

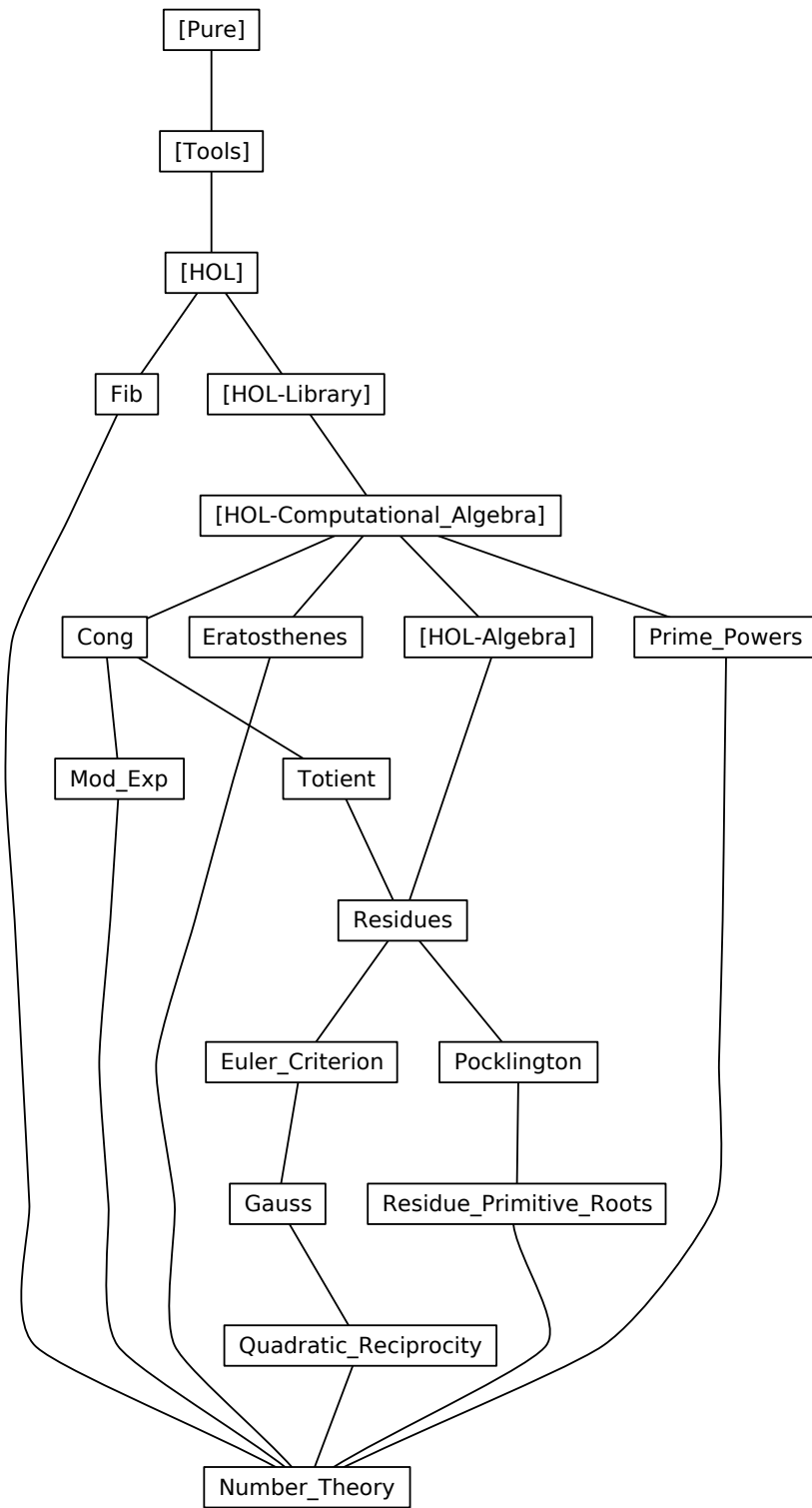
Various results of number theory

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1 The fibonacci function

```
theory Fib
  imports Complex-Main
begin
```

1.1 Fibonacci numbers

```
fun fib :: nat ⇒ nat
  where
    fib0: fib 0 = 0
  | fib1: fib (Suc 0) = 1
  | fib2: fib (Suc (Suc n)) = fib (Suc n) + fib n
```

1.2 Basic Properties

```
lemma fib-1 [simp]: fib 1 = 1
  <proof>
```

```
lemma fib-2 [simp]: fib 2 = 1
  <proof>
```

```
lemma fib-plus-2: fib (n + 2) = fib (n + 1) + fib n
  <proof>
```

```
lemma fib-add: fib (Suc (n + k)) = fib (Suc k) * fib (Suc n) + fib k * fib n
  <proof>
```

```
lemma fib-neq-0-nat: n > 0 ⇒ fib n > 0
  <proof>
```

```
lemma fib-Suc-mono: fib m ≤ fib (Suc m)
  <proof>
```

```
lemma fib-mono: m ≤ n ⇒ fib m ≤ fib n
  <proof>
```

1.3 More efficient code

The naive approach is very inefficient since the branching recursion leads to many values of *fib* being computed multiple times. We can avoid this by “remembering” the last two values in the sequence, yielding a tail-recursive version. This is far from optimal (it takes roughly $O(n \cdot M(n))$ time where $M(n)$ is the time required to multiply two n -bit integers), but much better than the naive version, which is exponential.

```
fun gen-fib :: nat ⇒ nat ⇒ nat ⇒ nat
  where
    gen-fib a b 0 = a
  | gen-fib a b (Suc 0) = b
```

| $gen\text{-}fib\ a\ b\ (Suc\ (Suc\ n)) = gen\text{-}fib\ b\ (a + b)\ (Suc\ n)$

lemma *gen-fib-recurrence*: $gen\text{-}fib\ a\ b\ (Suc\ (Suc\ n)) = gen\text{-}fib\ a\ b\ n + gen\text{-}fib\ a\ b\ (Suc\ n)$
 ⟨proof⟩

lemma *gen-fib-fib*: $gen\text{-}fib\ (fib\ n)\ (fib\ (Suc\ n))\ m = fib\ (n + m)$
 ⟨proof⟩

lemma *fib-conv-gen-fib*: $fib\ n = gen\text{-}fib\ 0\ 1\ n$
 ⟨proof⟩

declare *fib-conv-gen-fib* [code]

1.4 A Few Elementary Results

Concrete Mathematics, page 278: Cassini's identity. The proof is much easier using integers, not natural numbers!

lemma *fib-Cassini-int*: $int\ (fib\ (Suc\ (Suc\ n)) * fib\ n) - int((fib\ (Suc\ n))^2) = -((-1)^n)$
 ⟨proof⟩

lemma *fib-Cassini-nat*:
 $fib\ (Suc\ (Suc\ n)) * fib\ n =$
(if even n then (fib (Suc n))² - 1 else (fib (Suc n))² + 1)
 ⟨proof⟩

1.5 Law 6.111 of Concrete Mathematics

lemma *coprime-fib-Suc-nat*: $coprime\ (fib\ n)\ (fib\ (Suc\ n))$
 ⟨proof⟩

lemma *gcd-fib-add*:
 $gcd\ (fib\ m)\ (fib\ (n + m)) = gcd\ (fib\ m)\ (fib\ n)$
 ⟨proof⟩

lemma *gcd-fib-diff*: $m \leq n \implies gcd\ (fib\ m)\ (fib\ (n - m)) = gcd\ (fib\ m)\ (fib\ n)$
 ⟨proof⟩

lemma *gcd-fib-mod*: $0 < m \implies gcd\ (fib\ m)\ (fib\ (n\ mod\ m)) = gcd\ (fib\ m)\ (fib\ n)$
 ⟨proof⟩

lemma *fib-gcd*: $fib\ (gcd\ m\ n) = gcd\ (fib\ m)\ (fib\ n)$ — Law 6.111
 ⟨proof⟩

theorem *fib-mult-eq-sum-nat*: $fib\ (Suc\ n) * fib\ n = (\sum k \in \{..n\}. fib\ k * fib\ k)$
 ⟨proof⟩

1.6 Closed form

lemma *fib-closed-form*:

fixes $\varphi \ \psi :: \text{real}$
defines $\varphi \equiv (1 + \text{sqrt } 5) / 2$
and $\psi \equiv (1 - \text{sqrt } 5) / 2$
shows $\text{of-nat } (\text{fib } n) = (\varphi ^ n - \psi ^ n) / \text{sqrt } 5$
<proof>

lemma *fib-closed-form'*:

fixes $\varphi \ \psi :: \text{real}$
defines $\varphi \equiv (1 + \text{sqrt } 5) / 2$
and $\psi \equiv (1 - \text{sqrt } 5) / 2$
assumes $n > 0$
shows $\text{fib } n = \text{round } (\varphi ^ n / \text{sqrt } 5)$
<proof>

lemma *fib-asymptotics*:

fixes $\varphi :: \text{real}$
defines $\varphi \equiv (1 + \text{sqrt } 5) / 2$
shows $(\lambda n. \text{real } (\text{fib } n) / (\varphi ^ n / \text{sqrt } 5)) \longrightarrow 1$
<proof>

1.7 Divide-and-Conquer recurrence

The following divide-and-conquer recurrence allows for a more efficient computation of Fibonacci numbers; however, it requires memoisation of values to be reasonably efficient, cutting the number of values to be computed to logarithmically many instead of linearly many. The vast majority of the computation time is then actually spent on the multiplication, since the output number is exponential in the input number.

lemma *fib-rec-odd*:

fixes $\varphi \ \psi :: \text{real}$
defines $\varphi \equiv (1 + \text{sqrt } 5) / 2$
and $\psi \equiv (1 - \text{sqrt } 5) / 2$
shows $\text{fib } (\text{Suc } (2 * n)) = \text{fib } n ^ 2 + \text{fib } (\text{Suc } n) ^ 2$
<proof>

lemma *fib-rec-even*: $\text{fib } (2 * n) = (\text{fib } (n - 1) + \text{fib } (n + 1)) * \text{fib } n$
<proof>

lemma *fib-rec-even'*: $\text{fib } (2 * n) = (2 * \text{fib } (n - 1) + \text{fib } n) * \text{fib } n$
<proof>

lemma *fib-rec*:

$\text{fib } n =$
(if $n = 0$ *then* 0 *else if* $n = 1$ *then* 1
else if even n *then let* $n' = n \text{ div } 2$; $fn = \text{fib } n'$ *in* $(2 * \text{fib } (n' - 1) + fn) * fn$
else let $n' = n \text{ div } 2$ *in* $\text{fib } n' ^ 2 + \text{fib } (\text{Suc } n') ^ 2$ *)*

<proof>

1.8 Fibonacci and Binomial Coefficients

lemma *sum-drop-zero*: $(\sum k = 0..Suc\ n.\ \text{if } 0 < k \text{ then } (f\ (k - 1)) \text{ else } 0) = (\sum j = 0..n.\ f\ j)$
<proof>

lemma *sum-choose-drop-zero*:
 $(\sum k = 0..Suc\ n.\ \text{if } k = 0 \text{ then } 0 \text{ else } (Suc\ n - k) \text{ choose } (k - 1)) = (\sum j = 0..n.\ (n-j) \text{ choose } j)$
<proof>

lemma *ne-diagonal-fib*: $(\sum k = 0..n.\ (n-k) \text{ choose } k) = fib\ (Suc\ n)$
<proof>

end

2 Congruence

theory *Cong*
imports *HOL-Computational-Algebra.Primes*
begin

2.1 Generic congruences

context *unique-euclidean-semiring*
begin

definition *cong* :: 'a \Rightarrow 'a \Rightarrow 'a \Rightarrow bool $\langle\langle I[- = -] (' mod -) \rangle\rangle$
where *cong* b c a $\longleftrightarrow b \text{ mod } a = c \text{ mod } a$

abbreviation *notcong* :: 'a \Rightarrow 'a \Rightarrow 'a \Rightarrow bool $\langle\langle I[- \neq -] (' mod -) \rangle\rangle$
where *notcong* b c a $\equiv \neg \text{cong } b\ c\ a$

lemma *cong-refl* [*simp*]:
[b = b] (mod a)
<proof>

lemma *cong-sym*:
[b = c] (mod a) \implies [c = b] (mod a)
<proof>

lemma *cong-sym-eq*:
[b = c] (mod a) \longleftrightarrow [c = b] (mod a)
<proof>

lemma *cong-trans* [*trans*]:
[b = c] (mod a) \implies [c = d] (mod a) \implies [b = d] (mod a)

$\langle proof \rangle$

lemma *cong-mult-self-right*:

$$[b * a = 0] \pmod{a}$$

$\langle proof \rangle$

lemma *cong-mult-self-left*:

$$[a * b = 0] \pmod{a}$$

$\langle proof \rangle$

lemma *cong-mod-left* [*simp*]:

$$[b \pmod{a} = c] \pmod{a} \longleftrightarrow [b = c] \pmod{a}$$

$\langle proof \rangle$

lemma *cong-mod-right* [*simp*]:

$$[b = c \pmod{a}] \pmod{a} \longleftrightarrow [b = c] \pmod{a}$$

$\langle proof \rangle$

lemma *cong-0* [*simp, presburger*]:

$$[b = c] \pmod{0} \longleftrightarrow b = c$$

$\langle proof \rangle$

lemma *cong-1* [*simp, presburger*]:

$$[b = c] \pmod{1}$$

$\langle proof \rangle$

lemma *cong-dvd-iff*:

$$a \text{ dvd } b \longleftrightarrow a \text{ dvd } c \text{ if } [b = c] \pmod{a}$$

$\langle proof \rangle$

lemma *cong-0-iff*: $[b = 0] \pmod{a} \longleftrightarrow a \text{ dvd } b$

$\langle proof \rangle$

lemma *cong-add*:

$$[b = c] \pmod{a} \Longrightarrow [d = e] \pmod{a} \Longrightarrow [b + d = c + e] \pmod{a}$$

$\langle proof \rangle$

lemma *cong-mult*:

$$[b = c] \pmod{a} \Longrightarrow [d = e] \pmod{a} \Longrightarrow [b * d = c * e] \pmod{a}$$

$\langle proof \rangle$

lemma *cong-scalar-right*:

$$[b = c] \pmod{a} \Longrightarrow [b * d = c * d] \pmod{a}$$

$\langle proof \rangle$

lemma *cong-scalar-left*:

$$[b = c] \pmod{a} \Longrightarrow [d * b = d * c] \pmod{a}$$

$\langle proof \rangle$

lemma *cong-pow*:

$$[b = c] \text{ (mod } a) \implies [b \wedge n = c \wedge n] \text{ (mod } a)$$

<proof>

lemma *cong-sum*:

$$[\text{sum } f A = \text{sum } g A] \text{ (mod } a) \text{ if } \bigwedge x. x \in A \implies [f x = g x] \text{ (mod } a)$$

<proof>

lemma *cong-prod*:

$$[\text{prod } f A = \text{prod } g A] \text{ (mod } a) \text{ if } (\bigwedge x. x \in A \implies [f x = g x] \text{ (mod } a))$$

<proof>

lemma *mod-mult-cong-right*:

$$[c \text{ mod } (a * b) = d] \text{ (mod } a) \longleftrightarrow [c = d] \text{ (mod } a)$$

<proof>

lemma *mod-mult-cong-left*:

$$[c \text{ mod } (b * a) = d] \text{ (mod } a) \longleftrightarrow [c = d] \text{ (mod } a)$$

<proof>

end

context *unique-euclidean-ring*

begin

lemma *cong-diff*:

$$[b = c] \text{ (mod } a) \implies [d = e] \text{ (mod } a) \implies [b - d = c - e] \text{ (mod } a)$$

<proof>

lemma *cong-diff-iff-cong-0*:

$$[b - c = 0] \text{ (mod } a) \longleftrightarrow [b = c] \text{ (mod } a) \text{ (is } ?P \longleftrightarrow ?Q)$$

