst } t \implies \text{bst-wrt } (\leq) t$   
 ⟨proof⟩

**lemma** *bst-wrt-le-iff-sorted*:  $\text{bst-wrt } (\leq) t \iff \text{sorted } (\text{inorder } t)$   
 ⟨proof⟩

**lemma** *bst-iff-sorted-wrt-less*:  $\text{bst } t \iff \text{sorted-wrt } (<) (\text{inorder } t)$   
 ⟨proof⟩

**104.12 heap****104.13 mirror**

**lemma** *mirror-Leaf[simp]*:  $\text{mirror } t = \langle \rangle \iff t = \langle \rangle$   
 ⟨proof⟩

**lemma** *Leaf-mirror[simp]*:  $\langle \rangle = \text{mirror } t \iff t = \langle \rangle$   
 ⟨proof⟩

**lemma** *size-mirror[simp]*:  $\text{size}(\text{mirror } t) = \text{size } t$   
 ⟨proof⟩

**lemma** *size1-mirror[simp]*:  $\text{size1}(\text{mirror } t) = \text{size1 } t$   
 ⟨proof⟩

**lemma** *height-mirror[simp]*:  $\text{height}(\text{mirror } t) = \text{height } t$   
 ⟨proof⟩

**lemma** *min-height-mirror [simp]*:  $\text{min-height } (\text{mirror } t) = \text{min-height } t$   
 ⟨proof⟩

**lemma** *ipl-mirror [simp]*:  $\text{ipl } (\text{mirror } t) = \text{ipl } t$   
 ⟨proof⟩

**lemma** *inorder-mirror*:  $\text{inorder}(\text{mirror } t) = \text{rev}(\text{inorder } t)$   
 ⟨proof⟩

**lemma** *map-mirror*:  $\text{map-tree } f (\text{mirror } t) = \text{mirror } (\text{map-tree } f t)$   
 ⟨proof⟩

**lemma** *mirror-mirror[simp]*:  $\text{mirror}(\text{mirror } t) = t$   
 ⟨proof⟩

**end**

## 105 Multiset of Elements of Binary Tree

```
theory Tree-Multiset
imports Multiset Tree
begin
```

Kept separate from theory *HOL-Library.Tree* to avoid importing all of theory *HOL-Library.Multiset* into *HOL-Library.Tree*. Should be merged if *HOL-Library.Multiset* ever becomes part of *Main*.

```
fun mset-tree :: 'a tree  $\Rightarrow$  'a multiset where
mset-tree Leaf = {#} |
mset-tree (Node l a r) = {#a#} + mset-tree l + mset-tree r
```

```
fun subtrees-mset :: 'a tree  $\Rightarrow$  'a tree multiset where
subtrees-mset Leaf = {#Leaf#} |
subtrees-mset (Node l x r) = add-mset (Node l x r) (subtrees-mset l + subtrees-mset r)
```

```
lemma mset-tree-empty-iff[simp]: mset-tree t = {#}  $\longleftrightarrow$  t = Leaf
<proof>
```

```
lemma set-mset-tree[simp]: set-mset (mset-tree t) = set-tree t
<proof>
```

```
lemma size-mset-tree[simp]: size(mset-tree t) = size t
<proof>
```

```
lemma mset-map-tree: mset-tree (map-tree f t) = image-mset f (mset-tree t)
<proof>
```

```
lemma mset-iff-set-tree:  $x \in \#$  mset-tree t  $\longleftrightarrow$   $x \in$  set-tree t
<proof>
```

```
lemma mset-preorder[simp]: mset (preorder t) = mset-tree t
<proof>
```

```
lemma mset-inorder[simp]: mset (inorder t) = mset-tree t
<proof>
```

```
lemma map-mirror: mset-tree (mirror t) = mset-tree t
<proof>
```

```
lemma in-subtrees-mset-iff[simp]:  $s \in \#$  subtrees-mset t  $\longleftrightarrow$   $s \in$  subtrees t
<proof>
```

```
end
```

```

theory Tree-Real
imports
  Complex-Main
  Tree
begin

```

This theory is separate from *HOL-Library.Tree* because the former is discrete and builds on *Main* whereas this theory builds on *Complex-Main*.

```

lemma size1-height-log:  $\log 2 (size1\ t) \leq height\ t$ 
  <proof>

```

```

lemma min-height-size1-log:  $min-height\ t \leq \log 2 (size1\ t)$ 
  <proof>

```

```

lemma size1-log-if-complete:  $complete\ t \implies height\ t = \log 2 (size1\ t)$ 
  <proof>

```

```

lemma min-height-size1-log-if-incomplete:
   $\neg complete\ t \implies min-height\ t < \log 2 (size1\ t)$ 
  <proof>

```

```

lemma min-height-acomplete: assumes acomplete t
shows  $min-height\ t = nat(floor(\log 2 (size1\ t)))$ 
  <proof>

```

```

lemma height-acomplete: assumes acomplete t
shows  $height\ t = nat(ceiling(\log 2 (size1\ t)))$ 
  <proof>

```

```

lemma acomplete-Node-if-wbal1:
assumes acomplete l acomplete r size l = size r + 1
shows acomplete <l, x, r>
  <proof>

```

```

lemma acomplete-sym:  $acomplete\ \langle l, x, r \rangle \implies acomplete\ \langle r, y, l \rangle$ 
  <proof>

```

```

lemma acomplete-Node-if-wbal2:
assumes acomplete l acomplete r abs(int(size l) - int(size r)) ≤ 1
shows acomplete <l, x, r>
  <proof>

```

```

lemma acomplete-if-wbalanced:  $wbalanced\ t \implies acomplete\ t$ 
  <proof>

```

```

end

```

## 106 Unordered pairs

**theory** *Uprod* **imports** *Main* **begin**

**typedef** (*'a*, *'b*) *commute* = {*f* :: *'a* ⇒ *'a* ⇒ *'b*. ∀ *x y*. *f x y* = *f y x*}  
**morphisms** *apply-commute* *Abs-commute*  
 ⟨*proof*⟩

**setup-lifting** *type-definition-commute*

**lemma** *apply-commute-commute*: *apply-commute f x y* = *apply-commute f y x*  
 ⟨*proof*⟩

**context** **includes** *lifting-syntax* **begin**

**lift-definition** *rel-commute* :: (*'a* ⇒ *'b* ⇒ *bool*) ⇒ (*'c* ⇒ *'d* ⇒ *bool*) ⇒ (*'a*, *'c*)  
*commute* ⇒ (*'b*, *'d*) *commute* ⇒ *bool*  
**is** λ*A B*. *A* ==> *A* ==> *B* ⟨*proof*⟩

**end**

**definition** *eq-upair* :: (*'a* × *'a*) ⇒ (*'a* × *'a*) ⇒ *bool*  
**where** *eq-upair* = (λ(*a*, *b*) (*c*, *d*). *a* = *c* ∧ *b* = *d* ∨ *a* = *d* ∧ *b* = *c*)

**lemma** *eq-upair-simps* [*simp*]:  
*eq-upair* (*a*, *b*) (*c*, *d*) ↔ *a* = *c* ∧ *b* = *d* ∨ *a* = *d* ∧ *b* = *c*  
 ⟨*proof*⟩

**lemma** *equivp-eq-upair*: *equivp eq-upair*  
 ⟨*proof*⟩

**quotient-type** *'a uprod* = *'a* × *'a* / *eq-upair* ⟨*proof*⟩

**lift-definition** *Upair* :: *'a* ⇒ *'a* ⇒ *'a uprod* **is** *Pair* **parametric** *Pair-transfer*[*of*  
*A A* **for** *A*] ⟨*proof*⟩

**lemma** *uprod-exhaust* [*case-names Upair*, *cases type: uprod*]:  
**obtains** *a b* **where** *x* = *Upair a b*  
 ⟨*proof*⟩

**lemma** *Upair-inject* [*simp*]: *Upair a b* = *Upair c d* ↔ *a* = *c* ∧ *b* = *d* ∨ *a* = *d* ∧  
*b* = *c*  
 ⟨*proof*⟩

**code-datatype** *Upair*

**lift-definition** *case-uprod* :: (*'a*, *'b*) *commute* ⇒ *'a uprod* ⇒ *'b* **is** *case-prod*  
**parametric** *case-prod-transfer*[*of A A* **for** *A*] ⟨*proof*⟩

**lemma** *case-uprod-simps* [*simp*, *code*]:  $\text{case-uprod } f \text{ (Upair } x \ y) = \text{apply-commute } f \ x \ y$   
 ⟨*proof*⟩

**lemma** *uprod-split*:  $P \text{ (case-uprod } f \ x) \longleftrightarrow (\forall a \ b. \ x = \text{Upair } a \ b \longrightarrow P \text{ (apply-commute } f \ a \ b))$   
 ⟨*proof*⟩

**lemma** *uprod-split-asm*:  $P \text{ (case-uprod } f \ x) \longleftrightarrow \neg (\exists a \ b. \ x = \text{Upair } a \ b \wedge \neg P \text{ (apply-commute } f \ a \ b))$   
 ⟨*proof*⟩

**lift-definition** *not-equal* :: ('a, bool) *commute is* ( $\neq$ ) ⟨*proof*⟩

**lemma** *apply-not-equal* [*simp*]:  $\text{apply-commute not-equal } x \ y \longleftrightarrow x \neq y$   
 ⟨*proof*⟩

**definition** *proper-uprod* :: 'a *uprod*  $\Rightarrow$  bool  
**where** *proper-uprod* = *case-uprod not-equal*

**lemma** *proper-uprod-simps* [*simp*, *code*]:  $\text{proper-uprod (Upair } x \ y) \longleftrightarrow x \neq y$   
 ⟨*proof*⟩

**context includes** *lifting-syntax begin*

**private lemma** *set-uprod-parametric'*:  
 ( $\text{rel-prod } A \ A \ \text{====>} \ \text{rel-set } A$ ) ( $\lambda(a, b). \ \{a, b\}$ ) ( $\lambda(a, b). \ \{a, b\}$ )  
 ⟨*proof*⟩

**lift-definition** *set-uprod* :: 'a *uprod*  $\Rightarrow$  'a *set is*  $\lambda(a, b). \ \{a, b\}$   
**parametric** *set-uprod-parametric'* ⟨*proof*⟩

**lemma** *set-uprod-simps* [*simp*, *code*]:  $\text{set-uprod (Upair } x \ y) = \{x, y\}$   
 ⟨*proof*⟩

**lemma** *finite-set-uprod* [*simp*]:  $\text{finite (set-uprod } x)$   
 ⟨*proof*⟩ **lemma** *map-uprod-parametric'*:  
 ( $(A \ \text{====>} \ B) \ \text{====>} \ \text{rel-prod } A \ A \ \text{====>} \ \text{rel-prod } B \ B$ ) ( $\lambda f. \ \text{map-prod } f \ f$ ) ( $\lambda f. \ \text{map-prod } f \ f$ )  
 ⟨*proof*⟩

**lift-definition** *map-uprod* :: ('a  $\Rightarrow$  'b)  $\Rightarrow$  'a *uprod*  $\Rightarrow$  'b *uprod is*  $\lambda f. \ \text{map-prod } f \ f$   
**parametric** *map-uprod-parametric'* ⟨*proof*⟩

**lemma** *map-uprod-simps* [*simp*, *code*]:  $\text{map-uprod } f \ \text{(Upair } x \ y) = \text{Upair (f } x) \ \text{(f } y)$   
 ⟨*proof*⟩ **lemma** *rel-uprod-transfer'*:  
 ( $(A \ \text{====>} \ B \ \text{====>} \ (=)) \ \text{====>} \ \text{rel-prod } A \ A \ \text{====>} \ \text{rel-prod } B \ B \ \text{====>} \ (=)$ )  
 (=)

$(\lambda R (a, b) (c, d). R a c \wedge R b d \vee R a d \wedge R b c) (\lambda R (a, b) (c, d). R a c \wedge R b d \vee R a d \wedge R b c)$   
 $\langle proof \rangle$

**lift-definition**  $rel-uprod :: ('a \Rightarrow 'b \Rightarrow bool) \Rightarrow 'a\ uprod \Rightarrow 'b\ uprod \Rightarrow bool$   
**is**  $\lambda R (a, b) (c, d). R a c \wedge R b d \vee R a d \wedge R b c$  **parametric**  $rel-uprod-transfer'$   
 $\langle proof \rangle$

**lemma**  $rel-uprod-simps$  [*simp, code*]:  
 $rel-uprod R (Upair a b) (Upair c d) \longleftrightarrow R a c \wedge R b d \vee R a d \wedge R b c$   
 $\langle proof \rangle$

**lemma**  $Upair-parametric$  [*transfer-rule*]:  $(A \implies A \implies rel-uprod A) Upair$   
 $Upair$   
 $\langle proof \rangle$

**lemma**  $case-uprod-parametric$  [*transfer-rule*]:  
 $(rel-commute A B \implies rel-uprod A \implies B) case-uprod case-uprod$   
 $\langle proof \rangle$

**end**

**bnf**  $uprod: 'a\ uprod$   
 $map: map-uprod$   
 $sets: set-uprod$   
 $bd: natLeq$   
 $rel: rel-uprod$   
 $\langle proof \rangle$

**lemma**  $pred-uprod-code$  [*simp, code*]:  $pred-uprod P (Upair x y) \longleftrightarrow P x \wedge P y$   
 $\langle proof \rangle$

**instantiation**  $uprod :: (equal) equal\ begin$

**definition**  $equal-uprod :: 'a\ uprod \Rightarrow 'a\ uprod \Rightarrow bool$   
**where**  $equal-uprod = (=)$

**lemma**  $equal-uprod-code$  [*code*]:  
 $HOL.equal (Upair x y) (Upair z u) \longleftrightarrow x = z \wedge y = u \vee x = u \wedge y = z$   
 $\langle proof \rangle$

**instance**  $\langle proof \rangle$   
**end**

**quickcheck-generator**  $uprod\ constructors: Upair$

**lemma**  $UNIV-uprod: UNIV = (\lambda x. Upair x x) ' UNIV \cup (\lambda(x, y). Upair x y) '$   
 $Sigma UNIV (\lambda x. UNIV - \{x\})$   
 $\langle proof \rangle$



**context begin**

**private lift-definition** *upair-inv* :: 'a uprod  $\Rightarrow$  'a

**is**  $\lambda(x, y). \text{if } x = y \text{ then } x \text{ else undefined}$   $\langle \text{proof} \rangle$

**lemma** *finite-UNIV-prod* [*simp*]:

*finite* (UNIV :: 'a uprod set)  $\longleftrightarrow$  *finite* (UNIV :: 'a set) (**is** ?lhs = ?rhs)  
 $\langle \text{proof} \rangle$

**end**

**lemma** *card-UNIV-uprod*:

*card* (UNIV :: 'a uprod set) = *card* (UNIV :: 'a set) \* (*card* (UNIV :: 'a set) + 1) *div* 2

(**is** ?UPROD = ?A \* - *div* -)

$\langle \text{proof} \rangle$

**end**

## 107 A type of finite bit strings

**theory** *Word*

**imports**

*HOL-Library.Type-Length*

**begin**

### 107.1 Preliminaries

**lemma** *signed-take-bit-decr-length-iff*:

$\langle \text{signed-take-bit (LENGTH('a::len) - Suc 0) } k = \text{signed-take-bit (LENGTH('a) - Suc 0) } l$

$\longleftrightarrow \text{take-bit LENGTH('a) } k = \text{take-bit LENGTH('a) } l \rangle$

$\langle \text{proof} \rangle$

### 107.2 Fundamentals

#### 107.2.1 Type definition

**quotient-type (overloaded)** 'a *word* = *int* /  $\langle \lambda k l. \text{take-bit LENGTH('a) } k = \text{take-bit LENGTH('a::len) } l \rangle$

**morphisms** *rep* *Word*  $\langle \text{proof} \rangle$

**hide-const (open)** *rep* — only for foundational purpose

**hide-const (open)** *Word* — only for code generation

#### 107.2.2 Basic arithmetic

**instantiation** *word* :: (*len*) *comm-ring-1*

**begin**

```

lift-definition zero-word :: ⟨'a word⟩
  is 0 ⟨proof⟩

lift-definition one-word :: ⟨'a word⟩
  is 1 ⟨proof⟩

lift-definition plus-word :: ⟨'a word ⇒ 'a word ⇒ 'a word⟩
  is ⟨(+)⟩
  ⟨proof⟩

lift-definition minus-word :: ⟨'a word ⇒ 'a word ⇒ 'a word⟩
  is ⟨(-)⟩
  ⟨proof⟩

lift-definition uminus-word :: ⟨'a word ⇒ 'a word⟩
  is uminus
  ⟨proof⟩

lift-definition times-word :: ⟨'a word ⇒ 'a word ⇒ 'a word⟩
  is ⟨(*)⟩
  ⟨proof⟩

instance
  ⟨proof⟩

end

context
  includes lifting-syntax
  notes
    power-transfer [transfer-rule]
    transfer-rule-of-bool [transfer-rule]
    transfer-rule-numeral [transfer-rule]
    transfer-rule-of-nat [transfer-rule]
    transfer-rule-of-int [transfer-rule]
  begin

lemma power-transfer-word [transfer-rule]:
  ⟨(pcr-word ==> (=) ==> pcr-word) (∧) (∧)⟩
  ⟨proof⟩

lemma [transfer-rule]:
  ⟨((=) ==> pcr-word) of-bool of-bool⟩
  ⟨proof⟩

lemma [transfer-rule]:
  ⟨((=) ==> pcr-word) numeral numeral⟩
  ⟨proof⟩

```

```

lemma [transfer-rule]:
  ⟨((=) ===> pcr-word) int of-nat⟩
  ⟨proof⟩

lemma [transfer-rule]:
  ⟨((=) ===> pcr-word) (λk. k) of-int⟩
  ⟨proof⟩

lemma [transfer-rule]:
  ⟨(pcr-word ===> (←→)) even ((dvd) 2 :: 'a::len word ⇒ bool)⟩
  ⟨proof⟩

end

```

```

lemma exp-eq-zero-iff [simp]:
  ⟨2 ^ n = (0 :: 'a::len word) ←→ n ≥ LENGTH('a)⟩
  ⟨proof⟩

```

```

lemma word-exp-length-eq-0 [simp]:
  ⟨(2 :: 'a::len word) ^ LENGTH('a) = 0⟩
  ⟨proof⟩

```

### 107.2.3 Basic tool setup

```
⟨ML⟩
```

### 107.2.4 Basic code generation setup

```

context
begin

```

```

qualified lift-definition the-int :: ⟨'a::len word ⇒ int⟩
  is ⟨take-bit LENGTH('a)⟩ ⟨proof⟩

```

```
end
```

```

lemma [code abstype]:
  ⟨Word.Word (Word.the-int w) = w⟩
  ⟨proof⟩

```

```

lemma Word-eq-word-of-int [code-post, simp]:
  ⟨Word.Word = of-int⟩
  ⟨proof⟩

```

```

quickcheck-generator word
  constructors:
    ⟨0 :: 'a::len word⟩,
    ⟨numeral :: num ⇒ 'a::len word⟩

```

```

instantiation word :: (len) equal

```

**begin**

**lift-definition** *equal-word* ::  $\langle 'a \text{ word} \Rightarrow 'a \text{ word} \Rightarrow \text{bool} \rangle$   
**is**  $\langle \lambda k l. \text{take-bit } LENGTH('a) k = \text{take-bit } LENGTH('a) l \rangle$   
 $\langle \text{proof} \rangle$

**instance**  
 $\langle \text{proof} \rangle$

**end**

**lemma** [*code*]:  
 $\langle HOL.equal v w \longleftrightarrow HOL.equal (Word.the-int v) (Word.the-int w) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** [*code*]:  
 $\langle Word.the-int 0 = 0 \rangle$   
 $\langle \text{proof} \rangle$

**lemma** [*code*]:  
 $\langle Word.the-int 1 = 1 \rangle$   
 $\langle \text{proof} \rangle$

**lemma** [*code*]:  
 $\langle Word.the-int (v + w) = \text{take-bit } LENGTH('a) (Word.the-int v + Word.the-int w) \rangle$   
**for**  $v w :: \langle 'a::len \text{ word} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** [*code*]:  
 $\langle Word.the-int (- w) = (\text{let } k = Word.the-int w \text{ in if } w = 0 \text{ then } 0 \text{ else } 2 \wedge LENGTH('a) - k) \rangle$   
**for**  $w :: \langle 'a::len \text{ word} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** [*code*]:  
 $\langle Word.the-int (v - w) = \text{take-bit } LENGTH('a) (Word.the-int v - Word.the-int w) \rangle$   
**for**  $v w :: \langle 'a::len \text{ word} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** [*code*]:  
 $\langle Word.the-int (v * w) = \text{take-bit } LENGTH('a) (Word.the-int v * Word.the-int w) \rangle$   
**for**  $v w :: \langle 'a::len \text{ word} \rangle$   
 $\langle \text{proof} \rangle$

**107.2.5 Basic conversions**

**abbreviation** *word-of-nat* ::  $\langle \text{nat} \Rightarrow 'a::\text{len word} \rangle$

**where**  $\langle \text{word-of-nat} \equiv \text{of-nat} \rangle$

**abbreviation** *word-of-int* ::  $\langle \text{int} \Rightarrow 'a::\text{len word} \rangle$

**where**  $\langle \text{word-of-int} \equiv \text{of-int} \rangle$

**lemma** *word-of-nat-eq-iff*:

$\langle \text{word-of-nat } m = (\text{word-of-nat } n :: 'a::\text{len word}) \longleftrightarrow \text{take-bit LENGTH('a) } m = \text{take-bit LENGTH('a) } n \rangle$

$\langle \text{proof} \rangle$

**lemma** *word-of-int-eq-iff*:

$\langle \text{word-of-int } k = (\text{word-of-int } l :: 'a::\text{len word}) \longleftrightarrow \text{take-bit LENGTH('a) } k = \text{take-bit LENGTH('a) } l \rangle$

$\langle \text{proof} \rangle$

**lemma** *word-of-nat-eq-0-iff*:

$\langle \text{word-of-nat } n = (0 :: 'a::\text{len word}) \longleftrightarrow 2 \wedge \text{LENGTH('a) } \text{dvd } n \rangle$

$\langle \text{proof} \rangle$

**lemma** *word-of-int-eq-0-iff*:

$\langle \text{word-of-int } k = (0 :: 'a::\text{len word}) \longleftrightarrow 2 \wedge \text{LENGTH('a) } \text{dvd } k \rangle$

$\langle \text{proof} \rangle$

**context** *semiring-1*

**begin**

**lift-definition** *unsigned* ::  $\langle 'b::\text{len word} \Rightarrow 'a \rangle$

**is**  $\langle \text{of-nat} \circ \text{nat} \circ \text{take-bit LENGTH('b)} \rangle$

$\langle \text{proof} \rangle$

**lemma** *unsigned-0* [*simp*]:

$\langle \text{unsigned } 0 = 0 \rangle$

$\langle \text{proof} \rangle$

**lemma** *unsigned-1* [*simp*]:

$\langle \text{unsigned } 1 = 1 \rangle$

$\langle \text{proof} \rangle$

**lemma** *unsigned-numeral* [*simp*]:

$\langle \text{unsigned } (\text{numeral } n :: 'b::\text{len word}) = \text{of-nat } (\text{take-bit LENGTH('b) } (\text{numeral } n)) \rangle$

$\langle \text{proof} \rangle$

**lemma** *unsigned-neg-numeral* [*simp*]:

$\langle \text{unsigned } (- \text{numeral } n :: 'b::\text{len word}) = \text{of-nat } (\text{nat } (\text{take-bit LENGTH('b) } (- \text{numeral } n))) \rangle$

$\langle \text{proof} \rangle$

**end**

**context** *semiring-1*  
**begin**

**lemma** *unsigned-of-nat*:

$\langle \text{unsigned} (\text{word-of-nat } n :: 'b::\text{len word}) = \text{of-nat} (\text{take-bit LENGTH('b) } n) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *unsigned-of-int*:

$\langle \text{unsigned} (\text{word-of-int } k :: 'b::\text{len word}) = \text{of-nat} (\text{nat} (\text{take-bit LENGTH('b) } k)) \rangle$   
 $\langle \text{proof} \rangle$

**end**

**context** *semiring-char-0*  
**begin**

**lemma** *unsigned-word-eqI*:

$\langle v = w \rangle$  **if**  $\langle \text{unsigned } v = \text{unsigned } w \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *word-eq-iff-unsigned*:

$\langle v = w \longleftrightarrow \text{unsigned } v = \text{unsigned } w \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *inj-unsigned* [*simp*]:

$\langle \text{inj unsigned} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *unsigned-eq-0-iff*:

$\langle \text{unsigned } w = 0 \longleftrightarrow w = 0 \rangle$   
 $\langle \text{proof} \rangle$

**end**

**context** *ring-1*  
**begin**

**lift-definition** *signed* ::  $\langle 'b::\text{len word} \Rightarrow 'a \rangle$

**is**  $\langle \text{of-int} \circ \text{signed-take-bit} (\text{LENGTH('b)} - \text{Suc } 0) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *signed-0* [*simp*]:

$\langle \text{signed } 0 = 0 \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *signed-1* [*simp*]:

$\langle \text{signed } (1 :: 'b::\text{len word}) = (\text{if } \text{LENGTH}('b) = 1 \text{ then } - 1 \text{ else } 1) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *signed-minus-1* [*simp*]:

$\langle \text{signed } (- 1 :: 'b::\text{len word}) = - 1 \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *signed-numeral* [*simp*]:

$\langle \text{signed } (\text{numeral } n :: 'b::\text{len word}) = \text{of-int } (\text{signed-take-bit } (\text{LENGTH}('b) - 1) (\text{numeral } n)) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *signed-neg-numeral* [*simp*]:

$\langle \text{signed } (- \text{numeral } n :: 'b::\text{len word}) = \text{of-int } (\text{signed-take-bit } (\text{LENGTH}('b) - 1) (- \text{numeral } n)) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *signed-of-nat*:

$\langle \text{signed } (\text{word-of-nat } n :: 'b::\text{len word}) = \text{of-int } (\text{signed-take-bit } (\text{LENGTH}('b) - \text{Suc } 0) (\text{int } n)) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *signed-of-int*:

$\langle \text{signed } (\text{word-of-int } n :: 'b::\text{len word}) = \text{of-int } (\text{signed-take-bit } (\text{LENGTH}('b) - \text{Suc } 0) n) \rangle$   
 $\langle \text{proof} \rangle$

**end**

**context** *ring-char-0*

**begin**

**lemma** *signed-word-eqI*:

$\langle v = w \rangle \text{ if } \langle \text{signed } v = \text{signed } w \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *word-eq-iff-signed*:

$\langle v = w \iff \text{signed } v = \text{signed } w \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *inj-signed* [*simp*]:

$\langle \text{inj signed} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *signed-eq-0-iff*:

$\langle \text{signed } w = 0 \iff w = 0 \rangle$   
 $\langle \text{proof} \rangle$

**end**

**abbreviation**  $unat :: \langle 'a::len\ word \Rightarrow nat \rangle$   
**where**  $\langle unat \equiv unsigned \rangle$

**abbreviation**  $uint :: \langle 'a::len\ word \Rightarrow int \rangle$   
**where**  $\langle uint \equiv unsigned \rangle$

**abbreviation**  $sint :: \langle 'a::len\ word \Rightarrow int \rangle$   
**where**  $\langle sint \equiv signed \rangle$

**abbreviation**  $ucast :: \langle 'a::len\ word \Rightarrow 'b::len\ word \rangle$   
**where**  $\langle ucast \equiv unsigned \rangle$

**abbreviation**  $scast :: \langle 'a::len\ word \Rightarrow 'b::len\ word \rangle$   
**where**  $\langle scast \equiv signed \rangle$

**context**

**includes** *lifting-syntax*

**begin**

**lemma** [*transfer-rule*]:

$\langle (pcr-word ==> (=)) (nat \circ take-bit\ LENGTH('a)) (unat :: 'a::len\ word \Rightarrow nat) \rangle$   
 $\langle proof \rangle$

**lemma** [*transfer-rule*]:

$\langle (pcr-word ==> (=)) (take-bit\ LENGTH('a)) (uint :: 'a::len\ word \Rightarrow int) \rangle$   
 $\langle proof \rangle$

**lemma** [*transfer-rule*]:

$\langle (pcr-word ==> (=)) (signed-take-bit\ (LENGTH('a) - Suc\ 0)) (sint :: 'a::len\ word \Rightarrow int) \rangle$   
 $\langle proof \rangle$

**lemma** [*transfer-rule*]:

$\langle (pcr-word ==> pcr-word) (take-bit\ LENGTH('a)) (ucast :: 'a::len\ word \Rightarrow 'b::len\ word) \rangle$   
 $\langle proof \rangle$

**lemma** [*transfer-rule*]:

$\langle (pcr-word ==> pcr-word) (signed-take-bit\ (LENGTH('a) - Suc\ 0)) (scast :: 'a::len\ word \Rightarrow 'b::len\ word) \rangle$   
 $\langle proof \rangle$

**end**

**lemma** *of-nat-unat* [*simp*]:

$\langle of-nat\ (unat\ w) = unsigned\ w \rangle$   
 $\langle proof \rangle$



**lemma** *of-int-uint* [simp]:  
 $\langle \text{of-int } (\text{uint } w) = \text{unsigned } w \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *of-int-sint* [simp]:  
 $\langle \text{of-int } (\text{sint } a) = \text{signed } a \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *nat-uint-eq* [simp]:  
 $\langle \text{nat } (\text{uint } w) = \text{unat } w \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *sgn-uint-eq* [simp]:  
 $\langle \text{sgn } (\text{uint } w) = \text{of-bool } (w \neq 0) \rangle$   
 $\langle \text{proof} \rangle$

Aliases only for code generation

**context**  
**begin**

**qualified lift-definition** *of-int* ::  $\langle \text{int} \Rightarrow 'a::\text{len word} \rangle$   
**is**  $\langle \text{take-bit } \text{LENGTH}('a) \rangle \langle \text{proof} \rangle$  **lift-definition** *of-nat* ::  $\langle \text{nat} \Rightarrow 'a::\text{len word} \rangle$   
**is**  $\langle \text{int} \circ \text{take-bit } \text{LENGTH}('a) \rangle \langle \text{proof} \rangle$  **lift-definition** *the-nat* ::  $\langle 'a::\text{len word} \Rightarrow \text{nat} \rangle$   
**is**  $\langle \text{nat} \circ \text{take-bit } \text{LENGTH}('a) \rangle \langle \text{proof} \rangle$  **lift-definition** *the-signed-int* ::  $\langle 'a::\text{len word} \Rightarrow \text{int} \rangle$   
**is**  $\langle \text{signed-take-bit } (\text{LENGTH}('a) - \text{Suc } 0) \rangle \langle \text{proof} \rangle$  **lift-definition** *cast* ::  $\langle 'a::\text{len word} \Rightarrow 'b::\text{len word} \rangle$   
**is**  $\langle \text{take-bit } \text{LENGTH}('a) \rangle \langle \text{proof} \rangle$  **lift-definition** *signed-cast* ::  $\langle 'a::\text{len word} \Rightarrow 'b::\text{len word} \rangle$   
**is**  $\langle \text{signed-take-bit } (\text{LENGTH}('a) - \text{Suc } 0) \rangle \langle \text{proof} \rangle$

**end**

**lemma** [code-abbrev, simp]:  
 $\langle \text{Word.the-int} = \text{uint} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** [code]:  
 $\langle \text{Word.the-int } (\text{Word.of-int } k :: 'a::\text{len word}) = \text{take-bit } \text{LENGTH}('a) k \rangle$   
 $\langle \text{proof} \rangle$

**lemma** [code-abbrev, simp]:  
 $\langle \text{Word.of-int} = \text{word-of-int} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** [code]:  
 $\langle \text{Word.the-int } (\text{Word.of-nat } n :: 'a::\text{len word}) = \text{take-bit } \text{LENGTH}('a) (\text{int } n) \rangle$

⟨*proof*⟩

**lemma** [*code-abbrev, simp*]:  
 ⟨*Word.of-nat = word-of-nat*⟩  
 ⟨*proof*⟩

**lemma** [*code*]:  
 ⟨*Word.the-nat w = nat (Word.the-int w)*⟩  
 ⟨*proof*⟩

**lemma** [*code-abbrev, simp*]:  
 ⟨*Word.the-nat = unat*⟩  
 ⟨*proof*⟩

**lemma** [*code*]:  
 ⟨*Word.the-signed-int w = signed-take-bit (LENGTH('a) - Suc 0) (Word.the-int w)*⟩  
**for** *w :: 'a::len word*  
 ⟨*proof*⟩

**lemma** [*code-abbrev, simp*]:  
 ⟨*Word.the-signed-int = sint*⟩  
 ⟨*proof*⟩

**lemma** [*code*]:  
 ⟨*Word.the-int (Word.cast w :: 'b::len word) = take-bit LENGTH('b) (Word.the-int w)*⟩  
**for** *w :: 'a::len word*  
 ⟨*proof*⟩

**lemma** [*code-abbrev, simp*]:  
 ⟨*Word.cast = ucast*⟩  
 ⟨*proof*⟩

**lemma** [*code*]:  
 ⟨*Word.the-int (Word.signed-cast w :: 'b::len word) = take-bit LENGTH('b) (Word.the-signed-int w)*⟩  
**for** *w :: 'a::len word*  
 ⟨*proof*⟩

**lemma** [*code-abbrev, simp*]:  
 ⟨*Word.signed-cast = scast*⟩  
 ⟨*proof*⟩

**lemma** [*code*]:  
 ⟨*unsigned w = of-nat (nat (Word.the-int w))*⟩  
 ⟨*proof*⟩

**lemma** [*code*]:

⟨signed w = of-int (Word.the-signed-int w)⟩  
 ⟨proof⟩

### 107.2.6 Basic ordering

**instantiation** word :: (len) linorder  
**begin**

**lift-definition** less-eq-word :: 'a word ⇒ 'a word ⇒ bool  
**is** λa b. take-bit LENGTH('a) a ≤ take-bit LENGTH('a) b  
 ⟨proof⟩

**lift-definition** less-word :: 'a word ⇒ 'a word ⇒ bool  
**is** λa b. take-bit LENGTH('a) a < take-bit LENGTH('a) b  
 ⟨proof⟩

**instance**  
 ⟨proof⟩

**end**

**interpretation** word-order: ordering-top ⟨(≤)⟩ ⟨(<)⟩ ⟨- 1 :: 'a::len word⟩  
 ⟨proof⟩

**interpretation** word-coorder: ordering-top ⟨(≥)⟩ ⟨(>)⟩ ⟨0 :: 'a::len word⟩  
 ⟨proof⟩

**lemma** word-of-nat-less-eq-iff:  
 ⟨word-of-nat m ≤ (word-of-nat n :: 'a::len word) ⟷ take-bit LENGTH('a) m  
 ≤ take-bit LENGTH('a) n⟩  
 ⟨proof⟩

**lemma** word-of-int-less-eq-iff:  
 ⟨word-of-int k ≤ (word-of-int l :: 'a::len word) ⟷ take-bit LENGTH('a) k ≤  
 take-bit LENGTH('a) l⟩  
 ⟨proof⟩

**lemma** word-of-nat-less-iff:  
 ⟨word-of-nat m < (word-of-nat n :: 'a::len word) ⟷ take-bit LENGTH('a) m  
 < take-bit LENGTH('a) n⟩  
 ⟨proof⟩

**lemma** word-of-int-less-iff:  
 ⟨word-of-int k < (word-of-int l :: 'a::len word) ⟷ take-bit LENGTH('a) k <  
 take-bit LENGTH('a) l⟩  
 ⟨proof⟩

**lemma** word-le-def [code]:  
 a ≤ b ⟷ uint a ≤ uint b

⟨proof⟩

**lemma** *word-less-def* [code]:

$a < b \longleftrightarrow \text{uint } a < \text{uint } b$

⟨proof⟩

**lemma** *word-greater-zero-iff*:

$\langle a > 0 \longleftrightarrow a \neq 0 \rangle$  **for**  $a :: \langle 'a::\text{len word} \rangle$

⟨proof⟩

**lemma** *of-nat-word-less-eq-iff*:

$\langle \text{of-nat } m \leq (\text{of-nat } n :: 'a::\text{len word}) \longleftrightarrow \text{take-bit } \text{LENGTH}('a) \ m \leq \text{take-bit } \text{LENGTH}('a) \ n \rangle$

⟨proof⟩

**lemma** *of-nat-word-less-iff*:

$\langle \text{of-nat } m < (\text{of-nat } n :: 'a::\text{len word}) \longleftrightarrow \text{take-bit } \text{LENGTH}('a) \ m < \text{take-bit } \text{LENGTH}('a) \ n \rangle$

⟨proof⟩

**lemma** *of-int-word-less-eq-iff*:

$\langle \text{of-int } k \leq (\text{of-int } l :: 'a::\text{len word}) \longleftrightarrow \text{take-bit } \text{LENGTH}('a) \ k \leq \text{take-bit } \text{LENGTH}('a) \ l \rangle$

⟨proof⟩

**lemma** *of-int-word-less-iff*:

$\langle \text{of-int } k < (\text{of-int } l :: 'a::\text{len word}) \longleftrightarrow \text{take-bit } \text{LENGTH}('a) \ k < \text{take-bit } \text{LENGTH}('a) \ l \rangle$

⟨proof⟩

### 107.3 Enumeration

**lemma** *inj-on-word-of-nat*:

$\langle \text{inj-on } (\text{word-of-nat} :: \text{nat} \Rightarrow 'a::\text{len word}) \ \{0..<2 \wedge \text{LENGTH}('a)\} \rangle$

⟨proof⟩

**lemma** *UNIV-word-eq-word-of-nat*:

$\langle (\text{UNIV} :: 'a::\text{len word set}) = \text{word-of-nat } \{0..<2 \wedge \text{LENGTH}('a)\} \ \langle \text{is } \langle - = ?A \rangle \rangle$

⟨proof⟩

**instantiation** *word* :: (len) enum

**begin**

**definition** *enum-word* :: ⟨'a word list⟩

**where**  $\langle \text{enum-word} = \text{map } \text{word-of-nat} \ [0..<2 \wedge \text{LENGTH}('a)] \rangle$

**definition** *enum-all-word* :: ⟨('a word  $\Rightarrow$  bool)  $\Rightarrow$  bool⟩

**where**  $\langle \text{enum-all-word} = \text{All} \rangle$

**definition** *enum-ex-word* ::  $\langle 'a \text{ word} \Rightarrow \text{bool} \rangle \Rightarrow \text{bool}$   
**where**  $\langle \text{enum-ex-word} = Ex \rangle$

**instance**  
 $\langle \text{proof} \rangle$

**end**

**lemma** [*code*]:  
 $\langle \text{Enum.enum-all } P \longleftrightarrow \text{list-all } P \text{ Enum.enum} \rangle$   
 $\langle \text{Enum.enum-ex } P \longleftrightarrow \text{list-ex } P \text{ Enum.enum} \rangle$  **for**  $P :: \langle 'a::\text{len word} \Rightarrow \text{bool} \rangle$   
 $\langle \text{proof} \rangle$

## 107.4 Bit-wise operations

The following specification of word division just lifts the pre-existing division on integers named “F-Division” in [2].

**instantiation** *word* ::  $(\text{len}) \text{ semiring-modulo}$   
**begin**

**lift-definition** *divide-word* ::  $\langle 'a \text{ word} \Rightarrow 'a \text{ word} \Rightarrow 'a \text{ word} \rangle$   
**is**  $\langle \lambda a b. \text{take-bit } LENGTH('a) a \text{ div take-bit } LENGTH('a) b \rangle$   
 $\langle \text{proof} \rangle$

**lift-definition** *modulo-word* ::  $\langle 'a \text{ word} \Rightarrow 'a \text{ word} \Rightarrow 'a \text{ word} \rangle$   
**is**  $\langle \lambda a b. \text{take-bit } LENGTH('a) a \text{ mod take-bit } LENGTH('a) b \rangle$   
 $\langle \text{proof} \rangle$

**instance**  $\langle \text{proof} \rangle$

**end**

**lemma** *unat-div-distrib*:  
 $\langle \text{unat } (v \text{ div } w) = \text{unat } v \text{ div unat } w \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *unat-mod-distrib*:  
 $\langle \text{unat } (v \text{ mod } w) = \text{unat } v \text{ mod unat } w \rangle$   
 $\langle \text{proof} \rangle$

**instance** *word* ::  $(\text{len}) \text{ semiring-parity}$   
 $\langle \text{proof} \rangle$

**lemma** *word-bit-induct* [*case-names zero even odd*]:  
 $\langle P a \rangle$  **if** *word-zero*:  $\langle P 0 \rangle$   
**and** *word-even*:  $\langle \bigwedge a. P a \Longrightarrow 0 < a \Longrightarrow a < 2^{\wedge} (LENGTH('a) - \text{Suc } 0) \Longrightarrow$   
 $P (2 * a) \rangle$   
**and** *word-odd*:  $\langle \bigwedge a. P a \Longrightarrow a < 2^{\wedge} (LENGTH('a) - \text{Suc } 0) \Longrightarrow P (1 + 2$

\* a)›  
**for**  $P$  **and**  $a :: \langle 'a::len\ word \rangle$   
 ‹proof›

**lemma** *bit-word-half-eq*:  
 ‹(of-bool  $b + a * 2$ ) div 2 =  $a$ ›  
**if** ‹ $a < 2 \wedge (LENGTH('a) - Suc\ 0)$ ›  
**for**  $a :: \langle 'a::len\ word \rangle$   
 ‹proof›

**lemma** *even-mult-exp-div-word-iff*:  
 ‹even ( $a * 2 \wedge m$  div  $2 \wedge n$ )  $\longleftrightarrow \neg$  (  
 $m \leq n \wedge$   
 $n < LENGTH('a) \wedge odd (a\ div\ 2 \wedge (n - m))$ )› **for**  $a :: \langle 'a::len\ word \rangle$   
 ‹proof›

**instantiation**  $word :: (len)\ semiring-bits$   
**begin**

**lift-definition** *bit-word* :: ‹ $a\ word \Rightarrow nat \Rightarrow bool$ ›  
**is** ‹ $\lambda k\ n.\ n < LENGTH('a) \wedge bit\ k\ n$ ›  
 ‹proof›

**instance** ‹proof›

**end**

**lemma** *bit-word-eqI*:  
 ‹ $a = b$ › **if** ‹ $\bigwedge n.\ n < LENGTH('a) \Longrightarrow bit\ a\ n \longleftrightarrow bit\ b\ n$ ›  
**for**  $a\ b :: \langle 'a::len\ word \rangle$   
 ‹proof›

**lemma** *bit-imp-le-length*:  
 ‹ $n < LENGTH('a)$ › **if** ‹ $bit\ w\ n$ ›  
**for**  $w :: \langle 'a::len\ word \rangle$   
 ‹proof›

**lemma** *not-bit-length* [simp]:  
 ‹ $\neg bit\ w\ LENGTH('a)$ › **for**  $w :: \langle 'a::len\ word \rangle$   
 ‹proof›

**lemma** *finite-bit-word* [simp]:  
 ‹finite { $n.\ bit\ w\ n$ }›  
**for**  $w :: \langle 'a::len\ word \rangle$   
 ‹proof›

**lemma** *bit-numeral-word-iff* [simp]:  
 ‹ $bit\ (numeral\ w :: 'a::len\ word)\ n$   
 $\longleftrightarrow n < LENGTH('a) \wedge bit\ (numeral\ w :: int)\ n$ ›

⟨proof⟩

**lemma** *bit-neg-numeral-word-iff* [simp]:

⟨bit (− numeral w :: 'a::len word) n  
 $\longleftrightarrow$  n < LENGTH('a)  $\wedge$  bit (− numeral w :: int) n⟩  
 ⟨proof⟩

**instantiation** word :: (len) ring-bit-operations  
**begin**

**lift-definition** *not-word* :: ⟨'a word  $\Rightarrow$  'a word⟩  
**is** *not*  
 ⟨proof⟩

**lift-definition** *and-word* :: ⟨'a word  $\Rightarrow$  'a word  $\Rightarrow$  'a word⟩  
**is** *and*  
 ⟨proof⟩

**lift-definition** *or-word* :: ⟨'a word  $\Rightarrow$  'a word  $\Rightarrow$  'a word⟩  
**is** *or*  
 ⟨proof⟩

**lift-definition** *xor-word* :: ⟨'a word  $\Rightarrow$  'a word  $\Rightarrow$  'a word⟩  
**is** *xor*  
 ⟨proof⟩

**lift-definition** *mask-word* :: ⟨nat  $\Rightarrow$  'a word⟩  
**is** *mask*  
 ⟨proof⟩

**lift-definition** *set-bit-word* :: ⟨nat  $\Rightarrow$  'a word  $\Rightarrow$  'a word⟩  
**is** *set-bit*  
 ⟨proof⟩

**lift-definition** *unset-bit-word* :: ⟨nat  $\Rightarrow$  'a word  $\Rightarrow$  'a word⟩  
**is** *unset-bit*  
 ⟨proof⟩

**lift-definition** *flip-bit-word* :: ⟨nat  $\Rightarrow$  'a word  $\Rightarrow$  'a word⟩  
**is** *flip-bit*  
 ⟨proof⟩

**lift-definition** *push-bit-word* :: ⟨nat  $\Rightarrow$  'a word  $\Rightarrow$  'a word⟩  
**is** *push-bit*  
 ⟨proof⟩

**lift-definition** *drop-bit-word* :: ⟨nat  $\Rightarrow$  'a word  $\Rightarrow$  'a word⟩  
**is** ⟨ $\lambda n.$  drop-bit n  $\circ$  take-bit LENGTH('a)⟩  
 ⟨proof⟩

```

lift-definition take-bit-word :: ⟨nat ⇒ 'a word ⇒ 'a word⟩
  is ⟨λn. take-bit (min LENGTH('a) n)⟩
  ⟨proof⟩

context
  includes bit-operations-syntax
begin

instance ⟨proof⟩

end

end

lemma [code]:
  ⟨push-bit n w = w * 2 ^ n⟩ for w :: ⟨'a::len word⟩
  ⟨proof⟩

lemma [code]:
  ⟨Word.the-int (drop-bit n w) = drop-bit n (Word.the-int w)⟩
  ⟨proof⟩

lemma [code]:
  ⟨Word.the-int (take-bit n w) = (if n < LENGTH('a::len) then take-bit n (Word.the-int
w) else Word.the-int w)⟩
  for w :: ⟨'a::len word⟩
  ⟨proof⟩

lemma [code-abbrev]:
  ⟨push-bit n 1 = (2 :: 'a::len word) ^ n⟩
  ⟨proof⟩

context
  includes bit-operations-syntax
begin

lemma [code]:
  ⟨NOT w = Word.of-int (NOT (Word.the-int w))⟩
  for w :: ⟨'a::len word⟩
  ⟨proof⟩

lemma [code]:
  ⟨Word.the-int (v AND w) = Word.the-int v AND Word.the-int w⟩
  ⟨proof⟩

lemma [code]:
  ⟨Word.the-int (v OR w) = Word.the-int v OR Word.the-int w⟩
  ⟨proof⟩

```



```

lemma [code]:
  ⟨Word.the-int (v XOR w) = Word.the-int v XOR Word.the-int w⟩
  ⟨proof⟩

lemma [code]:
  ⟨Word.the-int (mask n :: 'a::len word) = mask (min LENGTH('a) n)⟩
  ⟨proof⟩

lemma [code]:
  ⟨set-bit n w = w OR push-bit n 1⟩ for w :: ⟨'a::len word⟩
  ⟨proof⟩

lemma [code]:
  ⟨unset-bit n w = w AND NOT (push-bit n 1)⟩ for w :: ⟨'a::len word⟩
  ⟨proof⟩

lemma [code]:
  ⟨flip-bit n w = w XOR push-bit n 1⟩ for w :: ⟨'a::len word⟩
  ⟨proof⟩

context
  includes lifting-syntax
begin

lemma set-bit-word-transfer [transfer-rule]:
  ⟨((=) == => pcr-word == => pcr-word) set-bit set-bit⟩
  ⟨proof⟩

lemma unset-bit-word-transfer [transfer-rule]:
  ⟨((=) == => pcr-word == => pcr-word) unset-bit unset-bit⟩
  ⟨proof⟩

lemma flip-bit-word-transfer [transfer-rule]:
  ⟨((=) == => pcr-word == => pcr-word) flip-bit flip-bit⟩
  ⟨proof⟩

lemma signed-take-bit-word-transfer [transfer-rule]:
  ⟨((=) == => pcr-word == => pcr-word)
    (λn k. signed-take-bit n (take-bit LENGTH('a::len) k))
    (signed-take-bit :: nat ⇒ 'a word ⇒ 'a word)⟩
  ⟨proof⟩

end

end

```

**107.5 Conversions including casts****107.5.1 Generic unsigned conversion****context** *semiring-bits***begin****lemma** *bit-unsigned-iff* [*bit-simps*]: $\langle \text{bit } (\text{unsigned } w) \ n \longleftrightarrow \text{possible-bit } \text{TYPE}('a) \ n \wedge \text{bit } w \ n \rangle$ **for**  $w :: \langle 'b::\text{len } \text{word} \rangle$  $\langle \text{proof} \rangle$ **end****lemma** *possible-bit-word*[*simp*]: $\langle \text{possible-bit } \text{TYPE}(( 'a :: \text{len}) \ \text{word}) \ m \longleftrightarrow m < \text{LENGTH}('a) \rangle$  $\langle \text{proof} \rangle$ **context** *semiring-bit-operations***begin****lemma** *unsigned-minus-1-eq-mask*: $\langle \text{unsigned } (- \ 1 :: 'b::\text{len } \text{word}) = \text{mask } \text{LENGTH}('b) \rangle$  $\langle \text{proof} \rangle$ **lemma** *unsigned-push-bit-eq*: $\langle \text{unsigned } (\text{push-bit } n \ w) = \text{take-bit } \text{LENGTH}('b) \ (\text{push-bit } n \ (\text{unsigned } w)) \rangle$ **for**  $w :: \langle 'b::\text{len } \text{word} \rangle$  $\langle \text{proof} \rangle$ **lemma** *unsigned-take-bit-eq*: $\langle \text{unsigned } (\text{take-bit } n \ w) = \text{take-bit } n \ (\text{unsigned } w) \rangle$ **for**  $w :: \langle 'b::\text{len } \text{word} \rangle$  $\langle \text{proof} \rangle$ **end****context** *linordered-euclidean-semiring-bit-operations***begin****lemma** *unsigned-drop-bit-eq*: $\langle \text{unsigned } (\text{drop-bit } n \ w) = \text{drop-bit } n \ (\text{take-bit } \text{LENGTH}('b) \ (\text{unsigned } w)) \rangle$ **for**  $w :: \langle 'b::\text{len } \text{word} \rangle$  $\langle \text{proof} \rangle$ **end****lemma** *ucast-drop-bit-eq*: $\langle \text{ucast } (\text{drop-bit } n \ w) = \text{drop-bit } n \ (\text{ucast } w :: 'b::\text{len } \text{word}) \rangle$ **if**  $\langle \text{LENGTH}('a) \leq \text{LENGTH}('b) \rangle$  **for**  $w :: \langle 'a::\text{len } \text{word} \rangle$

⟨*proof*⟩

**context** *semiring-bit-operations*  
**begin**

**context**  
  **includes** *bit-operations-syntax*  
**begin**

**lemma** *unsigned-and-eq*:  
  ⟨*unsigned* (*v AND w*) = *unsigned v AND unsigned w*⟩  
  **for** *v w* :: ⟨'b::len word⟩  
  ⟨*proof*⟩

**lemma** *unsigned-or-eq*:  
  ⟨*unsigned* (*v OR w*) = *unsigned v OR unsigned w*⟩  
  **for** *v w* :: ⟨'b::len word⟩  
  ⟨*proof*⟩

**lemma** *unsigned-xor-eq*:  
  ⟨*unsigned* (*v XOR w*) = *unsigned v XOR unsigned w*⟩  
  **for** *v w* :: ⟨'b::len word⟩  
  ⟨*proof*⟩

**end**

**end**

**context** *ring-bit-operations*  
**begin**

**context**  
  **includes** *bit-operations-syntax*  
**begin**

**lemma** *unsigned-not-eq*:  
  ⟨*unsigned* (*NOT w*) = *take-bit LENGTH('b) (NOT (unsigned w))*⟩  
  **for** *w* :: ⟨'b::len word⟩  
  ⟨*proof*⟩

**end**

**end**

**context** *unique-euclidean-semiring-numeral*  
**begin**

**lemma** *unsigned-greater-eq* [*simp*]:  
  ⟨ $0 \leq$  *unsigned w*⟩ **for** *w* :: ⟨'b::len word⟩

⟨proof⟩

**lemma** *unsigned-less* [*simp*]:  
 ⟨*unsigned*  $w < 2 \wedge \text{LENGTH}(b)$ ⟩ **for**  $w :: \langle 'b::\text{len word} \rangle$   
 ⟨proof⟩

**end**

**context** *linordered-semidom*  
**begin**

**lemma** *word-less-eq-iff-unsigned*:  
 $a \leq b \longleftrightarrow \text{unsigned } a \leq \text{unsigned } b$   
 ⟨proof⟩

**lemma** *word-less-iff-unsigned*:  
 $a < b \longleftrightarrow \text{unsigned } a < \text{unsigned } b$   
 ⟨proof⟩

**end**

## 107.5.2 Generic signed conversion

**context** *ring-bit-operations*  
**begin**

**lemma** *bit-signed-iff* [*bit-simps*]:  
 ⟨*bit* (*signed*  $w$ )  $n \longleftrightarrow \text{possible-bit TYPE}(a) n \wedge \text{bit } w (\text{min } (\text{LENGTH}(b) - \text{Suc } 0) n)$ ⟩  
**for**  $w :: \langle 'b::\text{len word} \rangle$   
 ⟨proof⟩

**lemma** *signed-push-bit-eq*:  
 ⟨*signed* (*push-bit*  $n w$ ) = *signed-take-bit* ( $\text{LENGTH}(b) - \text{Suc } 0$ ) (*push-bit*  $n$  (*signed*  $w :: 'a$ ))⟩  
**for**  $w :: \langle 'b::\text{len word} \rangle$   
 ⟨proof⟩

**lemma** *signed-take-bit-eq*:  
 ⟨*signed* (*take-bit*  $n w$ ) = (if  $n < \text{LENGTH}(b)$  then *take-bit*  $n$  (*signed*  $w$ ) else *signed*  $w$ )⟩  
**for**  $w :: \langle 'b::\text{len word} \rangle$   
 ⟨proof⟩

**context**  
**includes** *bit-operations-syntax*  
**begin**

**lemma** *signed-not-eq*:

$\langle \text{signed } (\text{NOT } w) = \text{signed-take-bit } \text{LENGTH}('b) (\text{NOT } (\text{signed } w)) \rangle$   
**for**  $w :: \langle 'b::\text{len word} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *signed-and-eq*:  
 $\langle \text{signed } (v \text{ AND } w) = \text{signed } v \text{ AND } \text{signed } w \rangle$   
**for**  $v w :: \langle 'b::\text{len word} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *signed-or-eq*:  
 $\langle \text{signed } (v \text{ OR } w) = \text{signed } v \text{ OR } \text{signed } w \rangle$   
**for**  $v w :: \langle 'b::\text{len word} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *signed-xor-eq*:  
 $\langle \text{signed } (v \text{ XOR } w) = \text{signed } v \text{ XOR } \text{signed } w \rangle$   
**for**  $v w :: \langle 'b::\text{len word} \rangle$   
 $\langle \text{proof} \rangle$

**end**

**end**

### 107.5.3 More

**lemma** *sint-greater-eq*:  
 $\langle - (2 \wedge (\text{LENGTH}('a) - \text{Suc } 0)) \leq \text{sint } w \rangle$  **for**  $w :: \langle 'a::\text{len word} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *sint-less*:  
 $\langle \text{sint } w < 2 \wedge (\text{LENGTH}('a) - \text{Suc } 0) \rangle$  **for**  $w :: \langle 'a::\text{len word} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *uint-div-distrib*:  
 $\langle \text{uint } (v \text{ div } w) = \text{uint } v \text{ div } \text{uint } w \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *unat-drop-bit-eq*:  
 $\langle \text{unat } (\text{drop-bit } n w) = \text{drop-bit } n (\text{unat } w) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *uint-mod-distrib*:  
 $\langle \text{uint } (v \text{ mod } w) = \text{uint } v \text{ mod } \text{uint } w \rangle$   
 $\langle \text{proof} \rangle$

**context** *semiring-bit-operations*  
**begin**

**lemma** *unsigned-ucast-eq*:

$\langle \text{unsigned } (\text{ucast } w :: 'c::\text{len word}) = \text{take-bit } \text{LENGTH}('c) (\text{unsigned } w) \rangle$   
**for**  $w :: \langle 'b::\text{len word} \rangle$   
 $\langle \text{proof} \rangle$

**end**

**context** *ring-bit-operations*

**begin**

**lemma** *signed-ucast-eq*:

$\langle \text{signed } (\text{ucast } w :: 'c::\text{len word}) = \text{signed-take-bit } (\text{LENGTH}('c) - \text{Suc } 0) (\text{unsigned } w) \rangle$   
**for**  $w :: \langle 'b::\text{len word} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *signed-scst-eq*:

$\langle \text{signed } (\text{scast } w :: 'c::\text{len word}) = \text{signed-take-bit } (\text{LENGTH}('c) - \text{Suc } 0) (\text{signed } w) \rangle$   
**for**  $w :: \langle 'b::\text{len word} \rangle$   
 $\langle \text{proof} \rangle$

**end**

**lemma** *uint-nonnegative*:  $0 \leq \text{uint } w$

$\langle \text{proof} \rangle$

**lemma** *uint-bounded*:  $\text{uint } w < 2^{\text{LENGTH}('a)}$

**for**  $w :: 'a::\text{len word}$

$\langle \text{proof} \rangle$

**lemma** *uint-idem*:  $\text{uint } w \bmod 2^{\text{LENGTH}('a)} = \text{uint } w$

**for**  $w :: 'a::\text{len word}$

$\langle \text{proof} \rangle$

**lemma** *word-uint-eqI*:  $\text{uint } a = \text{uint } b \implies a = b$

$\langle \text{proof} \rangle$

**lemma** *word-uint-eq-iff*:  $a = b \iff \text{uint } a = \text{uint } b$

$\langle \text{proof} \rangle$

**lemma** *uint-word-of-int-eq*:

$\langle \text{uint } (\text{word-of-int } k :: 'a::\text{len word}) = \text{take-bit } \text{LENGTH}('a) k \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *uint-word-of-int*:  $\text{uint } (\text{word-of-int } k :: 'a::\text{len word}) = k \bmod 2^{\text{LENGTH}('a)}$

$\langle \text{proof} \rangle$

**lemma** *word-of-int-uint*:  $\text{word-of-int } (\text{uint } w) = w$

$\langle \text{proof} \rangle$

**lemma** *word-div-def* [code]:

$a \text{ div } b = \text{word-of-int } (\text{uint } a \text{ div uint } b)$   
 ⟨proof⟩

**lemma** *word-mod-def* [code]:

$a \text{ mod } b = \text{word-of-int } (\text{uint } a \text{ mod uint } b)$   
 ⟨proof⟩

**lemma** *split-word-all*:  $(\bigwedge x::'a::\text{len word. PROP } P \ x) \equiv (\bigwedge x. \text{PROP } P \ (\text{word-of-int } x))$

⟨proof⟩

**lemma** *sint-uint*:

⟨ $\text{sint } w = \text{signed-take-bit } (\text{LENGTH}('a) - \text{Suc } 0) (\text{uint } w)$ ⟩  
**for**  $w :: \langle 'a::\text{len word} \rangle$   
 ⟨proof⟩

**lemma** *unat-eq-nat-uint*:

⟨ $\text{unat } w = \text{nat } (\text{uint } w)$ ⟩  
 ⟨proof⟩

**lemma** *ucast-eq*:

⟨ $\text{ucast } w = \text{word-of-int } (\text{uint } w)$ ⟩  
 ⟨proof⟩

**lemma** *scast-eq*:

⟨ $\text{scast } w = \text{word-of-int } (\text{sint } w)$ ⟩  
 ⟨proof⟩

**lemma** *uint-0-eq*:

⟨ $\text{uint } 0 = 0$ ⟩  
 ⟨proof⟩

**lemma** *uint-1-eq*:

⟨ $\text{uint } 1 = 1$ ⟩  
 ⟨proof⟩

**lemma** *word-m1-wi*:  $- 1 = \text{word-of-int } (- 1)$

⟨proof⟩

**lemma** *uint-0-iff*:  $\text{uint } x = 0 \longleftrightarrow x = 0$

⟨proof⟩

**lemma** *unat-0-iff*:  $\text{unat } x = 0 \longleftrightarrow x = 0$

⟨proof⟩

**lemma** *unat-0*:  $\text{unat } 0 = 0$

⟨proof⟩

```

lemma unat-gt-0: 0 < unat x  $\longleftrightarrow$  x  $\neq$  0
  <proof>

lemma ucast-0: ucast 0 = 0
  <proof>

lemma sint-0: sint 0 = 0
  <proof>

lemma scast-0: scast 0 = 0
  <proof>

lemma sint-n1: sint (- 1) = - 1
  <proof>

lemma scast-n1: scast (- 1) = - 1
  <proof>

lemma uint-1: uint (1::'a::len word) = 1
  <proof>

lemma unat-1: unat (1::'a::len word) = 1
  <proof>

lemma ucast-1: ucast (1::'a::len word) = 1
  <proof>

instantiation word :: (len) size
begin

lift-definition size-word :: <'a word  $\Rightarrow$  nat>
  is < $\lambda$ -. LENGTH('a)> <proof>

instance <proof>

end

lemma word-size [code]:
  <size w = LENGTH('a)> for w :: <'a::len word>
  <proof>

lemma word-size-gt-0 [iff]: 0 < size w
  for w :: 'a::len word
  <proof>

lemmas lens-gt-0 = word-size-gt-0 len-gt-0

lemma lens-not-0 [iff]:

```



$\langle \text{size } w \neq 0 \rangle$  **for**  $w :: \langle 'a::\text{len word} \rangle$   
 $\langle \text{proof} \rangle$

**lift-definition** *source-size* ::  $\langle ('a::\text{len word} \Rightarrow 'b) \Rightarrow \text{nat} \rangle$   
**is**  $\langle \lambda-. \text{LENGTH}('a) \rangle \langle \text{proof} \rangle$

**lift-definition** *target-size* ::  $\langle ('a \Rightarrow 'b::\text{len word}) \Rightarrow \text{nat} \rangle$   
**is**  $\langle \lambda-. \text{LENGTH}('b) \rangle \langle \text{proof} \rangle$

**lift-definition** *is-up* ::  $\langle ('a::\text{len word} \Rightarrow 'b::\text{len word}) \Rightarrow \text{bool} \rangle$   
**is**  $\langle \lambda-. \text{LENGTH}('a) \leq \text{LENGTH}('b) \rangle \langle \text{proof} \rangle$

**lift-definition** *is-down* ::  $\langle ('a::\text{len word} \Rightarrow 'b::\text{len word}) \Rightarrow \text{bool} \rangle$   
**is**  $\langle \lambda-. \text{LENGTH}('a) \geq \text{LENGTH}('b) \rangle \langle \text{proof} \rangle$

**lemma** *is-up-eq*:  
 $\langle \text{is-up } f \longleftrightarrow \text{source-size } f \leq \text{target-size } f \rangle$   
**for**  $f :: \langle 'a::\text{len word} \Rightarrow 'b::\text{len word} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *is-down-eq*:  
 $\langle \text{is-down } f \longleftrightarrow \text{target-size } f \leq \text{source-size } f \rangle$   
**for**  $f :: \langle 'a::\text{len word} \Rightarrow 'b::\text{len word} \rangle$   
 $\langle \text{proof} \rangle$

**lift-definition** *word-int-case* ::  $\langle (\text{int} \Rightarrow 'b) \Rightarrow 'a::\text{len word} \Rightarrow 'b \rangle$   
**is**  $\langle \lambda f. f \circ \text{take-bit LENGTH}('a) \rangle \langle \text{proof} \rangle$

**lemma** *word-int-case-eq-uint* [code]:  
 $\langle \text{word-int-case } f w = f (\text{uint } w) \rangle$   
 $\langle \text{proof} \rangle$

### translations

$\text{case } x \text{ of } XCONST \text{ of-int } y \Rightarrow b \Rightarrow CONST \text{ word-int-case } (\lambda y. b) x$   
 $\text{case } x \text{ of } (XCONST \text{ of-int } :: 'a) y \Rightarrow b \rightarrow CONST \text{ word-int-case } (\lambda y. b) x$

## 107.6 Arithmetic operations

**lemma** *div-word-self*:  
 $\langle w \text{ div } w = 1 \rangle$  **if**  $\langle w \neq 0 \rangle$  **for**  $w :: \langle 'a::\text{len word} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *mod-word-self* [simp]:  
 $\langle w \text{ mod } w = 0 \rangle$  **for**  $w :: \langle 'a::\text{len word} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *div-word-less*:  
 $\langle w \text{ div } v = 0 \rangle$  **if**  $\langle w < v \rangle$  **for**  $w v :: \langle 'a::\text{len word} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *mod-word-less*:

$\langle w \bmod v = w \rangle$  **if**  $\langle w < v \rangle$  **for**  $w v :: \langle 'a::len \text{ word} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *div-word-one* [*simp*]:

$\langle 1 \text{ div } w = \text{of-bool } (w = 1) \rangle$  **for**  $w :: \langle 'a::len \text{ word} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *mod-word-one* [*simp*]:

$\langle 1 \bmod w = 1 - w * \text{of-bool } (w = 1) \rangle$  **for**  $w :: \langle 'a::len \text{ word} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *div-word-by-minus-1-eq* [*simp*]:

$\langle w \text{ div } - 1 = \text{of-bool } (w = - 1) \rangle$  **for**  $w :: \langle 'a::len \text{ word} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *mod-word-by-minus-1-eq* [*simp*]:

$\langle w \bmod - 1 = w * \text{of-bool } (w < - 1) \rangle$  **for**  $w :: \langle 'a::len \text{ word} \rangle$   
 $\langle \text{proof} \rangle$

Legacy theorems:

**lemma** *word-add-def* [*code*]:

$a + b = \text{word-of-int } (\text{uint } a + \text{uint } b)$   
 $\langle \text{proof} \rangle$

**lemma** *word-sub-wi* [*code*]:

$a - b = \text{word-of-int } (\text{uint } a - \text{uint } b)$   
 $\langle \text{proof} \rangle$

**lemma** *word-mult-def* [*code*]:

$a * b = \text{word-of-int } (\text{uint } a * \text{uint } b)$   
 $\langle \text{proof} \rangle$

**lemma** *word-minus-def* [*code*]:

$- a = \text{word-of-int } (- \text{uint } a)$   
 $\langle \text{proof} \rangle$

**lemma** *word-0-wi*:

$0 = \text{word-of-int } 0$   
 $\langle \text{proof} \rangle$

**lemma** *word-1-wi*:

$1 = \text{word-of-int } 1$   
 $\langle \text{proof} \rangle$

**lift-definition** *word-succ* ::  $'a::len \text{ word} \Rightarrow 'a \text{ word}$  **is**  $\lambda x. x + 1$

$\langle \text{proof} \rangle$

**lift-definition** *word-pred* ::  $\langle 'a::\text{len word} \Rightarrow 'a \text{ word is } \lambda x. x - 1 \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *word-succ-alt* [*code*]:  
 $\text{word-succ } a = \text{word-of-int } (\text{uint } a + 1)$   
 $\langle \text{proof} \rangle$

**lemma** *word-pred-alt* [*code*]:  
 $\text{word-pred } a = \text{word-of-int } (\text{uint } a - 1)$   
 $\langle \text{proof} \rangle$

**lemmas** *word-arith-wis* =  
*word-add-def word-sub-wi word-mult-def*  
*word-minus-def word-succ-alt word-pred-alt*  
*word-0-wi word-1-wi*

**lemma** *wi-homs*:  
**shows** *wi-hom-add*:  $\text{word-of-int } a + \text{word-of-int } b = \text{word-of-int } (a + b)$   
**and** *wi-hom-sub*:  $\text{word-of-int } a - \text{word-of-int } b = \text{word-of-int } (a - b)$   
**and** *wi-hom-mult*:  $\text{word-of-int } a * \text{word-of-int } b = \text{word-of-int } (a * b)$   
**and** *wi-hom-neg*:  $-\text{word-of-int } a = \text{word-of-int } (-a)$   
**and** *wi-hom-succ*:  $\text{word-succ } (\text{word-of-int } a) = \text{word-of-int } (a + 1)$   
**and** *wi-hom-pred*:  $\text{word-pred } (\text{word-of-int } a) = \text{word-of-int } (a - 1)$   
 $\langle \text{proof} \rangle$

**lemmas** *wi-hom-syms* = *wi-homs* [*symmetric*]

**lemmas** *word-of-int-homs* = *wi-homs word-0-wi word-1-wi*

**lemmas** *word-of-int-hom-syms* = *word-of-int-homs* [*symmetric*]

**lemma** *double-eq-zero-iff*:  
 $\langle 2 * a = 0 \iff a = 0 \vee a = 2 \wedge (\text{LENGTH}('a) - \text{Suc } 0) \rangle$   
**for**  $a :: \langle 'a::\text{len word} \rangle$   
 $\langle \text{proof} \rangle$

## 107.7 Ordering

**lift-definition** *word-sle* ::  $\langle 'a::\text{len word} \Rightarrow 'a \text{ word} \Rightarrow \text{bool} \rangle$   
**is**  $\langle \lambda k l. \text{signed-take-bit } (\text{LENGTH}('a) - \text{Suc } 0) k \leq \text{signed-take-bit } (\text{LENGTH}('a) - \text{Suc } 0) l \rangle$   
 $\langle \text{proof} \rangle$

**lift-definition** *word-sless* ::  $\langle 'a::\text{len word} \Rightarrow 'a \text{ word} \Rightarrow \text{bool} \rangle$   
**is**  $\langle \lambda k l. \text{signed-take-bit } (\text{LENGTH}('a) - \text{Suc } 0) k < \text{signed-take-bit } (\text{LENGTH}('a) - \text{Suc } 0) l \rangle$   
 $\langle \text{proof} \rangle$

**notation**

*word-sle* ( $'(\leq s')$ ) **and**  
*word-sle* ( $((-/ \leq s -) [51, 51] 50)$ ) **and**  
*word-sless* ( $'(< s')$ ) **and**  
*word-sless* ( $((-/ < s -) [51, 51] 50)$ )

**notation** (*input*)

*word-sle* ( $((-/ \leq s -) [51, 51] 50)$ )

**lemma** *word-sle-eq* [*code*]:

$\langle a \leq s b \longleftrightarrow \text{sint } a \leq \text{sint } b \rangle$   
 ⟨*proof*⟩

**lemma** [*code*]:

$\langle a < s b \longleftrightarrow \text{sint } a < \text{sint } b \rangle$   
 ⟨*proof*⟩

**lemma** *signed-ordering*: ⟨*ordering word-sle word-sless*⟩

⟨*proof*⟩

**lemma** *signed-linorder*: ⟨*class.linorder word-sle word-sless*⟩

⟨*proof*⟩

**interpretation** *signed*: *linorder word-sle word-sless*

⟨*proof*⟩

**lemma** *word-sless-eq*:

$\langle x < s y \longleftrightarrow x \leq s y \wedge x \neq y \rangle$   
 ⟨*proof*⟩

**lemma** *word-less-alt*:  $a < b \longleftrightarrow \text{uint } a < \text{uint } b$

⟨*proof*⟩

**lemma** *word-zero-le* [*simp*]:  $0 \leq y$

**for**  $y :: 'a::\text{len word}$

⟨*proof*⟩

**lemma** *word-m1-ge* [*simp*]:  $\text{word-pred } 0 \geq y$

⟨*proof*⟩

**lemma** *word-n1-ge* [*simp*]:  $y \leq -1$

**for**  $y :: 'a::\text{len word}$

⟨*proof*⟩

**lemmas** *word-not-simps* [*simp*] =

*word-zero-le* [*THEN leD*] *word-m1-ge* [*THEN leD*] *word-n1-ge* [*THEN leD*]

**lemma** *word-gt-0*:  $0 < y \longleftrightarrow 0 \neq y$

**for**  $y :: 'a::\text{len word}$

⟨*proof*⟩

**lemmas** *word-gt-0-no* [*simp*] = *word-gt-0* [*of numeral y*] **for** *y*

**lemma** *word-sless-alt*:  $a <_s b \iff \text{sint } a < \text{sint } b$   
 ⟨*proof*⟩

**lemma** *word-le-nat-alt*:  $a \leq b \iff \text{unat } a \leq \text{unat } b$   
 ⟨*proof*⟩

**lemma** *word-less-nat-alt*:  $a < b \iff \text{unat } a < \text{unat } b$   
 ⟨*proof*⟩

**lemmas** *unat-mono* = *word-less-nat-alt* [*THEN iffD1*]

**instance** *word* :: (*len*) *wellorder*  
 ⟨*proof*⟩

**lemma** *wi-less*:  
 $(\text{word-of-int } n < (\text{word-of-int } m :: 'a::\text{len word})) =$   
 $(n \bmod 2^{\text{LENGTH}('a)} < m \bmod 2^{\text{LENGTH}('a)})$   
 ⟨*proof*⟩

**lemma** *wi-le*:  
 $(\text{word-of-int } n \leq (\text{word-of-int } m :: 'a::\text{len word})) =$   
 $(n \bmod 2^{\text{LENGTH}('a)} \leq m \bmod 2^{\text{LENGTH}('a)})$   
 ⟨*proof*⟩

## 107.8 Bit-wise operations

**context**

**includes** *bit-operations-syntax*

**begin**

**lemma** *uint-take-bit-eq*:  
 $\langle \text{uint } (\text{take-bit } n \ w) = \text{take-bit } n \ (\text{uint } w) \rangle$   
 ⟨*proof*⟩

**lemma** *take-bit-word-eq-self*:  
 $\langle \text{take-bit } n \ w = w \ \mathbf{if} \ \langle \text{LENGTH}('a) \leq n \rangle \ \mathbf{for} \ w :: \langle 'a::\text{len word} \rangle$   
 ⟨*proof*⟩

**lemma** *take-bit-length-eq* [*simp*]:  
 $\langle \text{take-bit } \text{LENGTH}('a) \ w = w \rangle \ \mathbf{for} \ w :: \langle 'a::\text{len word} \rangle$   
 ⟨*proof*⟩

**lemma** *bit-word-of-int-iff*:  
 $\langle \text{bit } (\text{word-of-int } k :: 'a::\text{len word}) \ n \iff n < \text{LENGTH}('a) \wedge \text{bit } k \ n \rangle$   
 ⟨*proof*⟩

**lemma** *bit-uint-iff*:

⟨*bit* (*uint w*) *n*  $\longleftrightarrow$   $n < \text{LENGTH}('a) \wedge \text{bit } w \ n$ ⟩  
**for**  $w :: \langle 'a::\text{len word} \rangle$   
 ⟨*proof*⟩

**lemma** *bit-sint-iff*:

⟨*bit* (*sint w*) *n*  $\longleftrightarrow$   $n \geq \text{LENGTH}('a) \wedge \text{bit } w (\text{LENGTH}('a) - 1) \vee \text{bit } w \ n$ ⟩  
**for**  $w :: \langle 'a::\text{len word} \rangle$   
 ⟨*proof*⟩

**lemma** *bit-word-ucast-iff*:

⟨*bit* (*ucast w* :: *'b::len word*) *n*  $\longleftrightarrow$   $n < \text{LENGTH}('a) \wedge n < \text{LENGTH}('b) \wedge$   
*bit w n*⟩  
**for**  $w :: \langle 'a::\text{len word} \rangle$   
 ⟨*proof*⟩

**lemma** *bit-word-scast-iff*:

⟨*bit* (*scast w* :: *'b::len word*) *n*  $\longleftrightarrow$   
 $n < \text{LENGTH}('b) \wedge (\text{bit } w \ n \vee \text{LENGTH}('a) \leq n \wedge \text{bit } w (\text{LENGTH}('a) -$   
*Suc 0))*⟩  
**for**  $w :: \langle 'a::\text{len word} \rangle$   
 ⟨*proof*⟩

**lemma** *bit-word-iff-drop-bit-and* [*code*]:

⟨*bit a n*  $\longleftrightarrow$  *drop-bit n a AND 1 = 1*⟩ **for**  $a :: \langle 'a::\text{len word} \rangle$   
 ⟨*proof*⟩

**lemma**

*word-not-def*:  $\text{NOT } (a::'a::\text{len word}) = \text{word-of-int } (\text{NOT } (\text{uint } a))$   
**and** *word-and-def*:  $(a::'a \ \text{word}) \ \text{AND } b = \text{word-of-int } (\text{uint } a \ \text{AND } \text{uint } b)$   
**and** *word-or-def*:  $(a::'a \ \text{word}) \ \text{OR } b = \text{word-of-int } (\text{uint } a \ \text{OR } \text{uint } b)$   
**and** *word-xor-def*:  $(a::'a \ \text{word}) \ \text{XOR } b = \text{word-of-int } (\text{uint } a \ \text{XOR } \text{uint } b)$   
 ⟨*proof*⟩

**definition** *even-word* ::  $\langle 'a::\text{len word} \Rightarrow \text{bool} \rangle$

**where** [*code-abbrev*]:  $\langle \text{even-word} = \text{even} \rangle$

**lemma** *even-word-iff* [*code*]:

⟨*even-word a*  $\longleftrightarrow$   $a \ \text{AND } 1 = 0$ ⟩  
 ⟨*proof*⟩

**lemma** *map-bit-range-eq-if-take-bit-eq*:

⟨*map* (*bit k*) [ $0..<n$ ] = *map* (*bit l*) [ $0..<n$ ]⟩  
**if**  $\langle \text{take-bit } n \ k = \text{take-bit } n \ l \rangle$  **for**  $k \ l :: \text{int}$   
 ⟨*proof*⟩

**lemma**

*take-bit-word-Bit0-eq* [*simp*]:  $\langle \text{take-bit } (\text{numeral } n) (\text{numeral } (\text{num.Bit0 } m)) ::$   
 $'a::\text{len word} \rangle$

```

    = 2 * take-bit (pred-numeral n) (numeral m) (is ?P)
  and take-bit-word-Bit1-eq [simp]: <take-bit (numeral n) (numeral (num.Bit1 m)
:: 'a::len word)
    = 1 + 2 * take-bit (pred-numeral n) (numeral m) (is ?Q)
  and take-bit-word-minus-Bit0-eq [simp]: <take-bit (numeral n) (- numeral (num.Bit0
m) :: 'a::len word)
    = 2 * take-bit (pred-numeral n) (- numeral m) (is ?R)
  and take-bit-word-minus-Bit1-eq [simp]: <take-bit (numeral n) (- numeral (num.Bit1
m) :: 'a::len word)
    = 1 + 2 * take-bit (pred-numeral n) (- numeral (Num.inc m)) (is ?S)
<proof>

```

### 107.9 More shift operations

**lift-definition** *signed-drop-bit* ::  $\langle \text{nat} \Rightarrow 'a \text{ word} \Rightarrow 'a::\text{len word} \rangle$   
**is**  $\langle \lambda n. \text{drop-bit } n \circ \text{signed-take-bit } (\text{LENGTH}('a) - \text{Suc } 0) \rangle$   
 <proof>

**lemma** *bit-signed-drop-bit-iff* [bit-simps]:  
 $\langle \text{bit } (\text{signed-drop-bit } m \ w) \ n \longleftrightarrow \text{bit } w \ (\text{if } \text{LENGTH}('a) - m \leq n \wedge n < \text{LENGTH}('a) \text{ then } \text{LENGTH}('a) - 1 \text{ else } m + n) \rangle$   
**for**  $w :: 'a::\text{len word}$   
 <proof>

**lemma** [code]:  
 $\langle \text{Word.the-int } (\text{signed-drop-bit } n \ w) = \text{take-bit } \text{LENGTH}('a) \ (\text{drop-bit } n \ (\text{Word.the-signed-int } w)) \rangle$   
**for**  $w :: 'a::\text{len word}$   
 <proof>

**lemma** *signed-drop-bit-of-0* [simp]:  
 $\langle \text{signed-drop-bit } n \ 0 = 0 \rangle$   
 <proof>

**lemma** *signed-drop-bit-of-minus-1* [simp]:  
 $\langle \text{signed-drop-bit } n \ (- 1) = - 1 \rangle$   
 <proof>

**lemma** *signed-drop-bit-signed-drop-bit* [simp]:  
 $\langle \text{signed-drop-bit } m \ (\text{signed-drop-bit } n \ w) = \text{signed-drop-bit } (m + n) \ w \rangle$   
**for**  $w :: 'a::\text{len word}$   
 <proof>

**lemma** *signed-drop-bit-0* [simp]:  
 $\langle \text{signed-drop-bit } 0 \ w = w \rangle$   
 <proof>

**lemma** *sint-signed-drop-bit-eq*:  
 $\langle \text{sint } (\text{signed-drop-bit } n \ w) = \text{drop-bit } n \ (\text{sint } w) \rangle$

⟨proof⟩

### 107.10 Single-bit operations

**lemma** *set-bit-eq-idem-iff*:

⟨*Bit-Operations.set-bit*  $n w = w \longleftrightarrow \text{bit } w n \vee n \geq \text{LENGTH}('a)$ ⟩  
**for**  $w :: \langle 'a::\text{len word} \rangle$   
 ⟨proof⟩

**lemma** *unset-bit-eq-idem-iff*:

⟨*unset-bit*  $n w = w \longleftrightarrow \text{bit } w n \longrightarrow n \geq \text{LENGTH}('a)$ ⟩  
**for**  $w :: \langle 'a::\text{len word} \rangle$   
 ⟨proof⟩

**lemma** *flip-bit-eq-idem-iff*:

⟨*flip-bit*  $n w = w \longleftrightarrow n \geq \text{LENGTH}('a)$ ⟩  
**for**  $w :: \langle 'a::\text{len word} \rangle$   
 ⟨proof⟩

### 107.11 Rotation

**lift-definition** *word-rotr* ::  $\langle \text{nat} \Rightarrow 'a::\text{len word} \Rightarrow 'a::\text{len word} \rangle$

**is**  $\langle \lambda n k. \text{concat-bit } (\text{LENGTH}('a) - n \bmod \text{LENGTH}('a))$   
 ( *drop-bit*  $(n \bmod \text{LENGTH}('a))$  ( *take-bit*  $\text{LENGTH}('a) k$  ) )  
 ( *take-bit*  $(n \bmod \text{LENGTH}('a)) k$  ) ⟩  
 ⟨proof⟩

**lift-definition** *word-rotl* ::  $\langle \text{nat} \Rightarrow 'a::\text{len word} \Rightarrow 'a::\text{len word} \rangle$

**is**  $\langle \lambda n k. \text{concat-bit } (n \bmod \text{LENGTH}('a))$   
 ( *drop-bit*  $(\text{LENGTH}('a) - n \bmod \text{LENGTH}('a))$  ( *take-bit*  $\text{LENGTH}('a) k$  ) )  
 ( *take-bit*  $(\text{LENGTH}('a) - n \bmod \text{LENGTH}('a)) k$  ) ⟩  
 ⟨proof⟩

**lift-definition** *word-roti* ::  $\langle \text{int} \Rightarrow 'a::\text{len word} \Rightarrow 'a::\text{len word} \rangle$

**is**  $\langle \lambda r k. \text{concat-bit } (\text{LENGTH}('a) - \text{nat } (r \bmod \text{int } \text{LENGTH}('a)))$   
 ( *drop-bit*  $(\text{nat } (r \bmod \text{int } \text{LENGTH}('a)))$  ( *take-bit*  $\text{LENGTH}('a) k$  ) )  
 ( *take-bit*  $(\text{nat } (r \bmod \text{int } \text{LENGTH}('a))) k$  ) ⟩  
 ⟨proof⟩

**lemma** *word-rotl-eq-word-rotr* [*code*]:

⟨*word-rotl*  $n = (\text{word-rotr } (\text{LENGTH}('a) - n \bmod \text{LENGTH}('a)) :: 'a::\text{len word}$   
 $\Rightarrow 'a \text{ word})$ ⟩  
 ⟨proof⟩

**lemma** *word-roti-eq-word-rotr-word-rotl* [*code*]:

⟨*word-roti*  $i w =$   
 ( *if*  $i \geq 0$  *then* *word-rotr*  $(\text{nat } i) w$  *else* *word-rotl*  $(\text{nat } (- i)) w$  ) ⟩  
 ⟨proof⟩

**lemma** *bit-word-rotr-iff* [*bit-simps*]:



$\langle \text{bit } (\text{word-rotl } m \ w) \ n \longleftrightarrow$   
 $n < \text{LENGTH}('a) \wedge \text{bit } w \ ((n + m) \bmod \text{LENGTH}('a)) \rangle$   
**for**  $w :: \langle 'a::\text{len word} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *bit-word-rotl-iff* [*bit-simps*]:

$\langle \text{bit } (\text{word-rotl } m \ w) \ n \longleftrightarrow$   
 $n < \text{LENGTH}('a) \wedge \text{bit } w \ ((n + (\text{LENGTH}('a) - m \bmod \text{LENGTH}('a))) \bmod$   
 $\text{LENGTH}('a)) \rangle$   
**for**  $w :: \langle 'a::\text{len word} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *bit-word-roti-iff* [*bit-simps*]:

$\langle \text{bit } (\text{word-roti } k \ w) \ n \longleftrightarrow$   
 $n < \text{LENGTH}('a) \wedge \text{bit } w \ (\text{nat } ((\text{int } n + k) \bmod \text{int } \text{LENGTH}('a))) \rangle$   
**for**  $w :: \langle 'a::\text{len word} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *uint-word-rotl-eq*:

$\langle \text{uint } (\text{word-rotl } n \ w) = \text{concat-bit } (\text{LENGTH}('a) - n \bmod \text{LENGTH}('a))$   
 $(\text{drop-bit } (n \bmod \text{LENGTH}('a)) \ (\text{uint } w))$   
 $(\text{uint } (\text{take-bit } (n \bmod \text{LENGTH}('a)) \ w)) \rangle$   
**for**  $w :: \langle 'a::\text{len word} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** [*code*]:

$\langle \text{Word.the-int } (\text{word-rotl } n \ w) = \text{concat-bit } (\text{LENGTH}('a) - n \bmod \text{LENGTH}('a))$   
 $(\text{drop-bit } (n \bmod \text{LENGTH}('a)) \ (\text{Word.the-int } w))$   
 $(\text{Word.the-int } (\text{take-bit } (n \bmod \text{LENGTH}('a)) \ w)) \rangle$   
**for**  $w :: \langle 'a::\text{len word} \rangle$   
 $\langle \text{proof} \rangle$

## 107.12 Split and cat operations

**lift-definition** *word-cat*  $:: \langle 'a::\text{len word} \Rightarrow 'b::\text{len word} \Rightarrow 'c::\text{len word} \rangle$

**is**  $\langle \lambda k \ l. \text{concat-bit } \text{LENGTH}('b) \ l \ (\text{take-bit } \text{LENGTH}('a) \ k) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *word-cat-eq*:

$\langle (\text{word-cat } v \ w \ w :: 'c::\text{len word}) = \text{push-bit } \text{LENGTH}('b) \ (\text{ucast } v) + \text{ucast } w \rangle$   
**for**  $v :: \langle 'a::\text{len word} \rangle$  **and**  $w :: \langle 'b::\text{len word} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *word-cat-eq'* [*code*]:

$\langle \text{word-cat } a \ b = \text{word-of-int } (\text{concat-bit } \text{LENGTH}('b) \ (\text{uint } b) \ (\text{uint } a)) \rangle$   
**for**  $a :: \langle 'a::\text{len word} \rangle$  **and**  $b :: \langle 'b::\text{len word} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *bit-word-cat-iff* [*bit-simps*]:

$\langle \text{bit } (\text{word-cat } v \ w :: 'c::\text{len word}) \ n \longleftrightarrow n < \text{LENGTH}('c) \wedge (\text{if } n < \text{LENGTH}('b) \text{ then bit } w \ n \text{ else bit } v \ (n - \text{LENGTH}('b))) \rangle$   
**for**  $v :: \langle 'a::\text{len word} \rangle$  **and**  $w :: \langle 'b::\text{len word} \rangle$   
 $\langle \text{proof} \rangle$

**definition**  $\text{word-split} :: \langle 'a::\text{len word} \Rightarrow 'b::\text{len word} \times 'c::\text{len word} \rangle$   
**where**  $\langle \text{word-split } w =$   
 $(\text{ucast } (\text{drop-bit } \text{LENGTH}('c) \ w) :: 'b::\text{len word}, \text{ucast } w :: 'c::\text{len word}) \rangle$

**definition**  $\text{word-rcat} :: \langle 'a::\text{len word list} \Rightarrow 'b::\text{len word} \rangle$   
**where**  $\langle \text{word-rcat} = \text{word-of-int} \circ \text{horner-sum uint } (2 \wedge \text{LENGTH}('a)) \circ \text{rev} \rangle$

### 107.13 More on conversions

**lemma**  $\text{int-word-sint}$ :

$\langle \text{sint } (\text{word-of-int } x :: 'a::\text{len word}) = (x + 2 \wedge (\text{LENGTH}('a) - 1)) \text{ mod } 2 \wedge \text{LENGTH}('a) - 2 \wedge (\text{LENGTH}('a) - 1) \rangle$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{sint-sbintrunc}'$ :  $\text{sint } (\text{word-of-int } \text{bin} :: 'a \text{ word}) = \text{signed-take-bit } (\text{LENGTH}('a::\text{len}) - 1) \ \text{bin}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{uint-sint}$ :  $\text{uint } w = \text{take-bit } \text{LENGTH}('a) \ (\text{sint } w)$   
**for**  $w :: 'a::\text{len word}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{bintr-uint}$ :  $\text{LENGTH}('a) \leq n \implies \text{take-bit } n \ (\text{uint } w) = \text{uint } w$   
**for**  $w :: 'a::\text{len word}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{wi-bintr}$ :

$\text{LENGTH}('a::\text{len}) \leq n \implies$   
 $\text{word-of-int } (\text{take-bit } n \ w) = (\text{word-of-int } w :: 'a \ \text{word})$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{word-numeral-alt}$ :  $\text{numeral } b = \text{word-of-int } (\text{numeral } b)$   
 $\langle \text{proof} \rangle$

**declare**  $\text{word-numeral-alt}$  [*symmetric, code-abbrev*]

**lemma**  $\text{word-neg-numeral-alt}$ :  $-\ \text{numeral } b = \text{word-of-int } (- \ \text{numeral } b)$   
 $\langle \text{proof} \rangle$

**declare**  $\text{word-neg-numeral-alt}$  [*symmetric, code-abbrev*]

**lemma**  $\text{uint-bintrunc}$  [*simp*]:

$\text{uint } (\text{numeral } \text{bin} :: 'a \ \text{word}) =$   
 $\text{take-bit } (\text{LENGTH}('a::\text{len})) \ (\text{numeral } \text{bin})$

*<proof>*

**lemma** *uint-bintrunc-neg* [*simp*]:

*uint* ( $-$  numeral bin :: 'a word) = *take-bit* (*LENGTH*('a::len)) ( $-$  numeral bin)  
*<proof>*

**lemma** *sint-sbintrunc* [*simp*]:

*sint* (numeral bin :: 'a word) = *signed-take-bit* (*LENGTH*('a::len)  $-$  1) (numeral bin)  
*<proof>*

**lemma** *sint-sbintrunc-neg* [*simp*]:

*sint* ( $-$  numeral bin :: 'a word) = *signed-take-bit* (*LENGTH*('a::len)  $-$  1) ( $-$  numeral bin)  
*<proof>*

**lemma** *unat-bintrunc* [*simp*]:

*unat* (numeral bin :: 'a::len word) = *nat* (*take-bit* (*LENGTH*('a)) (numeral bin))  
*<proof>*

**lemma** *unat-bintrunc-neg* [*simp*]:

*unat* ( $-$  numeral bin :: 'a::len word) = *nat* (*take-bit* (*LENGTH*('a)) ( $-$  numeral bin))  
*<proof>*

**lemma** *size-0-eq*: *size*  $w = 0 \implies v = w$

**for**  $v\ w :: 'a::len\ word$   
*<proof>*

**lemma** *uint-ge-0* [*iff*]:  $0 \leq \text{uint } x$

*<proof>*

**lemma** *uint-lt2p* [*iff*]: *uint*  $x < 2 \wedge \text{LENGTH}('a)$

**for**  $x :: 'a::len\ word$   
*<proof>*

**lemma** *sint-ge*:  $-(2 \wedge (\text{LENGTH}('a) - 1)) \leq \text{sint } x$

**for**  $x :: 'a::len\ word$   
*<proof>*

**lemma** *sint-lt*: *sint*  $x < 2 \wedge (\text{LENGTH}('a) - 1)$

**for**  $x :: 'a::len\ word$   
*<proof>*

**lemma** *uint-m2p-neg*: *uint*  $x - 2 \wedge \text{LENGTH}('a) < 0$

**for**  $x :: 'a::len\ word$   
*<proof>*

**lemma** *uint-m2p-not-non-neg*:  $\neg 0 \leq \text{uint } x - 2 \wedge \text{LENGTH}('a)$

**for**  $x :: 'a::len\ word$   
 ⟨proof⟩

**lemma** *lt2p-lem*:  $LENGTH('a) \leq n \implies uint\ w < 2 \wedge n$   
**for**  $w :: 'a::len\ word$   
 ⟨proof⟩

**lemma** *uint-le-0-iff* [*simp*]:  $uint\ x \leq 0 \longleftrightarrow uint\ x = 0$   
 ⟨proof⟩

**lemma** *uint-nat*:  $uint\ w = int\ (unat\ w)$   
 ⟨proof⟩

**lemma** *uint-numeral*:  $uint\ (numeral\ b :: 'a::len\ word) = numeral\ b\ mod\ 2 \wedge LENGTH('a)$   
 ⟨proof⟩

**lemma** *uint-neg-numeral*:  $uint\ (-\ numeral\ b :: 'a::len\ word) = -\ numeral\ b\ mod\ 2 \wedge LENGTH('a)$   
 ⟨proof⟩

**lemma** *unat-numeral*:  $unat\ (numeral\ b :: 'a::len\ word) = numeral\ b\ mod\ 2 \wedge LENGTH('a)$   
 ⟨proof⟩

**lemma** *sint-numeral*:  
 $sint\ (numeral\ b :: 'a::len\ word) =$   
 $(numeral\ b + 2 \wedge (LENGTH('a) - 1))\ mod\ 2 \wedge LENGTH('a) - 2 \wedge (LENGTH('a) - 1)$   
 - 1)  
 ⟨proof⟩

**lemma** *word-of-int-0* [*simp*, *code-post*]:  $word-of-int\ 0 = 0$   
 ⟨proof⟩

**lemma** *word-of-int-1* [*simp*, *code-post*]:  $word-of-int\ 1 = 1$   
 ⟨proof⟩

**lemma** *word-of-int-neg-1* [*simp*]:  $word-of-int\ (-\ 1) = -\ 1$   
 ⟨proof⟩

**lemma** *word-of-int-numeral* [*simp*]:  $(word-of-int\ (numeral\ bin) :: 'a::len\ word) = numeral\ bin$   
 ⟨proof⟩

**lemma** *word-of-int-neg-numeral* [*simp*]:  
 $(word-of-int\ (-\ numeral\ bin) :: 'a::len\ word) = -\ numeral\ bin$   
 ⟨proof⟩

**lemma** *word-int-case-wi*:

*word-int-case*  $f$  (*word-of-int*  $i :: 'b$  *word*) =  $f$  ( $i \bmod 2 \wedge \text{LENGTH}('b::\text{len})$ )  
 ⟨*proof*⟩

**lemma** *word-int-split*:

$P$  (*word-int-case*  $f$   $x$ ) =  
 ( $\forall i. x = (\text{word-of-int } i :: 'b::\text{len } \text{word}) \wedge 0 \leq i \wedge i < 2 \wedge \text{LENGTH}('b) \longrightarrow P$   
 ( $f$   $i$ )  
 ⟨*proof*⟩

**lemma** *word-int-split-asm*:

$P$  (*word-int-case*  $f$   $x$ ) =  
 ( $\exists n. x = (\text{word-of-int } n :: 'b::\text{len } \text{word}) \wedge 0 \leq n \wedge n < 2 \wedge \text{LENGTH}('b::\text{len})$   
 $\wedge \neg P$  ( $f$   $n$ )  
 ⟨*proof*⟩

**lemma** *uint-range-size*:  $0 \leq \text{uint } w \wedge \text{uint } w < 2 \wedge \text{size } w$   
 ⟨*proof*⟩

**lemma** *sint-range-size*:  $-(2 \wedge (\text{size } w - \text{Suc } 0)) \leq \text{sint } w \wedge \text{sint } w < 2 \wedge (\text{size } w$   
 $- \text{Suc } 0)$   
 ⟨*proof*⟩

**lemma** *sint-above-size*:  $2 \wedge (\text{size } w - 1) \leq x \implies \text{sint } w < x$   
**for**  $w :: 'a::\text{len } \text{word}$   
 ⟨*proof*⟩

**lemma** *sint-below-size*:  $x \leq -(2 \wedge (\text{size } w - 1)) \implies x \leq \text{sint } w$   
**for**  $w :: 'a::\text{len } \text{word}$   
 ⟨*proof*⟩

**lemma** *word-unat-eq-iff*:

$\langle v = w \longleftrightarrow \text{unat } v = \text{unat } w \rangle$   
**for**  $v w :: 'a::\text{len } \text{word}$   
 ⟨*proof*⟩

## 107.14 Testing bits

**lemma** *bin-nth-uint-imp*:  $\text{bit } (\text{uint } w) n \implies n < \text{LENGTH}('a)$   
**for**  $w :: 'a::\text{len } \text{word}$   
 ⟨*proof*⟩

**lemma** *bin-nth-sint*:

$\text{LENGTH}('a) \leq n \implies$   
 $\text{bit } (\text{sint } w) n = \text{bit } (\text{sint } w) (\text{LENGTH}('a) - 1)$   
**for**  $w :: 'a::\text{len } \text{word}$   
 ⟨*proof*⟩

**lemma** *num-of-bintr'*:

$\text{take-bit } (\text{LENGTH}('a::\text{len}))$  (*numeral*  $a :: \text{int}$ ) = (*numeral*  $b$ )  $\implies$

$\text{numeral } a = (\text{numeral } b :: 'a \text{ word})$   
 $\langle \text{proof} \rangle$

**lemma** *num-of-sbintr'*:  
 $\text{signed-take-bit } (\text{LENGTH}('a::\text{len}) - 1) (\text{numeral } a :: \text{int}) = (\text{numeral } b) \implies$   
 $\text{numeral } a = (\text{numeral } b :: 'a \text{ word})$   
 $\langle \text{proof} \rangle$

**lemma** *num-abs-bintr*:  
 $(\text{numeral } x :: 'a \text{ word}) =$   
 $\text{word-of-int } (\text{take-bit } (\text{LENGTH}('a::\text{len})) (\text{numeral } x))$   
 $\langle \text{proof} \rangle$

**lemma** *num-abs-sbintr*:  
 $(\text{numeral } x :: 'a \text{ word}) =$   
 $\text{word-of-int } (\text{signed-take-bit } (\text{LENGTH}('a::\text{len}) - 1) (\text{numeral } x))$   
 $\langle \text{proof} \rangle$

*cast* – note, no arg for new length, as it’s determined by type of result, thus in  $\text{cast } w = w$ , the type means cast to length of  $w$ !

**lemma** *bit-ucast-iff*:  
 $\langle \text{bit } (\text{ucast } a :: 'a::\text{len} \text{ word}) n \longleftrightarrow n < \text{LENGTH}('a::\text{len}) \wedge \text{bit } a \ n \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *ucast-id* [*simp*]:  $\text{ucast } w = w$   
 $\langle \text{proof} \rangle$

**lemma** *scast-id* [*simp*]:  $\text{scast } w = w$   
 $\langle \text{proof} \rangle$

**lemma** *ucast-mask-eq*:  
 $\langle \text{ucast } (\text{mask } n :: 'b \text{ word}) = \text{mask } (\text{min } \text{LENGTH}('b::\text{len}) \ n) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *ucast-bintr* [*simp*]:  
 $\text{ucast } (\text{numeral } w :: 'a::\text{len} \text{ word}) =$   
 $\text{word-of-int } (\text{take-bit } (\text{LENGTH}('a)) (\text{numeral } w))$   
 $\langle \text{proof} \rangle$

**lemma** *scast-sbintr* [*simp*]:  
 $\text{scast } (\text{numeral } w :: 'a::\text{len} \text{ word}) =$   
 $\text{word-of-int } (\text{signed-take-bit } (\text{LENGTH}('a) - \text{Suc } 0) (\text{numeral } w))$   
 $\langle \text{proof} \rangle$

**lemma** *source-size*:  $\text{source-size } (c::'a::\text{len} \text{ word} \Rightarrow -) = \text{LENGTH}('a)$   
 $\langle \text{proof} \rangle$

**lemma** *target-size*:  $\text{target-size } (c::- \Rightarrow 'b::\text{len} \text{ word}) = \text{LENGTH}('b)$

⟨proof⟩

**lemma** *is-down*:  $is\text{-}down\ c \longleftrightarrow LENGTH('b) \leq LENGTH('a)$   
**for**  $c :: 'a::len\ word \Rightarrow 'b::len\ word$   
 ⟨proof⟩

**lemma** *is-up*:  $is\text{-}up\ c \longleftrightarrow LENGTH('a) \leq LENGTH('b)$   
**for**  $c :: 'a::len\ word \Rightarrow 'b::len\ word$   
 ⟨proof⟩

**lemma** *is-up-down*:  
 ⟨ $is\text{-}up\ c \longleftrightarrow is\text{-}down\ d$ ⟩  
**for**  $c :: 'a::len\ word \Rightarrow 'b::len\ word$   
**and**  $d :: 'b::len\ word \Rightarrow 'a::len\ word$   
 ⟨proof⟩

**context**

**fixes** *dummy-types* :: ⟨ $'a::len \times 'b::len$ ⟩

**begin**

**private abbreviation** (*input*) *UCAST* :: ⟨ $'a::len\ word \Rightarrow 'b::len\ word$ ⟩  
**where** ⟨ $UCAST == ucast$ ⟩

**private abbreviation** (*input*) *SCAST* :: ⟨ $'a::len\ word \Rightarrow 'b::len\ word$ ⟩  
**where** ⟨ $SCAST == scast$ ⟩

**lemma** *down-cast-same*:  
 ⟨ $UCAST = scast$ ⟩ **if** ⟨ $is\text{-}down\ UCAST$ ⟩  
 ⟨proof⟩

**lemma** *sint-up-scast*:  
 ⟨ $sint\ (SCAST\ w) = sint\ w$ ⟩ **if** ⟨ $is\text{-}up\ SCAST$ ⟩  
 ⟨proof⟩

**lemma** *uint-up-ucast*:  
 ⟨ $uint\ (UCAST\ w) = uint\ w$ ⟩ **if** ⟨ $is\text{-}up\ UCAST$ ⟩  
 ⟨proof⟩

**lemma** *ucast-up-ucast*:  
 ⟨ $ucast\ (UCAST\ w) = ucast\ w$ ⟩ **if** ⟨ $is\text{-}up\ UCAST$ ⟩  
 ⟨proof⟩

**lemma** *ucast-up-ucast-id*:  
 ⟨ $ucast\ (UCAST\ w) = w$ ⟩ **if** ⟨ $is\text{-}up\ UCAST$ ⟩  
 ⟨proof⟩

**lemma** *scast-up-scast*:  
 ⟨ $scast\ (SCAST\ w) = scast\ w$ ⟩ **if** ⟨ $is\text{-}up\ SCAST$ ⟩  
 ⟨proof⟩

**lemma** *scast-up-scast-id*:

$\langle \text{scast } (SCAST\ w) = w \rangle$  **if**  $\langle \text{is-up } SCAST \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *isduu*:

$\langle \text{is-up } UCAST \rangle$  **if**  $\langle \text{is-down } d \rangle$   
**for**  $d :: \langle 'b\ word \Rightarrow 'a\ word \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *isdus*:

$\langle \text{is-up } SCAST \rangle$  **if**  $\langle \text{is-down } d \rangle$   
**for**  $d :: \langle 'b\ word \Rightarrow 'a\ word \rangle$   
 $\langle \text{proof} \rangle$

**lemmas** *ucast-down-ucast-id = isduu [THEN ucast-up-ucast-id]*

**lemmas** *scast-down-scast-id = isdus [THEN scast-up-scast-id]*

**lemma** *up-ucast-surj*:

$\langle \text{surj } (ucast :: 'b\ word \Rightarrow 'a\ word) \rangle$  **if**  $\langle \text{is-up } UCAST \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *up-scast-surj*:

$\langle \text{surj } (scast :: 'b\ word \Rightarrow 'a\ word) \rangle$  **if**  $\langle \text{is-up } SCAST \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *down-ucast-inj*:

$\langle \text{inj-on } UCAST\ A \rangle$  **if**  $\langle \text{is-down } (ucast :: 'b\ word \Rightarrow 'a\ word) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *down-scast-inj*:

$\langle \text{inj-on } SCAST\ A \rangle$  **if**  $\langle \text{is-down } (scast :: 'b\ word \Rightarrow 'a\ word) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *ucast-down-wi*:

$\langle UCAST\ (\text{word-of-int } x) = \text{word-of-int } x \rangle$  **if**  $\langle \text{is-down } UCAST \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *ucast-down-no*:

$\langle UCAST\ (\text{numeral } bin) = \text{numeral } bin \rangle$  **if**  $\langle \text{is-down } UCAST \rangle$   
 $\langle \text{proof} \rangle$

**end**

**lemmas** *word-log-defs = word-and-def word-or-def word-xor-def word-not-def*

**lemma** *bit-last-iff*:

$\langle \text{bit } w\ (\text{LENGTH } 'a) - \text{Suc } 0) \longleftrightarrow \text{sint } w < 0 \rangle$  (**is**  $\langle ?P \longleftrightarrow ?Q \rangle$ )  
**for**  $w :: \langle 'a::\text{len } word \rangle$



⟨proof⟩

**lemma** *drop-bit-eq-zero-iff-not-bit-last*:

⟨*drop-bit* (*LENGTH*('a) - *Suc* 0) *w* = 0  $\longleftrightarrow$   $\neg$  *bit* *w* (*LENGTH*('a) - *Suc* 0)⟩

**for** *w* :: 'a::len word

⟨proof⟩

**lemma** *unat-div*:

⟨*unat* (*x* *div* *y*) = *unat* *x* *div* *unat* *y*⟩

⟨proof⟩

**lemma** *unat-mod*:

⟨*unat* (*x* *mod* *y*) = *unat* *x* *mod* *unat* *y*⟩

⟨proof⟩

## 107.15 Word Arithmetic

**lemmas** *less-eq-word-numeral-numeral* [*simp*] =

*word-le-def* [of ⟨*numeral* *a*⟩ ⟨*numeral* *b*⟩, *simplified uint-bintrunc uint-bintrunc-neg unsigned-minus-1-eq-mask mask-eq-exp-minus-1*]

**for** *a b*

**lemmas** *less-word-numeral-numeral* [*simp*] =

*word-less-def* [of ⟨*numeral* *a*⟩ ⟨*numeral* *b*⟩, *simplified uint-bintrunc uint-bintrunc-neg unsigned-minus-1-eq-mask mask-eq-exp-minus-1*]

**for** *a b*

**lemmas** *less-eq-word-minus-numeral-numeral* [*simp*] =

*word-le-def* [of ⟨- *numeral* *a*⟩ ⟨*numeral* *b*⟩, *simplified uint-bintrunc uint-bintrunc-neg unsigned-minus-1-eq-mask mask-eq-exp-minus-1*]

**for** *a b*

**lemmas** *less-word-minus-numeral-numeral* [*simp*] =

*word-less-def* [of ⟨- *numeral* *a*⟩ ⟨*numeral* *b*⟩, *simplified uint-bintrunc uint-bintrunc-neg unsigned-minus-1-eq-mask mask-eq-exp-minus-1*]

**for** *a b*

**lemmas** *less-eq-word-numeral-minus-numeral* [*simp*] =

*word-le-def* [of ⟨*numeral* *a*⟩ ⟨- *numeral* *b*⟩, *simplified uint-bintrunc uint-bintrunc-neg unsigned-minus-1-eq-mask mask-eq-exp-minus-1*]

**for** *a b*

**lemmas** *less-word-numeral-minus-numeral* [*simp*] =

*word-less-def* [of ⟨*numeral* *a*⟩ ⟨- *numeral* *b*⟩, *simplified uint-bintrunc uint-bintrunc-neg unsigned-minus-1-eq-mask mask-eq-exp-minus-1*]

**for** *a b*

**lemmas** *less-eq-word-minus-numeral-minus-numeral* [*simp*] =

*word-le-def* [of ⟨- *numeral* *a*⟩ ⟨- *numeral* *b*⟩, *simplified uint-bintrunc uint-bintrunc-neg unsigned-minus-1-eq-mask mask-eq-exp-minus-1*]

**for** *a b*

**lemmas** *less-word-minus-numeral-minus-numeral* [*simp*] =

*word-less-def* [of ⟨- *numeral* *a*⟩ ⟨- *numeral* *b*⟩, *simplified uint-bintrunc uint-bintrunc-neg unsigned-minus-1-eq-mask mask-eq-exp-minus-1*]

**for** *a b*

**lemmas** *less-word-numeral-minus-1* [simp] =  
*word-less-def* [of ⟨numeral a⟩ ⟨- 1⟩, simplified *uint-bintrunc uint-bintrunc-neg*  
*unsigned-minus-1-eq-mask mask-eq-exp-minus-1*]  
**for** a b

**lemmas** *less-word-minus-numeral-minus-1* [simp] =  
*word-less-def* [of ⟨- numeral a⟩ ⟨- 1⟩, simplified *uint-bintrunc uint-bintrunc-neg*  
*unsigned-minus-1-eq-mask mask-eq-exp-minus-1*]  
**for** a b

**lemmas** *sless-eq-word-numeral-numeral* [simp] =  
*word-sle-eq* [of ⟨numeral a⟩ ⟨numeral b⟩, simplified *sint-sbintrunc sint-sbintrunc-neg*]  
**for** a b

**lemmas** *sless-word-numeral-numeral* [simp] =  
*word-sless-alt* [of ⟨numeral a⟩ ⟨numeral b⟩, simplified *sint-sbintrunc sint-sbintrunc-neg*]  
**for** a b

**lemmas** *sless-eq-word-minus-numeral-numeral* [simp] =  
*word-sle-eq* [of ⟨- numeral a⟩ ⟨numeral b⟩, simplified *sint-sbintrunc sint-sbintrunc-neg*]  
**for** a b

**lemmas** *sless-word-minus-numeral-numeral* [simp] =  
*word-sless-alt* [of ⟨- numeral a⟩ ⟨numeral b⟩, simplified *sint-sbintrunc sint-sbintrunc-neg*]  
**for** a b

**lemmas** *sless-eq-word-numeral-minus-numeral* [simp] =  
*word-sle-eq* [of ⟨numeral a⟩ ⟨- numeral b⟩, simplified *sint-sbintrunc sint-sbintrunc-neg*]  
**for** a b

**lemmas** *sless-word-numeral-minus-numeral* [simp] =  
*word-sless-alt* [of ⟨numeral a⟩ ⟨- numeral b⟩, simplified *sint-sbintrunc sint-sbintrunc-neg*]  
**for** a b

**lemmas** *sless-eq-word-minus-numeral-minus-numeral* [simp] =  
*word-sle-eq* [of ⟨- numeral a⟩ ⟨- numeral b⟩, simplified *sint-sbintrunc sint-sbintrunc-neg*]  
**for** a b

**lemmas** *sless-word-minus-numeral-minus-numeral* [simp] =  
*word-sless-alt* [of ⟨- numeral a⟩ ⟨- numeral b⟩, simplified *sint-sbintrunc sint-sbintrunc-neg*]  
**for** a b

**lemmas** *div-word-numeral-numeral* [simp] =  
*word-div-def* [of ⟨numeral a⟩ ⟨numeral b⟩, simplified *uint-bintrunc uint-bintrunc-neg*  
*unsigned-minus-1-eq-mask mask-eq-exp-minus-1*]  
**for** a b

**lemmas** *div-word-minus-numeral-numeral* [simp] =  
*word-div-def* [of ⟨- numeral a⟩ ⟨numeral b⟩, simplified *uint-bintrunc uint-bintrunc-neg*  
*unsigned-minus-1-eq-mask mask-eq-exp-minus-1*]  
**for** a b

**lemmas** *div-word-numeral-minus-numeral* [simp] =  
*word-div-def* [of ⟨numeral a⟩ ⟨- numeral b⟩, simplified *uint-bintrunc uint-bintrunc-neg*  
*unsigned-minus-1-eq-mask mask-eq-exp-minus-1*]  
**for** a b

**lemmas** *div-word-minus-numeral-minus-numeral* [simp] =  
*word-div-def* [of ⟨- numeral a⟩ ⟨- numeral b⟩, simplified *uint-bintrunc uint-bintrunc-neg*  
*unsigned-minus-1-eq-mask mask-eq-exp-minus-1*]  
**for** a b

**for**  $a\ b$   
**lemmas** *div-word-minus-1-numeral* [*simp*] =  
*word-div-def* [of  $\langle -\ 1 \rangle \langle \text{numeral } b \rangle$ , *simplified uint-bintrunc uint-bintrunc-neg*  
*unsigned-minus-1-eq-mask mask-eq-exp-minus-1*]  
**for**  $a\ b$   
**lemmas** *div-word-minus-1-minus-numeral* [*simp*] =  
*word-div-def* [of  $\langle -\ 1 \rangle \langle -\ \text{numeral } b \rangle$ , *simplified uint-bintrunc uint-bintrunc-neg*  
*unsigned-minus-1-eq-mask mask-eq-exp-minus-1*]  
**for**  $a\ b$

**lemmas** *mod-word-numeral-numeral* [*simp*] =  
*word-mod-def* [of  $\langle \text{numeral } a \rangle \langle \text{numeral } b \rangle$ , *simplified uint-bintrunc uint-bintrunc-neg*  
*unsigned-minus-1-eq-mask mask-eq-exp-minus-1*]  
**for**  $a\ b$   
**lemmas** *mod-word-minus-numeral-numeral* [*simp*] =  
*word-mod-def* [of  $\langle -\ \text{numeral } a \rangle \langle \text{numeral } b \rangle$ , *simplified uint-bintrunc uint-bintrunc-neg*  
*unsigned-minus-1-eq-mask mask-eq-exp-minus-1*]  
**for**  $a\ b$   
**lemmas** *mod-word-numeral-minus-numeral* [*simp*] =  
*word-mod-def* [of  $\langle \text{numeral } a \rangle \langle -\ \text{numeral } b \rangle$ , *simplified uint-bintrunc uint-bintrunc-neg*  
*unsigned-minus-1-eq-mask mask-eq-exp-minus-1*]  
**for**  $a\ b$   
**lemmas** *mod-word-minus-numeral-minus-numeral* [*simp*] =  
*word-mod-def* [of  $\langle -\ \text{numeral } a \rangle \langle -\ \text{numeral } b \rangle$ , *simplified uint-bintrunc uint-bintrunc-neg*  
*unsigned-minus-1-eq-mask mask-eq-exp-minus-1*]  
**for**  $a\ b$

**lemmas** *mod-word-minus-1-numeral* [*simp*] =  
*word-mod-def* [of  $\langle -\ 1 \rangle \langle \text{numeral } b \rangle$ , *simplified uint-bintrunc uint-bintrunc-neg*  
*unsigned-minus-1-eq-mask mask-eq-exp-minus-1*]  
**for**  $a\ b$   
**lemmas** *mod-word-minus-1-minus-numeral* [*simp*] =  
*word-mod-def* [of  $\langle -\ 1 \rangle \langle -\ \text{numeral } b \rangle$ , *simplified uint-bintrunc uint-bintrunc-neg*  
*unsigned-minus-1-eq-mask mask-eq-exp-minus-1*]  
**for**  $a\ b$

**lemma** *signed-drop-bit-of-1* [*simp*]:  
 $\langle \text{signed-drop-bit } n\ (1 :: 'a::\text{len word}) = \text{of-bool } (\text{LENGTH}('a) = 1 \vee n = 0) \rangle$   
*<proof>*

**lemma** *take-bit-word-beyond-length-eq*:  
 $\langle \text{take-bit } n\ w = w \ \text{if } \langle \text{LENGTH}('a) \leq n \rangle \ \text{for } w :: \langle 'a::\text{len word} \rangle$   
*<proof>*

**lemmas** *word-div-no* [*simp*] = *word-div-def* [of *numeral a numeral b*] **for**  $a\ b$   
**lemmas** *word-mod-no* [*simp*] = *word-mod-def* [of *numeral a numeral b*] **for**  $a\ b$   
**lemmas** *word-less-no* [*simp*] = *word-less-def* [of *numeral a numeral b*] **for**  $a\ b$   
**lemmas** *word-le-no* [*simp*] = *word-le-def* [of *numeral a numeral b*] **for**  $a\ b$   
**lemmas** *word-sless-no* [*simp*] = *word-sless-eq* [of *numeral a numeral b*] **for**  $a\ b$   
**lemmas** *word-sle-no* [*simp*] = *word-sle-eq* [of *numeral a numeral b*] **for**  $a\ b$

**lemma** *size-0-same'*:  $\text{size } w = 0 \implies w = v$

**for**  $v w :: 'a::\text{len word}$

$\langle \text{proof} \rangle$

**lemmas** *size-0-same* = *size-0-same'* [*unfolded word-size*]

**lemmas** *unat-eq-0* = *unat-0-iff*

**lemmas** *unat-eq-zero* = *unat-0-iff*

**lemma** *mask-1*:  $\text{mask } 1 = 1$

$\langle \text{proof} \rangle$

**lemma** *mask-Suc-0*:  $\text{mask } (\text{Suc } 0) = 1$

$\langle \text{proof} \rangle$

**lemma** *bin-last-bintrunc*:  $\text{odd } (\text{take-bit } l \ n) \longleftrightarrow l > 0 \wedge \text{odd } n$

$\langle \text{proof} \rangle$

**lemma** *push-bit-word-beyond* [*simp*]:

$\langle \text{push-bit } n \ w = 0 \rangle$  **if**  $\langle \text{LENGTH } ('a) \leq n \rangle$  **for**  $w :: 'a::\text{len word}$

$\langle \text{proof} \rangle$

**lemma** *drop-bit-word-beyond* [*simp*]:

$\langle \text{drop-bit } n \ w = 0 \rangle$  **if**  $\langle \text{LENGTH } ('a) \leq n \rangle$  **for**  $w :: 'a::\text{len word}$

$\langle \text{proof} \rangle$

**lemma** *signed-drop-bit-beyond*:

$\langle \text{signed-drop-bit } n \ w = (\text{if bit } w \ (\text{LENGTH } ('a) - \text{Suc } 0) \ \text{then } -1 \ \text{else } 0) \rangle$

**if**  $\langle \text{LENGTH } ('a) \leq n \rangle$  **for**  $w :: 'a::\text{len word}$

$\langle \text{proof} \rangle$

**lemma** *take-bit-numeral-minus-numeral-word* [*simp*]:

$\langle \text{take-bit } (\text{numeral } m) \ (- \ \text{numeral } n :: 'a::\text{len word}) =$

$(\text{case take-bit-num } (\text{numeral } m) \ n \ \text{of } \text{None} \Rightarrow 0 \mid \text{Some } q \Rightarrow \text{take-bit } (\text{numeral } m) \ (2 \wedge \text{numeral } m - \text{numeral } q)) \rangle$  **(is**  $\langle ?lhs = ?rhs \rangle$ )

$\langle \text{proof} \rangle$

**lemma** *of-nat-inverse*:

$\langle \text{word-of-nat } r = a \implies r < 2 \wedge \text{LENGTH } ('a) \implies \text{unat } a = r \rangle$

**for**  $a :: 'a::\text{len word}$

$\langle \text{proof} \rangle$

## 107.16 Transferring goals from words to ints

**lemma** *word-ths*:

**shows** *word-succ-p1*:  $\text{word-succ } a = a + 1$

**and** *word-pred-m1*:  $\text{word-pred } a = a - 1$

**and** *word-pred-succ*:  $\text{word-pred } (\text{word-succ } a) = a$

**and** *word-succ-pred*:  $\text{word-succ} (\text{word-pred } a) = a$   
**and** *word-mult-succ*:  $\text{word-succ } a * b = b + a * b$   
 ⟨*proof*⟩

**lemma** *uint-cong*:  $x = y \implies \text{uint } x = \text{uint } y$   
 ⟨*proof*⟩

**lemma** *uint-word-ariths*:

**fixes**  $a \ b :: 'a::\text{len word}$

**shows**  $\text{uint } (a + b) = (\text{uint } a + \text{uint } b) \bmod 2^{\wedge \text{LENGTH}('a::\text{len})}$

**and**  $\text{uint } (a - b) = (\text{uint } a - \text{uint } b) \bmod 2^{\wedge \text{LENGTH}('a)}$

**and**  $\text{uint } (a * b) = \text{uint } a * \text{uint } b \bmod 2^{\wedge \text{LENGTH}('a)}$

**and**  $\text{uint } (- a) = - \text{uint } a \bmod 2^{\wedge \text{LENGTH}('a)}$

**and**  $\text{uint } (\text{word-succ } a) = (\text{uint } a + 1) \bmod 2^{\wedge \text{LENGTH}('a)}$

**and**  $\text{uint } (\text{word-pred } a) = (\text{uint } a - 1) \bmod 2^{\wedge \text{LENGTH}('a)}$

**and**  $\text{uint } (0 :: 'a \text{ word}) = 0 \bmod 2^{\wedge \text{LENGTH}('a)}$

**and**  $\text{uint } (1 :: 'a \text{ word}) = 1 \bmod 2^{\wedge \text{LENGTH}('a)}$

⟨*proof*⟩

**lemma** *uint-word-arith-bintrs*:

**fixes**  $a \ b :: 'a::\text{len word}$

**shows**  $\text{uint } (a + b) = \text{take-bit } (\text{LENGTH}('a)) (\text{uint } a + \text{uint } b)$

**and**  $\text{uint } (a - b) = \text{take-bit } (\text{LENGTH}('a)) (\text{uint } a - \text{uint } b)$

**and**  $\text{uint } (a * b) = \text{take-bit } (\text{LENGTH}('a)) (\text{uint } a * \text{uint } b)$

**and**  $\text{uint } (- a) = \text{take-bit } (\text{LENGTH}('a)) (- \text{uint } a)$

**and**  $\text{uint } (\text{word-succ } a) = \text{take-bit } (\text{LENGTH}('a)) (\text{uint } a + 1)$

**and**  $\text{uint } (\text{word-pred } a) = \text{take-bit } (\text{LENGTH}('a)) (\text{uint } a - 1)$

**and**  $\text{uint } (0 :: 'a \text{ word}) = \text{take-bit } (\text{LENGTH}('a)) 0$

**and**  $\text{uint } (1 :: 'a \text{ word}) = \text{take-bit } (\text{LENGTH}('a)) 1$

⟨*proof*⟩

**lemma** *sint-word-ariths*:

**fixes**  $a \ b :: 'a::\text{len word}$

**shows**  $\text{sint } (a + b) = \text{signed-take-bit } (\text{LENGTH}('a) - 1) (\text{sint } a + \text{sint } b)$

**and**  $\text{sint } (a - b) = \text{signed-take-bit } (\text{LENGTH}('a) - 1) (\text{sint } a - \text{sint } b)$

**and**  $\text{sint } (a * b) = \text{signed-take-bit } (\text{LENGTH}('a) - 1) (\text{sint } a * \text{sint } b)$

**and**  $\text{sint } (- a) = \text{signed-take-bit } (\text{LENGTH}('a) - 1) (- \text{sint } a)$

**and**  $\text{sint } (\text{word-succ } a) = \text{signed-take-bit } (\text{LENGTH}('a) - 1) (\text{sint } a + 1)$

**and**  $\text{sint } (\text{word-pred } a) = \text{signed-take-bit } (\text{LENGTH}('a) - 1) (\text{sint } a - 1)$

**and**  $\text{sint } (0 :: 'a \text{ word}) = \text{signed-take-bit } (\text{LENGTH}('a) - 1) 0$

**and**  $\text{sint } (1 :: 'a \text{ word}) = \text{signed-take-bit } (\text{LENGTH}('a) - 1) 1$

⟨*proof*⟩

**lemma** *word-pred-0-n1*:  $\text{word-pred } 0 = \text{word-of-int } (- 1)$

⟨*proof*⟩

**lemma** *succ-pred-no [simp]*:

$\text{word-succ } (\text{numeral } w) = \text{numeral } w + 1$

$\text{word-pred } (\text{numeral } w) = \text{numeral } w - 1$

$\text{word-succ } (- \text{ numeral } w) = - \text{ numeral } w + 1$   
 $\text{word-pred } (- \text{ numeral } w) = - \text{ numeral } w - 1$   
 ⟨proof⟩

**lemma** *word-sp-01* [*simp*]:

$\text{word-succ } (- 1) = 0 \wedge \text{word-succ } 0 = 1 \wedge \text{word-pred } 0 = - 1 \wedge \text{word-pred } 1 = 0$   
 ⟨proof⟩