<proof>

lemma *cong-minus-minus-iff*:

$$[- b = - c] \text{ (mod } a) \longleftrightarrow [b = c] \text{ (mod } a)$$

<proof>

lemma *cong-modulus-minus-iff* [*iff*]:

$$[b = c] \text{ (mod } - a) \longleftrightarrow [b = c] \text{ (mod } a)$$

<proof>

lemma *cong-iff-dvd-diff*:

$$[a = b] \text{ (mod } m) \longleftrightarrow m \text{ dvd } (a - b)$$

<proof>

lemma *cong-iff-lin*:

$$[a = b] \text{ (mod } m) \longleftrightarrow (\exists k. b = a + m * k) \text{ (is } ?P \longleftrightarrow ?Q)$$

<proof>

lemma *cong-add-lcancel*:

$[a + x = a + y] \pmod n \longleftrightarrow [x = y] \pmod n$
<proof>

lemma *cong-add-rcancel*:

$[x + a = y + a] \pmod n \longleftrightarrow [x = y] \pmod n$
<proof>

lemma *cong-add-lcancel-0*:

$[a + x = a] \pmod n \longleftrightarrow [x = 0] \pmod n$
<proof>

lemma *cong-add-rcancel-0*:

$[x + a = a] \pmod n \longleftrightarrow [x = 0] \pmod n$
<proof>

lemma *cong-dvd-modulus*:

$[x = y] \pmod n$ **if** $[x = y] \pmod m$ **and** $n \text{ dvd } m$
<proof>

lemma *cong-modulus-mult*:

$[x = y] \pmod m$ **if** $[x = y] \pmod{m * n}$
<proof>

end

lemma *cong-abs [simp]*:

$[x = y] \pmod{|m|} \longleftrightarrow [x = y] \pmod m$
for $x y :: 'a :: \{\text{unique-euclidean-ring, linordered-idom}\}$
<proof>

lemma *cong-square*:

$\text{prime } p \implies 0 < a \implies [a * a = 1] \pmod p \implies [a = 1] \pmod p \vee [a = - 1] \pmod p$
for $a p :: 'a :: \{\text{normalization-semidom, linordered-idom, unique-euclidean-ring}\}$
<proof>

lemma *cong-mult-rcancel*:

$[a * k = b * k] \pmod m \longleftrightarrow [a = b] \pmod m$
if $\text{coprime } k m$ **for** $a k m :: 'a :: \{\text{unique-euclidean-ring, ring-gcd}\}$
<proof>

lemma *cong-mult-lcancel*:

$[k * a = k * b] \pmod m = [a = b] \pmod m$
if $\text{coprime } k m$ **for** $a k m :: 'a :: \{\text{unique-euclidean-ring, ring-gcd}\}$
<proof>

lemma *coprime-cong-mult*:

$[a = b] \pmod m \implies [a = b] \pmod n \implies \text{coprime } m n \implies [a = b] \pmod{m * n}$

for $a\ b :: 'a :: \{\text{unique-euclidean-ring, semiring-gcd}\}$
 ⟨proof⟩

lemma *cong-gcd-eq*:

$\text{gcd } a\ m = \text{gcd } b\ m$ **if** $[a = b] \pmod{m}$
for $a\ b :: 'a :: \{\text{unique-euclidean-semiring, euclidean-semiring-gcd}\}$
 ⟨proof⟩

lemma *cong-imp-coprime*:

$[a = b] \pmod{m} \implies \text{coprime } a\ m \implies \text{coprime } b\ m$
for $a\ b :: 'a :: \{\text{unique-euclidean-semiring, euclidean-semiring-gcd}\}$
 ⟨proof⟩

lemma *cong-cong-prod-coprime*:

$[x = y] \pmod{(\prod_{i \in A} m\ i)}$ **if**
 $(\forall i \in A. [x = y] \pmod{m\ i})$
 $(\forall i \in A. (\forall j \in A. i \neq j \longrightarrow \text{coprime } (m\ i) (m\ j)))$
for $x\ y :: 'a :: \{\text{unique-euclidean-ring, semiring-gcd}\}$
 ⟨proof⟩

2.2 Congruences on *nat* and *int*

lemma *cong-int-iff*:

$[\text{int } m = \text{int } q] \pmod{\text{int } n} \longleftrightarrow [m = q] \pmod{n}$
 ⟨proof⟩

lemma *cong-Suc-0* [*simp, presburger*]:

$[m = n] \pmod{\text{Suc } 0}$
 ⟨proof⟩

lemma *cong-diff-nat*:

$[a - c = b - d] \pmod{m}$ **if** $[a = b] \pmod{m}$ $[c = d] \pmod{m}$
and $a \geq c$ $b \geq d$ **for** $a\ b\ c\ d\ m :: \text{nat}$
 ⟨proof⟩

lemma *cong-diff-iff-cong-0-nat*:

$[a - b = 0] \pmod{m} \longleftrightarrow [a = b] \pmod{m}$ **if** $a \geq b$ **for** $a\ b :: \text{nat}$
 ⟨proof⟩

lemma *cong-diff-iff-cong-0-nat'*:

$[\text{nat } | \text{int } a - \text{int } b| = 0] \pmod{m} \longleftrightarrow [a = b] \pmod{m}$
 ⟨proof⟩

lemma *cong-altdef-nat*:

$a \geq b \implies [a = b] \pmod{m} \longleftrightarrow m\ \text{dvd } (a - b)$
for $a\ b :: \text{nat}$
 ⟨proof⟩

lemma *cong-altdef-nat'*:

$[a = b] \text{ (mod } m) \longleftrightarrow m \text{ dvd nat } | \text{int } a - \text{int } b |$
<proof>

lemma *cong-mult-rcancel-nat*:
 $[a * k = b * k] \text{ (mod } m) \longleftrightarrow [a = b] \text{ (mod } m)$
if *coprime k m for a k m :: nat*
<proof>

lemma *cong-mult-lcancel-nat*:
 $[k * a = k * b] \text{ (mod } m) = [a = b] \text{ (mod } m)$
if *coprime k m for a k m :: nat*
<proof>

lemma *coprime-cong-mult-nat*:
 $[a = b] \text{ (mod } m) \implies [a = b] \text{ (mod } n) \implies \text{coprime } m \ n \implies [a = b] \text{ (mod } m * n)$
for *a b :: nat*
<proof>

lemma *cong-less-imp-eq-nat*: $0 \leq a \implies a < m \implies 0 \leq b \implies b < m \implies [a = b] \text{ (mod } m) \implies a = b$
for *a b :: nat*
<proof>

lemma *cong-less-imp-eq-int*: $0 \leq a \implies a < m \implies 0 \leq b \implies b < m \implies [a = b] \text{ (mod } m) \implies a = b$
for *a b :: int*
<proof>

lemma *cong-less-unique-nat*: $0 < m \implies (\exists ! b. 0 \leq b \wedge b < m \wedge [a = b] \text{ (mod } m))$
for *a m :: nat*
<proof>

lemma *cong-less-unique-int*: $0 < m \implies (\exists ! b. 0 \leq b \wedge b < m \wedge [a = b] \text{ (mod } m))$
for *a m :: int*
<proof>

lemma *cong-iff-lin-nat*: $[a = b] \text{ (mod } m) \longleftrightarrow (\exists k1 \ k2. b + k1 * m = a + k2 * m)$
for *a b :: nat*
<proof>

lemma *cong-cong-mod-nat*: $[a = b] \text{ (mod } m) \longleftrightarrow [a \text{ mod } m = b \text{ mod } m] \text{ (mod } m)$
for *a b :: nat*
<proof>

lemma *cong-cong-mod-int*: $[a = b] \text{ (mod } m) \longleftrightarrow [a \text{ mod } m = b \text{ mod } m] \text{ (mod } m)$
for *a b :: int*
<proof>

lemma *cong-add-lcancel-nat*: $[a + x = a + y] \pmod n \longleftrightarrow [x = y] \pmod n$
for $a\ x\ y :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *cong-add-rcancel-nat*: $[x + a = y + a] \pmod n \longleftrightarrow [x = y] \pmod n$
for $a\ x\ y :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *cong-add-lcancel-0-nat*: $[a + x = a] \pmod n \longleftrightarrow [x = 0] \pmod n$
for $a\ x :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *cong-add-rcancel-0-nat*: $[x + a = a] \pmod n \longleftrightarrow [x = 0] \pmod n$
for $a\ x :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *cong-dvd-modulus-nat*: $[x = y] \pmod m \implies n \text{ dvd } m \implies [x = y] \pmod n$
for $x\ y :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *cong-to-1-nat*:
fixes $a :: \text{nat}$
assumes $[a = 1] \pmod n$
shows $n \text{ dvd } (a - 1)$
 $\langle \text{proof} \rangle$

lemma *cong-0-1-nat'*: $[0 = \text{Suc } 0] \pmod n \longleftrightarrow n = \text{Suc } 0$
 $\langle \text{proof} \rangle$

lemma *cong-0-1-nat*: $[0 = 1] \pmod n \longleftrightarrow n = 1$
for $n :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *cong-0-1-int*: $[0 = 1] \pmod n \longleftrightarrow n = 1 \vee n = -1$
for $n :: \text{int}$
 $\langle \text{proof} \rangle$

lemma *cong-to-1'-nat*: $[a = 1] \pmod n \longleftrightarrow a = 0 \wedge n = 1 \vee (\exists m. a = 1 + m * n)$
for $a :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *cong-le-nat*: $y \leq x \implies [x = y] \pmod n \longleftrightarrow (\exists q. x = q * n + y)$
for $x\ y :: \text{nat}$
 $\langle \text{proof} \rangle$

lemma *cong-solve-nat*:
fixes $a :: \text{nat}$

shows $\exists x. [a * x = \text{gcd } a \ n] \ (\text{mod } n)$
<proof>

lemma *cong-solve-int*:
fixes $a :: \text{int}$
shows $\exists x. [a * x = \text{gcd } a \ n] \ (\text{mod } n)$
<proof>

lemma *cong-solve-dvd-nat*:
fixes $a :: \text{nat}$
assumes $\text{gcd } a \ n \ \text{dvd } d$
shows $\exists x. [a * x = d] \ (\text{mod } n)$
<proof>

lemma *cong-solve-dvd-int*:
fixes $a :: \text{int}$
assumes $b: \text{gcd } a \ n \ \text{dvd } d$
shows $\exists x. [a * x = d] \ (\text{mod } n)$
<proof>

lemma *cong-solve-coprime-nat*:
 $\exists x. [a * x = \text{Suc } 0] \ (\text{mod } n)$ **if** *coprime a n*
<proof>

lemma *cong-solve-coprime-int*:
 $\exists x. [a * x = 1] \ (\text{mod } n)$ **if** *coprime a n* **for** $a \ n \ x :: \text{int}$
<proof>

lemma *coprime-iff-invertible-nat*:
 $\text{coprime } a \ m \longleftrightarrow (\exists x. [a * x = \text{Suc } 0] \ (\text{mod } m))$ **(is ?P \longleftrightarrow ?Q)**
<proof>

lemma *coprime-iff-invertible-int*:
 $\text{coprime } a \ m \longleftrightarrow (\exists x. [a * x = 1] \ (\text{mod } m))$ **(is ?P \longleftrightarrow ?Q) for $m :: \text{int}$**
<proof>

lemma *coprime-iff-invertible'-nat*:
assumes $m > 0$
shows $\text{coprime } a \ m \longleftrightarrow (\exists x. 0 \leq x \wedge x < m \wedge [a * x = \text{Suc } 0] \ (\text{mod } m))$
<proof>

lemma *coprime-iff-invertible'-int*:
fixes $m :: \text{int}$
assumes $m > 0$
shows $\text{coprime } a \ m \longleftrightarrow (\exists x. 0 \leq x \wedge x < m \wedge [a * x = 1] \ (\text{mod } m))$
<proof>

lemma *cong-cong-lcm-nat*: $[x = y] \ (\text{mod } a) \implies [x = y] \ (\text{mod } b) \implies [x = y] \ (\text{mod } \text{lcm } a \ b)$

for $x\ y :: \text{nat}$
 <proof>

lemma *cong-cong-lcm-int*: $[x = y] (\text{mod } a) \implies [x = y] (\text{mod } b) \implies [x = y] (\text{mod } \text{lcm } a\ b)$
for $x\ y :: \text{int}$
 <proof>

lemma *cong-cong-prod-coprime-nat*:
 $[x = y] (\text{mod } (\prod_{i \in A} m\ i))$ **if**
 ($\forall i \in A. [x = y] (\text{mod } m\ i)$)
 ($\forall i \in A. (\forall j \in A. i \neq j \longrightarrow \text{coprime } (m\ i) (m\ j))$)
for $x\ y :: \text{nat}$
 <proof>

lemma *binary-chinese-remainder-nat*:
fixes $m1\ m2 :: \text{nat}$
assumes $a: \text{coprime } m1\ m2$
shows $\exists x. [x = u1] (\text{mod } m1) \wedge [x = u2] (\text{mod } m2)$
 <proof>

lemma *binary-chinese-remainder-int*:
fixes $m1\ m2 :: \text{int}$
assumes $a: \text{coprime } m1\ m2$
shows $\exists x. [x = u1] (\text{mod } m1) \wedge [x = u2] (\text{mod } m2)$
 <proof>

lemma *cong-modulus-mult-nat*: $[x = y] (\text{mod } m * n) \implies [x = y] (\text{mod } m)$
for $x\ y :: \text{nat}$
 <proof>

lemma *cong-less-modulus-unique-nat*: $[x = y] (\text{mod } m) \implies x < m \implies y < m \implies x = y$
for $x\ y :: \text{nat}$
 <proof>

lemma *binary-chinese-remainder-unique-nat*:
fixes $m1\ m2 :: \text{nat}$
assumes $a: \text{coprime } m1\ m2$
and $nz: m1 \neq 0\ m2 \neq 0$
shows $\exists! x. x < m1 * m2 \wedge [x = u1] (\text{mod } m1) \wedge [x = u2] (\text{mod } m2)$
 <proof>

lemma *chinese-remainder-nat*:
fixes $A :: 'a\ \text{set}$
and $m :: 'a \Rightarrow \text{nat}$
and $u :: 'a \Rightarrow \text{nat}$
assumes $fin: \text{finite } A$
and $cop: \forall i \in A. \forall j \in A. i \neq j \longrightarrow \text{coprime } (m\ i) (m\ j)$

shows $\exists x. \forall i \in A. [x = u\ i] \pmod{m\ i}$
 <proof>

lemma *coprime-cong-prod-nat*: $[x = y] \pmod{\prod_{i \in A} m\ i}$
if $\bigwedge i\ j. [i \in A; j \in A; i \neq j] \implies \text{coprime } (m\ i)\ (m\ j)$
and $\bigwedge i. i \in A \implies [x = y] \pmod{m\ i}$ **for** $x\ y :: \text{nat}$
 <proof>

lemma *chinese-remainder-unique-nat*:
fixes $A :: 'a\ \text{set}$
and $m :: 'a \Rightarrow \text{nat}$
and $u :: 'a \Rightarrow \text{nat}$
assumes *fin*: $\text{finite } A$
and *nz*: $\forall i \in A. m\ i \neq 0$
and *cop*: $\forall i \in A. \forall j \in A. i \neq j \longrightarrow \text{coprime } (m\ i)\ (m\ j)$
shows $\exists! x. x < (\prod_{i \in A} m\ i) \wedge (\forall i \in A. [x = u\ i] \pmod{m\ i})$
 <proof>

lemma (**in** *semiring-1-cancel*) *of-nat-eq-iff-cong-CHAR*:
 $\text{of-nat } x = (\text{of-nat } y :: 'a) \longleftrightarrow [x = y] \pmod{\text{CHAR}('a)}$
 <proof>

lemma (**in** *ring-1*) *of-int-eq-iff-cong-CHAR*:
 $\text{of-int } x = (\text{of-int } y :: 'a) \longleftrightarrow [x = y] \pmod{\text{int } \text{CHAR}('a)}$
 <proof>

end

3 Fundamental facts about Euler's totient function

theory *Totient*
imports
 Complex-Main
 HOL-Computational-Algebra.Primes
 Cong
begin

definition *totatives* :: $\text{nat} \Rightarrow \text{nat}\ \text{set}$ **where**
 $\text{totatives } n = \{k \in \{0 <..n\}. \text{coprime } k\ n\}$

lemma *in-totatives-iff*: $k \in \text{totatives } n \longleftrightarrow k > 0 \wedge k \leq n \wedge \text{coprime } k\ n$
 <proof>

lemma *totatives-code* [*code*]: $\text{totatives } n = \text{Set.filter } (\lambda k. \text{coprime } k\ n) \{0 <..n\}$
 <proof>

lemma *finite-totatives* [*simp*]: $\text{finite } (\text{totatives } n)$

<proof>

lemma *totatives-subset*: $\text{totatives } n \subseteq \{0 <.. n\}$
<proof>

lemma *zero-not-in-totatives* [*simp*]: $0 \notin \text{totatives } n$
<proof>

lemma *totatives-le*: $x \in \text{totatives } n \implies x \leq n$
<proof>

lemma *totatives-less*:
 assumes $x \in \text{totatives } n \ n > 1$
 shows $x < n$
<proof>

lemma *totatives-0* [*simp*]: $\text{totatives } 0 = \{\}$
<proof>

lemma *totatives-1* [*simp*]: $\text{totatives } 1 = \{\text{Suc } 0\}$
<proof>

lemma *totatives-Suc-0* [*simp*]: $\text{totatives } (\text{Suc } 0) = \{\text{Suc } 0\}$
<proof>

lemma *one-in-totatives* [*simp*]: $n > 0 \implies \text{Suc } 0 \in \text{totatives } n$
<proof>

lemma *totatives-eq-empty-iff* [*simp*]: $\text{totatives } n = \{\} \longleftrightarrow n = 0$
<proof>

lemma *minus-one-in-totatives*:
 assumes $n \geq 2$
 shows $n - 1 \in \text{totatives } n$
<proof>

lemma *power-in-totatives*:
 assumes $m > 1 \ \text{coprime } m \ g$
 shows $g \wedge i \ \text{mod } m \in \text{totatives } m$
<proof>

lemma *totatives-prime-power-Suc*:
 assumes *prime* p
 shows $\text{totatives } (p \wedge \text{Suc } n) = \{0 <.. p \wedge \text{Suc } n\} - (\lambda m. p * m) \text{ ' } \{0 <.. p \wedge n\}$
<proof>

lemma *totatives-prime*: *prime* $p \implies \text{totatives } p = \{0 <.. <p\}$
<proof>

lemma *bij-betw-totatives*:

assumes $m1 > 1$ $m2 > 1$ *coprime* $m1$ $m2$

shows *bij-betw* $(\lambda x. (x \bmod m1, x \bmod m2))$ (*totatives* $(m1 * m2)$)
(*totatives* $m1$ \times *totatives* $m2$)

<proof>

lemma *bij-betw-totatives-gcd-eq*:

fixes n $d :: nat$

assumes $d \text{ dvd } n$ $n > 0$

shows *bij-betw* $(\lambda k. k * d)$ (*totatives* $(n \text{ div } d)$) $\{k \in \{0 <..n\}. \text{gcd } k \ n = d\}$

<proof>

definition *totient* $:: nat \Rightarrow nat$ **where**

totient $n = \text{card } (\text{totatives } n)$

primrec *totient-naive* $:: nat \Rightarrow nat \Rightarrow nat \Rightarrow nat$ **where**

totient-naive 0 *acc* $n = acc$

| *totient-naive* $(\text{Suc } k)$ *acc* $n =$

(*if coprime* $(\text{Suc } k)$ n *then totient-naive* k $(acc + 1)$ n *else totient-naive* k *acc* n)

lemma *totient-naive*:

totient-naive k *acc* $n = \text{card } \{x \in \{0 <..k\}. \text{coprime } x \ n\} + acc$

<proof>

lemma *totient-code-naive* [*code*]: *totient* $n = \text{totient-naive } n$ 0 n

<proof>

lemma *totient-le*: *totient* $n \leq n$

<proof>

lemma *totient-less*:

assumes $n > 1$

shows *totient* $n < n$

<proof>

lemma *totient-0* [*simp*]: *totient* $0 = 0$

<proof>

lemma *totient-Suc-0* [*simp*]: *totient* $(\text{Suc } 0) = \text{Suc } 0$

<proof>

lemma *totient-1* [*simp*]: *totient* $1 = \text{Suc } 0$

<proof>

lemma *totient-0-iff* [*simp*]: *totient* $n = 0 \iff n = 0$

<proof>

lemma *totient-gt-0-iff* [*simp*]: *totient* $n > 0 \iff n > 0$

<proof>

lemma *totient-gt-1*:

assumes $n > 2$

shows $\text{totient } n > 1$

<proof>

lemma *card-gcd-eq-totient*:

$n > 0 \implies d \text{ dvd } n \implies \text{card } \{k \in \{0 <..n\}. \text{gcd } k \ n = d\} = \text{totient } (n \text{ div } d)$

<proof>

lemma *totient-divisor-sum*: $(\sum d \mid d \text{ dvd } n. \text{totient } d) = n$

<proof>

lemma *totient-mult-coprime*:

assumes *coprime* $m \ n$

shows $\text{totient } (m * n) = \text{totient } m * \text{totient } n$

<proof>

lemma *totient-prime-power-Suc*:

assumes *prime* p

shows $\text{totient } (p \wedge \text{Suc } n) = p \wedge n * (p - 1)$

<proof>

lemma *totient-prime-power*:

assumes *prime* $p \ n > 0$

shows $\text{totient } (p \wedge n) = p \wedge (n - 1) * (p - 1)$

<proof>

lemma *totient-imp-prime*:

assumes $\text{totient } p = p - 1 \ p > 0$

shows *prime* p

<proof>

lemma *totient-prime*:

assumes *prime* p

shows $\text{totient } p = p - 1$

<proof>

lemma *totient-2* [*simp*]: $\text{totient } 2 = 1$

and *totient-3* [*simp*]: $\text{totient } 3 = 2$

and *totient-5* [*simp*]: $\text{totient } 5 = 4$

and *totient-7* [*simp*]: $\text{totient } 7 = 6$

<proof>

lemma *totient-4* [*simp*]: $\text{totient } 4 = 2$

and *totient-8* [*simp*]: $\text{totient } 8 = 4$

and *totient-9* [*simp*]: $\text{totient } 9 = 6$

<proof>

lemma *totient-6* [*simp*]: $\text{totient } 6 = 2$
<proof>

lemma *totient-even*:
 assumes $n > 2$
 shows $\text{even } (\text{totient } n)$
<proof>

lemma *totient-prod-coprime*:
 assumes *pairwise coprime* ($f \text{ ' } A$) *inj-on* $f A$
 shows $\text{totient } (\text{prod } f A) = (\prod_{a \in A} \text{totient } (f a))$
<proof>

lemma *prime-power-eq-imp-eq*:
 fixes $p q :: 'a :: \text{factorial-semiring}$
 assumes *prime* p *prime* q $m > 0$
 assumes $p^m = q^n$
 shows $p = q$
<proof>

lemma *totient-formula1*:
 assumes $n > 0$
 shows $\text{totient } n = (\prod_{p \in \text{prime-factors } n} p^{(\text{multiplicity } p n - 1) * (p - 1)})$
<proof>

lemma *totient-dvd*:
 assumes $m \text{ dvd } n$
 shows $\text{totient } m \text{ dvd } \text{totient } n$
<proof>

lemma *totient-dvd-mono*:
 assumes $m \text{ dvd } n$ $n > 0$
 shows $\text{totient } m \leq \text{totient } n$
<proof>

lemma *prime-factors-power*: $n > 0 \implies \text{prime-factors } (x^n) = \text{prime-factors } x$
<proof>

lemma *totient-formula2*:
 $\text{real } (\text{totient } n) = \text{real } n * (\prod_{p \in \text{prime-factors } n} 1 - 1 / \text{real } p)$
<proof>

lemma *totient-gcd*: $\text{totient } (a * b) * \text{totient } (\text{gcd } a b) = \text{totient } a * \text{totient } b * \text{gcd } a b$
<proof>

lemma *totient-mult*: $\text{totient } (a * b) = \text{totient } a * \text{totient } b * \text{gcd } a \ b \ \text{div } \text{totient } (\text{gcd } a \ b)$
 ⟨proof⟩

lemma *of-nat-eq-1-iff*: $\text{of-nat } x = (1 :: 'a :: \{\text{semiring-1}, \text{semiring-char-0}\}) \longleftrightarrow x = 1$
 ⟨proof⟩

lemma *odd-imp-coprime-nat*:
 assumes *odd* ($n :: \text{nat}$)
 shows *coprime* $n \ 2$
 ⟨proof⟩

lemma *totient-double*: $\text{totient } (2 * n) = (\text{if even } n \ \text{then } 2 * \text{totient } n \ \text{else } \text{totient } n)$
 ⟨proof⟩

lemma *totient-power-Suc*: $\text{totient } (n \wedge \text{Suc } m) = n \wedge m * \text{totient } n$
 ⟨proof⟩

lemma *totient-power*: $m > 0 \implies \text{totient } (n \wedge m) = n \wedge (m - 1) * \text{totient } n$
 ⟨proof⟩

lemma *totient-gcd-lcm*: $\text{totient } (\text{gcd } a \ b) * \text{totient } (\text{lcm } a \ b) = \text{totient } a * \text{totient } b$
 ⟨proof⟩

end

4 Residue rings

theory *Residues*

imports

Cong

HOL-Algebra.Multiplicative-Group

Totient

begin

lemma (in *ring-1*) *CHAR-dvd-CARD*: $\text{CHAR}('a) \ \text{dvd } \text{card } (\text{UNIV} :: 'a \ \text{set})$
 ⟨proof⟩

definition *QuadRes* :: $\text{int} \Rightarrow \text{int} \Rightarrow \text{bool}$
 where $\text{QuadRes } p \ a = (\exists y. ([y \wedge 2 = a] \ (\text{mod } p)))$

definition *Legendre* :: $\text{int} \Rightarrow \text{int} \Rightarrow \text{int}$
 where $\text{Legendre } a \ p =$
 (if $[a = 0] \ (\text{mod } p)$ then 0
 else if $\text{QuadRes } p \ a$ then 1
 else -1)

4.1 A locale for residue rings

definition *residue-ring* :: *int* \Rightarrow *int ring*

where

residue-ring *m* =
(*carrier* = {0..*m* - 1},
monoid.mult = $\lambda x y. (x * y) \bmod m$,
one = 1,
zero = 0,
add = $\lambda x y. (x + y) \bmod m$)

locale *residues* =

fixes *m* :: *int* **and** *R* (**structure**)

assumes *m-gt-one*: *m* > 1

defines *R-m-def*: *R* \equiv *residue-ring* *m*

begin

lemma *abelian-group*: *abelian-group* *R*

<proof>

lemma *comm-monoid*: *comm-monoid* *R*

<proof>

interpretation *comm-monoid* *R*

<proof>

lemma *cring*: *cring* *R*

<proof>

end

sublocale *residues* < *cring*

<proof>

context *residues*

begin

These lemmas translate back and forth between internal and external concepts.

lemma *res-carrier-eq*: *carrier* *R* = {0..*m* - 1}

<proof>

lemma *res-add-eq*: $x \oplus y = (x + y) \bmod m$

<proof>

lemma *res-mult-eq*: $x \otimes y = (x * y) \bmod m$

<proof>

lemma *res-zero-eq*: **0** = 0

<proof>

lemma *res-one-eq*: $\mathbf{1} = 1$

<proof>

lemma *res-units-eq*: $\text{Units } R = \{x. 0 < x \wedge x < m \wedge \text{coprime } x \ m\}$ (**is** - = ?*rhs*)

<proof>

lemma *res-neg-eq*: $\ominus x = (- x) \text{ mod } m$

<proof>

lemma *finite* [*iff*]: *finite* (*carrier* *R*)

<proof>

lemma *finite-Units* [*iff*]: *finite* (*Units* *R*)

<proof>

The function $a \mapsto a \text{ mod } m$ maps the integers to the residue classes. The following lemmas show that this mapping respects addition and multiplication on the integers.

lemma *mod-in-carrier* [*iff*]: $a \text{ mod } m \in \text{carrier } R$

<proof>

lemma *add-cong*: $(x \text{ mod } m) \oplus (y \text{ mod } m) = (x + y) \text{ mod } m$

<proof>

lemma *mult-cong*: $(x \text{ mod } m) \otimes (y \text{ mod } m) = (x * y) \text{ mod } m$

<proof>

lemma *zero-cong*: $\mathbf{0} = 0$

<proof>

lemma *one-cong*: $\mathbf{1} = 1 \text{ mod } m$

<proof>

lemma *pow-cong*: $(x \text{ mod } m) [\wedge] n = x^{\wedge}n \text{ mod } m$

<proof>

lemma *neg-cong*: $\ominus (x \text{ mod } m) = (- x) \text{ mod } m$

<proof>

lemma (**in** *residues*) *prod-cong*: $\text{finite } A \implies (\otimes_{i \in A}. (f \ i) \text{ mod } m) = (\prod_{i \in A}. f \ i) \text{ mod } m$

<proof>

lemma (**in** *residues*) *sum-cong*: $\text{finite } A \implies (\oplus_{i \in A}. (f \ i) \text{ mod } m) = (\sum_{i \in A}. f \ i) \text{ mod } m$

<proof>

lemma *mod-in-res-units* [*simp*]:
assumes $1 < m$ **and** *coprime a m*
shows $a \bmod m \in \text{Units } R$
<proof>

lemma *res-eq-to-cong*: $(a \bmod m) = (b \bmod m) \longleftrightarrow [a = b] \pmod{m}$
<proof>

Simplifying with these will translate a ring equation in R to a congruence.

lemmas *res-to-cong-simps* =
add-cong mult-cong pow-cong one-cong
prod-cong sum-cong neg-cong res-eq-to-cong

Other useful facts about the residue ring.

lemma *one-eq-neg-one*: $1 = \ominus 1 \implies m = 2$
<proof>

end

4.2 Prime residues

locale *residues-prime* =
fixes $p :: \text{nat}$ **and** R (**structure**)
assumes *p-prime* [*intro*]: *prime p*
defines $R \equiv \text{residue-ring } (\text{int } p)$

sublocale *residues-prime* < *residues p*
<proof>

context *residues-prime*
begin

lemma *p-coprime-left*:
coprime p a $\longleftrightarrow \neg p \text{ dvd } a$
<proof>

lemma *p-coprime-right*:
coprime a p $\longleftrightarrow \neg p \text{ dvd } a$
<proof>

lemma *p-coprime-left-int*:
coprime (int p) a $\longleftrightarrow \neg \text{int } p \text{ dvd } a$
<proof>

lemma *p-coprime-right-int*:
coprime a (int p) $\longleftrightarrow \neg \text{int } p \text{ dvd } a$
<proof>

lemma *is-field: field R*
⟨*proof*⟩

lemma *res-prime-units-eq: Units R = {1..p - 1}*
⟨*proof*⟩

end

sublocale *residues-prime < field*
⟨*proof*⟩

5 Test cases: Euler's theorem and Wilson's theorem

5.1 Euler's theorem

lemma (**in** *residues*) *totatives-eq:*
totatives (nat m) = nat ' Units R
⟨*proof*⟩

lemma (**in** *residues*) *totient-eq:*
totient (nat m) = card (Units R)
⟨*proof*⟩

lemma (**in** *residues-prime*) *prime-totient-eq: totient p = p - 1*
⟨*proof*⟩

lemma (**in** *residues*) *euler-theorem:*
assumes *coprime a m*
shows [*a ^ totient (nat m) = 1*] (*mod m*)
⟨*proof*⟩

lemma *euler-theorem:*
fixes *a m :: nat*
assumes *coprime a m*
shows [*a ^ totient m = 1*] (*mod m*)
⟨*proof*⟩

lemma *fermat-theorem:*
fixes *p a :: nat*
assumes *prime p and ¬ p dvd a*
shows [*a ^ (p - 1) = 1*] (*mod p*)
⟨*proof*⟩