**lemma** *word-of-int-Ex*:  $\exists y. x = \text{word-of-int } y$

⟨proof⟩

### 107.17 Order on fixed-length words

**lift-definition** *udvd* ::  $\langle 'a::\text{len word} \Rightarrow 'a::\text{len word} \Rightarrow \text{bool} \rangle$  (**infixl**  $\langle \text{udvd} \rangle$  50)  
**is**  $\langle \lambda k l. \text{take-bit LENGTH('a) } k \text{ dvd take-bit LENGTH('a) } l \rangle$  ⟨proof⟩

**lemma** *udvd-iff-dvd*:

$\langle x \text{ udvd } y \iff \text{unat } x \text{ dvd unat } y \rangle$   
 ⟨proof⟩

**lemma** *udvd-iff-dvd-int*:

$\langle v \text{ udvd } w \iff \text{uint } v \text{ dvd uint } w \rangle$   
 ⟨proof⟩

**lemma** *udvdI* [*intro*]:

$\langle v \text{ udvd } w \rangle$  **if**  $\langle \text{unat } w = \text{unat } v * \text{unat } u \rangle$   
 ⟨proof⟩

**lemma** *udvdE* [*elim*]:

**fixes**  $v w :: \langle 'a::\text{len word} \rangle$   
**assumes**  $\langle v \text{ udvd } w \rangle$   
**obtains**  $u :: \langle 'a \text{ word} \rangle$  **where**  $\langle \text{unat } w = \text{unat } v * \text{unat } u \rangle$   
 ⟨proof⟩

**lemma** *udvd-imp-mod-eq-0*:

$\langle w \text{ mod } v = 0 \rangle$  **if**  $\langle v \text{ udvd } w \rangle$   
 ⟨proof⟩

**lemma** *mod-eq-0-imp-udvd* [*intro?*]:

$\langle v \text{ udvd } w \rangle$  **if**  $\langle w \text{ mod } v = 0 \rangle$   
 ⟨proof⟩

**lemma** *udvd-imp-dvd*:

$\langle v \text{ dvd } w \rangle$  **if**  $\langle v \text{ udvd } w \rangle$  **for**  $v w :: \langle 'a::\text{len word} \rangle$   
 ⟨proof⟩

**lemma** *exp-dvd-iff-exp-udvd*:

$\langle 2 \hat{=} n \text{ dvd } w \iff 2 \hat{=} n \text{ udvd } w \rangle$  **for**  $v w :: \langle 'a::\text{len word} \rangle$   
 ⟨proof⟩

**lemma** *udvd-nat-alt*:

$\langle a \text{ udvd } b \longleftrightarrow (\exists n. \text{ unat } b = n * \text{ unat } a) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *udvd-unfold-int*:

$\langle a \text{ udvd } b \longleftrightarrow (\exists n \geq 0. \text{ uint } b = n * \text{ uint } a) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *unat-minus-one*:

$\langle \text{ unat } (w - 1) = \text{ unat } w - 1 \rangle$  **if**  $\langle w \neq 0 \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *measure-unat*:  $p \neq 0 \implies \text{ unat } (p - 1) < \text{ unat } p$

$\langle \text{proof} \rangle$

**lemmas** *uint-add-ge0* [*simp*] = *add-nonneg-nonneg* [*OF uint-ge-0 uint-ge-0*]

**lemmas** *uint-mult-ge0* [*simp*] = *mult-nonneg-nonneg* [*OF uint-ge-0 uint-ge-0*]

**lemma** *uint-sub-lt2p* [*simp*]:  $\text{ uint } x - \text{ uint } y < 2 \wedge \text{ LENGTH}('a)$

**for**  $x :: 'a::\text{len word}$  **and**  $y :: 'b::\text{len word}$

$\langle \text{proof} \rangle$

## 107.18 Conditions for the addition (etc) of two words to overflow

**lemma** *uint-add-lem*:

$(\text{ uint } x + \text{ uint } y < 2 \wedge \text{ LENGTH}('a)) =$

$(\text{ uint } (x + y) = \text{ uint } x + \text{ uint } y)$

**for**  $x \ y :: 'a::\text{len word}$

$\langle \text{proof} \rangle$

**lemma** *uint-mult-lem*:

$(\text{ uint } x * \text{ uint } y < 2 \wedge \text{ LENGTH}('a)) =$

$(\text{ uint } (x * y) = \text{ uint } x * \text{ uint } y)$

**for**  $x \ y :: 'a::\text{len word}$

$\langle \text{proof} \rangle$

**lemma** *uint-sub-lem*:  $\text{ uint } x \geq \text{ uint } y \longleftrightarrow \text{ uint } (x - y) = \text{ uint } x - \text{ uint } y$

$\langle \text{proof} \rangle$

**lemma** *uint-add-le*:  $\text{ uint } (x + y) \leq \text{ uint } x + \text{ uint } y$

$\langle \text{proof} \rangle$

**lemma** *uint-sub-ge*:  $\text{ uint } (x - y) \geq \text{ uint } x - \text{ uint } y$

$\langle \text{proof} \rangle$

**lemma** *int-mod-ge*:  $\langle a \leq a \text{ mod } n \rangle$  **if**  $\langle a < n \rangle \langle 0 < n \rangle$

**for**  $a \ n :: \text{ int}$

*<proof>*

**lemma** *mod-add-if-z*:

$\llbracket x < z; y < z; 0 \leq y; 0 \leq x; 0 \leq z \rrbracket \implies$   
 $(x + y) \bmod z = (\text{if } x + y < z \text{ then } x + y \text{ else } x + y - z)$   
**for**  $x\ y\ z :: \text{int}$   
*<proof>*

**lemma** *uint-plus-if'*:

$\text{uint } (a + b) =$   
 $(\text{if } \text{uint } a + \text{uint } b < 2^{\wedge} \text{LENGTH}('a) \text{ then } \text{uint } a + \text{uint } b$   
 $\text{else } \text{uint } a + \text{uint } b - 2^{\wedge} \text{LENGTH}('a))$   
**for**  $a\ b :: 'a::\text{len word}$   
*<proof>*

**lemma** *mod-sub-if-z*:

$\llbracket x < z; y < z; 0 \leq y; 0 \leq x; 0 \leq z \rrbracket \implies$   
 $(x - y) \bmod z = (\text{if } y \leq x \text{ then } x - y \text{ else } x - y + z)$   
**for**  $x\ y\ z :: \text{int}$   
*<proof>*

**lemma** *uint-sub-if'*:

$\text{uint } (a - b) =$   
 $(\text{if } \text{uint } b \leq \text{uint } a \text{ then } \text{uint } a - \text{uint } b$   
 $\text{else } \text{uint } a - \text{uint } b + 2^{\wedge} \text{LENGTH}('a))$   
**for**  $a\ b :: 'a::\text{len word}$   
*<proof>*

**lemma** *word-of-int-inverse*:

$\text{word-of-int } r = a \implies 0 \leq r \implies r < 2^{\wedge} \text{LENGTH}('a) \implies \text{uint } a = r$   
**for**  $a :: 'a::\text{len word}$   
*<proof>*

**lemma** *unat-split*:  $P (\text{unat } x) \longleftrightarrow (\forall n. \text{of-nat } n = x \wedge n < 2^{\wedge} \text{LENGTH}('a) \longrightarrow P\ n)$

**for**  $x :: 'a::\text{len word}$   
*<proof>*

**lemma** *unat-split-asm*:  $P (\text{unat } x) \longleftrightarrow (\exists n. \text{of-nat } n = x \wedge n < 2^{\wedge} \text{LENGTH}('a) \wedge \neg P\ n)$

**for**  $x :: 'a::\text{len word}$   
*<proof>*

**lemma** *un-ui-le*:

$\langle \text{unat } a \leq \text{unat } b \longleftrightarrow \text{uint } a \leq \text{uint } b \rangle$   
*<proof>*

**lemma** *unat-plus-if'*:

$\langle \text{unat } (a + b) =$



(if  $\text{unat } a + \text{unat } b < 2^{\wedge} \text{LENGTH}('a)$   
 then  $\text{unat } a + \text{unat } b$   
 else  $\text{unat } a + \text{unat } b - 2^{\wedge} \text{LENGTH}('a)$ ) **for**  $a \ b :: \langle 'a :: \text{len word} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *unat-sub-if-size*:

$\text{unat } (x - y) =$   
 (if  $\text{unat } y \leq \text{unat } x$   
 then  $\text{unat } x - \text{unat } y$   
 else  $\text{unat } x + 2^{\wedge} \text{size } x - \text{unat } y$ )  
 $\langle \text{proof} \rangle$

**lemmas** *unat-sub-if' = unat-sub-if-size [unfolded word-size]*

**lemma** *uint-split*:

$P (\text{uint } x) = (\forall i. \text{word-of-int } i = x \wedge 0 \leq i \wedge i < 2^{\wedge} \text{LENGTH}('a) \longrightarrow P \ i)$   
**for**  $x :: 'a :: \text{len word}$   
 $\langle \text{proof} \rangle$

**lemma** *uint-split-asm*:

$P (\text{uint } x) = (\exists i. \text{word-of-int } i = x \wedge 0 \leq i \wedge i < 2^{\wedge} \text{LENGTH}('a) \wedge \neg P \ i)$   
**for**  $x :: 'a :: \text{len word}$   
 $\langle \text{proof} \rangle$

## 107.19 Some proof tool support

**lemma** *power-False-cong*:  $\text{False} \implies a^{\wedge} b = c^{\wedge} d$   
 $\langle \text{proof} \rangle$

**lemmas** *unat-splits = unat-split unat-split-asm*

**lemmas** *unat-arith-simps =*

*word-le-nat-alt word-less-nat-alt*  
*word-unat-eq-iff*  
*unat-sub-if' unat-plus-if' unat-div unat-mod*

**lemmas** *uint-splits = uint-split uint-split-asm*

**lemmas** *uint-arith-simps =*

*word-le-def word-less-alt*  
*word-uint-eq-iff*  
*uint-sub-if' uint-plus-if'*

— *unat-arith-tac*: tactic to reduce word arithmetic to *nat*, try to solve via *arith*  
 $\langle \text{ML} \rangle$

## 107.20 More on overflows and monotonicity

**lemma** *no-plus-overflow-uint-size*:  $x \leq x + y \longleftrightarrow \text{uint } x + \text{uint } y < 2^{\wedge} \text{size } x$   
**for**  $x \ y :: 'a :: \text{len word}$

*<proof>*

**lemmas** *no-olen-add = no-plus-overflow-uint-size* [*unfolded word-size*]

**lemma** *no-olen-sub*:  $x \geq x - y \longleftrightarrow \text{uint } y \leq \text{uint } x$   
**for**  $x \ y :: 'a::\text{len word}$   
*<proof>*

**lemma** *no-olen-add'*:  $x \leq y + x \longleftrightarrow \text{uint } y + \text{uint } x < 2 \wedge \text{LENGTH}('a)$   
**for**  $x \ y :: 'a::\text{len word}$   
*<proof>*

**lemmas** *olen-add-egv = trans* [*OF no-olen-add no-olen-add'* [*symmetric*]]

**lemmas** *uint-plus-simple-iff = trans* [*OF no-olen-add uint-add-lem*]

**lemmas** *uint-plus-simple = uint-plus-simple-iff* [*THEN iffD1*]

**lemmas** *uint-minus-simple-iff = trans* [*OF no-olen-sub uint-sub-lem*]

**lemmas** *uint-minus-simple-alt = uint-sub-lem* [*folded word-le-def*]

**lemmas** *word-sub-le-iff = no-olen-sub* [*folded word-le-def*]

**lemmas** *word-sub-le = word-sub-le-iff* [*THEN iffD2*]

**lemma** *word-less-sub1*:  $x \neq 0 \implies 1 < x \longleftrightarrow 0 < x - 1$   
**for**  $x :: 'a::\text{len word}$   
*<proof>*

**lemma** *word-le-sub1*:  $x \neq 0 \implies 1 \leq x \longleftrightarrow 0 \leq x - 1$   
**for**  $x :: 'a::\text{len word}$   
*<proof>*

**lemma** *sub-wrap-lt*:  $x < x - z \longleftrightarrow x < z$   
**for**  $x \ z :: 'a::\text{len word}$   
*<proof>*

**lemma** *sub-wrap*:  $x \leq x - z \longleftrightarrow z = 0 \vee x < z$   
**for**  $x \ z :: 'a::\text{len word}$   
*<proof>*

**lemma** *plus-minus-not-NULL-ab*:  $x \leq ab - c \implies c \leq ab \implies c \neq 0 \implies x + c \neq 0$   
**for**  $x \ ab \ c :: 'a::\text{len word}$   
*<proof>*

**lemma** *plus-minus-no-overflow-ab*:  $x \leq ab - c \implies c \leq ab \implies x \leq x + c$   
**for**  $x \ ab \ c :: 'a::\text{len word}$   
*<proof>*

**lemma** *le-minus'*:  $a + c \leq b \implies a \leq a + c \implies c \leq b - a$   
**for**  $a \ b \ c :: 'a::\text{len word}$   
*<proof>*

**lemma** *le-plus'*:  $a \leq b \implies c \leq b - a \implies a + c \leq b$

**for**  $a\ b\ c :: 'a::len\ word$

*<proof>*

**lemmas** *le-plus = le-plus'* [*rotated*]

**lemmas** *le-minus = leD* [*THEN thin-rl, THEN le-minus'*]

**lemma** *word-plus-mono-right*:  $y \leq z \implies x \leq x + z \implies x + y \leq x + z$

**for**  $x\ y\ z :: 'a::len\ word$

*<proof>*

**lemma** *word-less-minus-cancel*:  $y - x < z - x \implies x \leq z \implies y < z$

**for**  $x\ y\ z :: 'a::len\ word$

*<proof>*

**lemma** *word-less-minus-mono-left*:  $y < z \implies x \leq y \implies y - x < z - x$

**for**  $x\ y\ z :: 'a::len\ word$

*<proof>*

**lemma** *word-less-minus-mono*:  $a < c \implies d < b \implies a - b < a \implies c - d < c$   
 $\implies a - b < c - d$

**for**  $a\ b\ c\ d :: 'a::len\ word$

*<proof>*

**lemma** *word-le-minus-cancel*:  $y - x \leq z - x \implies x \leq z \implies y \leq z$

**for**  $x\ y\ z :: 'a::len\ word$

*<proof>*

**lemma** *word-le-minus-mono-left*:  $y \leq z \implies x \leq y \implies y - x \leq z - x$

**for**  $x\ y\ z :: 'a::len\ word$

*<proof>*

**lemma** *word-le-minus-mono*:

$a \leq c \implies d \leq b \implies a - b \leq a \implies c - d \leq c \implies a - b \leq c - d$

**for**  $a\ b\ c\ d :: 'a::len\ word$

*<proof>*

**lemma** *plus-le-left-cancel-wrap*:  $x + y' < x \implies x + y < x \implies x + y' < x + y$   
 $\iff y' < y$

**for**  $x\ y\ y' :: 'a::len\ word$

*<proof>*

**lemma** *plus-le-left-cancel-nowrap*:  $x \leq x + y' \implies x \leq x + y \implies x + y' < x + y$   
 $\iff y' < y$

**for**  $x\ y\ y' :: 'a::len\ word$

*<proof>*

**lemma** *word-plus-mono-right2*:  $a \leq a + b \implies c \leq b \implies a \leq a + c$   
**for**  $a\ b\ c :: 'a::len\ word$   
 ⟨*proof*⟩

**lemma** *word-less-add-right*:  $x < y - z \implies z \leq y \implies x + z < y$   
**for**  $x\ y\ z :: 'a::len\ word$   
 ⟨*proof*⟩

**lemma** *word-less-sub-right*:  $x < y + z \implies y \leq x \implies x - y < z$   
**for**  $x\ y\ z :: 'a::len\ word$   
 ⟨*proof*⟩

**lemma** *word-le-plus-either*:  $x \leq y \vee x \leq z \implies y \leq y + z \implies x \leq y + z$   
**for**  $x\ y\ z :: 'a::len\ word$   
 ⟨*proof*⟩

**lemma** *word-less-nowrapI*:  $x < z - k \implies k \leq z \implies 0 < k \implies x < x + k$   
**for**  $x\ z\ k :: 'a::len\ word$   
 ⟨*proof*⟩

**lemma** *inc-le*:  $i < m \implies i + 1 \leq m$   
**for**  $i\ m :: 'a::len\ word$   
 ⟨*proof*⟩

**lemma** *inc-i*:  $1 \leq i \implies i < m \implies 1 \leq i + 1 \wedge i + 1 \leq m$   
**for**  $i\ m :: 'a::len\ word$   
 ⟨*proof*⟩

**lemma** *udvd-incr-lem*:  
 $up < uq \implies up = ua + n * uint\ K \implies$   
 $uq = ua + n' * uint\ K \implies up + uint\ K \leq uq$   
 ⟨*proof*⟩

**lemma** *udvd-incr'*:  
 $p < q \implies uint\ p = ua + n * uint\ K \implies$   
 $uint\ q = ua + n' * uint\ K \implies p + K \leq q$   
 ⟨*proof*⟩

**lemma** *udvd-decr'*:  
**assumes**  $p < q\ uint\ p = ua + n * uint\ K\ uint\ q = ua + n' * uint\ K$   
**shows**  $uint\ q = ua + n' * uint\ K \implies p \leq q - K$   
 ⟨*proof*⟩

**lemmas** *udvd-incr-lem0* = *udvd-incr-lem* [**where**  $ua=0$ , *unfolded add-0-left*]

**lemmas** *udvd-incr0* = *udvd-incr'* [**where**  $ua=0$ , *unfolded add-0-left*]

**lemmas** *udvd-decr0* = *udvd-decr'* [**where**  $ua=0$ , *unfolded add-0-left*]

**lemma** *udvd-minus-le'*:  $xy < k \implies z\ udvd\ xy \implies z\ udvd\ k \implies xy \leq k - z$   
 ⟨*proof*⟩

**lemma** *udvd-incr2-K*:

$$p < a + s \implies a \leq a + s \implies K \text{ udvd } s \implies K \text{ udvd } p - a \implies a \leq p \implies \\ 0 < K \implies p \leq p + K \wedge p + K \leq a + s \\ \langle \text{proof} \rangle$$

## 107.21 Arithmetic type class instantiations

**lemmas** *word-le-0-iff* [*simp*] =  
*word-zero-le* [*THEN leD*, *THEN antisym-conv1*]

**lemma** *word-of-int-nat*:  $0 \leq x \implies \text{word-of-int } x = \text{of-nat } (\text{nat } x)$   
 $\langle \text{proof} \rangle$

note that *iszero-def* is only for class *comm-semiring-1-cancel*, which requires word length  $\geq 1$ , ie *'a::len word*

**lemma** *iszero-word-no* [*simp*]:  
*iszero* (*numeral bin* :: *'a::len word*) =  
*iszero* (*take-bit LENGTH('a)* (*numeral bin* :: *int*))  
 $\langle \text{proof} \rangle$

Use *iszero* to simplify equalities between word numerals.

**lemmas** *word-eq-numeral-iff-iszero* [*simp*] =  
*eq-numeral-iff-iszero* [**where** *'a='a::len word*]

**lemma** *word-less-eq-imp-half-less-eq*:  
 $\langle v \text{ div } 2 \leq w \text{ div } 2 \rangle$  **if**  $\langle v \leq w \rangle$  **for**  $v \ w :: \langle 'a::len \text{ word} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *word-half-less-imp-less-eq*:  
 $\langle v \leq w \rangle$  **if**  $\langle v \text{ div } 2 < w \text{ div } 2 \rangle$  **for**  $v \ w :: \langle 'a::len \text{ word} \rangle$   
 $\langle \text{proof} \rangle$

## 107.22 Word and nat

**lemma** *word-nchotomy*:  $\forall w :: 'a::len \text{ word}. \exists n. w = \text{of-nat } n \wedge n < 2^{\text{LENGTH}('a)}$   
 $\langle \text{proof} \rangle$

**lemma** *of-nat-eq*:  $\text{of-nat } n = w \iff (\exists q. n = \text{unat } w + q * 2^{\text{LENGTH}('a)})$   
**for**  $w :: 'a::len \text{ word}$   
 $\langle \text{proof} \rangle$

**lemma** *of-nat-eq-size*:  $\text{of-nat } n = w \iff (\exists q. n = \text{unat } w + q * 2^{\text{size } w})$   
 $\langle \text{proof} \rangle$

**lemma** *of-nat-0*:  $\text{of-nat } m = (0 :: 'a::len \text{ word}) \iff (\exists q. m = q * 2^{\text{LENGTH}('a)})$   
 $\langle \text{proof} \rangle$

**lemma** *of-nat-2p* [*simp*]:  $\text{of-nat } (2^{\text{LENGTH}('a)}) = (0 :: 'a::len \text{ word})$

*<proof>*

**lemma** *of-nat-gt-0*:  $of\text{-}nat\ k \neq 0 \implies 0 < k$

*<proof>*

**lemma** *of-nat-neq-0*:  $0 < k \implies k < 2^{\wedge} LENGTH('a::len) \implies of\text{-}nat\ k \neq (0 :: 'a\ word)$

*<proof>*

**lemma** *Abs-fnat-hom-add*:  $of\text{-}nat\ a + of\text{-}nat\ b = of\text{-}nat\ (a + b)$

*<proof>*

**lemma** *Abs-fnat-hom-mult*:  $of\text{-}nat\ a * of\text{-}nat\ b = (of\text{-}nat\ (a * b) :: 'a::len\ word)$

*<proof>*

**lemma** *Abs-fnat-hom-Suc*:  $word\text{-}succ\ (of\text{-}nat\ a) = of\text{-}nat\ (Suc\ a)$

*<proof>*

**lemma** *Abs-fnat-hom-0*:  $(0 :: 'a::len\ word) = of\text{-}nat\ 0$

*<proof>*

**lemma** *Abs-fnat-hom-1*:  $(1 :: 'a::len\ word) = of\text{-}nat\ (Suc\ 0)$

*<proof>*

**lemmas** *Abs-fnat-homs* =

*Abs-fnat-hom-add Abs-fnat-hom-mult Abs-fnat-hom-Suc*

*Abs-fnat-hom-0 Abs-fnat-hom-1*

**lemma** *word-arith-nat-add*:  $a + b = of\text{-}nat\ (unat\ a + unat\ b)$

*<proof>*

**lemma** *word-arith-nat-mult*:  $a * b = of\text{-}nat\ (unat\ a * unat\ b)$

*<proof>*

**lemma** *word-arith-nat-Suc*:  $word\text{-}succ\ a = of\text{-}nat\ (Suc\ (unat\ a))$

*<proof>*

**lemma** *word-arith-nat-div*:  $a\ div\ b = of\text{-}nat\ (unat\ a\ div\ unat\ b)$

*<proof>*

**lemma** *word-arith-nat-mod*:  $a\ mod\ b = of\text{-}nat\ (unat\ a\ mod\ unat\ b)$

*<proof>*

**lemmas** *word-arith-nat-defs* =

*word-arith-nat-add word-arith-nat-mult*

*word-arith-nat-Suc Abs-fnat-hom-0*

*Abs-fnat-hom-1 word-arith-nat-div*

*word-arith-nat-mod*

**lemma** *unat-cong*:  $x = y \implies \text{unat } x = \text{unat } y$   
 ⟨*proof*⟩

**lemma** *unat-of-nat*:  
 ⟨ $\text{unat } (\text{word-of-nat } x :: 'a::\text{len word}) = x \text{ mod } 2 \wedge \text{LENGTH}('a)$ ⟩  
 ⟨*proof*⟩

**lemmas** *unat-word-ariths = word-arith-nat-defs*  
 [THEN *trans* [OF *unat-cong unat-of-nat*]]

**lemmas** *word-sub-less-iff = word-sub-le-iff*  
 [unfolded *linorder-not-less* [symmetric] *Not-eq-iff*]

**lemma** *unat-add-lem*:  
 $\text{unat } x + \text{unat } y < 2 \wedge \text{LENGTH}('a) \longleftrightarrow \text{unat } (x + y) = \text{unat } x + \text{unat } y$   
**for**  $x \ y :: 'a::\text{len word}$   
 ⟨*proof*⟩

**lemma** *unat-mult-lem*:  
 $\text{unat } x * \text{unat } y < 2 \wedge \text{LENGTH}('a) \longleftrightarrow \text{unat } (x * y) = \text{unat } x * \text{unat } y$   
**for**  $x \ y :: 'a::\text{len word}$   
 ⟨*proof*⟩

**lemma** *le-no-overflow*:  $x \leq b \implies a \leq a + b \implies x \leq a + b$   
**for**  $a \ b \ x :: 'a::\text{len word}$   
 ⟨*proof*⟩

**lemma** *uint-div*:  
 ⟨ $\text{uint } (x \text{ div } y) = \text{uint } x \text{ div } \text{uint } y$ ⟩  
 ⟨*proof*⟩

**lemma** *uint-mod*:  
 ⟨ $\text{uint } (x \text{ mod } y) = \text{uint } x \text{ mod } \text{uint } y$ ⟩  
 ⟨*proof*⟩

**lemma** *no-plus-overflow-unat-size*:  $x \leq x + y \longleftrightarrow \text{unat } x + \text{unat } y < 2 \wedge \text{size } x$   
**for**  $x \ y :: 'a::\text{len word}$   
 ⟨*proof*⟩

**lemmas** *no-olen-add-nat =*  
*no-plus-overflow-unat-size* [unfolded *word-size*]

**lemmas** *unat-plus-simple =*  
*trans* [OF *no-olen-add-nat unat-add-lem*]

**lemma** *word-div-mult*:  $\llbracket 0 < y; \text{unat } x * \text{unat } y < 2 \wedge \text{LENGTH}('a) \rrbracket \implies x * y \text{ div } y = x$   
**for**  $x \ y :: 'a::\text{len word}$   
 ⟨*proof*⟩

**lemma** *div-lt'*:  $i \leq k \text{ div } x \implies \text{unat } i * \text{unat } x < 2 \wedge \text{LENGTH}('a)$   
**for**  $i \ k \ x :: 'a::\text{len word}$   
 ⟨*proof*⟩

**lemmas** *div-lt''* = *order-less-imp-le* [THEN *div-lt'*]

**lemma** *div-lt-mult*:  $\llbracket i < k \text{ div } x; 0 < x \rrbracket \implies i * x < k$   
**for**  $i \ k \ x :: 'a::\text{len word}$   
 ⟨*proof*⟩

**lemma** *div-le-mult*:  $\llbracket i \leq k \text{ div } x; 0 < x \rrbracket \implies i * x \leq k$   
**for**  $i \ k \ x :: 'a::\text{len word}$   
 ⟨*proof*⟩

**lemma** *div-lt-uint'*:  $i \leq k \text{ div } x \implies \text{uint } i * \text{uint } x < 2 \wedge \text{LENGTH}('a)$   
**for**  $i \ k \ x :: 'a::\text{len word}$   
 ⟨*proof*⟩

**lemmas** *div-lt-uint''* = *order-less-imp-le* [THEN *div-lt-uint'*]

**lemma** *word-le-exists'*:  $x \leq y \implies \exists z. y = x + z \wedge \text{uint } x + \text{uint } z < 2 \wedge \text{LENGTH}('a)$   
**for**  $x \ y \ z :: 'a::\text{len word}$   
 ⟨*proof*⟩

**lemmas** *plus-minus-not-NULL* = *order-less-imp-le* [THEN *plus-minus-not-NULL-ab*]

**lemmas** *plus-minus-no-overflow* =  
*order-less-imp-le* [THEN *plus-minus-no-overflow-ab*]

**lemmas** *mcs* = *word-less-minus-cancel* *word-less-minus-mono-left*  
*word-le-minus-cancel* *word-le-minus-mono-left*

**lemmas** *word-l-diffs* = *mcs* [where  $y = w + x$ , *unfolded add-diff-cancel*] **for**  $w \ x$   
**lemmas** *word-diff-ls* = *mcs* [where  $z = w + x$ , *unfolded add-diff-cancel*] **for**  $w \ x$   
**lemmas** *word-plus-mcs* = *word-diff-ls* [where  $y = v + x$ , *unfolded add-diff-cancel*]  
**for**  $v \ x$

**lemma** *le-unat-uoï*:  
 ⟨ $y \leq \text{unat } z \implies \text{unat } (\text{word-of-nat } y :: 'a \text{ word}) = y$ ⟩  
**for**  $z :: 'a::\text{len word}$   
 ⟨*proof*⟩

**lemmas** *thd* = *times-div-less-eq-dividend*

**lemmas** *uno-simps* [THEN *le-unat-uoï*] = *mod-le-divisor* *div-le-dividend*

**lemma** *word-mod-div-equality*:  $(n \text{ div } b) * b + (n \text{ mod } b) = n$



**for**  $n\ b :: 'a::len\ word$   
 ⟨*proof*⟩

**lemma** *word-div-mult-le*:  $a\ div\ b * b \leq a$   
**for**  $a\ b :: 'a::len\ word$   
 ⟨*proof*⟩

**lemma** *word-mod-less-divisor*:  $0 < n \implies m\ mod\ n < n$   
**for**  $m\ n :: 'a::len\ word$   
 ⟨*proof*⟩

**lemma** *word-of-int-power-hom*:  $word-of-int\ a^{\wedge} n = (word-of-int\ (a^{\wedge} n) :: 'a::len\ word)$   
 ⟨*proof*⟩

**lemma** *word-arith-power-alt*:  $a^{\wedge} n = (word-of-int\ (uint\ a^{\wedge} n) :: 'a::len\ word)$   
 ⟨*proof*⟩

**lemma** *unatSuc*:  $1 + n \neq 0 \implies unat\ (1 + n) = Suc\ (unat\ n)$   
**for**  $n :: 'a::len\ word$   
 ⟨*proof*⟩

### 107.23 Cardinality, finiteness of set of words

**lemma** *inj-on-word-of-int*:  $\langle inj-on\ (word-of-int :: int \Rightarrow 'a\ word)\ \{0..<2^{\wedge}LENGTH('a::len)\}\rangle$   
 ⟨*proof*⟩

**lemma** *range-uint*:  $\langle range\ (uint :: 'a\ word \Rightarrow int) = \{0..<2^{\wedge}LENGTH('a::len)\}\rangle$   
 ⟨*proof*⟩

**lemma** *UNIV-eq*:  $\langle (UNIV :: 'a\ word\ set) = word-of-int\ \{0..<2^{\wedge}LENGTH('a::len)\}\rangle$   
 ⟨*proof*⟩

**lemma** *card-word*:  $CARD('a\ word) = 2^{\wedge}LENGTH('a::len)$   
 ⟨*proof*⟩

**lemma** *card-word-size*:  $CARD('a\ word) = 2^{\wedge}size\ x$   
**for**  $x :: 'a::len\ word$   
 ⟨*proof*⟩

**end**

**instance** *word* ::  $(len)\ finite$   
 ⟨*proof*⟩

### 107.24 Bitwise Operations on Words

**context**  
**includes** *bit-operations-syntax*  
**begin**

**lemma** *word-wi-log-defs*:

$NOT (word-of-int\ a) = word-of-int (NOT\ a)$   
 $word-of-int\ a\ AND\ word-of-int\ b = word-of-int (a\ AND\ b)$   
 $word-of-int\ a\ OR\ word-of-int\ b = word-of-int (a\ OR\ b)$   
 $word-of-int\ a\ XOR\ word-of-int\ b = word-of-int (a\ XOR\ b)$   
 ⟨proof⟩

**lemma** *word-no-log-defs [simp]*:

$NOT (numeral\ a) = word-of-int (NOT (numeral\ a))$   
 $NOT (-\ numeral\ a) = word-of-int (NOT (-\ numeral\ a))$   
 $numeral\ a\ AND\ numeral\ b = word-of-int (numeral\ a\ AND\ numeral\ b)$   
 $numeral\ a\ AND\ -\ numeral\ b = word-of-int (numeral\ a\ AND\ -\ numeral\ b)$   
 $-\ numeral\ a\ AND\ numeral\ b = word-of-int (-\ numeral\ a\ AND\ numeral\ b)$   
 $-\ numeral\ a\ AND\ -\ numeral\ b = word-of-int (-\ numeral\ a\ AND\ -\ numeral\ b)$   
 $numeral\ a\ OR\ numeral\ b = word-of-int (numeral\ a\ OR\ numeral\ b)$   
 $numeral\ a\ OR\ -\ numeral\ b = word-of-int (numeral\ a\ OR\ -\ numeral\ b)$   
 $-\ numeral\ a\ OR\ numeral\ b = word-of-int (-\ numeral\ a\ OR\ numeral\ b)$   
 $-\ numeral\ a\ OR\ -\ numeral\ b = word-of-int (-\ numeral\ a\ OR\ -\ numeral\ b)$   
 $numeral\ a\ XOR\ numeral\ b = word-of-int (numeral\ a\ XOR\ numeral\ b)$   
 $numeral\ a\ XOR\ -\ numeral\ b = word-of-int (numeral\ a\ XOR\ -\ numeral\ b)$   
 $-\ numeral\ a\ XOR\ numeral\ b = word-of-int (-\ numeral\ a\ XOR\ numeral\ b)$   
 $-\ numeral\ a\ XOR\ -\ numeral\ b = word-of-int (-\ numeral\ a\ XOR\ -\ numeral\ b)$   
 ⟨proof⟩

Special cases for when one of the arguments equals 1.

**lemma** *word-bitwise-1-simps [simp]*:

$NOT (1::'a::len\ word) = -2$   
 $1\ AND\ numeral\ b = word-of-int (1\ AND\ numeral\ b)$   
 $1\ AND\ -\ numeral\ b = word-of-int (1\ AND\ -\ numeral\ b)$   
 $numeral\ a\ AND\ 1 = word-of-int (numeral\ a\ AND\ 1)$   
 $-\ numeral\ a\ AND\ 1 = word-of-int (-\ numeral\ a\ AND\ 1)$   
 $1\ OR\ numeral\ b = word-of-int (1\ OR\ numeral\ b)$   
 $1\ OR\ -\ numeral\ b = word-of-int (1\ OR\ -\ numeral\ b)$   
 $numeral\ a\ OR\ 1 = word-of-int (numeral\ a\ OR\ 1)$   
 $-\ numeral\ a\ OR\ 1 = word-of-int (-\ numeral\ a\ OR\ 1)$   
 $1\ XOR\ numeral\ b = word-of-int (1\ XOR\ numeral\ b)$   
 $1\ XOR\ -\ numeral\ b = word-of-int (1\ XOR\ -\ numeral\ b)$   
 $numeral\ a\ XOR\ 1 = word-of-int (numeral\ a\ XOR\ 1)$   
 $-\ numeral\ a\ XOR\ 1 = word-of-int (-\ numeral\ a\ XOR\ 1)$   
 ⟨proof⟩

Special cases for when one of the arguments equals -1.

**lemma** *word-bitwise-m1-simps [simp]*:

$NOT (-1::'a::len\ word) = 0$   
 $(-1::'a::len\ word)\ AND\ x = x$   
 $x\ AND\ (-1::'a::len\ word) = x$   
 $(-1::'a::len\ word)\ OR\ x = -1$   
 $x\ OR\ (-1::'a::len\ word) = -1$

$(-1 :: 'a :: \text{len word}) \text{ XOR } x = \text{NOT } x$   
 $x \text{ XOR } (-1 :: 'a :: \text{len word}) = \text{NOT } x$   
 ⟨proof⟩

**lemma** *word-of-int-not-numeral-eq* [simp]:  
 ⟨(word-of-int (NOT (numeral bin)) :: 'a :: len word) = - numeral bin - 1⟩  
 ⟨proof⟩

**lemma** *uint-and*:  
 ⟨uint (x AND y) = uint x AND uint y⟩  
 ⟨proof⟩

**lemma** *uint-or*:  
 ⟨uint (x OR y) = uint x OR uint y⟩  
 ⟨proof⟩

**lemma** *uint-xor*:  
 ⟨uint (x XOR y) = uint x XOR uint y⟩  
 ⟨proof⟩

**lemmas** *bwsimps* =  
*wi-hom-add*  
*word-wi-log-defs*

**lemma** *word-bw-assocs*:  
 $(x \text{ AND } y) \text{ AND } z = x \text{ AND } y \text{ AND } z$   
 $(x \text{ OR } y) \text{ OR } z = x \text{ OR } y \text{ OR } z$   
 $(x \text{ XOR } y) \text{ XOR } z = x \text{ XOR } y \text{ XOR } z$   
**for**  $x :: 'a :: \text{len word}$   
 ⟨proof⟩

**lemma** *word-bw-comms*:  
 $x \text{ AND } y = y \text{ AND } x$   
 $x \text{ OR } y = y \text{ OR } x$   
 $x \text{ XOR } y = y \text{ XOR } x$   
**for**  $x :: 'a :: \text{len word}$   
 ⟨proof⟩

**lemma** *word-bw-lcs*:  
 $y \text{ AND } x \text{ AND } z = x \text{ AND } y \text{ AND } z$   
 $y \text{ OR } x \text{ OR } z = x \text{ OR } y \text{ OR } z$   
 $y \text{ XOR } x \text{ XOR } z = x \text{ XOR } y \text{ XOR } z$   
**for**  $x :: 'a :: \text{len word}$   
 ⟨proof⟩

**lemma** *word-log-esimps*:  
 $x \text{ AND } 0 = 0$   
 $x \text{ AND } -1 = x$   
 $x \text{ OR } 0 = x$   
 $x \text{ OR } -1 = -1$

$x \text{ XOR } 0 = x$   
 $x \text{ XOR } -1 = \text{NOT } x$   
 $0 \text{ AND } x = 0$   
 $-1 \text{ AND } x = x$   
 $0 \text{ OR } x = x$   
 $-1 \text{ OR } x = -1$   
 $0 \text{ XOR } x = x$   
 $-1 \text{ XOR } x = \text{NOT } x$   
**for**  $x :: 'a::\text{len word}$   
 ⟨proof⟩

**lemma** *word-not-dist*:

$\text{NOT } (x \text{ OR } y) = \text{NOT } x \text{ AND } \text{NOT } y$   
 $\text{NOT } (x \text{ AND } y) = \text{NOT } x \text{ OR } \text{NOT } y$   
**for**  $x :: 'a::\text{len word}$   
 ⟨proof⟩

**lemma** *word-bw-same*:

$x \text{ AND } x = x$   
 $x \text{ OR } x = x$   
 $x \text{ XOR } x = 0$   
**for**  $x :: 'a::\text{len word}$   
 ⟨proof⟩

**lemma** *word-ao-absorbs* [*simp*]:

$x \text{ AND } (y \text{ OR } x) = x$   
 $x \text{ OR } y \text{ AND } x = x$   
 $x \text{ AND } (x \text{ OR } y) = x$   
 $y \text{ AND } x \text{ OR } x = x$   
 $(y \text{ OR } x) \text{ AND } x = x$   
 $x \text{ OR } x \text{ AND } y = x$   
 $(x \text{ OR } y) \text{ AND } x = x$   
 $x \text{ AND } y \text{ OR } x = x$   
**for**  $x :: 'a::\text{len word}$   
 ⟨proof⟩

**lemma** *word-not-not* [*simp*]:  $\text{NOT } (\text{NOT } x) = x$

**for**  $x :: 'a::\text{len word}$   
 ⟨proof⟩

**lemma** *word-ao-dist*:  $(x \text{ OR } y) \text{ AND } z = x \text{ AND } z \text{ OR } y \text{ AND } z$

**for**  $x :: 'a::\text{len word}$   
 ⟨proof⟩

**lemma** *word-oa-dist*:  $x \text{ AND } y \text{ OR } z = (x \text{ OR } z) \text{ AND } (y \text{ OR } z)$

**for**  $x :: 'a::\text{len word}$   
 ⟨proof⟩

**lemma** *word-add-not* [*simp*]:  $x + \text{NOT } x = -1$

**for**  $x :: 'a::len\ word$   
 $\langle proof \rangle$

**lemma** *word-plus-and-or* [simp]:  $(x\ AND\ y) + (x\ OR\ y) = x + y$   
**for**  $x :: 'a::len\ word$   
 $\langle proof \rangle$

**lemma** *leoa*:  $w = x\ OR\ y \implies y = w\ AND\ x$   
**for**  $x :: 'a::len\ word$   
 $\langle proof \rangle$

**lemma** *leao*:  $w' = x' AND y' \implies x' = x' OR w'$   
**for**  $x' :: 'a::len\ word$   
 $\langle proof \rangle$

**lemma** *word-ao-equiv*:  $w = w OR w' \longleftrightarrow w' = w AND w'$   
**for**  $w\ w' :: 'a::len\ word$   
 $\langle proof \rangle$

**lemma** *le-word-or2*:  $x \leq x OR y$   
**for**  $x\ y :: 'a::len\ word$   
 $\langle proof \rangle$

**lemmas** *le-word-or1* = *xtrans*(3) [OF *word-bw-comms* (2) *le-word-or2*]  
**lemmas** *word-and-le1* = *xtrans*(3) [OF *word-ao-absorbs* (4) [symmetric] *le-word-or2*]  
**lemmas** *word-and-le2* = *xtrans*(3) [OF *word-ao-absorbs* (8) [symmetric] *le-word-or2*]

**lemma** *bit-horner-sum-bit-word-iff* [bit-simps]:  
 $\langle bit\ (horner-sum\ of-bool\ (2 :: 'a::len\ word)\ bs)\ n$   
 $\longleftrightarrow n < \min\ LENGTH('a)\ (length\ bs) \wedge bs\ !\ n \rangle$   
 $\langle proof \rangle$

**definition** *word-reverse* ::  $\langle 'a::len\ word \Rightarrow 'a\ word \rangle$   
**where**  $\langle word-reverse\ w = horner-sum\ of-bool\ 2\ (rev\ (map\ (bit\ w)\ [0..<LENGTH('a)])) \rangle$

**lemma** *bit-word-reverse-iff* [bit-simps]:  
 $\langle bit\ (word-reverse\ w)\ n \longleftrightarrow n < LENGTH('a) \wedge bit\ w\ (LENGTH('a) - Suc\ n) \rangle$   
**for**  $w :: \langle 'a::len\ word \rangle$   
 $\langle proof \rangle$

**lemma** *word-rev-rev* [simp]:  $word-reverse\ (word-reverse\ w) = w$   
 $\langle proof \rangle$

**lemma** *word-rev-gal*:  $word-reverse\ w = u \implies word-reverse\ u = w$   
 $\langle proof \rangle$

**lemma** *word-rev-gal'*:  $u = word-reverse\ w \implies w = word-reverse\ u$   
 $\langle proof \rangle$

**lemma** *uint-2p*:  $(0 :: 'a::len\ word) < 2 \wedge n \implies uint\ (2 \wedge n :: 'a::len\ word) = 2 \wedge n$   
 ⟨proof⟩

**lemma** *word-of-int-2p*:  $(word-of-int\ (2 \wedge n) :: 'a::len\ word) = 2 \wedge n$   
 ⟨proof⟩

### 107.24.1 shift functions in terms of lists of bools

**lemma** *drop-bit-word-numeral* [*simp*]:  
 ⟨*drop-bit* (*numeral* *n*) (*numeral* *k*) =  
 (word-of-int (*drop-bit* (*numeral* *n*) (*take-bit* *LENGTH*('a) (*numeral* *k*))) :: 'a::len  
 word)⟩  
 ⟨proof⟩

**lemma** *drop-bit-word-Suc-numeral* [*simp*]:  
 ⟨*drop-bit* (*Suc* *n*) (*numeral* *k*) =  
 (word-of-int (*drop-bit* (*Suc* *n*) (*take-bit* *LENGTH*('a) (*numeral* *k*))) :: 'a::len  
 word)⟩  
 ⟨proof⟩

**lemma** *drop-bit-word-minus-numeral* [*simp*]:  
 ⟨*drop-bit* (*numeral* *n*) ( $-$  *numeral* *k*) =  
 (word-of-int (*drop-bit* (*numeral* *n*) (*take-bit* *LENGTH*('a) ( $-$  *numeral* *k*))) ::  
 'a::len word)⟩  
 ⟨proof⟩

**lemma** *drop-bit-word-Suc-minus-numeral* [*simp*]:  
 ⟨*drop-bit* (*Suc* *n*) ( $-$  *numeral* *k*) =  
 (word-of-int (*drop-bit* (*Suc* *n*) (*take-bit* *LENGTH*('a) ( $-$  *numeral* *k*))) :: 'a::len  
 word)⟩  
 ⟨proof⟩

**lemma** *signed-drop-bit-word-numeral* [*simp*]:  
 ⟨*signed-drop-bit* (*numeral* *n*) (*numeral* *k*) =  
 (word-of-int (*drop-bit* (*numeral* *n*) (*signed-take-bit* (*LENGTH*('a)  $-$  1) (*numeral*  
*k*))) :: 'a::len word)⟩  
 ⟨proof⟩

**lemma** *signed-drop-bit-word-Suc-numeral* [*simp*]:  
 ⟨*signed-drop-bit* (*Suc* *n*) (*numeral* *k*) =  
 (word-of-int (*drop-bit* (*Suc* *n*) (*signed-take-bit* (*LENGTH*('a)  $-$  1) (*numeral*  
*k*))) :: 'a::len word)⟩  
 ⟨proof⟩

**lemma** *signed-drop-bit-word-minus-numeral* [*simp*]:  
 ⟨*signed-drop-bit* (*numeral* *n*) ( $-$  *numeral* *k*) =  
 (word-of-int (*drop-bit* (*numeral* *n*) (*signed-take-bit* (*LENGTH*('a)  $-$  1) ( $-$   
*numeral* *k*))) :: 'a::len word)⟩  
 ⟨proof⟩

**lemma** *signed-drop-bit-word-Suc-minus-numeral* [simp]:

⟨*signed-drop-bit* (*Suc n*) (*− numeral k*) =  
 (word-of-int (drop-bit (*Suc n*) (signed-take-bit (LENGTH('a) − 1) (*− numeral k*))) :: 'a::len word)⟩  
 ⟨proof⟩

**lemma** *take-bit-word-numeral* [simp]:

⟨*take-bit* (*numeral n*) (*numeral k*) =  
 (word-of-int (take-bit (min LENGTH('a) (*numeral n*)) (*numeral k*))) :: 'a::len word)⟩  
 ⟨proof⟩

**lemma** *take-bit-word-Suc-numeral* [simp]:

⟨*take-bit* (*Suc n*) (*numeral k*) =  
 (word-of-int (take-bit (min LENGTH('a) (*Suc n*)) (*numeral k*))) :: 'a::len word)⟩  
 ⟨proof⟩

**lemma** *take-bit-word-minus-numeral* [simp]:

⟨*take-bit* (*numeral n*) (*− numeral k*) =  
 (word-of-int (take-bit (min LENGTH('a) (*numeral n*)) (*− numeral k*))) :: 'a::len word)⟩  
 ⟨proof⟩

**lemma** *take-bit-word-Suc-minus-numeral* [simp]:

⟨*take-bit* (*Suc n*) (*− numeral k*) =  
 (word-of-int (take-bit (min LENGTH('a) (*Suc n*)) (*− numeral k*))) :: 'a::len word)⟩  
 ⟨proof⟩

**lemma** *signed-take-bit-word-numeral* [simp]:

⟨*signed-take-bit* (*numeral n*) (*numeral k*) =  
 (word-of-int (signed-take-bit (*numeral n*) (take-bit LENGTH('a) (*numeral k*))))  
 :: 'a::len word)⟩  
 ⟨proof⟩

**lemma** *signed-take-bit-word-Suc-numeral* [simp]:

⟨*signed-take-bit* (*Suc n*) (*numeral k*) =  
 (word-of-int (signed-take-bit (*Suc n*) (take-bit LENGTH('a) (*numeral k*))))  
 :: 'a::len word)⟩  
 ⟨proof⟩

**lemma** *signed-take-bit-word-minus-numeral* [simp]:

⟨*signed-take-bit* (*numeral n*) (*− numeral k*) =  
 (word-of-int (signed-take-bit (*numeral n*) (take-bit LENGTH('a) (*− numeral k*))))  
 :: 'a::len word)⟩  
 ⟨proof⟩

**lemma** *signed-take-bit-word-Suc-minus-numeral* [simp]:

$\langle \text{signed-take-bit } (Suc\ n) \ (-\ numeral\ k) =$   
 $\quad (\text{word-of-int } (\text{signed-take-bit } (Suc\ n) \ (\text{take-bit } LENGTH('a) \ (-\ numeral\ k))) \ ::$   
 $\quad 'a::len\ word) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *False-map2-or*:  $\llbracket \text{set } xs \subseteq \{False\}; \text{length } ys = \text{length } xs \rrbracket \implies \text{map2 } (\vee) \ xs$   
 $ys = ys$   
 $\langle \text{proof} \rangle$

**lemma** *align-lem-or*:  
**assumes**  $\text{length } xs = n + m \ \text{length } ys = n + m$   
**and**  $\text{drop } m \ xs = \text{replicate } n \ False \ \text{take } m \ ys = \text{replicate } m \ False$   
**shows**  $\text{map2 } (\vee) \ xs \ ys = \text{take } m \ xs \ @ \ \text{drop } m \ ys$   
 $\langle \text{proof} \rangle$

**lemma** *False-map2-and*:  $\llbracket \text{set } xs \subseteq \{False\}; \text{length } ys = \text{length } xs \rrbracket \implies \text{map2 } (\wedge)$   
 $xs \ ys = xs$   
 $\langle \text{proof} \rangle$

**lemma** *align-lem-and*:  
**assumes**  $\text{length } xs = n + m \ \text{length } ys = n + m$   
**and**  $\text{drop } m \ xs = \text{replicate } n \ False \ \text{take } m \ ys = \text{replicate } m \ False$   
**shows**  $\text{map2 } (\wedge) \ xs \ ys = \text{replicate } (n + m) \ False$   
 $\langle \text{proof} \rangle$

## 107.24.2 Mask

**lemma** *minus-1-eq-mask*:  
 $\langle -\ 1 = (\text{mask } LENGTH('a) \ :: 'a::len\ word) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *mask-eq-decr-exp*:  
 $\langle \text{mask } n = 2 \wedge n - (1 \ :: 'a::len\ word) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *mask-Suc-rec*:  
 $\langle \text{mask } (Suc\ n) = 2 * \text{mask } n + (1 \ :: 'a::len\ word) \rangle$   
 $\langle \text{proof} \rangle$

**context**  
**begin**

**qualified lemma** *bit-mask-iff* [*bit-simps*]:  
 $\langle \text{bit } (\text{mask } m \ :: 'a::len\ word) \ n \longleftrightarrow n < \text{min } LENGTH('a) \ m \rangle$   
 $\langle \text{proof} \rangle$

**end**

**lemma** *mask-bin*:  $\text{mask } n = \text{word-of-int } (\text{take-bit } n \ (-\ 1))$



⟨proof⟩

**lemma** *and-mask-bintr*:  $w \text{ AND } \text{mask } n = \text{word-of-int } (\text{take-bit } n \text{ (uint } w))$   
 ⟨proof⟩

**lemma** *and-mask-wi*:  $\text{word-of-int } i \text{ AND } \text{mask } n = \text{word-of-int } (\text{take-bit } n \ i)$   
 ⟨proof⟩

**lemma** *and-mask-wi'*:  
 $\text{word-of-int } i \text{ AND } \text{mask } n = (\text{word-of-int } (\text{take-bit } (\text{min } \text{LENGTH}('a) \ n) \ i) \ :: 'a::\text{len word})$   
 ⟨proof⟩

**lemma** *and-mask-no*:  $\text{numeral } i \text{ AND } \text{mask } n = \text{word-of-int } (\text{take-bit } n \ (\text{numeral } i))$   
 ⟨proof⟩

**lemma** *and-mask-mod-2p*:  $w \text{ AND } \text{mask } n = \text{word-of-int } (\text{uint } w \text{ mod } 2 \wedge n)$   
 ⟨proof⟩

**lemma** *uint-mask-eq*:  
 $\langle \text{uint } (\text{mask } n \ :: 'a::\text{len word}) = \text{mask } (\text{min } \text{LENGTH}('a) \ n) \rangle$   
 ⟨proof⟩

**lemma** *and-mask-lt-2p*:  $\text{uint } (w \text{ AND } \text{mask } n) < 2 \wedge n$   
 ⟨proof⟩

**lemma** *mask-eq-iff*:  $w \text{ AND } \text{mask } n = w \longleftrightarrow \text{uint } w < 2 \wedge n$   
 ⟨proof⟩

**lemma** *and-mask-dvd*:  $2 \wedge n \text{ dvd } \text{uint } w \longleftrightarrow w \text{ AND } \text{mask } n = 0$   
 ⟨proof⟩

**lemma** *and-mask-dvd-nat*:  $2 \wedge n \text{ dvd } \text{unat } w \longleftrightarrow w \text{ AND } \text{mask } n = 0$   
 ⟨proof⟩

**lemma** *word-2p-lem*:  $n < \text{size } w \implies w < 2 \wedge n = (\text{uint } w < 2 \wedge n)$   
**for**  $w \ :: 'a::\text{len word}$   
 ⟨proof⟩

**lemma** *less-mask-eq*:  
**fixes**  $x \ :: 'a::\text{len word}$   
**assumes**  $x < 2 \wedge n$  **shows**  $x \text{ AND } \text{mask } n = x$   
 ⟨proof⟩

**lemmas** *mask-eq-iff-w2p* = *trans* [*OF* *mask-eq-iff* *word-2p-lem* [*symmetric*]]

**lemmas** *and-mask-less'* = *iffD2* [*OF* *word-2p-lem* *and-mask-lt-2p*, *simplified* *word-size*]

**lemma** *and-mask-less-size*:  $n < \text{size } x \implies x \text{ AND mask } n < 2 \wedge n$   
**for**  $x :: \langle 'a::\text{len word} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *word-mod-2p-is-mask* [*OF refl*]:  $c = 2 \wedge n \implies c > 0 \implies x \text{ mod } c = x \text{ AND mask } n$   
**for**  $c \ x :: \langle 'a::\text{len word} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *mask-egs*:

$(a \text{ AND mask } n) + b \text{ AND mask } n = a + b \text{ AND mask } n$   
 $a + (b \text{ AND mask } n) \text{ AND mask } n = a + b \text{ AND mask } n$   
 $(a \text{ AND mask } n) - b \text{ AND mask } n = a - b \text{ AND mask } n$   
 $a - (b \text{ AND mask } n) \text{ AND mask } n = a - b \text{ AND mask } n$   
 $a * (b \text{ AND mask } n) \text{ AND mask } n = a * b \text{ AND mask } n$   
 $(b \text{ AND mask } n) * a \text{ AND mask } n = b * a \text{ AND mask } n$   
 $(a \text{ AND mask } n) + (b \text{ AND mask } n) \text{ AND mask } n = a + b \text{ AND mask } n$   
 $(a \text{ AND mask } n) - (b \text{ AND mask } n) \text{ AND mask } n = a - b \text{ AND mask } n$   
 $(a \text{ AND mask } n) * (b \text{ AND mask } n) \text{ AND mask } n = a * b \text{ AND mask } n$   
 $-(a \text{ AND mask } n) \text{ AND mask } n = -a \text{ AND mask } n$   
 $\text{word-succ } (a \text{ AND mask } n) \text{ AND mask } n = \text{word-succ } a \text{ AND mask } n$   
 $\text{word-pred } (a \text{ AND mask } n) \text{ AND mask } n = \text{word-pred } a \text{ AND mask } n$   
 $\langle \text{proof} \rangle$

**lemma** *mask-power-eq*:  $(x \text{ AND mask } n) \wedge k \text{ AND mask } n = x \wedge k \text{ AND mask } n$   
**for**  $x :: \langle 'a::\text{len word} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *mask-full* [*simp*]:  $\text{mask } \text{LENGTH}('a) = (- 1 :: 'a::\text{len word})$   
 $\langle \text{proof} \rangle$

### 107.24.3 Slices

**definition** *slice1* ::  $\langle \text{nat} \Rightarrow 'a::\text{len word} \Rightarrow 'b::\text{len word} \rangle$

**where**  $\langle \text{slice1 } n \ w = (\text{if } n < \text{LENGTH}('a)$   
 $\text{then } \text{ucast } (\text{drop-bit } (\text{LENGTH}('a) - n) \ w)$   
 $\text{else } \text{push-bit } (n - \text{LENGTH}('a)) (\text{ucast } w)) \rangle$

**lemma** *bit-slice1-iff* [*bit-simps*]:

$\langle \text{bit } (\text{slice1 } m \ w :: 'b::\text{len word}) \ n \longleftrightarrow m - \text{LENGTH}('a) \leq n \wedge n < \min$   
 $\text{LENGTH}('b) \ m$   
 $\wedge \text{bit } w \ (n + (\text{LENGTH}('a) - m) - (m - \text{LENGTH}('a))) \rangle$   
**for**  $w :: \langle 'a::\text{len word} \rangle$   
 $\langle \text{proof} \rangle$

**definition** *slice* ::  $\langle \text{nat} \Rightarrow 'a::\text{len word} \Rightarrow 'b::\text{len word} \rangle$

**where**  $\langle \text{slice } n = \text{slice1 } (\text{LENGTH}('a) - n) \rangle$

**lemma** *bit-slice-iff* [*bit-simps*]:

$\langle \text{bit } (\text{slice } m \ w :: 'b::\text{len word}) \ n \longleftrightarrow n < \min \text{LENGTH}('b) \ (\text{LENGTH}('a) - m) \wedge \text{bit } w \ (n + \text{LENGTH}('a) - (\text{LENGTH}('a) - m)) \rangle$   
**for**  $w :: \langle 'a::\text{len word} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *slice1-0* [*simp*] :  $\text{slice1 } n \ 0 = 0$   
 $\langle \text{proof} \rangle$

**lemma** *slice-0* [*simp*] :  $\text{slice } n \ 0 = 0$   
 $\langle \text{proof} \rangle$

**lemma** *ucast-slice1*:  $\text{ucast } w = \text{slice1 } (\text{size } w) \ w$   
 $\langle \text{proof} \rangle$

**lemma** *ucast-slice*:  $\text{ucast } w = \text{slice } 0 \ w$   
 $\langle \text{proof} \rangle$

**lemma** *slice-id*:  $\text{slice } 0 \ t = t$   
 $\langle \text{proof} \rangle$

**lemma** *rev-slice1*:  
 $\langle \text{slice1 } n \ (\text{word-reverse } w :: 'b::\text{len word}) = \text{word-reverse } (\text{slice1 } k \ w :: 'a::\text{len word}) \rangle$   
**if**  $\langle n + k = \text{LENGTH}('a) + \text{LENGTH}('b) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *rev-slice*:  
 $n + k + \text{LENGTH}('a::\text{len}) = \text{LENGTH}('b::\text{len}) \implies$   
 $\text{slice } n \ (\text{word-reverse } (w::'b \ \text{word})) = \text{word-reverse } (\text{slice } k \ w :: 'a \ \text{word})$   
 $\langle \text{proof} \rangle$

#### 107.24.4 Revcast

**definition** *revcast* ::  $\langle 'a::\text{len word} \Rightarrow 'b::\text{len word} \rangle$   
**where**  $\langle \text{revcast} = \text{slice1 } \text{LENGTH}('b) \rangle$

**lemma** *bit-revcast-iff* [*bit-simps*]:  
 $\langle \text{bit } (\text{revcast } w :: 'b::\text{len word}) \ n \longleftrightarrow \text{LENGTH}('b) - \text{LENGTH}('a) \leq n \wedge n < \text{LENGTH}('b) \wedge \text{bit } w \ (n + (\text{LENGTH}('a) - \text{LENGTH}('b)) - (\text{LENGTH}('b) - \text{LENGTH}('a))) \rangle$   
**for**  $w :: \langle 'a::\text{len word} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *revcast-slice1* [*OF refl*]:  $\text{rc} = \text{revcast } w \implies \text{slice1 } (\text{size } \text{rc}) \ w = \text{rc}$   
 $\langle \text{proof} \rangle$

**lemma** *revcast-rev-ucast* [*OF refl refl refl*]:  
 $cs = [\text{rc}, \text{uc}] \implies \text{rc} = \text{revcast } (\text{word-reverse } w) \implies \text{uc} = \text{ucast } w \implies$   
 $\text{rc} = \text{word-reverse } \text{uc}$

*<proof>*

**lemma** *revcast-ucast*:  $revcast\ w = word\ reverse\ (ucast\ (word\ reverse\ w))$   
*<proof>*

**lemma** *ucast-revcast*:  $ucast\ w = word\ reverse\ (revcast\ (word\ reverse\ w))$   
*<proof>*

**lemma** *ucast-rev-revcast*:  $ucast\ (word\ reverse\ w) = word\ reverse\ (revcast\ w)$   
*<proof>*

linking revcast and cast via shift

**lemmas** *wsst-TYs* = *source-size target-size word-size*

**lemmas** *sym-notr* =  
*not-iff* [*THEN iffD2*, *THEN not-sym*, *THEN not-iff* [*THEN iffD1*]]

## 107.25 Split and cat

**lemmas** *word-split-bin'* = *word-split-def*

**lemmas** *word-cat-bin'* = *word-cat-eq*

— this odd result is analogous to *ucast-id*, result to the length given by the result type

**lemma** *word-cat-id*:  $word\ cat\ a\ b = b$   
*<proof>*

**lemma** *word-cat-split-alt*:  $\llbracket size\ w \leq size\ u + size\ v; word\ split\ w = (u,v) \rrbracket \implies word\ cat\ u\ v = w$   
*<proof>*

**lemmas** *word-cat-split-size* = *sym* [*THEN* [2] *word-cat-split-alt* [*symmetric*]]

### 107.25.1 Split and slice

**lemma** *split-slices*:

**assumes**  $word\ split\ w = (u, v)$

**shows**  $u = slice\ (size\ v)\ w \wedge v = slice\ 0\ w$

*<proof>*

**lemma** *slice-cat1* [*OF refl*]:

$\llbracket wc = word\ cat\ a\ b; size\ a + size\ b \leq size\ wc \rrbracket \implies slice\ (size\ b)\ wc = a$

*<proof>*

**lemmas** *slice-cat2* = *trans* [*OF slice-id word-cat-id*]

**lemma** *cat-slices*:

$\llbracket a = \text{slice } n \ c; b = \text{slice } 0 \ c; n = \text{size } b; \text{size } c \leq \text{size } a + \text{size } b \rrbracket \implies \text{word-cat } a$   
 $b = c$   
 ⟨proof⟩

**lemma** *word-split-cat-alt*:

**assumes**  $w = \text{word-cat } u \ v$  **and** *size*:  $\text{size } u + \text{size } v \leq \text{size } w$

**shows**  $\text{word-split } w = (u, v)$

⟨proof⟩

**lemma** *horner-sum-uint-exp-Cons-eg*:

⟨ $\text{horner-sum } \text{uint } (2 \wedge \text{LENGTH}('a)) (w \# ws) =$

$\text{concat-bit } \text{LENGTH}('a) (\text{uint } w) (\text{horner-sum } \text{uint } (2 \wedge \text{LENGTH}('a)) ws)$ ⟩

**for**  $ws :: \langle 'a::\text{len word list} \rangle$

⟨proof⟩

**lemma** *bit-horner-sum-uint-exp-iff*:

⟨ $\text{bit } (\text{horner-sum } \text{uint } (2 \wedge \text{LENGTH}('a)) ws) \ n \longleftrightarrow$

$n \ \text{div } \text{LENGTH}('a) < \text{length } ws \wedge \text{bit } (ws \ ! \ (n \ \text{div } \text{LENGTH}('a))) \ (n \ \text{mod } \text{LENGTH}('a))$ ⟩

**for**  $ws :: \langle 'a::\text{len word list} \rangle$

⟨proof⟩

## 107.26 Rotation

**lemma** *word-rotr-word-rotr-eg*: ⟨ $\text{word-rotr } m \ (\text{word-rotr } n \ w) = \text{word-rotr } (m + n) \ w$ ⟩

⟨proof⟩

**lemma** *word-rot-lem*:  $\llbracket l + k = d + k \ \text{mod } l; n < l \rrbracket \implies ((d + n) \ \text{mod } l) = n$  **for**  
 $l::\text{nat}$

⟨proof⟩

**lemma** *word-rot-rl [simp]*: ⟨ $\text{word-rotl } k \ (\text{word-rotr } k \ v) = v$ ⟩

⟨proof⟩

**lemma** *word-rot-lr [simp]*: ⟨ $\text{word-rotr } k \ (\text{word-rotl } k \ v) = v$ ⟩

⟨proof⟩

**lemma** *word-rot-gal*:

⟨ $\text{word-rotr } n \ v = w \longleftrightarrow \text{word-rotl } n \ w = v$ ⟩

⟨proof⟩

**lemma** *word-rot-gal'*:

⟨ $w = \text{word-rotr } n \ v \longleftrightarrow v = \text{word-rotl } n \ w$ ⟩

⟨proof⟩

**lemma** *word-rotr-rev*:

⟨ $\text{word-rotr } n \ w = \text{word-reverse } (\text{word-rotl } n \ (\text{word-reverse } w))$ ⟩

⟨proof⟩

**lemma** *word-roti-0* [*simp*]: *word-roti 0 w = w*  
 ⟨*proof*⟩

**lemma** *word-roti-add*: *word-roti (m + n) w = word-roti m (word-roti n w)*  
 ⟨*proof*⟩

**lemma** *word-roti-conv-mod'*:  
*word-roti n w = word-roti (n mod int (size w)) w*  
 ⟨*proof*⟩

**lemmas** *word-roti-conv-mod = word-roti-conv-mod'* [*unfolded word-size*]

**end**

### 107.26.1 "Word rotation commutes with bit-wise operations

**locale** *word-rotate*

**begin**

**context**

**includes** *bit-operations-syntax*

**begin**

**lemma** *word-rot-logs*:

*word-rotl n (NOT v) = NOT (word-rotl n v)*  
*word-rotr n (NOT v) = NOT (word-rotr n v)*  
*word-rotl n (x AND y) = word-rotl n x AND word-rotl n y*  
*word-rotr n (x AND y) = word-rotr n x AND word-rotr n y*  
*word-rotl n (x OR y) = word-rotl n x OR word-rotl n y*  
*word-rotr n (x OR y) = word-rotr n x OR word-rotr n y*  
*word-rotl n (x XOR y) = word-rotl n x XOR word-rotl n y*  
*word-rotr n (x XOR y) = word-rotr n x XOR word-rotr n y*  
 ⟨*proof*⟩

**end**

**end**

**lemmas** *word-rot-logs = word-rotate.word-rot-logs*

**lemma** *word-rotx-0* [*simp*]: *word-rotr i 0 = 0 ∧ word-rotl i 0 = 0*  
 ⟨*proof*⟩

**lemma** *word-roti-0'* [*simp*]: *word-roti n 0 = 0*  
 ⟨*proof*⟩

**declare** *word-roti-eq-word-rotr-word-rotl* [*simp*]

## 107.27 Maximum machine word

**context****includes** *bit-operations-syntax***begin****lemma** *word-int-cases*:**fixes**  $x :: 'a::len\ word$ **obtains**  $n$  **where**  $x = \text{word-of-int } n$  **and**  $0 \leq n$  **and**  $n < 2^{\text{LENGTH}('a)}$ *<proof>***lemma** *word-nat-cases* [*cases type: word*]:**fixes**  $x :: 'a::len\ word$ **obtains**  $n$  **where**  $x = \text{of-nat } n$  **and**  $n < 2^{\text{LENGTH}('a)}$ *<proof>***lemma** *max-word-max* [*intro!*]:*<n ≤ - 1>* **for**  $n :: 'a::len\ word$ *<proof>***lemma** *word-of-int-2p-len*:  $\text{word-of-int } (2^{\text{LENGTH}('a)}) = (0 :: 'a::len\ word)$ *<proof>***lemma** *word-pow-0*:  $(2 :: 'a::len\ word)^{\text{LENGTH}('a)} = 0$ *<proof>***lemma** *max-word-wrap*:*<x + 1 = 0 ⇒ x = - 1>* **for**  $x :: 'a::len\ word$ *<proof>***lemma** *word-and-max*:*<x AND - 1 = x>* **for**  $x :: 'a::len\ word$ *<proof>***lemma** *word-or-max*:*<x OR - 1 = - 1>* **for**  $x :: 'a::len\ word$ *<proof>***lemma** *word-ao-dist2*:  $x \text{ AND } (y \text{ OR } z) = x \text{ AND } y \text{ OR } x \text{ AND } z$ **for**  $x\ y\ z :: 'a::len\ word$ *<proof>***lemma** *word-oa-dist2*:  $x \text{ OR } y \text{ AND } z = (x \text{ OR } y) \text{ AND } (x \text{ OR } z)$ **for**  $x\ y\ z :: 'a::len\ word$ *<proof>***lemma** *word-and-not* [*simp*]:  $x \text{ AND } \text{NOT } x = 0$ **for**  $x :: 'a::len\ word$ *<proof>*

**lemma** *word-or-not* [*simp*]:  
 $\langle x \text{ OR NOT } x = - 1 \rangle$  **for**  $x :: \langle 'a::\text{len word} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *word-xor-and-or*:  $x \text{ XOR } y = x \text{ AND NOT } y \text{ OR NOT } x \text{ AND } y$   
**for**  $x y :: 'a::\text{len word}$   
 $\langle \text{proof} \rangle$

**lemma** *uint-lt-0* [*simp*]:  $\text{uint } x < 0 = \text{False}$   
 $\langle \text{proof} \rangle$

**lemma** *word-less-1* [*simp*]:  $x < 1 \longleftrightarrow x = 0$   
**for**  $x :: 'a::\text{len word}$   
 $\langle \text{proof} \rangle$

**lemma** *uint-plus-if-size*:  
 $\text{uint } (x + y) =$   
 (if  $\text{uint } x + \text{uint } y < 2^{\text{size } x}$   
 then  $\text{uint } x + \text{uint } y$   
 else  $\text{uint } x + \text{uint } y - 2^{\text{size } x}$ )  
 $\langle \text{proof} \rangle$

**lemma** *unat-plus-if-size*:  
 $\text{unat } (x + y) =$   
 (if  $\text{unat } x + \text{unat } y < 2^{\text{size } x}$   
 then  $\text{unat } x + \text{unat } y$   
 else  $\text{unat } x + \text{unat } y - 2^{\text{size } x}$ )  
**for**  $x y :: 'a::\text{len word}$   
 $\langle \text{proof} \rangle$

**lemma** *word-neq-0-conv*:  $w \neq 0 \longleftrightarrow 0 < w$   
**for**  $w :: 'a::\text{len word}$   
 $\langle \text{proof} \rangle$

**lemma** *max-lt*:  $\text{unat } (\text{max } a \text{ } b \text{ div } c) = \text{unat } (\text{max } a \text{ } b) \text{ div } \text{unat } c$   
**for**  $c :: 'a::\text{len word}$   
 $\langle \text{proof} \rangle$

**lemma** *uint-sub-if-size*:  
 $\text{uint } (x - y) =$   
 (if  $\text{uint } y \leq \text{uint } x$   
 then  $\text{uint } x - \text{uint } y$   
 else  $\text{uint } x - \text{uint } y + 2^{\text{size } x}$ )  
 $\langle \text{proof} \rangle$

**lemma** *unat-sub*:  
 $\langle \text{unat } (a - b) = \text{unat } a - \text{unat } b \rangle$   
**if**  $\langle b \leq a \rangle$   
 $\langle \text{proof} \rangle$



**lemmas** *word-less-sub1-numberof* [*simp*] = *word-less-sub1* [*of numeral w*] **for** *w*

**lemmas** *word-le-sub1-numberof* [*simp*] = *word-le-sub1* [*of numeral w*] **for** *w*

**lemma** *word-of-int-minus*:  $\text{word-of-int } (2^{\text{LENGTH('a)}} - i) = (\text{word-of-int } (-i))::'a::\text{len word}$

⟨*proof*⟩

**lemma** *word-of-int-inj*:

⟨ $\text{word-of-int } x :: 'a::\text{len word} = \text{word-of-int } y \longleftrightarrow x = y$ ⟩

**if**  $\langle 0 \leq x \wedge x < 2^{\text{LENGTH('a)}} \rangle \langle 0 \leq y \wedge y < 2^{\text{LENGTH('a)}} \rangle$

⟨*proof*⟩

**lemma** *word-le-less-eq*:  $x \leq y \longleftrightarrow x = y \vee x < y$

**for**  $x y :: 'z::\text{len word}$

⟨*proof*⟩

**lemma** *mod-plus-cong*:

**fixes**  $b b' :: \text{int}$

**assumes**  $1: b = b'$

**and**  $2: x \text{ mod } b' = x' \text{ mod } b'$

**and**  $3: y \text{ mod } b' = y' \text{ mod } b'$

**and**  $4: x' + y' = z'$

**shows**  $(x + y) \text{ mod } b = z' \text{ mod } b'$

⟨*proof*⟩

**lemma** *mod-minus-cong*:

**fixes**  $b b' :: \text{int}$

**assumes**  $b = b'$

**and**  $x \text{ mod } b' = x' \text{ mod } b'$

**and**  $y \text{ mod } b' = y' \text{ mod } b'$

**and**  $x' - y' = z'$

**shows**  $(x - y) \text{ mod } b = z' \text{ mod } b'$

⟨*proof*⟩

**lemma** *word-induct-less* [*case-names zero less*]:

⟨ $P m$ ⟩ **if** *zero*:  $\langle P 0 \rangle$  **and** *less*:  $\langle \bigwedge n. n < m \implies P n \implies P (1 + n) \rangle$

**for**  $m :: \langle 'a::\text{len word} \rangle$

⟨*proof*⟩

**lemma** *word-induct*:  $P 0 \implies (\bigwedge n. P n \implies P (1 + n)) \implies P m$

**for**  $P :: 'a::\text{len word} \Rightarrow \text{bool}$

⟨*proof*⟩

**lemma** *word-induct2* [*case-names zero suc, induct type*]:  $P 0 \implies (\bigwedge n. 1 + n \neq 0 \implies P n \implies P (1 + n)) \implies P n$

**for**  $P :: 'b::\text{len word} \Rightarrow \text{bool}$

⟨*proof*⟩

**107.28 Recursion combinator for words**

**definition**  $word-rec :: 'a \Rightarrow ('b::len\ word \Rightarrow 'a \Rightarrow 'a) \Rightarrow 'b\ word \Rightarrow 'a$   
**where**  $word-rec\ forZero\ forSuc\ n = rec-nat\ forZero\ (forSuc \circ of-nat)\ (unat\ n)$

**lemma**  $word-rec-0$  [simp]:  $word-rec\ z\ s\ 0 = z$   
 ⟨proof⟩

**lemma**  $word-rec-Suc$  [simp]:  $1 + n \neq 0 \implies word-rec\ z\ s\ (1 + n) = s\ n\ (word-rec\ z\ s\ n)$   
**for**  $n :: 'a::len\ word$   
 ⟨proof⟩

**lemma**  $word-rec-Pred$ :  $n \neq 0 \implies word-rec\ z\ s\ n = s\ (n - 1)\ (word-rec\ z\ s\ (n - 1))$   
 ⟨proof⟩

**lemma**  $word-rec-in$ :  $f\ (word-rec\ z\ (\lambda-. f)\ n) = word-rec\ (f\ z)\ (\lambda-. f)\ n$   
 ⟨proof⟩

**lemma**  $word-rec-in2$ :  $f\ n\ (word-rec\ z\ f\ n) = word-rec\ (f\ 0\ z)\ (f \circ (+)\ 1)\ n$   
 ⟨proof⟩

**lemma**  $word-rec-twice$ :  
 $m \leq n \implies word-rec\ z\ f\ n = word-rec\ (word-rec\ z\ f\ (n - m))\ (f \circ (+)\ (n - m))\ m$   
 ⟨proof⟩

**lemma**  $word-rec-id$ :  $word-rec\ z\ (\lambda-. id)\ n = z$   
 ⟨proof⟩

**lemma**  $word-rec-id-eq$ :  $(\bigwedge m. m < n \implies f\ m = id) \implies word-rec\ z\ f\ n = z$   
 ⟨proof⟩

**lemma**  $word-rec-max$ :  
**assumes**  $\forall m \geq n. m \neq -1 \longrightarrow f\ m = id$   
**shows**  $word-rec\ z\ f\ (-1) = word-rec\ z\ f\ n$   
 ⟨proof⟩

end

**107.29 Tool support**

⟨ML⟩

end

## 108 The Field of Integers mod 2

```

theory Z2
imports Main
begin

  Note that in most cases bool is appropriate when a binary type is needed;
  the type provided here, for historical reasons named bit, is only needed if
  proper field operations are required.

  typedef bit =  $\langle UNIV :: bool\ set \rangle$   $\langle proof \rangle$ 

  instantiation bit :: zero-neg-one
  begin

    definition zero-bit :: bit
      where  $\langle 0 = Abs-bit\ False \rangle$ 

    definition one-bit :: bit
      where  $\langle 1 = Abs-bit\ True \rangle$ 

  instance
     $\langle proof \rangle$ 

  end

  free-constructors case-bit for  $\langle 0::bit \rangle \mid \langle 1::bit \rangle$ 
   $\langle proof \rangle$ 

  lemma bit-not-zero-iff [simp]:
     $\langle a \neq 0 \iff a = 1 \rangle$  for  $a :: bit$ 
     $\langle proof \rangle$ 

  lemma bit-not-one-iff [simp]:
     $\langle a \neq 1 \iff a = 0 \rangle$  for  $a :: bit$ 
     $\langle proof \rangle$ 

  instantiation bit :: semidom-modulo
  begin

    definition plus-bit ::  $\langle bit \Rightarrow bit \Rightarrow bit \rangle$ 
      where  $\langle a + b = Abs-bit\ (Rep-bit\ a \neq Rep-bit\ b) \rangle$ 

    definition minus-bit ::  $\langle bit \Rightarrow bit \Rightarrow bit \rangle$ 
      where [simp]:  $\langle minus-bit = plus \rangle$ 

    definition times-bit ::  $\langle bit \Rightarrow bit \Rightarrow bit \rangle$ 
      where  $\langle a * b = Abs-bit\ (Rep-bit\ a \wedge Rep-bit\ b) \rangle$ 

    definition divide-bit ::  $\langle bit \Rightarrow bit \Rightarrow bit \rangle$ 

```

**where** [*simp*]:  $\langle \text{divide-bit} = \text{times} \rangle$

**definition** *modulo-bit* ::  $\langle \text{bit} \Rightarrow \text{bit} \Rightarrow \text{bit} \rangle$   
**where**  $\langle a \bmod b = \text{Abs-bit} (\text{Rep-bit } a \wedge \neg \text{Rep-bit } b) \rangle$

**instance**  
 $\langle \text{proof} \rangle$

**end**

**lemma** *bit-2-eq-0* [*simp*]:  
 $\langle 2 = (0::\text{bit}) \rangle$   
 $\langle \text{proof} \rangle$

**instance** *bit* :: *semiring-parity*  
 $\langle \text{proof} \rangle$

**lemma** *Abs-bit-eq-of-bool* [*code-abbrev*]:  
 $\langle \text{Abs-bit} = \text{of-bool} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *Rep-bit-eq-odd*:  
 $\langle \text{Rep-bit} = \text{odd} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *Rep-bit-iff-odd* [*code-abbrev*]:  
 $\langle \text{Rep-bit } b \longleftrightarrow \text{odd } b \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *Not-Rep-bit-iff-even* [*code-abbrev*]:  
 $\langle \neg \text{Rep-bit } b \longleftrightarrow \text{even } b \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *Not-Not-Rep-bit* [*code-unfold*]:  
 $\langle \neg \neg \text{Rep-bit } b \longleftrightarrow \text{Rep-bit } b \rangle$   
 $\langle \text{proof} \rangle$

**code-datatype**  $\langle 0::\text{bit} \rangle \langle 1::\text{bit} \rangle$

**lemma** *Abs-bit-code* [*code*]:  
 $\langle \text{Abs-bit } \text{False} = 0 \rangle$   
 $\langle \text{Abs-bit } \text{True} = 1 \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *Rep-bit-code* [*code*]:  
 $\langle \text{Rep-bit } 0 \longleftrightarrow \text{False} \rangle$   
 $\langle \text{Rep-bit } 1 \longleftrightarrow \text{True} \rangle$   
 $\langle \text{proof} \rangle$

**context** *zero-neq-one*  
**begin**

**abbreviation** *of-bit* ::  $\langle \text{bit} \Rightarrow 'a \rangle$   
**where**  $\langle \text{of-bit } b \equiv \text{of-bool } (\text{odd } b) \rangle$

**end**

**context**  
**begin**

**qualified lemma** *bit-eq-iff*:  
 $\langle a = b \longleftrightarrow (\text{even } a \longleftrightarrow \text{even } b) \rangle$  **for**  $a \ b :: \text{bit}$   
 $\langle \text{proof} \rangle$

**end**

**lemma** *modulo-bit-unfold* [*simp*, *code*]:  
 $\langle a \bmod b = \text{of-bool } (\text{odd } a \wedge \text{even } b) \rangle$  **for**  $a \ b :: \text{bit}$   
 $\langle \text{proof} \rangle$

**lemma** *power-bit-unfold* [*simp*]:  
 $\langle a \wedge^n = \text{of-bool } (\text{odd } a \vee n = 0) \rangle$  **for**  $a :: \text{bit}$   
 $\langle \text{proof} \rangle$

**instantiation** *bit* :: *field*  
**begin**

**definition** *uminus-bit* ::  $\langle \text{bit} \Rightarrow \text{bit} \rangle$   
**where** [*simp*]:  $\langle \text{uminus-bit} = \text{id} \rangle$

**definition** *inverse-bit* ::  $\langle \text{bit} \Rightarrow \text{bit} \rangle$   
**where** [*simp*]:  $\langle \text{inverse-bit} = \text{id} \rangle$

**instance**  
 $\langle \text{proof} \rangle$

**end**

**instantiation** *bit* :: *semiring-bits*  
**begin**

**definition** *bit-bit* ::  $\langle \text{bit} \Rightarrow \text{nat} \Rightarrow \text{bool} \rangle$   
**where** [*simp*]:  $\langle \text{bit-bit } b \ n \longleftrightarrow \text{odd } b \wedge n = 0 \rangle$

**instance**  
 $\langle \text{proof} \rangle$

**end**

```

instantiation bit :: ring-bit-operations
begin

context
  includes bit-operations-syntax
begin

definition not-bit :: ⟨bit ⇒ bit⟩
  where [simp]: ⟨NOT b = of-bool (even b)⟩ for b :: bit

definition and-bit :: ⟨bit ⇒ bit ⇒ bit⟩
  where [simp]: ⟨b AND c = of-bool (odd b ∧ odd c)⟩ for b c :: bit

definition or-bit :: ⟨bit ⇒ bit ⇒ bit⟩
  where [simp]: ⟨b OR c = of-bool (odd b ∨ odd c)⟩ for b c :: bit

definition xor-bit :: ⟨bit ⇒ bit ⇒ bit⟩
  where [simp]: ⟨b XOR c = of-bool (odd b ≠ odd c)⟩ for b c :: bit

definition mask-bit :: ⟨nat ⇒ bit⟩
  where [simp]: ⟨mask n = (of-bool (n > 0)) :: bit⟩

definition set-bit-bit :: ⟨nat ⇒ bit ⇒ bit⟩
  where [simp]: ⟨set-bit n b = of-bool (n = 0 ∨ odd b)⟩ for b :: bit

definition unset-bit-bit :: ⟨nat ⇒ bit ⇒ bit⟩
  where [simp]: ⟨unset-bit n b = of-bool (n > 0 ∧ odd b)⟩ for b :: bit

definition flip-bit-bit :: ⟨nat ⇒ bit ⇒ bit⟩
  where [simp]: ⟨flip-bit n b = of-bool ((n = 0) ≠ odd b)⟩ for b :: bit

definition push-bit-bit :: ⟨nat ⇒ bit ⇒ bit⟩
  where [simp]: ⟨push-bit n b = of-bool (odd b ∧ n = 0)⟩ for b :: bit

definition drop-bit-bit :: ⟨nat ⇒ bit ⇒ bit⟩
  where [simp]: ⟨drop-bit n b = of-bool (odd b ∧ n = 0)⟩ for b :: bit

definition take-bit-bit :: ⟨nat ⇒ bit ⇒ bit⟩
  where [simp]: ⟨take-bit n b = of-bool (odd b ∧ n > 0)⟩ for b :: bit

end

instance
  ⟨proof⟩

end

lemma add-bit-eq-xor [simp, code]:

```

$\langle (+) = (\text{Bit-Operations.xor} :: \text{bit} \Rightarrow -) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *mult-bit-eq-and* [*simp, code*]:  
 $\langle (*) = (\text{Bit-Operations.and} :: \text{bit} \Rightarrow -) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *bit-numeral-even* [*simp*]:  
 $\langle \text{numeral} (\text{Num.Bit0 } n) = (0 :: \text{bit}) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *bit-numeral-odd* [*simp*]:  
 $\langle \text{numeral} (\text{Num.Bit1 } n) = (1 :: \text{bit}) \rangle$   
 $\langle \text{proof} \rangle$

**end**

## 109 Pointwise order on product types

**theory** *Product-Order*  
**imports** *Product-Plus*  
**begin**

### 109.1 Pointwise ordering

**instantiation** *prod* :: (*ord, ord*) *ord*  
**begin**

**definition**  
 $x \leq y \iff \text{fst } x \leq \text{fst } y \wedge \text{snd } x \leq \text{snd } y$

**definition**  
 $(x :: 'a \times 'b) < y \iff x \leq y \wedge \neg y \leq x$

**instance**  $\langle \text{proof} \rangle$

**end**

**lemma** *fst-mono*:  $x \leq y \implies \text{fst } x \leq \text{fst } y$   
 $\langle \text{proof} \rangle$

**lemma** *snd-mono*:  $x \leq y \implies \text{snd } x \leq \text{snd } y$   
 $\langle \text{proof} \rangle$

**lemma** *Pair-mono*:  $x \leq x' \implies y \leq y' \implies (x, y) \leq (x', y')$   
 $\langle \text{proof} \rangle$

**lemma** *Pair-le* [*simp*]:  $(a, b) \leq (c, d) \iff a \leq c \wedge b \leq d$

*<proof>*

**lemma** *atLeastAtMost-prod-eq*:  $\{a..b\} = \{fst\ a..fst\ b\} \times \{snd\ a..snd\ b\}$   
*<proof>*

**instance** *prod* :: (*preorder*, *preorder*) *preorder*  
*<proof>*

**instance** *prod* :: (*order*, *order*) *order*  
*<proof>*

## 109.2 Binary infimum and supremum

**instantiation** *prod* :: (*inf*, *inf*) *inf*  
**begin**

**definition** *inf*  $x\ y = (inf\ (fst\ x)\ (fst\ y),\ inf\ (snd\ x)\ (snd\ y))$

**lemma** *inf-Pair-Pair* [*simp*]:  $inf\ (a,\ b)\ (c,\ d) = (inf\ a\ c,\ inf\ b\ d)$   
*<proof>*

**lemma** *fst-inf* [*simp*]:  $fst\ (inf\ x\ y) = inf\ (fst\ x)\ (fst\ y)$   
*<proof>*

**lemma** *snd-inf* [*simp*]:  $snd\ (inf\ x\ y) = inf\ (snd\ x)\ (snd\ y)$   
*<proof>*

**instance** *<proof>*

**end**

**instance** *prod* :: (*semilattice-inf*, *semilattice-inf*) *semilattice-inf*  
*<proof>*

**instantiation** *prod* :: (*sup*, *sup*) *sup*  
**begin**

**definition**  
 $sup\ x\ y = (sup\ (fst\ x)\ (fst\ y),\ sup\ (snd\ x)\ (snd\ y))$

**lemma** *sup-Pair-Pair* [*simp*]:  $sup\ (a,\ b)\ (c,\ d) = (sup\ a\ c,\ sup\ b\ d)$   
*<proof>*

**lemma** *fst-sup* [*simp*]:  $fst\ (sup\ x\ y) = sup\ (fst\ x)\ (fst\ y)$   
*<proof>*

**lemma** *snd-sup* [*simp*]:  $snd\ (sup\ x\ y) = sup\ (snd\ x)\ (snd\ y)$   
*<proof>*



```

instance ⟨proof⟩
end

instance prod :: (semilattice-sup, semilattice-sup) semilattice-sup
  ⟨proof⟩

instance prod :: (lattice, lattice) lattice ⟨proof⟩

instance prod :: (distrib-lattice, distrib-lattice) distrib-lattice
  ⟨proof⟩

```

### 109.3 Top and bottom elements

```

instantiation prod :: (top, top) top
begin

definition
  top = (top, top)

instance ⟨proof⟩

end

lemma fst-top [simp]: fst top = top
  ⟨proof⟩

lemma snd-top [simp]: snd top = top
  ⟨proof⟩

lemma Pair-top-top: (top, top) = top
  ⟨proof⟩

instance prod :: (order-top, order-top) order-top
  ⟨proof⟩

instantiation prod :: (bot, bot) bot
begin

definition
  bot = (bot, bot)

instance ⟨proof⟩

end

lemma fst-bot [simp]: fst bot = bot
  ⟨proof⟩

```

**lemma** *snd-bot* [*simp*]:  $snd\ bot = bot$   
 ⟨*proof*⟩

**lemma** *Pair-bot-bot*:  $(bot, bot) = bot$   
 ⟨*proof*⟩

**instance** *prod* :: (*order-bot, order-bot*) *order-bot*  
 ⟨*proof*⟩

**instance** *prod* :: (*bounded-lattice, bounded-lattice*) *bounded-lattice* ⟨*proof*⟩

**instance** *prod* :: (*boolean-algebra, boolean-algebra*) *boolean-algebra*  
 ⟨*proof*⟩

#### 109.4 Complete lattice operations

**instantiation** *prod* :: (*Inf, Inf*) *Inf*  
**begin**

**definition**  $Inf\ A = (INF\ x \in A.\ fst\ x, INF\ x \in A.\ snd\ x)$

**instance** ⟨*proof*⟩

**end**

**instantiation** *prod* :: (*Sup, Sup*) *Sup*  
**begin**

**definition**  $Sup\ A = (SUP\ x \in A.\ fst\ x, SUP\ x \in A.\ snd\ x)$

**instance** ⟨*proof*⟩

**end**

**instance** *prod* :: (*conditionally-complete-lattice, conditionally-complete-lattice*)  
*conditionally-complete-lattice*  
 ⟨*proof*⟩

**instance** *prod* :: (*complete-lattice, complete-lattice*) *complete-lattice*  
 ⟨*proof*⟩

**lemma** *fst-Inf*:  $fst\ (Inf\ A) = (INF\ x \in A.\ fst\ x)$   
 ⟨*proof*⟩

**lemma** *fst-INF*:  $fst\ (INF\ x \in A.\ f\ x) = (INF\ x \in A.\ fst\ (f\ x))$   
 ⟨*proof*⟩

**lemma** *fst-Sup*:  $fst\ (Sup\ A) = (SUP\ x \in A.\ fst\ x)$

*<proof>*

**lemma** *fst-SUP*:  $\text{fst } (\text{SUP } x \in A. f x) = (\text{SUP } x \in A. \text{fst } (f x))$   
*<proof>*

**lemma** *snd-Inf*:  $\text{snd } (\text{Inf } A) = (\text{INF } x \in A. \text{snd } x)$   
*<proof>*

**lemma** *snd-INF*:  $\text{snd } (\text{INF } x \in A. f x) = (\text{INF } x \in A. \text{snd } (f x))$   
*<proof>*

**lemma** *snd-Sup*:  $\text{snd } (\text{Sup } A) = (\text{SUP } x \in A. \text{snd } x)$   
*<proof>*

**lemma** *snd-SUP*:  $\text{snd } (\text{SUP } x \in A. f x) = (\text{SUP } x \in A. \text{snd } (f x))$   
*<proof>*

**lemma** *INF-Pair*:  $(\text{INF } x \in A. (f x, g x)) = (\text{INF } x \in A. f x, \text{INF } x \in A. g x)$   
*<proof>*

**lemma** *SUP-Pair*:  $(\text{SUP } x \in A. (f x, g x)) = (\text{SUP } x \in A. f x, \text{SUP } x \in A. g x)$   
*<proof>*

Alternative formulations for set infima and suprema over the product of two complete lattices:

**lemma** *INF-prod-alt-def*:  
 $\text{Inf } (f ' A) = (\text{Inf } ((\text{fst } \circ f) ' A), \text{Inf } ((\text{snd } \circ f) ' A))$   
*<proof>*

**lemma** *SUP-prod-alt-def*:  
 $\text{Sup } (f ' A) = (\text{Sup } ((\text{fst } \circ f) ' A), \text{Sup } ((\text{snd } \circ f) ' A))$   
*<proof>*

## 109.5 Complete distributive lattices

**instance** *prod* :: (complete-distrib-lattice, complete-distrib-lattice) complete-distrib-lattice

*<proof>*

## 109.6 Bekic’s Theorem

Simultaneous fixed points over pairs can be written in terms of separate fixed points. Transliterated from HOLCF.Fix by Peter Gammie

**lemma** *lfp-prod*:  
**fixes**  $F :: 'a :: \text{complete-lattice} \times 'b :: \text{complete-lattice} \Rightarrow 'a \times 'b$   
**assumes** *mono F*  
**shows**  $\text{lfp } F = (\text{lfp } (\lambda x. \text{fst } (F (x, \text{lfp } (\lambda y. \text{snd } (F (x, y)))))),$   
 $\quad (\text{lfp } (\lambda y. \text{snd } (F (\text{lfp } (\lambda x. \text{fst } (F (x, \text{lfp } (\lambda y. \text{snd } (F (x, y)))))), y))))$   
**(is**  $\text{lfp } F = (?x, ?y)$ )

*<proof>*

**lemma** *gfp-prod*:

**fixes**  $F :: 'a::complete-lattice \times 'b::complete-lattice \Rightarrow 'a \times 'b$

**assumes** *mono F*

**shows**  $gfp\ F = (gfp\ (\lambda x. fst\ (F\ (x, gfp\ (\lambda y. snd\ (F\ (x, y)))))),$   
 $(gfp\ (\lambda y. snd\ (F\ (gfp\ (\lambda x. fst\ (F\ (x, gfp\ (\lambda y. snd\ (F\ (x, y))))))), y))))$

(**is**  $gfp\ F = (?x, ?y)$ )

*<proof>*

**end**

## 110 Finite Lattices

**theory** *Finite-Lattice*

**imports** *Product-Order*

**begin**

### 110.1 Finite Complete Lattices

A non-empty finite lattice is a complete lattice. Since types are never empty in Isabelle/HOL, a type of classes *finite* and *lattice* should also have class *complete-lattice*. A type class is defined that extends classes *finite* and *lattice* with the operators *bot*, *top*, *Inf*, and *Sup*, along with assumptions that define these operators in terms of the ones of classes *finite* and *lattice*. The resulting class is a subclass of *complete-lattice*.

**class** *finite-lattice-complete* = *finite* + *lattice* + *bot* + *top* + *Inf* + *Sup* +

**assumes** *bot-def*:  $bot = Inf\text{-}fin\ UNIV$

**assumes** *top-def*:  $top = Sup\text{-}fin\ UNIV$

**assumes** *Inf-def*:  $Inf\ A = Finite\text{-}Set.fold\ inf\ top\ A$

**assumes** *Sup-def*:  $Sup\ A = Finite\text{-}Set.fold\ sup\ bot\ A$

The definitional assumptions on the operators *bot* and *top* of class *finite-lattice-complete* ensure that they yield bottom and top.

**lemma** *finite-lattice-complete-bot-least*:  $(bot::'a::finite-lattice-complete) \leq x$

*<proof>*

**instance** *finite-lattice-complete*  $\subseteq$  *order-bot*

*<proof>*

**lemma** *finite-lattice-complete-top-greatest*:  $(top::'a::finite-lattice-complete) \geq x$

*<proof>*

**instance** *finite-lattice-complete*  $\subseteq$  *order-top*

*<proof>*

**instance** *finite-lattice-complete*  $\subseteq$  *bounded-lattice* *<proof>*

The definitional assumptions on the operators *Inf* and *Sup* of class *finite-lattice-complete* ensure that they yield infimum and supremum.

**lemma** *finite-lattice-complete-Inf-empty*:  $\text{Inf } \{\} = (\text{top} :: 'a::\text{finite-lattice-complete})$   
 ⟨proof⟩

**lemma** *finite-lattice-complete-Sup-empty*:  $\text{Sup } \{\} = (\text{bot} :: 'a::\text{finite-lattice-complete})$   
 ⟨proof⟩

**lemma** *finite-lattice-complete-Inf-insert*:  
 fixes  $A :: 'a::\text{finite-lattice-complete}$  set  
 shows  $\text{Inf } (\text{insert } x A) = \text{inf } x (\text{Inf } A)$   
 ⟨proof⟩

**lemma** *finite-lattice-complete-Sup-insert*:  
 fixes  $A :: 'a::\text{finite-lattice-complete}$  set  
 shows  $\text{Sup } (\text{insert } x A) = \text{sup } x (\text{Sup } A)$   
 ⟨proof⟩

**lemma** *finite-lattice-complete-Inf-lower*:  
 $(x :: 'a::\text{finite-lattice-complete}) \in A \implies \text{Inf } A \leq x$   
 ⟨proof⟩

**lemma** *finite-lattice-complete-Inf-greatest*:  
 $\forall x :: 'a::\text{finite-lattice-complete} \in A. z \leq x \implies z \leq \text{Inf } A$   
 ⟨proof⟩

**lemma** *finite-lattice-complete-Sup-upper*:  
 $(x :: 'a::\text{finite-lattice-complete}) \in A \implies \text{Sup } A \geq x$   
 ⟨proof⟩

**lemma** *finite-lattice-complete-Sup-least*:  
 $\forall x :: 'a::\text{finite-lattice-complete} \in A. z \geq x \implies z \geq \text{Sup } A$   
 ⟨proof⟩

**instance** *finite-lattice-complete*  $\subseteq$  *complete-lattice*  
 ⟨proof⟩

The product of two finite lattices is already a finite lattice.

**lemma** *finite-bot-prod*:  
 $(\text{bot} :: ('a::\text{finite-lattice-complete} \times 'b::\text{finite-lattice-complete})) =$   
 $\text{Inf-fn UNIV}$   
 ⟨proof⟩

**lemma** *finite-top-prod*:  
 $(\text{top} :: ('a::\text{finite-lattice-complete} \times 'b::\text{finite-lattice-complete})) =$   
 $\text{Sup-fn UNIV}$   
 ⟨proof⟩

**lemma** *finite-Inf-prod*:

$Inf(A :: ('a::finite-lattice-complete \times 'b::finite-lattice-complete) set) =$   
 $Finite-Set.fold\ inf\ top\ A$   
 $\langle proof \rangle$

**lemma** *finite-Sup-prod*:

$Sup(A :: ('a::finite-lattice-complete \times 'b::finite-lattice-complete) set) =$   
 $Finite-Set.fold\ sup\ bot\ A$   
 $\langle proof \rangle$

**instance** *prod* :: (*finite-lattice-complete*, *finite-lattice-complete*) *finite-lattice-complete*  
 $\langle proof \rangle$

Functions with a finite domain and with a finite lattice as codomain already form a finite lattice.

**lemma** *finite-bot-fun*: (*bot* :: ('a::finite  $\Rightarrow$  'b::finite-lattice-complete)) = *Inf-fin UNIV*  
 $\langle proof \rangle$

**lemma** *finite-top-fun*: (*top* :: ('a::finite  $\Rightarrow$  'b::finite-lattice-complete)) = *Sup-fin UNIV*  
 $\langle proof \rangle$

**lemma** *finite-Inf-fun*:

$Inf(A :: ('a::finite \Rightarrow 'b::finite-lattice-complete) set) =$   
 $Finite-Set.fold\ inf\ top\ A$   
 $\langle proof \rangle$

**lemma** *finite-Sup-fun*:

$Sup(A :: ('a::finite \Rightarrow 'b::finite-lattice-complete) set) =$   
 $Finite-Set.fold\ sup\ bot\ A$   
 $\langle proof \rangle$

**instance** *fun* :: (*finite*, *finite-lattice-complete*) *finite-lattice-complete*  
 $\langle proof \rangle$

## 110.2 Finite Distributive Lattices

A finite distributive lattice is a complete lattice whose *inf* and *sup* operators distribute over *Sup* and *Inf*.

**class** *finite-distrib-lattice-complete* =  
*distrib-lattice* + *finite-lattice-complete*

**lemma** *finite-distrib-lattice-complete-sup-Inf*:

$sup(x :: 'a::finite-distrib-lattice-complete) (Inf\ A) = (INF\ y \in A.\ sup\ x\ y)$   
 $\langle proof \rangle$

**lemma** *finite-distrib-lattice-complete-inf-Sup*:

$inf(x :: 'a::finite-distrib-lattice-complete) (Sup\ A) = (SUP\ y \in A.\ inf\ x\ y)$   
 $\langle proof \rangle$

```

context finite-distrib-lattice-complete
begin
subclass finite-distrib-lattice
  <proof>
end

```

```

instance finite-distrib-lattice-complete  $\subseteq$  complete-distrib-lattice <proof>

```

The product of two finite distributive lattices is already a finite distributive lattice.

```

instance prod ::
  (finite-distrib-lattice-complete, finite-distrib-lattice-complete)
  finite-distrib-lattice-complete
  <proof>

```

Functions with a finite domain and with a finite distributive lattice as codomain already form a finite distributive lattice.

```

instance fun ::
  (finite, finite-distrib-lattice-complete) finite-distrib-lattice-complete
  <proof>

```

### 110.3 Linear Orders

A linear order is a distributive lattice. A type class is defined that extends class *linorder* with the operators *inf* and *sup*, along with assumptions that define these operators in terms of the ones of class *linorder*. The resulting class is a subclass of *distrib-lattice*.

```

class linorder-lattice = linorder + inf + sup +
  assumes inf-def:  $\text{inf } x \ y = (\text{if } x \leq y \text{ then } x \text{ else } y)$ 
  assumes sup-def:  $\text{sup } x \ y = (\text{if } x \geq y \text{ then } x \text{ else } y)$ 

```

The definitional assumptions on the operators *inf* and *sup* of class *linorder-lattice* ensure that they yield infimum and supremum and that they distribute over each other.

```

lemma linorder-lattice-inf-le1:  $\text{inf } (x::'a::\text{linorder-lattice}) \ y \leq x$ 
  <proof>

```

```

lemma linorder-lattice-inf-le2:  $\text{inf } (x::'a::\text{linorder-lattice}) \ y \leq y$ 
  <proof>

```

```

lemma linorder-lattice-inf-greatest:
   $(x::'a::\text{linorder-lattice}) \leq y \implies x \leq z \implies x \leq \text{inf } y \ z$ 
  <proof>

```

```

lemma linorder-lattice-sup-ge1:  $\text{sup } (x::'a::\text{linorder-lattice}) \ y \geq x$ 
  <proof>

```

```

lemma linorder-lattice-sup-ge2:  $\text{sup } (x::'a::\text{linorder-lattice}) \ y \geq y$ 

```

⟨proof⟩

**lemma** *linorder-lattice-sup-least*:

$(x::'a::\text{linorder-lattice}) \geq y \implies x \geq z \implies x \geq \text{sup } y \ z$   
 ⟨proof⟩

**lemma** *linorder-lattice-sup-inf-distrib1*:

$\text{sup } (x::'a::\text{linorder-lattice}) (\text{inf } y \ z) = \text{inf } (\text{sup } x \ y) (\text{sup } x \ z)$   
 ⟨proof⟩

**instance** *linorder-lattice*  $\subseteq$  *distrib-lattice*

⟨proof⟩

## 110.4 Finite Linear Orders

A (non-empty) finite linear order is a complete linear order.

**class** *finite-linorder-complete* = *linorder-lattice* + *finite-lattice-complete*

**instance** *finite-linorder-complete*  $\subseteq$  *complete-linorder* ⟨proof⟩

A (non-empty) finite linear order is a complete lattice whose *inf* and *sup* operators distribute over *Sup* and *Inf*.

**instance** *finite-linorder-complete*  $\subseteq$  *finite-distrib-lattice-complete* ⟨proof⟩

end

## 111 Lexicographic order on lists

**theory** *List-Lexorder*

**imports** *Main*

**begin**

**instantiation** *list* :: (*ord*) *ord*

**begin**

**definition**

*list-less-def*:  $xs < ys \longleftrightarrow (xs, ys) \in \text{lexord } \{(u, v). u < v\}$

**definition**

*list-le-def*:  $(xs :: \text{- list}) \leq ys \longleftrightarrow xs < ys \vee xs = ys$

**instance** ⟨proof⟩

**end**

**instance** *list* :: (*order*) *order*

⟨proof⟩



```

instance list :: (linorder) linorder
  ⟨proof⟩

instantiation list :: (linorder) distrib-lattice
begin

definition (inf :: 'a list ⇒ -) = min

definition (sup :: 'a list ⇒ -) = max

instance
  ⟨proof⟩

end

lemma not-less-Nil [simp]: ¬ x < []
  ⟨proof⟩

lemma Nil-less-Cons [simp]: [] < a # x
  ⟨proof⟩

lemma Cons-less-Cons [simp]: a # x < b # y ↔ a < b ∨ a = b ∧ x < y
  ⟨proof⟩

lemma le-Nil [simp]: x ≤ [] ↔ x = []
  ⟨proof⟩

lemma Nil-le-Cons [simp]: [] ≤ x
  ⟨proof⟩

lemma Cons-le-Cons [simp]: a # x ≤ b # y ↔ a < b ∨ a = b ∧ x ≤ y
  ⟨proof⟩

instantiation list :: (order) order-bot
begin

definition bot = []

instance
  ⟨proof⟩

end

lemma less-list-code [code]:
  xs < ([] :: 'a :: {equal, order} list) ↔ False
  [] < (x :: 'a :: {equal, order}) # xs ↔ True
  (x :: 'a :: {equal, order}) # xs < y # ys ↔ x < y ∨ x = y ∧ xs < ys
  ⟨proof⟩

```

```

lemma less-eq-list-code [code]:
   $x \# xs \leq ([::'a::\{equal, order\} list) \longleftrightarrow False$ 
   $[] \leq (xs::'a::\{equal, order\} list) \longleftrightarrow True$ 
   $(x::'a::\{equal, order\}) \# xs \leq y \# ys \longleftrightarrow x < y \vee x = y \wedge xs \leq ys$ 
   $\langle proof \rangle$ 

```

**end**

## 112 Lexicographic order on lists

This version prioritises length and can yield wellorderings

```

theory List-Lenlexorder
imports Main
begin

```

```

instantiation list :: (ord) ord
begin

```

**definition**

*list-less-def*:  $xs < ys \longleftrightarrow (xs, ys) \in \text{lenlex } \{(u, v). u < v\}$

**definition**

*list-le-def*:  $(xs :: - list) \leq ys \longleftrightarrow xs < ys \vee xs = ys$

**instance**  $\langle proof \rangle$

**end**

```

instance list :: (order) order
   $\langle proof \rangle$ 

```

```

instance list :: (linorder) linorder
   $\langle proof \rangle$ 

```

```

instance list :: (wellorder) wellorder
   $\langle proof \rangle$ 

```

```

instantiation list :: (linorder) distrib-lattice
begin

```

**definition** (*inf* :: 'a list  $\Rightarrow$  -) = *min*

**definition** (*sup* :: 'a list  $\Rightarrow$  -) = *max*

```

instance
   $\langle proof \rangle$ 

```

**end**

**lemma** *not-less-Nil* [*simp*]:  $\neg x < []$   
 ⟨*proof*⟩

**lemma** *Nil-less-Cons* [*simp*]:  $[] < a \# x$   
 ⟨*proof*⟩

**lemma** *Cons-less-Cons*:  $a \# x < b \# y \longleftrightarrow \text{length } x < \text{length } y \vee \text{length } x = \text{length } y \wedge (a < b \vee a = b \wedge x < y)$   
 ⟨*proof*⟩

**lemma** *le-Nil* [*simp*]:  $x \leq [] \longleftrightarrow x = []$   
 ⟨*proof*⟩

**lemma** *Nil-le-Cons* [*simp*]:  $[] \leq x$   
 ⟨*proof*⟩

**lemma** *Cons-le-Cons*:  $a \# x \leq b \# y \longleftrightarrow \text{length } x < \text{length } y \vee \text{length } x = \text{length } y \wedge (a < b \vee a = b \wedge x \leq y)$   
 ⟨*proof*⟩

**instantiation** *list* :: (*order*) *order-bot*  
**begin**

**definition** *bot* = []

**instance**  
 ⟨*proof*⟩

**end**

**end**

## 113 Prefix order on lists as order class instance

**theory** *Prefix-Order*  
**imports** *Sublist*  
**begin**

**instantiation** *list* :: (*type*) *order*  
**begin**

**definition**  $xs \leq ys \equiv \text{prefix } xs \text{ } ys$  **for**  $xs \ ys :: 'a \text{ list}$

**definition**  $xs < ys \equiv xs \leq ys \wedge \neg (ys \leq xs)$  **for**  $xs \ ys :: 'a \text{ list}$

**instance**  
 ⟨*proof*⟩

end

**lemma** *less-list-def'*:  $xs < ys \longleftrightarrow \text{strict-prefix } xs \text{ } ys$  **for**  $xs \text{ } ys :: 'a \text{ list}$   
 ⟨*proof*⟩

**lemmas** *prefixI* [*intro?*] = *prefixI* [*folded less-eq-list-def*]

**lemmas** *prefixE* [*elim?*] = *prefixE* [*folded less-eq-list-def*]

**lemmas** *strict-prefixI'* [*intro?*] = *strict-prefixI'* [*folded less-list-def*]

**lemmas** *strict-prefixE'* [*elim?*] = *strict-prefixE'* [*folded less-list-def*]

**lemmas** *strict-prefixI* [*intro?*] = *strict-prefixI* [*folded less-list-def*]

**lemmas** *strict-prefixE* [*elim?*] = *strict-prefixE* [*folded less-list-def*]

**lemmas** *Nil-prefix* [*iff*] = *Nil-prefix* [*folded less-eq-list-def*]

**lemmas** *prefix-Nil* [*simp*] = *prefix-Nil* [*folded less-eq-list-def*]

**lemmas** *prefix-snoc* [*simp*] = *prefix-snoc* [*folded less-eq-list-def*]

**lemmas** *Cons-prefix-Cons* [*simp*] = *Cons-prefix-Cons* [*folded less-eq-list-def*]

**lemmas** *same-prefix-prefix* [*simp*] = *same-prefix-prefix* [*folded less-eq-list-def*]

**lemmas** *same-prefix-nil* [*iff*] = *same-prefix-nil* [*folded less-eq-list-def*]

**lemmas** *prefix-prefix* [*simp*] = *prefix-prefix* [*folded less-eq-list-def*]

**lemmas** *prefix-Cons* = *prefix-Cons* [*folded less-eq-list-def*]

**lemmas** *prefix-length-le* = *prefix-length-le* [*folded less-eq-list-def*]

**lemmas** *strict-prefix-simps* [*simp*, *code*] = *strict-prefix-simps* [*folded less-list-def*]

**lemmas** *not-prefix-induct* [*consumes 1*, *case-names Nil Neq Eq*] =  
*not-prefix-induct* [*folded less-eq-list-def*]

end

## 114 Lexicographic order on product types

**theory** *Product-Lexorder*

**imports** *Main*

**begin**

**instantiation** *prod* :: (*ord*, *ord*) *ord*

**begin**

**definition**

$$x \leq y \longleftrightarrow \text{fst } x < \text{fst } y \vee \text{fst } x \leq \text{fst } y \wedge \text{snd } x \leq \text{snd } y$$

**definition**

$$x < y \longleftrightarrow \text{fst } x < \text{fst } y \vee \text{fst } x \leq \text{fst } y \wedge \text{snd } x < \text{snd } y$$

**instance** ⟨*proof*⟩

end

**lemma** *less-eq-prod-simp* [*simp*, *code*]:

$$(x1, y1) \leq (x2, y2) \longleftrightarrow x1 < x2 \vee x1 \leq x2 \wedge y1 \leq y2$$

⟨*proof*⟩

**lemma** *less-prod-simp* [*simp, code*]:  
 $(x1, y1) < (x2, y2) \iff x1 < x2 \vee x1 \leq x2 \wedge y1 < y2$   
 ⟨*proof*⟩

A stronger version for partial orders.

**lemma** *less-prod-def'*:  
**fixes**  $x\ y :: 'a::order \times 'b::ord$   
**shows**  $x < y \iff fst\ x < fst\ y \vee fst\ x = fst\ y \wedge snd\ x < snd\ y$   
 ⟨*proof*⟩

**instance** *prod* :: (*preorder, preorder*) *preorder*  
 ⟨*proof*⟩

**instance** *prod* :: (*order, order*) *order*  
 ⟨*proof*⟩

**instance** *prod* :: (*linorder, linorder*) *linorder*  
 ⟨*proof*⟩

**instantiation** *prod* :: (*linorder, linorder*) *distrib-lattice*  
**begin**

**definition**  
 $(inf :: 'a \times 'b \Rightarrow - \Rightarrow -) = min$

**definition**  
 $(sup :: 'a \times 'b \Rightarrow - \Rightarrow -) = max$

**instance**  
 ⟨*proof*⟩

**end**

**instantiation** *prod* :: (*bot, bot*) *bot*  
**begin**

**definition**  
 $bot = (bot, bot)$

**instance** ⟨*proof*⟩

**end**

**instance** *prod* :: (*order-bot, order-bot*) *order-bot*  
 ⟨*proof*⟩

**instantiation** *prod* :: (*top, top*) *top*  
**begin**

```

definition
  top = (top, top)

instance ⟨proof⟩

end

instance prod :: (order-top, order-top) order-top
  ⟨proof⟩

instance prod :: (wellorder, wellorder) wellorder
  ⟨proof⟩

  Legacy lemma bindings

lemmas prod-le-def = less-eq-prod-def
lemmas prod-less-def = less-prod-def
lemmas prod-less-eq = less-prod-def'

end

```

## 115 Subsequence Ordering

```

theory Subseq-Order
imports Sublist
begin

```

This theory defines subsequence ordering on lists. A list  $ys$  is a subsequence of a list  $xs$ , iff one obtains  $ys$  by erasing some elements from  $xs$ .

### 115.1 Definitions and basic lemmas

```

instantiation list :: (type) ord
begin

definition less-eq-list
  where ⟨ $xs \leq ys \iff \text{subseq } xs \text{ } ys$ ⟩ for  $xs \ ys :: \langle 'a \text{ list} \rangle$ 

definition less-list
  where ⟨ $xs < ys \iff xs \leq ys \wedge \neg ys \leq xs$ ⟩ for  $xs \ ys :: \langle 'a \text{ list} \rangle$ 

instance ⟨proof⟩

end

instance list :: (type) order
  ⟨proof⟩

lemmas less-eq-list-induct [consumes 1, case-names empty drop take] =
  list-emb.induct [of (=), folded less-eq-list-def]

```

**lemma** *less-eq-list-empty* [code]:

$\langle [] \leq xs \longleftrightarrow True \rangle$   
 $\langle proof \rangle$

**lemma** *less-eq-list-below-empty* [code]:

$\langle x \# xs \leq [] \longleftrightarrow False \rangle$   
 $\langle proof \rangle$

**lemma** *le-list-Cons2-iff* [simp, code]:

$\langle x \# xs \leq y \# ys \longleftrightarrow (if\ x = y\ then\ xs \leq ys\ else\ x \# xs \leq ys) \rangle$   
 $\langle proof \rangle$

**lemma** *less-list-empty* [simp]:

$\langle [] < xs \longleftrightarrow xs \neq [] \rangle$   
 $\langle proof \rangle$

**lemma** *less-list-empty-Cons* [code]:

$\langle [] < x \# xs \longleftrightarrow True \rangle$   
 $\langle proof \rangle$

**lemma** *less-list-below-empty* [simp, code]:

$\langle xs < [] \longleftrightarrow False \rangle$   
 $\langle proof \rangle$

**lemma** *less-list-Cons2-iff* [code]:

$\langle x \# xs < y \# ys \longleftrightarrow (if\ x = y\ then\ xs < ys\ else\ x \# xs \leq ys) \rangle$   
 $\langle proof \rangle$

**lemmas** *less-eq-list-drop = list-emb.list-emb-Cons* [of (=), folded less-eq-list-def]

**lemmas** *le-list-map = subseq-map* [folded less-eq-list-def]

**lemmas** *le-list-filter = subseq-filter* [folded less-eq-list-def]

**lemmas** *le-list-length = list-emb-length* [of (=), folded less-eq-list-def]

**lemma** *less-list-length*:  $xs < ys \implies length\ xs < length\ ys$

$\langle proof \rangle$

**lemma** *less-list-drop*:  $xs < ys \implies xs < x \# ys$

$\langle proof \rangle$

**lemma** *less-list-take-iff*:  $x \# xs < x \# ys \longleftrightarrow xs < ys$

$\langle proof \rangle$

**lemma** *less-list-drop-many*:  $xs < ys \implies xs < zs @ ys$

$\langle proof \rangle$

**lemma** *less-list-take-many-iff*:  $zs @ xs < zs @ ys \longleftrightarrow xs < ys$

$\langle proof \rangle$

**lemma** *less-list-rev-take*:  $xs @ zs < ys @ zs \longleftrightarrow xs < ys$   
 ⟨*proof*⟩

**end**

## 116 Records based on BNF/datatype machinery

**theory** *Datatype-Records*  
**imports** *Main*  
**keywords** *datatype-record* :: *thy-defn*  
**begin**

This theory provides an alternative, stripped-down implementation of records based on the machinery of the **datatype** package.

It supports:

- similar declaration syntax as records
- record creation and update syntax (using `( ... )` brackets)
- regular datatype features (e.g. dead type variables etc.)
- “after-the-fact” registration of single-free-constructor types as records

Caveats:

- there is no compatibility layer; importing this theory will disrupt existing syntax
- extensible records are not supported

### no-syntax

```
-constify      :: id => ident          (-)
-constify      :: longid => ident     (-)

-field-type    :: ident => type => field-type    ((2- ::/ -))
               :: field-type => field-types    (-)
-field-types   :: field-type => field-types => field-types  (-,/ -)
-record-type   :: field-types => type          ((3(|-|)))
-record-type-scheme :: field-types => type => type    ((3(|-/ (2... ::/ -))))

-field         :: ident => 'a => field          ((2- =/ -))
               :: field => fields            (-)
-fields        :: field => fields => fields    (-,/ -)
-record        :: fields => 'a               ((3(|-|)))
-record-scheme :: fields => 'a => 'a          ((3(|-/ (2... =/ -))))

-field-update  :: ident => 'a => field-update  ((2- :=/ -))
```



```

:: field-update => field-updates (-)
-field-updates :: field-update => field-updates => field-updates (-,/ -)
-record-update :: 'a => field-updates => 'b (-/(3(|-)) [900, 0] 900)

```

**no-syntax (ASCII)**

```

-record-type :: field-types => type ((3'(| - |')))
-record-type-scheme :: field-types => type => type ((3'(| -,/ (2... ::/ -) |')))
-record :: fields => 'a ((3'(| - |')))
-record-scheme :: fields => 'a => 'a ((3'(| -,/ (2... =/ -) |')))
-record-update :: 'a => field-updates => 'b (-/(3'(| - |') [900, 0] 900)

```

**nonterminal**

```

field and
fields and
field-update and
field-updates

```

**syntax**

```

-constify :: id => ident (-)
-constify :: longid => ident (-)

-datatype-field :: ident => 'a => field ((2- =/ -))
:: field => fields (-)
-datatype-fields :: field => fields => fields (-,/ -)
-datatype-record :: fields => 'a ((3(|-)))
-datatype-field-update :: ident => 'a => field-update ((2- :=/ -))
:: field-update => field-updates (-)
-datatype-field-updates :: field-update => field-updates => field-updates (-,/ -)
-datatype-record-update :: 'a => field-updates => 'b (-/(3(|-)) [900, 0]
900)

```

**syntax (ASCII)**

```

-datatype-record :: fields => 'a ((3'(| - |')))
-datatype-record-scheme :: fields => 'a => 'a ((3'(| -,/ (2... =/ -)
|')))
-datatype-record-update :: 'a => field-updates => 'b (-/(3'(| - |') [900,
0] 900)

```

**named-theorems datatype-record-update**

```

⟨ML⟩

```

```

end

```

## 117 Implementation of mappings with Association Lists

```
theory AList-Mapping
  imports AList Mapping
begin
```

```
lift-definition Mapping :: ('a × 'b) list ⇒ ('a, 'b) mapping is map-of <proof>
```

```
code-datatype Mapping
```

```
lemma lookup-Mapping [simp, code]: Mapping.lookup (Mapping xs) = map-of xs
  <proof>
```

```
lemma keys-Mapping [simp, code]: Mapping.keys (Mapping xs) = set (map fst xs)
  <proof>
```

```
lemma empty-Mapping [code]: Mapping.empty = Mapping []
  <proof>
```

```
lemma is-empty-Mapping [code]: Mapping.is-empty (Mapping xs) ⟷ List.null xs
  <proof>
```

```
lemma update-Mapping [code]: Mapping.update k v (Mapping xs) = Mapping (AList.update
k v xs)
  <proof>
```

```
lemma delete-Mapping [code]: Mapping.delete k (Mapping xs) = Mapping (AList.delete
k xs)
  <proof>
```

```
lemma ordered-keys-Mapping [code]:
  Mapping.ordered-keys (Mapping xs) = sort (remdups (map fst xs))
  <proof>
```

```
lemma entries-Mapping [code]:
  Mapping.entries (Mapping xs) = set (AList.clearjunk xs)
  <proof>
```

```
lemma ordered-entries-Mapping [code]:
  Mapping.ordered-entries (Mapping xs) = sort-key fst (AList.clearjunk xs)
  <proof>
```

```
lemma fold-Mapping [code]:
  Mapping.fold f (Mapping xs) a = List.fold (case-prod f) (sort-key fst (AList.clearjunk
xs)) a
  <proof>
```

```
lemma size-Mapping [code]: Mapping.size (Mapping xs) = length (remdups (map
```

*fst xs*)  
 ⟨*proof*⟩

**lemma** *tabulate-Mapping* [*code*]: *Mapping.tabulate ks f = Mapping (map (λk. (k, f k)) ks)*  
 ⟨*proof*⟩

**lemma** *bulkload-Mapping* [*code*]:  
*Mapping.bulkload vs = Mapping (map (λn. (n, vs ! n)) [0..<length vs])*  
 ⟨*proof*⟩

**lemma** *equal-Mapping* [*code*]:  
*HOL.equal (Mapping xs) (Mapping ys) ⟷*  
*(let ks = map fst xs; ls = map fst ys*  
*in (∀ l ∈ set ls. l ∈ set ks) ∧ (∀ k ∈ set ks. k ∈ set ls ∧ map-of xs k = map-of ys k))*  
 ⟨*proof*⟩

**lemma** *map-values-Mapping* [*code*]:  
*Mapping.map-values f (Mapping xs) = Mapping (map (λ(x,y). (x, f x y)) xs)*  
**for** *f :: 'c ⇒ 'a ⇒ 'b* **and** *xs :: ('c × 'a) list*  
 ⟨*proof*⟩

**lemma** *combine-with-key-code* [*code*]:  
*Mapping.combine-with-key f (Mapping xs) (Mapping ys) =*  
*Mapping.tabulate (remdups (map fst xs @ map fst ys))*  
*(λx. the (combine-options (f x) (map-of xs x) (map-of ys x)))*  
 ⟨*proof*⟩

**lemma** *combine-code* [*code*]:  
*Mapping.combine f (Mapping xs) (Mapping ys) =*  
*Mapping.tabulate (remdups (map fst xs @ map fst ys))*  
*(λx. the (combine-options f (map-of xs x) (map-of ys x)))*  
 ⟨*proof*⟩

**lemma** *map-of-filter-distinct*:  
**assumes** *distinct (map fst xs)*  
**shows** *map-of (filter P xs) x =*  
*(case map-of xs x of*  
*None ⇒ None*  
*| Some y ⇒ if P (x,y) then Some y else None)*  
 ⟨*proof*⟩

**lemma** *filter-Mapping* [*code*]:  
*Mapping.filter P (Mapping xs) = Mapping (filter (λ(k,v). P k v) (AList.clearjunk xs))*  
 ⟨*proof*⟩

**lemma** [*code nbe*]: *HOL.equal (x :: ('a, 'b) mapping) x ⟷ True*

⟨*proof*⟩

**end**

**theory** *Code-Abstract-Char*

**imports**

*Main*

*HOL-Library.Char-ord*

**begin**

**definition** *Chr* :: ⟨*integer* ⇒ *char*⟩

**where** [*simp*]: ⟨*Chr* = *char-of*⟩

**lemma** *char-of-integer-of-char* [*code abstype*]:

⟨*Chr* (*integer-of-char* *c*) = *c*⟩

⟨*proof*⟩

**lemma** *char-of-integer-code* [*code*]:

⟨*integer-of-char* (*char-of-integer* *k*) = (if  $0 \leq k \wedge k < 256$  then *k* else *k mod 256*)⟩

⟨*proof*⟩

**lemma** *of-char-code* [*code*]:

⟨*of-char* *c* = *of-nat* (*nat-of-integer* (*integer-of-char* *c*))⟩

⟨*proof*⟩

**definition** *byte* :: ⟨*bool* ⇒ *bool* ⇒ *bool* ⇒ *bool* ⇒ *bool* ⇒ *bool* ⇒ *bool* ⇒ *bool* ⇒ *integer*⟩

**where** [*simp*]: ⟨*byte* *b0* *b1* *b2* *b3* *b4* *b5* *b6* *b7* = *horner-sum of-bool 2* [*b0*, *b1*, *b2*, *b3*, *b4*, *b5*, *b6*, *b7*]⟩

**lemma** *byte-code* [*code*]:

⟨*byte* *b0* *b1* *b2* *b3* *b4* *b5* *b6* *b7* = (

*let*

*s0* = if *b0* then 1 else 0;

*s1* = if *b1* then *s0* + 2 else *s0*;

*s2* = if *b2* then *s1* + 4 else *s1*;

*s3* = if *b3* then *s2* + 8 else *s2*;

*s4* = if *b4* then *s3* + 16 else *s3*;

*s5* = if *b5* then *s4* + 32 else *s4*;

*s6* = if *b6* then *s5* + 64 else *s5*;

*s7* = if *b7* then *s6* + 128 else *s6*

*in s7*)⟩

⟨*proof*⟩

**lemma** *Char-code* [*code*]:

⟨*integer-of-char* (*Char* *b0* *b1* *b2* *b3* *b4* *b5* *b6* *b7*) = *byte* *b0* *b1* *b2* *b3* *b4* *b5* *b6* *b7*⟩

⟨*proof*⟩

**lemma** *digit-0-code* [code]:  
 $\langle \text{digit0 } c \longleftrightarrow \text{bit } (\text{integer-of-char } c) \ 0 \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *digit-1-code* [code]:  
 $\langle \text{digit1 } c \longleftrightarrow \text{bit } (\text{integer-of-char } c) \ 1 \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *digit-2-code* [code]:  
 $\langle \text{digit2 } c \longleftrightarrow \text{bit } (\text{integer-of-char } c) \ 2 \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *digit-3-code* [code]:  
 $\langle \text{digit3 } c \longleftrightarrow \text{bit } (\text{integer-of-char } c) \ 3 \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *digit-4-code* [code]:  
 $\langle \text{digit4 } c \longleftrightarrow \text{bit } (\text{integer-of-char } c) \ 4 \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *digit-5-code* [code]:  
 $\langle \text{digit5 } c \longleftrightarrow \text{bit } (\text{integer-of-char } c) \ 5 \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *digit-6-code* [code]:  
 $\langle \text{digit6 } c \longleftrightarrow \text{bit } (\text{integer-of-char } c) \ 6 \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *digit-7-code* [code]:  
 $\langle \text{digit7 } c \longleftrightarrow \text{bit } (\text{integer-of-char } c) \ 7 \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *case-char-code* [code]:  
 $\langle \text{case-char } f \ c = f \ (\text{digit0 } c) \ (\text{digit1 } c) \ (\text{digit2 } c) \ (\text{digit3 } c) \ (\text{digit4 } c) \ (\text{digit5 } c) \ (\text{digit6 } c) \ (\text{digit7 } c) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *rec-char-code* [code]:  
 $\langle \text{rec-char } f \ c = f \ (\text{digit0 } c) \ (\text{digit1 } c) \ (\text{digit2 } c) \ (\text{digit3 } c) \ (\text{digit4 } c) \ (\text{digit5 } c) \ (\text{digit6 } c) \ (\text{digit7 } c) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *char-of-code* [code]:  
 $\langle \text{integer-of-char } (\text{char-of } a) =$   
 $\text{byte } (\text{bit } a \ 0) \ (\text{bit } a \ 1) \ (\text{bit } a \ 2) \ (\text{bit } a \ 3) \ (\text{bit } a \ 4) \ (\text{bit } a \ 5) \ (\text{bit } a \ 6) \ (\text{bit } a \ 7) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *ascii-of-code* [code]:

$\langle \text{integer-of-char } (\text{String.ascii-of } c) = (\text{let } k = \text{integer-of-char } c \text{ in if } k < 128 \text{ then } k \text{ else } k - 128) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *equal-char-code* [code]:  
 $\langle \text{HOL.equal } c \ d \longleftrightarrow \text{integer-of-char } c = \text{integer-of-char } d \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *less-eq-char-code* [code]:  
 $\langle c \leq d \longleftrightarrow \text{integer-of-char } c \leq \text{integer-of-char } d \rangle$  (**is**  $\langle ?P \longleftrightarrow ?Q \rangle$ )  
 $\langle \text{proof} \rangle$

**lemma** *less-char-code* [code]:  
 $\langle c < d \longleftrightarrow \text{integer-of-char } c < \text{integer-of-char } d \rangle$  (**is**  $\langle ?P \longleftrightarrow ?Q \rangle$ )  
 $\langle \text{proof} \rangle$

**lemma** *absdef-simps*:  
 $\langle \text{horner-sum of-bool } 2 \ [] = (0 :: \text{integer}) \rangle$   
 $\langle \text{horner-sum of-bool } 2 \ (\text{False} \# \text{bs}) = (0 :: \text{integer}) \longleftrightarrow \text{horner-sum of-bool } 2 \ \text{bs}$   
 $= (0 :: \text{integer}) \rangle$   
 $\langle \text{horner-sum of-bool } 2 \ (\text{True} \# \text{bs}) = (1 :: \text{integer}) \longleftrightarrow \text{horner-sum of-bool } 2 \ \text{bs}$   
 $= (0 :: \text{integer}) \rangle$   
 $\langle \text{horner-sum of-bool } 2 \ (\text{False} \# \text{bs}) = (\text{numeral } (\text{Num.Bit0 } n) :: \text{integer}) \longleftrightarrow$   
 $\text{horner-sum of-bool } 2 \ \text{bs} = (\text{numeral } n :: \text{integer}) \rangle$   
 $\langle \text{horner-sum of-bool } 2 \ (\text{True} \# \text{bs}) = (\text{numeral } (\text{Num.Bit1 } n) :: \text{integer}) \longleftrightarrow$   
 $\text{horner-sum of-bool } 2 \ \text{bs} = (\text{numeral } n :: \text{integer}) \rangle$   
 $\langle \text{proof} \rangle$

$\langle \text{ML} \rangle$

**code-identifier**

**code-module** *Code-Abstract-Char*  $\rightarrow$   
*(SML) Str and (OCaml) Str and (Haskell) Str and (Scala) Str*

**end**

## 118 Avoidance of pattern matching on natural numbers

**theory** *Code-Abstract-Nat*  
**imports** *Main*  
**begin**

When natural numbers are implemented in another than the conventional inductive *0/Suc* representation, it is necessary to avoid all pattern matching on natural numbers altogether. This is accomplished by this theory (up to a certain extent).