5.2 Wilson's theorem

lemma (**in** *field*) *inv-pair-lemma: x ∈ Units R ⇒ y ∈ Units R ⇒*
{x, inv x} ≠ {y, inv y} ⇒ {x, inv x} ∩ {y, inv y} = {}
⟨*proof*⟩

```

lemma (in residues-prime) wilson-theorem1:
  assumes  $a: p > 2$ 
  shows  $[fact (p - 1) = (-1::int)] (mod\ p)$ 
  <proof>

```

```

lemma wilson-theorem:
  assumes prime  $p$ 
  shows  $[fact (p - 1) = - 1] (mod\ p)$ 
  <proof>

```

This result can be transferred to the multiplicative group of $\mathbb{Z}/p\mathbb{Z}$ for p prime.

```

lemma mod-nat-int-pow-eq:
  fixes  $n :: nat$  and  $p\ a :: int$ 
  shows  $a \geq 0 \implies p \geq 0 \implies (nat\ a \wedge n)\ mod\ (nat\ p) = nat\ ((a \wedge n)\ mod\ p)$ 
  <proof>

```

```

theorem residue-prime-mult-group-has-gen:
  fixes  $p :: nat$ 
  assumes prime-p : prime  $p$ 
  shows  $\exists a \in \{1 .. p - 1\}. \{1 .. p - 1\} = \{a \wedge i\ mod\ p \mid i . i \in UNIV\}$ 
  <proof>

```

5.3 Upper bound for the number of n -th roots

```

lemma roots-mod-prime-bound:
  fixes  $n\ c\ p :: nat$ 
  assumes prime  $p$   $n > 0$ 
  defines  $A \equiv \{x \in \{..<p\}. [x \wedge n = c] (mod\ p)\}$ 
  shows  $card\ A \leq n$ 
  <proof>

```

end

6 The sieve of Eratosthenes

```

theory Eratosthenes
  imports Main HOL-Computational-Algebra.Primes
begin

```

6.1 Preliminary: strict divisibility

```

context dvd
begin

```

```

abbreviation dvd-strict :: ' $a \Rightarrow 'a \Rightarrow bool$  (infixl dvd'-strict 50)

```

where

$b \text{ dvd-strict } a \equiv b \text{ dvd } a \wedge \neg a \text{ dvd } b$

end

6.2 Main corpus

The sieve is modelled as a list of booleans, where *False* means *marked out*.

type-synonym $\text{marks} = \text{bool list}$

definition $\text{numbers-of-marks} :: \text{nat} \Rightarrow \text{marks} \Rightarrow \text{nat set}$

where

$\text{numbers-of-marks } n \text{ bs} = \text{fst } \{x \in \text{set } (\text{enumerate } n \text{ bs}). \text{snd } x\}$

lemma $\text{numbers-of-marks-simps}$ [*simp*, *code*]:

$\text{numbers-of-marks } n \ [] = \{\}$

$\text{numbers-of-marks } n \ (\text{True} \# \text{bs}) = \text{insert } n \ (\text{numbers-of-marks } (\text{Suc } n) \text{bs})$

$\text{numbers-of-marks } n \ (\text{False} \# \text{bs}) = \text{numbers-of-marks } (\text{Suc } n) \text{bs}$

<proof>

lemma $\text{numbers-of-marks-Suc}$:

$\text{numbers-of-marks } (\text{Suc } n) \text{bs} = \text{Suc } \{ \text{numbers-of-marks } n \text{bs} \}$

<proof>

lemma $\text{numbers-of-marks-replicate-False}$ [*simp*]:

$\text{numbers-of-marks } n \ (\text{replicate } m \ \text{False}) = \{\}$

<proof>

lemma $\text{numbers-of-marks-replicate-True}$ [*simp*]:

$\text{numbers-of-marks } n \ (\text{replicate } m \ \text{True}) = \{n..<n+m\}$

<proof>

lemma $\text{in-numbers-of-marks-eq}$:

$m \in \text{numbers-of-marks } n \text{bs} \iff m \in \{n..<n + \text{length } \text{bs}\} \wedge \text{bs} ! (m - n)$

<proof>

lemma $\text{sorted-list-of-set-numbers-of-marks}$:

$\text{sorted-list-of-set } (\text{numbers-of-marks } n \text{bs}) = \text{map } \text{fst } (\text{filter } \text{snd } (\text{enumerate } n \text{bs}))$

<proof>

Marking out multiples in a sieve

definition $\text{mark-out} :: \text{nat} \Rightarrow \text{marks} \Rightarrow \text{marks}$

where

$\text{mark-out } n \text{bs} = \text{map } (\lambda(q, b). b \wedge \neg \text{Suc } n \text{ dvd } \text{Suc } (\text{Suc } q)) (\text{enumerate } n \text{bs})$

lemma mark-out-Nil [*simp*]: $\text{mark-out } n \ [] = []$

<proof>

lemma length-mark-out [*simp*]: $\text{length } (\text{mark-out } n \text{bs}) = \text{length } \text{bs}$

<proof>

lemma *numbers-of-marks-mark-out:*

*numbers-of-marks n (mark-out m bs) = {q ∈ numbers-of-marks n bs. ¬ Suc m
dvd Suc q - n}*

<proof>

Auxiliary operation for efficient implementation

definition *mark-out-aux :: nat ⇒ nat ⇒ marks ⇒ marks*

where

*mark-out-aux n m bs =
map (λ(q, b). b ∧ (q < m + n ∨ ¬ Suc n dvd Suc (Suc q) + (n - m mod Suc
n))) (enumerate n bs)*

lemma *mark-out-code [code]: mark-out n bs = mark-out-aux n n bs*

<proof>

lemma *mark-out-aux-simps [simp, code]:*

*mark-out-aux n m [] = []
mark-out-aux n 0 (b # bs) = False # mark-out-aux n n bs
mark-out-aux n (Suc m) (b # bs) = b # mark-out-aux n m bs
<proof>*

Main entry point to sieve

fun *sieve :: nat ⇒ marks ⇒ marks*

where

*sieve n [] = []
| sieve n (False # bs) = False # sieve (Suc n) bs
| sieve n (True # bs) = True # sieve (Suc n) (mark-out n bs)*

There are the following possible optimisations here:

- *sieve* can abort as soon as *n* is too big to let *mark-out* have any effect.
- Search for further primes can be given up as soon as the search position exceeds the square root of the maximum candidate.

This is left as an constructive exercise to the reader.

lemma *numbers-of-marks-sieve:*

*numbers-of-marks (Suc n) (sieve n bs) =
{q ∈ numbers-of-marks (Suc n) bs. ∀ m ∈ numbers-of-marks (Suc n) bs. ¬ m
dvd-strict q}*

<proof>

Relation of the sieve algorithm to actual primes

definition *primes-upto :: nat ⇒ nat list*

where

primes-upto n = sorted-list-of-set {m. m ≤ n ∧ prime m}

lemma *set-primes-upto*: $set (primes-upto\ n) = \{m. m \leq n \wedge prime\ m\}$
 ⟨proof⟩

lemma *sorted-primes-upto* [iff]: $sorted (primes-upto\ n)$
 ⟨proof⟩

lemma *distinct-primes-upto* [iff]: $distinct (primes-upto\ n)$
 ⟨proof⟩

lemma *set-primes-upto-sieve*:
 $set (primes-upto\ n) = numbers-of-marks\ 2 (sieve\ 1 (replicate\ (n - 1)\ True))$
 ⟨proof⟩

lemma *primes-upto-sieve* [code]:
 $primes-upto\ n = map\ fst (filter\ snd (enumerate\ 2 (sieve\ 1 (replicate\ (n - 1)\ True))))$
 ⟨proof⟩

lemma *prime-in-primes-upto*: $prime\ n \longleftrightarrow n \in set (primes-upto\ n)$
 ⟨proof⟩

6.3 Application: smallest prime beyond a certain number

definition *smallest-prime-beyond* :: $nat \Rightarrow nat$

where

$smallest-prime-beyond\ n = (LEAST\ p. prime\ p \wedge p \geq n)$

lemma *prime-smallest-prime-beyond* [iff]: $prime (smallest-prime-beyond\ n)$ (is ?P)

and *smallest-prime-beyond-le* [iff]: $smallest-prime-beyond\ n \geq n$ (is ?Q)
 ⟨proof⟩

lemma *smallest-prime-beyond-smallest*: $prime\ p \Longrightarrow p \geq n \Longrightarrow smallest-prime-beyond\ n \leq p$
 ⟨proof⟩

lemma *smallest-prime-beyond-eq*:

$prime\ p \Longrightarrow p \geq n \Longrightarrow (\bigwedge q. prime\ q \Longrightarrow q \geq n \Longrightarrow q \geq p) \Longrightarrow smallest-prime-beyond\ n = p$
 ⟨proof⟩

definition *smallest-prime-between* :: $nat \Rightarrow nat \Rightarrow nat\ option$

where

$smallest-prime-between\ m\ n =$

(if $(\exists p. prime\ p \wedge m \leq p \wedge p \leq n)$ then $Some (smallest-prime-beyond\ m)$ else $None$)

lemma *smallest-prime-between-None*:

smallest-prime-between $m\ n = \text{None} \iff (\forall q. m \leq q \wedge q \leq n \implies \neg \text{prime } q)$
 ⟨proof⟩

lemma *smallest-prime-between-Some*:

smallest-prime-between $m\ n = \text{Some } p \iff \text{smallest-prime-beyond } m = p \wedge p \leq n$
 ⟨proof⟩

lemma [code]: *smallest-prime-between* $m\ n = \text{List.find } (\lambda p. p \geq m) (\text{primes-upto } n)$
 ⟨proof⟩

definition *smallest-prime-beyond-aux* :: $\text{nat} \Rightarrow \text{nat} \Rightarrow \text{nat}$
where

smallest-prime-beyond-aux $k\ n = \text{smallest-prime-beyond } n$

lemma [code]:

smallest-prime-beyond-aux $k\ n =$
 (case *smallest-prime-between* $n\ (k * n)$ of
 Some $p \Rightarrow p$
 | *None* $\Rightarrow \text{smallest-prime-beyond-aux } (\text{Suc } k)\ n)$
 ⟨proof⟩

lemma [code]: *smallest-prime-beyond* $n = \text{smallest-prime-beyond-aux } 2\ n$
 ⟨proof⟩

end

7 Fast modular exponentiation

theory *Mod-Exp*

imports *Cong HOL-Library.Power-By-Squaring*

begin

context *euclidean-semiring-cancel*

begin

definition *mod-exp-aux* :: $'a \Rightarrow 'a \Rightarrow 'a \Rightarrow \text{nat} \Rightarrow 'a$

where *mod-exp-aux* $m = \text{efficient-funpow } (\lambda x\ y. x * y \text{ mod } m)$

lemma *mod-exp-aux-code* [code]:

mod-exp-aux $m\ y\ x\ n =$
 (if $n = 0$ then y
 else if $n = 1$ then $(x * y) \text{ mod } m$
 else if even n then *mod-exp-aux* $m\ y\ ((x * x) \text{ mod } m) (n \text{ div } 2)$
 else *mod-exp-aux* $m\ ((x * y) \text{ mod } m) ((x * x) \text{ mod } m) (n \text{ div } 2)$)
 ⟨proof⟩

lemma *mod-exp-aux-correct*:

$mod_exp_aux\ m\ y\ x\ n\ mod\ m = (x \wedge n * y) mod\ m$
 ⟨proof⟩

definition $mod_exp :: 'a \Rightarrow nat \Rightarrow 'a \Rightarrow 'a$
where $mod_exp\ b\ e\ m = (b \wedge e) mod\ m$

lemma $mod_exp_code\ [code]:\ mod_exp\ b\ e\ m = mod_exp_aux\ m\ 1\ b\ e\ mod\ m$
 ⟨proof⟩

end

lemmas $[code_abbrev] = mod_exp_def[\mathbf{where}\ ?'a = nat]\ mod_exp_def[\mathbf{where}\ ?'a = int]$

lemma $cong_power_nat_code\ [code_unfold]:$
 $[b \wedge e = (x :: nat)]\ (mod\ m) \longleftrightarrow mod_exp\ b\ e\ m = x\ mod\ m$
 ⟨proof⟩

lemma $cong_power_int_code\ [code_unfold]:$
 $[b \wedge e = (x :: int)]\ (mod\ m) \longleftrightarrow mod_exp\ b\ e\ m = x\ mod\ m$
 ⟨proof⟩

The following rules allow the simplifier to evaluate mod_exp efficiently.

lemma $eval_mod_exp_aux\ [simp]:$
 $mod_exp_aux\ m\ y\ x\ 0 = y$
 $mod_exp_aux\ m\ y\ x\ (Suc\ 0) = (x * y) mod\ m$
 $mod_exp_aux\ m\ y\ x\ (numeral\ (num.Bit0\ n)) =$
 $mod_exp_aux\ m\ y\ (x^2 mod\ m)\ (numeral\ n)$
 $mod_exp_aux\ m\ y\ x\ (numeral\ (num.Bit1\ n)) =$
 $mod_exp_aux\ m\ ((x * y) mod\ m)\ (x^2 mod\ m)\ (numeral\ n)$
 ⟨proof⟩

lemma $eval_mod_exp\ [simp]:$
 $mod_exp\ b'\ 0\ m' = 1 mod\ m'$
 $mod_exp\ b'\ 1\ m' = b' mod\ m'$
 $mod_exp\ b'\ (Suc\ 0)\ m' = b' mod\ m'$
 $mod_exp\ b'\ e'\ 0 = b' \wedge e'$
 $mod_exp\ b'\ e'\ 1 = 0$
 $mod_exp\ b'\ e'\ (Suc\ 0) = 0$
 $mod_exp\ 0\ 1\ m' = 0$
 $mod_exp\ 0\ (Suc\ 0)\ m' = 0$
 $mod_exp\ 0\ (numeral\ e)\ m' = 0$
 $mod_exp\ 1\ e'\ m' = 1 mod\ m'$
 $mod_exp\ (Suc\ 0)\ e'\ m' = 1 mod\ m'$
 $mod_exp\ (numeral\ b)\ (numeral\ e)\ (numeral\ m) =$
 $mod_exp_aux\ (numeral\ m)\ 1\ (numeral\ b)\ (numeral\ e)\ mod\ numeral\ m$
 ⟨proof⟩

end

theory *Euler-Criterion*
imports *Residues*
begin

context

fixes $p :: \text{nat}$
fixes $a :: \text{int}$

assumes $p\text{-prime}$: $\text{prime } p$
assumes $p\text{-ge-2}$: $2 < p$
assumes $p\text{-a-relprime}$: $[a \neq 0](\text{mod } p)$

begin

private lemma $\text{odd-}p$: $\text{odd } p$

$\langle \text{proof} \rangle$ **lemma** $p\text{-minus-1-int}$:

$\text{int } (p - 1) = \text{int } p - 1$

$\langle \text{proof} \rangle$ **lemma** $p\text{-not-eq-Suc-0}$ [simp]:

$p \neq \text{Suc } 0$

$\langle \text{proof} \rangle$ **lemma** $\text{one-mod-int-}p\text{-eq}$ [simp]:

$1 \text{ mod int } p = 1$

$\langle \text{proof} \rangle$ **lemma** $E\text{-1}$:

assumes $\text{QuadRes } (\text{int } p) a$

shows $[a \wedge ((p - 1) \text{ div } 2) = 1] (\text{mod int } p)$

$\langle \text{proof} \rangle$ **definition** $S1 :: \text{int set}$ **where** $S1 = \{0 <.. \text{int } p - 1\}$

private definition $P :: \text{int} \Rightarrow \text{int} \Rightarrow \text{bool}$ **where**

$P x y \longleftrightarrow [x * y = a] (\text{mod } p) \wedge y \in S1$

private definition $f\text{-1} :: \text{int} \Rightarrow \text{int}$ **where**

$f\text{-1 } x = (\text{THE } y. P x y)$

private definition $f :: \text{int} \Rightarrow \text{int set}$ **where**

$f x = \{x, f\text{-1 } x\}$

private definition $S2 :: \text{int set set}$ **where** $S2 = f \text{ ` } S1$

private lemma $P\text{-lemma}$: **assumes** $x \in S1$

shows $\exists! y. P x y$

$\langle \text{proof} \rangle$ **lemma** $f\text{-1-lemma-1}$: **assumes** $x \in S1$

shows $P x (f\text{-1 } x)$ $\langle \text{proof} \rangle$ **lemma** $f\text{-1-lemma-2}$: **assumes** $x \in S1$

shows $f\text{-1 } (f\text{-1 } x) = x$

$\langle \text{proof} \rangle$ **lemma** $f\text{-lemma-1}$: **assumes** $x \in S1$

shows $f x = f (f\text{-1 } x)$ $\langle \text{proof} \rangle$ **lemma** $l1$: **assumes** $\neg \text{QuadRes } p a$ $x \in S1$

shows $x \neq f\text{-1 } x$

$\langle \text{proof} \rangle$ **lemma** $l2$: **assumes** $\neg \text{QuadRes } p a$ $x \in S1$

shows $\prod (f x) = a$ ($\text{mod } p$)

<proof> **lemma l3: assumes** $x \in S2$
shows *finite* x <proof> **lemma l4:** $S1 = \bigcup S2$ <proof> **lemma l5: assumes** $x \in S2$ $y \in S2$ $x \neq y$
shows $x \cap y = \{\}$
 <proof> **lemma l6:** $\text{prod Prod } S2 = \prod S1$
 <proof> **lemma l7: fact** $n = \prod \{0 <.. \text{int } n\}$
 <proof> **lemma l8: fact** $(p - 1) = \prod S1$ <proof> **lemma l9:** $[\text{prod Prod } S2 = -1]$
 $(\text{mod } p)$
 <proof> **lemma l10: assumes** $\text{card } S = n \wedge x. x \in S \implies [g \ x = a] (\text{mod } p)$
shows $[\text{prod } g \ S = a \wedge n] (\text{mod } p)$ <proof> **lemma l11: assumes** $\neg \text{QuadRes } p \ a$
shows $\text{card } S2 = (p - 1) \ \text{div } 2$
 <proof> **lemma l12: assumes** $\neg \text{QuadRes } p \ a$
shows $[\text{prod Prod } S2 = a \wedge ((p - 1) \ \text{div } 2)] (\text{mod } p)$
 <proof> **lemma E-2: assumes** $\neg \text{QuadRes } p \ a$
shows $[a \wedge ((p - 1) \ \text{div } 2) = -1] (\text{mod } p)$ <proof>

lemma euler-criterion-aux: $[(\text{Legendre } a \ p) = a \wedge ((p - 1) \ \text{div } 2)] (\text{mod } p)$
 <proof>

end

theorem euler-criterion: assumes *prime* p $2 < p$
shows $[(\text{Legendre } a \ p) = a \wedge ((p - 1) \ \text{div } 2)] (\text{mod } p)$
 <proof>

hide-fact *euler-criterion-aux*

end

8 Gauss' Lemma

theory *Gauss*
imports *Euler-Criterion*
begin

lemma cong-prime-prod-zero-nat:
 $[a * b = 0] (\text{mod } p) \implies \text{prime } p \implies [a = 0] (\text{mod } p) \vee [b = 0] (\text{mod } p)$
for $a :: \text{nat}$
 <proof>

lemma cong-prime-prod-zero-int:
 $[a * b = 0] (\text{mod } p) \implies \text{prime } p \implies [a = 0] (\text{mod } p) \vee [b = 0] (\text{mod } p)$
for $a :: \text{int}$
 <proof>

locale *GAUSS* =
fixes $p :: \text{nat}$
fixes $a :: \text{int}$

```

assumes p-prime: prime p
assumes p-ge-2: 2 < p
assumes p-a-relprime: [a ≠ 0](mod p)
assumes a-nonzero: 0 < a
begin

definition A = {0::int <.. ((int p - 1) div 2)}
definition B = (λx. x * a) ‘ A
definition C = (λx. x mod p) ‘ B
definition D = C ∩ {.. (int p - 1) div 2}
definition E = C ∩ {(int p - 1) div 2 <..}
definition F = (λx. (int p - x)) ‘ E

```

8.1 Basic properties of *p*

```

lemma odd-p: odd p
  ⟨proof⟩

```

```

lemma p-minus-one-l: (int p - 1) div 2 < p
  ⟨proof⟩

```

```

lemma p-eq2: int p = (2 * ((int p - 1) div 2)) + 1
  ⟨proof⟩

```

```

lemma p-odd-int: obtains z :: int where int p = 2 * z + 1 0 < z
  ⟨proof⟩

```

8.2 Basic Properties of the Gauss Sets

```

lemma finite-A: finite A
  ⟨proof⟩

```

```

lemma finite-B: finite B
  ⟨proof⟩

```

```

lemma finite-C: finite C
  ⟨proof⟩

```

```

lemma finite-D: finite D
  ⟨proof⟩

```

```

lemma finite-E: finite E
  ⟨proof⟩

```

```

lemma finite-F: finite F
  ⟨proof⟩

```

```

lemma C-eq: C = D ∪ E
  ⟨proof⟩

```

lemma *A-card-eq*: $\text{card } A = \text{nat } ((\text{int } p - 1) \text{ div } 2)$
<proof>

lemma *inj-on-xa-A*: $\text{inj-on } (\lambda x. x * a) A$
<proof>

definition *ResSet* :: $\text{int} \Rightarrow \text{int set} \Rightarrow \text{bool}$
where $\text{ResSet } m X \longleftrightarrow (\forall y1 y2. y1 \in X \wedge y2 \in X \wedge [y1 = y2] (\text{mod } m) \longrightarrow y1 = y2)$

lemma *ResSet-image*:
 $0 < m \Longrightarrow \text{ResSet } m A \Longrightarrow \forall x \in A. \forall y \in A. ([f x = f y] (\text{mod } m) \longrightarrow x = y)$
 $\Longrightarrow \text{ResSet } m (f ` A)$
<proof>

lemma *A-res*: $\text{ResSet } p A$
<proof>

lemma *B-res*: $\text{ResSet } p B$
<proof>

lemma *SR-B-inj*: $\text{inj-on } (\lambda x. x \text{ mod } p) B$
<proof>

lemma *nonzero-mod-p*: $0 < x \Longrightarrow x < \text{int } p \Longrightarrow [x \neq 0] (\text{mod } p)$
for $x :: \text{int}$
<proof>

lemma *A-ncong-p*: $x \in A \Longrightarrow [x \neq 0] (\text{mod } p)$
<proof>

lemma *A-greater-zero*: $x \in A \Longrightarrow 0 < x$
<proof>

lemma *B-ncong-p*: $x \in B \Longrightarrow [x \neq 0] (\text{mod } p)$
<proof>

lemma *B-greater-zero*: $x \in B \Longrightarrow 0 < x$
<proof>

lemma *B-mod-greater-zero*:
 $0 < x \text{ mod } \text{int } p$ **if** $x \in B$
<proof>

lemma *C-greater-zero*: $y \in C \Longrightarrow 0 < y$
<proof>

lemma *F-subset*: $F \subseteq \{x. 0 < x \wedge x \leq ((\text{int } p - 1) \text{ div } 2)\}$
<proof>

lemma *D-subset*: $D \subseteq \{x. 0 < x \wedge x \leq ((p - 1) \text{ div } 2)\}$
 ⟨proof⟩

lemma *F-eq*: $F = \{x. \exists y \in A. (x = p - ((y * a) \bmod p) \wedge (\text{int } p - 1) \text{ div } 2 < (y * a) \bmod p)\}$
 ⟨proof⟩

lemma *D-eq*: $D = \{x. \exists y \in A. (x = (y * a) \bmod p \wedge (y * a) \bmod p \leq (\text{int } p - 1) \text{ div } 2)\}$
 ⟨proof⟩

lemma *all-A-relprime*:
coprime x p if x ∈ A
 ⟨proof⟩

lemma *A-prod-relprime*: *coprime (prod id A) p*
 ⟨proof⟩

8.3 Relationships Between Gauss Sets

lemma *StandardRes-inj-on-ResSet*: $\text{ResSet } m \ X \implies \text{inj-on } (\lambda b. b \bmod m) \ X$
 ⟨proof⟩

lemma *B-card-eq-A*: $\text{card } B = \text{card } A$
 ⟨proof⟩

lemma *B-card-eq*: $\text{card } B = \text{nat } ((\text{int } p - 1) \text{ div } 2)$
 ⟨proof⟩

lemma *F-card-eq-E*: $\text{card } F = \text{card } E$
 ⟨proof⟩

lemma *C-card-eq-B*: $\text{card } C = \text{card } B$
 ⟨proof⟩

lemma *D-E-disj*: $D \cap E = \{\}$
 ⟨proof⟩

lemma *C-card-eq-D-plus-E*: $\text{card } C = \text{card } D + \text{card } E$
 ⟨proof⟩

lemma *C-prod-eq-D-times-E*: $\text{prod id } E * \text{prod id } D = \text{prod id } C$
 ⟨proof⟩

lemma *C-B-zcong-prod*: $[\text{prod id } C = \text{prod id } B] \pmod{p}$
 ⟨proof⟩

lemma *F-Un-D-subset*: $(F \cup D) \subseteq A$

<proof>

lemma *F-D-disj*: $(F \cap D) = \{\}$
<proof>

lemma *F-Un-D-card*: $\text{card } (F \cup D) = \text{nat } ((p - 1) \text{ div } 2)$
<proof>

lemma *F-Un-D-eq-A*: $F \cup D = A$
<proof>

lemma *prod-D-F-eq-prod-A*: $\text{prod id } D * \text{prod id } F = \text{prod id } A$
<proof>

lemma *prod-F-zcong*: $[\text{prod id } F = ((-1) \wedge (\text{card } E)) * \text{prod id } E] \pmod{p}$
<proof>

8.4 Gauss' Lemma

lemma *aux*: $\text{prod id } A * (-1) \wedge \text{card } E * a \wedge \text{card } A * (-1) \wedge \text{card } E = \text{prod id } A * a \wedge \text{card } A$
<proof>

theorem *pre-gauss-lemma*: $[a \wedge \text{nat}((\text{int } p - 1) \text{ div } 2) = (-1) \wedge (\text{card } E)] \pmod{p}$
<proof>

theorem *gauss-lemma*: *Legendre* $a \text{ p} = (-1) \wedge (\text{card } E)$
<proof>

end

end

theory *Quadratic-Reciprocity*

imports *Gauss*

begin

The proof is based on Gauss's fifth proof, which can be found at <https://www.lehigh.edu/~shw2/q-recip/gauss5.pdf>.

locale *QR* =
 fixes $p :: \text{nat}$
 fixes $q :: \text{nat}$
 assumes *p-prime*: *prime* p
 assumes *p-ge-2*: $2 < p$
 assumes *q-prime*: *prime* q
 assumes *q-ge-2*: $2 < q$
 assumes *pq-neq*: $p \neq q$

begin

lemma *odd-p*: *odd p*
⟨*proof*⟩

lemma *p-ge-0*: $0 < \text{int } p$
⟨*proof*⟩

lemma *p-eq2*: $\text{int } p = (2 * ((\text{int } p - 1) \text{div } 2)) + 1$
⟨*proof*⟩

lemma *odd-q*: *odd q*
⟨*proof*⟩

lemma *q-ge-0*: $0 < \text{int } q$
⟨*proof*⟩

lemma *q-eq2*: $\text{int } q = (2 * ((\text{int } q - 1) \text{div } 2)) + 1$
⟨*proof*⟩

lemma *pq-eq2*: $\text{int } p * \text{int } q = (2 * ((\text{int } p * \text{int } q - 1) \text{div } 2)) + 1$
⟨*proof*⟩

lemma *pq-coprime*: *coprime p q*
⟨*proof*⟩

lemma *pq-coprime-int*: *coprime (int p) (int q)*
⟨*proof*⟩

lemma *qp-ineq*: $\text{int } p * k \leq (\text{int } p * \text{int } q - 1) \text{div } 2 \iff k \leq (\text{int } q - 1) \text{div } 2$
⟨*proof*⟩

lemma *QRqp*: *QR q p*
⟨*proof*⟩

lemma *pq-commute*: $\text{int } p * \text{int } q = \text{int } q * \text{int } p$
⟨*proof*⟩

lemma *pq-ge-0*: $\text{int } p * \text{int } q > 0$
⟨*proof*⟩

definition $r = ((p - 1) \text{div } 2) * ((q - 1) \text{div } 2)$

definition $m = \text{card } (\text{GAUSS.E } p \ q)$

definition $n = \text{card } (\text{GAUSS.E } q \ p)$

abbreviation $\text{Res } k \equiv \{0 .. k - 1\}$ **for** $k :: \text{int}$

abbreviation $\text{Res-ge-0 } k \equiv \{0 <.. k - 1\}$ **for** $k :: \text{int}$

abbreviation $\text{Res-0 } k \equiv \{0::\text{int}\}$ **for** $k :: \text{int}$

abbreviation $\text{Res-l } k \equiv \{0 <.. (k - 1) \text{div } 2\}$ **for** $k :: \text{int}$

abbreviation $Res\text{-}h\ k \equiv \{(k - 1) \text{ div } 2 <.. k - 1\}$ for $k :: int$

abbreviation $Sets\text{-}pq\ r0\ r1\ r2 \equiv$

$\{(x::int). x \in r0\ (int\ p * int\ q) \wedge x \text{ mod } p \in r1\ (int\ p) \wedge x \text{ mod } q \in r2\ (int\ q)\}$

definition $A = Sets\text{-}pq\ Res\text{-}l\ Res\text{-}l\ Res\text{-}h$

definition $B = Sets\text{-}pq\ Res\text{-}l\ Res\text{-}h\ Res\text{-}l$

definition $C = Sets\text{-}pq\ Res\text{-}h\ Res\text{-}h\ Res\text{-}l$

definition $D = Sets\text{-}pq\ Res\text{-}l\ Res\text{-}h\ Res\text{-}h$

definition $E = Sets\text{-}pq\ Res\text{-}l\ Res\text{-}0\ Res\text{-}h$

definition $F = Sets\text{-}pq\ Res\text{-}l\ Res\text{-}h\ Res\text{-}0$

definition $a = card\ A$

definition $b = card\ B$

definition $c = card\ C$

definition $d = card\ D$

definition $e = card\ E$

definition $f = card\ F$

lemma $Gpq: GAUSS\ p\ q$

$\langle proof \rangle$

lemma $Gqp: GAUSS\ q\ p$

$\langle proof \rangle$

lemma $QR\text{-}lemma\text{-}01: (\lambda x. x \text{ mod } q) \text{ ' } E = GAUSS.E\ q\ p$

$\langle proof \rangle$

lemma $QR\text{-}lemma\text{-}02: e = n$

$\langle proof \rangle$

lemma $QR\text{-}lemma\text{-}03: f = m$

$\langle proof \rangle$

definition $f\text{-}1 :: int \Rightarrow int \times int$

where $f\text{-}1\ x = ((x \text{ mod } p), (x \text{ mod } q))$

definition $P\text{-}1 :: int \times int \Rightarrow int \Rightarrow bool$

where $P\text{-}1\ res\ x \longleftrightarrow x \text{ mod } p = fst\ res \wedge x \text{ mod } q = snd\ res \wedge x \in Res\ (int\ p * int\ q)$

definition $g\text{-}1 :: int \times int \Rightarrow int$

where $g\text{-}1\ res = (THE\ x. P\text{-}1\ res\ x)$

lemma $P\text{-}1\text{-}lemma:$

fixes $res :: int \times int$

assumes $0 \leq fst\ res\ fst\ res < p\ 0 \leq snd\ res\ snd\ res < q$

shows $\exists!x. P\text{-}1\ res\ x$

$\langle proof \rangle$

lemma *g-1-lemma*:

fixes $res :: int \times int$

assumes $0 \leq fst\ res$ $fst\ res < p$ $0 \leq snd\ res$ $snd\ res < q$

shows $P-1\ res\ (g-1\ res)$

<proof>

definition $BuC = Sets-pq\ Res-ge-0\ Res-h\ Res-l$

lemma *finite-BuC* [*simp*]:

finite BuC

<proof>

lemma *QR-lemma-04*: $card\ BuC = card\ (Res-h\ p \times Res-l\ q)$

<proof>

lemma *QR-lemma-05*: $card\ (Res-h\ p \times Res-l\ q) = r$

<proof>

lemma *QR-lemma-06*: $b + c = r$

<proof>

definition $f-2 :: int \Rightarrow int$

where $f-2\ x = (int\ p * int\ q) - x$

lemma *f-2-lemma-1*: $f-2\ (f-2\ x) = x$

<proof>

lemma *f-2-lemma-2*: $[f-2\ x = int\ p - x] \pmod{p}$

<proof>

lemma *f-2-lemma-3*: $f-2\ x \in S \implies x \in f-2\ 'S$

<proof>

lemma *QR-lemma-07*:

$f-2\ 'Res-l\ (int\ p * int\ q) = Res-h\ (int\ p * int\ q)$

$f-2\ 'Res-h\ (int\ p * int\ q) = Res-l\ (int\ p * int\ q)$

<proof>

lemma *QR-lemma-08*:

$f-2\ x \pmod{p} \in Res-l\ p \iff x \pmod{p} \in Res-h\ p$

$f-2\ x \pmod{p} \in Res-h\ p \iff x \pmod{p} \in Res-l\ p$

<proof>

lemma *QR-lemma-09*:

$f-2\ x \pmod{q} \in Res-l\ q \iff x \pmod{q} \in Res-h\ q$

$f-2\ x \pmod{q} \in Res-h\ q \iff x \pmod{q} \in Res-l\ q$

<proof>

lemma *QR-lemma-10*: $a = c$
⟨*proof*⟩

definition *BuD* = *Sets-pq Res-l Res-h Res-ge-0*

definition *BuD_uF* = *Sets-pq Res-l Res-h Res*

definition *f-3* :: $int \Rightarrow int \times int$
where *f-3* $x = (x \bmod p, x \text{ div } p + 1)$

definition *g-3* :: $int \times int \Rightarrow int$
where *g-3* $x = \text{fst } x + (\text{snd } x - 1) * p$

lemma *QR-lemma-11*: $\text{card } BuDuF = \text{card } (Res-h \ p \times Res-l \ q)$
⟨*proof*⟩

lemma *QR-lemma-12*: $b + d + m = r$
⟨*proof*⟩

lemma *QR-lemma-13*: $a + d + n = r$
⟨*proof*⟩

lemma *QR-lemma-14*: $(-1::int) ^ (m + n) = (-1) ^ r$
⟨*proof*⟩

lemma *Quadratic-Reciprocity*:
Legendre $p \ q * Legendre \ q \ p = (-1::int) ^ ((p - 1) \text{ div } 2 * ((q - 1) \text{ div } 2))$
⟨*proof*⟩

end

theorem *Quadratic-Reciprocity*:
assumes *prime* $p \ 2 < p$ *prime* $q \ 2 < q \ p \neq q$
shows *Legendre* $p \ q * Legendre \ q \ p = (-1::int) ^ ((p - 1) \text{ div } 2 * ((q - 1) \text{ div } 2))$
⟨*proof*⟩

theorem *Quadratic-Reciprocity-int*:
assumes *prime* $(nat \ p) \ 2 < p$ *prime* $(nat \ q) \ 2 < q \ p \neq q$
shows *Legendre* $p \ q * Legendre \ q \ p = (-1::int) ^ (nat \ ((p - 1) \text{ div } 2 * ((q - 1) \text{ div } 2)))$
⟨*proof*⟩

end

9 Pocklington's Theorem for Primes

theory *Pocklington*
imports *Residues*
begin

9.1 Lemmas about previously defined terms

lemma *prime-nat-iff''*: $\text{prime } (p::\text{nat}) \longleftrightarrow p \neq 0 \wedge p \neq 1 \wedge (\forall m. 0 < m \wedge m < p \longrightarrow \text{coprime } p \ m)$
(*proof*)

lemma *finite-number-segment*: $\text{card } \{ m. 0 < m \wedge m < n \} = n - 1$
(*proof*)

9.2 Some basic theorems about solving congruences

lemma *cong-solve*:
fixes $n :: \text{nat}$
assumes $an: \text{coprime } a \ n$
shows $\exists x. [a * x = b] \ (\text{mod } n)$
(*proof*)

lemma *cong-solve-unique*:
fixes $n :: \text{nat}$
assumes $an: \text{coprime } a \ n$ and $nz: n \neq 0$
shows $\exists! x. x < n \wedge [a * x = b] \ (\text{mod } n)$
(*proof*)

lemma *cong-solve-unique-nontrivial*:
fixes $p :: \text{nat}$
assumes $p: \text{prime } p$
and $pa: \text{coprime } p \ a$
and $x0: 0 < x$
and $xp: x < p$
shows $\exists! y. 0 < y \wedge y < p \wedge [x * y = a] \ (\text{mod } p)$
(*proof*)

lemma *cong-unique-inverse-prime*:
fixes $p :: \text{nat}$
assumes $\text{prime } p$ and $0 < x$ and $x < p$
shows $\exists! y. 0 < y \wedge y < p \wedge [x * y = 1] \ (\text{mod } p)$
(*proof*)

lemma *chinese-remainder-coprime-unique*:
fixes $a :: \text{nat}$
assumes $ab: \text{coprime } a \ b$ and $az: a \neq 0$ and $bz: b \neq 0$
and $ma: \text{coprime } m \ a$ and $nb: \text{coprime } n \ b$
shows $\exists! x. \text{coprime } x \ (a * b) \wedge x < a * b \wedge [x = m] \ (\text{mod } a) \wedge [x = n] \ (\text{mod } b)$
(*proof*)

9.3 Lucas's theorem

lemma *lucas-coprime-lemma*:
fixes $n :: \text{nat}$
assumes $m: m \neq 0$ and $am: [a^m = 1] \ (\text{mod } n)$

shows *coprime a n*
 ⟨*proof*⟩

lemma *lucas-weak*:

fixes $n :: \text{nat}$

assumes $n: n \geq 2$

and $an: [a \wedge^{(n-1)} = 1] \pmod n$

and $nm: \forall m. 0 < m \wedge m < n - 1 \longrightarrow \neg [a \wedge^m = 1] \pmod n$

shows *prime n*

⟨*proof*⟩

lemma *nat-exists-least-iff*: $(\exists (n::\text{nat}). P n) \longleftrightarrow (\exists n. P n \wedge (\forall m < n. \neg P m))$

⟨*proof*⟩

lemma *nat-exists-least-iff'*: $(\exists (n::\text{nat}). P n) \longleftrightarrow P (\text{Least } P) \wedge (\forall m < (\text{Least } P). \neg P m)$

(**is** *?lhs* \longleftrightarrow *?rhs*)

⟨*proof*⟩

theorem *lucas*:

assumes $n2: n \geq 2$ **and** $an1: [a \wedge^{(n-1)} = 1] \pmod n$

and $pn: \forall p. \text{prime } p \wedge p \text{ dvd } n - 1 \longrightarrow [a \wedge^{((n-1) \text{ div } p)} \neq 1] \pmod n$

shows *prime n*

⟨*proof*⟩

9.4 Definition of the order of a number mod n

definition *ord n a* = (if coprime $n a$ then *Least* $(\lambda d. d > 0 \wedge [a \wedge^d = 1] \pmod n)$ else 0)

This has the expected properties.

lemma *coprime-ord*:

fixes $n::\text{nat}$

assumes *coprime n a*

shows $\text{ord } n a > 0 \wedge [a \wedge^{(\text{ord } n a)} = 1] \pmod n \wedge (\forall m. 0 < m \wedge m < \text{ord } n a \longrightarrow [a \wedge^m \neq 1] \pmod n)$

⟨*proof*⟩

With the special value 0 for non-coprime case, it's more convenient.

lemma *ord-works*: $[a \wedge^{(\text{ord } n a)} = 1] \pmod n \wedge (\forall m. 0 < m \wedge m < \text{ord } n a \longrightarrow \neg [a \wedge^m = 1] \pmod n)$

for $n :: \text{nat}$

⟨*proof*⟩

lemma *ord*: $[a \wedge^{(\text{ord } n a)} = 1] \pmod n$

for $n :: \text{nat}$

⟨*proof*⟩

lemma *ord-minimal*: $0 < m \implies m < \text{ord } n a \implies \neg [a \wedge^m = 1] \pmod n$

for $n :: \text{nat}$
 <proof>

lemma *ord-eq-0*: $\text{ord } n \ a = 0 \iff \neg \text{coprime } n \ a$
for $n :: \text{nat}$
 <proof>

lemma *divides-rexp*: $x \ \text{dvd} \ y \implies x \ \text{dvd} \ (y \wedge \text{Suc } n)$
for $x \ y :: \text{nat}$
 <proof>

lemma *ord-divides*: $[a \wedge d = 1] \ (\text{mod } n) \iff \text{ord } n \ a \ \text{dvd} \ d$
 (is ?lhs \iff ?rhs)
for $n :: \text{nat}$
 <proof>

lemma *order-divides-totient*:
 $\text{ord } n \ a \ \text{dvd} \ \text{totient } n$ **if** $\text{coprime } n \ a$
 <proof>

lemma *order-divides-expdiff*:
fixes $n::\text{nat}$ **and** $a::\text{nat}$ **assumes** $na: \text{coprime } n \ a$
shows $[a \wedge d = a \wedge e] \ (\text{mod } n) \iff [d = e] \ (\text{mod} \ (\text{ord } n \ a))$
 <proof>

lemma *ord-not-coprime* [*simp*]: $\neg \text{coprime } n \ a \implies \text{ord } n \ a = 0$
 <proof>

lemma *ord-1* [*simp*]: $\text{ord } 1 \ n = 1$
 <proof>

lemma *ord-1-right* [*simp*]: $\text{ord } (n::\text{nat}) \ 1 = 1$
 <proof>

lemma *ord-Suc-0-right* [*simp*]: $\text{ord } (n::\text{nat}) \ (\text{Suc } 0) = 1$
 <proof>

lemma *ord-0-nat* [*simp*]: $\text{ord } 0 \ (n :: \text{nat}) = (\text{if } n = 1 \ \text{then } 1 \ \text{else } 0)$
 <proof>

lemma *ord-0-right-nat* [*simp*]: $\text{ord } (n :: \text{nat}) \ 0 = (\text{if } n = 1 \ \text{then } 1 \ \text{else } 0)$
 <proof>

lemma *ord-divides'*: $[a \wedge d = \text{Suc } 0] \ (\text{mod } n) = (\text{ord } n \ a \ \text{dvd} \ d)$
 <proof>

lemma *ord-Suc-0* [*simp*]: $\text{ord } (\text{Suc } 0) \ n = 1$
 <proof>

lemma *ord-mod* [*simp*]: $\text{ord } n (k \bmod n) = \text{ord } n k$
 ⟨*proof*⟩

lemma *ord-gt-0-iff* [*simp*]: $\text{ord } (n::\text{nat}) x > 0 \iff \text{coprime } n x$
 ⟨*proof*⟩

lemma *ord-eq-Suc-0-iff*: $\text{ord } n (x::\text{nat}) = \text{Suc } 0 \iff [x = 1] \pmod n$
 ⟨*proof*⟩

lemma *ord-cong*:
 assumes $[k1 = k2] \pmod n$
 shows $\text{ord } n k1 = \text{ord } n k2$
 ⟨*proof*⟩

lemma *ord-nat-code* [*code-unfold*]:
 $\text{ord } n a =$
 (if $n = 0$ then if $a = 1$ then 1 else 0 else
 if coprime $n a$ then $\text{Min } (\text{Set.filter } (\lambda k. [a \wedge k = 1] \pmod n) \{0 <.. n\})$ else
 0)
 ⟨*proof*⟩

theorem *ord-modulus-mult-coprime*:
 fixes $x :: \text{nat}$
 assumes coprime $m n$
 shows $\text{ord } (m * n) x = \text{lcm } (\text{ord } m x) (\text{ord } n x)$
 ⟨*proof*⟩

corollary *ord-modulus-prod-coprime*:
 assumes $\text{finite } A \wedge i j. i \in A \implies j \in A \implies i \neq j \implies \text{coprime } (f i) (f j)$
 shows $\text{ord } (\prod_{i \in A} f i :: \text{nat}) x = (\text{LCM } i \in A. \text{ord } (f i) x)$
 ⟨*proof*⟩

lemma *ord-power-aux*:
 fixes $m x k a :: \text{nat}$
 defines $l \equiv \text{ord } m a$
 shows $\text{ord } m (a \wedge k) * \text{gcd } k l = l$
 ⟨*proof*⟩

theorem *ord-power*: $\text{coprime } m a \implies \text{ord } m (a \wedge k :: \text{nat}) = \text{ord } m a \text{ div } \text{gcd } k$
 ($\text{ord } m a$)
 ⟨*proof*⟩

lemma *inj-power-mod*:
 assumes coprime $n (a :: \text{nat})$
 shows $\text{inj-on } (\lambda k. a \wedge k \bmod n) \{.. < \text{ord } n a\}$
 ⟨*proof*⟩

lemma *ord-eq-2-iff*: $\text{ord } n (x :: \text{nat}) = 2 \iff [x \neq 1] \pmod n \wedge [x^2 = 1] \pmod n$
 (n)

<proof>

lemma *square-mod-8-eq-1-iff*: $[x^2 = 1] \pmod{8} \longleftrightarrow \text{odd } (x :: \text{nat})$
<proof>

lemma *ord-twopow-aux*:
 assumes $k \geq 3$ **and** $\text{odd } (x :: \text{nat})$
 shows $[x^{2^{k-2}} = 1] \pmod{2^k}$
<proof>

lemma *ord-twopow-3-5*:
 assumes $k \geq 3$ $x \pmod{8} \in \{3, 5 :: \text{nat}\}$
 shows $\text{ord } (2^k) x = 2^{k-2}$
<proof>

lemma *ord-4-3 [simp]*: $\text{ord } 4 (3 :: \text{nat}) = 2$
<proof>

lemma *elements-with-ord-1*: $n > 0 \implies \{x \in \text{totatives } n. \text{ord } n x = \text{Suc } 0\} = \{1\}$
<proof>

lemma *residue-prime-has-primroot*:
 fixes $p :: \text{nat}$
 assumes $\text{prime } p$
 shows $\exists a \in \text{totatives } p. \text{ord } p a = p - 1$
<proof>