### 118.1 Case analysis

Case analysis on natural numbers is rephrased using a conditional expression:

**lemma** *[code, code-unfold]*:  
 $case\text{-}nat = (\lambda f g n. \text{if } n = 0 \text{ then } f \text{ else } g (n - 1))$   
*<proof>*

### 118.2 Preprocessors

The term *Suc n* is no longer a valid pattern. Therefore, all occurrences of this term in a position where a pattern is expected (i.e. on the left-hand side of a code equation) must be eliminated. This can be accomplished – as far as possible – by applying the following transformation rule:

**lemma** *Suc-if-eq*:  
**assumes**  $\bigwedge n. f (Suc\ n) \equiv h\ n$   
**assumes**  $f\ 0 \equiv g$   
**shows**  $f\ n \equiv \text{if } n = 0 \text{ then } g \text{ else } h (n - 1)$   
*<proof>*

The rule above is built into a preprocessor that is plugged into the code generator.

*<ML>*

### 118.3 Candidates which need special treatment

**lemma** *drop-bit-int-code* *[code]*:  
 $\langle drop\text{-}bit\ n\ k = k\ \text{div}\ 2^{\wedge} n \rangle$  **for**  $k :: int$   
*<proof>*

**lemma** *take-bit-num-code* *[code]*:  
 $\langle take\text{-}bit\text{-}num\ n\ Num.One =$   
 $(case\ n\ of\ 0 \Rightarrow None \mid Suc\ n \Rightarrow Some\ Num.One) \rangle$   
 $\langle take\text{-}bit\text{-}num\ n\ (Num.Bit0\ m) =$   
 $(case\ n\ of\ 0 \Rightarrow None \mid Suc\ n \Rightarrow (case\ take\text{-}bit\text{-}num\ n\ m\ of\ None \Rightarrow None \mid$   
 $Some\ q \Rightarrow Some\ (Num.Bit0\ q))) \rangle$   
 $\langle take\text{-}bit\text{-}num\ n\ (Num.Bit1\ m) =$   
 $(case\ n\ of\ 0 \Rightarrow None \mid Suc\ n \Rightarrow Some\ (case\ take\text{-}bit\text{-}num\ n\ m\ of\ None \Rightarrow$   
 $Num.One \mid Some\ q \Rightarrow Num.Bit1\ q)) \rangle$   
*<proof>*

**end**

## 119 Implementation of natural numbers as binary numerals

**theory** *Code-Binary-Nat*

```
imports Code-Abstract-Nat
begin
```

When generating code for functions on natural numbers, the canonical representation using  $0$  and  $Suc$  is unsuitable for computations involving large numbers. This theory refines the representation of natural numbers for code generation to use binary numerals, which do not grow linear in size but logarithmic.

### 119.1 Representation

```
code-datatype 0::nat nat-of-num
```

```
lemma [code]:
  num-of-nat 0 = Num.One
  num-of-nat (nat-of-num k) = k
  <proof>
```

```
lemma [code]:
  (1::nat) = Numeral1
  <proof>
```

```
lemma [code-abbrev]: Numeral1 = (1::nat)
  <proof>
```

```
lemma [code]:
  Suc n = n + 1
  <proof>
```

### 119.2 Basic arithmetic

```
context
begin
```

```
declare [[code drop: plus :: nat => -]]
```

```
lemma plus-nat-code [code]:
  nat-of-num k + nat-of-num l = nat-of-num (k + l)
  m + 0 = (m::nat)
  0 + n = (n::nat)
  <proof>
```

Bounded subtraction needs some auxiliary

```
qualified definition dup :: nat => nat where
  dup n = n + n
```

```
lemma dup-code [code]:
  dup 0 = 0
  dup (nat-of-num k) = nat-of-num (Num.Bit0 k)
```



*<proof>* **definition** *sub* :: *num*  $\Rightarrow$  *num*  $\Rightarrow$  *nat option* **where**  
*sub k l* = (if *k*  $\geq$  *l* then *Some* (*numeral k* - *numeral l*) else *None*)

**lemma** *sub-code* [*code*]:

*sub Num.One Num.One* = *Some 0*  
*sub (Num.Bit0 m) Num.One* = *Some (nat-of-num (Num.BitM m))*  
*sub (Num.Bit1 m) Num.One* = *Some (nat-of-num (Num.Bit0 m))*  
*sub Num.One (Num.Bit0 n)* = *None*  
*sub Num.One (Num.Bit1 n)* = *None*  
*sub (Num.Bit0 m) (Num.Bit0 n)* = *map-option dup (sub m n)*  
*sub (Num.Bit1 m) (Num.Bit1 n)* = *map-option dup (sub m n)*  
*sub (Num.Bit1 m) (Num.Bit0 n)* = *map-option* ( $\lambda q. \text{dup } q + 1$ ) (*sub m n*)  
*sub (Num.Bit0 m) (Num.Bit1 n)* = (*case sub m n of None*  $\Rightarrow$  *None*  
| *Some q*  $\Rightarrow$  if *q* = 0 then *None* else *Some (dup q - 1)*)  
*<proof>*

**declare** [[*code drop: minus* :: *nat*  $\Rightarrow$  -]]

**lemma** *minus-nat-code* [*code*]:

*nat-of-num k* - *nat-of-num l* = (*case sub k l of None*  $\Rightarrow$  0 | *Some j*  $\Rightarrow$  *j*)  
*m* - 0 = (*m::nat*)  
0 - *n* = (*0::nat*)  
*<proof>*

**declare** [[*code drop: times* :: *nat*  $\Rightarrow$  -]]

**lemma** *times-nat-code* [*code*]:

*nat-of-num k* \* *nat-of-num l* = *nat-of-num (k \* l)*  
*m* \* 0 = (*0::nat*)  
0 \* *n* = (*0::nat*)  
*<proof>*

**declare** [[*code drop: HOL.equal* :: *nat*  $\Rightarrow$  -]]

**lemma** *equal-nat-code* [*code*]:

*HOL.equal* 0 (*0::nat*)  $\longleftrightarrow$  *True*  
*HOL.equal* 0 (*nat-of-num l*)  $\longleftrightarrow$  *False*  
*HOL.equal* (*nat-of-num k*) 0  $\longleftrightarrow$  *False*  
*HOL.equal* (*nat-of-num k*) (*nat-of-num l*)  $\longleftrightarrow$  *HOL.equal k l*  
*<proof>*

**lemma** *equal-nat-refl* [*code nbe*]:

*HOL.equal* (*n::nat*) *n*  $\longleftrightarrow$  *True*  
*<proof>*

**declare** [[*code drop: less-eq* :: *nat*  $\Rightarrow$  -]]

**lemma** *less-eq-nat-code* [*code*]:

0  $\leq$  (*n::nat*)  $\longleftrightarrow$  *True*

```

nat-of-num k ≤ 0 ↔ False
nat-of-num k ≤ nat-of-num l ↔ k ≤ l
⟨proof⟩

```

```

declare [[code drop: less :: nat ⇒ -]]

```

```

lemma less-nat-code [code]:
  (m::nat) < 0 ↔ False
  0 < nat-of-num l ↔ True
  nat-of-num k < nat-of-num l ↔ k < l
  ⟨proof⟩

```

```

declare [[code drop: Euclidean-Rings.divmod-nat]]

```

```

lemma divmod-nat-code [code]:
  Euclidean-Rings.divmod-nat (nat-of-num k) (nat-of-num l) = divmod k l
  Euclidean-Rings.divmod-nat m 0 = (0, m)
  Euclidean-Rings.divmod-nat 0 n = (0, 0)
  ⟨proof⟩

```

```

end

```

### 119.3 Conversions

```

declare [[code drop: of-nat]]

```

```

lemma of-nat-code [code]:
  of-nat 0 = 0
  of-nat (nat-of-num k) = numeral k
  ⟨proof⟩

```

```

code-identifier

```

```

code-module Code-Binary-Nat ↪
  (SML) Arith and (OCaml) Arith and (Haskell) Arith

```

```

end

```

## 120 Code generation of prolog programs

```

theory Code-Prolog
imports Main
keywords values-prolog :: diag
begin

⟨ML⟩

```

## 121 Setup for Numerals

$\langle ML \rangle$

end

## 122 Implementation of integer numbers by target-language integers

**theory** *Code-Target-Int*

**imports** *Main*

**begin**

**code-datatype** *int-of-integer*

**declare**  $[[code\ drop:\ integer-of-int]]$

**context**

**includes** *integer.lifting*

**begin**

**lemma** [*code*]:

$integer-of-int\ (int-of-integer\ k) = k$

$\langle proof \rangle$

**lemma** [*code*]:

$Int.Pos = int-of-integer \circ integer-of-num$

$\langle proof \rangle$

**lemma** [*code*]:

$Int.Neg = int-of-integer \circ uminus \circ integer-of-num$

$\langle proof \rangle$

**lemma** [*code-abbrev*]:

$int-of-integer\ (numeral\ k) = Int.Pos\ k$

$\langle proof \rangle$

**lemma** [*code-abbrev*]:

$int-of-integer\ (-\ numeral\ k) = Int.Neg\ k$

$\langle proof \rangle$

**context**

**begin**

**qualified definition** *positive* :: *num*  $\Rightarrow$  *int*

**where** [*simp*]: *positive* = *numeral*

**qualified definition** *negative* :: *num*  $\Rightarrow$  *int*

**where** [*simp*]:  $negative = uminus \circ numeral$

**lemma** [*code-computation-unfold*]:

$numeral = positive$

$Int.Pos = positive$

$Int.Neg = negative$

$\langle proof \rangle$

**end**

**lemma** [*code, symmetric, code-post*]:

$0 = int-of-integer\ 0$

$\langle proof \rangle$

**lemma** [*code, symmetric, code-post*]:

$1 = int-of-integer\ 1$

$\langle proof \rangle$

**lemma** [*code-post*]:

$int-of-integer\ (-\ 1) = -\ 1$

$\langle proof \rangle$

**lemma** [*code*]:

$k + l = int-of-integer\ (of-int\ k + of-int\ l)$

$\langle proof \rangle$

**lemma** [*code*]:

$-k = int-of-integer\ (-\ of-int\ k)$

$\langle proof \rangle$

**lemma** [*code*]:

$k - l = int-of-integer\ (of-int\ k - of-int\ l)$

$\langle proof \rangle$

**lemma** [*code*]:

$Int.dup\ k = int-of-integer\ (Code-Numeral.dup\ (of-int\ k))$

$\langle proof \rangle$

**declare** [[*code drop: Int.sub*]]

**lemma** [*code*]:

$k * l = int-of-integer\ (of-int\ k * of-int\ l)$

$\langle proof \rangle$

**lemma** [*code*]:

$k\ div\ l = int-of-integer\ (of-int\ k\ div\ of-int\ l)$

$\langle proof \rangle$

**lemma** [*code*]:

$k \bmod l = \text{int-of-integer } (\text{of-int } k \bmod \text{of-int } l)$   
 ⟨proof⟩

**lemma** [code]:  
 $\text{divmod } m \ n = \text{map-prod } \text{int-of-integer } \text{int-of-integer } (\text{divmod } m \ n)$   
 ⟨proof⟩

**lemma** [code]:  
 $\text{HOL.equal } k \ l = \text{HOL.equal } (\text{of-int } k :: \text{integer}) (\text{of-int } l)$   
 ⟨proof⟩

**lemma** [code]:  
 $k \leq l \iff (\text{of-int } k :: \text{integer}) \leq \text{of-int } l$   
 ⟨proof⟩

**lemma** [code]:  
 $k < l \iff (\text{of-int } k :: \text{integer}) < \text{of-int } l$   
 ⟨proof⟩

**declare** [[code drop: gcd :: int ⇒ - lcm :: int ⇒ -]]

**lemma** gcd-int-of-integer [code]:  
 $\text{gcd } (\text{int-of-integer } x) (\text{int-of-integer } y) = \text{int-of-integer } (\text{gcd } x \ y)$   
 ⟨proof⟩

**lemma** lcm-int-of-integer [code]:  
 $\text{lcm } (\text{int-of-integer } x) (\text{int-of-integer } y) = \text{int-of-integer } (\text{lcm } x \ y)$   
 ⟨proof⟩

**end**

**lemma** (in ring-1) of-int-code-if:  
 $\text{of-int } k = (\text{if } k = 0 \text{ then } 0$   
    $\text{else if } k < 0 \text{ then } - \text{of-int } (- k)$   
    $\text{else let}$   
      $l = 2 * \text{of-int } (k \ \text{div } 2);$   
      $j = k \ \text{mod } 2$   
    $\text{in if } j = 0 \text{ then } l \text{ else } l + 1)$   
 ⟨proof⟩

**declare** of-int-code-if [code]

**lemma** [code]:  
 $\text{nat} = \text{nat-of-integer} \circ \text{of-int}$   
**including** integer.lifting ⟨proof⟩

**definition** char-of-int :: int ⇒ char  
**where** [code-abbrev]: char-of-int = char-of

**definition** *int-of-char* :: *char*  $\Rightarrow$  *int*  
**where** [*code-abbrev*]: *int-of-char* = *of-char*

**lemma** [*code*]:  
*char-of-int* = *char-of-integer*  $\circ$  *integer-of-int*  
**including** *integer.lifting*  $\langle$ *proof* $\rangle$

**lemma** [*code*]:  
*int-of-char* = *int-of-integer*  $\circ$  *integer-of-char*  
**including** *integer.lifting*  $\langle$ *proof* $\rangle$

**context**

**includes** *integer.lifting bit-operations-syntax*  
**begin**

**declare** [[*code drop*:  $\langle$ *bit* :: *int*  $\Rightarrow$   $\rightarrow$   $\langle$ *not* :: *int*  $\Rightarrow$   $\rightarrow$   
 $\langle$ *and* :: *int*  $\Rightarrow$   $\rightarrow$   $\langle$ *or* :: *int*  $\Rightarrow$   $\rightarrow$   $\langle$ *xor* :: *int*  $\Rightarrow$   $\rightarrow$   
 $\langle$ *push-bit* ::  $- \Rightarrow - \Rightarrow$  *int* $\rangle$   $\langle$ *drop-bit* ::  $- \Rightarrow - \Rightarrow$  *int* $\rangle$   $\langle$ *take-bit* ::  $- \Rightarrow - \Rightarrow$  *int* $\rangle$ ]]

**lemma** [*code*]:  
 $\langle$ *bit* (*int-of-integer* *k*) *n*  $\longleftrightarrow$  *bit* *k* *n* $\rangle$   
 $\langle$ *proof* $\rangle$

**lemma** [*code*]:  
 $\langle$ *NOT* (*int-of-integer* *k*) = *int-of-integer* (*NOT* *k*) $\rangle$   
 $\langle$ *proof* $\rangle$

**lemma** [*code*]:  
 $\langle$ *int-of-integer* *k* *AND* *int-of-integer* *l* = *int-of-integer* (*k* *AND* *l*) $\rangle$   
 $\langle$ *proof* $\rangle$

**lemma** [*code*]:  
 $\langle$ *int-of-integer* *k* *OR* *int-of-integer* *l* = *int-of-integer* (*k* *OR* *l*) $\rangle$   
 $\langle$ *proof* $\rangle$

**lemma** [*code*]:  
 $\langle$ *int-of-integer* *k* *XOR* *int-of-integer* *l* = *int-of-integer* (*k* *XOR* *l*) $\rangle$   
 $\langle$ *proof* $\rangle$

**lemma** [*code*]:  
 $\langle$ *push-bit* *n* (*int-of-integer* *k*) = *int-of-integer* (*push-bit* *n* *k*) $\rangle$   
 $\langle$ *proof* $\rangle$

**lemma** [*code*]:  
 $\langle$ *drop-bit* *n* (*int-of-integer* *k*) = *int-of-integer* (*drop-bit* *n* *k*) $\rangle$   
 $\langle$ *proof* $\rangle$

**lemma** [*code*]:  
 $\langle$ *take-bit* *n* (*int-of-integer* *k*) = *int-of-integer* (*take-bit* *n* *k*) $\rangle$

⟨*proof*⟩

**lemma** [*code*]:

⟨*mask n = int-of-integer (mask n)*⟩

⟨*proof*⟩

**lemma** [*code*]:

⟨*set-bit n (int-of-integer k) = int-of-integer (set-bit n k)*⟩

⟨*proof*⟩

**lemma** [*code*]:

⟨*unset-bit n (int-of-integer k) = int-of-integer (unset-bit n k)*⟩

⟨*proof*⟩

**lemma** [*code*]:

⟨*flip-bit n (int-of-integer k) = int-of-integer (flip-bit n k)*⟩

⟨*proof*⟩

**end**

**code-identifier**

**code-module** *Code-Target-Int*  $\rightarrow$

(*SML*) *Arith* **and** (*OCaml*) *Arith* **and** (*Haskell*) *Arith*

**end**

**theory** *Code-Real-Approx-By-Float*

**imports** *Complex-Main* *Code-Target-Int*

**begin**

**WARNING!** This theory implements mathematical reals by machine reals (floats). This is inconsistent. See the proof of False at the end of the theory, where an equality on mathematical reals is (incorrectly) disproved by mapping it to machine reals.

The **value** command cannot display real results yet.

The only legitimate use of this theory is as a tool for code generation purposes.

**context**

**begin**

**qualified definition** *real-of-integer* :: *integer*  $\Rightarrow$  *real*

**where** [*code-abbrev*]: *real-of-integer* = *of-int*  $\circ$  *int-of-integer*

**end**

**code-datatype** *Code-Real-Approx-By-Float.real-of-integer*  $\langle (/) \rangle$  :: *real*  $\Rightarrow$  *real*  $\Rightarrow$  *real*

**lemma** [*code-unfold del*]: *numeral k*  $\equiv$  *real-of-rat (numeral k)*  
 ⟨*proof*⟩

**lemma** [*code-unfold del*]:  $-$  *numeral k*  $\equiv$  *real-of-rat (- numeral k)*  
 ⟨*proof*⟩

**context**  
**begin**

**qualified definition** *real-of-int* :: ⟨*int*  $\Rightarrow$  *real*⟩  
**where** [*code-abbrev*]: ⟨*real-of-int = of-int*⟩

**lemma** [*code*]: *real-of-int = Code-Real-Approx-By-Float.real-of-integer*  $\circ$  *integer-of-int*  
 ⟨*proof*⟩ **definition** *exp-real* :: ⟨*real*  $\Rightarrow$  *real*⟩  
**where** [*code-abbrev, code del*]: ⟨*exp-real = exp*⟩

**qualified definition** *sin-real* :: ⟨*real*  $\Rightarrow$  *real*⟩  
**where** [*code-abbrev, code del*]: ⟨*sin-real = sin*⟩

**qualified definition** *cos-real* :: ⟨*real*  $\Rightarrow$  *real*⟩  
**where** [*code-abbrev, code del*]: ⟨*cos-real = cos*⟩

**qualified definition** *tan-real* :: ⟨*real*  $\Rightarrow$  *real*⟩  
**where** [*code-abbrev, code del*]: ⟨*tan-real = tan*⟩

**end**

**lemma** [*code*]: ⟨*Ratreal r = (case quotient-of r of (p, q)  $\Rightarrow$  real-of-int p / real-of-int q)*⟩  
 ⟨*proof*⟩

**lemma** [*code*]: ⟨*inverse r = 1 / r*⟩ **for** *r* :: *real*  
 ⟨*proof*⟩

**declare** [[*code drop*: ⟨*HOL.equal* :: *real*  $\Rightarrow$  *real*  $\Rightarrow$  *bool*⟩  
 ⟨ $\leq$ ⟩ :: *real*  $\Rightarrow$  *real*  $\Rightarrow$  *bool*⟩  
 ⟨ $<$ ⟩ :: *real*  $\Rightarrow$  *real*  $\Rightarrow$  *bool*⟩  
 ⟨*plus* :: *real*  $\Rightarrow$  *real*  $\Rightarrow$  *real*⟩  
 ⟨*times* :: *real*  $\Rightarrow$  *real*  $\Rightarrow$  *real*⟩  
 ⟨*uminus* :: *real*  $\Rightarrow$  *real*⟩  
 ⟨*minus* :: *real*  $\Rightarrow$  *real*  $\Rightarrow$  *real*⟩  
 ⟨*divide* :: *real*  $\Rightarrow$  *real*  $\Rightarrow$  *real*⟩  
*sqrt*  
 ⟨*ln* :: *real*  $\Rightarrow$  *real*⟩  
*pi*  
*arcsin*  
*arccos*  
*arctan*]]



**code-reserved** *SML Real*

**code-printing**

```

type-constructor real  $\rightarrow$ 
  (SML) real
  and (OCaml) float
  and (Haskell) Prelude.Double
| constant 0 :: real  $\rightarrow$ 
  (SML) 0.0
  and (OCaml) 0.0
  and (Haskell) 0.0
| constant 1 :: real  $\rightarrow$ 
  (SML) 1.0
  and (OCaml) 1.0
  and (Haskell) 1.0
| constant HOL.equal :: real  $\Rightarrow$  real  $\Rightarrow$  bool  $\rightarrow$ 
  (SML) Real.== ((-), (-))
  and (OCaml) Pervasives.(=)
  and (Haskell) infix 4 ==
| class-instance real :: HOL.equal  $\Rightarrow$  (Haskell)  $\rightarrow$ 
| constant (≤) :: real  $\Rightarrow$  real  $\Rightarrow$  bool  $\rightarrow$ 
  (SML) Real.<= ((-), (-))
  and (OCaml) Pervasives.(<=)
  and (Haskell) infix 4 <=
| constant (<) :: real  $\Rightarrow$  real  $\Rightarrow$  bool  $\rightarrow$ 
  (SML) Real.< ((-), (-))
  and (OCaml) Pervasives.<
  and (Haskell) infix 4 <
| constant (+) :: real  $\Rightarrow$  real  $\Rightarrow$  real  $\rightarrow$ 
  (SML) Real.+ ((-), (-))
  and (OCaml) Pervasives.(+.)
  and (Haskell) infixl 6 +
| constant (*) :: real  $\Rightarrow$  real  $\Rightarrow$  real  $\rightarrow$ 
  (SML) Real.* ((-), (-))
  and (Haskell) infixl 7 *
| constant uminus :: real  $\Rightarrow$  real  $\rightarrow$ 
  (SML) Real.~
  and (OCaml) Pervasives.(~-.)
  and (Haskell) negate
| constant (-) :: real  $\Rightarrow$  real  $\Rightarrow$  real  $\rightarrow$ 
  (SML) Real.- ((-), (-))
  and (OCaml) Pervasives.(-.)
  and (Haskell) infixl 6 -
| constant (/) :: real  $\Rightarrow$  real  $\Rightarrow$  real  $\rightarrow$ 
  (SML) Real.'/ ((-), (-))
  and (OCaml) Pervasives.( '/. )
  and (Haskell) infixl 7 /
| constant sqrt :: real  $\Rightarrow$  real  $\rightarrow$ 
  (SML) Math.sqrt

```

```

    and (OCaml) Pervasives.sqrt
    and (Haskell) Prelude.sqrt
| constant Code-Real-Approx-By-Float.exp-real →
  (SML) Math.exp
  and (OCaml) Pervasives.exp
  and (Haskell) Prelude.exp
| constant ln →
  (SML) Math.ln
  and (OCaml) Pervasives.ln
  and (Haskell) Prelude.log
| constant Code-Real-Approx-By-Float.sin-real →
  (SML) Math.sin
  and (OCaml) Pervasives.sin
  and (Haskell) Prelude.sin
| constant Code-Real-Approx-By-Float.cos-real →
  (SML) Math.cos
  and (OCaml) Pervasives.cos
  and (Haskell) Prelude.cos
| constant Code-Real-Approx-By-Float.tan-real →
  (SML) Math.tan
  and (OCaml) Pervasives.tan
  and (Haskell) Prelude.tan
| constant pi →
  (SML) Math.pi

  and (Haskell) Prelude.pi
| constant arcsin →
  (SML) Math.asin
  and (OCaml) Pervasives.asin
  and (Haskell) Prelude.asin
| constant arccos →
  (SML) Math.scos
  and (OCaml) Pervasives.acos
  and (Haskell) Prelude.acos
| constant arctan →
  (SML) Math.atan
  and (OCaml) Pervasives.atan
  and (Haskell) Prelude.atan
| constant Code-Real-Approx-By-Float.real-of-integer →
  (SML) Real.fromInt
  and (OCaml) Pervasives.float/ (Big'-int.to'-int (-))
  and (Haskell) Prelude.fromIntegral (-)

notepad
begin
  ⟨proof⟩
end

end

```

## 123 Implementation of natural numbers by target-language integers

```
theory Code-Target-Nat
imports Code-Abstract-Nat
begin
```

### 123.1 Implementation for *nat*

```
context
includes natural.lifting integer.lifting
begin
```

```
lift-definition Nat :: integer  $\Rightarrow$  nat
is nat
<proof>
```

```
lemma [code-post]:
  Nat 0 = 0
  Nat 1 = 1
  Nat (numeral k) = numeral k
<proof>
```

```
lemma [code-abbrev]:
  integer-of-nat = of-nat
<proof>
```

```
lemma [code-unfold]:
  Int.nat (int-of-integer k) = nat-of-integer k
<proof>
```

```
lemma [code abstype]:
  Code-Target-Nat.Nat (integer-of-nat n) = n
<proof>
```

```
lemma [code abstract]:
  integer-of-nat (nat-of-integer k) = max 0 k
<proof>
```

```
lemma [code-abbrev]:
  nat-of-integer (numeral k) = nat-of-num k
<proof>
```

```
context
begin
```

```
qualified definition natural :: num  $\Rightarrow$  nat
where [simp]: natural = nat-of-num
```

```

lemma [code-computation-unfold]:
  numeral = natural
  nat-of-num = natural
  ⟨proof⟩

end

lemma [code abstract]:
  integer-of-nat (nat-of-num n) = integer-of-num n
  ⟨proof⟩

lemma [code abstract]:
  integer-of-nat 0 = 0
  ⟨proof⟩

lemma [code abstract]:
  integer-of-nat 1 = 1
  ⟨proof⟩

lemma [code]:
  Suc n = n + 1
  ⟨proof⟩

lemma [code abstract]:
  integer-of-nat (m + n) = of-nat m + of-nat n
  ⟨proof⟩

lemma [code abstract]:
  integer-of-nat (m - n) = max 0 (of-nat m - of-nat n)
  ⟨proof⟩

lemma [code abstract]:
  integer-of-nat (m * n) = of-nat m * of-nat n
  ⟨proof⟩

lemma [code abstract]:
  integer-of-nat (m div n) = of-nat m div of-nat n
  ⟨proof⟩

lemma [code abstract]:
  integer-of-nat (m mod n) = of-nat m mod of-nat n
  ⟨proof⟩

context
  includes integer.lifting
begin

lemma divmod-nat-code [code]:
  Euclidean-Rings.divmod-nat m n = (

```

```

    let k = integer-of-nat m; l = integer-of-nat n
    in map-prod nat-of-integer nat-of-integer
      (if k = 0 then (0, 0)
       else if l = 0 then (0, k) else
         Code-Numeral.divmod-abs k l)
    ⟨proof⟩

```

**end**

**lemma** [code]:  
 $\text{divmod } m \ n = \text{map-prod nat-of-integer nat-of-integer } (\text{divmod } m \ n)$   
 ⟨proof⟩

**lemma** [code]:  
 $\text{HOL.equal } m \ n = \text{HOL.equal } (\text{of-nat } m :: \text{integer}) \ (\text{of-nat } n)$   
 ⟨proof⟩

**lemma** [code]:  
 $m \leq n \iff (\text{of-nat } m :: \text{integer}) \leq \text{of-nat } n$   
 ⟨proof⟩

**lemma** [code]:  
 $m < n \iff (\text{of-nat } m :: \text{integer}) < \text{of-nat } n$   
 ⟨proof⟩

**lemma** *num-of-nat-code* [code]:  
 $\text{num-of-nat} = \text{num-of-integer} \circ \text{of-nat}$   
 ⟨proof⟩

**end**

**lemma** (in *semiring-1*) *of-nat-code-if*:  
 $\text{of-nat } n = (\text{if } n = 0 \text{ then } 0$   
   else let  
      $(m, q) = \text{Euclidean-Rings.divmod-nat } n \ 2;$   
      $m' = 2 * \text{of-nat } m$   
     in if  $q = 0$  then  $m'$  else  $m' + 1$ )  
 ⟨proof⟩

**declare** *of-nat-code-if* [code]

**definition** *int-of-nat* ::  $\text{nat} \Rightarrow \text{int}$  **where**  
 [code-abbrev]:  $\text{int-of-nat} = \text{of-nat}$

**lemma** [code]:  
 $\text{int-of-nat } n = \text{int-of-integer } (\text{of-nat } n)$   
 ⟨proof⟩

**lemma** [code abstract]:

*integer-of-nat* (nat *k*) = max 0 (*integer-of-int* *k*)  
**including** *integer.lifting* ⟨*proof*⟩

**definition** *char-of-nat* :: nat ⇒ char  
**where** [*code-abbrev*]: *char-of-nat* = *char-of*

**definition** *nat-of-char* :: char ⇒ nat  
**where** [*code-abbrev*]: *nat-of-char* = *of-char*

**lemma** [*code*]:  
*char-of-nat* = *char-of-integer* ∘ *integer-of-nat*  
**including** *integer.lifting* ⟨*proof*⟩

**lemma** [*code abstract*]:  
*integer-of-nat* (nat-of-char *c*) = *integer-of-char* *c*  
 ⟨*proof*⟩

**lemma** *term-of-nat-code* [*code*]:  
 — Use *nat-of-integer* in term reconstruction instead of *Code-Target-Nat.Nat* such  
 that reconstructed terms can be fed back to the code generator

*term-of-class.term-of* *n* =  
*Code-Evaluation.App*  
 (*Code-Evaluation.Const* (STR "Code-Numeral.nat-of-integer")  
 (*typerep.Typerep* (STR "fun")  
 [*typerep.Typerep* (STR "Code-Numeral.integer") []],  
*typerep.Typerep* (STR "Nat.nat") []]))  
 (*term-of-class.term-of* (*integer-of-nat* *n*))  
 ⟨*proof*⟩

**lemma** *nat-of-integer-code-post* [*code-post*]:  
*nat-of-integer* 0 = 0  
*nat-of-integer* 1 = 1  
*nat-of-integer* (numeral *k*) = numeral *k*  
**including** *integer.lifting* ⟨*proof*⟩

**code-identifier**

**code-module** *Code-Target-Nat* ↪  
 (SML) *Arith* and (OCaml) *Arith* and (Haskell) *Arith*

end

## 124 Implementation of natural and integer numbers by target-language integers

**theory** *Code-Target-Numeral*  
**imports** *Code-Target-Int* *Code-Target-Nat*  
**begin**

end

## 125 Preprocessor setup for floats implemented by target language numerals

**theory** *Code-Target-Numeral-Float*  
**imports** *Float Code-Target-Numeral*  
**begin**

**lemma** *numeral-float-computation-unfold* [*code-computation-unfold*]:  
 $\langle \text{numeral } k = \text{Float } (\text{int-of-integer } (\text{Code-Numeral.positive } k)) \ 0 \rangle$   
 $\langle \text{numeral } k = \text{Float } (\text{int-of-integer } (\text{Code-Numeral.negative } k)) \ 0 \rangle$   
 $\langle \text{proof} \rangle$

end

**theory** *Complex-Order*  
**imports** *Complex-Main*  
**begin**

**instantiation** *complex* :: *order* **begin**

**definition**  $\langle x < y \longleftrightarrow \text{Re } x < \text{Re } y \wedge \text{Im } x = \text{Im } y \rangle$

**definition**  $\langle x \leq y \longleftrightarrow \text{Re } x \leq \text{Re } y \wedge \text{Im } x = \text{Im } y \rangle$

**instance**  
 $\langle \text{proof} \rangle$   
**end**

**lemma** *nonnegative-complex-is-real*:  $\langle (x::\text{complex}) \geq 0 \implies x \in \mathbb{R} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *complex-is-real-iff-compare0*:  $\langle (x::\text{complex}) \in \mathbb{R} \longleftrightarrow x \leq 0 \vee x \geq 0 \rangle$   
 $\langle \text{proof} \rangle$

**instance** *complex* :: *ordered-comm-ring*  
 $\langle \text{proof} \rangle$

**instance** *complex* :: *ordered-real-vector*  
 $\langle \text{proof} \rangle$

**instance** *complex* :: *ordered-cancel-comm-semiring*  
 $\langle \text{proof} \rangle$

end

## 126 Abstract type of association lists with unique keys

```
theory DAList
imports AList
begin
```

This was based on some existing fragments in the AFP-Collection framework.

### 126.1 Preliminaries

```
lemma distinct-map-fst-filter:
  distinct (map fst xs)  $\implies$  distinct (map fst (List.filter P xs))
  <proof>
```

### 126.2 Type ('key, 'value) alist

```
typedef ('key, 'value) alist = {xs :: ('key  $\times$  'value) list. (distinct  $\circ$  map fst) xs}
morphisms impl-of AList
<proof>
```

```
setup-lifting type-definition-alist
```

```
lemma alist-ext: impl-of xs = impl-of ys  $\implies$  xs = ys
  <proof>
```

```
lemma alist-eq-iff: xs = ys  $\longleftrightarrow$  impl-of xs = impl-of ys
  <proof>
```

```
lemma impl-of-distinct [simp, intro]: distinct (map fst (impl-of xs))
  <proof>
```

```
lemma Alist-impl-of [code abstype]: AList (impl-of xs) = xs
  <proof>
```

### 126.3 Primitive operations

```
lift-definition lookup :: ('key, 'value) alist  $\Rightarrow$  'key  $\Rightarrow$  'value option is map-of
  <proof>
```

```
lift-definition empty :: ('key, 'value) alist is []
  <proof>
```

```
lift-definition update :: 'key  $\Rightarrow$  'value  $\Rightarrow$  ('key, 'value) alist  $\Rightarrow$  ('key, 'value) alist
  is AList.update
  <proof>
```



**lift-definition** *delete* :: 'key  $\Rightarrow$  ('key, 'value) alist  $\Rightarrow$  ('key, 'value) alist  
**is** *AList.delete*  
 ⟨proof⟩

**lift-definition** *map-entry* ::  
 'key  $\Rightarrow$  ('value  $\Rightarrow$  'value)  $\Rightarrow$  ('key, 'value) alist  $\Rightarrow$  ('key, 'value) alist  
**is** *AList.map-entry*  
 ⟨proof⟩

**lift-definition** *filter* :: ('key  $\times$  'value  $\Rightarrow$  bool)  $\Rightarrow$  ('key, 'value) alist  $\Rightarrow$  ('key, 'value) alist  
**is** *List.filter*  
 ⟨proof⟩

**lift-definition** *map-default* ::  
 'key  $\Rightarrow$  'value  $\Rightarrow$  ('value  $\Rightarrow$  'value)  $\Rightarrow$  ('key, 'value) alist  $\Rightarrow$  ('key, 'value) alist  
**is** *AList.map-default*  
 ⟨proof⟩

## 126.4 Abstract operation properties

**lemma** *lookup-empty [simp]*: *lookup empty k = None*  
 ⟨proof⟩

**lemma** *lookup-update*:  
*lookup (update k1 v xs) k2 = (if k1 = k2 then Some v else lookup xs k2)*  
 ⟨proof⟩

**lemma** *lookup-update-eq [simp]*:  
*k1 = k2  $\implies$  lookup (update k1 v xs) k2 = Some v*  
 ⟨proof⟩

**lemma** *lookup-update-neq [simp]*:  
*k1  $\neq$  k2  $\implies$  lookup (update k1 v xs) k2 = lookup xs k2*  
 ⟨proof⟩

**lemma** *update-update-eq [simp]*:  
*k1 = k2  $\implies$  update k2 v2 (update k1 v1 xs) = update k2 v2 xs*  
 ⟨proof⟩

**lemma** *lookup-delete [simp]*: *lookup (delete k al) = (lookup al)(k := None)*  
 ⟨proof⟩

## 126.5 Further operations

### 126.5.1 Equality

**instantiation** *alist* :: (equal, equal) equal  
**begin**

**definition** *HOL.equal* (*xs* :: ('a, 'b) alist) *ys* == *impl-of xs = impl-of ys*

**instance**

⟨*proof*⟩

**end**

### 126.5.2 Size

**instantiation** *alist* :: (type, type) size

**begin**

**definition** *size* (*al* :: ('a, 'b) alist) = *length (impl-of al)*

**instance** ⟨*proof*⟩

**end**

## 126.6 Quickcheck generators

**context**

**includes** *state-combinator-syntax term-syntax*

**begin**

**definition**

*valterm-empty* :: ('key :: typerep, 'value :: typerep) alist × (unit ⇒ Code-Evaluation.term)  
**where** *valterm-empty* = *Code-Evaluation.valtermify empty*

**definition**

*valterm-update* :: 'key :: typerep × (unit ⇒ Code-Evaluation.term) ⇒  
'value :: typerep × (unit ⇒ Code-Evaluation.term) ⇒  
('key, 'value) alist × (unit ⇒ Code-Evaluation.term) ⇒  
('key, 'value) alist × (unit ⇒ Code-Evaluation.term) **where**  
[*code-unfold*]: *valterm-update k v a* = *Code-Evaluation.valtermify update {·} k {·}*  
*v {·} a*

**fun** *random-aux-alist*

**where**

*random-aux-alist i j* =

(if *i* = 0 then *Pair valterm-empty*

else *Quickcheck-Random.collapse*

(*Random.select-weight*

[(*i*, *Quickcheck-Random.random j* ◦→ (λ*k*. *Quickcheck-Random.random j*

◦→

(λ*v*. *random-aux-alist (i - 1) j* ◦→ (λ*a*. *Pair (valterm-update k v a)*))),

(1, *Pair valterm-empty*)]))

**end**

**instantiation** *alist* :: (random, random) random

**begin**

**definition** *random-alist*

**where**

*random-alist* *i* = *random-aux-alist* *i* *i*

**instance**  $\langle proof \rangle$

**end**

**instantiation** *alist* :: (*exhaustive*, *exhaustive*) *exhaustive*

**begin**

**fun** *exhaustive-alist* ::

((*'a*, *'b*) *alist*  $\Rightarrow$  (*bool*  $\times$  *term list*) *option*)  $\Rightarrow$  *natural*  $\Rightarrow$  (*bool*  $\times$  *term list*) *option*

**where**

*exhaustive-alist* *f* *i* =

(*if* *i* = 0 *then* *None*

*else*

*case* *f* *empty* *of*

*Some* *ts*  $\Rightarrow$  *Some* *ts*

| *None*  $\Rightarrow$

*exhaustive-alist*

( $\lambda a.$  *Quickcheck-Exhaustive.exhaustive*

( $\lambda k.$  *Quickcheck-Exhaustive.exhaustive* ( $\lambda v.$  *f* (*update* *k* *v* *a*)) (*i* - 1))

(*i* - 1))

(*i* - 1))

**instance**  $\langle proof \rangle$

**end**

**instantiation** *alist* :: (*full-exhaustive*, *full-exhaustive*) *full-exhaustive*

**begin**

**fun** *full-exhaustive-alist* ::

((*'a*, *'b*) *alist*  $\times$  (*unit*  $\Rightarrow$  *term*)  $\Rightarrow$  (*bool*  $\times$  *term list*) *option*)  $\Rightarrow$  *natural*  $\Rightarrow$

(*bool*  $\times$  *term list*) *option*

**where**

*full-exhaustive-alist* *f* *i* =

(*if* *i* = 0 *then* *None*

*else*

*case* *f* *valterm-empty* *of*

*Some* *ts*  $\Rightarrow$  *Some* *ts*

| *None*  $\Rightarrow$

*full-exhaustive-alist*

( $\lambda a.$

*Quickcheck-Exhaustive.full-exhaustive*

( $\lambda k.$  *Quickcheck-Exhaustive.full-exhaustive* ( $\lambda v.$  *f* (*valterm-update* *k* *v*

```

a)) (i - 1))
      (i - 1))
      (i - 1))

```

```
instance <proof>
```

```
end
```

## 127 alist is a BNF

```
lift-bnf (dead 'k, set: 'v) alist [wits: [] :: ('k × 'v) list] for map: map rel: rel
<proof>
```

```
hide-const valterm-empty valterm-update random-aux-alist
```

```
hide-fact (open) lookup-def empty-def update-def delete-def map-entry-def filter-def
map-default-def
```

```
hide-const (open) impl-of lookup empty update delete map-entry filter map-default
map set rel
```

```
end
```

## 128 Multisets partially implemented by association lists

```
theory DAList-Multiset
imports Multiset DAList
begin
```

Delete preexisting code equations

```
declare [[code drop: {#} Multiset.is-empty add-mset
plus :: 'a multiset ⇒ - minus :: 'a multiset ⇒ -
inter-mset union-mset image-mset filter-mset count
size :: - multiset ⇒ nat sum-mset prod-mset
set-mset sorted-list-of-multiset subset-mset subseteq-mset
equal-multiset-inst.equal-multiset]]
```

Raw operations on lists

```
definition join-raw ::
```

```
('key ⇒ 'val × 'val ⇒ 'val) ⇒
```

```
('key × 'val) list ⇒ ('key × 'val) list ⇒ ('key × 'val) list
```

```
where join-raw f xs ys = foldr (λ(k, v). map-default k v (λv'. f k (v', v))) ys xs
```

```
lemma join-raw-Nil [simp]: join-raw f xs [] = xs
<proof>
```

```
lemma join-raw-Cons [simp]:
```

```
join-raw f xs ((k, v) # ys) = map-default k v (λv'. f k (v', v)) (join-raw f xs ys)
```

*<proof>*

**lemma** *map-of-join-raw:*

**assumes** *distinct (map fst ys)*

**shows** *map-of (join-raw f xs ys) x =*

*(case map-of xs x of*

*None  $\Rightarrow$  map-of ys x*

*| Some v  $\Rightarrow$  (case map-of ys x of None  $\Rightarrow$  Some v | Some v'  $\Rightarrow$  Some (f x (v, v'))))*

*<proof>*

**lemma** *distinct-join-raw:*

**assumes** *distinct (map fst xs)*

**shows** *distinct (map fst (join-raw f xs ys))*

*<proof>*

**definition** *subtract-entries-raw xs ys = foldr ( $\lambda(k, v). AList.map-entry k (\lambda v'. v' - v)$ ) ys xs*

**lemma** *map-of-subtract-entries-raw:*

**assumes** *distinct (map fst ys)*

**shows** *map-of (subtract-entries-raw xs ys) x =*

*(case map-of xs x of*

*None  $\Rightarrow$  None*

*| Some v  $\Rightarrow$  (case map-of ys x of None  $\Rightarrow$  Some v | Some v'  $\Rightarrow$  Some (v - v'))*

*<proof>*

**lemma** *distinct-subtract-entries-raw:*

**assumes** *distinct (map fst xs)*

**shows** *distinct (map fst (subtract-entries-raw xs ys))*

*<proof>*

Operations on alists with distinct keys

**lift-definition** *join :: ('a  $\Rightarrow$  'b  $\times$  'b  $\Rightarrow$  'b)  $\Rightarrow$  ('a, 'b) alist  $\Rightarrow$  ('a, 'b) alist  $\Rightarrow$  ('a, 'b) alist*

**is** *join-raw*

*<proof>*

**lift-definition** *subtract-entries :: ('a, ('b :: minus)) alist  $\Rightarrow$  ('a, 'b) alist  $\Rightarrow$  ('a, 'b) alist*

**is** *subtract-entries-raw*

*<proof>*

Implementing multisets by means of association lists

**definition** *count-of :: ('a  $\times$  nat) list  $\Rightarrow$  'a  $\Rightarrow$  nat*

**where** *count-of xs x = (case map-of xs x of None  $\Rightarrow$  0 | Some n  $\Rightarrow$  n)*

**lemma** *count-of-multiset: finite {x. 0 < count-of xs x}*

*<proof>*

**lemma** *count-simps* [*simp*]:

*count-of* [] = ( $\lambda$ -. 0)

*count-of* (( $x$ ,  $n$ ) #  $xs$ ) = ( $\lambda y$ . if  $x = y$  then  $n$  else *count-of*  $xs$   $y$ )

*<proof>*

**lemma** *count-of-empty*:  $x \notin \text{fst ' set } xs \implies \text{count-of } xs\ x = 0$

*<proof>*

**lemma** *count-of-filter*: *count-of* (*List.filter* ( $P \circ \text{fst}$ )  $xs$ )  $x$  = (if  $P$   $x$  then *count-of*  $xs$   $x$  else 0)

*<proof>*

**lemma** *count-of-map-default* [*simp*]:

*count-of* (*map-default*  $x$   $b$  ( $\lambda x$ .  $x + b$ )  $xs$ )  $y$  =

(if  $x = y$  then *count-of*  $xs$   $x + b$  else *count-of*  $xs$   $y$ )

*<proof>*

**lemma** *count-of-join-raw*:

*distinct* (*map fst*  $ys$ )  $\implies$

*count-of*  $xs$   $x + \text{count-of } ys\ x = \text{count-of } (\text{join-raw } (\lambda x (x, y). x + y) xs\ ys)\ x$

*<proof>*

**lemma** *count-of-subtract-entries-raw*:

*distinct* (*map fst*  $ys$ )  $\implies$

*count-of*  $xs$   $x - \text{count-of } ys\ x = \text{count-of } (\text{subtract-entries-raw } xs\ ys)\ x$

*<proof>*

Code equations for multiset operations

**definition** *Bag* :: ( $'a$ , *nat*) *alist*  $\implies 'a$  *multiset*

where *Bag*  $xs = \text{Abs-multiset } (\text{count-of } (\text{DAList.impl-of } xs))$

**code-datatype** *Bag*

**lemma** *count-Bag* [*simp*, *code*]: *count* (*Bag*  $xs$ ) = *count-of* (*DAList.impl-of*  $xs$ )

*<proof>*

**lemma** *Mempty-Bag* [*code*]: {#} = *Bag* (*DAList.empty*)

*<proof>*

**lift-definition** *is-empty-Bag-impl* :: ( $'a$ , *nat*) *alist*  $\implies \text{bool}$  **is**

$\lambda xs$ . *list-all* ( $\lambda x$ . *snd*  $x = 0$ )  $xs$  *<proof>*

**lemma** *is-empty-Bag* [*code*]: *Multiset.is-empty* (*Bag*  $xs$ )  $\longleftrightarrow$  *is-empty-Bag-impl*  $xs$

*<proof>*

**lemma** *union-Bag* [*code*]: *Bag*  $xs + \text{Bag } ys = \text{Bag } (\text{join } (\lambda x (n1, n2). n1 + n2) xs\ ys)$

*<proof>*

**lemma** *add-mset-Bag* [code]:  $add\text{-}mset\ x\ (Bag\ xs) =$   
 $Bag\ (join\ (\lambda x\ (n1,\ n2).\ n1 + n2)\ (DAList.update\ x\ 1\ DAList.empty)\ xs)$   
 ⟨proof⟩

**lemma** *minus-Bag* [code]:  $Bag\ xs - Bag\ ys = Bag\ (subtract\text{-}entries\ xs\ ys)$   
 ⟨proof⟩

**lemma** *filter-Bag* [code]:  $filter\text{-}mset\ P\ (Bag\ xs) = Bag\ (DAList.filter\ (P \circ fst)\ xs)$   
 ⟨proof⟩

**lemma** *mset-eq* [code]:  $HOL.equal\ (m1 :: 'a :: equal\ multiset)\ m2 \longleftrightarrow m1 \subseteq\# m2 \wedge$   
 $m2 \subseteq\# m1$   
 ⟨proof⟩

By default the code for  $<$  is  $(xs < ys) = (xs \leq ys \wedge xs \neq ys)$ . With equality implemented by  $\leq$ , this leads to three calls of  $\leq$ . Here is a more efficient version:

**lemma** *mset-less*[code]:  $xs \subset\# (ys :: 'a\ multiset) \longleftrightarrow xs \subseteq\# ys \wedge \neg ys \subseteq\# xs$   
 ⟨proof⟩

**lemma** *mset-less-eq-Bag0*:

$Bag\ xs \subseteq\# A \longleftrightarrow (\forall (x,\ n) \in set\ (DAList.impl\text{-}of\ xs).\ count\text{-}of\ (DAList.impl\text{-}of\ xs)\ x \leq count\ A\ x)$   
 (is ?lhs  $\longleftrightarrow$  ?rhs)  
 ⟨proof⟩

**lemma** *mset-less-eq-Bag* [code]:

$Bag\ xs \subseteq\# (A :: 'a\ multiset) \longleftrightarrow (\forall (x,\ n) \in set\ (DAList.impl\text{-}of\ xs).\ n \leq count\ A\ x)$   
 ⟨proof⟩

**declare** *inter-mset-def* [code]

**declare** *union-mset-def* [code]

**declare** *mset.simps* [code]

**fun** *fold-impl* ::  $('a \Rightarrow nat \Rightarrow 'b \Rightarrow 'b) \Rightarrow 'b \Rightarrow ('a \times nat)\ list \Rightarrow 'b$

**where**

$fold\text{-}impl\ fn\ e\ ((a,\ n) \# ms) = (fold\text{-}impl\ fn\ ((fn\ a\ n)\ e)\ ms)$   
 $| fold\text{-}impl\ fn\ e\ [] = e$

**context**

**begin**

**qualified definition** *fold* ::  $('a \Rightarrow nat \Rightarrow 'b \Rightarrow 'b) \Rightarrow 'b \Rightarrow ('a,\ nat)\ alist \Rightarrow 'b$

**where**  $fold\ f\ e\ al = fold\text{-}impl\ f\ e\ (DAList.impl\text{-}of\ al)$

**end**

**context** *comp-fun-commute*  
**begin**

**lemma** *DAList-Multiset-fold*:  
**assumes** *fn*:  $\bigwedge a n x. fn\ a\ n\ x = (f\ a\ \widetilde{\sim}\ n)\ x$   
**shows**  $fold\ mset\ f\ e\ (Bag\ al) = DAList\ Multiset.\ fold\ fn\ e\ al$   
 $\langle proof \rangle$

**end**

**context**  
**begin**

**private lift-definition** *single-alist-entry* ::  $'a \Rightarrow 'b \Rightarrow ('a, 'b)\ alist$  **is**  $\lambda a\ b. [(a, b)]$   
 $\langle proof \rangle$

**lemma** *image-mset-Bag* [*code*]:  
 $image\ mset\ f\ (Bag\ ms) =$   
 $DAList\ Multiset.\ fold\ (\lambda a\ n\ m. Bag\ (single\ alist\ entry\ (f\ a)\ n) + m)\ \{\#\}\ ms$   
 $\langle proof \rangle$

**end**

— we cannot use  $\lambda a\ n. (+)\ (a * n)$  for folding, since  $(*)$  is not defined in *comm-monoid-add*

**lemma** *sum-mset-Bag* [*code*]:  $sum\ mset\ (Bag\ ms) = DAList\ Multiset.\ fold\ (\lambda a\ n. ((+)\ a)\ \widetilde{\sim}\ n)\ 0\ ms$   
 $\langle proof \rangle$

**lemma** *prod-mset-Bag* [*code*]:  $prod\ mset\ (Bag\ ms) = DAList\ Multiset.\ fold\ (\lambda a\ n. ((*)\ a)\ \widetilde{\sim}\ n)\ 1\ ms$   
 $\langle proof \rangle$

**lemma** *size-fold*:  $size\ A = fold\ mset\ (\lambda -. Suc)\ 0\ A$  (**is**  $- = fold\ mset\ ?f\ -$ )  
 $\langle proof \rangle$

**lemma** *size-Bag* [*code*]:  $size\ (Bag\ ms) = DAList\ Multiset.\ fold\ (\lambda a\ n. (+)\ n)\ 0\ ms$   
 $\langle proof \rangle$

**lemma** *set-mset-fold*:  $set\ mset\ A = fold\ mset\ insert\ \{\}\ A$  (**is**  $- = fold\ mset\ ?f\ -$ )  
 $\langle proof \rangle$

**lemma** *set-mset-Bag* [*code*]:  
 $set\ mset\ (Bag\ ms) = DAList\ Multiset.\ fold\ (\lambda a\ n. (if\ n = 0\ then\ (\lambda m. m)\ else\ insert\ a))\ \{\}\ ms$   
 $\langle proof \rangle$



**instantiation** *multiset* :: (*exhaustive*) *exhaustive*  
**begin**

**definition** *exhaustive-multiset* ::  
 (*'a multiset*  $\Rightarrow$  (*bool*  $\times$  *term list*) *option*)  $\Rightarrow$  *natural*  $\Rightarrow$  (*bool*  $\times$  *term list*) *option*  
**where** *exhaustive-multiset* *f* *i* = *Quickcheck-Exhaustive.exhaustive* ( $\lambda xs. f$  (*Bag* *xs*)) *i*

**instance**  $\langle$ *proof* $\rangle$

**end**

**end**

## 129 Implementation of Red-Black Trees

**theory** *RBT-Impl*  
**imports** *Main*  
**begin**

For applications, you should use theory *RBT* which defines an abstract type of red-black tree obeying the invariant.