9.5 Another trivial primality characterization

lemma *prime-prime-factor*: $\text{prime } n \longleftrightarrow n \neq 1 \wedge (\forall p. \text{prime } p \wedge p \text{ dvd } n \longrightarrow p = n)$
 (is ?lhs \longleftrightarrow ?rhs)
 for $n :: \text{nat}$
<proof>

lemma *prime-divisor-sqrt*: $\text{prime } n \longleftrightarrow n \neq 1 \wedge (\forall d. d \text{ dvd } n \wedge d^2 \leq n \longrightarrow d = 1)$
 for $n :: \text{nat}$
<proof>

lemma *prime-prime-factor-sqrt*:
 $\text{prime } (n :: \text{nat}) \longleftrightarrow n \neq 0 \wedge n \neq 1 \wedge (\nexists p. \text{prime } p \wedge p \text{ dvd } n \wedge p^2 \leq n)$
 (is ?lhs \longleftrightarrow ?rhs)
<proof>

9.6 Pocklington theorem

lemma *pocklington-lemma*:
 fixes $p :: \text{nat}$
 assumes $n: n \geq 2$ **and** $nqr: n - 1 = q * r$

and $an: [a^{(n-1)} = 1] \pmod n$
and $aq: \forall p. \text{prime } p \wedge p \text{ dvd } q \longrightarrow \text{coprime } (a^{((n-1) \text{ div } p)} - 1) n$
and $pp: \text{prime } p$ **and** $pn: p \text{ dvd } n$
shows $[p = 1] \pmod q$
 $\langle \text{proof} \rangle$

theorem *pocklington*:

assumes $n: n \geq 2$ **and** $nqr: n - 1 = q * r$ **and** $sqr: n \leq q^2$
and $an: [a^{(n-1)} = 1] \pmod n$
and $aq: \forall p. \text{prime } p \wedge p \text{ dvd } q \longrightarrow \text{coprime } (a^{((n-1) \text{ div } p)} - 1) n$
shows $\text{prime } n$
 $\langle \text{proof} \rangle$

Variant for application, to separate the exponentiation.

lemma *pocklington-alt*:

assumes $n: n \geq 2$ **and** $nqr: n - 1 = q * r$ **and** $sqr: n \leq q^2$
and $an: [a^{(n-1)} = 1] \pmod n$
and $aq: \forall p. \text{prime } p \wedge p \text{ dvd } q \longrightarrow (\exists b. [a^{((n-1) \text{ div } p)} = b] \pmod n) \wedge \text{coprime } (b - 1) n$
shows $\text{prime } n$
 $\langle \text{proof} \rangle$

9.7 Prime factorizations

definition *primefact* $ps\ n \longleftrightarrow \text{foldr } (*)\ ps\ 1 = n \wedge (\forall p \in \text{set } ps. \text{prime } p)$

lemma *primefact*:

fixes $n :: \text{nat}$
assumes $n: n \neq 0$
shows $\exists ps. \text{primefact } ps\ n$
 $\langle \text{proof} \rangle$

lemma *primefact-contains*:

fixes $p :: \text{nat}$
assumes $pf: \text{primefact } ps\ n$
and $p: \text{prime } p$
and $pn: p \text{ dvd } n$
shows $p \in \text{set } ps$
 $\langle \text{proof} \rangle$

lemma *primefact-variant*: $\text{primefact } ps\ n \longleftrightarrow \text{foldr } (*)\ ps\ 1 = n \wedge \text{list-all prime } ps$

$\langle \text{proof} \rangle$

Variant of Lucas theorem.

lemma *lucas-primefact*:

assumes $n: n \geq 2$ **and** $an: [a^{(n-1)} = 1] \pmod n$
and $psn: \text{foldr } (*)\ ps\ 1 = n - 1$
and $psp: \text{list-all } (\lambda p. \text{prime } p \wedge \neg [a^{((n-1) \text{ div } p)} = 1] \pmod n) ps$

shows *prime n*
 ⟨*proof*⟩

Variant of Pocklington theorem.

lemma *pocklington-primefact*:

assumes *n*: $n \geq 2$ **and** *qrn*: $q * r = n - 1$ **and** *nq2*: $n \leq q^2$
and *arnb*: $(a^r) \bmod n = b$ **and** *psq*: $\text{foldr } (*) \text{ ps } 1 = q$
and *bqn*: $(b^q) \bmod n = 1$
and *psp*: $\text{list-all } (\lambda p. \text{prime } p \wedge \text{coprime } ((b^{(q \text{ div } p)}) \bmod n - 1) \text{ } n) \text{ ps}$
shows *prime n*
 ⟨*proof*⟩

end

10 Prime powers

theory *Prime-Powers*

imports *Complex-Main HOL-Computational-Algebra.Primes HOL-Library.FuncSet*
begin

definition *aprimedivisor* :: *'a* :: *normalization-semidom* \Rightarrow *'a* **where**
aprimedivisor *q* = (*SOME* *p*. *prime* *p* \wedge *p* *dvd* *q*)

definition *primepow* :: *'a* :: *normalization-semidom* \Rightarrow *bool* **where**
primepow *n* \longleftrightarrow $(\exists p \ k. \text{prime } p \wedge k > 0 \wedge n = p^k)$

definition *primepow-factors* :: *'a* :: *normalization-semidom* \Rightarrow *'a* *set* **where**
primepow-factors *n* = $\{x. \text{primepow } x \wedge x \text{ dvd } n\}$

lemma *primepow-gt-Suc-0*: *primepow* *n* \Longrightarrow *n* > *Suc* 0
 ⟨*proof*⟩

lemma

assumes *prime* *p* *p* *dvd* *n*
shows *prime-aprimedivisor*: *prime* (*aprimedivisor* *n*)
and *aprimedivisor-dvd*: *aprimedivisor* *n* *dvd* *n*
 ⟨*proof*⟩

lemma

assumes *n* \neq 0 *¬is-unit* (*n* :: *'a* :: *factorial-semiring*)
shows *prime-aprimedivisor'*: *prime* (*aprimedivisor* *n*)
and *aprimedivisor-dvd'*: *aprimedivisor* *n* *dvd* *n*
 ⟨*proof*⟩

lemma *aprimedivisor-of-prime* [*simp*]:

assumes *prime* *p*
shows *aprimedivisor* *p* = *p*
 ⟨*proof*⟩

lemma *aprimedivisor-pos-nat*: $(n::nat) > 1 \implies \text{aprimedivisor } n > 0$
 ⟨proof⟩

lemma *aprimedivisor-primelow-power*:
 assumes *primelow* $n k > 0$
 shows $\text{aprimedivisor } (n \wedge k) = \text{aprimedivisor } n$
 ⟨proof⟩

lemma *aprimedivisor-prime-power*:
 assumes *prime* $p k > 0$
 shows $\text{aprimedivisor } (p \wedge k) = p$
 ⟨proof⟩

lemma *prime-factorization-primelow*:
 assumes *primelow* n
 shows $\text{prime-factorization } n =$
 $\text{replicate-mset } (\text{multiplicity } (\text{aprimedivisor } n) n) (\text{aprimedivisor } n)$
 ⟨proof⟩

lemma *primelow-decompose*:
 fixes $n :: 'a :: \text{factorial-semiring-multiplicative}$
 assumes *primelow* n
 shows $\text{aprimedivisor } n \wedge \text{multiplicity } (\text{aprimedivisor } n) n = n$
 ⟨proof⟩

lemma *prime-power-not-one*:
 assumes *prime* $p k > 0$
 shows $p \wedge k \neq 1$
 ⟨proof⟩

lemma *zero-not-primelow* [simp]: $\neg \text{primelow } 0$
 ⟨proof⟩

lemma *one-not-primelow* [simp]: $\neg \text{primelow } 1$
 ⟨proof⟩

lemma *primelow-not-unit* [simp]: $\text{primelow } p \implies \neg \text{is-unit } p$
 ⟨proof⟩

lemma *not-primelow-Suc-0-nat* [simp]: $\neg \text{primelow } (\text{Suc } 0)$
 ⟨proof⟩

lemma *primelow-gt-0-nat*: $\text{primelow } n \implies n > (0::nat)$
 ⟨proof⟩

lemma *unit-factor-primelow*:
 fixes $p :: 'a :: \text{factorial-semiring-multiplicative}$
 shows $\text{primelow } p \implies \text{unit-factor } p = 1$
 ⟨proof⟩

lemma *aprimedivisor-primelow*:

assumes *prime p p dvd n primelow (n :: 'a :: factorial-semiring-multiplicative)*

shows *aprimedivisor (p * n) = p aprimedivisor n = p*

<proof>

lemma *power-eq-prime-powerD*:

fixes *p :: 'a :: factorial-semiring*

assumes *prime p n > 0 x ^ n = p ^ k*

shows $\exists i. \text{normalize } x = \text{normalize } (p ^ i)$

<proof>

lemma *primelow-power-iff*:

fixes *p :: 'a :: factorial-semiring-multiplicative*

assumes *unit-factor p = 1*

shows $\text{primelow } (p ^ n) \longleftrightarrow \text{primelow } p \wedge n > 0$

<proof>

lemma *primelow-power-iff-nat*:

$p > 0 \implies \text{primelow } (p ^ n) \longleftrightarrow \text{primelow } (p :: \text{nat}) \wedge n > 0$

<proof>

lemma *primelow-prime [simp]: prime n \implies primelow n*

<proof>

lemma *primelow-prime-power [simp]*:

$\text{prime } (p :: 'a :: \text{factorial-semiring-multiplicative}) \implies \text{primelow } (p ^ n) \longleftrightarrow n > 0$

<proof>

lemma *aprimedivisor-vimage*:

assumes *prime (p :: 'a :: factorial-semiring-multiplicative)*

shows $\text{aprimedivisor } -' \{p\} \cap \text{primelow-factors } n = \{p ^ k \mid k. k > 0 \wedge p ^ k \text{ dvd } n\}$

<proof>

lemma *aprimedivisor-nat*:

assumes $n \neq (\text{Suc } 0 :: \text{nat})$

shows $\text{prime } (\text{aprimedivisor } n) \text{ aprimedivisor } n \text{ dvd } n$

<proof>

lemma *aprimedivisor-gt-Suc-0*:

assumes $n \neq \text{Suc } 0$

shows $\text{aprimedivisor } n > \text{Suc } 0$

<proof>

lemma *aprimedivisor-le-nat*:

assumes $n > \text{Suc } 0$

shows $\text{aprimedivisor } n \leq n$
<proof>

lemma *bij-betw-primewows:*

bij-betw ($\lambda(p,k). p \wedge \text{Suc } k :: 'a :: \text{factorial-semiring-multiplicative}$)
(*Collect prime* \times *UNIV*) (*Collect primewow*)
<proof>

lemma *primewow-multD:*

assumes *primewow* ($a * b :: \text{nat}$)
shows $a = 1 \vee \text{primewow } a \wedge b = 1 \vee \text{primewow } b$
<proof>

lemma *primewow-mult-aprimedivisorI:*

assumes *primewow* ($n :: 'a :: \text{factorial-semiring-multiplicative}$)
shows *primewow* (*aprimedivisor* $n * n$)
<proof>

lemma *primewow-factors-altdef:*

fixes $x :: 'a :: \text{factorial-semiring-multiplicative}$
assumes $x \neq 0$
shows *primewow-factors* $x = \{p \wedge k \mid p \in \text{prime-factors } x \wedge k \in \{0 < ..$
*multiplicity } p \ x\}
<proof>*

lemma *finite-primewow-factors:*

assumes $x \neq (0 :: 'a :: \text{factorial-semiring-multiplicative})$
shows *finite* (*primewow-factors* x)
<proof>

lemma *aprimedivisor-primewow-factors-conv-prime-factorization:*

assumes [*simp*]: $n \neq (0 :: 'a :: \text{factorial-semiring-multiplicative})$
shows *image-mset* *aprimedivisor* (*mset-set* (*primewow-factors* n)) = *prime-factorization*
 n
(**is** ?lhs = ?rhs)
<proof>

lemma *prime-elem-aprimedivisor-nat:* $d > \text{Suc } 0 \implies \text{prime-elem } (\text{aprimedivisor } d)$
<proof>

lemma *aprimedivisor-gt-0-nat* [*simp*]: $d > \text{Suc } 0 \implies \text{aprimedivisor } d > 0$
<proof>

lemma *aprimedivisor-gt-Suc-0-nat* [*simp*]: $d > \text{Suc } 0 \implies \text{aprimedivisor } d > \text{Suc } 0$
<proof>

lemma *aprimedivisor-not-Suc-0-nat* [simp]: $d > \text{Suc } 0 \implies \text{aprimedivisor } d \neq \text{Suc } 0$

<proof>

lemma *multiplicity-aprimedivisor-gt-0-nat* [simp]:
 $d > \text{Suc } 0 \implies \text{multiplicity } (\text{aprimedivisor } d) \ d > 0$
<proof>

lemma *primepowI*:
 $\text{prime } p \implies k > 0 \implies p \wedge k = n \implies \text{primepow } n \wedge \text{aprimedivisor } n = p$
<proof>

lemma *not-primepowI*:
assumes *prime p prime q p ≠ q p dvd n q dvd n*
shows $\neg \text{primepow } n$
<proof>

lemma *sum-prime-factorization-conv-sum-primepow-factors*:
fixes $n :: 'a :: \text{factorial-semiring-multiplicative}$
assumes $n \neq 0$
shows $(\sum_{q \in \text{primepow-factors } n} f (\text{aprimedivisor } q)) = (\sum_{p \in \# \text{prime-factorization } n} f p)$
<proof>

lemma *multiplicity-aprimedivisor-Suc-0-iff*:
assumes $\text{primepow } (n :: 'a :: \text{factorial-semiring-multiplicative})$
shows $\text{multiplicity } (\text{aprimedivisor } n) \ n = \text{Suc } 0 \longleftrightarrow \text{prime } n$
<proof>

definition *mangoldt* :: $\text{nat} \Rightarrow 'a :: \text{real-algebra-1}$ **where**
 $\text{mangoldt } n = (\text{if } \text{primepow } n \text{ then of-real } (\ln (\text{real } (\text{aprimedivisor } n))) \text{ else } 0)$

lemma *mangoldt-0* [simp]: $\text{mangoldt } 0 = 0$
<proof>

lemma *mangoldt-Suc-0* [simp]: $\text{mangoldt } (\text{Suc } 0) = 0$
<proof>

lemma *of-real-mangoldt* [simp]: $\text{of-real } (\text{mangoldt } n) = \text{mangoldt } n$
<proof>

lemma *mangoldt-sum*:
assumes $n \neq 0$
shows $(\sum_{d \mid d \text{ dvd } n} \text{mangoldt } d :: 'a :: \text{real-algebra-1}) = \text{of-real } (\ln (\text{real } n))$
<proof>

lemma *mangoldt-primepow*:
 $\text{prime } p \implies \text{mangoldt } (p \wedge k) = (\text{if } k > 0 \text{ then of-real } (\ln (\text{real } p)) \text{ else } 0)$

<proof>

lemma *mangoldt-primepow'* [*simp*]: *prime p* \implies *k* > 0 \implies *mangoldt* (*p* ^ *k*) =
of-real (*ln* (*real p*))
<proof>

lemma *mangoldt-prime* [*simp*]: *prime p* \implies *mangoldt p* = *of-real* (*ln* (*real p*))
<proof>

lemma *mangoldt-nonneg*: 0 \leq (*mangoldt d* :: *real*)
<proof>

lemma *norm-mangoldt* [*simp*]:
norm (*mangoldt n* :: '*a* :: *real-normed-algebra-1*) = *mangoldt n*
<proof>

lemma *Re-mangoldt* [*simp*]: *Re* (*mangoldt n*) = *mangoldt n*
and *Im-mangoldt* [*simp*]: *Im* (*mangoldt n*) = 0
<proof>

lemma *abs-mangoldt* [*simp*]: *abs* (*mangoldt n* :: *real*) = *mangoldt n*
<proof>

lemma *mangoldt-le*:
assumes *n* > 0
shows *mangoldt n* \leq *ln n*
<proof>

end

11 Primitive roots in residue rings and Carmichael's function

theory *Residue-Primitive-Roots*
imports *Pocklington*
begin

This theory develops the notions of primitive roots (generators) in residue rings. It also provides a definition and all the basic properties of Carmichael's function $\lambda(n)$, which is strongly related to this. The proofs mostly follow Apostol's presentation

11.1 Primitive roots in residue rings

A primitive root of a residue ring modulo n is an element g that *generates* the ring, i. e. such that for each x coprime to n there exists an i such that $x = g^i$. A simpler definition is that g must have the same order as the

cardinality of the multiplicative group, which is $\varphi(n)$.

Note that for convenience, this definition does *not* demand $g < n$.

inductive *residue-primroot* :: *nat* \Rightarrow *nat* \Rightarrow *bool* **where**
 $n > 0 \implies \text{coprime } n \ g \implies \text{ord } n \ g = \text{totient } n \implies \text{residue-primroot } n \ g$

lemma *residue-primroot-def* [*code*]:
 $\text{residue-primroot } n \ x \longleftrightarrow n > 0 \wedge \text{coprime } n \ x \wedge \text{ord } n \ x = \text{totient } n$
 ⟨*proof*⟩

lemma *not-residue-primroot-0* [*simp*]: $\sim \text{residue-primroot } 0 \ x$
 ⟨*proof*⟩

lemma *residue-primroot-mod* [*simp*]: $\text{residue-primroot } n \ (x \bmod n) = \text{residue-primroot } n \ x$
 ⟨*proof*⟩

lemma *residue-primroot-cong*:
assumes $[x = x'] \ (\text{mod } n)$
shows $\text{residue-primroot } n \ x = \text{residue-primroot } n \ x'$
 ⟨*proof*⟩

lemma *not-residue-primroot-0-right* [*simp*]: $\text{residue-primroot } n \ 0 \longleftrightarrow n = 1$
 ⟨*proof*⟩

lemma *residue-primroot-1-iff*: $\text{residue-primroot } n \ (\text{Suc } 0) \longleftrightarrow n \in \{1, 2\}$
 ⟨*proof*⟩

11.2 Primitive roots modulo a prime

For prime p , we now analyse the number of elements in the ring $\mathbb{Z}/p\mathbb{Z}$ whose order is precisely d for each d .

context
fixes $n :: \text{nat}$ **and** ψ
assumes $n: n > 1$
defines $\psi \equiv (\lambda d. \text{card } \{x \in \text{totatives } n. \text{ord } n \ x = d\})$
begin

lemma *elements-with-ord-restrict-totatives*:
 $d > 0 \implies \{x \in \{..<n\}. \text{ord } n \ x = d\} = \{x \in \text{totatives } n. \text{ord } n \ x = d\}$
 ⟨*proof*⟩

lemma *prime-elements-with-ord*:
assumes $\psi \ d \neq 0$ **and** *prime* n
and $a: a \in \text{totatives } n \ \text{ord } n \ a = d \ a \neq 1$
shows *inj-on* $(\lambda k. a \wedge k \bmod n) \ \{..<d\}$
and $\{x \in \{..<n\}. [x \wedge d = 1] \ (\text{mod } n)\} = (\lambda k. a \wedge k \bmod n) \ \{..<d\}$
and *bij-betw* $(\lambda k. a \wedge k \bmod n) \ (\text{totatives } d) \ \{x \in \{..<n\}. \text{ord } n \ x = d\}$
 ⟨*proof*⟩

lemma *prime-card-elements-with-ord:*

assumes $\psi d \neq 0$ **and** *prime n*

shows $\psi d = \text{totient } d$

<proof>

lemma *prime-sum-card-elements-with-ord-eq-totient:*

$(\sum d \mid d \text{ dvd } \text{totient } n. \psi d) = \text{totient } n$

<proof>

We can now show that the number of elements of order d is $\varphi(d)$ if $d \mid p - 1$ and 0 otherwise.

theorem *prime-card-elements-with-ord-eq-totient:*

assumes *prime n*

shows $\psi d = (\text{if } d \text{ dvd } n - 1 \text{ then } \text{totient } d \text{ else } 0)$

<proof>

As a corollary, we get that the number of primitive roots modulo a prime p is $\varphi(p - 1)$. Since this number is positive, we also get that there *is* at least one primitive root modulo p .

lemma

assumes *prime n*

shows *prime-card-primitive-roots:* $\text{card } \{x \in \text{totatives } n. \text{ord } n \ x = n - 1\} = \text{totient } (n - 1)$

$\text{card } \{x \in \{..<n\}. \text{ord } n \ x = n - 1\} = \text{totient } (n - 1)$

and *prime-primitive-root-exists:* $\exists x. \text{residue-primroot } n \ x$

<proof>

end

11.3 Primitive roots modulo powers of an odd prime

Any primitive root g modulo an odd prime p is also a primitive root modulo p^k for all $k > 0$ if $[g^{p-1} \neq 1] \pmod{p^2}$. To show this, we first need the following lemma.

lemma *residue-primroot-power-prime-power-neq-1:*

assumes $k \geq 2$

assumes p : *prime p odd p* **and** *residue-primroot p g* **and** $[g^{p-1} \neq 1] \pmod{p^2}$

shows $[g^{\text{totient } (p^{k-1})} \neq 1] \pmod{p^k}$

<proof>

We can now show that primitive roots modulo p with the above condition are indeed also primitive roots modulo p^k .

proposition *residue-primroot-prime-lift-iff:*

assumes p : *prime p odd p* **and** *residue-primroot p g*

shows $(\forall k > 0. \text{residue-primroot } (p^k) \ g) \iff [g^{p-1} \neq 1] \pmod{p^2}$

<proof>

If p is an odd prime, there is always a primitive root g modulo p , and if g does not fulfil the above assumption required for it to be liftable to p^k , we can use $g + p$, which is also a primitive root modulo p and *does* fulfil the assumption.

This shows that any modulus that is a power of an odd prime has a primitive root.

theorem *residue-primroot-odd-prime-power-exists:*

assumes p : prime p odd p

obtains g where $\forall k > 0$. residue-primroot $(p \wedge k) g$

<proof>

11.4 Carmichael's function

Carmichael's function $\lambda(n)$ gives the LCM of the orders of all elements in the residue ring modulo n – or, equivalently, the maximum order, as we will show later. Algebraically speaking, it is the exponent of the multiplicative group $(\mathbb{Z}/n\mathbb{Z})^*$.

It is not to be confused with Liouville's function, which is also denoted by $\lambda(n)$.

definition *Carmichael where*

Carmichael $n = (\text{LCM } a \in \text{totatives } n. \text{ord } n a)$

lemma *Carmichael-0 [simp]: Carmichael 0 = 1*

<proof>

lemma *Carmichael-1 [simp]: Carmichael 1 = 1*

<proof>

lemma *Carmichael-Suc-0 [simp]: Carmichael (Suc 0) = 1*

<proof>

lemma *ord-dvd-Carmichael:*

assumes $n > 1$ coprime $n k$

shows $\text{ord } n k \text{ dvd } \text{Carmichael } n$

<proof>

lemma *Carmichael-divides:*

assumes *Carmichael* $n \text{ dvd } k$ coprime $n a$

shows $[a \wedge k = 1] \pmod{n}$

<proof>

lemma *Carmichael-dvd-totient: Carmichael n dvd totient n*

<proof>

lemma *Carmichael-dvd-mono-coprime:*

assumes *coprime m n m > 1 n > 1*
shows *Carmichael m dvd Carmichael (m * n)*
 ⟨*proof*⟩

λ distributes over the product of coprime numbers similarly to φ , but with LCM instead of multiplication:

lemma *Carmichael-mult-coprime:*

assumes *coprime m n*
shows *Carmichael (m * n) = lcm (Carmichael m) (Carmichael n)*
 ⟨*proof*⟩

lemma *Carmichael-pos [simp, intro]: Carmichael n > 0*
 ⟨*proof*⟩

lemma *Carmichael-nonzero [simp]: Carmichael n ≠ 0*
 ⟨*proof*⟩

lemma *power-Carmichael-eq-1:*

assumes *n > 1 coprime n x*
shows *[x ^ Carmichael n = 1] (mod n)*
 ⟨*proof*⟩

lemma *Carmichael-2 [simp]: Carmichael 2 = 1*
 ⟨*proof*⟩

lemma *Carmichael-4 [simp]: Carmichael 4 = 2*
 ⟨*proof*⟩

lemma *residue-primroot-Carmichael:*

assumes *residue-primroot n g*
shows *Carmichael n = totient n*
 ⟨*proof*⟩

lemma *Carmichael-odd-prime-power:*

assumes *prime p odd p k > 0*
shows *Carmichael (p ^ k) = p ^ (k - 1) * (p - 1)*
 ⟨*proof*⟩

lemma *Carmichael-prime:*

assumes *prime p*
shows *Carmichael p = p - 1*
 ⟨*proof*⟩

lemma *Carmichael-twopow-ge-8:*

assumes *k ≥ 3*
shows *Carmichael (2 ^ k) = 2 ^ (k - 2)*
 ⟨*proof*⟩

lemma *Carmichael-twopow:*

$Carmichael\ (2 \wedge k) = (if\ k \leq 2\ then\ 2 \wedge (k - 1)\ else\ 2 \wedge (k - 2))$
 <proof>

lemma *Carmichael-prime-power:*

assumes *prime* $p\ k > 0$

shows $Carmichael\ (p \wedge k) =$

$(if\ p = 2 \wedge k > 2\ then\ 2 \wedge (k - 2)\ else\ p \wedge (k - 1) * (p - 1))$

<proof>

lemma *Carmichael-prod-coprime:*

assumes *finite* $A\ \bigwedge i\ j. i \in A \implies j \in A \implies i \neq j \implies coprime\ (f\ i)\ (f\ j)$

shows $Carmichael\ (\prod_{i \in A} f\ i) = (LCM\ i \in A. Carmichael\ (f\ i))$

<proof>

Since λ distributes over coprime factors and we know the value of $\lambda(p^k)$ for prime p , we can now give a closed formula for $\lambda(n)$ in terms of the prime factorisation of n :

theorem *Carmichael-closed-formula:*

$Carmichael\ n =$

$(LCM\ p \in prime\ factors\ n. let\ k = multiplicity\ p\ n$

$in\ if\ p = 2 \wedge k > 2\ then\ 2 \wedge (k - 2)\ else\ p \wedge (k - 1) *$

$(p - 1))$

$(is\ - = Lcm\ ?A)$

<proof>

corollary *even-Carmichael:*

assumes $n > 2$

shows $even\ (Carmichael\ n)$

<proof>

lemma *eval-Carmichael:*

assumes *prime-factorization* $n = A$

shows $Carmichael\ n = (LCM\ p \in set\ mset\ A.$

$let\ k = count\ A\ p\ in\ if\ p = 2 \wedge k > 2\ then\ 2 \wedge (k - 2)\ else\ p \wedge (k - 1) * (p - 1))$

<proof>

Any residue ring always contains a λ -root, i.e. an element whose order is $\lambda(n)$.

theorem *Carmichael-root-exists:*

assumes $n > (0::nat)$

obtains g **where** $g \in totatives\ n$ **and** $ord\ n\ g = Carmichael\ n$

<proof>

This also means that the Carmichael number is not only the LCM of the orders of the elements of the residue ring, but indeed the maximum of the orders.

lemma *Carmichael-altdef:*

Carmichael $n = (\text{if } n = 0 \text{ then } 1 \text{ else } \text{Max} (\text{ord } n \text{ ' totatives } n))$
 ⟨proof⟩

11.5 Existence of primitive roots for general moduli

We now related Carmichael's function to the existence of primitive roots and, in the end, use this to show precisely which moduli have primitive roots and which do not.

The first criterion for the existence of a primitive root is this: A primitive root modulo n exists iff $\lambda(n) = \varphi(n)$.

lemma *Carmichael-eq-totient-imp-primroot*:
assumes $n > 0$ **and** *Carmichael* $n = \text{totient } n$
shows $\exists g. \text{residue-primroot } n \ g$
 ⟨proof⟩

theorem *residue-primroot-iff-Carmichael*:
 $(\exists g. \text{residue-primroot } n \ g) \longleftrightarrow \text{Carmichael } n = \text{totient } n \wedge n > 0$
 ⟨proof⟩

Any primitive root modulo mn for coprime m, n is also a primitive root modulo m and n . The converse does not hold in general.

lemma *residue-primroot-modulus-mult-coprimeD*:
assumes *coprime* $m \ n$ **and** *residue-primroot* $(m * n) \ g$
shows *residue-primroot* $m \ g$ *residue-primroot* $n \ g$
 ⟨proof⟩

If a primitive root modulo mn exists for coprime m, n , then $\lambda(m)$ and $\lambda(n)$ must also be coprime. This is helpful in establishing that there are no primitive roots modulo mn by showing e.g. that $\lambda(m)$ and $\lambda(n)$ are both even.

lemma *residue-primroot-modulus-mult-coprime-imp-Carmichael-coprime*:
assumes *coprime* $m \ n$ **and** *residue-primroot* $(m * n) \ g$
shows *coprime* $(\text{Carmichael } m) (\text{Carmichael } n)$
 ⟨proof⟩

The following moduli are precisely those that have primitive roots.

definition *cyclic-moduli* :: *nat set* **where**
 $\text{cyclic-moduli} = \{1, 2, 4\} \cup \{p \wedge k \mid p \text{ k. prime } p \wedge \text{odd } p \wedge k > 0\} \cup$
 $\{2 * p \wedge k \mid p \text{ k. prime } p \wedge \text{odd } p \wedge k > 0\}$

theorem *residue-primroot-iff-in-cyclic-moduli*:
 $(\exists g. \text{residue-primroot } m \ g) \longleftrightarrow m \in \text{cyclic-moduli}$
 ⟨proof⟩

lemma *residue-primroot-is-generator*:
assumes $m > 1$ **and** *residue-primroot* $m \ g$
shows *bij-betw* $(\lambda i. g \wedge i \text{ mod } m) \{..<\text{totient } m\} (\text{totatives } m)$

<proof>

Given one primitive root g , all the primitive roots are powers g^i for $1 \leq i \leq \varphi(n)$ with $\gcd(i, \varphi(n)) = 1$.

theorem *residue-primroot-bij-betw-primroots:*

assumes $m > 1$ **and** *residue-primroot m g*

shows *bij-betw* $(\lambda i. g \wedge i \text{ mod } m)$ *(totatives (totient m))*

$\{g \in \text{totatives } m. \text{ residue-primroot } m \ g\}$

<proof>

It follows from the above statement that any residue ring modulo n that has primitive roots has exactly $\varphi(\varphi(n))$ of them.

corollary *card-residue-primroots:*

assumes $\exists g. \text{ residue-primroot } m \ g$

shows *card* $\{g \in \text{totatives } m. \text{ residue-primroot } m \ g\} = \text{totient } (\text{totient } m)$

<proof>

corollary *card-residue-primroots':*

card $\{g \in \text{totatives } m. \text{ residue-primroot } m \ g\} =$

(if $m \in \text{cyclic-moduli}$ *then* $\text{totient } (\text{totient } m)$ *else* 0 *)*

<proof>

As an example, we evaluate $\lambda(122200)$ using the prime factorisation.

lemma *Carmichael* $122200 = 1380$

<proof>

end

12 Comprehensive number theory

theory *Number-Theory*

imports

Fib

Residues

Eratosthenes

Mod-Exp

Quadratic-Reciprocity

Pocklington

Prime-Powers

Residue-Primitive-Roots

begin

end