### 129.1 Datatype of RB trees

**datatype** *color* = *R* | *B*  
**datatype** (*'a, 'b*) *rbt* = *Empty* | *Branch color ('a, 'b) rbt 'a 'b ('a, 'b) rbt*

**lemma** *rbt-cases*:  
**obtains** (*Empty*) *t* = *Empty*  
 | (*Red*) *l k v r* **where** *t* = *Branch R l k v r*  
 | (*Black*) *l k v r* **where** *t* = *Branch B l k v r*  
 $\langle$ *proof* $\rangle$

### 129.2 Tree properties

#### 129.2.1 Content of a tree

**primrec** *entries* :: (*'a, 'b*) *rbt*  $\Rightarrow$  (*'a*  $\times$  *'b*) *list*  
**where**  
*entries* *Empty* = []  
 | *entries* (*Branch* - *l k v r*) = *entries* *l* @ (*k,v*) # *entries* *r*

**abbreviation** (*input*) *entry-in-tree* :: *'a*  $\Rightarrow$  *'b*  $\Rightarrow$  (*'a, 'b*) *rbt*  $\Rightarrow$  *bool*  
**where**  
*entry-in-tree* *k v t*  $\equiv$  (*k, v*)  $\in$  *set* (*entries* *t*)

**definition** *keys* :: (*'a, 'b*) *rbt*  $\Rightarrow$  *'a* *list* **where**

$keys\ t = map\ fst\ (entries\ t)$

**lemma** *keys-simps* [*simp*, *code*]:

$keys\ Empty = []$   
 $keys\ (Branch\ c\ l\ k\ v\ r) = keys\ l\ @\ k\ \#\ keys\ r$   
 ⟨*proof*⟩

**lemma** *entry-in-tree-keys*:

**assumes**  $(k, v) \in set\ (entries\ t)$   
**shows**  $k \in set\ (keys\ t)$   
 ⟨*proof*⟩

**lemma** *keys-entries*:

$k \in set\ (keys\ t) \longleftrightarrow (\exists v. (k, v) \in set\ (entries\ t))$   
 ⟨*proof*⟩

**lemma** *non-empty-rbt-keys*:

$t \neq rbt.Empty \implies keys\ t \neq []$   
 ⟨*proof*⟩

## 129.2.2 Search tree properties

**context** *ord* **begin**

**definition** *rbt-less* ::  $'a \Rightarrow ('a, 'b)\ rbt \Rightarrow bool$

**where**

$rbt-less-prop: rbt-less\ k\ t \longleftrightarrow (\forall x \in set\ (keys\ t). x < k)$

**abbreviation** *rbt-less-symbol* (**infix**  $| \ll 50$ )

**where**  $t | \ll x \equiv rbt-less\ x\ t$

**definition** *rbt-greater* ::  $'a \Rightarrow ('a, 'b)\ rbt \Rightarrow bool$  (**infix**  $\ll | 50$ )

**where**

$rbt-greater-prop: rbt-greater\ k\ t = (\forall x \in set\ (keys\ t). k < x)$

**lemma** *rbt-less-simps* [*simp*]:

$Empty | \ll k = True$   
 $Branch\ c\ lt\ kt\ v\ rt | \ll k \longleftrightarrow kt < k \wedge lt | \ll k \wedge rt | \ll k$   
 ⟨*proof*⟩

**lemma** *rbt-greater-simps* [*simp*]:

$k \ll | Empty = True$   
 $k \ll | (Branch\ c\ lt\ kt\ v\ rt) \longleftrightarrow k < kt \wedge k \ll | lt \wedge k \ll | rt$   
 ⟨*proof*⟩

**lemmas** *rbt-ord-props* = *rbt-less-prop* *rbt-greater-prop*

**lemmas** *rbt-greater-nit* = *rbt-greater-prop* *entry-in-tree-keys*

**lemmas** *rbt-less-nit* = *rbt-less-prop* *entry-in-tree-keys*

**lemma** (in order)

shows *rbt-less-eq-trans*:  $l \ll u \implies u \leq v \implies l \ll v$   
 and *rbt-less-trans*:  $t \ll x \implies x < y \implies t \ll y$   
 and *rbt-greater-eq-trans*:  $u \leq v \implies v \ll r \implies u \ll r$   
 and *rbt-greater-trans*:  $x < y \implies y \ll t \implies x \ll t$   
 ⟨proof⟩

**primrec** *rbt-sorted* :: ('a, 'b) rbt  $\Rightarrow$  bool

**where**

*rbt-sorted* Empty = True  
 | *rbt-sorted* (Branch c l k v r) =  $(l \ll k \wedge k \ll r \wedge \text{rbt-sorted } l \wedge \text{rbt-sorted } r)$

**end**

**context** *linorder* **begin**

**lemma** *rbt-sorted-entries*:

*rbt-sorted* t  $\implies$  List.sorted (map fst (entries t))  
 ⟨proof⟩

**lemma** *distinct-entries*:

*rbt-sorted* t  $\implies$  distinct (map fst (entries t))  
 ⟨proof⟩

**lemma** *distinct-keys*:

*rbt-sorted* t  $\implies$  distinct (keys t)  
 ⟨proof⟩

### 129.2.3 Tree lookup

**primrec** (in ord) *rbt-lookup* :: ('a, 'b) rbt  $\Rightarrow$  'a  $\rightarrow$  'b

**where**

*rbt-lookup* Empty k = None  
 | *rbt-lookup* (Branch - l x y r) k =  
 (if  $k < x$  then *rbt-lookup* l k else if  $x < k$  then *rbt-lookup* r k else Some y)

**lemma** *rbt-lookup-keys*: *rbt-sorted* t  $\implies$  dom (*rbt-lookup* t) = set (keys t)

⟨proof⟩

**lemma** *dom-rbt-lookup-Branch*:

*rbt-sorted* (Branch c t1 k v t2)  $\implies$   
 dom (*rbt-lookup* (Branch c t1 k v t2))  
 = Set.insert k (dom (*rbt-lookup* t1)  $\cup$  dom (*rbt-lookup* t2))  
 ⟨proof⟩

**lemma** *finite-dom-rbt-lookup* [simp, intro!]: finite (dom (*rbt-lookup* t))

⟨proof⟩

**end**

**context ord begin**

**lemma** *rbt-lookup-rbt-less[simp]*:  $t \ll k \implies \text{rbt-lookup } t \ k = \text{None}$   
 ⟨*proof*⟩

**lemma** *rbt-lookup-rbt-greater[simp]*:  $k \ll t \implies \text{rbt-lookup } t \ k = \text{None}$   
 ⟨*proof*⟩

**lemma** *rbt-lookup-Empty*:  $\text{rbt-lookup } \text{Empty} = \text{Map.empty}$   
 ⟨*proof*⟩

**end**

**context linorder begin**

**lemma** *map-of-entries*:  
 $\text{rbt-sorted } t \implies \text{map-of } (\text{entries } t) = \text{rbt-lookup } t$   
 ⟨*proof*⟩

**lemma** *rbt-lookup-in-tree*:  $\text{rbt-sorted } t \implies \text{rbt-lookup } t \ k = \text{Some } v \iff (k, v) \in \text{set } (\text{entries } t)$   
 ⟨*proof*⟩

**lemma** *set-entries-inject*:  
**assumes** *rbt-sorted*:  $\text{rbt-sorted } t1 \ \text{rbt-sorted } t2$   
**shows**  $\text{set } (\text{entries } t1) = \text{set } (\text{entries } t2) \iff \text{entries } t1 = \text{entries } t2$   
 ⟨*proof*⟩

**lemma** *entries-eqI*:  
**assumes** *rbt-sorted*:  $\text{rbt-sorted } t1 \ \text{rbt-sorted } t2$   
**assumes** *rbt-lookup*:  $\text{rbt-lookup } t1 = \text{rbt-lookup } t2$   
**shows**  $\text{entries } t1 = \text{entries } t2$   
 ⟨*proof*⟩

**lemma** *entries-rbt-lookup*:  
**assumes** *rbt-sorted*:  $\text{rbt-sorted } t1 \ \text{rbt-sorted } t2$   
**shows**  $\text{entries } t1 = \text{entries } t2 \iff \text{rbt-lookup } t1 = \text{rbt-lookup } t2$   
 ⟨*proof*⟩

**lemma** *rbt-lookup-from-in-tree*:  
**assumes** *rbt-sorted*:  $\text{rbt-sorted } t1 \ \text{rbt-sorted } t2$   
**and**  $\bigwedge v. (k, v) \in \text{set } (\text{entries } t1) \iff (k, v) \in \text{set } (\text{entries } t2)$   
**shows**  $\text{rbt-lookup } t1 \ k = \text{rbt-lookup } t2 \ k$   
 ⟨*proof*⟩

**end**

**129.2.4 Red-black properties****primrec** *color-of* :: ('a, 'b) rbt  $\Rightarrow$  color**where***color-of* Empty = B| *color-of* (Branch c - - -) = c**primrec** *bheight* :: ('a,'b) rbt  $\Rightarrow$  nat**where***bheight* Empty = 0| *bheight* (Branch c lt k v rt) = (if c = B then Suc (*bheight* lt) else *bheight* lt)**primrec** *inv1* :: ('a, 'b) rbt  $\Rightarrow$  bool**where***inv1* Empty = True| *inv1* (Branch c lt k v rt)  $\longleftrightarrow$  *inv1* lt  $\wedge$  *inv1* rt  $\wedge$  (c = B  $\vee$  *color-of* lt = B  $\wedge$  *color-of* rt = B)**primrec** *inv1l* :: ('a, 'b) rbt  $\Rightarrow$  bool — Weaker version**where***inv1l* Empty = True| *inv1l* (Branch c l k v r) = (*inv1* l  $\wedge$  *inv1* r)**lemma** [*simp*]: *inv1* t  $\Longrightarrow$  *inv1l* t *<proof>***primrec** *inv2* :: ('a, 'b) rbt  $\Rightarrow$  bool**where***inv2* Empty = True| *inv2* (Branch c lt k v rt) = (*inv2* lt  $\wedge$  *inv2* rt  $\wedge$  *bheight* lt = *bheight* rt)**context** ord begin**definition** *is-rbt* :: ('a, 'b) rbt  $\Rightarrow$  bool **where***is-rbt* t  $\longleftrightarrow$  *inv1* t  $\wedge$  *inv2* t  $\wedge$  *color-of* t = B  $\wedge$  *rbt-sorted* t**lemma** *is-rbt-rbt-sorted* [*simp*]:*is-rbt* t  $\Longrightarrow$  *rbt-sorted* t *<proof>***theorem** *Empty-is-rbt* [*simp*]:*is-rbt* Empty *<proof>***end****129.3 Insertion**

The function definitions are based on the book by Okasaki.

**fun***balance* :: ('a,'b) rbt  $\Rightarrow$  'a  $\Rightarrow$  'b  $\Rightarrow$  ('a,'b) rbt  $\Rightarrow$  ('a,'b) rbt**where***balance* (Branch R a w x b) s t (Branch R c y z d) = Branch R (Branch B a w x

$b) \text{ s t } (\text{Branch } B \text{ c y z d}) \mid$   
 $\text{balance } (\text{Branch } R (\text{Branch } R \text{ a w x b}) \text{ s t c}) \text{ y z d} = \text{Branch } R (\text{Branch } B \text{ a w x}$   
 $b) \text{ s t } (\text{Branch } B \text{ c y z d}) \mid$   
 $\text{balance } (\text{Branch } R \text{ a w x } (\text{Branch } R \text{ b s t c})) \text{ y z d} = \text{Branch } R (\text{Branch } B \text{ a w x}$   
 $b) \text{ s t } (\text{Branch } B \text{ c y z d}) \mid$   
 $\text{balance a w x } (\text{Branch } R \text{ b s t } (\text{Branch } R \text{ c y z d})) = \text{Branch } R (\text{Branch } B \text{ a w x}$   
 $b) \text{ s t } (\text{Branch } B \text{ c y z d}) \mid$   
 $\text{balance a w x } (\text{Branch } R (\text{Branch } R \text{ b s t c}) \text{ y z d}) = \text{Branch } R (\text{Branch } B \text{ a w x}$   
 $b) \text{ s t } (\text{Branch } B \text{ c y z d}) \mid$   
 $\text{balance a s t b} = \text{Branch } B \text{ a s t b}$

**lemma** *balance-inv1*:  $\llbracket \text{inv1 l l}; \text{inv1 l r} \rrbracket \implies \text{inv1 } (\text{balance l k v r})$   
 ⟨proof⟩

**lemma** *balance-bheight*:  $\text{bheight l} = \text{bheight r} \implies \text{bheight } (\text{balance l k v r}) = \text{Suc}$   
 ( $\text{bheight l}$ )  
 ⟨proof⟩

**lemma** *balance-inv2*:  
**assumes**  $\text{inv2 l inv2 r bheight l} = \text{bheight r}$   
**shows**  $\text{inv2 } (\text{balance l k v r})$   
 ⟨proof⟩

**context** *ord* **begin**

**lemma** *balance-rbt-greater[simp]*:  $(v \ll \mid \text{balance a k x b}) = (v \ll \mid a \wedge v \ll \mid b \wedge v <$   
 $k)$   
 ⟨proof⟩

**lemma** *balance-rbt-less[simp]*:  $(\text{balance a k x b} \mid \ll v) = (a \mid \ll v \wedge b \mid \ll v \wedge k < v)$   
 ⟨proof⟩

**end**

**lemma** (**in** *linorder*) *balance-rbt-sorted*:  
**fixes**  $k :: 'a$   
**assumes**  $\text{rbt-sorted l rbt-sorted r l} \mid \ll k k \ll \mid r$   
**shows**  $\text{rbt-sorted } (\text{balance l k v r})$   
 ⟨proof⟩

**lemma** *entries-balance [simp]*:  
 $\text{entries } (\text{balance l k v r}) = \text{entries l } @ (k, v) \# \text{entries r}$   
 ⟨proof⟩

**lemma** *keys-balance [simp]*:  
 $\text{keys } (\text{balance l k v r}) = \text{keys l } @ k \# \text{keys r}$   
 ⟨proof⟩

**lemma** *balance-in-tree*:

$entry-in-tree\ k\ x\ (balance\ l\ v\ y\ r) \longleftrightarrow entry-in-tree\ k\ x\ l \vee k = v \wedge x = y \vee$   
 $entry-in-tree\ k\ x\ r$   
 ⟨proof⟩

**lemma** (in linorder) rbt-lookup-balance[simp]:

**fixes**  $k :: 'a$

**assumes** rbt-sorted  $l$  rbt-sorted  $r$   $l \ll k k \ll r$

**shows** rbt-lookup (balance  $l\ k\ v\ r$ )  $x = rbt-lookup\ (Branch\ B\ l\ k\ v\ r)\ x$   
 ⟨proof⟩

**primrec** paint ::  $color \Rightarrow ('a, 'b)\ rbt \Rightarrow ('a, 'b)\ rbt$

**where**

paint  $c\ Empty = Empty$

| paint  $c\ (Branch\ -\ l\ k\ v\ r) = Branch\ c\ l\ k\ v\ r$

**lemma** paint-inv1l[simp]:  $inv1l\ t \Longrightarrow inv1l\ (paint\ c\ t)$  ⟨proof⟩

**lemma** paint-inv1[simp]:  $inv1\ t \Longrightarrow inv1\ (paint\ B\ t)$  ⟨proof⟩

**lemma** paint-inv2[simp]:  $inv2\ t \Longrightarrow inv2\ (paint\ c\ t)$  ⟨proof⟩

**lemma** paint-color-of[simp]:  $color-of\ (paint\ B\ t) = B$  ⟨proof⟩

**lemma** paint-in-tree[simp]:  $entry-in-tree\ k\ x\ (paint\ c\ t) = entry-in-tree\ k\ x\ t$  ⟨proof⟩

**context** ord begin

**lemma** paint-rbt-sorted[simp]:  $rbt-sorted\ t \Longrightarrow rbt-sorted\ (paint\ c\ t)$  ⟨proof⟩

**lemma** paint-rbt-lookup[simp]:  $rbt-lookup\ (paint\ c\ t) = rbt-lookup\ t$  ⟨proof⟩

**lemma** paint-rbt-greater[simp]:  $(v \ll paint\ c\ t) = (v \ll t)$  ⟨proof⟩

**lemma** paint-rbt-less[simp]:  $(paint\ c\ t \ll v) = (t \ll v)$  ⟨proof⟩

**fun**

rbt-ins ::  $('a \Rightarrow 'b \Rightarrow 'b \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'b \Rightarrow ('a, 'b)\ rbt \Rightarrow ('a, 'b)\ rbt$

**where**

rbt-ins  $f\ k\ v\ Empty = Branch\ R\ Empty\ k\ v\ Empty$  |

rbt-ins  $f\ k\ v\ (Branch\ B\ l\ x\ y\ r) = (if\ k < x\ then\ balance\ (rbt-ins\ f\ k\ v\ l)\ x\ y\ r$   
 else  $if\ k > x\ then\ balance\ l\ x\ y\ (rbt-ins\ f\ k\ v\ r)$   
 else  $Branch\ B\ l\ x\ (f\ k\ y\ v)\ r)$  |

rbt-ins  $f\ k\ v\ (Branch\ R\ l\ x\ y\ r) = (if\ k < x\ then\ Branch\ R\ (rbt-ins\ f\ k\ v\ l)\ x\ y\ r$   
 else  $if\ k > x\ then\ Branch\ R\ l\ x\ y\ (rbt-ins\ f\ k\ v\ r)$   
 else  $Branch\ R\ l\ x\ (f\ k\ y\ v)\ r)$

**lemma** ins-inv1-inv2:

**assumes**  $inv1\ t\ inv2\ t$

**shows**  $inv2\ (rbt-ins\ f\ k\ x\ t)\ bheight\ (rbt-ins\ f\ k\ x\ t) = bheight\ t$

$color-of\ t = B \Longrightarrow inv1\ (rbt-ins\ f\ k\ x\ t)\ inv1l\ (rbt-ins\ f\ k\ x\ t)$

⟨proof⟩

**end**

**context** linorder begin

**lemma** *ins-rbt-greater[simp]*:  $(v \ll | \text{rbt-ins } f (k :: 'a) x t) = (v \ll | t \wedge k > v)$   
 ⟨proof⟩

**lemma** *ins-rbt-less[simp]*:  $(\text{rbt-ins } f k x t | \ll v) = (t | \ll v \wedge k < v)$   
 ⟨proof⟩

**lemma** *ins-rbt-sorted[simp]*:  $\text{rbt-sorted } t \implies \text{rbt-sorted } (\text{rbt-ins } f k x t)$   
 ⟨proof⟩

**lemma** *keys-ins*:  $\text{set } (\text{keys } (\text{rbt-ins } f k v t)) = \{ k \} \cup \text{set } (\text{keys } t)$   
 ⟨proof⟩

**lemma** *rbt-lookup-ins*:

**fixes**  $k :: 'a$

**assumes** *rbt-sorted*  $t$

**shows**  $\text{rbt-lookup } (\text{rbt-ins } f k v t) x = ((\text{rbt-lookup } t)(k | \rightarrow \text{case } \text{rbt-lookup } t k$   
*of None*  $\Rightarrow v$

$| \text{Some } w \Rightarrow f k w v)) x$

⟨proof⟩

**end**

**context** *ord* **begin**

**definition** *rbt-insert-with-key* ::  $('a \Rightarrow 'b \Rightarrow 'b \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'b \Rightarrow ('a, 'b) \text{rbt} \Rightarrow$   
 $('a, 'b) \text{rbt}$

**where** *rbt-insert-with-key*  $f k v t = \text{paint } B (\text{rbt-ins } f k v t)$

**definition** *rbt-insertw-def*:  $\text{rbt-insert-with } f = \text{rbt-insert-with-key } (\lambda \cdot f)$

**definition** *rbt-insert* ::  $'a \Rightarrow 'b \Rightarrow ('a, 'b) \text{rbt} \Rightarrow ('a, 'b) \text{rbt}$  **where**  
*rbt-insert* = *rbt-insert-with-key*  $(\lambda \cdot \text{nv. nv})$

**end**

**context** *linorder* **begin**

**lemma** *rbt-insertwk-rbt-sorted*:  $\text{rbt-sorted } t \implies \text{rbt-sorted } (\text{rbt-insert-with-key } f (k$   
 $:: 'a) x t)$   
 ⟨proof⟩

**theorem** *rbt-insertwk-is-rbt*:

**assumes** *inv*: *is-rbt*  $t$

**shows** *is-rbt*  $(\text{rbt-insert-with-key } f k x t)$

⟨proof⟩

**lemma** *rbt-lookup-rbt-insertwk*:

**assumes** *rbt-sorted*  $t$

**shows**  $\text{rbt-lookup } (\text{rbt-insert-with-key } f k v t) x = ((\text{rbt-lookup } t)(k | \rightarrow \text{case } \text{rbt-lookup } t k$   
*of None*  $\Rightarrow v$

$| \text{Some } w \Rightarrow f k w v)) x$



*<proof>*

**lemma** *rbt-insertw-rbt-sorted*:  $\text{rbt-sorted } t \implies \text{rbt-sorted } (\text{rbt-insert-with } f \ k \ v \ t)$

*<proof>*

**theorem** *rbt-insertw-is-rbt*:  $\text{is-rbt } t \implies \text{is-rbt } (\text{rbt-insert-with } f \ k \ v \ t)$

*<proof>*

**lemma** *rbt-lookup-rbt-insertw*:

$\text{is-rbt } t \implies$

$\text{rbt-lookup } (\text{rbt-insert-with } f \ k \ v \ t) =$

$(\text{rbt-lookup } t)(k \mapsto (\text{if } k \in \text{dom } (\text{rbt-lookup } t) \text{ then } f \ (\text{the } (\text{rbt-lookup } t \ k)) \ v$

$\text{else } v))$

*<proof>*

**lemma** *rbt-insert-rbt-sorted*:  $\text{rbt-sorted } t \implies \text{rbt-sorted } (\text{rbt-insert } k \ v \ t)$

*<proof>*

**theorem** *rbt-insert-is-rbt [simp]*:  $\text{is-rbt } t \implies \text{is-rbt } (\text{rbt-insert } k \ v \ t)$

*<proof>*

**lemma** *rbt-lookup-rbt-insert*:  $\text{is-rbt } t \implies \text{rbt-lookup } (\text{rbt-insert } k \ v \ t) = (\text{rbt-lookup } t)(k \mapsto v)$

*<proof>*

**end**

## 129.4 Deletion

**lemma** *bheight-paintR'[simp]*:  $\text{color-of } t = B \implies \text{bheight } (\text{paint } R \ t) = \text{bheight } t - 1$

*<proof>*

The function definitions are based on the Haskell code by Stefan Kahrs at <http://www.cs.ukc.ac.uk/people/staff/smk/redblack/rb.html>.

**fun**

$\text{balance-left} :: ('a, 'b) \text{rbt} \Rightarrow 'a \Rightarrow 'b \Rightarrow ('a, 'b) \text{rbt} \Rightarrow ('a, 'b) \text{rbt}$

**where**

$\text{balance-left } (\text{Branch } R \ a \ k \ x \ b) \ s \ y \ c = \text{Branch } R \ (\text{Branch } B \ a \ k \ x \ b) \ s \ y \ c \mid$

$\text{balance-left } \text{bl } k \ x \ (\text{Branch } B \ a \ s \ y \ b) = \text{balance } \text{bl } k \ x \ (\text{Branch } R \ a \ s \ y \ b) \mid$

$\text{balance-left } \text{bl } k \ x \ (\text{Branch } R \ (\text{Branch } B \ a \ s \ y \ b) \ t \ z \ c) = \text{Branch } R \ (\text{Branch } B \ \text{bl } k \ x \ a) \ s \ y \ (\text{balance } b \ t \ z \ (\text{paint } R \ c)) \mid$

$\text{balance-left } t \ k \ x \ s = \text{Empty}$

**lemma** *balance-left-inv2-with-inv1*:

**assumes**  $\text{inv2 } lt \ \text{inv2 } rt \ \text{bheight } lt + 1 = \text{bheight } rt \ \text{inv1 } rt$

**shows**  $\text{bheight } (\text{balance-left } lt \ k \ v \ rt) = \text{bheight } lt + 1$

**and**  $\text{inv2 } (\text{balance-left } lt \ k \ v \ rt)$

*<proof>*

**lemma** *balance-left-inv2-app*:

**assumes**  $inv2\ lt\ inv2\ rt\ bheight\ lt + 1 = bheight\ rt\ color-of\ rt = B$   
**shows**  $inv2\ (balance-left\ lt\ k\ v\ rt)$   
 $bheight\ (balance-left\ lt\ k\ v\ rt) = bheight\ rt$   
 $\langle proof \rangle$

**lemma**  $balance-left-inv1: \llbracket inv1\ l\ a; inv1\ b; color-of\ b = B \rrbracket \implies inv1\ (balance-left\ a\ k\ x\ b)$   
 $\langle proof \rangle$

**lemma**  $balance-left-inv1l: \llbracket inv1\ l\ lt; inv1\ rt \rrbracket \implies inv1\ l\ (balance-left\ lt\ k\ x\ rt)$   
 $\langle proof \rangle$

**lemma** (in  $linorder$ )  $balance-left-rbt-sorted:$   
 $\llbracket rbt-sorted\ l; rbt-sorted\ r; rbt-less\ k\ l; k \ll r \rrbracket \implies rbt-sorted\ (balance-left\ l\ k\ v\ r)$   
 $\langle proof \rangle$

**context**  $order\ begin$

**lemma**  $balance-left-rbt-greater:$   
**fixes**  $k :: 'a$   
**assumes**  $k \ll a\ k \ll b\ k < x$   
**shows**  $k \ll balance-left\ a\ x\ t\ b$   
 $\langle proof \rangle$

**lemma**  $balance-left-rbt-less:$   
**fixes**  $k :: 'a$   
**assumes**  $a \ll k\ b \ll k\ x < k$   
**shows**  $balance-left\ a\ x\ t\ b \ll k$   
 $\langle proof \rangle$

**end**

**lemma**  $balance-left-in-tree:$   
**assumes**  $inv1\ l\ inv1\ r\ bheight\ l + 1 = bheight\ r$   
**shows**  $entry-in-tree\ k\ v\ (balance-left\ l\ a\ b\ r) = (entry-in-tree\ k\ v\ l \vee k = a \wedge v = b \vee entry-in-tree\ k\ v\ r)$   
 $\langle proof \rangle$

**fun**

$balance-right :: ('a, 'b) rbt \Rightarrow 'a \Rightarrow 'b \Rightarrow ('a, 'b) rbt \Rightarrow ('a, 'b) rbt$

**where**

$balance-right\ a\ k\ x\ (Branch\ R\ b\ s\ y\ c) = Branch\ R\ a\ k\ x\ (Branch\ B\ b\ s\ y\ c) \mid$   
 $balance-right\ (Branch\ B\ a\ k\ x\ b)\ s\ y\ bl = balance\ (Branch\ R\ a\ k\ x\ b)\ s\ y\ bl \mid$   
 $balance-right\ (Branch\ R\ a\ k\ x\ (Branch\ B\ b\ s\ y\ c))\ t\ z\ bl = Branch\ R\ (balance\ (paint\ R\ a)\ k\ x\ b)\ s\ y\ (Branch\ B\ c\ t\ z\ bl) \mid$   
 $balance-right\ t\ k\ x\ s = Empty$

**lemma**  $balance-right-inv2-with-inv1:$

**assumes**  $inv2\ lt\ inv2\ rt\ bheight\ lt = bheight\ rt + 1\ inv1\ lt$   
**shows**  $inv2\ (balance\text{-}right\ lt\ k\ v\ rt) \wedge bheight\ (balance\text{-}right\ lt\ k\ v\ rt) = bheight\ lt$   
 $\langle proof \rangle$

**lemma** *balance-right-inv1*:  $\llbracket inv1\ a; inv1l\ b; color\text{-}of\ a = B \rrbracket \implies inv1\ (balance\text{-}right\ a\ k\ x\ b)$   
 $\langle proof \rangle$

**lemma** *balance-right-inv1l*:  $\llbracket inv1\ lt; inv1l\ rt \rrbracket \implies inv1l\ (balance\text{-}right\ lt\ k\ x\ rt)$   
 $\langle proof \rangle$

**lemma** (in *linorder*) *balance-right-rbt-sorted*:  
 $\llbracket rbt\text{-}sorted\ l; rbt\text{-}sorted\ r; rbt\text{-}less\ k\ l; k \ll r \rrbracket \implies rbt\text{-}sorted\ (balance\text{-}right\ l\ k\ v\ r)$   
 $\langle proof \rangle$

**context** *order begin*

**lemma** *balance-right-rbt-greater*:  
**fixes**  $k :: 'a$   
**assumes**  $k \ll a \ll b \ k < x$   
**shows**  $k \ll balance\text{-}right\ a\ x\ t\ b$   
 $\langle proof \rangle$

**lemma** *balance-right-rbt-less*:  
**fixes**  $k :: 'a$   
**assumes**  $a \ll k\ b \ll k\ x < k$   
**shows**  $balance\text{-}right\ a\ x\ t\ b \ll k$   
 $\langle proof \rangle$

**end**

**lemma** *balance-right-in-tree*:  
**assumes**  $inv1\ l\ inv1l\ r\ bheight\ l = bheight\ r + 1\ inv2\ l\ inv2\ r$   
**shows**  $entry\text{-}in\text{-}tree\ x\ y\ (balance\text{-}right\ l\ k\ v\ r) = (entry\text{-}in\text{-}tree\ x\ y\ l \vee x = k \wedge y = v \vee entry\text{-}in\text{-}tree\ x\ y\ r)$   
 $\langle proof \rangle$

**fun**

$combine :: ('a, 'b) rbt \Rightarrow ('a, 'b) rbt \Rightarrow ('a, 'b) rbt$

**where**

$combine\ Empty\ x = x$

$| combine\ x\ Empty = x$

$| combine\ (Branch\ R\ a\ k\ x\ b)\ (Branch\ R\ c\ s\ y\ d) = (case\ (combine\ b\ c)\ of$   
 $Branch\ R\ b2\ t\ z\ c2 \Rightarrow (Branch\ R\ (Branch\ R\ a\ k\ x\ b2)\ t\ z\ (Branch\ R\ c2\ s\ y\ d)) |$

$bc \Rightarrow Branch\ R\ a\ k\ x\ (Branch\ R\ bc\ s\ y\ d))$

$| combine\ (Branch\ B\ a\ k\ x\ b)\ (Branch\ B\ c\ s\ y\ d) = (case\ (combine\ b\ c)\ of$

$$t z \text{ (Branch B c2 s y d) } | \text{ Branch R b2 t z c2} \Rightarrow \text{Branch R (Branch B a k x b2)}$$

$$| \text{ combine a (Branch R b k x c) = Branch R (combine a b) k x c}$$

$$| \text{ combine (Branch R a k x b) c = Branch R a k x (combine b c)}$$

**lemma** *combine-inv2*:

**assumes** *inv2 lt inv2 rt bheight lt = bheight rt*

**shows** *bheight (combine lt rt) = bheight lt inv2 (combine lt rt)*

*<proof>*

**lemma** *combine-inv1*:

**assumes** *inv1 lt inv1 rt*

**shows** *color-of lt = B  $\implies$  color-of rt = B  $\implies$  inv1 (combine lt rt)*

*inv1l (combine lt rt)*

*<proof>*

**context** *linorder* **begin**

**lemma** *combine-rbt-greater[simp]*:

**fixes** *k :: 'a*

**assumes** *k  $\ll$  l k  $\ll$  r*

**shows** *k  $\ll$  combine l r*

*<proof>*

**lemma** *combine-rbt-less[simp]*:

**fixes** *k :: 'a*

**assumes** *l  $\ll$  k r  $\ll$  k*

**shows** *combine l r  $\ll$  k*

*<proof>*

**lemma** *combine-rbt-sorted*:

**fixes** *k :: 'a*

**assumes** *rbt-sorted l rbt-sorted r l  $\ll$  k k  $\ll$  r*

**shows** *rbt-sorted (combine l r)*

*<proof>*

**end**

**lemma** *combine-in-tree*:

**assumes** *inv2 l inv2 r bheight l = bheight r inv1 l inv1 r*

**shows** *entry-in-tree k v (combine l r) = (entry-in-tree k v l  $\vee$  entry-in-tree k v r)*

*<proof>*

**context** *ord* **begin**

**fun**

*rbt-del-from-left* :: *'a  $\Rightarrow$  ('a,'b) rbt  $\Rightarrow$  'a  $\Rightarrow$  'b  $\Rightarrow$  ('a,'b) rbt  $\Rightarrow$  ('a,'b) rbt* **and**

*rbt-del-from-right* :: *'a  $\Rightarrow$  ('a,'b) rbt  $\Rightarrow$  'a  $\Rightarrow$  'b  $\Rightarrow$  ('a,'b) rbt  $\Rightarrow$  ('a,'b) rbt* **and**

$r\text{bt-del} :: 'a \Rightarrow ('a, 'b) \text{rbt} \Rightarrow ('a, 'b) \text{rbt}$   
**where**  
 $r\text{bt-del } x \text{ Empty} = \text{Empty} \mid$   
 $r\text{bt-del } x (\text{Branch } c \ a \ y \ s \ b) =$   
 $(\text{if } x < y \text{ then } r\text{bt-del-from-left } x \ a \ y \ s \ b$   
 $\text{else } (\text{if } x > y \text{ then } r\text{bt-del-from-right } x \ a \ y \ s \ b \text{ else combine } a \ b)) \mid$   
 $r\text{bt-del-from-left } x (\text{Branch } B \ lt \ z \ v \ rt) \ y \ s \ b = \text{balance-left } (r\text{bt-del } x (\text{Branch } B$   
 $lt \ z \ v \ rt)) \ y \ s \ b \mid$   
 $r\text{bt-del-from-left } x \ a \ y \ s \ b = \text{Branch } R \ (r\text{bt-del } x \ a) \ y \ s \ b \mid$   
 $r\text{bt-del-from-right } x \ a \ y \ s \ (\text{Branch } B \ lt \ z \ v \ rt) = \text{balance-right } a \ y \ s \ (r\text{bt-del } x$   
 $(\text{Branch } B \ lt \ z \ v \ rt)) \mid$   
 $r\text{bt-del-from-right } x \ a \ y \ s \ b = \text{Branch } R \ a \ y \ s \ (r\text{bt-del } x \ b)$

**end**

**context** *linorder* **begin**

**lemma**

**assumes**  $inv2 \ lt \ inv1 \ lt$

**shows**

$\llbracket inv2 \ rt; \text{bheight } lt = \text{bheight } rt; \text{inv1 } rt \rrbracket \Longrightarrow$

$inv2 \ (r\text{bt-del-from-left } x \ lt \ k \ v \ rt) \wedge$

$\text{bheight } (r\text{bt-del-from-left } x \ lt \ k \ v \ rt) = \text{bheight } lt \wedge$

$(\text{color-of } lt = B \wedge \text{color-of } rt = B \wedge \text{inv1 } (r\text{bt-del-from-left } x \ lt \ k \ v \ rt) \vee$

$(\text{color-of } lt \neq B \vee \text{color-of } rt \neq B) \wedge \text{inv1l } (r\text{bt-del-from-left } x \ lt \ k \ v \ rt))$

**and**  $\llbracket inv2 \ rt; \text{bheight } lt = \text{bheight } rt; \text{inv1 } rt \rrbracket \Longrightarrow$

$inv2 \ (r\text{bt-del-from-right } x \ lt \ k \ v \ rt) \wedge$

$\text{bheight } (r\text{bt-del-from-right } x \ lt \ k \ v \ rt) = \text{bheight } lt \wedge$

$(\text{color-of } lt = B \wedge \text{color-of } rt = B \wedge \text{inv1 } (r\text{bt-del-from-right } x \ lt \ k \ v \ rt) \vee$

$(\text{color-of } lt \neq B \vee \text{color-of } rt \neq B) \wedge \text{inv1l } (r\text{bt-del-from-right } x \ lt \ k \ v \ rt))$

**and**  $r\text{bt-del-inv1-inv2: } inv2 \ (r\text{bt-del } x \ lt) \wedge (\text{color-of } lt = R \wedge \text{bheight } (r\text{bt-del } x$   
 $lt) = \text{bheight } lt \wedge \text{inv1 } (r\text{bt-del } x \ lt))$

$\vee \text{color-of } lt = B \wedge \text{bheight } (r\text{bt-del } x \ lt) = \text{bheight } lt - 1 \wedge \text{inv1l } (r\text{bt-del } x \ lt))$

*<proof>*

**lemma**

$r\text{bt-del-from-left-rbt-less: } \llbracket lt \ll v; \text{rt} \ll v; k < v \rrbracket \Longrightarrow r\text{bt-del-from-left } x \ lt \ k \ y \ rt$   
 $\ll v$

**and**  $r\text{bt-del-from-right-rbt-less: } \llbracket lt \ll v; \text{rt} \ll v; k < v \rrbracket \Longrightarrow r\text{bt-del-from-right } x$   
 $lt \ k \ y \ rt \ll v$

**and**  $r\text{bt-del-rbt-less: } lt \ll v \Longrightarrow r\text{bt-del } x \ lt \ll v$

*<proof>*

**lemma**  $r\text{bt-del-from-left-rbt-greater: } \llbracket v \ll lt; v \ll rt; k > v \rrbracket \Longrightarrow v \ll r\text{bt-del-from-left}$   
 $x \ lt \ k \ y \ rt$

**and**  $r\text{bt-del-from-right-rbt-greater: } \llbracket v \ll lt; v \ll rt; k > v \rrbracket \Longrightarrow v \ll r\text{bt-del-from-right}$   
 $x \ lt \ k \ y \ rt$

**and**  $r\text{bt-del-rbt-greater: } v \ll lt \Longrightarrow v \ll r\text{bt-del } x \ lt$

*<proof>*

**lemma**  $\llbracket \text{rbt-sorted } lt; \text{rbt-sorted } rt; lt \mid \ll k; k \ll \mid rt \rrbracket \implies \text{rbt-sorted } (\text{rbt-del-from-left } x \text{ } lt \text{ } k \text{ } y \text{ } rt)$   
**and**  $\llbracket \text{rbt-sorted } lt; \text{rbt-sorted } rt; lt \mid \ll k; k \ll \mid rt \rrbracket \implies \text{rbt-sorted } (\text{rbt-del-from-right } x \text{ } lt \text{ } k \text{ } y \text{ } rt)$   
**and**  $\text{rbt-del-rbt-sorted}: \text{rbt-sorted } lt \implies \text{rbt-sorted } (\text{rbt-del } x \text{ } lt)$   
 $\langle \text{proof} \rangle$

**lemma**  $\llbracket \text{rbt-sorted } lt; \text{rbt-sorted } rt; lt \mid \ll kt; kt \ll \mid rt; \text{inv1 } lt; \text{inv1 } rt; \text{inv2 } lt; \text{inv2 } rt; \text{bheight } lt = \text{bheight } rt; x < kt \rrbracket \implies \text{entry-in-tree } k \text{ } v \text{ } (\text{rbt-del-from-left } x \text{ } lt \text{ } kt \text{ } y \text{ } rt) = (\text{False} \vee (x \neq k \wedge \text{entry-in-tree } k \text{ } v \text{ } (\text{Branch } c \text{ } lt \text{ } kt \text{ } y \text{ } rt)))$   
**and**  $\llbracket \text{rbt-sorted } lt; \text{rbt-sorted } rt; lt \mid \ll kt; kt \ll \mid rt; \text{inv1 } lt; \text{inv1 } rt; \text{inv2 } lt; \text{inv2 } rt; \text{bheight } lt = \text{bheight } rt; x > kt \rrbracket \implies \text{entry-in-tree } k \text{ } v \text{ } (\text{rbt-del-from-right } x \text{ } lt \text{ } kt \text{ } y \text{ } rt) = (\text{False} \vee (x \neq k \wedge \text{entry-in-tree } k \text{ } v \text{ } (\text{Branch } c \text{ } lt \text{ } kt \text{ } y \text{ } rt)))$   
**and**  $\text{rbt-del-in-tree}: \llbracket \text{rbt-sorted } t; \text{inv1 } t; \text{inv2 } t \rrbracket \implies \text{entry-in-tree } k \text{ } v \text{ } (\text{rbt-del } x \text{ } t) = (\text{False} \vee (x \neq k \wedge \text{entry-in-tree } k \text{ } v \text{ } t))$   
 $\langle \text{proof} \rangle$

**definition** (in *ord*) **rbt-delete where**  
 $\text{rbt-delete } k \text{ } t = \text{paint } B \text{ } (\text{rbt-del } k \text{ } t)$

**theorem** *rbt-delete-is-rbt* [*simp*]: **assumes** *is-rbt* *t* **shows** *is-rbt* (*rbt-delete* *k* *t*)  
 $\langle \text{proof} \rangle$

**lemma** *rbt-delete-in-tree*:  
**assumes** *is-rbt* *t*  
**shows**  $\text{entry-in-tree } k \text{ } v \text{ } (\text{rbt-delete } x \text{ } t) = (x \neq k \wedge \text{entry-in-tree } k \text{ } v \text{ } t)$   
 $\langle \text{proof} \rangle$

**lemma** *rbt-lookup-rbt-delete*:  
**assumes** *is-rbt*: *is-rbt* *t*  
**shows**  $\text{rbt-lookup } (\text{rbt-delete } k \text{ } t) = (\text{rbt-lookup } t) \mid \{-k\}$   
 $\langle \text{proof} \rangle$

**end**

## 129.5 Modifying existing entries

**context** *ord* **begin**

**primrec**  
 $\text{rbt-map-entry} :: 'a \Rightarrow ('b \Rightarrow 'b) \Rightarrow ('a, 'b) \text{rbt} \Rightarrow ('a, 'b) \text{rbt}$   
**where**  
 $\text{rbt-map-entry } k \text{ } f \text{ } \text{Empty} = \text{Empty}$   
 $\mid \text{rbt-map-entry } k \text{ } f \text{ } (\text{Branch } c \text{ } lt \text{ } x \text{ } v \text{ } rt) =$   
   (if  $k < x$  then  $\text{Branch } c \text{ } (\text{rbt-map-entry } k \text{ } f \text{ } lt) \text{ } x \text{ } v \text{ } rt$   
   else if  $k > x$  then  $\text{Branch } c \text{ } lt \text{ } x \text{ } v \text{ } (\text{rbt-map-entry } k \text{ } f \text{ } rt)$   
   else  $\text{Branch } c \text{ } lt \text{ } x \text{ } (f \text{ } v) \text{ } rt$ )

**lemma** *rbt-map-entry-color-of*:  $\text{color-of } (\text{rbt-map-entry } k \ f \ t) = \text{color-of } t$  *<proof>*  
**lemma** *rbt-map-entry-inv1*:  $\text{inv1 } (\text{rbt-map-entry } k \ f \ t) = \text{inv1 } t$  *<proof>*  
**lemma** *rbt-map-entry-inv2*:  $\text{inv2 } (\text{rbt-map-entry } k \ f \ t) = \text{inv2 } t \ \text{bheight } (\text{rbt-map-entry } k \ f \ t) = \text{bheight } t$  *<proof>*  
**lemma** *rbt-map-entry-rbt-greater*:  $\text{rbt-greater } a \ (\text{rbt-map-entry } k \ f \ t) = \text{rbt-greater } a \ t$  *<proof>*  
**lemma** *rbt-map-entry-rbt-less*:  $\text{rbt-less } a \ (\text{rbt-map-entry } k \ f \ t) = \text{rbt-less } a \ t$  *<proof>*  
**lemma** *rbt-map-entry-rbt-sorted*:  $\text{rbt-sorted } (\text{rbt-map-entry } k \ f \ t) = \text{rbt-sorted } t$  *<proof>*

**theorem** *rbt-map-entry-is-rbt [simp]*:  $\text{is-rbt } (\text{rbt-map-entry } k \ f \ t) = \text{is-rbt } t$  *<proof>*

**end**

**theorem** (**in** *linorder*) *rbt-lookup-rbt-map-entry*:  
 $\text{rbt-lookup } (\text{rbt-map-entry } k \ f \ t) = (\text{rbt-lookup } t)(k := \text{map-option } f \ (\text{rbt-lookup } t \ k))$   
*<proof>*

## 129.6 Mapping all entries

**primrec**

$\text{map} :: ('a \Rightarrow 'b \Rightarrow 'c) \Rightarrow ('a, 'b) \ \text{rbt} \Rightarrow ('a, 'c) \ \text{rbt}$

**where**

$\text{map } f \ \text{Empty} = \text{Empty}$

$| \text{map } f \ (\text{Branch } c \ lt \ k \ v \ rt) = \text{Branch } c \ (\text{map } f \ lt) \ k \ (f \ k \ v) \ (\text{map } f \ rt)$

**lemma** *map-entries [simp]*:  $\text{entries } (\text{map } f \ t) = \text{List.map } (\lambda(k, v). (k, f \ k \ v)) \ (\text{entries } t)$   
*<proof>*

**lemma** *map-keys [simp]*:  $\text{keys } (\text{map } f \ t) = \text{keys } t$  *<proof>*

**lemma** *map-color-of*:  $\text{color-of } (\text{map } f \ t) = \text{color-of } t$  *<proof>*

**lemma** *map-inv1*:  $\text{inv1 } (\text{map } f \ t) = \text{inv1 } t$  *<proof>*

**lemma** *map-inv2*:  $\text{inv2 } (\text{map } f \ t) = \text{inv2 } t \ \text{bheight } (\text{map } f \ t) = \text{bheight } t$  *<proof>*

**context** *ord* **begin**

**lemma** *map-rbt-greater*:  $\text{rbt-greater } k \ (\text{map } f \ t) = \text{rbt-greater } k \ t$  *<proof>*

**lemma** *map-rbt-less*:  $\text{rbt-less } k \ (\text{map } f \ t) = \text{rbt-less } k \ t$  *<proof>*

**lemma** *map-rbt-sorted*:  $\text{rbt-sorted } (\text{map } f \ t) = \text{rbt-sorted } t$  *<proof>*

**theorem** *map-is-rbt [simp]*:  $\text{is-rbt } (\text{map } f \ t) = \text{is-rbt } t$   
*<proof>*

**end**

**theorem** (**in** *linorder*) *rbt-lookup-map*:  $\text{rbt-lookup } (\text{map } f \ t) \ x = \text{map-option } (f \ x) \ (\text{rbt-lookup } t \ x)$

*<proof>*

**hide-const** (**open**) *map*

### 129.7 Folding over entries

**definition** *fold* :: ( $'a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'c$ )  $\Rightarrow$  ( $'a, 'b$ ) *rbt*  $\Rightarrow 'c \Rightarrow 'c$  **where**  
*fold* *f* *t* = *List.fold* (*case-prod* *f*) (*entries* *t*)

**lemma** *fold-simps* [*simp*]:

*fold* *f* *Empty* = *id*

*fold* *f* (*Branch* *c* *lt* *k* *v* *rt*) = *fold* *f* *rt*  $\circ$  *f* *k* *v*  $\circ$  *fold* *f* *lt*

*<proof>*

**lemma** *fold-code* [*code*]:

*fold* *f* *Empty* *x* = *x*

*fold* *f* (*Branch* *c* *lt* *k* *v* *rt*) *x* = *fold* *f* *rt* (*f* *k* *v* (*fold* *f* *lt* *x*))

*<proof>*

**fun** *foldi* :: ( $'c \Rightarrow \text{bool}$ )  $\Rightarrow$  ( $'a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'c$ )  $\Rightarrow$  ( $'a :: \text{linorder}, 'b$ ) *rbt*  $\Rightarrow 'c \Rightarrow 'c$

**where**

*foldi* *c* *f* *Empty* *s* = *s* |

*foldi* *c* *f* (*Branch* *col* *l* *k* *v* *r*) *s* = (

*if* (*c* *s*) *then*

*let* *s'* = *foldi* *c* *f* *l* *s* *in*

*if* (*c* *s'*) *then*

*foldi* *c* *f* *r* (*f* *k* *v* *s'*)

*else* *s'*

*else*

*s*

)

### 129.8 Bulkloading a tree

**definition** (**in** *ord*) *rbt-bulkload* :: ( $'a \times 'b$ ) *list*  $\Rightarrow$  ( $'a, 'b$ ) *rbt* **where**  
*rbt-bulkload* *xs* = *foldr* ( $\lambda(k, v). \text{rbt-insert } k \ v$ ) *xs* *Empty*

**context** *linorder* **begin**

**lemma** *rbt-bulkload-is-rbt* [*simp*, *intro*]:

*is-rbt* (*rbt-bulkload* *xs*)

*<proof>*

**lemma** *rbt-lookup-rbt-bulkload*:

*rbt-lookup* (*rbt-bulkload* *xs*) = *map-of* *xs*

*<proof>*

**end**



### 129.9 Building a RBT from a sorted list

These functions have been adapted from Andrew W. Appel, Efficient Verified Red-Black Trees (September 2011)

**fun** *rbtreeify-f* :: *nat*  $\Rightarrow$  (*a*  $\times$  *b*) *list*  $\Rightarrow$  (*a*, *b*) *rbt*  $\times$  (*a*  $\times$  *b*) *list*  
**and** *rbtreeify-g* :: *nat*  $\Rightarrow$  (*a*  $\times$  *b*) *list*  $\Rightarrow$  (*a*, *b*) *rbt*  $\times$  (*a*  $\times$  *b*) *list*

**where**

*rbtreeify-f* *n* *kvs* =  
 (if *n* = 0 then (*Empty*, *kvs*)  
 else if *n* = 1 then  
   case *kvs* of (*k*, *v*) # *kvs'*  $\Rightarrow$  (*Branch R Empty k v Empty*, *kvs'*)  
 else if (*n* mod 2 = 0) then  
   case *rbtreeify-f* (*n* div 2) *kvs* of (*t1*, (*k*, *v*) # *kvs'*)  $\Rightarrow$   
     *apfst* (*Branch B t1 k v*) (*rbtreeify-g* (*n* div 2) *kvs'*)  
   else case *rbtreeify-f* (*n* div 2) *kvs* of (*t1*, (*k*, *v*) # *kvs'*)  $\Rightarrow$   
     *apfst* (*Branch B t1 k v*) (*rbtreeify-f* (*n* div 2) *kvs'*)

| *rbtreeify-g* *n* *kvs* =  
 (if *n* = 0  $\vee$  *n* = 1 then (*Empty*, *kvs*)  
 else if *n* mod 2 = 0 then  
   case *rbtreeify-g* (*n* div 2) *kvs* of (*t1*, (*k*, *v*) # *kvs'*)  $\Rightarrow$   
     *apfst* (*Branch B t1 k v*) (*rbtreeify-g* (*n* div 2) *kvs'*)  
   else case *rbtreeify-f* (*n* div 2) *kvs* of (*t1*, (*k*, *v*) # *kvs'*)  $\Rightarrow$   
     *apfst* (*Branch B t1 k v*) (*rbtreeify-g* (*n* div 2) *kvs'*)

**definition** *rbtreeify* :: (*a*  $\times$  *b*) *list*  $\Rightarrow$  (*a*, *b*) *rbt*

**where** *rbtreeify* *kvs* = *fst* (*rbtreeify-g* (*Suc* (*length* *kvs*)) *kvs*)

**declare** *rbtreeify-f.simps* [*simp del*] *rbtreeify-g.simps* [*simp del*]

**lemma** *rbtreeify-f-code* [*code*]:

*rbtreeify-f* *n* *kvs* =  
 (if *n* = 0 then (*Empty*, *kvs*)  
 else if *n* = 1 then  
   case *kvs* of (*k*, *v*) # *kvs'*  $\Rightarrow$   
     (*Branch R Empty k v Empty*, *kvs'*)  
 else let (*n'*, *r*) = *Euclidean-Rings.divmod-nat* *n* 2 in  
   if *r* = 0 then  
     case *rbtreeify-f* *n'* *kvs* of (*t1*, (*k*, *v*) # *kvs'*)  $\Rightarrow$   
       *apfst* (*Branch B t1 k v*) (*rbtreeify-g* *n'* *kvs'*)  
     else case *rbtreeify-f* *n'* *kvs* of (*t1*, (*k*, *v*) # *kvs'*)  $\Rightarrow$   
       *apfst* (*Branch B t1 k v*) (*rbtreeify-f* *n'* *kvs'*)

*<proof>*

**lemma** *rbtreeify-g-code* [*code*]:

*rbtreeify-g* *n* *kvs* =  
 (if *n* = 0  $\vee$  *n* = 1 then (*Empty*, *kvs*)  
 else let (*n'*, *r*) = *Euclidean-Rings.divmod-nat* *n* 2 in  
   if *r* = 0 then

$\text{case } \text{rbtreeify-g } n' \text{ kvs of } (t1, (k, v) \# \text{kvs}') \Rightarrow$   
 $\text{apfst } (\text{Branch } B \ t1 \ k \ v) \ (\text{rbtreeify-g } n' \ \text{kvs}')$   
 $\text{else case } \text{rbtreeify-f } n' \ \text{kvs of } (t1, (k, v) \# \text{kvs}') \Rightarrow$   
 $\text{apfst } (\text{Branch } B \ t1 \ k \ v) \ (\text{rbtreeify-g } n' \ \text{kvs}')$   
 <proof>

**lemma** *Suc-double-half*:  $\text{Suc } (2 * n) \ \text{div } 2 = n$   
 <proof>

**lemma** *div2-plus-div2*:  $n \ \text{div } 2 + n \ \text{div } 2 = (n :: \text{nat}) - n \ \text{mod } 2$   
 <proof>

**lemma** *rbtreeify-f-rec-aux-lemma*:  
 $\llbracket k - n \ \text{div } 2 = \text{Suc } k'; n \leq k; n \ \text{mod } 2 = \text{Suc } 0 \rrbracket$   
 $\implies k' - n \ \text{div } 2 = k - n$   
 <proof>

**lemma** *rbtreeify-f-simps*:  
 $\text{rbtreeify-f } 0 \ \text{kvs} = (\text{Empty}, \ \text{kvs})$   
 $\text{rbtreeify-f } (\text{Suc } 0) \ ((k, v) \# \text{kvs}) =$   
 $(\text{Branch } R \ \text{Empty } k \ v \ \text{Empty}, \ \text{kvs})$   
 $0 < n \implies \text{rbtreeify-f } (2 * n) \ \text{kvs} =$   
 $(\text{case } \text{rbtreeify-f } n \ \text{kvs of } (t1, (k, v) \# \text{kvs}') \Rightarrow$   
 $\text{apfst } (\text{Branch } B \ t1 \ k \ v) \ (\text{rbtreeify-g } n \ \text{kvs}'))$   
 $0 < n \implies \text{rbtreeify-f } (\text{Suc } (2 * n)) \ \text{kvs} =$   
 $(\text{case } \text{rbtreeify-f } n \ \text{kvs of } (t1, (k, v) \# \text{kvs}') \Rightarrow$   
 $\text{apfst } (\text{Branch } B \ t1 \ k \ v) \ (\text{rbtreeify-f } n \ \text{kvs}'))$   
 <proof>

**lemma** *rbtreeify-g-simps*:  
 $\text{rbtreeify-g } 0 \ \text{kvs} = (\text{Empty}, \ \text{kvs})$   
 $\text{rbtreeify-g } (\text{Suc } 0) \ \text{kvs} = (\text{Empty}, \ \text{kvs})$   
 $0 < n \implies \text{rbtreeify-g } (2 * n) \ \text{kvs} =$   
 $(\text{case } \text{rbtreeify-g } n \ \text{kvs of } (t1, (k, v) \# \text{kvs}') \Rightarrow$   
 $\text{apfst } (\text{Branch } B \ t1 \ k \ v) \ (\text{rbtreeify-g } n \ \text{kvs}'))$   
 $0 < n \implies \text{rbtreeify-g } (\text{Suc } (2 * n)) \ \text{kvs} =$   
 $(\text{case } \text{rbtreeify-f } n \ \text{kvs of } (t1, (k, v) \# \text{kvs}') \Rightarrow$   
 $\text{apfst } (\text{Branch } B \ t1 \ k \ v) \ (\text{rbtreeify-g } n \ \text{kvs}'))$   
 <proof>

**declare** *rbtreeify-f-simps*[simp] *rbtreeify-g-simps*[simp]

**lemma** *length-rbtreeify-f*:  $n \leq \text{length } \text{kvs}$   
 $\implies \text{length } (\text{snd } (\text{rbtreeify-f } n \ \text{kvs})) = \text{length } \text{kvs} - n$   
**and** *length-rbtreeify-g*:  $\llbracket 0 < n; n \leq \text{Suc } (\text{length } \text{kvs}) \rrbracket$   
 $\implies \text{length } (\text{snd } (\text{rbtreeify-g } n \ \text{kvs})) = \text{Suc } (\text{length } \text{kvs}) - n$   
 <proof>

**lemma** *rbtreeify-induct* [consumes 1, case-names f-0 f-1 f-even f-odd g-0 g-1 g-even

*g-odd*]:

```

fixes P Q
defines f0 == (∧kvs. P 0 kvs)
and f1 == (∧k v kvs. P (Suc 0) ((k, v) # kvs))
and feven ==
  (∧n kvs t k v kvs'. [| n > 0; n ≤ length kvs; P n kvs;
    rbtreeify-f n kvs = (t, (k, v) # kvs^); n ≤ Suc (length kvs^); Q n kvs' ])
    ⇒ P (2 * n) kvs)
and fodd ==
  (∧n kvs t k v kvs'. [| n > 0; n ≤ length kvs; P n kvs;
    rbtreeify-f n kvs = (t, (k, v) # kvs^); n ≤ length kvs^; P n kvs' ])
    ⇒ P (Suc (2 * n)) kvs)
and g0 == (∧kvs. Q 0 kvs)
and g1 == (∧kvs. Q (Suc 0) kvs)
and geven ==
  (∧n kvs t k v kvs'. [| n > 0; n ≤ Suc (length kvs); Q n kvs;
    rbtreeify-g n kvs = (t, (k, v) # kvs^); n ≤ Suc (length kvs^); Q n kvs' ])
    ⇒ Q (2 * n) kvs)
and godd ==
  (∧n kvs t k v kvs'. [| n > 0; n ≤ length kvs; P n kvs;
    rbtreeify-f n kvs = (t, (k, v) # kvs^); n ≤ Suc (length kvs^); Q n kvs' ])
    ⇒ Q (Suc (2 * n)) kvs)
shows [| n ≤ length kvs;
  PROP f0; PROP f1; PROP feven; PROP fodd;
  PROP g0; PROP g1; PROP geven; PROP godd ]
  ⇒ P n kvs
and [| n ≤ Suc (length kvs);
  PROP f0; PROP f1; PROP feven; PROP fodd;
  PROP g0; PROP g1; PROP geven; PROP godd ]
  ⇒ Q n kvs
⟨proof⟩

```

```

lemma inv1-rbtreeify-f: n ≤ length kvs
  ⇒ inv1 (fst (rbtreeify-f n kvs))
and inv1-rbtreeify-g: n ≤ Suc (length kvs)
  ⇒ inv1 (fst (rbtreeify-g n kvs))
⟨proof⟩

```

```

fun plog2 :: nat ⇒ nat
where plog2 n = (if n ≤ 1 then 0 else plog2 (n div 2) + 1)

```

```

declare plog2.simps [simp del]

```

```

lemma plog2-simps [simp]:
  plog2 0 = 0 plog2 (Suc 0) = 0
  0 < n ⇒ plog2 (2 * n) = 1 + plog2 n
  0 < n ⇒ plog2 (Suc (2 * n)) = 1 + plog2 n
⟨proof⟩

```

**lemma** *bheight-rbtreeify-f*:  $n \leq \text{length } kvs$   
 $\implies \text{bheight } (\text{fst } (\text{rbtreeify-f } n \text{ } kvs)) = \text{plog2 } n$   
**and** *bheight-rbtreeify-g*:  $n \leq \text{Suc } (\text{length } kvs)$   
 $\implies \text{bheight } (\text{fst } (\text{rbtreeify-g } n \text{ } kvs)) = \text{plog2 } n$   
 ⟨proof⟩

**lemma** *bheight-rbtreeify-f-eq-plog2I*:  
 $\llbracket \text{rbtreeify-f } n \text{ } kvs = (t, kvs'); n \leq \text{length } kvs \rrbracket$   
 $\implies \text{bheight } t = \text{plog2 } n$   
 ⟨proof⟩

**lemma** *bheight-rbtreeify-g-eq-plog2I*:  
 $\llbracket \text{rbtreeify-g } n \text{ } kvs = (t, kvs'); n \leq \text{Suc } (\text{length } kvs) \rrbracket$   
 $\implies \text{bheight } t = \text{plog2 } n$   
 ⟨proof⟩

**hide-const** (**open**) *plog2*

**lemma** *inv2-rbtreeify-f*:  $n \leq \text{length } kvs$   
 $\implies \text{inv2 } (\text{fst } (\text{rbtreeify-f } n \text{ } kvs))$   
**and** *inv2-rbtreeify-g*:  $n \leq \text{Suc } (\text{length } kvs)$   
 $\implies \text{inv2 } (\text{fst } (\text{rbtreeify-g } n \text{ } kvs))$   
 ⟨proof⟩

**lemma**  $n \leq \text{length } kvs \implies \text{True}$   
**and** *color-of-rbtreeify-g*:  
 $\llbracket n \leq \text{Suc } (\text{length } kvs); 0 < n \rrbracket$   
 $\implies \text{color-of } (\text{fst } (\text{rbtreeify-g } n \text{ } kvs)) = B$   
 ⟨proof⟩

**lemma** *entries-rbtreeify-f-append*:  
 $n \leq \text{length } kvs$   
 $\implies \text{entries } (\text{fst } (\text{rbtreeify-f } n \text{ } kvs)) \text{ @ } \text{snd } (\text{rbtreeify-f } n \text{ } kvs) = kvs$   
**and** *entries-rbtreeify-g-append*:  
 $n \leq \text{Suc } (\text{length } kvs)$   
 $\implies \text{entries } (\text{fst } (\text{rbtreeify-g } n \text{ } kvs)) \text{ @ } \text{snd } (\text{rbtreeify-g } n \text{ } kvs) = kvs$   
 ⟨proof⟩

**lemma** *length-entries-rbtreeify-f*:  
 $n \leq \text{length } kvs \implies \text{length } (\text{entries } (\text{fst } (\text{rbtreeify-f } n \text{ } kvs))) = n$   
**and** *length-entries-rbtreeify-g*:  
 $n \leq \text{Suc } (\text{length } kvs) \implies \text{length } (\text{entries } (\text{fst } (\text{rbtreeify-g } n \text{ } kvs))) = n - 1$   
 ⟨proof⟩

**lemma** *rbtreeify-f-conv-drop*:  
 $n \leq \text{length } kvs \implies \text{snd } (\text{rbtreeify-f } n \text{ } kvs) = \text{drop } n \text{ } kvs$   
 ⟨proof⟩

**lemma** *rbtreeify-g-conv-drop*:

$n \leq \text{Suc } (\text{length } kvs) \implies \text{snd } (\text{rbtreeify-g } n \text{ } kvs) = \text{drop } (n - 1) \text{ } kvs$   
 ⟨proof⟩

**lemma** *entries-rbtreeify-f* [simp]:  
 $n \leq \text{length } kvs \implies \text{entries } (\text{fst } (\text{rbtreeify-f } n \text{ } kvs)) = \text{take } n \text{ } kvs$   
 ⟨proof⟩

**lemma** *entries-rbtreeify-g* [simp]:  
 $n \leq \text{Suc } (\text{length } kvs) \implies$   
 $\text{entries } (\text{fst } (\text{rbtreeify-g } n \text{ } kvs)) = \text{take } (n - 1) \text{ } kvs$   
 ⟨proof⟩

**lemma** *keys-rbtreeify-f* [simp]:  $n \leq \text{length } kvs$   
 $\implies \text{keys } (\text{fst } (\text{rbtreeify-f } n \text{ } kvs)) = \text{take } n \text{ } (\text{map } \text{fst } kvs)$   
 ⟨proof⟩

**lemma** *keys-rbtreeify-g* [simp]:  $n \leq \text{Suc } (\text{length } kvs)$   
 $\implies \text{keys } (\text{fst } (\text{rbtreeify-g } n \text{ } kvs)) = \text{take } (n - 1) \text{ } (\text{map } \text{fst } kvs)$   
 ⟨proof⟩

**lemma** *rbtreeify-fD*:  
 $\llbracket \text{rbtreeify-f } n \text{ } kvs = (t, kvs'); n \leq \text{length } kvs \rrbracket$   
 $\implies \text{entries } t = \text{take } n \text{ } kvs \wedge kvs' = \text{drop } n \text{ } kvs$   
 ⟨proof⟩

**lemma** *rbtreeify-gD*:  
 $\llbracket \text{rbtreeify-g } n \text{ } kvs = (t, kvs'); n \leq \text{Suc } (\text{length } kvs) \rrbracket$   
 $\implies \text{entries } t = \text{take } (n - 1) \text{ } kvs \wedge kvs' = \text{drop } (n - 1) \text{ } kvs$   
 ⟨proof⟩

**lemma** *entries-rbtreeify* [simp]:  $\text{entries } (\text{rbtreeify } kvs) = kvs$   
 ⟨proof⟩

**context** *linorder* **begin**

**lemma** *rbt-sorted-rbtreeify-f*:  
 $\llbracket n \leq \text{length } kvs; \text{sorted } (\text{map } \text{fst } kvs); \text{distinct } (\text{map } \text{fst } kvs) \rrbracket$   
 $\implies \text{rbt-sorted } (\text{fst } (\text{rbtreeify-f } n \text{ } kvs))$   
**and** *rbt-sorted-rbtreeify-g*:  
 $\llbracket n \leq \text{Suc } (\text{length } kvs); \text{sorted } (\text{map } \text{fst } kvs); \text{distinct } (\text{map } \text{fst } kvs) \rrbracket$   
 $\implies \text{rbt-sorted } (\text{fst } (\text{rbtreeify-g } n \text{ } kvs))$   
 ⟨proof⟩

**lemma** *rbt-sorted-rbtreeify*:  
 $\llbracket \text{sorted } (\text{map } \text{fst } kvs); \text{distinct } (\text{map } \text{fst } kvs) \rrbracket \implies \text{rbt-sorted } (\text{rbtreeify } kvs)$   
 ⟨proof⟩

**lemma** *is-rbt-rbtreeify*:  
 $\llbracket \text{sorted } (\text{map } \text{fst } kvs); \text{distinct } (\text{map } \text{fst } kvs) \rrbracket$

$\implies$  *is-rbt* (*rbtreeify* *kvs*)  
 ⟨*proof*⟩

**lemma** *rbt-lookup-rbtreeify*:

[[ *sorted* (*map fst kvs*); *distinct* (*map fst kvs*) ]]  $\implies$   
*rbt-lookup* (*rbtreeify kvs*) = *map-of kvs*  
 ⟨*proof*⟩

**end**

Functions to compare the height of two rbt trees, taken from Andrew W. Appel, Efficient Verified Red-Black Trees (September 2011)

**fun** *skip-red* :: ('a, 'b) rbt  $\Rightarrow$  ('a, 'b) rbt  
**where**  
*skip-red* (*Branch color.R l k v r*) = *l*  
 | *skip-red t* = *t*

**definition** *skip-black* :: ('a, 'b) rbt  $\Rightarrow$  ('a, 'b) rbt

**where**  
*skip-black t* = (*let t' = skip-red t in case t' of Branch color.B l k v r  $\Rightarrow$  l | -  $\Rightarrow$  t'*)

**datatype** *compare* = *LT* | *GT* | *EQ*

**partial-function** (*tailrec*) *compare-height* :: ('a, 'b) rbt  $\Rightarrow$  ('a, 'b) rbt  $\Rightarrow$  ('a, 'b) rbt  $\Rightarrow$  ('a, 'b) rbt  $\Rightarrow$  *compare*

**where**

*compare-height* *sx s t tx* =  
 (*case* (*skip-red sx*, *skip-red s*, *skip-red t*, *skip-red tx*) *of*  
 (*Branch - sx' - - -*, *Branch - s' - - -*, *Branch - t' - - -*, *Branch - tx' - - -*)  $\Rightarrow$   
*compare-height* (*skip-black sx'*) *s' t' (skip-black tx')*  
 | (*-*, *rbt.Empty*, *-*, *Branch - - - -*)  $\Rightarrow$  *LT*  
 | (*Branch - - - -*, *-*, *rbt.Empty*, *-*)  $\Rightarrow$  *GT*  
 | (*Branch - sx' - - -*, *Branch - s' - - -*, *Branch - t' - - -*, *rbt.Empty*)  $\Rightarrow$   
*compare-height* (*skip-black sx'*) *s' t' rbt.Empty*  
 | (*rbt.Empty*, *Branch - s' - - -*, *Branch - t' - - -*, *Branch - tx' - - -*)  $\Rightarrow$   
*compare-height* *rbt.Empty s' t' (skip-black tx')*  
 | *-*  $\Rightarrow$  *EQ*)

**declare** *compare-height.simps* [*code*]

**hide-type** (**open**) *compare*

**hide-const** (**open**)

*compare-height skip-black skip-red LT GT EQ case-compare rec-compare*  
*Abs-compare Rep-compare*

**hide-fact** (**open**)

*Abs-compare-cases Abs-compare-induct Abs-compare-inject Abs-compare-inverse*  
*Rep-compare Rep-compare-cases Rep-compare-induct Rep-compare-inject Rep-compare-inverse*  
*compare.simps compare.exhaust compare.induct compare.rec compare.simps*

*compare.size compare.case-cong compare.case-cong-weak compare.case*  
*compare.nchotomy compare.split compare.split-asm compare.eq.refl compare.eq.simps*  
*equal-compare-def*  
*skip-red.simps skip-red.cases skip-red.induct*  
*skip-black-def*  
*compare-height.simps*

## 129.10 union and intersection of sorted associative lists

**context** *ord* **begin**

**function** *sunion-with* :: ( $'a \Rightarrow 'b \Rightarrow 'b \Rightarrow 'b$ )  $\Rightarrow$  ( $'a \times 'b$ ) *list*  $\Rightarrow$  ( $'a \times 'b$ ) *list*  $\Rightarrow$  ( $'a \times 'b$ ) *list*

**where**

*sunion-with* *f* ((*k*, *v*) # *as*) ((*k'*, *v'*) # *bs*) =  
 (if *k* > *k'* then (*k'*, *v'*) # *sunion-with* *f* ((*k*, *v*) # *as*) *bs*  
 else if *k* < *k'* then (*k*, *v*) # *sunion-with* *f* *as* ((*k'*, *v'*) # *bs*)  
 else (*k*, *f k v v'*) # *sunion-with* *f* *as* *bs*)

| *sunion-with* *f* [] *bs* = *bs*

| *sunion-with* *f* *as* [] = *as*

$\langle$ *proof* $\rangle$

**termination**  $\langle$ *proof* $\rangle$

**function** *sinter-with* :: ( $'a \Rightarrow 'b \Rightarrow 'b \Rightarrow 'b$ )  $\Rightarrow$  ( $'a \times 'b$ ) *list*  $\Rightarrow$  ( $'a \times 'b$ ) *list*  $\Rightarrow$  ( $'a \times 'b$ ) *list*

**where**

*sinter-with* *f* ((*k*, *v*) # *as*) ((*k'*, *v'*) # *bs*) =  
 (if *k* > *k'* then *sinter-with* *f* ((*k*, *v*) # *as*) *bs*  
 else if *k* < *k'* then *sinter-with* *f* *as* ((*k'*, *v'*) # *bs*)  
 else (*k*, *f k v v'*) # *sinter-with* *f* *as* *bs*)

| *sinter-with* *f* [] - = []

| *sinter-with* *f* - [] = []

$\langle$ *proof* $\rangle$

**termination**  $\langle$ *proof* $\rangle$

**end**

**declare** *ord.sunion-with.simps* [*code*] *ord.sinter-with.simps*[*code*]

**context** *linorder* **begin**

**lemma** *set-fst-sunion-with*:

*set* (*map* *fst* (*sunion-with* *f* *xs* *ys*)) = *set* (*map* *fst* *xs*)  $\cup$  *set* (*map* *fst* *ys*)

$\langle$ *proof* $\rangle$

**lemma** *sorted-sunion-with* [*simp*]:

[] *sorted* (*map* *fst* *xs*); *sorted* (*map* *fst* *ys*) []  
 $\implies$  *sorted* (*map* *fst* (*sunion-with* *f* *xs* *ys*))

$\langle$ *proof* $\rangle$

**lemma** *distinct-sunion-with* [simp]:

[[ *distinct* (*map fst xs*); *distinct* (*map fst ys*); *sorted* (*map fst xs*); *sorted* (*map fst ys*) ]]  
 $\implies$  *distinct* (*map fst (sunion-with f xs ys)*)  
 ⟨*proof*⟩

**lemma** *map-of-sunion-with*:

[[ *sorted* (*map fst xs*); *sorted* (*map fst ys*) ]]  
 $\implies$  *map-of* (*sunion-with f xs ys*) *k* =  
 (*case map-of xs k of None*  $\implies$  *map-of ys k*  
 | *Some v*  $\implies$  *case map-of ys k of None*  $\implies$  *Some v*  
 | *Some w*  $\implies$  *Some (f k v w)*)  
 ⟨*proof*⟩

**lemma** *set-fst-sinter-with* [simp]:

[[ *sorted* (*map fst xs*); *sorted* (*map fst ys*) ]]  
 $\implies$  *set* (*map fst (sinter-with f xs ys)*) = *set* (*map fst xs*)  $\cap$  *set* (*map fst ys*)  
 ⟨*proof*⟩

**lemma** *set-fst-sinter-with-subset1*:

*set* (*map fst (sinter-with f xs ys)*)  $\subseteq$  *set* (*map fst xs*)  
 ⟨*proof*⟩

**lemma** *set-fst-sinter-with-subset2*:

*set* (*map fst (sinter-with f xs ys)*)  $\subseteq$  *set* (*map fst ys*)  
 ⟨*proof*⟩

**lemma** *sorted-sinter-with* [simp]:

[[ *sorted* (*map fst xs*); *sorted* (*map fst ys*) ]]  
 $\implies$  *sorted* (*map fst (sinter-with f xs ys)*)  
 ⟨*proof*⟩

**lemma** *distinct-sinter-with* [simp]:

[[ *distinct* (*map fst xs*); *distinct* (*map fst ys*) ]]  
 $\implies$  *distinct* (*map fst (sinter-with f xs ys)*)  
 ⟨*proof*⟩

**lemma** *map-of-sinter-with*:

[[ *sorted* (*map fst xs*); *sorted* (*map fst ys*) ]]  
 $\implies$  *map-of* (*sinter-with f xs ys*) *k* =  
 (*case map-of xs k of None*  $\implies$  *None* | *Some v*  $\implies$  *map-option* (*f k v*) (*map-of ys k*))  
 ⟨*proof*⟩

**end**

**lemma** *distinct-map-of-rev*: *distinct* (*map fst xs*)  $\implies$  *map-of* (*rev xs*) = *map-of xs*  
 ⟨*proof*⟩



**lemma** *map-map-filter*:

$map\ f\ (List.map-filter\ g\ xs) = List.map-filter\ (map-option\ f\ \circ\ g)\ xs$   
 ⟨proof⟩

**lemma** *map-filter-map-option-const*:

$List.map-filter\ (\lambda x. map-option\ (\lambda y. f\ x)\ (g\ (f\ x)))\ xs = filter\ (\lambda x. g\ x \neq None)$   
 $(map\ f\ xs)$   
 ⟨proof⟩

**lemma** *set-map-filter*:  $set\ (List.map-filter\ P\ xs) = the\ '\ (P\ '\ set\ xs - \{None\})$   
 ⟨proof⟩

**definition** *is-rbt-empty* ::  $('a, 'b)\ rbt \Rightarrow bool$  **where**

$is-rbt-empty\ t \iff (case\ t\ of\ RBT-Impl.Empty \Rightarrow True\ |\ - \Rightarrow False)$

**lemma** *is-rbt-empty-prop[simp]*:  $is-rbt-empty\ t \iff t = RBT-Impl.Empty$   
 ⟨proof⟩

**definition** *small-rbt* ::  $('a, 'b)\ rbt \Rightarrow bool$  **where**

$small-rbt\ t \iff bheight\ t < 4$

**definition** *flip-rbt* ::  $('a, 'b)\ rbt \Rightarrow ('a, 'b)\ rbt \Rightarrow bool$  **where**

$flip-rbt\ t1\ t2 \iff bheight\ t2 < bheight\ t1$

**abbreviation** *(input) MR* **where**  $MR\ l\ a\ b\ r \equiv Branch\ RBT-Impl.R\ l\ a\ b\ r$

**abbreviation** *(input) MB* **where**  $MB\ l\ a\ b\ r \equiv Branch\ RBT-Impl.B\ l\ a\ b\ r$

**fun** *rbt-baliL* ::  $('a, 'b)\ rbt \Rightarrow 'a \Rightarrow 'b \Rightarrow ('a, 'b)\ rbt \Rightarrow ('a, 'b)\ rbt$  **where**

$rbt-baliL\ (MR\ (MR\ t1\ a\ b\ t2)\ a'\ b'\ t3)\ a''\ b''\ t4 = MR\ (MB\ t1\ a\ b\ t2)\ a'\ b'\ (MB\ t3\ a''\ b''\ t4)$   
 $| rbt-baliL\ (MR\ t1\ a\ b\ (MR\ t2\ a'\ b'\ t3))\ a''\ b''\ t4 = MR\ (MB\ t1\ a\ b\ t2)\ a'\ b'\ (MB\ t3\ a''\ b''\ t4)$   
 $| rbt-baliL\ t1\ a\ b\ t2 = MB\ t1\ a\ b\ t2$

**fun** *rbt-baliR* ::  $('a, 'b)\ rbt \Rightarrow 'a \Rightarrow 'b \Rightarrow ('a, 'b)\ rbt \Rightarrow ('a, 'b)\ rbt$  **where**

$rbt-baliR\ t1\ a\ b\ (MR\ t2\ a'\ b'\ (MR\ t3\ a''\ b''\ t4)) = MR\ (MB\ t1\ a\ b\ t2)\ a'\ b'\ (MB\ t3\ a''\ b''\ t4)$   
 $| rbt-baliR\ t1\ a\ b\ (MR\ (MR\ t2\ a'\ b'\ t3)\ a''\ b''\ t4) = MR\ (MB\ t1\ a\ b\ t2)\ a'\ b'\ (MB\ t3\ a''\ b''\ t4)$   
 $| rbt-baliR\ t1\ a\ b\ t2 = MB\ t1\ a\ b\ t2$

**fun** *rbt-baldL* ::  $('a, 'b)\ rbt \Rightarrow 'a \Rightarrow 'b \Rightarrow ('a, 'b)\ rbt \Rightarrow ('a, 'b)\ rbt$  **where**

$rbt-baldL\ (MR\ t1\ a\ b\ t2)\ a'\ b'\ t3 = MR\ (MB\ t1\ a\ b\ t2)\ a'\ b'\ t3$   
 $| rbt-baldL\ t1\ a\ b\ (MB\ t2\ a'\ b'\ t3) = rbt-baliR\ t1\ a\ b\ (MR\ t2\ a'\ b'\ t3)$   
 $| rbt-baldL\ t1\ a\ b\ (MR\ (MB\ t2\ a'\ b'\ t3)\ a''\ b''\ t4) =$   
 $MR\ (MB\ t1\ a\ b\ t2)\ a'\ b'\ (rbt-baliR\ t3\ a''\ b''\ (paint\ RBT-Impl.R\ t4))$

| *rbt-baldL* *t1 a b t2* = *MR t1 a b t2*

**fun** *rbt-baldR* :: ('a, 'b) *rbt* ⇒ 'a ⇒ 'b ⇒ ('a, 'b) *rbt* ⇒ ('a, 'b) *rbt* **where**  
*rbt-baldR t1 a b (MR t2 a' b' t3)* = *MR t1 a b (MB t2 a' b' t3)*  
| *rbt-baldR (MB t1 a b t2) a' b' t3* = *rbt-baliL (MR t1 a b t2) a' b' t3*  
| *rbt-baldR (MR t1 a b (MB t2 a' b' t3)) a'' b'' t4* =  
*MR (rbt-baliL (paint RBT-Impl.R t1) a b t2) a' b' (MB t3 a'' b'' t4)*  
| *rbt-baldR t1 a b t2* = *MR t1 a b t2*

**fun** *rbt-app* :: ('a, 'b) *rbt* ⇒ ('a, 'b) *rbt* ⇒ ('a, 'b) *rbt* **where**  
*rbt-app RBT-Impl.Empty t* = *t*  
| *rbt-app t RBT-Impl.Empty* = *t*  
| *rbt-app (MR t1 a b t2) (MR t3 a'' b'' t4)* = (case *rbt-app t2 t3* of  
*MR u2 a' b' u3* ⇒ (*MR (MR t1 a b u2) a' b' (MR u3 a'' b'' t4)*)  
| *t23* ⇒ *MR t1 a b (MR t23 a'' b'' t4)*)  
| *rbt-app (MB t1 a b t2) (MB t3 a'' b'' t4)* = (case *rbt-app t2 t3* of  
*MR u2 a' b' u3* ⇒ *MR (MB t1 a b u2) a' b' (MB u3 a'' b'' t4)*  
| *t23* ⇒ *rbt-baldL t1 a b (MB t23 a'' b'' t4)*)  
| *rbt-app t1 (MR t2 a b t3)* = *MR (rbt-app t1 t2) a b t3*  
| *rbt-app (MR t1 a b t2) t3* = *MR t1 a b (rbt-app t2 t3)*

**fun** *rbt-joinL* :: ('a, 'b) *rbt* ⇒ 'a ⇒ 'b ⇒ ('a, 'b) *rbt* ⇒ ('a, 'b) *rbt* **where**  
*rbt-joinL l a b r* = (if *bheight l* ≥ *bheight r* then *MR l a b r*  
else case *r* of *MB l' a' b' r'* ⇒ *rbt-baliL (rbt-joinL l a b l') a' b' r'*  
| *MR l' a' b' r'* ⇒ *MR (rbt-joinL l a b l') a' b' r'*)

**declare** *rbt-joinL.simps[simp del]*

**fun** *rbt-joinR* :: ('a, 'b) *rbt* ⇒ 'a ⇒ 'b ⇒ ('a, 'b) *rbt* ⇒ ('a, 'b) *rbt* **where**  
*rbt-joinR l a b r* = (if *bheight l* ≤ *bheight r* then *MR l a b r*  
else case *l* of *MB l' a' b' r'* ⇒ *rbt-baliR l' a' b' (rbt-joinR r' a b r)*  
| *MR l' a' b' r'* ⇒ *MR l' a' b' (rbt-joinR r' a b r)*)

**declare** *rbt-joinR.simps[simp del]*

**definition** *rbt-join* :: ('a, 'b) *rbt* ⇒ 'a ⇒ 'b ⇒ ('a, 'b) *rbt* ⇒ ('a, 'b) *rbt* **where**  
*rbt-join l a b r* =  
(let *bhl* = *bheight l*; *bhr* = *bheight r*  
in if *bhl* > *bhr*  
then *paint RBT-Impl.B (rbt-joinR l a b r)*  
else if *bhl* < *bhr*  
then *paint RBT-Impl.B (rbt-joinL l a b r)*  
else *MB l a b r*)

**lemma** *size-paint[simp]*: *size (paint c t)* = *size t*  
⟨proof⟩

**lemma** *size-baliL[simp]*: *size (rbt-baliL t1 a b t2)* = *Suc (size t1 + size t2)*  
⟨proof⟩

**lemma** *size-baliR[simp]*:  $\text{size } (\text{rbt-baliR } t1 \ a \ b \ t2) = \text{Suc } (\text{size } t1 + \text{size } t2)$   
 ⟨proof⟩

**lemma** *size-baldL[simp]*:  $\text{size } (\text{rbt-baldL } t1 \ a \ b \ t2) = \text{Suc } (\text{size } t1 + \text{size } t2)$   
 ⟨proof⟩

**lemma** *size-baldR[simp]*:  $\text{size } (\text{rbt-baldR } t1 \ a \ b \ t2) = \text{Suc } (\text{size } t1 + \text{size } t2)$   
 ⟨proof⟩

**lemma** *size-rbt-app[simp]*:  $\text{size } (\text{rbt-app } t1 \ t2) = \text{size } t1 + \text{size } t2$   
 ⟨proof⟩

**lemma** *size-rbt-joinL[simp]*:  $\text{size } (\text{rbt-joinL } t1 \ a \ b \ t2) = \text{Suc } (\text{size } t1 + \text{size } t2)$   
 ⟨proof⟩

**lemma** *size-rbt-joinR[simp]*:  $\text{size } (\text{rbt-joinR } t1 \ a \ b \ t2) = \text{Suc } (\text{size } t1 + \text{size } t2)$   
 ⟨proof⟩

**lemma** *size-rbt-join[simp]*:  $\text{size } (\text{rbt-join } t1 \ a \ b \ t2) = \text{Suc } (\text{size } t1 + \text{size } t2)$   
 ⟨proof⟩

**definition** *inv-12*  $t \longleftrightarrow \text{inv1 } t \wedge \text{inv2 } t$

**lemma** *rbt-Node*:  $\text{inv-12 } (\text{RBT-Impl.Branch } c \ l \ a \ b \ r) \Longrightarrow \text{inv-12 } l \wedge \text{inv-12 } r$   
 ⟨proof⟩

**lemma** *paint2*:  $\text{paint } c2 \ (\text{paint } c1 \ t) = \text{paint } c2 \ t$   
 ⟨proof⟩

**lemma** *inv1-rbt-baliL*:  $\text{inv1 } l \Longrightarrow \text{inv1 } r \Longrightarrow \text{inv1 } (\text{rbt-baliL } l \ a \ b \ r)$   
 ⟨proof⟩

**lemma** *inv1-rbt-baliR*:  $\text{inv1 } l \Longrightarrow \text{inv1 } r \Longrightarrow \text{inv1 } (\text{rbt-baliR } l \ a \ b \ r)$   
 ⟨proof⟩

**lemma** *rbt-bheight-rbt-baliL*:  $\text{bheight } l = \text{bheight } r \Longrightarrow \text{bheight } (\text{rbt-baliL } l \ a \ b \ r) = \text{Suc } (\text{bheight } l)$   
 ⟨proof⟩

**lemma** *rbt-bheight-rbt-baliR*:  $\text{bheight } l = \text{bheight } r \Longrightarrow \text{bheight } (\text{rbt-baliR } l \ a \ b \ r) = \text{Suc } (\text{bheight } l)$   
 ⟨proof⟩

**lemma** *inv2-rbt-baliL*:  $\text{inv2 } l \Longrightarrow \text{inv2 } r \Longrightarrow \text{bheight } l = \text{bheight } r \Longrightarrow \text{inv2 } (\text{rbt-baliL } l \ a \ b \ r)$   
 ⟨proof⟩

**lemma** *inv2-rbt-baliR*:  $\text{inv2 } l \Longrightarrow \text{inv2 } r \Longrightarrow \text{bheight } l = \text{bheight } r \Longrightarrow \text{inv2 } (\text{rbt-baliR } l \ a \ b \ r)$

(*rbt-baliR*  $l a b r$ )  
 ⟨*proof*⟩

**lemma** *inv-rbt-baliR*:  $inv2\ l \implies inv2\ r \implies inv1\ l \implies inv1l\ r \implies bheight\ l = bheight\ r \implies$   
 $inv1\ (rbt-baliR\ l\ a\ b\ r) \wedge inv2\ (rbt-baliR\ l\ a\ b\ r) \wedge bheight\ (rbt-baliR\ l\ a\ b\ r) =$   
 $Suc\ (bheight\ l)$   
 ⟨*proof*⟩

**lemma** *inv-rbt-baliL*:  $inv2\ l \implies inv2\ r \implies inv1l\ l \implies inv1\ r \implies bheight\ l = bheight\ r \implies$   
 $inv1\ (rbt-baliL\ l\ a\ b\ r) \wedge inv2\ (rbt-baliL\ l\ a\ b\ r) \wedge bheight\ (rbt-baliL\ l\ a\ b\ r) =$   
 $Suc\ (bheight\ l)$   
 ⟨*proof*⟩

**lemma** *inv2-rbt-baldL-inv1*:  $inv2\ l \implies inv2\ r \implies bheight\ l + 1 = bheight\ r \implies$   
 $inv1\ r \implies$   
 $inv2\ (rbt-baldL\ l\ a\ b\ r) \wedge bheight\ (rbt-baldL\ l\ a\ b\ r) = bheight\ r$   
 ⟨*proof*⟩

**lemma** *inv2-rbt-baldL-B*:  $inv2\ l \implies inv2\ r \implies bheight\ l + 1 = bheight\ r \implies$   
 $color-of\ r = RBT-Impl.B \implies$   
 $inv2\ (rbt-baldL\ l\ a\ b\ r) \wedge bheight\ (rbt-baldL\ l\ a\ b\ r) = bheight\ r$   
 ⟨*proof*⟩

**lemma** *inv1-rbt-baldL*:  $inv1l\ l \implies inv1\ r \implies color-of\ r = RBT-Impl.B \implies inv1$   
 $(rbt-baldL\ l\ a\ b\ r)$   
 ⟨*proof*⟩

**lemma** *inv1ll*:  $inv1\ t \implies inv1l\ t$   
 ⟨*proof*⟩

**lemma** *neg-Black[simp]*:  $(c \neq RBT-Impl.B) = (c = RBT-Impl.R)$   
 ⟨*proof*⟩

**lemma** *inv1l-rbt-baldL*:  $inv1l\ l \implies inv1\ r \implies inv1l\ (rbt-baldL\ l\ a\ b\ r)$   
 ⟨*proof*⟩

**lemma** *inv2-rbt-baldR-inv1*:  $inv2\ l \implies inv2\ r \implies bheight\ l = bheight\ r + 1 \implies$   
 $inv1\ l \implies$   
 $inv2\ (rbt-baldR\ l\ a\ b\ r) \wedge bheight\ (rbt-baldR\ l\ a\ b\ r) = bheight\ l$   
 ⟨*proof*⟩

**lemma** *inv1-rbt-baldR*:  $inv1\ l \implies inv1l\ r \implies color-of\ l = RBT-Impl.B \implies inv1$   
 $(rbt-baldR\ l\ a\ b\ r)$   
 ⟨*proof*⟩

**lemma** *inv1l-rbt-baldR*:  $inv1\ l \implies inv1l\ r \implies inv1l\ (rbt-baldR\ l\ a\ b\ r)$   
 ⟨*proof*⟩

**lemma** *inv2-rbt-app*:  $inv2\ l \implies inv2\ r \implies bheight\ l = bheight\ r \implies$   
 $inv2\ (rbt\text{-}app\ l\ r) \wedge bheight\ (rbt\text{-}app\ l\ r) = bheight\ l$   
 ⟨proof⟩

**lemma** *inv1-rbt-app*:  $inv1\ l \implies inv1\ r \implies (color\text{-}of\ l = RBT\text{-}Impl.B \wedge$   
 $color\text{-}of\ r = RBT\text{-}Impl.B \longrightarrow inv1\ (rbt\text{-}app\ l\ r)) \wedge inv1l\ (rbt\text{-}app\ l\ r)$   
 ⟨proof⟩

**lemma** *inv-rbt-baldL*:  $inv2\ l \implies inv2\ r \implies bheight\ l + 1 = bheight\ r \implies inv1l\ l$   
 $\implies inv1\ r \implies$   
 $inv2\ (rbt\text{-}baldL\ l\ a\ b\ r) \wedge bheight\ (rbt\text{-}baldL\ l\ a\ b\ r) = bheight\ r \wedge$   
 $inv1l\ (rbt\text{-}baldL\ l\ a\ b\ r) \wedge (color\text{-}of\ r = RBT\text{-}Impl.B \longrightarrow inv1\ (rbt\text{-}baldL\ l\ a\ b$   
 $r))$   
 ⟨proof⟩

**lemma** *inv-rbt-baldR*:  $inv2\ l \implies inv2\ r \implies bheight\ l = bheight\ r + 1 \implies inv1l\ l$   
 $\implies inv1l\ r \implies$   
 $inv2\ (rbt\text{-}baldR\ l\ a\ b\ r) \wedge bheight\ (rbt\text{-}baldR\ l\ a\ b\ r) = bheight\ l \wedge$   
 $inv1l\ (rbt\text{-}baldR\ l\ a\ b\ r) \wedge (color\text{-}of\ l = RBT\text{-}Impl.B \longrightarrow inv1\ (rbt\text{-}baldR\ l\ a\ b$   
 $r))$   
 ⟨proof⟩

**lemma** *inv-rbt-app*:  $inv2\ l \implies inv2\ r \implies bheight\ l = bheight\ r \implies inv1\ l \implies$   
 $inv1\ r \implies$   
 $inv2\ (rbt\text{-}app\ l\ r) \wedge bheight\ (rbt\text{-}app\ l\ r) = bheight\ l \wedge$   
 $inv1l\ (rbt\text{-}app\ l\ r) \wedge (color\text{-}of\ l = RBT\text{-}Impl.B \wedge color\text{-}of\ r = RBT\text{-}Impl.B \longrightarrow$   
 $inv1\ (rbt\text{-}app\ l\ r))$   
 ⟨proof⟩

**lemma** *inv1l-rbt-joinL*:  $inv1\ l \implies inv1\ r \implies bheight\ l \leq bheight\ r \implies$   
 $inv1l\ (rbt\text{-}joinL\ l\ a\ b\ r) \wedge$   
 $(bheight\ l \neq bheight\ r \wedge color\text{-}of\ r = RBT\text{-}Impl.B \longrightarrow inv1\ (rbt\text{-}joinL\ l\ a\ b\ r))$   
 ⟨proof⟩

**lemma** *inv1l-rbt-joinR*:  $inv1\ l \implies inv2\ l \implies inv1\ r \implies inv2\ r \implies bheight\ l \geq$   
 $bheight\ r \implies$   
 $inv1l\ (rbt\text{-}joinR\ l\ a\ b\ r) \wedge$   
 $(bheight\ l \neq bheight\ r \wedge color\text{-}of\ l = RBT\text{-}Impl.B \longrightarrow inv1\ (rbt\text{-}joinR\ l\ a\ b\ r))$   
 ⟨proof⟩

**lemma** *bheight-rbt-joinL*:  $inv2\ l \implies inv2\ r \implies bheight\ l \leq bheight\ r \implies$   
 $bheight\ (rbt\text{-}joinL\ l\ a\ b\ r) = bheight\ r$   
 ⟨proof⟩

**lemma** *inv2-rbt-joinL*:  $inv2\ l \implies inv2\ r \implies bheight\ l \leq bheight\ r \implies inv2$   
 $(rbt\text{-}joinL\ l\ a\ b\ r)$   
 ⟨proof⟩

**lemma** *bheight-rbt-joinR*:  $inv2\ l \implies inv2\ r \implies bheight\ l \geq bheight\ r \implies$   
 $bheight\ (rbt-joinR\ l\ a\ b\ r) = bheight\ l$   
 ⟨proof⟩

**lemma** *inv2-rbt-joinR*:  $inv2\ l \implies inv2\ r \implies bheight\ l \geq bheight\ r \implies inv2$   
 $(rbt-joinR\ l\ a\ b\ r)$   
 ⟨proof⟩

**lemma** *keys-paint[simp]*:  $RBT-Impl.keys\ (paint\ c\ t) = RBT-Impl.keys\ t$   
 ⟨proof⟩

**lemma** *keys-rbt-baliL*:  $RBT-Impl.keys\ (rbt-baliL\ l\ a\ b\ r) = RBT-Impl.keys\ l\ @\ a$   
 $\# RBT-Impl.keys\ r$   
 ⟨proof⟩

**lemma** *keys-rbt-baliR*:  $RBT-Impl.keys\ (rbt-baliR\ l\ a\ b\ r) = RBT-Impl.keys\ l\ @\ a$   
 $\# RBT-Impl.keys\ r$   
 ⟨proof⟩

**lemma** *keys-rbt-baldL*:  $RBT-Impl.keys\ (rbt-baldL\ l\ a\ b\ r) = RBT-Impl.keys\ l\ @\ a$   
 $\# RBT-Impl.keys\ r$   
 ⟨proof⟩

**lemma** *keys-rbt-baldR*:  $RBT-Impl.keys\ (rbt-baldR\ l\ a\ b\ r) = RBT-Impl.keys\ l\ @\ a$   
 $\# RBT-Impl.keys\ r$   
 ⟨proof⟩

**lemma** *keys-rbt-app*:  $RBT-Impl.keys\ (rbt-app\ l\ r) = RBT-Impl.keys\ l\ @\ RBT-Impl.keys\ r$   
 ⟨proof⟩

**lemma** *keys-rbt-joinL*:  $bheight\ l \leq bheight\ r \implies$   
 $RBT-Impl.keys\ (rbt-joinL\ l\ a\ b\ r) = RBT-Impl.keys\ l\ @\ a\ \# RBT-Impl.keys\ r$   
 ⟨proof⟩

**lemma** *keys-rbt-joinR*:  $RBT-Impl.keys\ (rbt-joinR\ l\ a\ b\ r) = RBT-Impl.keys\ l\ @\ a$   
 $\# RBT-Impl.keys\ r$   
 ⟨proof⟩

**lemma** *rbt-set-rbt-baliL*:  $set\ (RBT-Impl.keys\ (rbt-baliL\ l\ a\ b\ r)) =$   
 $set\ (RBT-Impl.keys\ l) \cup \{a\} \cup set\ (RBT-Impl.keys\ r)$   
 ⟨proof⟩

**lemma** *set-rbt-joinL*:  $set\ (RBT-Impl.keys\ (rbt-joinL\ l\ a\ b\ r)) =$   
 $set\ (RBT-Impl.keys\ l) \cup \{a\} \cup set\ (RBT-Impl.keys\ r)$   
 ⟨proof⟩

**lemma** *rbt-set-rbt-baliR*:  $set\ (RBT-Impl.keys\ (rbt-baliR\ l\ a\ b\ r)) =$   
 $set\ (RBT-Impl.keys\ l) \cup \{a\} \cup set\ (RBT-Impl.keys\ r)$

*<proof>*

**lemma** *set-rbt-joinR*:  $\text{set } (RBT\text{-Impl.keys } (rbt\text{-joinR } l \ a \ b \ r)) =$   
 $\text{set } (RBT\text{-Impl.keys } l) \cup \{a\} \cup \text{set } (RBT\text{-Impl.keys } r)$   
*<proof>*

**lemma** *set-keys-paint*:  $\text{set } (RBT\text{-Impl.keys } (\text{paint } c \ t)) = \text{set } (RBT\text{-Impl.keys } t)$   
*<proof>*

**lemma** *set-rbt-join*:  $\text{set } (RBT\text{-Impl.keys } (rbt\text{-join } l \ a \ b \ r)) =$   
 $\text{set } (RBT\text{-Impl.keys } l) \cup \{a\} \cup \text{set } (RBT\text{-Impl.keys } r)$   
*<proof>*

**lemma** *inv-rbt-join*:  $\text{inv-12 } l \implies \text{inv-12 } r \implies \text{inv-12 } (rbt\text{-join } l \ a \ b \ r)$   
*<proof>*

**fun** *rbt-recolor* ::  $('a, 'b) \text{ rbt} \Rightarrow ('a, 'b) \text{ rbt}$  **where**  
 $\text{rbt-recolor } (Branch \ RBT\text{-Impl.R } t1 \ k \ v \ t2) =$   
 $(\text{if } \text{color-of } t1 = RBT\text{-Impl.B} \wedge \text{color-of } t2 = RBT\text{-Impl.B} \text{ then } Branch$   
 $RBT\text{-Impl.B } t1 \ k \ v \ t2$   
 $\text{else } Branch \ RBT\text{-Impl.R } t1 \ k \ v \ t2)$   
 $| \text{rbt-recolor } t = t$

**lemma** *rbt-recolor*:  $\text{inv-12 } t \implies \text{inv-12 } (rbt\text{-recolor } t)$   
*<proof>*

**fun** *rbt-split-min* ::  $('a, 'b) \text{ rbt} \Rightarrow 'a \times 'b \times ('a, 'b) \text{ rbt}$  **where**  
 $\text{rbt-split-min } RBT\text{-Impl.Empty} = \text{undefined}$   
 $| \text{rbt-split-min } (RBT\text{-Impl.Branch } - \ l \ a \ b \ r) =$   
 $(\text{if } \text{is-rbt-empty } l \text{ then } (a, b, r) \text{ else let } (a', b', l') = \text{rbt-split-min } l \text{ in } (a', b', rbt\text{-join}$   
 $l' \ a \ b \ r))$

**lemma** *rbt-split-min-set*:  
 $\text{rbt-split-min } t = (a, b, t') \implies t \neq RBT\text{-Impl.Empty} \implies$   
 $a \in \text{set } (RBT\text{-Impl.keys } t) \wedge \text{set } (RBT\text{-Impl.keys } t) = \{a\} \cup \text{set } (RBT\text{-Impl.keys } t')$   
*<proof>*

**lemma** *rbt-split-min-inv*:  $\text{rbt-split-min } t = (a, b, t') \implies \text{inv-12 } t \implies t \neq RBT\text{-Impl.Empty}$   
 $\implies \text{inv-12 } t'$   
*<proof>*

**definition** *rbt-join2* ::  $('a, 'b) \text{ rbt} \Rightarrow ('a, 'b) \text{ rbt} \Rightarrow ('a, 'b) \text{ rbt}$  **where**  
 $\text{rbt-join2 } l \ r = (\text{if } \text{is-rbt-empty } r \text{ then } l \text{ else let } (a, b, r') = \text{rbt-split-min } r \text{ in } rbt\text{-join}$   
 $l \ a \ b \ r')$

**lemma** *set-rbt-join2[simp]*:  $\text{set } (RBT\text{-Impl.keys } (rbt\text{-join2 } l \ r)) =$   
 $\text{set } (RBT\text{-Impl.keys } l) \cup \text{set } (RBT\text{-Impl.keys } r)$   
*<proof>*

**lemma** *inv-rbt-join2*:  $inv-12\ l \implies inv-12\ r \implies inv-12\ (rbt-join2\ l\ r)$   
 ⟨proof⟩

**context** *ord* **begin**

**fun** *rbt-split* ::  $('a, 'b)\ rbt \Rightarrow 'a \Rightarrow ('a, 'b)\ rbt \times 'b\ option \times ('a, 'b)\ rbt$  **where**  
*rbt-split* *RBT-Impl.Empty* *k* = (*RBT-Impl.Empty*, *None*, *RBT-Impl.Empty*)  
 | *rbt-split* (*RBT-Impl.Branch* - *l a b r*) *x* =  
 (if  $x < a$  then (case *rbt-split* *l x* of (*l1*,  $\beta$ , *l2*)  $\Rightarrow$  (*l1*,  $\beta$ , *rbt-join* *l2 a b r*))  
 else if  $a < x$  then (case *rbt-split* *r x* of (*r1*,  $\beta$ , *r2*)  $\Rightarrow$  (*rbt-join* *l a b r1*,  $\beta$ , *r2*))  
 else (*l*, *Some b*, *r*))

**lemma** *rbt-split*:  $rbt-split\ t\ x = (l, \beta, r) \implies inv-12\ t \implies inv-12\ l \wedge inv-12\ r$   
 ⟨proof⟩

**lemma** *rbt-split-size*:  $(l2, \beta, r2) = rbt-split\ t2\ a \implies size\ l2 + size\ r2 \leq size\ t2$   
 ⟨proof⟩

**function** *rbt-union-rec* ::  $('a \Rightarrow 'b \Rightarrow 'b \Rightarrow 'b) \Rightarrow ('a, 'b)\ rbt \Rightarrow ('a, 'b)\ rbt \Rightarrow ('a, 'b)\ rbt$  **where**  
*rbt-union-rec* *f t1 t2* = (let (*f*, *t2*, *t1*) =  
 if *flip-rbt* *t2 t1* then  $(\lambda k\ v\ v'.\ f\ k\ v'\ v, t1, t2)$  else (*f*, *t2*, *t1*) in  
 if *small-rbt* *t2* then *RBT-Impl.fold* (*rbt-insert-with-key* *f*) *t2 t1*  
 else (case *t1* of *RBT-Impl.Empty*  $\Rightarrow$  *t2*  
 | *RBT-Impl.Branch* - *l1 a b r1*  $\Rightarrow$   
 case *rbt-split* *t2 a* of (*l2*,  $\beta$ , *r2*)  $\Rightarrow$   
*rbt-join* (*rbt-union-rec* *f l1 l2*) *a* (case  $\beta$  of *None*  $\Rightarrow$  *b* | *Some b'*  $\Rightarrow$  *f a b b'*) (*rbt-union-rec* *f r1 r2*)))  
 ⟨proof⟩

**termination**  
 ⟨proof⟩

**declare** *rbt-union-rec.simps*[*simp del*]

**function** *rbt-union-swap-rec* ::  $('a \Rightarrow 'b \Rightarrow 'b \Rightarrow 'b) \Rightarrow bool \Rightarrow ('a, 'b)\ rbt \Rightarrow ('a, 'b)\ rbt \Rightarrow ('a, 'b)\ rbt$  **where**  
*rbt-union-swap-rec* *f*  $\gamma$  *t1 t2* = (let ( $\gamma$ , *t2*, *t1*) =  
 if *flip-rbt* *t2 t1* then  $(\neg\gamma, t1, t2)$  else ( $\gamma, t2, t1$ );  
*f'* = (if  $\gamma$  then  $(\lambda k\ v\ v'.\ f\ k\ v'\ v)$  else *f*) in  
 if *small-rbt* *t2* then *RBT-Impl.fold* (*rbt-insert-with-key* *f'*) *t2 t1*  
 else (case *t1* of *RBT-Impl.Empty*  $\Rightarrow$  *t2*  
 | *RBT-Impl.Branch* - *l1 a b r1*  $\Rightarrow$   
 case *rbt-split* *t2 a* of (*l2*,  $\beta$ , *r2*)  $\Rightarrow$   
*rbt-join* (*rbt-union-swap-rec* *f*  $\gamma$  *l1 l2*) *a* (case  $\beta$  of *None*  $\Rightarrow$  *b* | *Some b'*  $\Rightarrow$  *f' a b b'*) (*rbt-union-swap-rec* *f*  $\gamma$  *r1 r2*)))  
 ⟨proof⟩

**termination**  
 ⟨proof⟩



**declare** *rbt-union-swap-rec.simps*[*simp del*]

**lemma** *rbt-union-swap-rec*: *rbt-union-swap-rec* *f*  $\gamma$  *t1* *t2* =  
*rbt-union-rec* (if  $\gamma$  then  $(\lambda k v v'. f k v' v)$  else *f*) *t1* *t2*  
 ⟨*proof*⟩

**lemma** *rbt-fold-rbt-insert*:  
**assumes** *inv-12* *t2*  
**shows** *inv-12* (*RBT-Impl.fold* (*rbt-insert-with-key* *f*) *t1* *t2*)  
 ⟨*proof*⟩

**lemma** *rbt-union-rec*: *inv-12* *t1*  $\implies$  *inv-12* *t2*  $\implies$  *inv-12* (*rbt-union-rec* *f* *t1* *t2*)  
 ⟨*proof*⟩

**definition** *map-filter-inter* *f* *t1* *t2* = *List.map-filter*  $(\lambda(k, v).$   
*case* *rbt-lookup* *t1* *k* of *None*  $\implies$  *None*  
 | *Some* *v'*  $\implies$  *Some*  $(k, f k v' v)$ ) (*RBT-Impl.entries* *t2*)

**function** *rbt-inter-rec* ::  $('a \implies 'b \implies 'b \implies 'b) \implies ('a, 'b) \text{rbt} \implies ('a, 'b) \text{rbt} \implies ('a, 'b) \text{rbt}$  **where**  
*rbt-inter-rec* *f* *t1* *t2* = (let (*f*, *t2*, *t1*) =  
 if *flip-rbt* *t2* *t1* then  $(\lambda k v v'. f k v' v, t1, t2)$  else (*f*, *t2*, *t1*) in  
 if *small-rbt* *t2* then *rbtreeify* (*map-filter-inter* *f* *t1* *t2*)  
 else case *t1* of *RBT-Impl.Empty*  $\implies$  *RBT-Impl.Empty*  
 | *RBT-Impl.Branch* - *l1* *a* *b* *r1*  $\implies$   
 case *rbt-split* *t2* *a* of (*l2*,  $\beta$ , *r2*)  $\implies$  let *l'* = *rbt-inter-rec* *f* *l1* *l2*; *r'* = *rbt-inter-rec*  
*f* *r1* *r2* in  
 (case  $\beta$  of *None*  $\implies$  *rbt-join2* *l'* *r'* | *Some* *b'*  $\implies$  *rbt-join* *l'* *a* (*f* *a* *b* *b'*) *r'*)  
 ⟨*proof*⟩

**termination**  
 ⟨*proof*⟩

**declare** *rbt-inter-rec.simps*[*simp del*]

**function** *rbt-inter-swap-rec* ::  $('a \implies 'b \implies 'b \implies 'b) \implies \text{bool} \implies ('a, 'b) \text{rbt} \implies ('a, 'b) \text{rbt} \implies ('a, 'b) \text{rbt}$  **where**  
*rbt-inter-swap-rec* *f*  $\gamma$  *t1* *t2* = (let ( $\gamma$ , *t2*, *t1*) =  
 if *flip-rbt* *t2* *t1* then  $(\neg\gamma, t1, t2)$  else ( $\gamma, t2, t1$ );  
*f'* = (if  $\gamma$  then  $(\lambda k v v'. f k v' v)$  else *f*) in  
 if *small-rbt* *t2* then *rbtreeify* (*map-filter-inter* *f'* *t1* *t2*)  
 else case *t1* of *RBT-Impl.Empty*  $\implies$  *RBT-Impl.Empty*  
 | *RBT-Impl.Branch* - *l1* *a* *b* *r1*  $\implies$   
 case *rbt-split* *t2* *a* of (*l2*,  $\beta$ , *r2*)  $\implies$  let *l'* = *rbt-inter-swap-rec* *f*  $\gamma$  *l1* *l2*; *r'* =  
*rbt-inter-swap-rec* *f*  $\gamma$  *r1* *r2* in  
 (case  $\beta$  of *None*  $\implies$  *rbt-join2* *l'* *r'* | *Some* *b'*  $\implies$  *rbt-join* *l'* *a* (*f'* *a* *b* *b'*) *r'*)  
 ⟨*proof*⟩

**termination**  
 ⟨*proof*⟩

**declare** *rbt-inter-swap-rec.simps*[simp del]

**lemma** *rbt-inter-swap-rec*: *rbt-inter-swap-rec* *f*  $\gamma$  *t1* *t2* =  
*rbt-inter-rec* (if  $\gamma$  then  $(\lambda k v v'. f k v' v)$  else *f*) *t1* *t2*  
 ⟨proof⟩

**lemma** *rbt-rbtreeify*[simp]: *inv-12* (*rbtreeify* *kvs*)  
 ⟨proof⟩

**lemma** *rbt-inter-rec*: *inv-12* *t1*  $\implies$  *inv-12* *t2*  $\implies$  *inv-12* (*rbt-inter-rec* *f* *t1* *t2*)  
 ⟨proof⟩

**definition** *filter-minus* *t1* *t2* = *filter* ( $\lambda(k, -). \text{rbt-lookup } t2 \ k = \text{None}$ ) (*RBT-Impl.entries* *t1*)

**fun** *rbt-minus-rec* :: ('a, 'b) *rbt*  $\implies$  ('a, 'b) *rbt*  $\implies$  ('a, 'b) *rbt* **where**  
*rbt-minus-rec* *t1* *t2* = (if *small-rbt* *t2* then *RBT-Impl.fold* ( $\lambda k - t. \text{rbt-delete } k \ t$ )  
*t2* *t1*  
 else if *small-rbt* *t1* then *rbtreeify* (*filter-minus* *t1* *t2*)  
 else case *t2* of *RBT-Impl.Empty*  $\implies$  *t1*  
 | *RBT-Impl.Branch* - *l2* *a* *b* *r2*  $\implies$   
 case *rbt-split* *t1* *a* of (*l1*, -, *r1*)  $\implies$  *rbt-join2* (*rbt-minus-rec* *l1* *l2*) (*rbt-minus-rec*  
*r1* *r2*))

**declare** *rbt-minus-rec.simps*[simp del]

**end**

**context** *linorder* **begin**

**lemma** *rbt-sorted-entries-right-unique*:  
 $\llbracket (k, v) \in \text{set } (\text{entries } t); (k, v') \in \text{set } (\text{entries } t);$   
 $\text{rbt-sorted } t \rrbracket \implies v = v'$   
 ⟨proof⟩

**lemma** *rbt-sorted-fold-rbt-insertwk*:  
*rbt-sorted* *t*  $\implies$  *rbt-sorted* (*List.fold* ( $\lambda(k, v). \text{rbt-insert-with-key } f \ k \ v$ ) *xs* *t*)  
 ⟨proof⟩

**lemma** *is-rbt-fold-rbt-insertwk*:  
**assumes** *is-rbt* *t1*  
**shows** *is-rbt* (*fold* (*rbt-insert-with-key* *f*) *t2* *t1*)  
 ⟨proof⟩

**lemma** *rbt-delete*: *inv-12* *t*  $\implies$  *inv-12* (*rbt-delete* *x* *t*)  
 ⟨proof⟩

**lemma** *rbt-sorted-delete*: *rbt-sorted* *t*  $\implies$  *rbt-sorted* (*rbt-delete* *x* *t*)

*<proof>*

**lemma** *rbt-fold-rbt-delete*:

**assumes** *inv-12 t2*

**shows** *inv-12 (RBT-Impl.fold ( $\lambda k - t.$  rbt-delete  $k t$ ) t1 t2)*

*<proof>*

**lemma** *rbt-minus-rec*: *inv-12 t1  $\implies$  inv-12 t2  $\implies$  inv-12 (rbt-minus-rec t1 t2)*

*<proof>*

**end**

**context** *linorder begin*

**lemma** *rbt-sorted-rbt-baliL*: *rbt-sorted l  $\implies$  rbt-sorted r  $\implies$  l |« a  $\implies$  a «| r  $\implies$  rbt-sorted (rbt-baliL l a b r)*

*<proof>*

**lemma** *rbt-lookup-rbt-baliL*: *rbt-sorted l  $\implies$  rbt-sorted r  $\implies$  l |« a  $\implies$  a «| r  $\implies$  rbt-lookup (rbt-baliL l a b r) k =*

*(if k < a then rbt-lookup l k else if k = a then Some b else rbt-lookup r k)*

*<proof>*

**lemma** *rbt-sorted-rbt-baliR*: *rbt-sorted l  $\implies$  rbt-sorted r  $\implies$  l |« a  $\implies$  a «| r  $\implies$  rbt-sorted (rbt-baliR l a b r)*

*<proof>*

**lemma** *rbt-lookup-rbt-baliR*: *rbt-sorted l  $\implies$  rbt-sorted r  $\implies$  l |« a  $\implies$  a «| r  $\implies$  rbt-lookup (rbt-baliR l a b r) k =*

*(if k < a then rbt-lookup l k else if k = a then Some b else rbt-lookup r k)*

*<proof>*

**lemma** *rbt-sorted-rbt-joinL*: *rbt-sorted (RBT-Impl.Branch c l a b r)  $\implies$  bheight l  $\leq$  bheight r  $\implies$*

*rbt-sorted (rbt-joinL l a b r)*

*<proof>*

**lemma** *rbt-lookup-rbt-joinL*: *rbt-sorted l  $\implies$  rbt-sorted r  $\implies$  l |« a  $\implies$  a «| r  $\implies$  rbt-lookup (rbt-joinL l a b r) k =*

*(if k < a then rbt-lookup l k else if k = a then Some b else rbt-lookup r k)*

*<proof>*

**lemma** *rbt-sorted-rbt-joinR*: *rbt-sorted l  $\implies$  rbt-sorted r  $\implies$  l |« a  $\implies$  a «| r  $\implies$  rbt-sorted (rbt-joinR l a b r)*

*<proof>*

**lemma** *rbt-lookup-rbt-joinR*: *rbt-sorted l  $\implies$  rbt-sorted r  $\implies$  l |« a  $\implies$  a «| r  $\implies$*

*rbt-lookup (rbt-joinR l a b r) k =*

(if  $k < a$  then  $\text{rbt-lookup } l \ k$  else if  $k = a$  then  $\text{Some } b$  else  $\text{rbt-lookup } r \ k$ )  
 ⟨proof⟩

**lemma** *rbt-sorted-paint*:  $\text{rbt-sorted } (\text{paint } c \ t) = \text{rbt-sorted } t$   
 ⟨proof⟩

**lemma** *rbt-sorted-rbt-join*:  $\text{rbt-sorted } (\text{RBT-Impl.Branch } c \ l \ a \ b \ r) \implies$   
 $\text{rbt-sorted } (\text{rbt-join } l \ a \ b \ r)$   
 ⟨proof⟩

**lemma** *rbt-lookup-rbt-join*:  $\text{rbt-sorted } l \implies \text{rbt-sorted } r \implies l \ll a \implies a \ll r \implies$   
 $\text{rbt-lookup } (\text{rbt-join } l \ a \ b \ r) \ k =$   
 (if  $k < a$  then  $\text{rbt-lookup } l \ k$  else if  $k = a$  then  $\text{Some } b$  else  $\text{rbt-lookup } r \ k$ )  
 ⟨proof⟩

**lemma** *rbt-split-min-rbt-sorted*:  $\text{rbt-split-min } t = (a, b, t') \implies \text{rbt-sorted } t \implies t \neq$   
 $\text{RBT-Impl.Empty} \implies$   
 $\text{rbt-sorted } t' \wedge (\forall x \in \text{set } (\text{RBT-Impl.keys } t'). \ a < x)$   
 ⟨proof⟩

**lemma** *rbt-split-min-rbt-lookup*:  $\text{rbt-split-min } t = (a, b, t') \implies \text{rbt-sorted } t \implies t \neq$   
 $\text{RBT-Impl.Empty} \implies$   
 $\text{rbt-lookup } t \ k = (\text{if } k < a \text{ then } \text{None} \text{ else if } k = a \text{ then } \text{Some } b \text{ else } \text{rbt-lookup } t' \ k)$   
 ⟨proof⟩

**lemma** *rbt-sorted-rbt-join2*:  $\text{rbt-sorted } l \implies \text{rbt-sorted } r \implies$   
 $\forall x \in \text{set } (\text{RBT-Impl.keys } l). \ \forall y \in \text{set } (\text{RBT-Impl.keys } r). \ x < y \implies \text{rbt-sorted}$   
 $(\text{rbt-join2 } l \ r)$   
 ⟨proof⟩

**lemma** *rbt-lookup-rbt-join2*:  $\text{rbt-sorted } l \implies \text{rbt-sorted } r \implies$   
 $\forall x \in \text{set } (\text{RBT-Impl.keys } l). \ \forall y \in \text{set } (\text{RBT-Impl.keys } r). \ x < y \implies$   
 $\text{rbt-lookup } (\text{rbt-join2 } l \ r) \ k = (\text{case } \text{rbt-lookup } l \ k \text{ of } \text{None} \Rightarrow \text{rbt-lookup } r \ k \mid \text{Some}$   
 $v \Rightarrow \text{Some } v)$   
 ⟨proof⟩

**lemma** *rbt-split-props*:  $\text{rbt-split } t \ x = (l, \beta, r) \implies \text{rbt-sorted } t \implies$   
 $\text{set } (\text{RBT-Impl.keys } l) = \{a \in \text{set } (\text{RBT-Impl.keys } t). \ a < x\} \wedge$   
 $\text{set } (\text{RBT-Impl.keys } r) = \{a \in \text{set } (\text{RBT-Impl.keys } t). \ x < a\} \wedge$   
 $\text{rbt-sorted } l \wedge \text{rbt-sorted } r$   
 ⟨proof⟩

**lemma** *rbt-split-lookup*:  $\text{rbt-split } t \ x = (l, \beta, r) \implies \text{rbt-sorted } t \implies$   
 $\text{rbt-lookup } t \ k = (\text{if } k < x \text{ then } \text{rbt-lookup } l \ k \text{ else if } k = x \text{ then } \beta \text{ else } \text{rbt-lookup}$   
 $r \ k)$   
 ⟨proof⟩

**lemma** *rbt-sorted-fold-insertwk*:  $\text{rbt-sorted } t \implies$

*rbt-sorted* (*RBT-Impl.fold* (*rbt-insert-with-key* *f*) *t' t*)  
 ⟨*proof*⟩

**lemma** *rbt-lookup-iff-keys*:

*rbt-sorted* *t*  $\implies$  *set* (*RBT-Impl.keys* *t*) = {*k*.  $\exists v$ . *rbt-lookup* *t* *k* = *Some* *v*}  
*rbt-sorted* *t*  $\implies$  *rbt-lookup* *t* *k* = *None*  $\longleftrightarrow$  *k*  $\notin$  *set* (*RBT-Impl.keys* *t*)  
*rbt-sorted* *t*  $\implies$  ( $\exists v$ . *rbt-lookup* *t* *k* = *Some* *v*)  $\longleftrightarrow$  *k*  $\in$  *set* (*RBT-Impl.keys* *t*)  
 ⟨*proof*⟩

**lemma** *rbt-lookup-fold-rbt-insertwk*:

**assumes** *t1*: *rbt-sorted* *t1* **and** *t2*: *rbt-sorted* *t2*  
**shows** *rbt-lookup* (*fold* (*rbt-insert-with-key* *f*) *t1 t2*) *k* =  
 (case *rbt-lookup* *t1* *k* of *None*  $\implies$  *rbt-lookup* *t2* *k*  
 | *Some* *v*  $\implies$  case *rbt-lookup* *t2* *k* of *None*  $\implies$  *Some* *v*  
 | *Some* *w*  $\implies$  *Some* (*f* *k* *w* *v*))  
 ⟨*proof*⟩

**lemma** *rbt-lookup-union-rec*: *rbt-sorted* *t1*  $\implies$  *rbt-sorted* *t2*  $\implies$

*rbt-sorted* (*rbt-union-rec* *f* *t1 t2*)  $\wedge$  *rbt-lookup* (*rbt-union-rec* *f* *t1 t2*) *k* =  
 (case *rbt-lookup* *t1* *k* of *None*  $\implies$  *rbt-lookup* *t2* *k*  
 | *Some* *v*  $\implies$  (case *rbt-lookup* *t2* *k* of *None*  $\implies$  *Some* *v*  
 | *Some* *w*  $\implies$  *Some* (*f* *k* *v* *w*)))  
 ⟨*proof*⟩

**lemma** *rbtreeify-map-filter-inter*:

**fixes** *f* :: 'a  $\implies$  'b  $\implies$  'b  $\implies$  'b  
**assumes** *rbt-sorted* *t2*  
**shows** *rbt-sorted* (*rbtreeify* (*map-filter-inter* *f* *t1 t2*))  
*rbt-lookup* (*rbtreeify* (*map-filter-inter* *f* *t1 t2*)) *k* =  
 (case *rbt-lookup* *t1* *k* of *None*  $\implies$  *None*  
 | *Some* *v*  $\implies$  (case *rbt-lookup* *t2* *k* of *None*  $\implies$  *None* | *Some* *w*  $\implies$  *Some* (*f* *k* *v* *w*)))  
 ⟨*proof*⟩

**lemma** *rbt-lookup-inter-rec*: *rbt-sorted* *t1*  $\implies$  *rbt-sorted* *t2*  $\implies$

*rbt-sorted* (*rbt-inter-rec* *f* *t1 t2*)  $\wedge$  *rbt-lookup* (*rbt-inter-rec* *f* *t1 t2*) *k* =  
 (case *rbt-lookup* *t1* *k* of *None*  $\implies$  *None*  
 | *Some* *v*  $\implies$  (case *rbt-lookup* *t2* *k* of *None*  $\implies$  *None* | *Some* *w*  $\implies$  *Some* (*f* *k* *v* *w*)))  
 ⟨*proof*⟩

**lemma** *rbt-lookup-delete*:

**assumes** *inv-12* *t* *rbt-sorted* *t*  
**shows** *rbt-lookup* (*rbt-delete* *x* *t*) *k* = (if *x* = *k* then *None* else *rbt-lookup* *t* *k*)  
 ⟨*proof*⟩

**lemma** *fold-rbt-delete*:

**assumes** *inv-12* *t1* *rbt-sorted* *t1* *rbt-sorted* *t2*  
**shows** *inv-12* (*RBT-Impl.fold* ( $\lambda k$  - *t*. *rbt-delete* *k* *t*) *t2* *t1*)  $\wedge$   
*rbt-sorted* (*RBT-Impl.fold* ( $\lambda k$  - *t*. *rbt-delete* *k* *t*) *t2* *t1*)  $\wedge$

$\text{rbt-lookup } (\text{RBT-Impl.fold } (\lambda k - t. \text{rbt-delete } k t) t2 t1) k =$   
 $(\text{case rbt-lookup } t1 k \text{ of } \text{None} \Rightarrow \text{None}$   
 $| \text{Some } v \Rightarrow (\text{case rbt-lookup } t2 k \text{ of } \text{None} \Rightarrow \text{Some } v | - \Rightarrow \text{None}))$   
 ⟨proof⟩

**lemma** *rbtreeify-filter-minus:*

**assumes** *rbt-sorted*  $t1$

**shows** *rbt-sorted*  $(\text{rbtreeify } (\text{filter-minus } t1 t2)) \wedge$

$\text{rbt-lookup } (\text{rbtreeify } (\text{filter-minus } t1 t2)) k =$

$(\text{case rbt-lookup } t1 k \text{ of } \text{None} \Rightarrow \text{None}$

$| \text{Some } v \Rightarrow (\text{case rbt-lookup } t2 k \text{ of } \text{None} \Rightarrow \text{Some } v | - \Rightarrow \text{None}))$

⟨proof⟩

**lemma** *rbt-lookup-minus-rec:*  $\text{inv-12 } t1 \Longrightarrow \text{rbt-sorted } t1 \Longrightarrow \text{rbt-sorted } t2 \Longrightarrow$

$\text{rbt-sorted } (\text{rbt-minus-rec } t1 t2) \wedge \text{rbt-lookup } (\text{rbt-minus-rec } t1 t2) k =$

$(\text{case rbt-lookup } t1 k \text{ of } \text{None} \Rightarrow \text{None}$

$| \text{Some } v \Rightarrow (\text{case rbt-lookup } t2 k \text{ of } \text{None} \Rightarrow \text{Some } v | - \Rightarrow \text{None}))$

⟨proof⟩

**end**

**context** *ord* **begin**

**definition** *rbt-union-with-key* ::  $('a \Rightarrow 'b \Rightarrow 'b \Rightarrow 'b) \Rightarrow ('a, 'b) \text{rbt} \Rightarrow ('a, 'b) \text{rbt}$   
 $\Rightarrow ('a, 'b) \text{rbt}$

**where**

$\text{rbt-union-with-key } f t1 t2 = \text{paint } B (\text{rbt-union-swap-rec } f \text{False } t1 t2)$

**definition** *rbt-union-with* **where**

$\text{rbt-union-with } f = \text{rbt-union-with-key } (\lambda-. f)$

**definition** *rbt-union* **where**

$\text{rbt-union} = \text{rbt-union-with-key } (\% - - \text{rv. rv})$

**definition** *rbt-inter-with-key* ::  $('a \Rightarrow 'b \Rightarrow 'b \Rightarrow 'b) \Rightarrow ('a, 'b) \text{rbt} \Rightarrow ('a, 'b) \text{rbt}$   
 $\Rightarrow ('a, 'b) \text{rbt}$

**where**

$\text{rbt-inter-with-key } f t1 t2 = \text{paint } B (\text{rbt-inter-swap-rec } f \text{False } t1 t2)$

**definition** *rbt-inter-with* **where**

$\text{rbt-inter-with } f = \text{rbt-inter-with-key } (\lambda-. f)$

**definition** *rbt-inter* **where**

$\text{rbt-inter} = \text{rbt-inter-with-key } (\lambda- - \text{rv. rv})$

**definition** *rbt-minus* **where**

$\text{rbt-minus } t1 t2 = \text{paint } B (\text{rbt-minus-rec } t1 t2)$

**end**

**context** *linorder* **begin**

**lemma** *is-rbt-rbt-unionwk* [*simp*]:

$\llbracket \text{is-rbt } t1; \text{is-rbt } t2 \rrbracket \implies \text{is-rbt } (\text{rbt-union-with-key } f \ t1 \ t2)$   
 $\langle \text{proof} \rangle$

**lemma** *rbt-lookup-rbt-unionwk*:

$\llbracket \text{rbt-sorted } t1; \text{rbt-sorted } t2 \rrbracket$   
 $\implies \text{rbt-lookup } (\text{rbt-union-with-key } f \ t1 \ t2) \ k =$   
 $(\text{case } \text{rbt-lookup } t1 \ k \ \text{of } \text{None} \Rightarrow \text{rbt-lookup } t2 \ k$   
 $\quad | \ \text{Some } v \Rightarrow \text{case } \text{rbt-lookup } t2 \ k \ \text{of } \text{None} \Rightarrow \text{Some } v$   
 $\quad \quad | \ \text{Some } w \Rightarrow \text{Some } (f \ k \ v \ w))$   
 $\langle \text{proof} \rangle$

**lemma** *rbt-unionw-is-rbt*:  $\llbracket \text{is-rbt } lt; \text{is-rbt } rt \rrbracket \implies \text{is-rbt } (\text{rbt-union-with } f \ lt \ rt)$

$\langle \text{proof} \rangle$

**lemma** *rbt-union-is-rbt*:  $\llbracket \text{is-rbt } lt; \text{is-rbt } rt \rrbracket \implies \text{is-rbt } (\text{rbt-union } lt \ rt)$

$\langle \text{proof} \rangle$

**lemma** *rbt-lookup-rbt-union*:

$\llbracket \text{rbt-sorted } s; \text{rbt-sorted } t \rrbracket \implies$   
 $\text{rbt-lookup } (\text{rbt-union } s \ t) = \text{rbt-lookup } s \ ++ \ \text{rbt-lookup } t$   
 $\langle \text{proof} \rangle$

**lemma** *rbt-interwk-is-rbt* [*simp*]:

$\llbracket \text{is-rbt } t1; \text{is-rbt } t2 \rrbracket \implies \text{is-rbt } (\text{rbt-inter-with-key } f \ t1 \ t2)$   
 $\langle \text{proof} \rangle$

**lemma** *rbt-interw-is-rbt*:

$\llbracket \text{is-rbt } t1; \text{is-rbt } t2 \rrbracket \implies \text{is-rbt } (\text{rbt-inter-with } f \ t1 \ t2)$   
 $\langle \text{proof} \rangle$

**lemma** *rbt-inter-is-rbt*:

$\llbracket \text{is-rbt } t1; \text{is-rbt } t2 \rrbracket \implies \text{is-rbt } (\text{rbt-inter } t1 \ t2)$   
 $\langle \text{proof} \rangle$

**lemma** *rbt-lookup-rbt-interwk*:

$\llbracket \text{rbt-sorted } t1; \text{rbt-sorted } t2 \rrbracket$   
 $\implies \text{rbt-lookup } (\text{rbt-inter-with-key } f \ t1 \ t2) \ k =$   
 $(\text{case } \text{rbt-lookup } t1 \ k \ \text{of } \text{None} \Rightarrow \text{None}$   
 $\quad | \ \text{Some } v \Rightarrow \text{case } \text{rbt-lookup } t2 \ k \ \text{of } \text{None} \Rightarrow \text{None}$   
 $\quad \quad | \ \text{Some } w \Rightarrow \text{Some } (f \ k \ v \ w))$   
 $\langle \text{proof} \rangle$

**lemma** *rbt-lookup-rbt-inter*:

$\llbracket \text{rbt-sorted } t1; \text{rbt-sorted } t2 \rrbracket$   
 $\implies \text{rbt-lookup } (\text{rbt-inter } t1 \ t2) = \text{rbt-lookup } t2 \ |' \ \text{dom } (\text{rbt-lookup } t1)$

*<proof>*

**lemma** *rbt-minus-is-rbt*:

$\llbracket \text{is-rbt } t1; \text{is-rbt } t2 \rrbracket \implies \text{is-rbt } (\text{rbt-minus } t1 \ t2)$   
*<proof>*

**lemma** *rbt-lookup-rbt-minus*:

$\llbracket \text{is-rbt } t1; \text{is-rbt } t2 \rrbracket$   
 $\implies \text{rbt-lookup } (\text{rbt-minus } t1 \ t2) = \text{rbt-lookup } t1 \ |' \ (- \ \text{dom } (\text{rbt-lookup } t2))$   
*<proof>*

**end**

## 129.11 Code generator setup

**lemmas** [*code*] =

*ord.rbt-less-prop*  
*ord.rbt-greater-prop*  
*ord.rbt-sorted.simps*  
*ord.rbt-lookup.simps*  
*ord.is-rbt-def*  
*ord.rbt-ins.simps*  
*ord.rbt-insert-with-key-def*  
*ord.rbt-insertw-def*  
*ord.rbt-insert-def*  
*ord.rbt-del-from-left.simps*  
*ord.rbt-del-from-right.simps*  
*ord.rbt-del.simps*  
*ord.rbt-delete-def*  
*ord.rbt-split.simps*  
*ord.rbt-union-swap-rec.simps*  
*ord.map-filter-inter-def*  
*ord.rbt-inter-swap-rec.simps*  
*ord.filter-minus-def*  
*ord.rbt-minus-rec.simps*  
*ord.rbt-union-with-key-def*  
*ord.rbt-union-with-def*  
*ord.rbt-union-def*  
*ord.rbt-inter-with-key-def*  
*ord.rbt-inter-with-def*  
*ord.rbt-inter-def*  
*ord.rbt-minus-def*  
*ord.rbt-map-entry.simps*  
*ord.rbt-bulkload-def*

More efficient implementations for *entries* and *keys*

**definition** *gen-entries* ::

$(( 'a \times 'b) \times ( 'a, 'b) \text{ rbt}) \text{ list} \Rightarrow ( 'a, 'b) \text{ rbt} \Rightarrow ( 'a \times 'b) \text{ list}$

**where**

*gen-entries* *kvts* *t* = *entries* *t* @ *concat* (*map* ( $\lambda(kv, t). kv \# \text{entries } t$ ) *kvts*)



**lemma** *gen-entries-simps* [*simp*, *code*]:

```

  gen-entries [] Empty = []
  gen-entries ((kv, t) # kvs) Empty = kv # gen-entries kvs t
  gen-entries kvs (Branch c l k v r) = gen-entries (((k, v), r) # kvs) l
⟨proof⟩

```

**lemma** *entries-code* [*code*]:

```

  entries = gen-entries []
⟨proof⟩

```

**definition** *gen-keys* :: (*'a* × (*'a*, *'b*) *rbt*) *list* ⇒ (*'a*, *'b*) *rbt* ⇒ *'a list*

**where** *gen-keys* *kts* *t* = *RBT-Impl.keys* *t* @ *concat* (*List.map* ( $\lambda(k, t). k \# \text{keys } t$ ) *kts*)

**lemma** *gen-keys-simps* [*simp*, *code*]:

```

  gen-keys [] Empty = []
  gen-keys ((k, t) # kts) Empty = k # gen-keys kts t
  gen-keys kts (Branch c l k v r) = gen-keys ((k, r) # kts) l
⟨proof⟩

```

**lemma** *keys-code* [*code*]:

```

  keys = gen-keys []
⟨proof⟩

```

Restore original type constraints for constants

⟨ML⟩

**hide-const** (**open**) *MR MB R B Empty entries keys fold gen-keys gen-entries*

**end**

## 130 Abstract type of RBT trees

**theory** *RBT*

**imports** *Main RBT-Impl*

**begin**

### 130.1 Type definition

**typedef** (**overloaded**) (*'a*, *'b*) *rbt* = {*t* :: (*'a*::*linorder*, *'b*) *RBT-Impl.rbt.is-rbt* *t*}

**morphisms** *impl-of RBT*

⟨proof⟩

**lemma** *rbt-eq-iff*:

$t1 = t2 \iff \text{impl-of } t1 = \text{impl-of } t2$

⟨proof⟩

**lemma** *rbt-eqI*:  
 $impl\text{-}of\ t1 = impl\text{-}of\ t2 \implies t1 = t2$   
 $\langle proof \rangle$

**lemma** *is-rbt-impl-of* [*simp*, *intro*]:  
 $is\text{-}rbt\ (impl\text{-}of\ t)$   
 $\langle proof \rangle$

**lemma** *RBT-impl-of* [*simp*, *code abstype*]:  
 $RBT\ (impl\text{-}of\ t) = t$   
 $\langle proof \rangle$

## 130.2 Primitive operations

**setup-lifting** *type-definition-rbt*

**lift-definition** *lookup* ::  $('a::linorder, 'b)\ rbt \Rightarrow 'a \rightarrow 'b\ \mathbf{is}\ rbt\text{-}lookup\ \langle proof \rangle$

**lift-definition** *empty* ::  $('a::linorder, 'b)\ rbt\ \mathbf{is}\ RBT\text{-}Impl.\text{Empty}$   
 $\langle proof \rangle$

**lift-definition** *insert* ::  $'a::linorder \Rightarrow 'b \Rightarrow ('a, 'b)\ rbt \Rightarrow ('a, 'b)\ rbt\ \mathbf{is}\ rbt\text{-}insert$   
 $\langle proof \rangle$

**lift-definition** *delete* ::  $'a::linorder \Rightarrow ('a, 'b)\ rbt \Rightarrow ('a, 'b)\ rbt\ \mathbf{is}\ rbt\text{-}delete$   
 $\langle proof \rangle$

**lift-definition** *entries* ::  $('a::linorder, 'b)\ rbt \Rightarrow ('a \times 'b)\ list\ \mathbf{is}\ RBT\text{-}Impl.\text{entries}$   
 $\langle proof \rangle$

**lift-definition** *keys* ::  $('a::linorder, 'b)\ rbt \Rightarrow 'a\ list\ \mathbf{is}\ RBT\text{-}Impl.\text{keys}\ \langle proof \rangle$

**lift-definition** *bulkload* ::  $('a::linorder \times 'b)\ list \Rightarrow ('a, 'b)\ rbt\ \mathbf{is}\ rbt\text{-}bulkload$   
 $\langle proof \rangle$

**lift-definition** *map-entry* ::  $'a \Rightarrow ('b \Rightarrow 'b) \Rightarrow ('a::linorder, 'b)\ rbt \Rightarrow ('a, 'b)\ rbt$   
 $\mathbf{is}\ rbt\text{-}map\text{-}entry$   
 $\langle proof \rangle$

**lift-definition** *map* ::  $('a \Rightarrow 'b \Rightarrow 'c) \Rightarrow ('a::linorder, 'b)\ rbt \Rightarrow ('a, 'c)\ rbt\ \mathbf{is}$   
 $RBT\text{-}Impl.\text{map}$   
 $\langle proof \rangle$

**lift-definition** *fold* ::  $('a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'c) \Rightarrow ('a::linorder, 'b)\ rbt \Rightarrow 'c \Rightarrow 'c\ \mathbf{is}$   
 $RBT\text{-}Impl.\text{fold}\ \langle proof \rangle$

**lift-definition** *union* ::  $('a::linorder, 'b)\ rbt \Rightarrow ('a, 'b)\ rbt \Rightarrow ('a, 'b)\ rbt\ \mathbf{is}$   
 $rbt\text{-}union$

*<proof>*

**lift-definition** *foldi* :: ('c ⇒ bool) ⇒ ('a ⇒ 'b ⇒ 'c ⇒ 'c) ⇒ ('a :: linorder, 'b) rbt ⇒ 'c ⇒ 'c  
**is** *RBT-Impl.foldi* *<proof>*

**lift-definition** *combine-with-key* :: ('a ⇒ 'b ⇒ 'b ⇒ 'b) ⇒ ('a::linorder, 'b) rbt ⇒ ('a, 'b) rbt ⇒ ('a, 'b) rbt  
**is** *RBT-Impl.rbt-union-with-key* *<proof>*

**lift-definition** *combine* :: ('b ⇒ 'b ⇒ 'b) ⇒ ('a::linorder, 'b) rbt ⇒ ('a, 'b) rbt ⇒ ('a, 'b) rbt  
**is** *RBT-Impl.rbt-union-with* *<proof>*

### 130.3 Derived operations

**definition** *is-empty* :: ('a::linorder, 'b) rbt ⇒ bool **where**  
*[code]: is-empty t = (case impl-of t of RBT-Impl.Empty ⇒ True | - ⇒ False)*

**definition** *filter* :: ('a ⇒ 'b ⇒ bool) ⇒ ('a::linorder, 'b) rbt ⇒ ('a, 'b) rbt **where**  
*[code]: filter P t = fold (λk v t. if P k v then insert k v t else t) t empty*

### 130.4 Abstract lookup properties

**lemma** *lookup-RBT*:  
*is-rbt t ⇒ lookup (RBT t) = rbt-lookup t*  
*<proof>*

**lemma** *lookup-impl-of*:  
*rbt-lookup (impl-of t) = lookup t*  
*<proof>*

**lemma** *entries-impl-of*:  
*RBT-Impl.entries (impl-of t) = entries t*  
*<proof>*

**lemma** *keys-impl-of*:  
*RBT-Impl.keys (impl-of t) = keys t*  
*<proof>*

**lemma** *lookup-keys*:  
*dom (lookup t) = set (keys t)*  
*<proof>*

**lemma** *lookup-empty* [*simp*]:  
*lookup empty = Map.empty*  
*<proof>*

**lemma** *lookup-insert* [*simp*]:

$lookup (insert\ k\ v\ t) = (lookup\ t)(k \mapsto v)$   
 ⟨proof⟩

**lemma** *lookup-delete* [simp]:  
 $lookup (delete\ k\ t) = (lookup\ t)(k := None)$   
 ⟨proof⟩

**lemma** *map-of-entries* [simp]:  
 $map-of (entries\ t) = lookup\ t$   
 ⟨proof⟩

**lemma** *entries-lookup*:  
 $entries\ t1 = entries\ t2 \iff lookup\ t1 = lookup\ t2$   
 ⟨proof⟩

**lemma** *lookup-bulkload* [simp]:  
 $lookup (bulkload\ xs) = map-of\ xs$   
 ⟨proof⟩

**lemma** *lookup-map-entry* [simp]:  
 $lookup (map-entry\ k\ f\ t) = (lookup\ t)(k := map-option\ f (lookup\ t\ k))$   
 ⟨proof⟩

**lemma** *lookup-map* [simp]:  
 $lookup (map\ f\ t)\ k = map-option (f\ k) (lookup\ t\ k)$   
 ⟨proof⟩

**lemma** *lookup-combine-with-key* [simp]:  
 $lookup (combine-with-key\ f\ t1\ t2)\ k = combine-options (f\ k) (lookup\ t1\ k) (lookup\ t2\ k)$   
 ⟨proof⟩

**lemma** *combine-altdef*:  $combine\ f\ t1\ t2 = combine-with-key (\lambda-. f) t1\ t2$   
 ⟨proof⟩

**lemma** *lookup-combine* [simp]:  
 $lookup (combine\ f\ t1\ t2)\ k = combine-options f (lookup\ t1\ k) (lookup\ t2\ k)$   
 ⟨proof⟩

**lemma** *fold-fold*:  
 $fold\ f\ t = List.fold (case-prod\ f) (entries\ t)$   
 ⟨proof⟩

**lemma** *impl-of-empty*:  
 $impl-of\ empty = RBT-Impl.Empty$   
 ⟨proof⟩

**lemma** *is-empty-empty* [simp]:  
 $is-empty\ t \iff t = empty$

*<proof>*

**lemma** *RBT-lookup-empty* [*simp*]:  
 $\text{rbt-lookup } t = \text{Map.empty} \longleftrightarrow t = \text{RBT-Impl.Empty}$   
*<proof>*

**lemma** *lookup-empty-empty* [*simp*]:  
 $\text{lookup } t = \text{Map.empty} \longleftrightarrow t = \text{empty}$   
*<proof>*

**lemma** *sorted-keys* [*iff*]:  
 $\text{sorted } (\text{keys } t)$   
*<proof>*

**lemma** *distinct-keys* [*iff*]:  
 $\text{distinct } (\text{keys } t)$   
*<proof>*

**lemma** *finite-dom-lookup* [*simp, intro!*]:  $\text{finite } (\text{dom } (\text{lookup } t))$   
*<proof>*

**lemma** *lookup-union*:  $\text{lookup } (\text{union } s \ t) = \text{lookup } s \ ++ \ \text{lookup } t$   
*<proof>*

**lemma** *lookup-in-tree*:  $(\text{lookup } t \ k = \text{Some } v) = ((k, v) \in \text{set } (\text{entries } t))$   
*<proof>*

**lemma** *keys-entries*:  $(k \in \text{set } (\text{keys } t)) = (\exists v. (k, v) \in \text{set } (\text{entries } t))$   
*<proof>*

**lemma** *fold-def-alt*:  
 $\text{fold } f \ t = \text{List.fold } (\text{case-prod } f) \ (\text{entries } t)$   
*<proof>*

**lemma** *distinct-entries*:  $\text{distinct } (\text{List.map } \text{fst } (\text{entries } t))$   
*<proof>*

**lemma** *sorted-entries*:  $\text{sorted } (\text{List.map } \text{fst } (\text{entries } t))$   
*<proof>*

**lemma** *non-empty-keys*:  $t \neq \text{empty} \implies \text{keys } t \neq []$   
*<proof>*

**lemma** *keys-def-alt*:  
 $\text{keys } t = \text{List.map } \text{fst } (\text{entries } t)$   
*<proof>*

**context**  
**begin**



for this reason you should only use this in our application. Going back to  $(k, v)$  *RBT-Impl.rbt* may be necessary in proofs if not yet proven properties about the operations must be established.

The interpretation function *RBT.lookup* returns the partial map represented by a red-black tree:

*RBT.lookup::('a, 'b) RBT.rbt  $\Rightarrow$  'a  $\Rightarrow$  'b option*

This function should be used for reasoning about the semantics of the RBT operations. Furthermore, it implements the lookup functionality for the data structure: It is executable and the lookup is performed in  $O(\log n)$ .

### 131.2 Operations

Currently, the following operations are supported:

*RBT.empty::('a, 'b) RBT.rbt*

Returns the empty tree.  $O(1)$

*RBT.insert::'a  $\Rightarrow$  'b  $\Rightarrow$  ('a, 'b) RBT.rbt  $\Rightarrow$  ('a, 'b) RBT.rbt*

Updates the map at a given position.  $O(\log n)$

*RBT.delete::'a  $\Rightarrow$  ('a, 'b) RBT.rbt  $\Rightarrow$  ('a, 'b) RBT.rbt*

Deletes a map entry at a given position.  $O(\log n)$

*RBT.entries::('a, 'b) RBT.rbt  $\Rightarrow$  ('a  $\times$  'b) list*

Return a corresponding key-value list for a tree.

*RBT.bulkload::('a  $\times$  'b) list  $\Rightarrow$  ('a, 'b) RBT.rbt*

Builds a tree from a key-value list.

*RBT.map-entry::'a  $\Rightarrow$  ('b  $\Rightarrow$  'b)  $\Rightarrow$  ('a, 'b) RBT.rbt  $\Rightarrow$  ('a, 'b) RBT.rbt*

Maps a single entry in a tree.

*RBT.map::('a  $\Rightarrow$  'b  $\Rightarrow$  'c)  $\Rightarrow$  ('a, 'b) RBT.rbt  $\Rightarrow$  ('a, 'c) RBT.rbt*

Maps all values in a tree.  $O(n)$

*RBT.fold::('a  $\Rightarrow$  'b  $\Rightarrow$  'c  $\Rightarrow$  'c)  $\Rightarrow$  ('a, 'b) RBT.rbt  $\Rightarrow$  'c  $\Rightarrow$  'c*

Folds over all entries in a tree.  $O(n)$

**131.3 Invariant preservation**

<i>is-rbt</i> <i>rbt.Empty</i>	<i>(Empty-is-rbt)</i>
<i>is-rbt</i> ? <i>t</i> $\implies$ <i>is-rbt</i> ( <i>rbt-insert</i> ? <i>k</i> ? <i>v</i> ? <i>t</i> )	<i>(rbt-insert-is-rbt)</i>
<i>is-rbt</i> ? <i>t</i> $\implies$ <i>is-rbt</i> ( <i>rbt-delete</i> ? <i>k</i> ? <i>t</i> )	<i>(delete-is-rbt)</i>
<i>is-rbt</i> ( <i>rbt-bulkload</i> ? <i>xs</i> )	<i>(bulkload-is-rbt)</i>
<i>is-rbt</i> ( <i>rbt-map-entry</i> ? <i>k</i> ? <i>f</i> ? <i>t</i> ) = <i>is-rbt</i> ? <i>t</i>	<i>(map-entry-is-rbt)</i>
<i>is-rbt</i> ( <i>RBT-Impl.map</i> ? <i>f</i> ? <i>t</i> ) = <i>is-rbt</i> ? <i>t</i>	<i>(map-is-rbt)</i>
$\llbracket$ <i>is-rbt</i> ? <i>lt</i> ; <i>is-rbt</i> ? <i>rt</i> $\rrbracket \implies$ <i>is-rbt</i> ( <i>rbt-union</i> ? <i>lt</i> ? <i>rt</i> )	<i>(union-is-rbt)</i>

**131.4 Map Semantics***lookup-empty*

*Mapping.lookup Mapping.empty* ?*k* = *None*

*lookup-insert*

*RBT.lookup* (*RBT.insert* ?*k* ?*v* ?*t*) = (*RBT.lookup* ?*t*)(?*k*  $\mapsto$  ?*v*)

*lookup-delete*

*Mapping.lookup* (*Mapping.delete* ?*k* ?*m*) ?*k* = *None*

*lookup-bulkload*

*RBT.lookup* (*RBT.bulkload* ?*xs*) = *map-of* ?*xs*

*lookup-map*

*RBT.lookup* (*RBT.map* ?*f* ?*t*) ?*k* = *map-option* (?*f* ?*k*) (*RBT.lookup* ?*t* ?*k*)

end

**132 Implementation of sets using RBT trees**

```
theory RBT-Set
imports RBT Product-Lexorder
begin
```

**133 Definition of code datatype constructors**

**definition** *Set* :: (*'a::linorder*, *unit*) *rbt*  $\Rightarrow$  *'a set*  
**where** *Set* *t* = {*x* . *RBT.lookup* *t* *x* = *Some* ()}

**definition** *Coset* :: (*'a::linorder*, *unit*) *rbt*  $\Rightarrow$  *'a set*  
**where** [*simp*]: *Coset* *t* = - *Set* *t*



## 134 Deletion of already existing code equations

**declare** *[[code drop: Set.empty Set.is-empty uminus-set-inst.uminus-set  
Set.member Set.insert Set.remove UNIV Set.filter image  
Set.subset-eq Ball Bex can-select Set.union minus-set-inst.minus-set Set.inter  
card the-elem Pow sum prod Product-Type.product Id-on  
Image trancl relcomp wf-on wf-code Min Inf-fin Max Sup-fin  
(Inf :: 'a set set  $\Rightarrow$  'a set) (Sup :: 'a set set  $\Rightarrow$  'a set)  
sorted-list-of-set List.map-project List.Bleat]]*

## 135 Lemmas

### 135.1 Auxiliary lemmas

**lemma** *[simp]:  $x \neq \text{Some } () \longleftrightarrow x = \text{None}$*   
*<proof>*

**lemma** *Set-set-keys:  $\text{Set } x = \text{dom } (\text{RBT.lookup } x)$*   
*<proof>*

**lemma** *finite-Set [simp, intro!]:  $\text{finite } (\text{Set } x)$*   
*<proof>*

**lemma** *set-keys:  $\text{Set } t = \text{set}(\text{RBT.keys } t)$*   
*<proof>*

### 135.2 fold and filter

**lemma** *finite-fold-rbt-fold-eq:*  
**assumes** *comp-fun-commute f*  
**shows** *Finite-Set.fold f A (set (RBT.entries t)) = RBT.fold (curry f) t A*  
*<proof>*

**definition** *fold-keys :: ('a :: linorder  $\Rightarrow$  'b  $\Rightarrow$  'b)  $\Rightarrow$  ('a, -) rbt  $\Rightarrow$  'b  $\Rightarrow$  'b*  
**where** *[code-unfold]: fold-keys f t A = RBT.fold ( $\lambda k - t. f k t$ ) t A*

**lemma** *fold-keys-def-alt:*  
*fold-keys f t s = List.fold f (RBT.keys t) s*  
*<proof>*

**lemma** *finite-fold-fold-keys:*  
**assumes** *comp-fun-commute f*  
**shows** *Finite-Set.fold f A (Set t) = fold-keys f t A*  
*<proof>*

**definition** *rbt-filter :: ('a :: linorder  $\Rightarrow$  bool)  $\Rightarrow$  ('a, 'b) rbt  $\Rightarrow$  'a set **where***  
*rbt-filter P t = RBT.fold ( $\lambda k - A'. \text{if } P k \text{ then } \text{Set.insert } k A' \text{ else } A'$ ) t \{\}*

**lemma** *Set-filter-rbt-filter:*

*Set.filter P (Set t) = rbt-filter P t*  
 ⟨proof⟩

### 135.3 foldi and Ball

**lemma** *Ball-False: RBT-Impl.fold (λk v s. s ∧ P k) t False = False*  
 ⟨proof⟩

**lemma** *rbt-foldi-fold-conj:*

*RBT-Impl.foldi (λs. s = True) (λk v s. s ∧ P k) t val = RBT-Impl.fold (λk v s. s ∧ P k) t val*  
 ⟨proof⟩

**lemma** *foldi-fold-conj: RBT.foldi (λs. s = True) (λk v s. s ∧ P k) t val = fold-keys (λk s. s ∧ P k) t val*  
 ⟨proof⟩ **including** *rbt.lifting* ⟨proof⟩

### 135.4 foldi and Bex

**lemma** *Bex-True: RBT-Impl.fold (λk v s. s ∨ P k) t True = True*  
 ⟨proof⟩

**lemma** *rbt-foldi-fold-disj:*

*RBT-Impl.foldi (λs. s = False) (λk v s. s ∨ P k) t val = RBT-Impl.fold (λk v s. s ∨ P k) t val*  
 ⟨proof⟩

**lemma** *foldi-fold-disj: RBT.foldi (λs. s = False) (λk v s. s ∨ P k) t val = fold-keys (λk s. s ∨ P k) t val*  
 ⟨proof⟩ **including** *rbt.lifting* ⟨proof⟩

## 135.5 folding over non empty trees and selecting the minimal and maximal element

### 135.5.1 concrete

The concrete part is here because it’s probably not general enough to be moved to *RBT-Impl*

**definition** *rbt-fold1-keys :: ('a ⇒ 'a ⇒ 'a) ⇒ ('a::linorder, 'b) RBT-Impl.rbt ⇒ 'a*  
**where** *rbt-fold1-keys f t = List.fold f (tl(RBT-Impl.keys t)) (hd(RBT-Impl.keys t))*

**minimum definition** *rbt-min :: ('a::linorder, unit) RBT-Impl.rbt ⇒ 'a*  
**where** *rbt-min t = rbt-fold1-keys min t*

**lemma** *key-le-right: rbt-sorted (Branch c lt k v rt) ⇒ (∧x. x ∈ set (RBT-Impl.keys rt) ⇒ k ≤ x)*  
 ⟨proof⟩

**lemma** *left-le-key*:  $\text{rbt-sorted } (\text{Branch } c \text{ } lt \text{ } k \text{ } v \text{ } rt) \implies (\bigwedge x. x \in \text{set } (\text{RBT-Impl.keys } lt) \implies x \leq k)$   
 <proof>

**lemma** *fold-min-triv*:  
**fixes**  $k :: - :: \text{linorder}$   
**shows**  $(\forall x \in \text{set } xs. k \leq x) \implies \text{List.fold } \text{min } xs \text{ } k = k$   
 <proof>

**lemma** *rbt-min-simps*:  
 $\text{is-rbt } (\text{Branch } c \text{ } \text{RBT-Impl.Empty } k \text{ } v \text{ } rt) \implies \text{rbt-min } (\text{Branch } c \text{ } \text{RBT-Impl.Empty } k \text{ } v \text{ } rt) = k$   
 <proof>

**fun** *rbt-min-opt* **where**  
 $\text{rbt-min-opt } (\text{Branch } c \text{ } \text{RBT-Impl.Empty } k \text{ } v \text{ } rt) = k \mid$   
 $\text{rbt-min-opt } (\text{Branch } c \text{ } (\text{Branch } lc \text{ } llc \text{ } lk \text{ } lv \text{ } lrt) \text{ } k \text{ } v \text{ } rt) = \text{rbt-min-opt } (\text{Branch } lc \text{ } llc \text{ } lk \text{ } lv \text{ } lrt)$

**lemma** *rbt-min-opt-Branch*:  
 $t1 \neq \text{rbt.Empty} \implies \text{rbt-min-opt } (\text{Branch } c \text{ } t1 \text{ } k \text{ } () \text{ } t2) = \text{rbt-min-opt } t1$   
 <proof>

**lemma** *rbt-min-opt-induct* [case-names *empty left-empty left-non-empty*]:  
**fixes**  $t :: ('a :: \text{linorder}, \text{unit}) \text{RBT-Impl.rbt}$   
**assumes**  $P \text{rbt.Empty}$   
**assumes**  $\bigwedge \text{color } t1 \text{ } a \text{ } b \text{ } t2. P \text{ } t1 \implies P \text{ } t2 \implies t1 = \text{rbt.Empty} \implies P (\text{Branch } \text{color } t1 \text{ } a \text{ } b \text{ } t2)$   
**assumes**  $\bigwedge \text{color } t1 \text{ } a \text{ } b \text{ } t2. P \text{ } t1 \implies P \text{ } t2 \implies t1 \neq \text{rbt.Empty} \implies P (\text{Branch } \text{color } t1 \text{ } a \text{ } b \text{ } t2)$   
**shows**  $P \text{ } t$   
 <proof>

**lemma** *rbt-min-opt-in-set*:  
**fixes**  $t :: ('a :: \text{linorder}, \text{unit}) \text{RBT-Impl.rbt}$   
**assumes**  $t \neq \text{rbt.Empty}$   
**shows**  $\text{rbt-min-opt } t \in \text{set } (\text{RBT-Impl.keys } t)$   
 <proof>

**lemma** *rbt-min-opt-is-min*:  
**fixes**  $t :: ('a :: \text{linorder}, \text{unit}) \text{RBT-Impl.rbt}$   
**assumes**  $\text{rbt-sorted } t$   
**assumes**  $t \neq \text{rbt.Empty}$   
**shows**  $\bigwedge y. y \in \text{set } (\text{RBT-Impl.keys } t) \implies y \geq \text{rbt-min-opt } t$   
 <proof>

**lemma** *rbt-min-eq-rbt-min-opt*:  
**assumes**  $t \neq \text{RBT-Impl.Empty}$

**assumes** *is-rbt t*  
**shows** *rbt-min t = rbt-min-opt t*  
 ⟨*proof*⟩

**maximum definition** *rbt-max* :: ('a :: linorder, unit) RBT-Impl.rbt ⇒ 'a  
**where** *rbt-max t = rbt-fold1-keys max t*

**lemma** *fold-max-triv*:  
**fixes** *k* :: - :: linorder  
**shows**  $(\forall x \in \text{set } xs. x \leq k) \implies \text{List.fold max } xs \ k = k$   
 ⟨*proof*⟩

**lemma** *fold-max-rev-eq*:  
**fixes** *xs* :: ('a :: linorder) list  
**assumes** *xs* ≠ []  
**shows**  $\text{List.fold max } (\text{tl } xs) (\text{hd } xs) = \text{List.fold max } (\text{tl } (\text{rev } xs)) (\text{hd } (\text{rev } xs))$   
 ⟨*proof*⟩

**lemma** *rbt-max-simps*:  
**assumes** *is-rbt (Branch c lt k v RBT-Impl.Empty)*  
**shows** *rbt-max (Branch c lt k v RBT-Impl.Empty) = k*  
 ⟨*proof*⟩

**fun** *rbt-max-opt* **where**  
*rbt-max-opt (Branch c lt k v RBT-Impl.Empty) = k* |  
*rbt-max-opt (Branch c lt k v (Branch rc rlc rk rv rrt)) = rbt-max-opt (Branch rc*  
*rlc rk rv rrt)*

**lemma** *rbt-max-opt-Branch*:  
 $t2 \neq \text{rbt.Empty} \implies \text{rbt-max-opt } (\text{Branch } c \ t1 \ k \ () \ t2) = \text{rbt-max-opt } t2$   
 ⟨*proof*⟩

**lemma** *rbt-max-opt-induct* [*case-names empty right-empty right-non-empty*]:  
**fixes** *t* :: ('a :: linorder, unit) RBT-Impl.rbt  
**assumes** *P rbt.Empty*  
**assumes**  $\bigwedge \text{color } t1 \ a \ b \ t2. P \ t1 \implies P \ t2 \implies t2 = \text{rbt.Empty} \implies P (\text{Branch}$   
*color t1 a b t2)*  
**assumes**  $\bigwedge \text{color } t1 \ a \ b \ t2. P \ t1 \implies P \ t2 \implies t2 \neq \text{rbt.Empty} \implies P (\text{Branch}$   
*color t1 a b t2)*  
**shows** *P t*  
 ⟨*proof*⟩

**lemma** *rbt-max-opt-in-set*:  
**fixes** *t* :: ('a :: linorder, unit) RBT-Impl.rbt  
**assumes** *t* ≠ *rbt.Empty*  
**shows** *rbt-max-opt t* ∈ *set (RBT-Impl.keys t)*  
 ⟨*proof*⟩

**lemma** *rbt-max-opt-is-max*:

**fixes**  $t :: ('a :: \text{linorder}, \text{unit}) \text{RBT-Impl.rbt}$   
**assumes**  $\text{rbt-sorted } t$   
**assumes**  $t \neq \text{rbt.Empty}$   
**shows**  $\bigwedge y. y \in \text{set } (\text{RBT-Impl.keys } t) \implies y \leq \text{rbt-max-opt } t$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{rbt-max-eq-rbt-max-opt}$ :  
**assumes**  $t \neq \text{RBT-Impl.Empty}$   
**assumes**  $\text{is-rbt } t$   
**shows**  $\text{rbt-max } t = \text{rbt-max-opt } t$   
 $\langle \text{proof} \rangle$

### 135.5.2 abstract

**context includes**  $\text{rbt.lifting}$  **begin**

**lift-definition**  $\text{fold1-keys} :: ('a \Rightarrow 'a \Rightarrow 'a) \Rightarrow ('a :: \text{linorder}, 'b) \text{rbt} \Rightarrow 'a$   
**is**  $\text{rbt-fold1-keys} \langle \text{proof} \rangle$

**lemma**  $\text{fold1-keys-def-alt}$ :  
 $\text{fold1-keys } f \ t = \text{List.fold } f \ (\text{tl } (\text{RBT.keys } t)) \ (\text{hd } (\text{RBT.keys } t))$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{finite-fold1-fold1-keys}$ :  
**assumes**  $\text{semilattice } f$   
**assumes**  $\neg \text{RBT.is-empty } t$   
**shows**  $\text{semilattice-set.F } f \ (\text{Set } t) = \text{fold1-keys } f \ t$   
 $\langle \text{proof} \rangle$

**minimum lift-definition**  $r\text{-min} :: ('a :: \text{linorder}, \text{unit}) \text{rbt} \Rightarrow 'a$  **is**  $\text{rbt-min}$   
 $\langle \text{proof} \rangle$

**lift-definition**  $r\text{-min-opt} :: ('a :: \text{linorder}, \text{unit}) \text{rbt} \Rightarrow 'a$  **is**  $\text{rbt-min-opt} \langle \text{proof} \rangle$

**lemma**  $r\text{-min-alt-def}$ :  $r\text{-min } t = \text{fold1-keys } \text{min } t$   
 $\langle \text{proof} \rangle$

**lemma**  $r\text{-min-eq-r-min-opt}$ :  
**assumes**  $\neg (\text{RBT.is-empty } t)$   
**shows**  $r\text{-min } t = r\text{-min-opt } t$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{fold-keys-min-top-eq}$ :  
**fixes**  $t :: ('a :: \{\text{linorder}, \text{bounded-lattice-top}\}, \text{unit}) \text{rbt}$   
**assumes**  $\neg (\text{RBT.is-empty } t)$   
**shows**  $\text{fold-keys } \text{min } t \ \text{top} = \text{fold1-keys } \text{min } t$   
 $\langle \text{proof} \rangle$

**maximum lift-definition**  $r\text{-max} :: ('a :: \text{linorder}, \text{unit}) \text{rbt} \Rightarrow 'a$  **is**  $\text{rbt-max}$   
 $\langle \text{proof} \rangle$

**lift-definition**  $r\text{-max-opt} :: ('a :: \text{linorder}, \text{unit}) \text{rbt} \Rightarrow 'a \text{ is } \text{rbt-max-opt} \langle \text{proof} \rangle$

**lemma**  $r\text{-max-alt-def}: r\text{-max } t = \text{fold1-keys } \text{max } t$   
 $\langle \text{proof} \rangle$

**lemma**  $r\text{-max-eq-r-max-opt}$ :  
**assumes**  $\neg (\text{RBT.is-empty } t)$   
**shows**  $r\text{-max } t = r\text{-max-opt } t$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{fold-keys-max-bot-eq}$ :  
**fixes**  $t :: ('a :: \{\text{linorder}, \text{bounded-lattice-bot}\}, \text{unit}) \text{rbt}$   
**assumes**  $\neg (\text{RBT.is-empty } t)$   
**shows**  $\text{fold-keys } \text{max } t \text{ bot} = \text{fold1-keys } \text{max } t$   
 $\langle \text{proof} \rangle$

**end**

## 136 Code equations

**code-datatype**  $\text{Set } \text{Coset}$

**declare**  $\text{list.set}[\text{code}]$

**lemma**  $\text{empty-Set } [\text{code}]$ :  
 $\text{Set.empty} = \text{Set } \text{RBT.empty}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{UNIV-Coset } [\text{code}]$ :  
 $\text{UNIV} = \text{Coset } \text{RBT.empty}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{is-empty-Set } [\text{code}]$ :  
 $\text{Set.is-empty } (\text{Set } t) = \text{RBT.is-empty } t$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{compl-code } [\text{code}]$ :  
 $-\text{ Set } xs = \text{Coset } xs$   
 $-\text{ Coset } xs = \text{Set } xs$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{member-code } [\text{code}]$ :  
 $x \in (\text{Set } t) = (\text{RBT.lookup } t \ x = \text{Some } ())$   
 $x \in (\text{Coset } t) = (\text{RBT.lookup } t \ x = \text{None})$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{insert-code } [\text{code}]$ :  
 $\text{Set.insert } x (\text{Set } t) = \text{Set } (\text{RBT.insert } x \ () \ t)$

$Set.insert\ x\ (Coset\ t) = Coset\ (RBT.delete\ x\ t)$   
 ⟨proof⟩

**lemma** *remove-code* [code]:  
 $Set.remove\ x\ (Set\ t) = Set\ (RBT.delete\ x\ t)$   
 $Set.remove\ x\ (Coset\ t) = Coset\ (RBT.insert\ x\ ()\ t)$   
 ⟨proof⟩

**lemma** *union-Set* [code]:  
 $Set\ t \cup A = fold-keys\ Set.insert\ t\ A$   
 ⟨proof⟩

**lemma** *inter-Set* [code]:  
 $A \cap Set\ t = rbt-filter\ (\lambda k. k \in A)\ t$   
 ⟨proof⟩

**lemma** *minus-Set* [code]:  
 $A - Set\ t = fold-keys\ Set.remove\ t\ A$   
 ⟨proof⟩

**lemma** *union-Coset* [code]:  
 $Coset\ t \cup A = -\ rbt-filter\ (\lambda k. k \notin A)\ t$   
 ⟨proof⟩

**lemma** *union-Set-Set* [code]:  
 $Set\ t1 \cup Set\ t2 = Set\ (RBT.union\ t1\ t2)$   
 ⟨proof⟩

**lemma** *inter-Coset* [code]:  
 $A \cap Coset\ t = fold-keys\ Set.remove\ t\ A$   
 ⟨proof⟩

**lemma** *inter-Coset-Coset* [code]:  
 $Coset\ t1 \cap Coset\ t2 = Coset\ (RBT.union\ t1\ t2)$   
 ⟨proof⟩

**lemma** *minus-Coset* [code]:  
 $A - Coset\ t = rbt-filter\ (\lambda k. k \in A)\ t$   
 ⟨proof⟩

**lemma** *filter-Set* [code]:  
 $Set.filter\ P\ (Set\ t) = (rbt-filter\ P\ t)$   
 ⟨proof⟩

**lemma** *image-Set* [code]:  
 $image\ f\ (Set\ t) = fold-keys\ (\lambda k\ A. Set.insert\ (f\ k)\ A)\ t\ \{\}$   
 ⟨proof⟩

**lemma** *Ball-Set* [code]:

*Ball* (*Set* *t*) *P*  $\longleftrightarrow$  *RBT.foldi* ( $\lambda s. s = \text{True}$ ) ( $\lambda k v s. s \wedge P k$ ) *t* *True*  
 ⟨*proof*⟩

**lemma** *Bex-Set* [*code*]:

*Bex* (*Set* *t*) *P*  $\longleftrightarrow$  *RBT.foldi* ( $\lambda s. s = \text{False}$ ) ( $\lambda k v s. s \vee P k$ ) *t* *False*  
 ⟨*proof*⟩

**lemma** *subset-code* [*code*]:

*Set* *t*  $\leq$  *B*  $\longleftrightarrow$  ( $\forall x \in \text{Set } t. x \in B$ )  
*A*  $\leq$  *Coset* *t*  $\longleftrightarrow$  ( $\forall y \in \text{Set } t. y \notin A$ )  
 ⟨*proof*⟩

**lemma** *subset-Coset-empty-Set-empty* [*code*]:

*Coset* *t1*  $\leq$  *Set* *t2*  $\longleftrightarrow$  (*case* (*RBT.impl-of* *t1*, *RBT.impl-of* *t2*) of  
 (*rbt.Empty*, *rbt.Empty*)  $\Rightarrow$  *False* |  
 (-, -)  $\Rightarrow$  *Code.abort* (*STR "non-empty-trees"*) ( $\lambda-. \text{Coset } t1 \leq \text{Set } t2$ ))  
 ⟨*proof*⟩

A frequent case – avoid intermediate sets

**lemma** [*code-unfold*]:

*Set* *t1*  $\subseteq$  *Set* *t2*  $\longleftrightarrow$  *RBT.foldi* ( $\lambda s. s = \text{True}$ ) ( $\lambda k v s. s \wedge k \in \text{Set } t2$ ) *t1* *True*  
 ⟨*proof*⟩

**lemma** *card-Set* [*code*]:

*card* (*Set* *t*) = *fold-keys* ( $\lambda n. n + 1$ ) *t* 0  
 ⟨*proof*⟩

**lemma** *sum-Set* [*code*]:

*sum* *f* (*Set* *xs*) = *fold-keys* (*plus*  $\circ$  *f*) *xs* 0  
 ⟨*proof*⟩

**lemma** *the-elem-set* [*code*]:

**fixes** *t* :: ('a :: *linorder*, *unit*) *rbt*  
**shows** *the-elem* (*Set* *t*) = (*case* *RBT.impl-of* *t* of  
 (*Branch* *RBT-Impl.B* *RBT-Impl.Empty* *x* ()) *RBT-Impl.Empty*)  $\Rightarrow$  *x*  
 | -  $\Rightarrow$  *Code.abort* (*STR "not-a-singleton-tree"*) ( $\lambda-. \text{the-elem } (\text{Set } t)$ )  
 ⟨*proof*⟩

**lemma** *Pow-Set* [*code*]: *Pow* (*Set* *t*) = *fold-keys* ( $\lambda x A. A \cup \text{Set.insert } x \text{ ' } A$ ) *t*  $\{\{\}\}$   
 ⟨*proof*⟩

**lemma** *product-Set* [*code*]:

*Product-Type.product* (*Set* *t1*) (*Set* *t2*) =  
*fold-keys* ( $\lambda x A. \text{fold-keys } (\lambda y. \text{Set.insert } (x, y)) \text{ } t2 \text{ } A$ ) *t1*  $\{\}$   
 ⟨*proof*⟩

**lemma** *Id-on-Set* [*code*]: *Id-on* (*Set* *t*) = *fold-keys* ( $\lambda x. \text{Set.insert } (x, x)$ ) *t*  $\{\}$   
 ⟨*proof*⟩



**lemma** *Image-Set* [code]:

(Set t) “ S = fold-keys ( $\lambda(x,y) A$ . if  $x \in S$  then Set.insert y A else A) t {}  
 ⟨proof⟩

**lemma** *trancl-set-ntrancl* [code]:

trancl (Set t) = ntrancl (card (Set t) - 1) (Set t)  
 ⟨proof⟩

**lemma** *relcomp-Set*[code]:

(Set t1) O (Set t2) = fold-keys  
 ( $\lambda(x,y) A$ . fold-keys ( $\lambda(w,z) A'$ . if  $y = w$  then Set.insert (x,z) A' else A') t2 A)  
 t1 {}  
 ⟨proof⟩

**lemma** *wf-set*: wf (Set t) = acyclic (Set t)

⟨proof⟩

**lemma** *wf-code-set*[code]: wf-code (Set t) = acyclic (Set t)

⟨proof⟩

**lemma** *Min-fin-set-fold* [code]:

Min (Set t) =  
 (if RBT.is-empty t  
 then Code.abort (STR "not-non-empty-tree") ( $\lambda$ -. Min (Set t))  
 else r-min-opt t)  
 ⟨proof⟩

**lemma** *Inf-fin-set-fold* [code]:

Inf-fin (Set t) = Min (Set t)  
 ⟨proof⟩

**lemma** *Inf-Set-fold*:

**fixes** t :: ('a :: {linorder, complete-lattice}, unit) rbt  
**shows** Inf (Set t) = (if RBT.is-empty t then top else r-min-opt t)  
 ⟨proof⟩

**lemma** *Max-fin-set-fold* [code]:

Max (Set t) =  
 (if RBT.is-empty t  
 then Code.abort (STR "not-non-empty-tree") ( $\lambda$ -. Max (Set t))  
 else r-max-opt t)  
 ⟨proof⟩

**lemma** *Sup-fin-set-fold* [code]:

Sup-fin (Set t) = Max (Set t)  
 ⟨proof⟩

**lemma** *Sup-Set-fold*:

**fixes**  $t :: ('a :: \{\text{linorder}, \text{complete-lattice}\}, \text{unit}) \text{rbt}$   
**shows**  $\text{Sup} (\text{Set } t) = (\text{if } \text{RBT.is-empty } t \text{ then bot else } \text{r-max-opt } t)$   
 $\langle \text{proof} \rangle$

**context**  
**begin**

**declare**  $[[\text{code drop: } \text{Gcd-fin } \text{Lcm-fin} \langle \text{Gcd} :: - \Rightarrow \text{nat} \rangle \langle \text{Gcd} :: - \Rightarrow \text{int} \rangle \langle \text{Lcm} :: - \Rightarrow \text{nat} \rangle \langle \text{Lcm} :: - \Rightarrow \text{int} \rangle]]$

**lemma**  $[\text{code}]$ :  
 $\text{Gcd}_{\text{fin}} (\text{Set } t) = \text{fold-keys gcd } t (0 :: 'a :: \{\text{semiring-gcd}, \text{linorder}\})$   
 $\langle \text{proof} \rangle$

**lemma**  $[\text{code}]$ :  
 $\text{Gcd} (\text{Set } t) = (\text{Gcd}_{\text{fin}} (\text{Set } t) :: \text{nat})$   
 $\langle \text{proof} \rangle$

**lemma**  $[\text{code}]$ :  
 $\text{Gcd} (\text{Set } t) = (\text{Gcd}_{\text{fin}} (\text{Set } t) :: \text{int})$   
 $\langle \text{proof} \rangle$

**lemma**  $[\text{code}]$ :  
 $\text{Lcm}_{\text{fin}} (\text{Set } t) = \text{fold-keys lcm } t (1 :: 'a :: \{\text{semiring-gcd}, \text{linorder}\})$   
 $\langle \text{proof} \rangle$

**lemma**  $[\text{code drop: } \text{Lcm} :: - \Rightarrow \text{nat}, \text{code}]$ :  
 $\text{Lcm} (\text{Set } t) = (\text{Lcm}_{\text{fin}} (\text{Set } t) :: \text{nat})$   
 $\langle \text{proof} \rangle$

**lemma**  $[\text{code drop: } \text{Lcm} :: - \Rightarrow \text{int}, \text{code}]$ :  
 $\text{Lcm} (\text{Set } t) = (\text{Lcm}_{\text{fin}} (\text{Set } t) :: \text{int})$   
 $\langle \text{proof} \rangle$  **definition**  $\text{Inf}' :: 'a :: \{\text{linorder}, \text{complete-lattice}\} \text{set} \Rightarrow 'a$   
**where**  $[\text{code-abbrev}]$ :  $\text{Inf}' = \text{Inf}$

**lemma**  $\text{Inf}'\text{-Set-fold} [\text{code}]$ :  
 $\text{Inf}' (\text{Set } t) = (\text{if } \text{RBT.is-empty } t \text{ then top else } \text{r-min-opt } t)$   
 $\langle \text{proof} \rangle$  **definition**  $\text{Sup}' :: 'a :: \{\text{linorder}, \text{complete-lattice}\} \text{set} \Rightarrow 'a$   
**where**  $[\text{code-abbrev}]$ :  $\text{Sup}' = \text{Sup}$

**lemma**  $\text{Sup}'\text{-Set-fold} [\text{code}]$ :  
 $\text{Sup}' (\text{Set } t) = (\text{if } \text{RBT.is-empty } t \text{ then bot else } \text{r-max-opt } t)$   
 $\langle \text{proof} \rangle$

**end**

**lemma**  $\text{sorted-list-set}[\text{code}]$ :  $\text{sorted-list-of-set} (\text{Set } t) = \text{RBT.keys } t$   
 $\langle \text{proof} \rangle$

```

lemma Bleat-code [code]:
  Bleat (Set t) P =
    (case List.filter P (RBT.keys t) of
      x # xs ⇒ x
    | [] ⇒ abort-Bleat (Set t) P)
⟨proof⟩

```

```

hide-const (open) RBT-Set.Set RBT-Set.Coset

```

```

end

```

```

⟨ML⟩

```

```

theory Predicate-Compile-Alternative-Defs
  imports Main
begin

```

## 137 Common constants

```

declare HOL.if-bool-eq-disj[code-pred-inline]

```

```

declare bool-diff-def[code-pred-inline]

```

```

declare inf-bool-def[abs-def, code-pred-inline]

```

```

declare less-bool-def[abs-def, code-pred-inline]

```

```

declare le-bool-def[abs-def, code-pred-inline]

```

```

lemma min-bool-eq [code-pred-inline]: (min :: bool => bool => bool) == (∧)
⟨proof⟩

```

```

lemma [code-pred-inline]:
  ((A::bool) ≠ (B::bool)) = ((A ∧ ¬ B) ∨ (B ∧ ¬ A))
⟨proof⟩

```

```

⟨ML⟩

```

## 138 Pairs

```

⟨ML⟩

```

## 139 Filters

```

⟨ML⟩

```

## 140 Bounded quantifiers

```

declare Ball-def[code-pred-inline]

```

**declare** *Bex-def*[code-pred-inline]

## 141 Operations on Predicates

**lemma** *Diff*[code-pred-inline]:

$(A - B) = (\%x. A x \wedge \neg B x)$   
 $\langle proof \rangle$

**lemma** *subset-eq*[code-pred-inline]:

$(P :: 'a \Rightarrow bool) < (Q :: 'a \Rightarrow bool) \equiv ((\exists x. Q x \wedge (\neg P x)) \wedge (\forall x. P x \longrightarrow Q x))$   
 $\langle proof \rangle$

**lemma** *set-equality*[code-pred-inline]:

$A = B \longleftrightarrow (\forall x. A x \longrightarrow B x) \wedge (\forall x. B x \longrightarrow A x)$   
 $\langle proof \rangle$

## 142 Setup for Numerals

$\langle ML \rangle$

## 143 Arithmetic operations

### 143.1 Arithmetic on naturals and integers

**definition** *plus-eq-nat* ::  $nat \Rightarrow nat \Rightarrow nat \Rightarrow bool$

**where**

$plus-eq-nat\ x\ y\ z = (x + y = z)$

**definition** *minus-eq-nat* ::  $nat \Rightarrow nat \Rightarrow nat \Rightarrow bool$

**where**

$minus-eq-nat\ x\ y\ z = (x - y = z)$

**definition** *plus-eq-int* ::  $int \Rightarrow int \Rightarrow int \Rightarrow bool$

**where**

$plus-eq-int\ x\ y\ z = (x + y = z)$

**definition** *minus-eq-int* ::  $int \Rightarrow int \Rightarrow int \Rightarrow bool$

**where**

$minus-eq-int\ x\ y\ z = (x - y = z)$

**definition** *subtract*

**where**

[code-unfold]:  $subtract\ x\ y = y - x$

$\langle ML \rangle$

### 143.2 Inductive definitions for ordering on naturals

**inductive** *less-nat*

**where**

*less-nat* 0 (*Suc* *y*)  
| *less-nat* *x* *y* ==> *less-nat* (*Suc* *x*) (*Suc* *y*)

**lemma** *less-nat*[*code-pred-inline*]:

*x* < *y* = *less-nat* *x* *y*  
<*proof*>

**inductive** *less-eq-nat*

**where**

*less-eq-nat* 0 *y*  
| *less-eq-nat* *x* *y* ==> *less-eq-nat* (*Suc* *x*) (*Suc* *y*)

**lemma** [*code-pred-inline*]:

*x* <= *y* = *less-eq-nat* *x* *y*  
<*proof*>

## 144 Alternative list definitions

### 144.1 Alternative rules for *length*

**definition** *size-list'* :: 'a list => nat

**where** *size-list'* = *size*

**lemma** *size-list'-simps*:

*size-list'* [] = 0  
*size-list'* (*x* # *xs*) = *Suc* (*size-list'* *xs*)  
<*proof*>

**declare** *size-list'-simps*[*code-pred-def*]

**declare** *size-list'-def*[*symmetric*, *code-pred-inline*]

### 144.2 Alternative rules for *list-all2*

**lemma** *list-all2-NilI* [*code-pred-intro*]: *list-all2* *P* [] []

<*proof*>

**lemma** *list-all2-ConsI* [*code-pred-intro*]: *list-all2* *P* *xs* *ys* ==> *P* *x* *y* ==> *list-all2*  
*P* (*x*#*xs*) (*y*#*ys*)

<*proof*>

**code-pred** [*skip-proof*] *list-all2*

<*proof*>

### 144.3 Alternative rules for membership in lists

**declare** *in-set-member*[*code-pred-inline*]

```

lemma member-intros [code-pred-intro]:
  List.member (x#xs) x
  List.member xs x  $\implies$  List.member (y#xs) x
  <proof>

```

```

code-pred List.member
  <proof>

```

```

code-identifier constant member-i-i
   $\rightarrow$  (SML) List.member-i-i
  and (OCaml) List.member-i-i
  and (Haskell) List.member-i-i
  and (Scala) List.member-i-i

```

```

code-identifier constant member-i-o
   $\rightarrow$  (SML) List.member-i-o
  and (OCaml) List.member-i-o
  and (Haskell) List.member-i-o
  and (Scala) List.member-i-o

```

## 145 Setup for String.literal

<ML>

## 146 Simplification rules for optimisation

```

lemma [code-pred-simp]:  $\neg$  False == True
  <proof>

```

```

lemma [code-pred-simp]:  $\neg$  True == False
  <proof>

```

```

lemma less-nat-k-0 [code-pred-simp]: less-nat k 0 == False
  <proof>

```

**end**

## 147 A Prototype of Quickcheck based on the Predicate Compiler

```

theory Predicate-Compile-Quickcheck
  imports Predicate-Compile-Alternative-Defs
begin

```

<ML>

end

## 148 TFL: recursive function definitions

**theory** *Old-Recdef*  
**imports** *Main*  
**keywords**  
*recdef :: thy-defn and*  
*permissive congs hints*  
**begin**

### 148.1 Lemmas for TFL

**lemma** *tfl-wf-induct*:  $\forall R. \text{wf } R \longrightarrow$   
 $(\forall P. (\forall x. (\forall y. (y,x) \in R \longrightarrow P y) \longrightarrow P x) \longrightarrow (\forall x. P x))$   
 $\langle \text{proof} \rangle$

**lemma** *tfl-cut-def*:  $\text{cut } f \ r \ x \equiv (\lambda y. \text{if } (y,x) \in r \text{ then } f \ y \text{ else undefined})$   
 $\langle \text{proof} \rangle$

**lemma** *tfl-cut-apply*:  $\forall f \ R. (x,a) \in R \longrightarrow (\text{cut } f \ R \ a)(x) = f(x)$   
 $\langle \text{proof} \rangle$

**lemma** *tfl-wfrec*:  
 $\forall M \ R \ f. (f = \text{wfrec } R \ M) \longrightarrow \text{wf } R \longrightarrow (\forall x. f \ x = M (\text{cut } f \ R \ x) \ x)$   
 $\langle \text{proof} \rangle$

**lemma** *tfl-eq-True*:  $(x = \text{True}) \longrightarrow x$   
 $\langle \text{proof} \rangle$

**lemma** *tfl-rev-eq-mp*:  $(x = y) \longrightarrow y \longrightarrow x$   
 $\langle \text{proof} \rangle$

**lemma** *tfl-simp-thm*:  $(x \longrightarrow y) \longrightarrow (x = x') \longrightarrow (x' \longrightarrow y)$   
 $\langle \text{proof} \rangle$

**lemma** *tfl-P-imp-P-iff-True*:  $P \Longrightarrow P = \text{True}$   
 $\langle \text{proof} \rangle$

**lemma** *tfl-imp-trans*:  $(A \longrightarrow B) \Longrightarrow (B \longrightarrow C) \Longrightarrow (A \longrightarrow C)$   
 $\langle \text{proof} \rangle$

**lemma** *tfl-disj-assoc*:  $(a \vee b) \vee c \equiv a \vee (b \vee c)$   
 $\langle \text{proof} \rangle$

**lemma** *tfl-disjE*:  $P \vee Q \Longrightarrow P \longrightarrow R \Longrightarrow Q \longrightarrow R \Longrightarrow R$   
 $\langle \text{proof} \rangle$

**lemma** *tfl-exE*:  $\exists x. P \ x \Longrightarrow \forall x. P \ x \longrightarrow Q \Longrightarrow Q$

⟨*proof*⟩

⟨*ML*⟩

## 148.2 Rule setup

**lemmas** [*recdef-simp*] =  
*inv-image-def*  
*measure-def*  
*lex-prod-def*  
*same-fst-def*  
*less-Suc-eq* [*THEN iffD2*]

**lemmas** [*recdef-cong*] =  
*if-cong* *let-cong* *image-cong* *INF-cong* *SUP-cong* *bex-cong* *ball-cong* *imp-cong*  
*map-cong* *filter-cong* *takeWhile-cong* *dropWhile-cong* *foldl-cong* *foldr-cong*

**lemmas** [*recdef-wf*] =  
*wf-trancl*  
*wf-less-than*  
*wf-lex-prod*  
*wf-inv-image*  
*wf-measure*  
*wf-measures*  
*wf-pred-nat*  
*wf-same-fst*  
*wf-empty*

**end**

## 149 Program extraction from proofs involving datatypes and inductive predicates

**theory** *Realizers*  
**imports** *Main*  
**begin**

⟨*ML*⟩

**end**

## 150 Refute

**theory** *Refute*  
**imports** *Main*  
**keywords**  
*refute* :: *diag* **and**  
*refute-params* :: *thy-decl*



**begin**

*<ML>*

**refute-params**

```
[itself = 1,
 minsize = 1,
 maxsize = 8,
 maxvars = 10000,
 maxtime = 60,
 satsolver = auto,
 no-assms = false]
```

```
(* ----- *)
(* REFUTE                                           *)
(* ----- *)
(* We use a SAT solver to search for a (finite) model that refutes a given *)
(* HOL formula.                                     *)
(* ----- *)

(* ----- *)
(* NOTE                                             *)
(* ----- *)
(* I strongly recommend that you install a stand-alone SAT solver if you *)
(* want to use 'refute'. For details see 'HOL/Tools/sat_solver.ML'. If you *)
(* have installed (a supported version of) zChaff, simply set 'ZCHAFF_HOME' *)
(* in 'etc/settings'.                               *)
(* ----- *)

(* ----- *)
(* USAGE                                           *)
(* ----- *)
(* See the file 'HOL/ex/Refute_Examples.thy' for examples. The supported *)
(* parameters are explained below.                 *)
(* ----- *)

(* ----- *)
(* CURRENT LIMITATIONS                             *)
(* ----- *)
(* 'refute' currently accepts formulas of higher-order predicate logic (with *)
(* equality), including free/bound/schematic variables, lambda abstractions, *)
(* sets and set membership, "arbitrary", "The", "Eps", records and *)
(* inductively defined sets. Constants are unfolded automatically, and sort *)
(* axioms are added as well. Other, user-asserted axioms however are *)
(* ignored. Inductive datatypes and recursive functions are supported, but *)
(* may lead to spurious countermodels.            *)
(* ----- *)
(* The (space) complexity of the algorithm is non-elementary. *)
(* ----- *)
```

```

(* Schematic type variables are not supported. *)
(* ----- *)

(* ----- *)
(* PARAMETERS *)
(*
(* The following global parameters are currently supported (and required,
(* except for "expect"):
(*
(* Name          Type      Description
(*
(* "minsize"     int       Only search for models with size at least
(*                   'minsize'.
(* "maxsize"     int       If >0, only search for models with size at most
(*                   'maxsize'.
(* "maxvars"     int       If >0, use at most 'maxvars' boolean variables
(*                   when transforming the term into a propositional
(*                   formula.
(* "maxtime"     int       If >0, terminate after at most 'maxtime' seconds.
(*                   This value is ignored under some ML compilers.
(* "satsolver"   string    Name of the SAT solver to be used.
(* "no_assms"    bool      If "true", assumptions in structured proofs are
(*                   not considered.
(* "expect"      string    Expected result ("genuine", "potential", "none", or
(*                   "unknown").
(*
(*
(* The size of particular types can be specified in the form type=size
(* (where 'type' is a string, and 'size' is an int).  Examples:
(* "'a'=1
(* "List.list=2
(* ----- *)

(* ----- *)
(* FILES
(*
(* HOL/Tools/prop_logic.ML      Propositional logic
(* HOL/Tools/sat_solver.ML      SAT solvers
(* HOL/Tools/refute.ML          Translation HOL -> propositional logic and
(*                               Boolean assignment -> HOL model
(* HOL/Refute.thy               This file: loads the ML files, basic setup,
(*                               documentation
(* HOL/SAT.thy                  Sets default parameters
(* HOL/ex/Refute_Examples.thy   Examples
(* ----- *)

```

end

## References

- [1] F. Haftmann and T. Nipkow. Code generation via higher-order rewrite systems. In M. Blume, N. Kobayashi, and G. Vidal, editors, *Functional and Logic Programming: 10th International Symposium: FLOPS 2010*, volume 6009, 2010.
- [2] D. Leijen. Division and modulus for computer scientists. 2001.
- [3] A. Lochbihler and P. Stoop. Lazy algebraic types in Isabelle/HOL. In *Isabelle Workshop 2018*, 2018.
- [4] A. Podelski and A. Rybalchenko. Transition invariants. In *19th Annual IEEE Symposium on Logic in Computer Science (LICS'04)*, pages 32–41, 2004.